

Lawrence Berkeley National Laboratory

Recent Work

Title

An independent assessment of anthropogenic attribution statements for recent extreme temperature and rainfall events

Permalink

<https://escholarship.org/uc/item/7kq9k6qs>

Journal

Journal of Climate, 30(1)

ISSN

0894-8755

Authors

Angélil, O
Stone, D
Wehner, M
[et al.](#)

Publication Date

2017

DOI

10.1175/JCLI-D-16-0077.1

Peer reviewed

An Independent Assessment of Anthropogenic Attribution Statements for Recent Extreme Temperature and Rainfall Events

OLIVER ANGÉLIL, DÁITHÍ STONE, AND MICHAEL WEHNER

Lawrence Berkeley National Laboratory, Berkeley, California

CHRISTOPHER J. PACIOREK

Department of Statistics, University of California, Berkeley, Berkeley, California

HARINARAYAN KRISHNAN AND WILLIAM COLLINS

Lawrence Berkeley National Laboratory, Berkeley, California

(Manuscript received 20 January 2016, in final form 8 August 2016)


ABSTRACT


The annual “State of the Climate” report, published in the *Bulletin of the American Meteorological Society* (*BAMS*), has included a supplement since 2011 composed of brief analyses of the human influence on recent major extreme weather events. There are now several dozen extreme weather events examined in these supplements, but these studies have all differed in their data sources as well as their approaches to defining the events, analyzing the events, and the consideration of the role of anthropogenic emissions. This study re-examines most of these events using a single analytical approach and a single set of climate model and observational data sources. In response to recent studies recommending the importance of using multiple methods for extreme weather event attribution, results are compared from these analyses to those reported in the *BAMS* supplements collectively, with the aim of characterizing the degree to which the lack of a common methodological framework may or may not influence overall conclusions. Results are broadly similar to those reported earlier for extreme temperature events but disagree for a number of extreme precipitation events. Based on this, it is advised that the lack of comprehensive uncertainty analysis in recent extreme weather attribution studies is important and should be considered when interpreting results, but as yet it has not introduced a systematic bias across these studies.

1. Introduction

In each year since 2012, a supplement has been published along with the annual “State of the Climate” report in the *Bulletin of the American Meteorological Society* (*BAMS*) (Peterson et al. 2012, 2013; Herring et al. 2014, 2015). Each supplement has consisted of a

collection of studies by different author teams that looked at extreme weather events that occurred during the previous year, with the underlying question being how their properties, including their occurrence, may have changed as a consequence of anthropogenic climate change. The *BAMS* supplements have grown in size with the first, second, and third supplements consisting of 6, 19, and 22 studies, respectively (this study was conducted before the fourth supplement was published in 2015). A few of these studies have looked at multiple individual events—for example, one study examined six different rainfall extremes over the United States (Knutson et al. 2014b). Given this, we count the total number of event analyses in these three supplements to be 63. It should be noted, however, that in four cases more than one paper examines the same event. These event analyses are listed in Tables S1–S3 in the supplemental material.

 Denotes Open Access content.

 Supplemental information related to this paper is available at the Journals Online website: <http://dx.doi.org/10.1175/JCLI-D-16-0077.s1>.

Corresponding author address: Oliver Angélil, Climate Change Research Centre, UNSW Australia, Sydney NSW 2052, Australia.
E-mail: molofishy@gmail.com

DOI: 10.1175/JCLI-D-16-0077.1

© 2017 American Meteorological Society

There are a number of aspects of extreme weather in which an anthropogenic role may be discerned (Stott et al. 2013). Since attribution statements may be sensitive to methods used and data sources (Otto et al. 2012) [also see studies 33 (Swain et al. 2014), 34 (Wang and Schubert 2014), and 35 (Funk et al. 2014) in Table S3 in the supplemental material], this study aims to identify if the published *BAMS* attribution results would differ if a common methodological and data framework were applied across all events. Understanding the importance of this sensitivity has been identified as a major priority in event attribution research (Stott et al. 2013; Titley et al. 2016). To highlight this, the Titley et al. (2016, p. 11) report specifically mentions that “bringing multiple scientifically appropriate approaches together, including multiple models and multiple studies helps distinguish results that are robust from those that are much more sensitive to how the question is posed and the approach taken.” We adopt the attribution concept described in Stone and Allen (2005) as implemented by Pall et al. (2011) and D. Stone and P. Pall (2016, unpublished manuscript). Along with being popular in recent years, this approach is straightforward to apply on the relatively large number of events being examined here. It involves the comparison of the probability of extreme weather under a factual scenario of conditions that occurred around the time of the event (e.g., greenhouse gas concentrations and ocean temperatures) against the probability under a counterfactual scenario in which anthropogenic emissions had never occurred.

To facilitate a systematic investigation, we restrict our analyses to temperature and precipitation extremes of one or more calendar months in length. Both the climate model used in this study (see section 2) and available observationally based products may not be applicable for some events, such as subdaily storms. This reduces the total number of events considered from 63 to 48. We further exclude events either where the multiple observational datasets we use indicate the event was in fact not extreme (defined here as an anomalous magnitude having been equaled or exceeded more than 10 times during the 1961–2010 period) or where our climate model poorly (what constitutes a poor fit is detailed in the methods section) simulates the frequencies of extreme temperature or precipitation over the specified spatial and temporal scales relating to the event. These additional constraints further reduce the total number of events for which attribution statements are calculated to 36, as indicated in Tables S1–S3 in the supplemental material.

It should be stressed that our analyses are complementary to those performed within the *BAMS* supplements. However, our systematic approach may overlook

some issues that are often studied explicitly within *BAMS* supplement contributions, such as confirmation that the dynamics of the extreme events in our climate model simulations resemble the dynamics of observed events. Disagreement between our result and that published in a *BAMS* supplement may reflect shortcomings of either analysis (or both) or the differences in the way the attribution question was framed (Otto et al. 2015). The primary aim of this study is to identify event types for which attribution conclusions may be sensitive to choice of methodology.

2. Data

Two ensembles of 390 independently and identically distributed realizations of the period from January 2010 to December 2013 have been constructed using the Community Atmosphere Model, version 5.1 (CAM5.1), a numerical model of the atmosphere–land system representing phenomena larger than $\sim 1^\circ$ in longitude–latitude (Neale et al. 2012). Each realization within an ensemble is driven by the same external boundary conditions but starts from a different initial weather state such that each ensemble represents a spread of possible weather trajectories given the external boundary conditions. The first ensemble is driven by a factual “real world” (RW) scenario simulating weather that might have occurred under observed historical boundary conditions. These boundary conditions include changing greenhouse gas, tropospheric aerosol (prescribed burdens), volcanic aerosol, and ozone concentrations; solar luminosity; sea surface temperatures; sea ice coverage; and land cover. The second ensemble is a counterfactual “natural” (NAT) scenario, in which emissions from human activities had not interfered with the climate system. In the NAT scenario, greenhouse gases, tropospheric aerosols, and ozone have been altered to estimated preindustrial (circa 1855) levels, while ocean temperatures have been cooled and sea ice coverage expanded according to an estimate based on output from the international CMIP5 climate modeling effort (D. Stone and P. Pall 2016, unpublished manuscript). This adjustment to ocean surface conditions preserves month-to-month and year-to-year variability, such as variability related to the El Niño–Southern Oscillation phenomenon. It should be noted that, because of the way in which sea ice concentrations have been imposed, the runs in the NAT scenario cool off with time. Mean temperature differences (RW minus NAT) in CAM5.1 do not stand out from those in two other AGCMs (MIROC5 and HadGEM3-A-N216) for the periods and latitudes over which the examined extremes occurred (figure not shown). Such an artifact should only influence attribution statements for temperature

extremes occurring over polar regions. These CAM5.1 simulations have been produced under the international Climate of the 20th Century Plus (C20C+) Detection and Attribution Project (<http://portal.nersc.gov/c20c>; Folland et al. 2014; the data can be accessed at <http://portal.nersc.gov/c20c/data.html>). All analyses in this paper are based on monthly mean output of precipitation and near-surface air temperature averaged over the regional domains indicated in Figs. S1–S3 in the supplemental material.

Monthly observational data have been obtained from the Climatic Research Unit Time Series, version 3.22 (CRU TS 3.22; Harris et al. 2014); NOAA Precipitation Reconstruction over Land (PREC/L) on a 2.5° longitude–latitude grid (Chen et al. 2002); GPCC, version 6 (Schneider et al. 2014); and GPCP, version 2.2 (Adler et al. 2003), products for precipitation; and CRU TS 3.22 and GISTEMP (Hansen et al. 2010) products for 2-m air temperature. These data are compared against output from 50 of the RW simulations over the 1961–2010 (1979–2010 for GPCP, version 2.2) period in order to assess the climate model’s ability to reproduce the type of extreme weather being analyzed.

3. Methods

The analysis consists of two main steps. The first step [see the examples in Fig. 1 or Figs. S1–S3 (center) in the supplemental material for all 48 events] tests the model’s ability to realistically simulate temperature or precipitation extremes over the same temporal and spatial domain as was previously examined in the *BAMS* supplements. For example, the red curve in Fig. 1d is obtained from 50 RW realizations of the January–February mean monthly rainfall over California from 1961 to 2010, sorted in descending order and plotted logarithmically to focus on the tail of the distribution in which we are interested (in this case, the minimum). The resulting curve estimates the return values for all possible exceedance probabilities. The remaining solid curves are similarly constructed from the two observational temperature products. We adjust for systematic mean bias in temperatures between the simulations and each of the observational products by adding to the observations the difference between the 1961–2010 average of the simulations and of the respective observations; for precipitation the observations are multiplied by the ratio of the averages. We bias correct the observations to the model and not the other way around because we have one model and multiple observations. As the choice does not influence exceedance probabilities, this is the favored approach owing to its relative simplicity.

The uncertainty bars on the model (red) curve are prediction intervals for the return value curve, calculated at a

discrete set of exceedance probabilities as the 5th and 95th percentiles of the return values estimated separately from each of the 50 ensemble members. If the model reasonably characterizes the behavior of extremes, we would expect the line characterizing a given observational dataset to fall within approximately 90% of the intervals. Since we are primarily interested in the model’s ability to simulate extremes, we consider the prediction intervals for events rarer than the 30% exceedance probability. The model passes our “fit for purpose” test if at least 70% of those intervals include the values from the observation-based curves. This percentage of intervals, representing how closely the tail of the model distributions corresponds to the tails of the observed distributions, is depicted on the legends in Fig. 1 following the fitness test (FT). If the model passes our fitness test and at least one of the observational products indicates the event was indeed extreme (defined as exceeding the tenth warmest/colest/wettest/driest event for its season and region during the 1961–2010 period), then analysis for the given event enters the second step wherein we formulate an attribution statement.

In the second step we calculate the probability ratio (PR)—a metric characterizing the anthropogenic contribution to the occurrence of the extreme (often also termed the risk ratio). In this study, PR is defined as the probability of occurrence in the real world P_{RW} divided by the probability in the natural world P_{NAT} . If $PR > 1$, anthropogenic activities have increased the chance of the event, while if $PR < 1$ they have decreased the chance of the event. Event probabilities are estimated from the RW and NAT simulations for the year of the event. To define an extreme event, we construct three different sets of thresholds. The first set of thresholds is determined by the magnitude of the actual events according to the observational datasets. As the multiple observational products differ from each other, this set comprises multiple credible thresholds. The second set of thresholds [1in20(c) in Fig. 2] is determined by the 5th or 95th percentile (1-in-20-yr event) of the 50-member RW ensemble of 1961–2010 simulations. As this ensemble has a clear trend toward warmer temperatures as time evolves, we construct a third set of thresholds (1in20 in Fig. 2) by determining the 5th or 95th percentile of the 390 event realizations for the year of each event from the 2010–13 RW runs—thus, here we define P_{RW} to be 5%.

We estimate P_{NAT} (for all thresholds) and P_{RW} [for the observationally based and 1in20(c) thresholds] using only the 390 realizations available for the month/season and year of the event. Estimation is done in one of two ways. In most cases, the threshold is either above the 80th or below the 20th percentile of the simulations. In this case, the peaks-over-threshold (POT) extreme value statistical methodology (described further below)

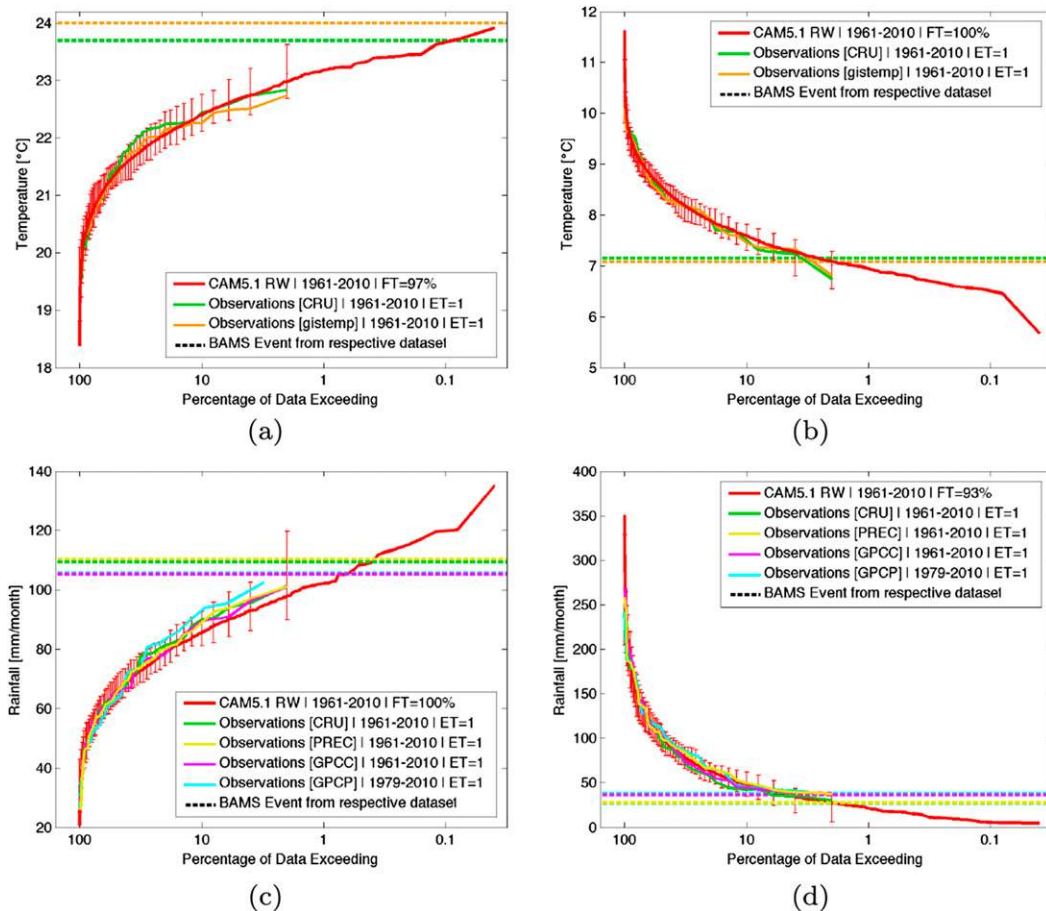


FIG. 1. Distributions of simulated and observed historical (1961–2010) climatological data corresponding to a selection of four of the events: (a) analysis 47 (Lewis and Karoly 2014), concerning a hot event; (b) analysis 63 (Christidis et al. 2014), concerning a cold event; (c) analysis 21 (Dong et al. 2013), concerning a wet event; and (d) analysis 34 (Wang and Schubert 2014), concerning a dry event. This figure illustrates the first step of the analysis procedure in which it is determined whether the model simulations (red curve) reasonably match the observations (the remaining curves). The value following FT represents the percentage of observationally estimated return values that fall within the prediction intervals (see section 3 for details). The dashed lines are the observed event magnitudes from a selection of observational datasets. If the binary following the extreme test (ET) is 1 then the observed event magnitude is deemed extreme, while a 0 denotes that it is not extreme. The distributions for all events analyzed in this paper are shown in Figs. S1–S3 (center) in the supplemental material. Details of the events are listed in Tables S1–S3 in the supplemental material.

is used to fit a distribution to the tail of the entire sample of simulations; from this fitted distribution we calculate the probabilities of the event of interest. However, it is possible for a threshold to not be that extreme in one set of simulations (i.e., to be between the 20th and 80th percentiles). In this case, P_{RW} or P_{NAT} is expressed simply as the percentage of realizations that exceed the threshold. This latter situation was encountered in some estimates of P_{NAT} where a rare cold event in the RW simulations was common in the NAT simulations.

The peaks-over-threshold analysis fits a distribution to all the exceedances over a high cutoff using a point process model, as in Tomassini and Jacob (2009) and

Cooley and Sain (2010). (Note that we use the term “cutoff” rather than “threshold” to distinguish the value used to define exceedances in the POT analysis from the value used to define extreme events.) We use a cutoff of the 80th percentile, for hot/wet events, or the 20th percentile, for cold/dry events, of the 390 realizations available for each scenario for a given event. This point process approach is equivalent to fitting a generalized Pareto distribution for excesses over a cutoff and is consistent with the generalized extreme value (GEV) distribution for block maxima. The basic parameters of the point process model can be expressed in terms of those of a GEV distribution. Using these parameter

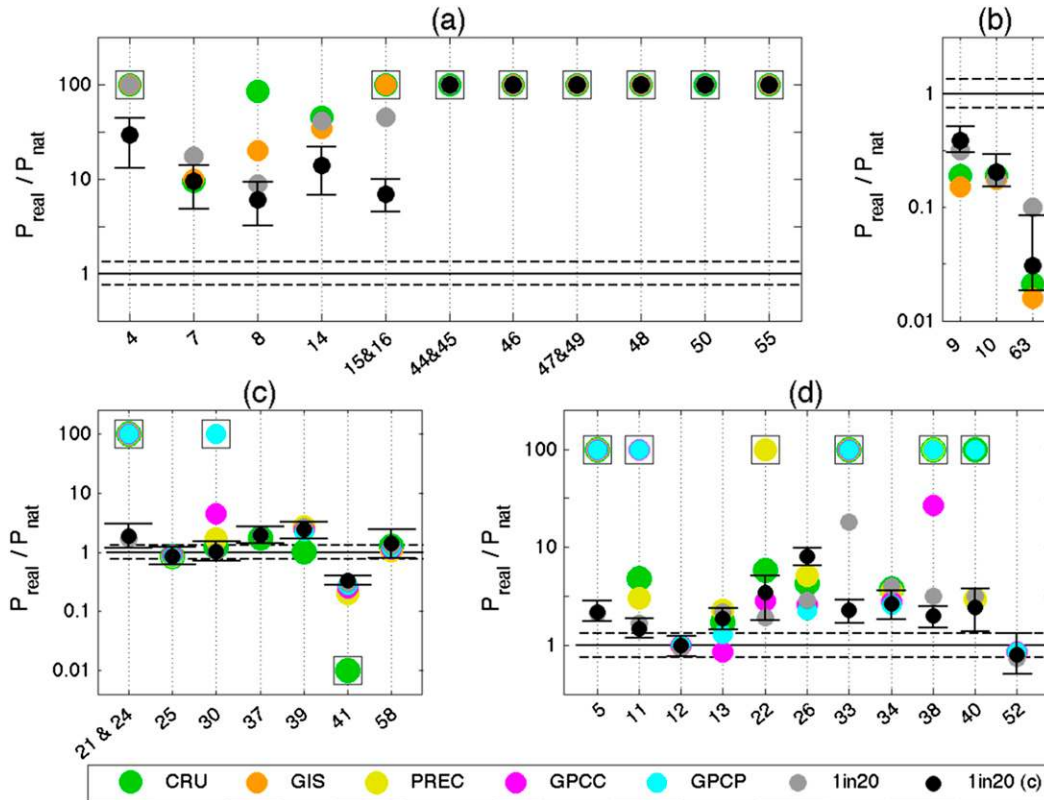


FIG. 2. PRs (P_{RW}/P_{NAT}) plotted on a logarithmic scale for extremely (a) hot, (b) cold, (c) wet, and (d) dry events. Markers vary by the threshold used to define the event, with those derived from observational products only shown if the event passed the “extremeness” test with those products. Framed markers indicate the PR is greater than 100 or less than 0.01, which includes cases where the threshold is never exceeded in the natural world simulations. The 90% confidence intervals are calculated using the basic bootstrap technique for the 1in20(c) event definitions (see section 3). PRs between dashed (PRs of 3/4 and 4/3) horizontal lines (and thus near unity) suggest a neutral attribution conclusion.

estimates, standard calculations provide the estimated probabilities of exceeding the various event thresholds (Coles 2001). Uncertainty bars on the best estimate (BE) of the PRs are calculated by generating 1000 bootstrap datasets of the RW and NAT realizations. For each dataset the corresponding PR is calculated (on the log scale) per the procedures discussed above. This gives a sample of 1000 $\log(\text{PR})$ values that characterize the sampling distribution of the PR estimate. To quantify uncertainty in the estimated $\log(\text{PR})$, we used the basic bootstrap confidence interval procedure, by which lower and upper uncertainty bars are calculated by $\text{BE} - (E_{95} - \text{BE})$ and $\text{BE} - (E_{05} - \text{BE})$, respectively, where E_{95} and E_{05} represent the 95th and 5th percentiles of the 1000 bootstrapped $\log(\text{PR})$ values (Davison and Hinkley 1997).

4. Results

Frequency distributions of weather for the specified month/season and year of the event are shown in Fig. 3

as blue (NAT) and red (RW) histograms [see Figs. S1–S3 (right) for all events] for the same selection of events as in Fig. 1. Also as in Fig. 1, dashed lines in Fig. 3 are the bias-adjusted observed event magnitudes, as well as the simulation-based thresholds (see section 3).

PRs for all events found to in fact be extreme in the observational record, and for which our model is deemed suitable for the task, are shown in Fig. 2. If the event only met the extreme requirement for some of the observational products, then results are only shown for those products. If none of the observational products meet the extreme requirement or the model fit is poor—for example, in the cases of events 2 and 3 (Funk 2012), respectively (the 2011 East African droughts)—then the attribution step is not performed. Results for hot, cold, wet, and dry events are shown in Figs. 2a, 2b, 2c, and 2d, respectively. For reference, the solid line represents a PR of unity, meaning the event likelihood is unchanged as a consequence of anthropogenic emissions. Markers above or below this line indicate that human activity increased

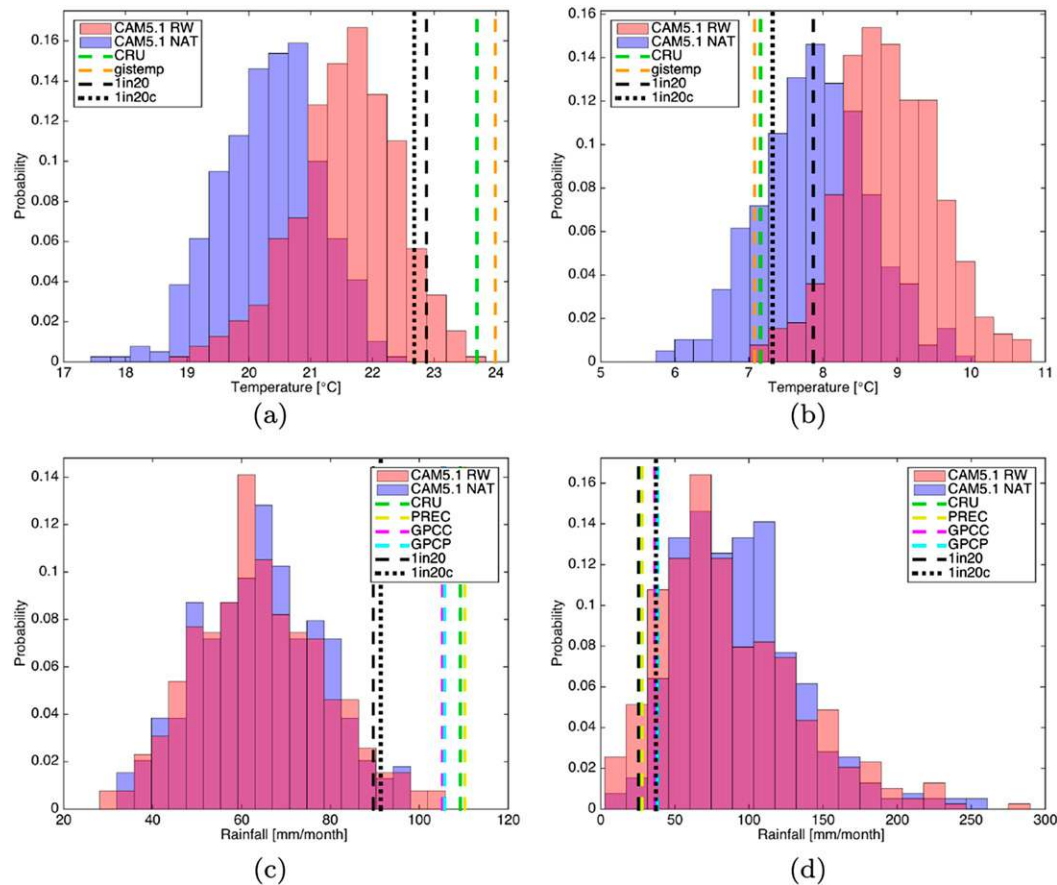


FIG. 3. Histograms of temperature or precipitation simulated for the specified year, season, and region for a selection of four of the events: (a) analysis 47 (Lewis and Karoly 2014), concerning a hot event; (b) analysis 63 (Christidis et al. 2014), concerning a cold event; (c) analysis 21 (Dong et al. 2013), concerning a wet event; and (d) analysis 34 (Wang and Schubert 2014), concerning a dry event. The red and blue histograms consist of 390 RW and NAT weather realizations specific to the event variable and spatial and temporal domains, respectively. The colored dashed lines are the observationally based thresholds based on the occurrence of the event, while the black dashed and dotted lines are the model-based thresholds defined in the text. The histograms for all events analyzed in this paper are shown in Figs. S1–S3 (right) in the supplemental material. Details of the events are listed in Tables S1–S3 in the supplemental material.

or decreased the event likelihood, respectively. Framed markers indicate a PR of infinity; that is, P_{NAT} is estimated as zero from the available simulations. Uncertainty bars have been calculated on the 1in20(c) markers except for those with best estimates of infinity or near infinity since in these cases the bootstrap procedure fails. For these infinity and near-infinity cases, the fraction of RW realizations exceeding the thresholds lies between 21/390 and 42/390, depending on the event, meaning that the conclusion of a PR much greater than unity is robust to the uncertainty in the near-zero P_{NAT} . Uncertainty is larger for thresholds based on actual events (not shown) because these thresholds are almost always more extreme than the simulation-based thresholds. For example, for event 33, a low rain event, there are only two RW and zero NAT values below the CRU TS 3.22 value.

To test whether results are robust to common assumptions regarding the fitted distributions, Fig. S4 in the supplemental material depicts PRs calculated assuming all RW and NAT distributions are Gaussian. Since we are looking at events with greater than or equal to one month duration, the aggregation of daily temperature or rainfall data results in a distribution converging on a Gaussian distribution (except possibly for some low-precipitation events). This assumption may introduce significant errors in estimating the probability of the more extreme thresholds. However, assuming Gaussianity means the fitted curves are unbounded; thus, a nonzero probability of exceedance is calculated in every case. This means markers that would otherwise have been found to be infinity in Fig. 2 now have extremely high or low, but finite, PRs. Uncertainty has

been calculated in the same way as in Fig. 2. Given the similarity between Fig. S4 in the supplemental material and Fig. 2, we conclude that results are qualitatively robust to our assumptions concerning the tails of these distributions.

In Table 1 we summarize all the PRs for each event in Fig. 2 and the corresponding attribution conclusions from the *BAMS* supplement studies into three categories: the event likelihood increased, decreased, or hardly changed as a consequence of human activity. The *BAMS* supplement published in 2014 (Herring et al. 2014) included a table describing the conclusions of all of the studies using these categories. The earlier *BAMS* supplements (Peterson et al. 2012, 2013) did not include such a table, however, so we determined the categorization based on the conclusions stated in the papers. Given the existence of the summary table in Herring et al. (2014), we believe the *BAMS* supplements are intended to be interpreted according to these categories. Some papers in the earlier supplements expressed conclusions in terms of a relation to a long-term warming over a large area of the ocean, for instance, rather than anthropogenic emissions of greenhouse gases; in these cases we referred to the confident detection of human influence on large-scale warming (Bindoff et al. 2013) and interpreted the studies as relevant for assessing the role of human activity. Attribution statements in this study are conditional on SSTs at the time of the event, which, for example, may have occurred during an El Niño or La Niña event. On the contrary, “general attribution” refers to attribution statements not conditional on observed SSTs during the time of the event. We argue, however, that the boundary conditions are only one aspect attribution results in a study might be conditional on. For example, different physics and parameterizations in models can result in different attribution statements, regardless of whether the models used are coupled atmosphere and ocean GCMs or AGCMs.

Based on our calculated PRs, the existence of a human role is assigned when the 1in20(c) error bars and four out of five (for precipitation; two out of three for temperature) of the other best-estimate values fall outside a near-unity PR range of $3/4$ – $4/3$ (indicated by the dashed lines in Fig. 2). We discuss this definition of near unity further below. Figure 4 shows the allocation of attribution statements from the *BAMS* supplements into the three categories, with the area of the pie charts being proportional to the number of positive, negative, or neutral conclusions per event type. The area of each pie chart filled with gray or white represents the relative agreement or disagreement between our statements and those found in the *BAMS* supplements, respectively.

Usage of a less strict criterion of the 1in20(c) error bars and three out of five of the other best-estimate values for precipitation yields a similar result, with two attribution statements [13 (Rupp et al. 2013) and 30 (King et al. 2013)] switching from neutral to positive.

The comparison in Fig. 4 between the conclusions of the *BAMS* supplement studies and our analysis suggests some sensitivity to the choice of methodology. All but one (Cattiaux and Yiou 2013) of the discrepancies concern rainfall events. The influence of emissions on temperature extremes appears to now be strong enough that it is robust to the choice of methodology, data sources, and other factors (Christidis et al. 2012a,b; Angélil et al. 2014; Fischer and Knutti 2015). The discrepancies between our results and the *BAMS* conclusions run both ways, with either the respective *BAMS* supplement study concluding that human emissions have played a substantial role whereas we conclude that they have not, or vice versa.

A crucial element of the comparison between our conclusions and those of the *BAMS* supplement studies displayed in Fig. 4 is the definition of the boundary between the neutral and nonneutral categories. There is still no accepted value of the PR for which emissions should be deemed to have played an important role, and selection of such a value may well be context specific. For instance, while a near-zero border may be relevant for general monitoring of human influence on climate, civil court cases may prefer a doubling (Grossman 2003). Our choice of a $3/4$ – $4/3$ range for the neutral category has in fact been selected in part because it provides a good overall match to the conclusions of the *BAMS* supplement studies; a narrow neutral range also matches the definition of nonneutral in the summary table of Herring et al. (2014), which effectively uses an infinitesimal range for papers that use the PR measure. Use of a larger $2/3$ – $3/2$ neutral range only converts one dry-positive agreed case to disagreement (Fig. S5 in the supplemental material). However, a $1/2$ – $2/1$ range, which might be considered the widest range plausibly labeled neutral, results in complete agreement for all wet and dry cases concluded to be neutral in the *BAMS* supplements but almost complete disagreement for wet and dry cases concluded to be positive in the *BAMS* supplements (Fig. S5 in the supplemental material). The PR for the temperature-related events are far enough from unity to be insensitive to these choices.

5. Discussion

The analysis conducted here should not be considered a full, comprehensive, and unbiased assessment of the role of anthropogenic emissions in extreme

TABLE 1. Papers for which we derive PRs. For each event, our attribution statements as well as those already published are summarized into one of three categories: the event likelihood increased (+), decreased (−), or hardly changed (0) as a consequence of human activity. Asterisks denote two events in Knutson et al. (2014b) that were examined but for which no attribution statements were provided. Results in Knutson et al. (2014b) concerned the existence of an externally driven long-term trend but did not evaluate the role of anthropogenic versus natural forcings in driving the trend; here we ascribe an anthropogenic role based on the attribution results for similar events (Bindoff et al. 2013; Herring et al. 2014, p. 82–84). However, the inclusion or exclusion of these events would not substantively effect the conclusions of this paper.

| Event No. | Publication | Type | Period | Region | Published statement | Our statement |
|------------------|--------------------------------|------|--------------------------|--|---------------------|---------------|
| <i>BAMS</i> 2012 | | | | | | |
| 4 | Rupp and Mote (2012) | Hot | March–August 2011 | Texas | + | + |
| 5 | Rupp and Mote (2012) | Dry | March–August 2011 | Texas | + | + |
| 7 | Cattiaux and Yiou (2012) | Hot | March–May 2011 | Europe | + | + |
| 8 | Massey et al. (2012) | Hot | November 2011 | Central England | + | + |
| 9 | Massey et al. (2012) | Cold | December 2010 | Central England | − | − |
| 10 | Christidis and Stott (2012) | Cold | December–January 2010/11 | United Kingdom | − | − |
| <i>BAMS</i> 2013 | | | | | | |
| 11 | Rupp et al. (2013) | Dry | March–August 2012 | Eight states in United States | 0 | 0 |
| 12 | Rupp et al. (2013) | Dry | March–May 2012 | Eight states in United States | 0 | 0 |
| 13 | Rupp et al. (2013) | Dry | July–August 2012 | Eight states in United States | 0 | + |
| 14 | Diffenbaugh and Scherer (2013) | Hot | July 2012 | North-central and northeastern United States | + | + |
| 15 | Cattiaux and Yiou (2013) | Hot | March–May 2012 | Eastern United States | 0 | + |
| 16 | Knutson et al. (2013) | Hot | March–May 2012 | Eastern United States | + | + |
| 21 | Dong et al. (2013) | Wet | July–August 2012 | United Kingdom | + | 0 |
| 22 | Dong et al. (2013) | Dry | July–August 2012 | Spain | 0 | + |
| 24 | Sparrow et al. (2013) | Wet | July–August 2012 | United Kingdom | 0 | 0 |
| 25 | Yiou and Cattiaux (2013) | Wet | July–September 2012 | Northern Europe | 0 | 0 |
| 26 | Trigo et al. (2013) | Dry | December–March 2011/12 | Iberian Peninsula | + | + |
| 30 | King et al. (2013) | Wet | October–March 2011/12 | Southeastern Australia | 0 | 0 |
| <i>BAMS</i> 2014 | | | | | | |
| 33 | Swain et al. (2014) | Dry | 2013 | California | + | + |
| 34 | Wang and Schubert (2014) | Dry | January–February 2013 | California | 0 | + |
| 37 | Knutson et al. (2014b) | Wet | 2013 | Northern tier of United States | + | 0 |
| 38 | Knutson et al. (2014b) | Dry | 2013 | California region | * | + |
| 39 | Knutson et al. (2014b) | Wet | March–May 2013 | Upper Midwest of United States | + | + |
| 40 | Knutson et al. (2014b) | Dry | March–May 2013 | Southern plains of United States | * | + |
| 41 | Knutson et al. (2014b) | Wet | July–August 2013 | Eastern United States | + | − |
| 44 | Knutson et al. (2014a) | Hot | 2013 | Australia | + | + |
| 45 | Lewis and Karoly (2014) | Hot | 2013 | Australia | + | + |
| 46 | Lewis and Karoly (2014) | Hot | September–November 2013 | Australia | + | + |
| 47 | Lewis and Karoly (2014) | Hot | September 2013 | Australia | + | + |
| 48 | Perkins et al. (2014) | Hot | October–March 2012/13 | Australia | + | + |
| 49 | Arblaster et al. (2014) | Hot | September 2013 | Australia | + | + |
| 50 | King et al. (2014) | Hot | 2013 | Inland eastern Australia | + | + |
| 52 | Harrington et al. (2014) | Dry | January–March 2013 | North Island, New Zealand | + | 0 |
| 55 | Zhou et al. (2014) | Hot | July–August 2013 | Central-eastern China | + | + |
| 58 | Yiou and Cattiaux (2014) | Wet | January–March 2013 | Southern Europe | 0 | 0 |
| 63 | Christidis et al. (2014) | Cold | March–May 2013 | United Kingdom | − | − |

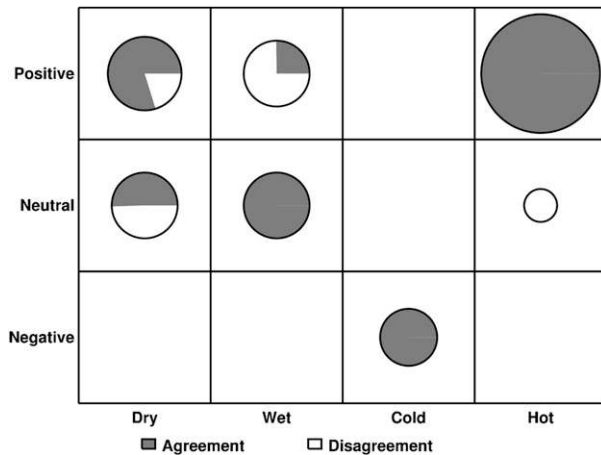


FIG. 4. Comparison of trinomial attribution statements (negative, neutral, or positive) from the *BAMS* papers, with our statements. The areas of the pie charts are proportional to the number of *BAMS* events analyzed in each category shown. A positive or negative statement among our statements is defined when the 1in20 (c) error bars and at least four out of five (rainfall) or two out of three (temperature) of the remaining BE PRs fall outside a near-unity range of PR (3/4 and 4/3).

weather generally. For instance, attribution results in approximately half of studies reexamined are not conditional on the SSTs at the time of the event while in this study results are. This study additionally uses a single estimate of change in SSTs associated with anthropogenic greenhouse gas emissions. SST warming patterns tend to vary between models leading to large differences in attribution statements (Lewis and Karoly 2015; Shiogama et al. 2016). This source of uncertainty is not accounted for in this study.

Also, the selection of events in this analysis is far from unbiased. For one thing, the locations of events examined in the three *BAMS* supplements correlate strongly with the authors' proximity to the event. Another selection factor may be the degree of anticipated media and public interest. Both factors may be involved in the strong focus on Europe, the United States, and Australia (49 of 63 events). An aggravating factor is that researchers are usually cautious in their analyses for regions where observational records are known to be poor, but the tight schedule of the *BAMS* supplement submission process hinders that degree of caution. In areas with poor monitoring, it becomes imperative to ensure that observational data or observationally based data are adequate for characterizing the event (e.g., was the event even extreme?) and either for assessing long-term trends or for evaluating/calibrating climate models that are used for the assessments. However, even if such biases in the original *BAMS* supplements did not exist, our selection criteria of extreme and fit for purpose tests may have imposed biases.

For computational tractability, climate models include approximations to the physical equations governing the climate system, and differences in approximations made across climate models can lead to differences in the climate described by the models. For instance, Angénil et al. (2014) find that PR estimates for 1-in-1-yr hot and cold extremes could differ between two climate models by a factor of 2. Bellprat and Doblas-Reyes (2016) additionally show that the use of a single climate model for event attribution statements can lead to overestimated attribution statements. We therefore stress the importance of using multiple models for probabilistic event attribution. Additionally, only one estimate of the attributable ocean warming due to emissions was used in calculating the sea surface temperatures for the NAT scenario, but there may be a strong sensitivity to uncertainty in this estimate (Pall et al. 2011; Shiogama et al. 2014). Accounting for these uncertainties requires the production of new climate model data products, as for instance currently underway within the international C20C+ Detection and Attribution Project (Folland et al. 2014) (and of which the CAM5.1 simulations used here are a first submission) and the weather@home project (Massey et al. 2015).

One of the reasons for our selection of the Pall et al. (2011) approach has been that it can be applied systematically across a wide range of event types; some other approaches require analyses tailored to each event, such as those performed in Cattiaux and Yiou (2012, 2013). Our analysis has compared results derived from one approach against results obtained using a variety of attribution concepts and analysis methods [including the Pall et al. (2011) approach itself in some cases], and although some recent studies have used multiple attribution frameworks (King et al. 2015), this study constitutes the first large-scale assessment of the sensitivity of conclusions regarding the role of emissions from human activities in the occurrence of extreme weather events to the choice of methodology. Such an approach to extreme event attribution has recently been emphasized in Titley et al. (2016). Titley et al. (2016) additionally advise the use of multiple observational and/or reanalysis products for model evaluation. The suggestion corresponds to recommendations in Angénil et al. (2016), who find large uncertainty around exceedance probabilities for rainfall and temperature extremes among many of the current-generation products used to evaluate GCMs. It should be briefly noted that this study does not examine individual events but rather classes of events, defined by those that exceed (or fall below for cold events) the observed magnitude of the event.

While the Pall et al. (2011) approach has become popular in recent years, there remain different views on what constitutes the concept of attribution (Stott et al. 2013). For example, Tittley et al. (2016) and Shepherd (2016) both identify different concepts of attribution. The “storyline” concept is one that examines the role of various factors contributing to the event as it occurred, while the “risk based” concept answers the question probabilistically (Shepherd 2016). While these concepts can be broadly considered as addressing attribution, they are not identical. Similarly Hannart et al. (2016) note that current attribution work focuses on the sufficiency aspect but could equally focus on the necessity aspect. Similarly, while our analysis benefits from superior and more numerous data sources than can be afforded by most studies on the tight schedule of the BAMS supplements, the more targeted nature of the BAMS supplement studies may permit a more thorough evaluation of the adequacy of the data sources and of the confidence in conclusions. Thus, the conclusions in our analysis for each event could be considered similarly plausible to those in the BAMS supplement studies. In that sense, discrepancies between our conclusions and those in the BAMS supplements indicate that uncertainties in analysis methods have yet to be adequately considered, particularly concerning precipitation events. However, considering that disagreements are in both positive–neutral and neutral–positive directions, we find no evidence that the selection of methodology represents a systematic bias in favor of a particular event attribution conclusion.

Acknowledgments. This work was supported by the Regional and Global Climate Modeling Program of the Office of Biological and Environmental Research in the Department of Energy Office of Science under Contract DE-AC02-05CH11231. Calculations were performed at the National Energy Research Supercomputing Center (NERSC) at the Lawrence Berkeley National Laboratory. We thank Chris Funk, Shreyas Cholia, and Prabhat for helpful discussion.

REFERENCES

- Adler, R. F., and Coauthors, 2003: The version-2 Global Precipitation Climatology Project (GPCP) monthly precipitation analysis (1979–present). *J. Hydrometeorol.*, **4**, 1147–1167, doi:10.1175/1525-7541(2003)004<1147:TVGPCP>2.0.CO;2.
- Angéilil, O., D. A. Stone, M. Tadross, F. Tummon, M. Wehner, and R. Knutti, 2014: Attribution of extreme weather to anthropogenic greenhouse gas emissions: Sensitivity to spatial and temporal scales. *Geophys. Res. Lett.*, **41**, 2150–2155, doi:10.1002/2014GL059234.
- , and Coauthors, 2016: Comparing regional precipitation and temperature extremes in climate model and reanalysis products. *Wea. Climate Extremes*, **13**, 35–43, doi:10.1016/j.wace.2016.07.001, in press.
- Arblaster, J. M., E.-P. Lim, H. H. Hendon, B. C. Trewin, M. C. Wheeler, G. Liu, and K. Braganza, 2014: Understanding Australia’s hottest September on record [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S37–S41.
- Bellprat, O., and F. Doblas-Reyes, 2016: Attribution of extreme weather and climate events overestimated by unreliable climate simulations. *Geophys. Res. Lett.*, **43**, 2158–2164, doi:10.1002/2015GL067189.
- Bindoff, N. L., and Coauthors, 2013: Detection and attribution of climate change: From global to regional. *Climate Change 2013: The Physical Science Basis*, T. F. Stocker et al., Eds., Cambridge University Press, 867–952.
- Cattiaux, J., and P. Yiou, 2012: Contribution of atmospheric circulation to remarkable European temperatures of 2011. *Bull. Amer. Meteor. Soc.*, **93**, 1054–1057.
- , and —, 2013: U.S. heat waves of spring and summer 2012 from the flow-analogue perspective [in “Explaining Extreme Events of 2012 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **94**, S10–S13.
- Chen, M., P. Xie, J. E. Janowiak, and P. A. Arkin, 2002: Global land precipitation: A 50-yr monthly analysis based on gauge observations. *J. Hydrometeorol.*, **3**, 249–266, doi:10.1175/1525-7541(2002)003<0249:GLPAYM>2.0.CO;2.
- Christidis, N., and P. Stott, 2012: Lengthened odds of the cold UK winter of 2010/11 attributable to human influence. *Bull. Amer. Meteor. Soc.*, **93**, 1060–1062.
- , —, G. S. Jones, H. Shiogama, T. Nozawa, and J. Luterbacher, 2012a: Human activity and anomalously warm seasons in Europe. *Int. J. Climatol.*, **32**, 225–239, doi:10.1002/joc.2262.
- , —, F. W. Zwiers, H. Shiogama, and T. Nozawa, 2012b: The contribution of anthropogenic forcings to regional changes in temperature during the last decade. *Climate Dyn.*, **39**, 1259–1274, doi:10.1007/s00382-011-1184-0.
- , —, and A. Ciavarella, 2014: The effect of anthropogenic climate change on the cold spring of 2013 in the United Kingdom [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S79–S82.
- Coles, S., 2001: *An Introduction to Statistical Modeling of Extreme Values*. Springer, 209 pp.
- Cooley, D., and S. R. Sain, 2010: Spatial hierarchical modeling of precipitation extremes from a regional climate model. *J. Agric. Biol. Environ. Stat.*, **15**, 381–402, doi:10.1007/s13253-010-0023-9.
- Davison, A. C., and D. V. Hinkley, 1997: *Bootstrap Methods and Their Application*. Cambridge University Press, 594 pp.
- Diffenbaugh, N. S., and M. Scherer, 2013: Likelihood of July 2012 U.S. temperatures in preindustrial and current forcing regimes [in “Explaining Extreme Events of 2012 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **94** (9), S6–S9.
- Dong, B., R. Sutton, and T. Woollings, 2013: The extreme European summer 2012 [in “Explaining Extreme Events of 2012 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **94** (9), S28–S32.
- Fischer, E. M., and R. Knutti, 2015: Anthropogenic contribution to global occurrence of heavy-precipitation and high-temperature extremes. *Nat. Climate Change*, **5**, 560–564, doi:10.1038/nclimate2617.
- Folland, C., D. Stone, C. Frederiksen, D. Karoly, and J. Kinter, 2014: The international CLIVAR climate of the 20th century

- plus (C20C+) project: Report of the sixth workshop. *CLIVAR Exchanges*, No. 19, International CLIVAR Project Office, Southampton, United Kingdom, 57–59.
- Funk, C., 2012: Exceptional warming in the western Pacific–Indian Ocean warm pool has contributed to more frequent droughts in eastern Africa. *Bull. Amer. Meteor. Soc.*, **93**, 1049–1051.
- , C. Hoell, and D. Stone, 2014: Examining the contribution of the observed global warming trend to the California droughts of 2012/13 and 2013/14 [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S11–S15.
- Grossman, D. A., 2003: Warming up to a not-so-radical idea: Tort-based climate change litigation. *Columbia J. Environ. Law*, **28**, 1.
- Hannart, A., J. Pearl, F. Otto, P. Naveau, and M. Ghil, 2016: Causal counterfactual theory for the attribution of weather and climate-related events. *Bull. Amer. Meteor. Soc.*, **97**, 99–110, doi:10.1175/BAMS-D-14-00034.1.
- Hansen, J., R. Ruedy, M. Sato, and K. Lo, 2010: Global surface temperature change. *Rev. Geophys.*, **48**, RG4004, doi:10.1029/2010RG000345.
- Harrington, L., S. Rosier, S. M. Dean, S. Stuart, and A. Scahill, 2014: The role of anthropogenic climate change in the 2013 drought over North Island, New Zealand [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S45–S48.
- Harris, I., P. D. Jones, T. J. Osborn, and D. H. Lister, 2014: Updated high-resolution grids of monthly climatic observations—The CRU TS3.10 dataset. *Int. J. Climatol.*, **34**, 623–642, doi:10.1002/joc.3711.
- Herring, S. C., M. P. Hoerling, T. C. Peterson, and P. A. Stott, 2014: Explaining extreme events of 2013 from a climate perspective. *Bull. Amer. Meteor. Soc.*, **95** (9), S1–S96, doi:10.1175/1520-0477-95.9.S1.1.
- , —, J. P. Kossin, T. C. Peterson, and P. A. Stott, 2015: Explaining extreme events of 2014 from a climate perspective. *Bull. Amer. Meteor. Soc.*, **96** (12), S1–S172, doi:10.1175/BAMS-ExplainingExtremeEvents2014.1.
- King, A. D., S. C. Lewis, S. E. Perkins, L. V. Alexander, M. G. Donat, D. J. Karoly, and M. T. Black, 2013: Limited evidence of anthropogenic influence on the 2011–12 extreme rainfall over southeast Australia [in “Explaining Extreme Events of 2012 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **94** (9), S55–S58.
- , D. J. Karoly, M. G. Donat, and L. V. Alexander, 2014: Climate change turns Australia’s 2013 big dry into a year of record-breaking heat [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S41–S45.
- , G. J. van Oldenborgh, D. J. Karoly, S. C. Lewis, and H. Cullen, 2015: Attribution of the record high central England temperature of 2014 to anthropogenic influences. *Environ. Res. Lett.*, **10**, 054002, doi:10.1088/1748-9326/10/5/054002.
- Knutson, T. R., F. Zeng, and A. T. Wittenberg, 2013: The extreme March–May 2012 warm anomaly over the eastern United States: Global context and multimodel trend analysis [in “Explaining Extreme Events of 2012 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **94** (9), S13–S17.
- , —, and —, 2014a: Multimodel assessment of extreme annual-mean warm anomalies during 2013 over regions of Australia and the western tropical Pacific [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S26–S30, doi:10.1175/BAMS-D-13-00078.1.
- , —, and —, 2014b: Seasonal and annual mean precipitation extremes occurring during 2013: A U.S. focused analysis [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S19–S23.
- Lewis, S. C., and D. J. Karoly, 2014: The role of anthropogenic forcing in the record 2013 Australia-wide annual and spring temperatures [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S31–S34.
- , and —, 2015: Are estimates of anthropogenic and natural influences on Australia’s extreme 2010–2012 rainfall model-dependent? *Climate Dyn.*, **45**, 679–695, doi:10.1007/s00382-014-2283-5.
- Massey, N., T. Aina, C. Rye, F. E. L. Otto, S. Wilson, R. G. Jones, and M. R. Allen, 2012: Have the odds of warm November temperatures and of cold December temperatures in central England changed? *Bull. Amer. Meteor. Soc.*, **93**, 1057–1059.
- , and Coauthors, 2015: weather@home—Development and validation of a very large ensemble modelling system for probabilistic event attribution. *Quart. J. Roy. Meteor. Soc.*, **141**, 1528–1545, doi:10.1002/qj.2455.
- Neale, R. B., and Coauthors, 2012: Description of the NCAR Community Atmosphere Model (CAM 5.0). NCAR Tech. Note NCAR/TN-486+ STR, 289 pp. [Available online at http://www.cesm.ucar.edu/models/cesm1.0/cam/docs/description/cam5_desc.pdf].
- Otto, F. E. L., N. Massey, G. J. van Oldenborgh, R. Jones, and M. R. Allen, 2012: Reconciling two approaches to attribution of the 2010 Russian heat wave. *Geophys. Res. Lett.*, **39**, L04702, doi:10.1029/2011GL050422.
- , E. Boyd, R. G. Jones, R. J. Cornforth, R. James, H. R. Parker, and M. R. Allen, 2015: Attribution of extreme weather events in Africa: A preliminary exploration of the science and policy implications. *Climatic Change*, **132**, 531–543, doi:10.1007/s10584-015-1432-0.
- Pall, P., T. Aina, D. A. Stone, P. A. Stott, T. Nozawa, A. G. J. Hilberts, D. Lohmann, and M. R. Allen, 2011: Anthropogenic greenhouse gas contribution to flood risk in England and Wales in autumn 2000. *Nature*, **470**, 382–385, doi:10.1038/nature09762.
- Perkins, S. E., S. C. Lewis, A. D. King, and L. V. Alexander, 2014: Increased simulated risk of the hot Australian summer of 2012/13 due to anthropogenic activity as measured by heat wave frequency and intensity [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S34–S37.
- Peterson, T. C., and Coauthors, 2012: Explaining extreme events of 2011 from a climate perspective. *Bull. Amer. Meteor. Soc.*, **93**, 1041–1067, doi:10.1175/BAMS-D-12-00021.1.
- , and Coauthors, 2013: Explaining extreme events of 2012 from a climate perspective. *Bull. Amer. Meteor. Soc.*, **94** (9), S1–S74, doi:10.1175/BAMS-D-13-00085.1.
- Rupp, D. E., and P. W. Mote, 2012: Did human influence on climate make the 2011 Texas drought more probable? *Bull. Amer. Meteor. Soc.*, **93**, 1052–1054.
- , —, N. Massey, F. E. L. Otto, and M. R. Allen, 2013: Human influence on the probability of low precipitation in the central United States in 2012 [in “Explaining Extreme Events of 2012 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **94** (9), S2–S6.

- Schneider, U., A. Becker, P. Finger, A. Meyer-Christoffer, M. Ziese, and B. Rudolf, 2014: GPCC's new land surface precipitation climatology based on quality-controlled in situ data and its role in quantifying the global water cycle. *Theor. Appl. Climatol.*, **115**, 15–40, doi:10.1007/s00704-013-0860-x.
- Shepherd, T. G., 2016: A common framework for approaches to extreme event attribution. *Curr. Climate Change Rep.*, **2**, 28–38, doi:10.1007/s40641-016-0033-y.
- Shiogama, H., M. Watanabe, Y. Imada, M. Mori, Y. Kamae, M. Ishii, and M. Kimoto, 2014: Attribution of the June–July 2013 heat wave in the southwestern United States. *SOLA*, **10**, 122–126, doi:10.2151/sola.2014-025.
- , and Coauthors, 2016: Attributing historical changes in probabilities of record-breaking daily temperature and precipitation extreme events. *SOLA*, **12**, 225–231, doi:10.2151/sola.2016-045.
- Sparrow, S., C. Huntingford, N. Massey, and M. R. Allen, 2013: The use of a very large atmospheric model ensemble to assess potential anthropogenic influence on the UK summer 2012 high rainfall totals [in “Explaining Extreme Events of 2012 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **94** (9), S36–S38.
- Stone, D. A., and M. R. Allen, 2005: The end-to-end attribution problem: From emissions to impacts. *Climatic Change*, **71**, 303–318, doi:10.1007/s10584-005-6778-2.
- Stott, P. A., and Coauthors, 2013: Attribution of weather and climate-related extreme events. *Climate Science for Serving Society: Research, Modelling and Prediction Priorities*, G. R. Asrar and J. W. Hurrell, Eds., Springer, 307–337.
- Swain, D. L., M. Tsiang, M. Haugen, D. Singh, A. Charland, B. Rajaratnam, and N. S. Diffenbaugh, 2014: The extraordinary California drought of 2013/2014: Character, context, and the role of climate change [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95**, S3–S7.
- Titley, D. W., and Coauthors, 2016: *Attribution of Extreme Weather Events in the Context of Climate Change*. National Academies Press, 186 pp., doi:10.17226/21852.
- Tomassini, L., and D. Jacob, 2009: Spatial analysis of trends in extreme precipitation events in high-resolution climate model results and observations for Germany. *J. Geophys. Res.*, **114**, D12113, doi:10.1029/2008JD010652.
- Trigo, R. M., and Coauthors, 2013: The record winter drought of 2011–12 in the Iberian Peninsula [in “Explaining Extreme Events of 2012 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **94** (9), S41–S45.
- Wang, H., and S. Schubert, 2014: Causes of the extreme dry conditions over California during early 2013 [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S7–S11.
- Yiou, P., and J. Cattiaux, 2013: Contribution of atmospheric circulation to wet north European summer precipitation of 2012 [in “Explaining Extreme Events of 2012 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **94** (9), S39–S41.
- , and —, 2014: Contribution of atmospheric circulation to wet southern European winter of 2013 [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S66–S69.
- Zhou, T., S. Ma, and L. Zou, 2014: Understanding a hot summer in central eastern China: Summer 2013 in context of multi-model trend analysis [in “Explaining Extreme Events of 2013 from a Climate Perspective”]. *Bull. Amer. Meteor. Soc.*, **95** (9), S54–S57.