**Review Article** 

# An evaluation of moving shadow detection techniques

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Abstract Shadows of moving objects may cause serious problems in many computer vision applications, including object tracking and object recognition. In common object detection systems, due to having similar characteristics, shadows can be easily misclassified as either part of moving objects or independent moving objects. To deal with the problem of misclassifying shadows as foreground, various methods have been introduced. This paper addresses the main problematic situations associated with shadows and provides a comprehensive performance comparison on up-todate methods that have been proposed to tackle these problems. The evaluation is carried out using benchmark datasets that have been selected and modified to suit the purpose. This survey suggests the ways of selecting shadow detection methods under different scenarios.

**Keywords** moving shadow detection; problematic situations; shadow features; shadow detection methods

# 1 Introduction

Shadows play an important role in our understanding of the world and provide rich visual information about the properties of objects, scenes, and lights. The human vision system is capable of recognizing and extracting shadows from complex scenes and uses shadow information to automatically perform various tasks, such as perception of the position, size, and shape of the objects, understanding the structure of the 3D scene geometry and location and intensity of the light sources. For the past decades, researchers working in computer vision and other related fields have been trying to find a mechanism for machines to mimic the human vision system in handling the visual data and performing the associate tasks. However, the problem is far from being solved and all the tasks remain as challenging.

Shadows are involved in many low-level computer vision applications and image processing tasks such as shadow detection, removing, extraction, correction. and mapping. In many video applications, shadows need to be detected and removed for the purpose of object tracking [1], classification [2, 3], size and position estimation [4], behaviour recognition [5], and structural health monitoring [6]. In still image processing, shadow feature extraction is applied to get features that will be useful in object shape estimation [7], 3D object extraction [8], building detection [9], illumination estimation [10] and direction [11], and camera parameter estimation [12]. Shadow detection and correction (i.e., shadow compensation or de-shadowing) involve complex image processing techniques to produce a shadow-free image which can be useful in many applications including surfaces [13],reconstruction of illumination correction [14], and face detection and recognition [15]. In contrary to shadow detection, some applications, such as rendering soft shadow for 3D objects [16] and creating shadow mattes in cel animation [17], require rendering shadows to add more spatial details within and among objects and to produce images with a natural-realistic look. Shadow detection and mapping also need to be considered in some recent image processing applications such as PatchNet [18], timeline editing [19], and many other visual media processing applications [20]. Examples of these applications are shown in Fig. 1.



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Fig. 1 Examples of shadow applications: (first column) detection of moving shadows in a frame of the sequence Hwy I [21] using the method reported in Ref. [22] (shadows are highlighted in green), (second column) manual detection and correction of shadows for a still image, and (third column) manual detection and mapping of shadow for an outdoor still image.

Compared with detecting moving shadows in a video, detecting still shadows in a single image is more difficult due to having less information available in a single image than a video. In both cases, various features (such as intensities, colors, edges, gradients, and textures) are used to identify shadow points. In moving shadow detection, these features from the current frame are compared to those of the corresponding background image to find if the features are similar. In still image shadow detection, these features are often used along with other geometric features (such as locations of light sources and object shapes) to detect shadows.

In this paper, we focus on shadow detection and removal in image sequences (also called moving shadow detection) and introduce a novel taxonomy to categorize the up-to-date existing moving shadow detection methods based on various metrics including moving objects and their cast shadow properties, image features, and the spatial level used for analysing and classification. Listed below are the major contributions of this paper:

- The main problematic situations associated with shadows are addressed and common datasets are organized and classified based on these problematic situations.
- A unique way to analyse and classify most key papers published in the literature is introduced.
- A quantitative performance comparison among classes of methods is provided in terms of shadow detection rate and discrimination rate.

• Since the last survey on shadow detection methods by Sanin et al. [23], more than 140 papers have been published in the literature which need to be evaluated. This survey focuses on most recent methods.

The rest of the paper is organized as follows. Section 2 discusses the general concept of shadow modelling and possible problematic situations present in real-world scenes. Details of datasets used for comparison are given in Section 3. Quantitative metrics used for performance evaluation are discussed in Section 4. Section 5 discusses the existing moving shadow detection methods in detail, followed by their performance evaluation with advantages and disadvantages in Section 6. Section 7 provides a conclusion of the work presented in this paper.

## 2 Understanding shadow

Understanding the physical formation of shadows is an essential key to solve many problems in the applications mentioned in the previous section. In the beginning of this section, the basic idea about shadow formation and modelling is discussed. The main properties and assumptions for moving shadows are summarised in Section 2.2. In Section 2.3, various problematic situations for moving shadow detection are discussed.

## 2.1 Cast shadow model

Shadows are considered as a problem of local or

regional illumination changes. In other words, when an object is placed between the light source and the background surface, it blocks the light to reach the adjacent region(s) of the foreground object, causing a change in illumination in that region. Due to the multi-lighting effects, changes in illumination (with respect to background) are more significant in the centre regions of the shadow (areas which linked to the self-shadow of the foreground object) than its outer boundaries. This is the case where shadows can be further classified into two regions, namely, umbra and penumbra regions. Umbra is the darker region in the cast shadow where the direct light (dominant light) is totally blocked and the ambient lights illuminate the region. Penumbra is the lighter regions where both the light sources (dominant and ambient lights) illuminate the area. An example of cast shadow analysis is illustrated in Fig. 2.

These parts of the detected foreground mask can be analysed in terms of illuminations of the light sources and the surface reflections of these regions. Thus, using the Kubelka–Munk theory [24], the intensity of each pixel  $S_t(p)$  in an image plane obtained by a camera can be expressed as

$$S_t(p) = i_t(p) \cdot r_t(p) \tag{1}$$

where  $0 < i_t(p) < \infty$  is the irradiance term which indicates the amount of source illumination received by the point p on the surface S at time t, and  $0 < r_t(p) < 1$  is a coefficient measuring the amount of the illumination reflected by the same point.

Based on the Phong model [25] and assuming that the light source is far away from the object, the irradiance term  $i_t(p)$  can be further expressed with respect to the incident angle  $\theta$  of the dominant light source (the angle between the direction of the



Fig. 2 Shadow model.

light source  $\overrightarrow{L} = (l_x, l_y, l_z)$  and the surface normal  $\overrightarrow{N} = (n_x, n_y, n_z)$  at point p, the intensity of the light source  $c_{\rm D}$ , and the intensity of the ambient light  $c_{\rm A}$ :

$$_{t}(p) = c_{\rm A} + T(p) \cdot T_{\rm D} \cdot c_{\rm D} \cdot \cos\theta \qquad (2)$$

The coefficient  $0 < T_{\rm D} < 1$  measures the amount of the light energy available from the dominant light at time t. It represents the global change in illumination of the dominant light source in time. T(p) determines the amount of the available light energy of the dominant light source received by the point p at time t. It represents the local change in illumination at point p in time. Theoretically, the point p belongs to a cast shadow when the value of the coefficient T(p) < 1. Furthermore, the point p is considered as belonging to the penumbra region of the shadow cast when 0 < T(p) < 1 or to the umbra region when T(p) = 0.

#### 2.2 Properties of moving shadows

There are many properties associated with shadows; however, in here, only those directly related to the field of shadow cast detection in images are mentioned. Each proposed method within literature relies at least on one of the following properties to detect shadows:

- Intensity reduction: The intensity of a background point is reduced when shadow occurs, that is due to the irradiance term  $i_t(p)$  in Eq. (1) which receives less amount of light from the dominant light source once shadow occurs. The strength of the ambient light sources around the object determines how much darker the point will be.
- Linear attenuation: When the spectral power distribution (SPD) of the dominant and the ambient light sources are similar, the background color will be maintained when shadow occurs. In other words, linear attenuation exists for the three color channels (R, G, B), i.e.,

 $R_{\rm SH} < R_{\rm NSH} \cup G_{\rm SH} < G_{\rm NSH} \cup B_{\rm SH} < B_{\rm NSH}$  (3) where  $[R_{\rm NSH}, G_{\rm NSH}, B_{\rm NSH}]$  and  $[R_{\rm SH}, G_{\rm SH}, B_{\rm SH}]$ are RGB color vectors for the same point in a nonshadowed (NSH) and shadowed (SH) background regions, respectively.

• Non-linear attenuation: When the SPD for the dominant and the ambient light sources are different, the background colors, depending on the color of the ambient light, will be changed when shadow occurs.



- Reflectance-constancy: Textures and patterns for background regions do not change in time, i.e., the object reflectance term  $r_t(p)$  in Eq. (1) does not change when shadow occurs.
- Size-property: The size of the shadow mainly depends on the direction of illumination, the size of moving object, and the number of available light sources.
- Shape-property: The shape of the shadow depends on the shape of the object which casts it and the direction of the illumination.
- Shadow direction: For a single point light source, there is only one direction of shadow. However, multiple shadow directions occur if there is more than one light source in the scene.
- Motion attitude: Shadows follow the same motion as the objects that cast them.

## 2.3 Problematic situations

In the following, the most common problematic cases for moving cast shadows are addressed. These problematic cases can be defined based on two factors, including: (i) the color and intensity of the lights that illuminate the shadow region and nonshadow regions, and (ii) the reflectance properties of the foreground regions, cast shadow, and the corresponding background regions. A foreground region is a region of the current frame, belonging to a moving object. A background region is a region of the background image without any moving object. Each case is elaborated in detail below.

- Achromatic shadow: The SPD of the two light sources, the dominant light and the ambient light, are similar for both penumbra and umbra.
- Chromatic shadow: Some parts of shadow cast are illuminated by different light color to that in dominant light.
- Foreground–background camouflage: Some parts of the foreground region have similar appearances in color or texture to the corresponding backgrounds.
- Foreground-shadow camouflage: When the intensity of dominant light is strong and the object surface has high luminance (such as metal), then shadow points are reflected back by the self-shadow parts of the foreground object. As a result, the boundary between the foreground object and its cast shadow is not clear.
- Shadow color blending: When the reflectance of the background surface is high, some parts

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of the foreground object are bounced off by the background, causing color blending in the background.

- Non-textured surfaces: Some internal parts of the foreground object or the background surface are non-textured or flat.
- Dark surfaces: Some parts of the foregrounds or backgrounds are dark due to low reflection properties.
- Shadow overlap: An object, partially or fully, is covered by the shadow casted by the nearby object.
- Multiple shadow: When two or more light sources are available in the scene, objects are more likely to have more than one shadow cast.

# **3** Datasets

Many datasets for detecting cast shadows of foreground objects have been developed. Based on the environments where the scenes were taken, datasets can be categorised into two types: outdoor environment and indoor environment. Compared to indoor scenes, detecting moving cast shadows is relatively more difficult in outdoor scenes in which many issues should be considered, such as noisy background, illumination changes, and the effects of the colored lights (e.g., the diffused lights reflected from the sky).

Table 1 shows the summary of various publicly available datasets for indoor and outdoor situations. Some of these datasets have been modified to represent at least one problematic situation in moving cast shadows. The first sequence, Campus (D1), shows an outdoor environment where the shadow size is relatively large and its shadow strength is weak due to the presence of multi-light effects. Sequence Hwy I (D2) represents a case of having shadow overlap in a heavy traffic condition with strong and large size cast shadows. Sequence Hwy III (D3) is another traffic scene with different shadow strengths and sizes, shadow characteristics, and problematic situations. Laboratory (D4) is a typical indoor environment in which a strong camouflage exists between parts of the walking person and the background. Intelligent Room (D5) is a good example of having dark surfaces in the foreground and background images in indoor scene. The Corridor sequence (D6) shows the problem of shadow color blending due to having a strong background reflection.

		D12	, Hallway	800	$3 240 \times 320$	Indoor	People	1 Variable	Medium	Weak		I	>	>			>					I	>		>
		D11	Hall Monitor	330	$144 \times 176$	Indoor	People	Medium	Small	Weak	>		>	>			>					>	>		
		D10	PeopleInShade	1199	$244 \times 380$	Outdoor	People	Large	Large	Strong	>	>	>		>		>					>	>	>	
		D9	Bungalows	1700	$240 \times 360$	Outdoor	Vehicle	Large	Large	Strong	>	>	>	>	>		>					>			
		D8	Seam	459	$240 \times 320$	Outdoor	People	Small	Large	Strong	>	>	>				>						~		
of datasets		D7	Hwy II	500	$240 \times 320$	Outdoor	Vehicle	Small	Small	Strong	>	>		>			>	>					~		]
chnical details	I IIII	D6	Corridor	2358	$288 \times 384$	Indoor	People	Small	Medium	Weak		I	>		>		>	I		>		>			
Table 1 Te		D5	Intelligent Room	750	$240 \times 320$	Indoor	People	Variable	Medium	Weak	>		>	>				I					>		
		D4	Laboratory	2217	$240 \times 320$	Indoor	People	Medium	Medium	Weak			>	>			>	I		>		>			>
		D3	Hwy III	2227	$240 \times 320$	Outdoor	Vehicle	Variable	Small	Strong		>		>	>		>	>				>			
		D2	Hwy I	733	$240 \times 320$	Outdoor	Vehicle	Variable	Large	Strong		>	>	>	>		>	>					~	>	
		D1	Campus	2947	$288 \times 352$	Outdoor	Vehicle/ people	Small/ medium	Large	Weak	>	>	>	>	>		>	>				>			
	Sample	Label	Name	Length (frames)	Frame size	Scene type	ect Class	Obj	Size	Strength	Self-shadow	Umbra	Penumbra	Achromatic shadow	Chromatic	Foreground	background camouflage	<sup>50</sup> Foreground- shadow	camouflage	Shadow color	belnding	Non-textured surfaces	Dark surfaces	Shadow overlap	Multiple

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Hwy II (D7) represents traffic scenes taken from a strong daylight where the case of shadow camouflage exists. Seam (D8) shows another example of outdoor environment where the shadows of the people are strong. The Bungalows sequence (D9) shows a traffic scene captured with a narrow-angle camera and is a good example of having foreground-shadow camouflage. PeopleInShade (D10) is considered as a challenging sequence for many reasons including existence of non-textured and dark surfaces, foreground-background camouflage, and foregroundshadow camouflage. The last two sequences, Hall Monitor (D11) and Hallway (D12), represent two indoor surveillance cases where the problems of dark surfaces and multiple shadow exist.

Change detection masks (CDMs) along with ground truth images for sequences *Campus*, *Hwy I*, *Hwy III*, *Laboratory*, *Intelligent Room*, *Corridor*, and *Hallway* are available in Ref. [21], for sequences *Bungalows* and *PeopleInShade* in Ref. [26], and for sequences *Hwy II* and *Seam* in Ref. [27] and Ref. [28] respectively.

#### 4 Quantitative metrics

Two quantitative metrics, shadow detection rate  $(\eta)$ and shadow discrimination rate  $(\xi)$ , are widely used to evaluate the performance of shadow detection methods:

$$\eta = \frac{TP_{\rm S}}{TP_{\rm S} + FN_{\rm S}} \tag{4}$$

$$\xi = \frac{TP_{\rm F}}{TP_{\rm F} + FN_{\rm F}} \tag{5}$$

where  $TP_{\rm S}$ ,  $FN_{\rm S}$ ,  $TP_{\rm F}$ , and  $FN_{\rm F}$  are shadow's true positives (shadow pixels that are correctly classified as shadow points), shadow's false negatives (shadow pixels that are incorrectly classified as foreground object points), object's true positives (pixels belonging to foreground objects that are correctly classified as foreground object points), and object's false negatives (foreground object pixels that are incorrectly classified as shadow points), respectively. The shadow detection rate  $(\eta)$  shows the number of points that are successfully identified as shadows  $(TP_{\rm S})$  from the total number of the shadow pixels  $(TP_{\rm S} \text{ and } FN_{\rm S})$ . It is desirable to detect most pixels in this region as shadows to obtain a good detection rate. On the other hand, the shadow discrimination rate  $(\xi)$  focuses on the area

that is occluded by parts of the foreground object. It measures the ratio of the number of correctly detected foreground object points  $(TP_{\rm F})$  to the total number of the ground truth points belonging to the foreground object  $(TP_{\rm F} \text{ and } FN_{\rm F})$ . Similarly, it is preferable to correctly detect almost all the foreground pixels in this region.

# 5 Review of existing methods

Many shadow detecting and removing algorithms have been proposed in the literature in which different techniques are used to extract the foreground object from its shadow. Prati et al. [29] provided a survey on shadow detection methods which is mainly based on the type of the algorithms They organised the contributions reported used in the literature into four main classes, namely, parametric, non-parametric, model based, and nonmodel based. Al-Najdawi et al. [30] proposed a four-layer taxonomy survey which is complementary to that in Ref. [29]. The survey is mainly based on object/environment dependency and the implementation domain of the algorithms. Sanin et al. [23] stated that the selection of features has superior influences on the shadow detection results compared to the selection of algorithms. Thus, they classified the shadow detection methods into four main categories: chromaticity based, geometry based, physical properties and textures based methods.

This paper introduces a different systematic method to classify existing shadow detection algorithms mainly based on the type of properties used for classification. Since the properties of the two main components in the change detection mask, the moving object and the cast shadow, have important roles to separate them, the existing methods, accordingly, can be divided into two main categories (see Fig. 3): object shape-property based and shadow-property based methods. Depending on the type of main feature used, shadow-property based methods can be further subdivided into two light-direction based and image-feature groups: based methods. Light-direction based methods work on geometric formation of cast shadows and the light source to find useful geometric features such as the location and direction of the light source and the location shadow cast in the background. Meanwhile,



Fig. 3 Classification of moving shadow detection.

image-feature based methods work directly on analysing 2D images and extract color and texture independent of the scene type, object type, or other geometric features. Due to a vast majority of the work belonging to this category, the image-feature based methods are further subdivided, based on the spatial features used in their final classification, into pixel based and region based methods. Regardless of the type of image features, such as color, edge, and texture, used in analysing stage, the final classification in both cases is made on individual pixels. Due to the importance of the three features, namely, color based method, edge based method, and texture based method are studied separately. On the other hand, region-level methods effectively take advantages on contextual information and accordingly segment the image into regions. They can be broadly further subdivided into segmentation based methods and block based methods.

When compared to other types of classification, the proposed classification provides a better grasp of the existing shadow detection methods by taking into account more features in the classification to cover more papers in the literature, and analysing and evaluating the methods under all major problematic situations in shadow detection. In the following, shape based, light-direction based, color based, edge based, texture based, segmentation based, and block based methods are discussed in detail.

#### 5.1 Shape based methods

Shape based methods utilise the properties of the foreground objects, such as shape and size to detect their cast shadows. They model the foreground object using various object-geometric features that can be either obtained by having a priori knowledge about the foreground object or extracted from the input images without depending on the background reference. They are mainly designed to detect shadows casted by a specific foreground object such as human [31] or vehicles [32]. Typical shape based methods are summarised in Table 2.

Hsieh et al. [33] proposed a coarse-to-fine Gaussian shadow algorithm to eliminate shadows of pedestrians. Several geometric features are utilized in their model, including the object orientation, centre position of the shadow region, and the intensity mean.

Yoneyama et al. [32] utilized the vehicle-shadow orientations to distinguish shadows from the moving vehicles. The method is based on a joint 2D vehicle/shadow model which is projected onto a 2D image plane. They explicitly divided the 2D vehicle/shadow model into six types where each type is referred to one location of the shadow in the foreground mask. These geometric properties are estimated from input frames without a priori knowledge of the light source and the camera calibration information.

Bi et al. [34] introduced a shadow detection method based on human body geometrical existence and its approximate location. In the first step, the human body shape property is analysed and used to determine the location of cast shadow. In the second step, an image orientation information measure is used to divide the image pixels into two regions, namely, smooth region and edge region. The two measurements, shape analysis and the ratio of pixels, are then fused in the final classification.

Fang et al. [35] exploited the spectral and geometrical properties to detect shadows in video sequences. In the first stage, candidate shadow points are segmented using the spectral properties of shadows. Feature points of occluding function are then detected using wavelet transform. In the last



				Datase	et used		Results
Paper	Year	Main feature	Other feature(s)	From Table 1	Others	Methods compared with	Summary
Hsieh et al. [33]	2003	Object orientation	Intensity histogram		3	[36]	Quantitative analysis is based on the results from 12 frames using precision rate (PR) and false-alarm rate (FAR) as follows: PR(average)=95.76% and FAR=1.76%.
Yoneyama et al. [32]	2005	2D joint vehicle–shadow model		_	3	_	False-alarm rate is given for four different situations with an average of 2.17%.
Bi et al. [34]	2007	Ellipse-shape fitting	Intensity ratio		2		Some visual results are given.
Fang et al. [35]	2008	1D wavelet transform	Intensity reduction		4		Some visual results are given.
Chen et al. [31]	2010	Log-polar coordinates	Color, pix location, HOG transform	_	8		ROC graph is given for the proposed method with various features.

Table 2 Summary of shape based methods for shadow detection

stage, the occluding line, formed from the feature points, is detected to separate objects from their shadows.

Chen et al. [31] proposed a 3-stage algorithm to detect cast shadows of pedestrians who are posed vertically. In the first stage, a support vector machines (SVM) classifier is trained and applied on the foreground mask to compute possible shadow points. A linear classifier is then adopted to divide the foreground mask into human and shadow subregions. In the last stage, the shadow region is reconstructed with the aid of the background image.

#### 5.2 Light-direction based methods

Some methods utilise various geometric information, such as location and direction, of the light source(s) and shadows to detect shadows casted by moving objects. These geometric measurements can be extracted from the input images or having prior information about the scene. These methods mainly depend on geometric features and use other image features to enhance the detection results. Typical light-direction based methods are summarised in Table 3.

Nicolas et al. [38] stated that estimating the position of the light source can improve the detection results. Based on that, they proposed a method which allows a joint estimation of the light source projection on the image plane and the segmentation of moving cast shadows in natural video sequences. The light source position is estimated by exploring the geometric relations between the light source, and the object/shadow regions on the 2D image plane. For each incoming video frame, the shadowforeground discrimination is performed based on the estimation of the light source position and the video object contours. This method is strongly based on two assumptions: (i) the light source is unique and (ii) the surface of the background is flat.

Wang et al. [37] presented a method for detecting and removing shadow which is mainly based on the detection of the cast shadow direction. In the method, shadow direction is computed using a number of sampling points taken from shadow candidature. An edge map is then used to isolate the foreground object from its shadows. They applied some rules to recover parts of the vehicles that are (i) darker than their corresponding backgrounds and (ii) located in the self-shadow regions.

Meher et al. [3] used light source direction estimation to detect cast shadows of vehicles for the purpose of classification. In the first step, image segmentation is performed on the moving regions using a mean-shift algorithm. Principal component analysis (PCA) is then used to determine the direction of moving shadow regions and separate them from vehicle regions.

Recently, Russell et al. [40] developed a

-		14	ble 5 Summary Of	iigiit-difec	tion based	methods for	shadow detection
		Feature used		Datase	et used		Results
Paper	Year	for light direction estimation	Other feature(s)	From Table 1	Others	Methods compared with	Summary
Wang et al. [37]	2004	Boundary pixels	Intensity reduction, edge information		2		No quantitative results are given.
Nicolas et al. [38]	2006	Geometric information	Intensity reduction, object contours	D11	4		Shadow detection rate (SDR), false positive rate (FPR) and shadow/VOP discrimination rate (SVR) are given for the method in three situation: light source estimation (SDR=91.62%, FPR=6.32%, SVR=2.12%), no light source estimation (SDR=90.82%, FPR=8.55%, SVR=2.12%), and no modification of initial VOP segmentation (SDR=81.25%, FPR=6.5%, SVR=not given).
Meher et al. [3]	2013	principal component analysis (PCA)	Image segmentation		10	[39]	The quantitative comparison is performed using average accuracy measurement with 90.2% for the method and 90.52% for three datasets. No qualitative results are given for comparison.
Russell et al. [40]	2015	Boundary pixels	Gradient direction	D2– D3–D9		[41, 42]	Qualitative comparison results are given for a frame in each sequence, shadow detection rate $\eta = 92.40\%$ and shadow discrimination rate $\xi = 92.00\%$ .

 Table 3
 Summary of light-direction based methods for shadow detection

method for detecting moving shadows of vehicles in real-time applications. The method is based on the illumination direction and the intensity measurements in the neighbouring pixels in a scanned line to detect cast shadow lines of vehicles.

#### 5.3 Color based methods

Color based methods use color information to describe the change in the value and appearance of a pixel when shadow occurs. In these methods, two features, namely the intensity and invariant measurements, are combined to identify those points that become darker than their corresponding while background maintaining their color consistency. Algorithms based on color techniques attempt to use suitable color spaces for separating the brightness of each pixel from its chromaticity. A comparative survey on different color spaces used for shadow detection can be found in Refs. [63-65]. Table 4 shows the common color spaces used in shadow detection algorithms.

Cucchiara et al. [43] introduced the hue– saturation–value (HSV) color space as a better choice compared to the RGB color space in shadow detection. Their method is based on the observation that shadows lower the pixel's value (V) and saturation (S) but barely change its hue component (H).

More recently, Guan [53] proposed a shadow detection method for color video sequences using multi-scale wavelet transforms and temporal motion analysis. The method exploits the hue–saturation– value (HSV) color space instead of introducing complex color models.

Similarly, Salvador et al. [44] adopted a new color space model, c1c2c3, for detecting shadow points in both still images and videos. In the method, the features of the invariant color c1c2c3 of each candidate point from a pre-defined set are compared with the features of the reference point. Thus, a candidate point is labelled as shadow if the value of its c1c2c3 has not changed with respect to the reference.

Melli et al. [49] proposed a shadow-vehicle discrimination method for traffic scenes. They asserted that YCbCr color spaces are more suitable



		1	able 4 Sull	imary of co	ioi baseu	meenous	ior shadow dete	
			Datase	t used				Results
Paper	Year	Color space	From Table 1	Others	Quant perfor (	titative mances %)	Methods compared - with	Summary
					η	ξ		
Cucchiara et al. [43]	2003	HSV	D2– D4–D5	2				False negatives and false positives are compared with ground truth using ROC graphs.
Salvador et al. [44]	2004	c1c2c3	D11	2	_	_	[45-48]	Only D11 is used for quantitative comparison via ROC graph and accuracy mean of 86.6%; qualitative results are shown for D11 and another 2 datasets.
Melli et al. [49]	2005	YCbCr	_	4	63.19	67.51	[46]	Four traffic datasets are used in the experiment, and quantitative comparison are shown for 2 datasets.
Cavallaro et al. [50]	2005	nRGB	D5– D11	3			[45-48]	Only accuracy mean given (88.0%) for D5 and D11 along qualitative results on 5 datasets.
Lo and Yang [51]	2006	nR-G	_	1		_	[52]	Qualitative comparison results are shown, and quantitative comparison are shown using ROC graph.
Guan [53]	2010	HSV	D1–D4– D5–D11	2	80.31	95.36	[45-48]	Only D5 is used for quantitative comparison, and qualitative results are shown for D1, D4, D11, and another 2 datasets.
Sun and Li [54]	2010	HSI & c1c2c3		2	89.35	90.45	[47, 55]	Qualitative results are shown for three frames.
Ishida et al. [56]	2013	YUV	D2	3	91.56	95.68	[45-48]	Qualitative results are shown for all datasets, and only D2 is used for quantitative comparison.
Dai et al. [57]	2013	Multi-color	D2–D5– D6–D12	—	89.82	95.37	[43, 44, 58-60]	All datasets are used for performance evaluation, and qualitative and quantitative comparison results are shown.
Wang et al. [61]	2014	HSI	D2–D4– D5–D12	2	87.75	90.5	[1, 42, 62]	Qualitative and quantitative results are shown on 6 datasets.

 Table 4
 Summary of color based methods for shadow detection

in shadow–foreground discrimination, mainly to separate the road surface from shadow regions.

Cavallaro et al. [50] used normalized RGB (nRGB) color space to get shadow-free images. The main idea of using this color space is that the values of normalized components (usually labelled as rgb) do not change a lot for points under local or global illumination changes. Similar to nRGB, normalized r-g (nR-G) is proposed by Lo and Yang [51] to separate brightness and color for each pixel in the foreground mask region. They stated that the normalized values of the two channels (red and green) remain roughly the same under different illumination conditions.

Sun and Li [54] proposed a method for detecting cast shadows of vehicles using combined color spaces. In the method, HSI and c1c2c3 color spaces are used to detect possible shadow points. A rough result is then obtained by synthesizing the above two results. In the final step, some morphological operations are used to improve the accuracy of the detection result.

Ishida et al. [66] used the UV components of the

YUV color space along with the normalized vector distance, peripheral increment sign correlation, and edge information to detect shadows from image sequences. They stated that the differences in the U and V components of each shaded pixels and their corresponding backgrounds are small. On the other hand, those in a moving object region become large.

Dai et al. [57] introduced a method to detect shadows using multiple color spaces and multi-scale images. Their color features include chromaticity difference in HSV, invariant photometric color in c1c2c3, and salient color information in RGB.

Wang et al. [61] proposed a method for shadow detection using online sub-scene shadow modelling and object inner-edge analysis. In the method, accumulating histograms are computed using the chromaticity differences in hue, saturation, and intensity (HSI) between foreground and background regions.

#### 5.4 Edge based methods

Edge information is a very useful feature for detecting shadow regions. It can be proved that edges do not change under varying illumination, i.e., the edge for the shadow region is similar to the corresponding region under direct light. Edge information can be useful when a pixel in the current frame has similar brightness or intensity values to that in the corresponding background. The common edge detection operators include Prewitt operator, Sobel operator, Canny operator, Robert operator, etc. Typical edge based methods are summarised in Table 5.

Xu et al. [67] used static edge correlation and seed region formation to detect shadow regions for indoor sequences. In the method, a number of techniques are involved including: (a) the generation of the initial change detection mask (CDM), (b) applying Canny edge detection on the given frame, (c) detecting moving edges using multiframe integration, and (d) using the morphological dilation to enhance output results.

Zhang et al. [69] proved that the ratio edge is illumination invariant. In the first stage, the possible shadow points are modelled in a mask based on intensity constraint and the physical properties of shadows. The ratio edge between the intensity of a pixel and its neighbouring pixels is then computed for the given frame and the background image. In the final stage, geometric heuristics are imposed to improve the quality of the results.

Xiao et al. [71] used Sobel edge detection to eliminate shadows of the moving vehicles. Sobel edge detector is applied on the binary change detection mask (to detect the boundary of the whole mask) and the given frame masked with the change detection mask (to detect inner edges of the vehicles). The edges from the vehicles are then extracted from the two results. In the final step, spatial verifications are applied to reconstruct the vehicle's shape.

				Datase	t used			Re	esults
Paper	Year	Edge operator	Other feature(s)	From Table 1	Others	Quar perfo	ntitative rmances (%)	Methods compared with	Summary
Xu et al. [67]	2005	Canny operator	Morphological dilation	D12	1	η		[68]	No quantitative results of the method are given.
Zhang et al. [69]	2007	Ratio edge	Intensity and geometry constrains	D1–D2– D4–D5		82.50	92.37	[45-48, 58, 70]	SDDC graphs are provided for further quantitative comparison.
Xiao et al. [71]	2007	Sobel operator	Spatial verifications	D2–D7				[37, 72]	No quantitative results of the method are given.
Panicker and Wilscy [73]	2010	Sobel operator		D1– D2–D7		70.38	84.92	[45-48]	No results are shown for qualitative comparison.
Huang et al. [74]	2011	Susan algorithm	Histogram analysis	D2				_	No quantitative results of the method are given.
ShabaniNia and Naghsh- Nilchi [75]	2013	Canny operator	DWT	D2-D4		86.25	85.45	[33, 43, 76, 77]	No results are shown for qualitative comparison.

Table 5 Summary of edge based methods for shadow detection



Similarly, Panicker and Wilscy [73] proposed a method which uses the edge information to detect moving shadows for traffic sequences. In the first stage, the edge information for both the foreground and the background masks is extracted by using the Sobel operator. The two edge maps are then correlated to eliminate the boundary of the cast shadow, resulting in preserving the internal edge of the object. In the final stage, the object shape is reconstructed by using the object interior edges.

Huang et al. [74] proposed a simple edge-pixel statistics histogram analysis to detect and segment the shadow area for traffic sequences. The statistic characteristics of edge pixels, detected using Susan's algorithm [78], are analysed to detect shadow pixels.

ShabaniNia and Naghsh-Nilchi [75] introduced a shadow detection method which is mainly based on edge information. In the first step, static edges of the change detection mask are detected using the Canny operator. In the second step, a wavelet transform is applied to obtain a noise-free image followed by the watershed transform to segment different parts of an object including shadows. Segmented parts are then marked as shadows or foreground using chromaticity of the background.

#### 5.5 Texture based methods

It can be proved that textures in the background do not change under varying illumination. Moreover, the foreground object produces different patterns and edges with that of its shadow or the corresponding background. A summary of typical texture based methods is given in Table 6.

Leone and Distante [77] presented a new approach for shadow detection of moving objects in visual surveillance environments. Potential shadow points are detected based on adaptive background difference. The similarities between little textured patches are then measured using the Gabor function to improve the detection results.

Yang et al. [41] proposed a method to detect shaded points by exploiting color constancy among and within pixels as well as temporal consistency between adjacent frames. The method has better performance compared to other pixel based methods in which the inter-pixel relationship is used as additional metric to support classification.

Qin et al. [79] proposed a shadow detection method using local texture descriptors called scale invariant local ternary patterns (SILTP). Texture and color features are learned and modelled through the use of a mixture of Gaussian. The contextual constraint from Markov random field (MRF) modelling is further applied to obtain the maximum a posteriori (MAP) estimation of the cast shadows.

Liu and Adjeroh [82] proposed a texture based method to detect shadow points in video. Potential shadow points are detected first using intensity reduction features. A gradient confidence weight is used to describe the texture formation within a window of  $3 \times 3$  pixels (centred at the point).

Khare et al. [84] used the discrete wavelet transform (DWT) to describe the texture information in horizontal and vertical image dimensions. The shadow points are detected through computing several wavelet decompositions in the HSV color space.

Local binary pattern (LBP) is used in Ref. [87] as a local texture descriptor in detecting shadows of surveillance scenarios. Besides LBP, other features such as intensity ratio and color distortion are also utilized in a statistical learning framework to enhance the detection result.

Huerta et al. [89] proposed a multi-stage texture based approach to detect shadows in videos. In the first stage, candidate shadow regions are formed using intensity reduction. Chromatic shadow detection is then performed using gradients and chrominance angles.

#### 5.6 Segmentation based methods

Segmentation based methods attempt to find similarity in intensity, color, or texture among neighbouring pixels to form independent regions. In general, these methods consist of two main stages: candidate shadow points and region-correlations. Usually the selection of the candidate shadow points is done on individual pixels by employing some spectral features such as intensity reduction [90], chromaticity [1], luminance ratio [42], intensity-color [22], etc. These candidate points often form one or more independent candidate shadow regions. In the next stage, the region-correlation is performed based on various measurements, including texture, intensity, color, etc. Typical segmentation based methods are summarised in Table 7.

Javed and Shah [90] proposed a five-stage algorithm for detecting shadow points using the RGB

			Table 6 Su	ummary of textu	re based n	nethods i	for shadov	w detection	
				Dataset	used			Re	esults
Paper	Year	Texture descriptor	Other feature(s)	From Table 1	Others	Quan perfor ( $\eta$	$\frac{\text{titative}}{\text{rmances}}$ $\frac{\%)}{\xi}$	Methods compared with	Summary
Leone and Distante [77]	2007	2D Gabor filter	Intensity ratio	D1–D4– D5–D7					Some qualitative results are shown, and quantitative comparison are performed on different dimensions of the Gabor filter.
Yang et al. [41]	2008	Color constancy	Invariant color	_	3	_	_	[52]	Qualitative results are shown for the three datasets, and quantitative comparison are shown in ROC graph.
Qin et al. [79]	2010	SILTP	Luminance ratio and angle variation	D2– D7–D12		76.73	83.36	$[76, \\ 80, 81]$	Performance comparisons are shown using quantitative analysis. No qualitative results are shown for the methods.
Liu and Adjeroh [82]	2010	Gradient confidence weight	Luminance reduction	D1–D2– D5–D12		92.34	84.55	[76, 80, 81, 83]	Quantitative comparison tables are provided: first table based on results obtained from D1 and D2, and second table based on results from D5 and D12.
Khare et al. [84]	2014	DWT	HSV differences	D1– D4–D5		90.13	96.34	$[1, 33, \\43, 45, \\53, 58, \\85, 86]$	All datasets are used for comparison and the results for multiple frames in each dataset are shown for qualitative comparison.
Dai et al. [87]	2015	LBP	Intensity ratio, color distortion	D1–D2– D4–D5– D6–D12		79.54	88.59	[43, 44, 54, 58-60, 88]	The quantitative performance is based on final classification of the method without using morphological operations.
Huerta et al. [89]	2015	Gradient detection	Intensity reduction	D1-D3-D4- D5-D6-D9- D10-D11- D12	2	53.00	92.00	[1, 33, 43, 76, 77, 80-82]	Quantitative results are shown for D2, D9, D10, D12, and another dataset.

color space. Firstly, a shadow mask is created containing all the pixels with their intensity values reduced significantly. In the second stage, vertical and horizontal gradients of each pixel are computed. Shadow candidate regions are then formed based on color segmentation. In the fourth stage, the gradient direction of each region in the current frame is correlated with that of the background. The classification is done in the final stage by comparing the results of the correlation with a predetermined threshold. Regions with a high gradient correlation are classified as shadows.

Toth et al. [52] proposed a shadow detection method which is mainly based on color and shading information. The foreground image is first divided into subregions using a mean-shift color segmentation algorithm. Then a significant test is performed to classify each pixel into foreground or shadow. The final classification is made based on whether the majority of the pixels inside each subregion, in the previous stage, are classified as shadows or not. The subregion is considered as shadow if the total number of shaded points exceeds 50% of the total number of the pixels inside the subregion.



				Datase	t used			Re	sults
Paper	Year	Segmentation type	Color space feature(s)	From Table 1	Others	Quan perfo	ntitative ormances (%)	Methods compared	Summary
						$\eta$	ξ	WIUII	
Javed and Shah [90]	2002	Mean-shift	RGB		3			—	Some qualitative results are shown.
Toth et al. [52]	2004	Mean-shift	$L^*u^*v^*$		2			_	Some qualitative results are shown.
Sanin et al. [1]	2010	Connected components	HSV	D6		92.05	97.85	[90, 91]	One visual result is shown for D6.
Amato et al. [42]	2011	GSCN	Color constancy in RGB	D2– D3–D12	3	84.00	89.66	[76, 80]	Qualitative results are not given for other compared methods.
Russell et al. [22]	2013	Mean-shift	nRGB	D1–D2– D4–D5		91.52	92.50	[41, 44]	A frame from each dataset is shown for qualitative comparison.

 Table 7
 Summary of segmentation based methods for shadow detection

Sanin et al. [1] stated that selecting a larger region, which ideally contains all the shadow points, will provide better texture information compared to smaller regions. Based on that, chromaticity information is used to select possible shadow points. Connected components are then extracted to form candidate regions, followed by computing gradient information to remove those foreground regions that are incorrectly detected as shadows. There are some assumptions for this method: (i) the candidate shadow regions are assumed to be isolated from each other and do not have common boundaries between them, and (ii) each region contains either shadow points or foreground object points.

Amato et al. [42] proposed a method to detect moving shadow for both achromatic and chromatic shadows. Their method is based on that a local constancy exists for any pair of pixels belonging to the shadow region, while foreground pixels do not have this property. In the method, the intensities of the background pixels are divided by intensity of the given frame in the RGB space. The gradient constancy is then applied to detect possible shadow regions. In the final stage, the regions with low gradient constancy are considered as shadows. Similar to other approaches, this method assumes that the foreground object has different texture from that in the shadow region.

Russell et al. [22] used color segmentation to divide the change detection mask (CDM) into subregions. In the method, three features, namely, intensity, spatial color constancy, and temporal color constancy, are used to distinguish shaded regions from objects. In their method, an initial clustering of the change detection mask is used to divide the mask into subregions, then three quantities: intensity mean, invariant color constancy measurement, and temporal color constancy measurement, are computed for each region. Initial classification is made based on these three measurements followed by inter-region relationships among neighbouring regions to enhance the final detection result.

### 5.7 Block based methods

Unlike segmentation based method, regions in block based methods are manually formed by fixed-equalsize blocks and without relying on color or texture information. To determine whether the block is located under shadow or not, color and texture information are exploited among pixels and their corresponding backgrounds. Typical block based methods are summarised in Table 8.

Zhang et al. [92] assumed that normalized coefficients of orthogonal transform of image block are illumination invariant. Based on that, they used normalized coefficients of five kinds of orthogonal transform, namely, discrete Fourier transform (DFT), discrete cosine transform (DCT), singular value decomposition (SVD), Haar transform, and Hadamard transform, to distinguish between a moving object and its cast shadow. The information of intensity and geometry is utilized to refine the

			Table o Sul	mary of bi	ock based	method	s for shado	w detection	
				Datase	t used			R	esults
Paper	Year	Block size (number of blocks)	Techniques (feature(s) used)	From Table 1	Others	Qua perfo	ntitative ormances (%)	Methods compared	Summary
						$\eta$	ξ	WIUII	
Zhang et al. [92]	2006	8 × 8	Orthogonal transform	D1– D5–D11		86.27	95.53		Quantitative comparison is performed using different orthogonal transforms including: DFT ( $\eta$ =84.85%, $\xi$ =95.94%), DCT ( $\eta$ =86.27%, $\xi$ =95.53%), SVD ( $\eta$ =80.27%, $\xi$ =92.38%), Haar transform ( $\eta$ =83.62%, $\xi$ =97.29%), and Hadamard transform ( $\eta$ = 84.46%, $\xi$ =96.18%). Best result is recorded for DCT.
Song and Tai [60]	2007	Various	Color ratio, boundary detection	D2	2	77.50	72.20	[43, 47]	Quantitativecomparisonresultsaregiven,andnoqualitativecomparisonresultsaregiven.
Celik et al. [93]	2008	8 blocks	Brightness ratio histogram	D1–D2– D4–D5– D7	3	72.87	91.42	[45-48]	Two visual results from the method are shown, and quantitative comparison results are given for D1, D2, D4, D5, and another dataset.
Bullkich et al. [88]	2012	$7 \times 8$	Tone mapping	D2–D8	_			[45, 94]	Precision rate (average)= 93.47%, recall rate (average)= 93.20%, and F-measure (average)=93.35% are given.
Cogun and Cotin [95]	2013	$8 \times 8$	Cepstrum analysis	D1–D2– D4–D5– D7		77.65	88.40	[45-48]	No visual results are given for comparison between the methods.
Dai and Han [96]	2015	Various	Affinity propagation	D1–D2– D6–D12		71.28	96.00	$[43, 44 \\ 60, 88]$	Qualitative results from all methods are given using a frame in each dataset.

**Table 8** Summary of block based methods for shadow detection

detection results.

Song and Tai [60] developed a shadow-region based statistical nonparametric approach to construct a new model for shadow detection of all pixels in an image frame. The color ratio between the illuminated regions and the shaded regions is utilized as an index to establish the model for different shadow pixels.

Celik et al. [93] divided the image into 8 nonoverlapped homogenous blocks. A brightness ratio histogram of each block is used to determine whether the block is part of the moving object or shadows.

Bullkich et al. [88] assumed that the shadow pixels are associated with background pixels through a non-linear tone mapping. A model of matching by tone mapping (MTM) is developed to evaluate distances between suspected foreground and background pixels. Regions with low MTM distance metric are considered as shadows.

In Ref. [95], the change detection mask is divided into  $8 \times 8$  blocks and the 2D cepstrum is applied to check whether the current frame preserve the background texture and color. Pixel based analysis is performed within each shaded block for further classification.

Dai and Han [96] used affinity propagation to detect moving cast shadows in videos. In the first stage, the foreground image is divided into nonoverlapping blocks and color information in the HSV color space from each block is extracted. Affinity



propagation is then utilized to cluster foreground blocks adaptively and subregions are generated after coarse segmentation. In the last stage, texture features from irregular subregions are extracted and compared with the corresponding backgrounds to detect those with similarity.

## 6 Performance evaluation

Table 9 provides the quantitative performance evaluation, in terms of the average shadow detection rate ( $\eta$ ) and the average shadow discrimination rate ( $\xi$ ), for each class of methods with respect to the shadow problematic situations. These rates are calculated according to the stated results of the original publications. For example, achromatic shadow has been tested in four papers belonging to color based methods. For each paper, since achromatic shadows do not affect shadow discrimination rate, only shadow detection rate has been calculated. Based on that, three rates, namely, lowest rate, highest rate, and a simple average for all four methods are reported in Table 9.

Clearly (as indicated in Table 9), shadow detection rate is affected by the presence of achromatic shadow, chromatic shadow, foreground–shadow camouflage, shadow color blending, and multiple shadows. Meanwhile, problems of foreground– background camouflage, non-textured surfaces, dark surfaces, and shadow overlaps are affecting the shadow discrimination rate.

The first two classes, shape based methods and light-direction based methods, mainly rely on geometric relationships of the objects and the shadows in the scene. They can provide accurate results when these geometric features along with their assumptions are valid (maximum shadow detection rate  $(\eta) = 92.3\%$  and maximum shadow discrimination rate  $(\xi) = 93.5\%$  are reported for light-direction based method from Table 9). However, they may fail when these geometric relationships change. Besides, these methods impose some strong geometric assumptions which make them only applicable in specific situations or they may require human interaction or need some prior knowledge about the scene and the moving objects.

Color based methods can provide reasonably high shadow detection rate (the lowest rate is  $\eta = 81.4\%$ ,

the highest rate is  $\eta = 93.7\%$ , and the average of  $\eta = 86.5\%$ ) for indoor environments in which only achromatic shadow is present. However, color based methods fail to recognize most shadow points when other problematic situations are present. For

example, from Table 9, the average shadow detection rates  $(\eta)$  obtained for the color based method are 63.1%, 67.4%, and 71.9% when having the problem of chromatic shadows, foreground-shadow camouflages and background color blending, respectively. In order to examine the performance of the method when having other problems that are directly related to the foreground objects, further quantitative results for the shadow discrimination rate are obtained. Except for non-textured surfaces, color based methods clearly fail to cope with the problems of foreground-background camouflage (average of  $\xi = 64.1\%$ ), dark surfaces (average of  $\xi = 61.2\%$ ), and shadow overlap (average of  $\xi = 63.5\%$ ). In general, color based methods are easy to implement and are applicable in real-time application due to their low computational complexity.

Edge based methods can provide better results than color based methods when having chromatic shadow (with the lowest shadow detection rate of  $\eta = 72.0\%$ , the highest shadow detection rate of  $\eta = 73.8\%$ , and the average of  $\eta = 73.6\%$ ) and foreground-background camouflage (with the lowest shadow detection rate of  $\xi = 77.2\%$ , the highest shadow detection rate of  $\xi = 79.9\%$ , and the average of  $\xi = 78.9\%$ ). However, these methods are not suitable for other situations such as foregroundshadow camouflage (the average of  $\eta = 63.6\%$ ) and shadow overlap (the average of  $\xi = 68.7\%$ ).

Among pixel based methods, the performance of texture based methods is better than color based and edge based methods in terms of the shadow detection rate and discrimination rate for all the problematic situations except for having non-textured (the average of  $\xi = 69.8\%$ ) and dark surfaces (the average of  $\xi = 70.7\%$ ).

Region based methods are designed to deal with noise, camouflage, and dark surfaces by taking advantages of the spatial image feature and forming independent regions. Region based methods are computationally expensive and are generally not suitable for real-time applications. Compared to pixel based methods, block based methods provide

		Chane	Light-				Pixel-	-level				Region	1-level	
$\operatorname{Problematic}$	negion(s)	hased	direction		Colo:	ĩ	Ed	ge	Text	ure	Segmer	ntation	Bloc	k
situations	allected by	nacenci	based		based	ч	bas	ied	bas	ed	bas	ied	base	p
	the problem	$\eta~(\%)~\xi~(\%)$	$\eta$ (%) $\xi$ (%)	5) h (6	<sup>2</sup> ()	ξ (%)	n (%)	ξ (%)	n (%)	ξ (%)	$\eta$ (%)	ξ (%)	η (%)	ξ (%)
Achromatic	-		0.00	86.	5 L		81.5		88.7		86.2	ī	90.7	
$\operatorname{shadow}$	Shadow		92.3	(81.4-9	93.7)		(78.0 - 84.2)		(87.4 - 89.2)		(83.4 - 88.7)		(89.4 - 92.0)	
Chromatic	5		1 00	63.	1		73.6		82.9		87.7		79.0	
shadow	Nadow		90.4 —	(61.1–(	65.4)		(72.0 - 73.8)		(79.9 - 84.2)		(86.5 - 89.2)		(77.6 - 81.3)	
Foreground-						- 7-2		0		1 00		л ОО		6 60
background	Object		— 92.1	- 0	<i>.</i>	1.4.1		(0.9)		02.4		09.0 (6 0 0 0)		02.20 (01 9 09 4)
camouflage					<u>ر</u>	(0.00-).10		(6.61-2.11)		(0.00-0.01)	-	(c.ue-1.uo)		(4.00-0.10)
Foreground-				67			63 E		77 3		70.3	Ī	6 V 2	
$\operatorname{shadow}$	Shadow				7				(1010-10)		(19.00 1 97)		(14:0) (14:04)	
camouflage				-7.60)	(1.4)		(0.60-0.10)		(1.6.0-(9.1)		(10.4-00.2)		(1.01-0.11)	
Shadow				12	d		787		80.4		6 18		28 8 7	
color	Shadow			τι (θΟυ)	(V 02		10.0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		170 0 0 0 7)		(015 00 0)		0.01	
blending				-7.60)	(+,		(7.10-0.71)		(1.20-0.01)		(0.60_C.10)		(17.50-0.71)	
Non-textured	Obiset		60	ы		84.5		74.8		69.8		77.0		85.4
surfaces	Object		90.	 0	3)	31.7 - 86.8)		(71.2 - 77.4)		(68.3 - 71.2)		(76.7 - 80.3)		(82.6 - 88.8)
Doul: mufn and	Obiat		00	- -		61.2		72.3		70.7		77.9		74.2
Dark surfaces	Onject		09.	4		30.7-63.0)		(67.3 - 76.6)		(68.1 - 73.4)		(76.1 - 79.9)		(71.1 - 75.8)
Shadow	Obiat		10	c		63.5		68.7		74.2		79.2		76.1
overlap	Object		-10	 1		32.7-66.4)		(65.2 - 73.7)		(71.6 - 78.8)		(76.7 - 85.2)		(71.3 - 79.4)
Multiple	טריי			84.	1		83.3		83.8		81.0		78.5	
shadow	MODBIIC			(79.4–{	89.1)		(78.0 - 86.9)		(79.2 - 86.5)		(80.1 - 82.2)		(73.8 - 81.9)	
"—" means t	the method is	s either not tes	sted or not a	iffected by	r the list	ted probler	natic situatio	'n.						

 ${\bf Table \ 9} \quad {\rm Quantitative \ comparison \ of \ shadow \ detection \ methods}$ 

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better results when having achromatic shadow (the average of  $\eta = 90.7\%$ ), non-textured surfaces (the average of  $\xi = 85.4\%$ ), dark surfaces (the average of  $\xi = 74.2\%$ ), and shadow overlap (the average of  $\xi = 76.1\%$ ).

Among all the methods, segmentation based methods achieved the highest performance in different scenarios except for having achromatic shadows (the average of  $\eta = 86.2\%$ ), non-textured surfaces (the average of  $\xi = 77.0\%$ ), and multiple shadows (the average of  $\eta = 81.0\%$ ). The results show the ability of these methods to handle the problems of chromatic shadows (the average of  $\eta = 87.7\%$ ), foreground–background camouflage (the average of  $\xi = 89.5\%$ ), foreground–shadow camouflage (the average of  $\eta = 79.3\%$ ), shadow color blending (the average of  $\eta = 84.2\%$ ), dark surfaces (the average of  $\xi = 77.9\%$ ), and shadow overlap (the average of  $\xi = 79.2\%$ ).

Figure 4 shows an overall picture of all the problematic situations with recommended methods to deal with them. It can be seen that for a simple problem, such as having achromatic shadows, color based methods can be used. Texture based and edge based methods can be used when there are problems of chromatic shadows, shadow color blending, and multiple shadows. Light-direction based methods can provide accurate results in situations with shadow overlaps if the light direction can be estimated correctly. Block based methods perform better than other methods when there is foregroundshadow camouflage. For the rest of the cases, namely, foreground-background camouflage, nontextured surfaces, and dark surfaces, segmentation based methods are the best selection in detecting shadows.

# 7 Conclusions

This paper highlighted possible problematic situations for moving shadow detection and reviewed recent works that have been done to address these problems. A new way of classification was provided to classify the existing methods into seven main groups, namely, shape based, light-direction based, color based, edge based, texture based, segmentation based, and block based methods. Quantitative metrics have been used to evaluate the overall performance of each class using common moving shadow detection benchmark datasets consisting of twelve video sequences. The overall performance evaluation from each category, with respect to the problematic situations, showed that each category has its strengths and weaknesses.

Shape based methods are mainly designed to detect shadows in specific application. They can provide accurate results when all the geometric features along with their assumptions are valid. In addition, these methods can be used to detect shadows of foreground objects in still images as they do not depend on the background. However, their applications are limited and they may fail when their geometric relationships are changed. Light-direction based methods rely strongly on the shadow/light direction to detect shadows. These methods can provide good results when having a strong-single light source in the scene. However, they are not reliable in other situations specially when having multiple light sources in the scene.

Color based methods perform well when having a simple achromatic shadow, non-textured surfaces and multiple shadows in the scene. However, they may fail in other shadow problematic situations as well as having the problem of image pixelnoise. Edge based methods can provide good shadow detection results in situations with achromatic shadows, chromatic shadows, and multiple shadows. However, they may fail in other situations. Texture based methods can be selected as the best choice among pixel-level analysis methods for detecting shadows of moving objects. They can provide reasonable results in situations with chromatic shadows, camouflages, and multiple shadows.

Block based methods perform better than pixellevel analysis methods when having the problem of non-textured surfaces and dark surfaces. They can be selected to detect shadows in low levels of illumination. Compared to all other methods, segmentation based methods provided the best results in almost all situations and can be selected as the best way of detecting moving shadows from videos.

This survey can help researchers to explore various contributions of shadow detection methods with their strengths and weaknesses and can guide them to select a proper technique for a specific application.



(a) Problematic situation 1: achromatic shadow Recommendation: color based methods







(c) Problematic situation 3: foreground-background camouflage (d) Problematic situation 4: foreground-shadow camouflage Recommendation: segmentation based methods



Recommendation: block based methods





(e) Problematic situation 5: shadow color blending Recommendation: texture based methods and edge based methods





(f) Problematic situation 6: non-textured surfaces Recommendation: segmentation based methods



(g) Problematic situation 7: dark surfaces Recommendation: segmentation based methods



(h) Problematic situation 8: shadow overlap Recommendation: light-direction based methods



- (i) Problematic situation 9: multiple shadows Recommendation: texture based methods
- Examples of problematic situations and recommended methods to deal with them. Fig. 4



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