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Authors: Moritz Pfeiffenberger^{1,2}, Alexandra Damerau^{1,2}, Igor Ponomarev³, Christian H.
Bucher^{4,5}, Yuling Chen^{1,2}, Dirk Barnewitz³, Christa Thöne-Reineke⁶, Paula Hoff^{1,7}, Frank
Buttgereit^{1,2,4}, Timo Gaber^{1,2,4}, Annemarie Lang^{1,2,4}

7

8 Affiliations

- 9 ¹Charité Universitätsmedizin Berlin, corporate member of Freie Universität Berlin,
- 10 Humboldt-Universität zu Berlin, and Berlin Institute of Health, Department of Rheumatology
- 11 and Clinical Immunology, Berlin, Germany
- 12 ²German Rheumatism Research Centre (DRFZ) Berlin, a Leibniz Institute, Berlin, Germany
- 13 ³Research Center of Medical Technology and Biotechnology, Bad Langensalza, Germany
- 14 ⁴Charité Universitätsmedizin Berlin, corporate member of Freie Universität Berlin, Humboldt-
- 15 Universität zu Berlin, and Berlin Institute of Health, Berlin Institute of Health Center for
- 16 Regenerative Therapies, Berlin, Germany
- 17 ⁵Charité Universitätsmedizin Berlin, corporate member of Freie Universität Berlin, Humboldt-
- 18 Universität zu Berlin, and Berlin Institute of Health, Julius Wolff Institute, Berlin, Germany
- 19 ⁶Institute of Animal Welfare, Animal Behavior and Laboratory Animal Science, Department of
- 20 Veterinary Medicine, Freie Universität Berlin, Berlin, Germany
- 21 ⁷Endokrinologikum Berlin, MVZ am Gendarmenmarkt, Berlin, Germany
- 22

23 Correspondence

- 24 Dr. med. vet. Annemarie Lang, PhD
- 25 Charité Universitätsmedizin Berlin, corporate member of Freie Universität Berlin, Humboldt-
- 26 Universität zu Berlin, and Berlin Institute of Health
- 27 Department of Rheumatology and Clinical Immunology
- 28 Charitéplatz 1, 10117 Berlin
- 29 annemarie.lang@charite.de
- 30
- 31

32 Abstract

33 The interaction between the bone and immune cells plays a crucial role in bone pathologies 34 such as disturbed fracture healing. After a trauma, the initially formed fracture hematoma in 35 the fracture gap contains all important components (immune/stem cells, mediators) to directly induce bone regeneration and is therefore of great importance but most susceptible to negative 36 37 influences. Thus, reliable in vitro models are needed to study the underlying mechanisms and 38 to predict the efficiency of novel therapeutic approaches. Since common bioengineering 39 approaches exclude the immune component, we introduce an *in vitro* 3D fracture gap model 40 which combines scaffold-free bone-like constructs with a fracture hematoma model consisting 41 of human peripheral blood (immune cells) and bone marrow-derived mesenchymal stromal 42 cells. Our in vitro 3D fracture gap model provides all osteogenic cues to induce the initial bone 43 healing processes, which were further promoted by applying the osteoinductive deferoxamine (DFO). Thus, we were able to distinctly mimic processes of the initial fracture phase and 44 45 demonstrated the importance of including the crosstalk between bone and immune cells. 46

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48 Key words: bone; fracture; fracture hematoma; immune cells; *in vitro* model

49 Introduction

Bone is an essential part of the musculoskeletal system shaping the body and enabling 50 51 locomotion and stability. Bone pathologies such as osteoporosis or disturbed fracture healing 52 lead to pain, immobility, inflexibility, considerable loss of life quality and even mental illnesses¹. 53 Traumatic events can result in bone fracturing accompanied by vessel ruptures and the 54 opening of the bone marrow channel. The pivotal event in the initial phase of fracture healing is the formation of the fracture hematoma, which mainly consists of immune and progenitor 55 56 cells^{2,3}. Negative influences on the initial phase by medications or comorbidities such as diabetes, rheumatoid arthritis or immunosuppression can lead to disturbed fracture healing 57 occurring in approximately 10% of patients with fractures^{4,5}. Recent treatment strategies have 58 59 achieved high technology standards with regard to fixation systems such as plates or implants, 60 regenerative approaches using autologous bone graft (gold-standard) or the additional application of stem cells and/or growth factors⁶. Therefore, preclinical studies are highly 61 needed to tackle the unmet clinical need, especially with respect to an aging population and 62 63 the increase of comorbidities.

64 The surgical removal of the fracture hematoma results in a prolonged healing process, 65 while transplantation in an ectopic location elevates bone formation⁷. Formation of the fracture 66 hematoma and the constricted interplay of pro- and anti-inflammatory processes are 67 considered as the starting point of bone regeneration⁸⁻¹⁰. Since the bone marrow cavity is 68 opened during the fracture, the bone marrow acts as a resource for chondro- and osteo-69 progenitor cells such as mesenchymal stromal cells (MSCs). Therefore, it can be hypothesized 70 that osteogenic induction within the fracture gap is directly induced and controlled by signals 71 from bone components in the vicinity of the fracture gap^{11,12}. Hence, the crosstalk between 72 immune cells from peripheral blood (after vessel rupture) and the bone marrow, and osteo-73 progenitor cells is essential and needs to be considered in preclinical studies¹³. The today's 74 gold-standard of preclinical drug, compound screening and risk assessment is the use of 75 animal models - mainly rodents (mice and rats) - which is in accordance with most national 76 legal requirements. Nevertheless, trans-species differences may be responsible for the limited transferability of findings to the human patient^{14,15}. Mimicking the *in-patient* situation in 77 78 preclinical studies is highly encouraged and evading cross-species differences by novel in vitro 79 approaches is of great interest. During the past decades, conventional in vitro cell culture 80 systems have been revised and improved to provide more physiological and human-relevant 81 features. This development was mainly driven by the triumph of regenerative medicine relying 82 on improvements in tissue engineering to produce e.g. huge batches of primary cells, 3D 83 nature-resembling artificial tissues or biocompatible biomaterials. Furthermore, the rapid technical evolution allocating sophisticated biomaterials, bioreactors and microfluidic platforms 84

85 allows the development of innovative human-relevant *in vitro* systems as alternative or 86 predictive support to animal testing¹⁶.

Current in vitro systems focus on mimicking bone development, endochondral 87 88 ossification or the bone homoeostasis itself by using spheroids, scaffold-based or scaffold-free 89 model systems. Common cell sources are either primary bone-related cells such as osteoblasts, osteocytes, osteoclasts or MSCs as progenitor cells^{16,17}. To mimic fracture 90 91 healing, models mainly focus on later stages of the regeneration processes particularly 92 endochondral ossification or remodeling. We have previously described the development of a 93 fracture hematoma model consisting of human whole blood and a certain amount of human 94 (h)MSCs¹⁸. However, to study the initiated processes in an interconnected manner and more 95 adequate experimental setting, the combination of the bone components with the fracture 96 hematoma (immune component) remains elusive.

97 Within our study, we have developed and characterized scaffold-free bone-like 98 constructs (SFBCs) based on mesenchymal condensation which exceeded the dimensions of 99 spheroids. These SFBCs were co-cultivated with *in vitro* fracture hematoma (FH) models to (i) 100 confirm the capability of the SFBCs to act as an osteogenic inducer, (ii) to mimic the initial 101 phase of fracture healing with adequate culture conditions and (iii) to use the system as a 102 platform to test potential therapeutics (DFO - deferoxamine).

103 Results

104 SFBCs are characterized by permeating mineralization

105 MSCs are well-known for their pronounced osteogenic capacity, especially when cultivated in 106 *vitro*^{17,19}. However, in a first step, we wanted to know if it is possible to employ mesenchymal 107 condensation as a macroscale approach with consistent 3D self-organization and permeating 108 mineralization. Thus, SFBCs were generated by hMSC condensation and treatment with 109 osteogenic medium until analysis at week 12 (Fig 1a). Macroscopic observation indicated 110 comparable generation of SFBCs from different hMSC donors with a diameter of approx. 1 cm 111 and a thickness of 0.5 cm (Fig. 1b). To verify the mineralization, in vitro computed micro-112 tomography (µCT) was performed, showing a consistently high mineralization in the outer area 113 which was slightly reduced towards the center (Fig. 1c). 3D reconstruction yielded the 114 presence of mineralized tissue as indicated by parameters such as bone volume (BV; mean = 115 5.1 \pm 3.7 mm³) and bone surface (BS; mean = 276.1 \pm 195.4 mm²) (**Fig. 1d**). To quantify the 116 connectedness of the mineralized areas, we additionally examined the trabecular pattern factor 117 (TBPf). This parameter was originally invented to evaluate trabecular bone. Although no 118 osteoclasts were present in the SFBCs, thus the formation of clear trabeculae was not 119 expected, we use this parameter to distinguish between concave (= connected) and convex (= 120 isolated) structures. Low or even negative values represent hereby high connected tissue

121 which was found in at least 4 (TBPf < 2) out of 9 SFBCs (**Fig. 1d**). To evaluate the structural 122 morphology of the SFBCs in further detail, we used scanning electron microscopy (SEM) and 123 found similar morphology when compared to human native bone (**Fig. 1e, f**). In detail, the top 124 view shows strong matrix formation and a closed superficial layer with certain, isolated crystal-125 like depositions while the cut face revealed a layer-like structure.

126 To get a more detailed overview on the matrix composition, assorted histological and 127 immunohistochemical stainings were applied. Cells were homogenously distributed within the 128 SFBCs as indicated by hematoxylin and eosin (H&E) staining while Alizarin Red S and von 129 Kossa staining confirmed the deposition of calcium and phosphate, respectively throughout 130 the tissue (Fig. 2a). Interestingly, SFBCs which showed a higher amount of negative stained 131 area, showed a more pronounced layer-like structure while the layers itself were strongly 132 mineralized at the borders (Fig. 2a: lower row). Negative controls were cultivated without 133 osteogenic medium (Fig. 2b). In addition, we observed the expression of *collagen type I* (Col 134 I) and *alkaline phosphatase* (ALP), which are typical markers for osteogenic processes, while 135 no Col II, a typical marker of chondrogenesis, was found (Fig. 2c).



136

137Figure 1: Characterization of the SFBCs with respect to mineralization and structure. (a) Study design; (b)138Exemplary images of SFBCs. Scale bar indicates 1 cm. (c) 3D reconstruction of μ CT. Exemplary images of n= 9.139(d) Quantitative results from μ CT analysis. Data are shown as mean ± SD. n= 9. (e) Structural/morphological140examination of the SFBCs in comparison to (f) native bone using scanning electron microscopy. Exemplary images141of n= 3 SFBCs and n= 2 native human bone pieces. Scale bars are indicated in the images. Arrows mark isolated142crystal-like depositions.



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Figure 2: Histological and immunohistochemical examination of the SFBCs. (a) Exemplary images of H&E,
 Alizarin red staining and von Kossa stains. Upper row is exemplary for fully calcified SFBCs and lower row for less
 fully calcified SFBCs. n= 9. (b) Negative control for Alizarin red and von Kossa staining. (d) Immunohistochemical
 stainings for Col I, Col II and ALP. All scale bars indicate 200 μm. All images are exemplary for n=9.

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150 SFBCs show profound expression of bone-specific markers

151 In order to confirm the previous findings on a molecular level, immunofluorescence staining for 152 osteopontin (OPN) and osteocalcin (OC), two non-collagenous bone matrix proteins were 153 analyzed and yielded the evenly distributed, distinct protein expression (Fig. 3a, Fig. 3b). 154 While OPN is mainly produced by immature osteoblasts, OC is a marker of late stage 155 osteoblasts indicating the presence of different cell states within the SFBCs. mRNA expression 156 analysis showed high levels of osteogenic marker genes such as secreted phosphoprotein 1 157 (SPP1) and distal-less homeobox 5 (DLX5; Fig. 3). SPP1 was significantly higher expressed 158 (10-fold), while DLX5 was higher expressed by trend (8-fold) when compared to monolayer 159 MSCs. In contrast the early osteogenic transcription factor runt-related transcription factor 2

- 160 (RUNX2) and the chondrogenic marker SRY-box transcription factor 9 (SOX9) were expressed
- 161 on a more basal level. Interestingly, *receptor activator of NF-κB ligand* (*RANKL*) was highly
- 162 expressed (**Fig. 3c**). Moreover, *vascular endothelial growth factor* (*VEGFA*) was significantly
- higher expressed (10-fold), although other hypoxia-inducible factor (HIF1) target genes such
- 164 as phosphoglycerate kinase 1 (PGK1), lactate dehydrogenase A (LDHA), endothelial PAS
- 165 *domain-containing protein 1 (EPAS)* and *HIF1* were comparably or lower (*LDHA*) expressed
- 166 as in monolayer MSCs (**Fig. 3d**).



167 168 Figure 3: Immunofluorescence and mRNA expression analysis of SFBCs. (a-b) Immunofluorescence staining 169 of osteocalcin (OC, a) and osteopontin (OPN, b). White asterisks highlight cells with high OPN production. To reveal 170 the nuclei of present cells, all slides were counter-stained with DAPI. All scale bars indicate 200 um. Images are 171 exemplary for n=3. (c) gPCR results of mature osteogenic markers (SPP1, DLX5) (n=8-10), markers indicative for 172 osteoprogenitors (RUNX2, SOX9), and (d) metabolic marker VEGFA is highly expressed while other metabolic 173 markers (PGK1, LDHA, HIF1, EPAS) remain at a basal level (n=10). Data are shown as mean ± SEM. For statistical 174 analysis the results were compared to the expression level of monolayer hMSC and the Wilcoxon signed rank test 175 was used (Table S1); **p*<0.05, ***p*<0.01.

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177 Co-cultivation indicates biological functionality of SFBCs

To confirm the functional activity of the SFBCs and to mimic the initial phase of fracture healing in an adequate experimental setting, SFBCs were directly co-cultivated with FH models under hypoxic conditions (5% CO_2 , ~ 1% O_2) for 48h, since the initial phase of fracture healing in humans takes place within the first 72h² (**Fig. 4a**).

Although we have not fixed both models to each other by any technical measures, we observed a close contact of the FH with the corresponding SFBC allowing direct cell-cellcontact and crosstalk between the models. After co-cultivation for 48h, H&E staining revealed the typical cell morphology with no obvious alterations within the SFBCs, while the cells in the FH seemed to be evenly distributed. The calcification throughout the SFBC was reconfirmed

via von Kossa staining with no obvious calcification in the border areas of the FH (Fig. 4b). 187 188 We have previously shown that most interesting observations in our in vitro FH were visible 189 between 12-48h with respect to immune cell survival and activity¹⁸. Thus, in a first experiment, 190 mRNA expression in the FH and the SFBC was analyzed after 12 and 48h and normalized to 191 the mean expression at 0h (Fig. S1 and S2, respectively). In addition, we compared the 192 cultivation with normal medium (NM) and osteogenic medium (OM, control) to analyze the 193 effect of the additional osteogenic impact on the co-culture system. In short, analysis of the 194 mRNA-expression in the FH indicated a time-consistent expression of almost all genes with 195 only slight differences between NM and OM (Fig. S1). The main differences were observed 196 for matrix metalloproteinase (MMP2), significantly higher expressed at 48h when cultivated 197 with OM. The inflammatory markers interleukin 6 (IL6) and IL8 were slightly lower expressed 198 after 12h, although gene expression was upregulated in NM and OM after 48h (Fig. S1). Within 199 the SFBCs, SPP1 was elevated after 12h of incubation with NM and was marginally lower 200 expressed in NM and higher expressed in OM at 48h compared to 0h. MMP2, VEGFA and IL8 201 were highly expressed at both time points with no differences between the cultivation medium. 202 (Fig. S2).

203 Based on this data, further expression analysis and experiments were conducted after 204 48h of co-cultivation. To verify the osteoinductive potential and biological functionality of the 205 SFBC, we compared the results from the co-cultivated FH model with a FH only control group 206 (treated with OM under hypoxic conditions). The normalization of the data to the starting point 207 (0h) and the FH control revealed a substantial higher expression of *MMP2*, *VEGF*, *PGK1* and 208 IL6 in both groups (NM and OM) when compared to the FH control (Fig. 4c, d). While RUNX2 209 and IL8 were higher expressed in the NM group compared to the OM and FH control group 210 SPP1 was lower expressed in both groups and LDHA only in the NM group. Based on these 211 findings, we concluded that the SFBCs show a comparable osteoinductive capacity as the OM 212 medium and biological functionality (**Fig. 4c, d**).

Regarding the protein release, we confirmed the secretion of the pro-inflammatory IL-6 and the pro-inflammatory/-angiogenic IL-8. The pro-inflammatory granulocyte/macrophage stimulating factor (GM-CSF) and the macrophage inflammatory protein MIP were released (**Fig. 5**).



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Figure 4: Co-cultivation of SFBCs and *in vitro* FH. (a) Experimental setup for the co-cultivation study. (b) Exemplary images of H&E (1, 2) and von Kossa staining (3, 4). Images in the right row (2, 4) are magnifications. Scale bars show 10 and 5 μ m. (c, d) qPCR results of the FH model of the co-cultivation system after 48h cocultivation under hypoxic conditions. Data is normalized to *EF1A*, 0h and the FH only control group cultured without SFBC in OM under hypoxic conditions (median). Data are presented as mean ± SEM (n= 3). Statistical significance was determined using the Wilcoxon signed rank test (matched-pairs and unpaired to hypothetical value = 1; Table S2 - S5).



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Figure 5: Analysis of the supernatant after co-cultivation. Supernatants were collected after 24h and 48h and
 analyzed via Multiplex assay. Statistical significance was determined using the Kruskal Wallis test with Dunn's
 multiple comparisons test (Table S4). Data is shown as mean ± SD.

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230 DFO treatment intensifies pro-inflammatory processes

231 For evaluation of the model suitability as a platform to test potential therapeutics, we 232 supplemented 250 µM DFO for 48h and analyzed the changes in mRNA expression and 233 protein release. DFO is an iron chelator which inhibits prolylhydroxylases to chemically 234 stabilizes HIF and is a well-known osteo-inductive substance²⁰. After co-cultivation for 48h in NM, we found that DFO triggered the expression of osteogenic, angiogenic and hypoxia-235 236 related genes in the *in vitro* FH with barely any effect on the SFBCs (Fig. 6a, b). In detail, the 237 expression of the osteogenic marker SPP1 in the FH was higher expressed compared to the 238 untreated control, while DFO had barely any effect on the expression of RUNX2. The 239 inflammatory markers MMP2 and IL6 were additionally elevated while the pro-angiogenic 240 factor VEGFA was highly expressed under the influence of DFO compared to the untreated 241 control group, while LDHA was also elevated compared to the untreated control (Fig. 6a). 242 These results confirm the biological activation capacity of DFO and the possibility to monitor 243 these effects within a short time period. Referring to the mRNA-expression within the SFBCs, 244 we observed a pattern very similar to untreated conditions, which were expected due to the 245 short treatment period (Fig. 6b). However, when analyzing the samples treated with OM, we 246 did not see any on the gene expression compared to the untreated control leading to the 247 assumption that the SFBC as natural trigger is more favorable than providing another more 248 artificial (OM) medium (Fig. 6c, d). Finally, the protein release of IL-6 and IL-8 was highly 249 induced after 24h NM and DFO treatment (Fig. 6e).

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Figure 6: Co-cultivation of SFBCs and *in vitro* FH with supplementation of DFO. (a) qPCR results of the FH or the (b) SFBCs after 48h co-cultivation with supplementation of NM and 250 μ M DFO. Data are normalized to *EF1A* and the untreated control and presented as mean \pm SEM (n= 3). Statistical significance was determined using Wilcoxon signed rank test (Table S5, S6). (c) qPCR results of the FH or the (d) SFBCs after 48h co-cultivation with supplementation of OM and 250 μ M DFO. Data are normalized to *EF1A* and the untreated control and presented as mean \pm SEM (n= 3). Statistical significance was determined using Wilcoxon signed rank test (Table S7, S8). (e) Supernatants were collected after 24h and 48h and analyzed via Multiplex assay. Statistical significance was determined using Kruskal Wallis test with Dunn's multiple comparisons test (Table S9). Data is shown as mean \pm SD.

266

267 Discussion

268 Our data show that the presented 3D *in vitro* fracture gap model is able to distinctly recapitulate 269 key features of the initial phase of fracture healing. Therefore, we first developed and 270 characterized SFBCs based on mesenchymal condensation, which were subsequently co-271 cultivated with FH models.

Improved tissue engineering approaches employ mesenchymal condensation as natural form of 3D self-assembly or self-organization consisting exclusively of the cells and their own produced extracellular matrix (ECM)²¹. MSC from the bone marrow, but also the adipose tissue as well as primary cells (osteoblasts) or induced pluripotent stem cells have been used depending on the availability and phenotype stability. It has been described that bone marrow-derived MSCs tend to rather mineralize than undergo chondrogenesis after mesenchymal condensation^{17,19}. Several different techniques are exerted such as the use of
 low attachment plates to induce spontaneous MSC aggregation, membrane-based
 aggregation (e.g. chitosan) or forced aggregation (via centrifugation)²².

281 The applied technique patented by Ponomarev et al.²³ exploits the capacity of MSC to 282 undergo mesenchymal condensation on a macroscale to produce macro-tissues in a highly 283 reproducible standardized manner without causing necrosis formation in the center²⁴. The 284 advantage of such macroscale approaches is the physiologically relevant size, geometry, low 285 cell number and density compared to the matrix and mechanical properties when compared to 286 e.g. spheroids²⁴. However, the generation and examination of these constructs are time-287 consuming and require high numbers of cells limiting the throughput of the system but enabling 288 the performance of several analyses from one model. Here, we reported the bone-like structure 289 and ongoing calcification/mineralization of the SFBCs that were verified by *in vitro* µCt (Fig. 1) 290 and histology/immunohistochemistry, showing pronounced expression of ALP and Col I in the 291 absence of Col II (Fig. 2). These findings are comparable to other studies using MSC 292 aggregates analyzed after 1 to 3 weeks^{25,26}. The morphology and structure of the SFBCs 293 resemble immature woven bone with regard to the body structure. To identify bone-294 representative cell types, we applied immunofluorescence staining. Since osteoblasts and 295 osteocytes are the characteristic cells in native bone, we stained for OPN as well as OC. OPN 296 - a glycol-phosphoprotein²⁷ - is mainly expressed in immature osteoblasts and OC can be 297 found in late stage osteoblasts currently transforming to osteocytes. Thus, we have 298 heterogenic differentiation and maturation states within the SFBCs, indicating a heterogeneous 299 cell population in functional balance. With respect to the mRNA expression, we observed 300 augmented expression of osteogenic relevant markers such as SPP1, DLX5 and VEGFA (Fig. 301 3). SPP1, the coding gene for OPN, is hereby the most distinct upregulated gene, coherent 302 with the expression of OPN within the immunofluorescence staining. Muraglie et al. observed 303 comparable trends including a two to eightfold increase in OC and OPN expression in MSC 304 aggregates cultivated in low attachment plates²⁸. Since RUNX2 is an early upstream 305 transcription factor, high expression on mRNA-level is expected 3 to 7 days after osteogenic 306 induction²⁶. After three weeks, the process of ossification and cellular differentiation towards 307 the osteogenic lineage is already in an advanced state^{29,30}. VEGFA is an essential coordinator, 308 not only of angiogenetic processes and important for fracture healing, but also in the process 309 of endochondral ossification³¹ and is known to enhance osteogenic differentiation *in vitro*³². 310 Interestingly, other HIF1 target genes such as PGK1, EPAS and HIF1 were comparably 311 expressed as in monolayer MSCs, also LDHA was downregulated (Fig. 3e). Since the SFBCs 312 were not cultivated under hypoxic conditions, the increased expression of VEGFA might result 313 from an alternative pathway, e.g. induced by transforming growth factor beta 1 (TGF- β 1)³³. 314 DLX5, an important transcription factor in osteogenesis and bone development³⁴, is also highly

expressed within the SFBCs, also indicating an intense ossification process. RANKL is also
expressed in mature osteoblasts, differentiating into osteocytes and also regulates
osteoblastogenesis indicating the presence of late-stage osteoblasts³⁵.

318 After characterizing their bone-like quantities, we co-cultivated the SFBCs with 319 in vitro FHs in order to evaluate the capability of the SFBCs to act as an osteogenic inducer 320 and to recapitulate key features of the initial phase of fracture healing closely to the in vivo 321 situation. Previously, we developed an *in vitro* FH model, incubated in osteogenic induction 322 medium, which closely reflects the *in vivo* situation^{18,36}. One of the main findings was the 323 importance of hypoxia. Therefore, we included hypoxic conditions in our co-cultivation setup. 324 The co-cultivation of the in vitro FH and SFBCs in NM for up to 48h under hypoxic conditions 325 revealed significant initiation of ongoing cellular processes (mRNA-level) for adaptation to 326 hypoxia and osteogenic induction within the FH (Fig. S1, S2). These findings are in accordance 327 with results from an ex vivo study and an in vitro FH model conducted in our group (Table **1**)^{18,37}. 328

Table 1: Gene expression data from an *ex vivo* study using primary human fracture hematomas obtained between
48 and 72 h after trauma (n=40), the *in vitro* human FH model (n=12, 48 h incubation under hypoxia and the FHs
of the *in vitro* fracture gap model (n=3, 48 h incubation under hypoxia in normal medium).

Gene symbol	<i>ex vivo</i> FHs (< 72 h)	<i>in vitro</i> human FHs (48 h hypoxia)	<i>in vitro</i> fracture gap model (48 h hypoxia)
RUNX2	↑	↑ **	↑
SPP1	↑*	↑****	↑
VEGFA	^*	↑***	↑
IL8	↑***	↑**	↑
IL6	↑***	\uparrow	↑
LDHA	↑**	↑***	↑
MMP2	n.a.	↑*	↑

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333 Based on the comparative analysis with an FH control, we concluded that the SFBCs show a 334 comparable osteoinductive capacity as the OM medium and biological functionality indicated 335 by e.g. VEGF and MMP2 (Fig. 4). Interestingly, SPP1 was noticeably lower expressed in the 336 FH when co-cultivated with SFBCs compared to the FH control, which could be explained by 337 either the higher amount of OPN provided by the SFBC (Fig. 3) or the considerable high 338 expression/concentration of IL-6 (Fig. 4 and 5), as previously reported³⁸. The level of pro-339 inflammatory cytokines (e.g. IL-6 and IL-8) was abundant, which has been also observed in ex *vivo* samples from patients (**Fig. 5**)^{2,5,37}. The microenvironment of the fracture hematoma is 340 341 described by hypoxia, high lactate and low pH due to the disruption of vessels, cell death of 342 e.g. erythrocytes and the lack of nutrients. This cytotoxic environment needs to be counter-343 regulated to allow the invasion of regenerative cells. Therefore, we included whole human

blood instead of isolated peripheral blood mononuclear cells (PBMCs). At the initial stage, the microenvironment in the fracture hematoma is acidotic and switched to neutral and slightly alkaline during the regeneration process³⁹ which can be triggered by hypoxia. Interestingly, upon co-cultivation *LDHA* was highly upregulated in the FH model (**Fig. S1**).

348 Furthermore, the effect of certain immune cells during the initial phase of fracture 349 healing has been studied in detail during the last decade. Lymphocytes play a crucial role as 350 shown in RAG1(-/-) mice supposing a detrimental effect of adaptive immune cells⁴⁰. A negative 351 impact was reported for the presence of CD8⁺ cytotoxic T-cells in humans and mice⁴¹, while 352 CD4⁺ cells have been shown to enhance osteogenic differentiation in vitro and upregulated 353 osteogenic markers e.g. RUNX2 or OC⁴². This clearly indicates the need to combine bone and 354 immune cells in in vitro approaches to recapitulate the crosstalk, environment and key features 355 of the in vivo situation.

With respect to the DFO treatment, we found an upregulation of *HIF*-target genes (*VEGFA* and *LDHA*) indicating the effectivity of DFO to stabilize *HIF* (**Fig. 6**). In addition, proinflammatory processes were more pronounced, which is in accordance with current findings in a mouse-osteotomy-model revealing the activation of e.g. *C-X-C motif chemokine ligand* 3 (*Cxcl3*) or *metallothionein 3* (*Mt3*) expression at day 3 after application of DFO in the fracture gap²⁰. We did not expect a strong upregulation of osteogenic markers or changes in the SFBCs, which normally require longer treatment periods^{29,43}.

363 Nevertheless, there are many ways to foster the current approach. In order to improve 364 the current protocol to generate SFBC, different approaches such as the addition of specific 365 growth factors, e.g. BMPs, fibroblast growth factors (FGFs) or VEGFs, enhancing the 366 differentiation and bone formation in vitro can be considered to fasten up the generation period 367 in the future. Furthermore, bioreactors can be used to approximate the environment to the 368 actual in vivo situation by dynamic culturing and restrained environment, while overcoming the lack of nutrient transfer and combining cells with scaffolds⁴⁴. Bioreactors also provide the 369 370 possibility to withdraw toxic and cell apoptotic signals perhaps allowing a longer cultivation 371 period of the fracture gap model.

Taken together, within our 3D *in vitro* fracture gap model, we have been able to distinctly mimic key features of the initial phase of fracture healing which can be used i) to study potential underlying mechanism of fracture healing disorders, especially with respect to immunologically restricted patients^{4,5}, requiring the crosstalk between immune cells and bone and ii) as a prediction tool for potential new therapeutic strategies actively implementing the 377 3R principle.

379 Material & Methods

380 Bone marrow derived MSC isolation, cultivation and characterization

381 Human mesenchymal stromal cells (hMSC) were isolated from bone marrow of patients 382 undergoing total hip replacement (registered and distributed by the "Tissue Harvesting" Core 383 Facility of the Berlin Institute of Health Center for Regenerative Therapies (BCRT); donor list 384 in Table 2). All protocols were approved by the Charité-Universitätsmedizin Ethics Committee 385 and performed according to the Helsinki Declaration (ethical approval EA1/012/13). MSC 386 isolation was performed as described in detail before^{18,45}. Briefly, bone marrow was transferred 387 cell culture flask, covered with normal expansion medium (NM) containing DMEM+GlutaMAX 388 (Gibco), 10 (v/v) % FCS (Biowest), 1 (v/v) % Penicillin/Streptomycin (Gibco), 20 (v/v) % 389 StemMACS MSC Expansion Media XF (Miltenyi Biotech) and incubated at 37°C in 5% CO₂ 390 atmosphere (app. 18% O2). Medium was changed after 3-4 days when cells became adherent. 391 Isolated cells were expanded in at 37 °C, 5% CO₂. Medium exchange was performed weekly 392 and passaging with Trypsin-EDTA (Gibco) was conducted at a cellular confluency of 80-90%. 393 For characterization, MSCs were evaluated at passage 3 for their differentiation potential 394 (osteogenic, adipogenic) and the presence and absence of specific cell surface markers (MSC Phenotyping Kit, Miltenyi Biotech) as described in detail before^{18,45}. Human EDTA- blood was 395 collected from healthy donors with written consent¹⁸. 396

Table 2: hMSC and blood donor information and conducted experimen	nts
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Donor	Age	Sex	Type of experiments	Used methods
MSC 1	71	m		
MSC 2	77	m		µCt, gene expression analysis, histology, immunofluorescence
MSC 3	69	m		
MSC 4	69	w		
MSC 5	81	w	Characterization of SFBCs	
MSC 6	49	w		µCt, gene expression analysis,
MSC 7	59	m		histology
MSC 8	80	w		
MSC 9	51	m		
MSC 10	75	m	Characterization of SFBCs, Co-cultivation experiments	μCt, gene expression analysis, histology, gene expression analysis, DFO treatment studies
MSC 11	68	w		
MSC 12	56	m		
Blood 1	37	М	Co-cultivation experiments	Gene expression analysis, DFO treatment studies
Blood 2	26	М		
Blood 3	38	М		

399 Fabrication of the 3D bone-like scaffold-free constructs (SFBCs)

400 SFBCs were produced based on a patented protocol (patent no.: EP1550716B1)⁴⁶ which was 401 modified by applying osteogenic medium to induce osteogenic differentiation after 1 week and 402 no application of biomechanical loading to avoid matrix destruction due to mineral formation. 403 The osteogenic medium for generation and maturation contained DMEM/F-12, 10% (v/v) FCS, 404 1% (v/v) streptomycin/penicillin, 10 mM β-glycerophosphate and 10 nM dexamethasone 405 (Sigma Aldrich). Approx. 10-20 x 10⁵ hMSC/cm² were cultivated in expansion medium until 406 reaching confluency and forming a monolayer cell sheet. These cell sheets were detached and 407 centrifuged at 350 g for 15 min at RT. Afterwards, the resulting cell aggregates were cultivated 408 for up to one week with medium exchange every day. Cell aggregates were then transferred 409 to a multi-well plate and cultured for up 12 weeks until performing characterization or co-410 cultivation with the FH model.

411 Generation of FH and co-cultivation – The fracture gap model

412 The FH models were generated as described previously ¹⁸. In brief, per FH 2.5 x 10⁵ hMSCs 413 per well were centrifuged for 3 min at 300 g in a 96-well plate (U-bottom). Afterwards, the cell 414 pellet was resuspended in 100 µL of EDTA-blood and subsequently mixed with a 10 mM CaCl₂ 415 solution (solved in PBS). After an incubation time of 30 min at 37 °C, 5% CO₂ the FH were 416 placed on a SFBC with direct contact and transferred into either NM or osteogenic medium 417 (OM) containing NM supplemented with 10 mM β-glycerophosphate and 0.1 mM L-ascorbic 418 acid-2-phosphate. 419 For the treatment study, 250 µM DFO (Sigma Aldrich) was supplemented to the medium. The

- 420 generated fracture gap models were incubated under hypoxic (37 °C, 5% CO₂ and ~ 1% O₂ -
- 421 flushed with N₂) conditions in a humidified atmosphere for up to 48h.

422 In vitro micro computed tomography (µCT)

423 SFBCs were scanned at a nominal resolution of 8 µm, with a SkyScan 1172 high-resolution 424 microCT (Bruker). X-ray tube voltage was set at 80 kV, 124 µA with maximized power of 10W 425 and a 0.5 mm aluminum filter was employed to reduce beam hardening effects. The scan orbit 426 was 360 degrees with a rotation step of 0.2 degree. For reconstruction the Bruker NRecon 427 software accelerated by GPU was used and Gaussian smoothing, ring artifact reduction, 428 misalignment compensation, and beam hardening correction were applied. XY alignment was 429 corrected with a reference scan to determine the thermal shift during scan time. The CTAn 430 software (Bruker) was used to analyze the total VOI of SFBCs. The threshold for bony tissue 431 was set globally (determined by the Otsu method) and kept constant for all SFBCs. For 432 analysis we used the bone volume (BV), the bone surface (BS) and the trabecular pattern 433 factor (TBPf)⁴⁷ as measured and calculated by the software.

434 Scanning electron microscopy (SEM)

Samples were fixated with 2.5 (v/v) % glutaraldehyde (fixation for 10 min), dehydrated with increasing alcohol concentration 30 (v/v) % - 100 (v/v) % (5 steps) and incubation with 100% hexamethyldisilazane (all Sigma Aldrich). Subsequently, the samples were transferred to a sample holder and gold coating was performed with a fine gold coater JFC-1200 (JEOL). For electron microscopy, the JCM-6000 Plus NeoScope (JEOL) was used for imaging, high vacuum was adjusted.

441 Histological stainings

- The embedding and slice preparation of the SFBCs was conducted according to the Kawamoto *et al.* ⁴⁸ method to prepare slices of undecalcified bones. In detail, the SFBCs were fixated for 6h in a 4 (v/v) % paraformaldehyde solution (PFA; Carl Roth) followed by an ascending sucrose solution treatment (10 (w/v) %, 20 (w/v) % and 30 (w/v) %) for 24h, respectively and afterwards cryo-embedded with SCEM medium (Sectionlab). Slices were produced with a cryotom using cryofilms (Sectionlab) and afterwards air dried for 20 min and fixated with 4 (v/v) % PFA prior to every histological or immunhistological staining on a microscope slide.
- 449 H&E staining was performed as described previously²⁴. In short, slices were fixed with 4 (v/v) 450 % PFA (10 min), washed with distilled water and stained with Harris's hematoxylin solution 451 (Merck Millipore). Staining was followed by several washing steps, a differentiation step (0.25 452 (v/v) % concentrated HCl) and a second staining step in 0.2 (w/v) % eosin (Chroma Waldeck). 453 Staining was finished by differentiation in 96 (v/v) % and 100 (v/v) % ethanol, fixation with xylol 454 and covering with Vitro-Clud (R. Langenbrinck GmbH).
- Alizarin Red S staining was conducted by applying slices after fixation and washing to the 2 (w/v) % Alizarin Red S staining solution (Sigma Aldrich; pH = 4.1-4.3) for 10 min. Afterwards slices were washed in distilled water and differentiation was performed in 0.1 (v/v) % HCL solved in ethanol and fixed by washing two times with 100% ethanol before xylol fixation and covering.
- 460 Von Kossa staining was conducted according to the following protocol: air drying, fixation and 461 washing as described above, 3% (w/v) silver nitrate solution (10 min), washing step with 462 distilled water, sodium carbonate formaldehyde solution (2 min), washing step with tap water, 463 5% (w/v) sodium thiosulphate solution (5 min), washing step with tap water and distilled water, 464 ascending ethanol series (70 (v/v) % - 100 (v/v) %), fixation in xylol and covering.
- For Col I, II and ALP staining, slices were rehydrated with phosphate buffered saline (PBS) treated with 3 (v/v) % H_2O_2 (30 min), washed with PBS, blocked with 5% normal horse or goat serum (Vector Laboratories) in 2 (w/v) % bovine serum albumin (BSA), and incubated overnight with primary antibodies at 4 °C (Col I antibody: ab6308, 1:500, Abcam; Col II antibody, 1:10, Quartett Immunodiagnostika; ALP antibody, ab95462, Abcam). Afterwards,

470 slices were washed and treated with 2 (v/v) % secondary antibody (biotinylated horse anti-471 mouse IgG antibody - Col I and Col II; biotinylated goat anti-rabbit IgG antibody - ALP, Vector 472 Laboratories) diluted in 2 (v/v) % normal horse/goat serum/2 (v/v) % BSA/PBS (30 min). 473 washed with PBS, incubated with avidin-biotin complex (Vectastain Elite ABC HRP Kit, Vector 474 Laboratories) (50 min), washed with PBS, incubated with DAB under microscopic control with 475 time measurement (DAB peroxidase (HRP) Substrate Kit, Vector Laboratories) and stopped 476 with PBS. For counterstaining slices were washed with distilled water and stained with Mayer's 477 hematoxylin (Sigma Aldrich), washed with tap water and covered with Aguatex (Merck 478 Millipore). Pictures were taken with the Axioskop 40 optical microscope (Zeiss) using the 479 corresponding AxioVision microscopy software. Von Kossa staining was quantified using 480 ImageJ and the threshold tool to mark positive (black) and negative (brown) stained areas.

481 *Immunofluorescence*

482 For the immunofluorescence staining, the slides were rehydrated with PBS and blocked with 483 PBS with 5 (v/v) % FCS for 30 min at RT. Primary osteopontin antibody (mouse anti-human, 484 Abcam) or osteocalcin (rabbit anti-human, Abcam) was diluted 1:50 in PBS/5 (v/v) % FCS/0.1 485 (v/v) % Tween 20 and incubated for 2h. After washing with PBS/0.1% Tween 20, the secondary 486 antibody (donkey anti-goat A568; Life Technologies/Thermo Scientific) was diluted 1:500 in 487 PBS/5 (v/v) % FCS/0.1 (v/v) % Tween 20 and applied for 1h. Pictures were taken with a 488 Keyence fluorescence microscope BZ 9000 (Keyence) using the DAPI, TexasRed and Cy5 489 channels.

490 RNA isolation and quantitative PCR (qPCR)

SFBCs were transferred to RLT-buffer (Qiagen, Germany) with 1% 2-Mercaptoethanol (Serva)
and disrupted using the Qiagen Tissue Ruptor (Qiagen). Total RNA was extracted using the
RNeasy Fibrous Tissue Mini Kit (Qiagen) according to the manufacturers' instructions and the
RNA concentration was determined using the Nanodrop ND-1000 (Peqlab). RNA was stored
at -80 °C until further processing. The same RNA isolation method was preceded for the
SFBCs after co-cultivation.

- 497 After co-cultivation, the cells of the FH were filtered through a cell strainer (Corning). After 498 centrifugation for 10 min at 300 *g*, the cell pellet was resuspended in 350 μ L RLT buffer with 499 3.5 μ L 2-Mercaptoethanol and total RNA was extracted using the Rneasy Fibrous Tissue Mini 500 Kit according to the manufacturers' instructions.
- 501 The cDNA for both the FH and the SFBCs was synthesized by reverse transcription using 502 TaqMan Reverse Transcription Reagents (Applied Biosystems). qPCR was performed using 503 the DyNAmo Flash SYBR Green qPCR Kit (Thermo Fisher) and the Stratagene Mx3000P 504 (Agilent Technologies). Initial denaturation was for 7 min at 98 °C. Afterwards 50 cycles with 5 505 sec at 98 °C, 7 sec at 56 °C and 9 sec at 72 °C were performed. The melting curve was

analyzed through stepwise increasing the temperature from 50 °C to 98 °C every 30 sec. All
primers were purchased from TIB Molbiol (**Table S10**). For the gene expression of the SFBCs,

- 508 data were normalized to the expression of *eukaryotic translation elongation factor 1 alpha 1*
- 509 *(EF1A)* and to the corresponding MSC culture in 2D, using the delta-delta-Ct-method. For the
- 510 gene expression in the fracture gap model, data were normalized to the expression of *EF1A* 511 using the deltaCt method and 0h using the deltadeltaCt method.

512 *Cytokine and chemokine quantification in supernatants*

513 Supernatants were immediately stored at -80 °C after 48h co-cultivation. The concentration 514 [pg/mL] of cytokines and chemokines was determined using multiplex suspension assay (Bio-515 Rad Laboratories) following the manufacturers' instructions. Following cytokines and 516 chemokines (lower detection limit) were measured: IL-1β (7.55 pg/mL), IL-2 (18.99 pg/mL), IL-517 4 (4.13 pg/mL), IL-5 (20.29 pg/mL), IL-6 (25.94 pg/mL), IL-7 (16.05 pg/mL), IL-8 (37.9 pg/mL), 518 IL-10 (37.9 pg/mL), IL-13 (7.21 pg/mL), IL-17 (24.44 pg/mL), interferon-gamma (IFNy, 56.32 519 pg/mL), tumor necrosis factor-alpha (TNFα, 59.53 pg/mL), monocyte chemotactic protein-1 520 (MCP-1, 27.02 pg/mL), macrophage inflammatory protein MIP-1β (6.27 pg/mL), granulocyte 521 colony-stimulating factor (G-CSF, 50.98 pg/mL) and granulocyte-macrophage colony-522 stimulating factor (GM-CSF, 11.82 pg/mL)

523 Statistical analysis

Statistical tests were performed using GraphPad Prism Software version 8. Statistical differences towards a hypothetical value were determined by Wilcoxon signed rank test (unpaired). With respect to the co-cultivation studies, differences between two groups were determined with Wilcoxon matched-pairs signed rank test or between more groups with the Kruskal Wallis test with Dunn's multiple comparisons test. Probability values of *p*<0.05 were considered to be statistically significant (****p*<0.001, ***p*<0.01, **p*<0.05). Details on the statistics per Figure are displayed in the Supplementary Information.

531

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544 Contributions

545 Study design: AL, TG, MP; Data collection and analysis: MP, AL, IP, AD, CB, YC; Data 546 discussion and interpretation: FB, CTR, AL, TG, MP; Drafting manuscript: MP, AL, TG; 547 Revising manuscript: FB, IP, CTR, PH.

548

549 **Conflict of interest**

- 550 The authors declare no conflict of interests.
- 551

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695 Supplementary Information

696 **Table S1:** Results from Wilcoxon signed rank test for Figure 3c-e

Specification	Wilcoxon signed rank test Hypothetical median = 1 <i>p</i> -value	95% Cl
RUNX2	0.7344	-0.50 - 68.05
SPP1	0.0039**	0.82 – 27.34
DLX5	0.1563	-0.54 – 19.25
SOX9	0.7422	-0.99 - 10.51
RANKL	0.0391*	-0.99 – 351.10
VEGF	0.002**	0.17 – 25.72
PGK1	0.2754	-0.45 – 2.22
LDHA	0.0195*	-0.66 - 0.20
HIF	0.5566	-0.76 – 2.18
EPAS	0.7695	-0.82 - 0.03

697



698

Figure S1: Co-cultivation of SFBCs and *in vitro* fracture hematomas. qPCR results of the fracture hematoma model or the after 12 and 48h co-cultivation under hypoxic conditions. Data are normalized to *EF1A* and 0h and presented as mean \pm SEM (n= 3). Statistical significance was determined using the Kruskal Wallis test with Dunn's multiple comparisons test and Wilcoxon signed rank test (Table S2 - S5). **p*<0.05.



704Figure S2: Co-cultivation of SFBCs and in vitro fracture hematomasqPCR results of the SFBCs after 12 and70548h co-cultivation under hypoxic conditions. Data are normalized to EF1A and 0h and presented as mean \pm SEM706(n= 3). Statistical significance was determined using the Kruskal Wallis test with Dunn's multiple comparisons test707and Wilcoxon signed rank test (Table S2 - S5). *p<0.05.</td>

Specification including 0h	Wilcoxon signed rank test hypothetical median = 1 <i>p</i> -value
48h NM - RUNX2	0.25
48h OM - RUNX2	0.25
48h NM - SPP1	0.25
48h OM - SPP1	0.25
48h NM - MMP2	0.25
48h OM - MMP2	0.25
48h NM - PGK1	0.25
48h OM - PGK1	0.50
48h NM - LDHA	0.50
48h OM - LDHA	0.25
48h NM - VEGF	>0.99
48h OM - VEGF	0.25
48h NM - IL8	0.50
48h OM - IL8	0.25
48h NM - IL6	0.50
48h OM - IL6	0.25

709 Table S2: Results from Wilcoxon signed rank test for Figure 4c,d

714 Table S3: Results from Wilcoxon signed rank test for Figure 4c,d

Specification including 0h	Wilcoxon matched-pairs signed rank test <i>p</i> -value
NM vs. OM - RUNX2	0.50
NM vs. OM - SPP1	0.50
NM vs. OM - MMP2	0.25
NM vs. OM - PGK1	0.50
NM vs. OM - LDHA	0.25
NM vs. OM - VEGF	0.25
NM vs. OM - IL8	0.50
NM vs. OM - IL6	0.75

715

716 Table S4: Results from Kruskal Wallis test with Dunn's multiple comparisons test for Figure 5

Specification -	Kruskal-Wallis test		Adjusted <i>p</i> -value
	н	<i>p</i> -value	if applicable
IL-6	1.77	0.67	-
IL-8	1.97	0.63	-
GM-CSF	4.74	0.21	-
MIP	0.64	0.92	-

717

718 Table S5: Results from Wilcoxon signed rank test for Figure 6a

Specification including 0h	Wilcoxon signed rank test hypothetical median = 1 <i>p</i> -value
RUNX2	>0.99
SPP1	0.25
MMP2	0.50
PGK1	0.75
LDHA	0.50
VEGF	0.50
IL8	>0.99
IL6	0.50

719

Table S6: Results from Wilcoxon signed rank test for Figure 6b

Specification including 0h	Wilcoxon signed rank test hypothetical median = 1 <i>p</i> -value
SPP1	0.75
MMP2	0.50
VEGF	0.50
IL8	0.75

Table S7: Results from Wilcoxon signed rank test for Figure 6c

Specification including 0h	Wilcoxon signed rank test hypothetical median = 1 <i>p</i> -value
RUNX2	0.50
SPP1	0.50
MMP2	0.25
PGK1	0.75
LDHA	>0.99
VEGF	0.75
IL8	0.25
IL6	0.25

Table S8: Results from Wilcoxon signed rank test for Figure 6d

Specification including 0h	Wilcoxon signed rank test hypothetical median = 1 <i>p</i> -value
SPP1	0.25
MMP2	>0.99
VEGF	0.75
IL8	>0.99

724 Table S9: Results from Kruskal Wallis test with Dunn's multiple comparisons test for Figure 6c

Specification	Kruskal-Wallis test		Adjusted <i>p</i> -value
	Н	<i>p</i> -value	if applicable
IL-6	1.15	0.81	-
IL-8	2.54	0.52	-
GM-CSF	2.69	0.49	-
MIP	1.68	0.68	-

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	Gene	Sequence of forward primer	Sequence of reverse primer
SPP1	Secreted phosphoprotein 1	GCCGAGGTGATAGTGTGGTT	TGAGGTGATGTCCTCGTCGTCTG
VEGFA	Vascular endothelial growth factor A	AGCCTTGCCTTGCTGCTCTA	GTGCTGGCCTTGGTGAGG
DLX5	Distal-Less Homeobox 5	GCTGGGATTGACACAAACAC	AGGCACCATTGAAAGTGTCC
RUNX2	Runt-related transcription factor 2	TTACTTACACCCCGCCAGTC	TATGGAGTGCTGCTGGTCTG
EF1A	Elongation factor 1-alpha	GTTGATATGGTTCCTGGCAAGC	TTGCCAGCTCCAGCAGCCT
MMP2	Matrix metalloproteinase- 2	GATACCCCTTTGACGGTAAGGA	CCTTCTCCCAAGGTCCATAGC
IL8	Interleukin 8	GGACCCCAAGGAAAACTGG	CAACCCTACAACAGACCCACAC
IL6	Interleukin 6	TACCCCCAGGAGAAGATTCC	TTTTCTGCCAGTGCCTCTTT
RANKL	Receptor Activator of NF-кB Ligand	CTCAGCCTTTTGCTCATCTCACT	CCAAGAGGACAGACTCACTTTATGG
SOX9	SRY (sex determining region Y)-box 9	CGCCTTGAAGATGGCGTTG	GCTCTGGAGACTTCTGAACGA
PGK1	Phosphoglycerate kinase 1	ATGGATGAGGTGGTGAAAGC	CAGTGCTCACATGGCTGACT
LDHA	Lactate dehydrogenase A	ACCCAGTTTCCACCATGATT	CCCAAAATGCAAGGAACACT
HIF1A	Hypoxia-inducible factor 1-alpha	CCATTAGAAAGCAGTTCCGC	TGGGTAGGAGATGGAGATGC
EPAS	Endothelial PAS domain-containing protein 1	TCGGCTTTTTGCCATCTGTG	TGTCCAAATGTGCCGTGTGA

Table S10: Sequences of primers used for qPCR.