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An Optimal Power Usage Scheduling in Smart Grid integrated with Renewable Energy Sources for Energy Management

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ABSTRACT Existing power grids (PGs) and in-home energy management controllers do not offer its users the choice to maintain comfort and provide a bearable solution in terms of low cost and reduced carbon emission. This work is based on energy usage scheduling and management under electric utility and renewable energy sources i.e., solar energy (SE), controllable heat and power (CHP) and wind energy (WE) together. Efficient integration of renewable energy sources (RES) and battery storage system (BSS) have been suggested to solve the energy management problem, reduce the bill cost, peak-to-average ratio (PAR) and carbon emission. User's electricity bill reduction have been achieved by proposed power usage scheduling method and integrating low cost RESs. PAR minimization have been achieved through shifting the demand in response to real time price from high-peak hours to low-peak hours. In this context, load scheduling and energy storage system management controller (LSEMC) is proposed which is based on heuristic algorithms i.e., genetic algorithm (GA), wind driven optimization (WDO), binary particle swarm optimization (BPSO), bacterial foraging optimization (BFO) and our suggested hybrid of GA, WDO and PSO (HGPDO) algorithm. The performance of the heuristic algorithms and proposed scheme is evaluated numerically. Results demonstrate that our proposed algorithm and the LSEMC reduces the electricity bill, PAR and CO₂ in Case 1, by 58.69%, 52.78% and 72.40%, in Case 2, by 47.55%, 45.02% and 92.90% and in Case 3, by 33.6%, 54.35% and 91.64%, respectively as compared with unscheduled. Moreover, the user comfort by our proposed HGPDO algorithm in terms of delay, thermal, air quality and visual improves by 35.55%, 16.66%, 91.64% and 45%, respectively.

INDEX TERMS Energy management; battery energy storage systems; renewable; hybrid heuristic algorithms; power usage scheduling; smart grid.

I. INTRODUCTION

With the growth of population and development, it is estimated that the energy demand may grow by 3% at the end of year 2021 [1]. Traditional power grids (PGs) powered by fuels, produce 64.5% of power worldwide. These PGs emit a larger amount of carbon, where the generation sector and transport sector almost release 40% and/ 24% carbon respectively [2]. According to the Energy Information Administration (EIA), the average electric bill for U.S. households could increase by 2.3% next year [3]. This drastic increase in demand and cost will result int the need to generate electricity from alternative sources like solar, thermal, and wind. Moreover, to cover the exponential increase in energy demand, reduce carbon emission and low cost, researchers have suggested new means of power generation by renewable energy sources (RES) [4]. To efficiently use these RES, we IEEE Access

need to renovate traditional PGs into smart grids (SGs). SG has the ability to meet growing demands and incorporate new RES together. SG incorporate modern communication infrastructure with existing grid to efficiently use available energy sources at site [5].

Nomenclature Main cymbols		
Main symbols	Valuation of montialize of 6	
v_j^t F_c	Velocity of particles at t	
F_{C}	Coriolis force	
μ_{Ω}	Inertia factor Earth rotation	
	coefficient of friction	
α_c ρ	Air density	
F_{f}	Friction force	
r f F		
F_{pg} F_{g}	Friction gradient Gravitational force	
rg s	Finite volume of the air	
$\delta_v^g \Delta$	Pressure gradient	
	Ant	
2 g	Acceleration due to gravity	
y v	Smart home	
x	Set	
M	Set of shift-able appliances	
T	Time interval	
t	Time slot	
Ν	Set of non-shiftable appliances	
v_i^t	Current velocity at t	
Pach	Power scheduling matrix	
$\stackrel{P_{sch}}{A}$	All appliances	
DTR	Delay time rate	
$N_{gmax}_{ ho DTRa}$	Maximum iteration	
$_{o}DTRa$	Total DTR	
vt-1	Global best position	
$E_{ex}^{A}(t)$	-	
$E_{ex}(t)$	Energy purchased from external grid	
L_t	Total load	
L ^{sch} _{bill} L ^{nsch} _{bill}	Schedulable load bill	
Lisch	Non-schedulable load bill	
E_{nn}^{out}	PV power	
E_{pv}^{out} P_{wd}	Wind generation power	
E_{bio}^{wa}	CHP generation power	
1	Appliance operation time	
α / β	Operation start/end time	
η	Price per kWh	
γ	Electricity emissions factor	
$\phi(t)$	Total RES at time t	
E_{pv}	Energy conversion efficiency factor	
A_{pv}	Area of panel in (m^2)	
Ir(t)	Solar irradiance at time slot t	
$T_a(t)$	Outdoor temperature at time slot t	
ζ	Weighted factor	
α_1, α_2	Shape factors	
β_1,β_2	Scale factors	
A_{tb}	Area of wind turbine blade	
$V_{S}(t)$	Wind speed in m/s at time slot t	
0 W	Total system efficiency	
We	CHP electric output	
L^{Q}_{L} th	Total thermal output Lower heating value	
	Fuel input to CHP	
Q_f		
Q_{br}	Bio-gas recovery	
$\gamma e \\ CF$	Recovery efficiency Capacity factor	
p_t	Capacity factor EV on-board PV generation	
	Remaining energy of p_t	
$g_t l_{ au}$	EV load at time slot τ	
ω	Time constant of HVAC system	
η_e	Thermal conversion efficiency	
A_c	Overall thermal conductivity	
Abbreviations	· · · · · · · · · · · · · · · · · · ·	
UC, TC, VC	User, thermal and visual comfort	
NSA	Non-schedulable appliances	
LOT	Lyapunov optimization technique	
SSA	Smart schedulable appliances	
BPSO, PSO	Binary/particle swarm optimization	
PAR	Peak to average ratio	
EP	Electricity price	
RTP	Real time price	
GA	Genetic algorithm	
WDO	Wind driven optimization	
HEMS	Home energy management system	
SG, MG	Smart grid, micro grid	
HGPDO	Hybrid-GA, PSO and WDO	

At user end, there is absence of energy management and load scheduling. Moreover, at traditional PGs, there is lack of communication infrastructure. Besides, due to excess emission of carbon from fuel based PGs, a rise in the environmental pollution has occurred [32]. It is not feasible for the consumers to change the schedule of their electric appliances by compromising comfort level. Accordingly, the LSEMC is required, which smartly schedule the load of home appliances. The main highlights of our research are as

follows:

- · Efficient Integration of power storage systems and generation systems i.e., BSS, solar, thermal, WE, electric vehicles storage system (EVSS) and external electric grid (EEG) have been suggested to solve the problem of energy management.
- The SE, CHP, WE, BSS, EVs and domestic consumption is made controllable so that the management of power is possible.
- To reduce power consumption form EEG in response to RTP which narrows and shifts load in peak hours.
- Procurement of energy from low price systems i.e. SE, CHP and wind to reduce the user electricity bill.
- Efficient BSS integration and utilization of EVSS in peak hours.
- Maximize user comfort (UC) by minimize delay (delay is the waiting duration to serve the appliances).
- PAR and CO₂ emission percentage reduction.
- Average UC maximization in terms of delay, visual comfort (VC) and thermal comfort (TC).
- Scheduling HVAC which uses almost 50% of the load [25], in such a way to not compromise the TC and achieve less delay comfort and bill as compared with the unscheduled.

The remaining work is organized as follows: section II presents the related works, section III explains the proposed algorithms, section IV describes the proposed system model and, section V discusses the simulation results. Section VI concludes the work.

II. RELATED WORKS

Several approaches have been adopted for optimized energy management and load scheduling. Moreover, with the advent of information technology, the demand for power rises dayby-day. In the literature, for enhanced energy management and load scheduling, numerous techniques have been suggested. Providing opportunity for the user to schedule and shift their demand from high peak hours to off peak hours using energy management controllers (EMC). Related work with techniques used, objectives and limitations are summarized in Table 1.

With the SG advancement, a user can reduce the electricity bill charges by integrating the RESs. In [6], the authors discussed an artificial neural network (ANN) based model for the integration of RESs to reduce cost and minimize carbon emission. As a result the energy cost of the consumer is reduced by 35%. However, they ignored the integration of BSS and user comfort in their work. In [7], the authors suggested both GA and WDO and then compared the results. The results showed 29% and 36.2% reduction in the bill cost and PAR respectively. However, they have ignored RESs integration in their work. The authors in [8] suggested the techniques of Harris' Hawk optimization combined with integer linear programming (ILP) to solve the randomness problem and to This article has been accepted for publication in a future issue of this journal, but has not been fully edited. Content may change prior to final publication. Citation information: DOI 10.1109/ACCESS.2021.3087321, IEEE Access



Ateeq Ur Rehman et al.: An Optimal power usage scheduling in smart grid

schedule the user appliances. Authors' main objectives were to analyze cost and the trade-off strategies for user comfort and financial benefits. Their model is adoptable to user requirement and robust levels, however, carbon reduction and RES has been ignored. In [9], to solve the optimization problem, minimize operation cost and carbon emission, the authors suggested techniques of multi-objective genetic algorithm (MOGA). The authors in [10] have considered a smart home, which is connected to a grid while different appliances were drawing energy from external grid. Integration of mix energy system has been discussed which includes energy storages, renewable sources and photo voltaic (PV). Authors in [11] have used a multi-objective linearization approach for home energy management with RES and plug-in hybrid electric vehicle (PHEV). Their objectives were to minimize energy bill and load profile deviation. Nevertheless, user comfort has not considered. In [12], authors have proposed an intelligent approach for demand side management with forecasting and net-metering structure. Their objectives were to reduce cost, PAR and carbon emission. In [13], authors have developed a load scheduling and energy management model for electric vehicle, which was connected to external grid and charging stations. In remote areas, where power grid extendibility is costly and not feasible, the mini grid concept is effective and reliable [14]. The authors in [13]-[15] have worked on management of storage and real-time load scheduling of renewable energy (RE) integrated electric vehicle (EV). Their main objectives were to minimize energy gaining from external grid and charge stations which is parking station, public and home charge stations. Moreover, delay minimization, load scheduling, price reduction of aboard PV, PV efficiency and reduction of battery degradation cost and yearly carbon in air were also their objectives. In [16], authors have proposed a model for IoT-enabled smart home for energy management and load scheduling. Their main objectives were to alleviate peak formation and reduce the cost with constraints of user comfort. In [17], an innovative home EMC based on ANN and day-ahead grey wolf modified enhanced differential evolution algorithm (DA-GmEDE) is suggested. They develop a strategy for energy management with day-ahead demand response and power consumption forecasting in SG. In [18], authors have recommended a smart charge and discharge scheduling algorithm based on linear programming (LP) for EV. However, user comfort, carbon emission and RE integration to grid were ignored. In [19], authors have suggested a nano-grid concept to cater peak demand and reduce blackouts. This model work for residential side to auto disconnect the low priority loads. Their objectives were to reduce system from blackout based on the neighbour level. However, user comfort is not included in system model. In [20], authors have presented a pyramid convolution neural network (CNN) based learning model for energy forecasting. They have suggested that power consumers can be grouped into clusters and then representative system could be designed and trained, which can accurately forecast power load for each customer. In [21], authors have presented a storage management model by installing EV batteries as backup. It further involves integration of EV batteries system to smart grid by adopting MILP formulation. However, battery degradation and capacity were not included in cost reduction. In [22], authors particularize a demand side-management methodology that is useful for households based on ANN. Their model includes PV and energy storage and aim a decision-making system to reduce bill, however, battery degradation cost and user comfort is not considered. In [23], authors suggested a home energy management system model to establish and predict a control system based on mixed-integer quadratic programming (MIQP) technique powered by thermal source. Their main objective was to curtail electricity bill, though RESs were not integrated. In [24], authors have suggested micro-grid concept to run data centers on it, to mitigate carbon emission, reduce bill and power outage issues. They used lyapunov optimization technique (LOT) to design and simulate their system model. In [25], an energy management system for sustainable smart home is suggested with HVAC load and random occupancy. Their objectives were to minimize cost and reduce cost of thermal discomfort using LOT. Although, carbon emission reduction in system model were ignored. In [26], a novel controller for scheduling of appliances and integrating RES in virtual plant have been designed. They have used PSO algorithm to minimize cost in crucial time and prioritize the sustainable resources. In [27], to increase the smart grid potential, they have integrated smart homes with renewable energy. The main objectives were PAR and cost reduction, but the impact of comfort were not considered. In [28], a data focused machine learning (ML) approach applied to model the demand and electricity forecasting have been proposed. However, EMC was not included in this work. In [29], a multi-headed CNN based model for price prediction and integration of RES and BSS with SG were suggested. Their proposed scheme helps to reduce the electricity bill of users by 58.32% and 63.02% through integration of RESs without and with BSS, respectively. In [30], authors have proposed a dynamic programming (DP) based EMC for shifting load of demand side management to optimize the smart home appliances usage pattern. Their objectives were to reduce cost, PAR and maintain UC level. In [31], authors have presented a domestic demand model, which showed all dwelling appliances scheduling pattern for twenty two homes over a year. In [32], a hybrid programmable home management system has been proposed based on PSO and GA algorithm. However, thermal comfort and CHP generation was not included. In [34], authors have suggested an optimal home EMC based on heuristic algorithms. Their objectives were to reduce cost, PAR and integrate RES into the system model. They have achieved 59.06% and 17.40% reduction in bill cost and PAR respectively. In [35], an optimal load scheduling framework based on hybrid gray wolf-modified enhanced differential evolutionary (HGWmEDE) algorithm has been suggested. They scheduled the household load using the output of the forecaster module. In [36], a shaping load **IEEE**Access

techniques based on demand side management for industrial plant has been optimized using GA. It has achieved an overall PAR reduction of 21.91%.

III. PROPOSED MODEL

In this section, our proposed model is discussed in detail. The methods which have been adopted have also been summarized. We used BPSO, GA, WDO, BFO and HGPDO, because the said algorithms have heuristic nature and initialization of population, which initially tends to optimal and best solutions and subsequently, fills up to the rest of the population with the random solutions. Previously, LP, ILP, DP, MILP, etc., methods have been adopted for appliances scheduling and multi-objective problems. Nevertheless, these methods cannot handle a bulky number of home appliances and also face several convergence difficulties. GA, BPSO, WDO, HGPDO, BFO algorithm overtakes the classical techniques of optimization, and for solving multi-objective problems it provide various methods. The parameters and their respective values are specified in Table 2.

A. GA

The GA is adopted which is a natural inspired algorithm to find optimal solutions. It randomly generates solutions of population containing a defined number of characters/individuals. Each solution contains a set of all kinds of variables denoted as a chromosome. New solutions can be obtained which contains old and new characteristics by calculating the fitness values, after that selecting individuals, crossover and mutation. A solution of best fitness can be generated after its judgement. The defined GA algorithm is used as taken in [32]. Referring to the selection process, the roulette selection method is used, in which the individual with a better fitness value has a higher probability to be selected for further processing. In general, the chromosomes are exemplified through binary strings and these are taken easy to splitting and recombining. Table 2 shows all parameters with values used in algorithm.

B. BPSO

BPSO is a technique inspired by nature for searching optimal solution inside a search space. Initially, it was presented in form of continuous domain. But, later it was explored to discrete domain. The PSO discrete domain is known as BPSO. It has mainly dependency on four aspects: (i) individual best position of particles, (ii) best global position, (iii) initial velocity and (iv), the initial position amongst all the particles. In BPSO, an exploration space is formed, and a randomly population is initialized and spread in the exploration space. To update the particles velocities in each iteration equation (1) is used in [32]. While the parameters values are in Table 2.

$$\sum_{t=1}^{24} \sum_{i=1}^{I} \sum_{t=1}^{24} \sum_{i=1}^{I} v_i^{t+1} = \sum_{t=1}^{24} \sum_{i=1}^{I} uv_i^t(t) + z_1 k_1(X_{lbest}, i(j) + z_2 k_2(X_{gbest}, i(j) - x_i^t(j))$$
(1)

In the above equation u is the inertia factor, v_i^t and v_j^t is current velocity and velocity of particle, respectively. k_1 and k_2 are random numbers while, z_1 , z_2 are local and global pull, respectively. x is the particle's current position, X_{lbest} and X_{gbest} are the local and global best positions respectively. To map the velocities of particle between 0 and 1, equation (2) is used.

$$sim\left(v_{i}^{t+1}\left(j\right)\right) = \frac{1}{1 + exp(-v_{i}^{t+1}\left(j\right))}$$
(2)

C. WDO

WDO is a heuristic based optimization algorithm. It is centered on air particles motion phenomenon in atmosphere. In this technique, an N-dimensional exploration space is formed, in which unlimited particles of air move. In WDO, frictional, gravitational, Coriolis, and pressure gradient forces are involved to control air particles. Each force have their own functions i.e., pressure gradient force and friction force function for shifting the air particles in the forward direction and resisting this forward direction respectively. Also, the force of gravitational function pulls the particles of air in the direction of origin, and the Coriolis force's act to detect the particles of air in the atmosphere. To calculate the frictional, gravitational, pressure gradient force and Coriolis force, equations (3), (4), (5) and (6) are used, respectively. Which are given below [32].

$$F_{pg} = -\Delta\rho\sigma_v \tag{3}$$

$$F_c = -2\Omega \times \mu \tag{4}$$

$$F_g = -\rho\sigma_v \times g \tag{5}$$

$$F_f = -\rho\sigma_v \tag{6}$$

Where Coriolis force; velocity factor of wind is represented by F_c . The Ω notation represents the earth rotation. The symbols F_f and α denotes friction force and friction coefficient respectively. F_{pg} is the gradient force due to pressure, δ_v represents the air finite volume, Δ denotes the gradient of pressure, F_g denotes the gravitational force, ρ and g is the density of air and acceleration because of gravitational force respectively. In WDO, particles of air are taken as n, and random solutions are formed from these particles. A fresh population is produced, after updating velocities and evaluating the fitness function. After this, the comparison of old and new air particles fitness function for an optimal home appliance scheduling structure is found.

D. BFO

BFO is a nature-stimulated optimization algorithm. It is inspired by the social searching behavior of Escherichia coli bacteria. In BFO algorithm, the bacteria swim in exploration of n number of nutrients and choose the best nutrients (solutions) to make the most of its energy. BFO also has four steps: reproduction, elimination-dispersal, swimming and chemotaxis. In the chemotaxis step, the parameters initialization of foraging starts, after that the parameters initialization takes



Ateeq Ur Rehman et al.: An Optimal power usage scheduling in smart grid

Table 1: Related work summary.

Reference No	Techniques	Objectives	Limitations/Research gaps
[6]	ANN	Integrate RESs to reduce bill and carbon emission	BSS and UC is ignored
[7]	GA,WDO	Reduce bill and alleviate PAR	RE integration is not included
[8]	ILP	Schedule appliances and reduce uncertainty	No RES integration and carbon emission reduction included
[9]	MOGA	Reduce operation cost and CO ₂ emission	No UC
[10]	LOT	Reduce cost and PAR	No UC and carbon emission
[11]	Multi-objective linearization technique	Reduce cost, and load profile deviation	No UC
[12]	HBFPSO	Curtail cost, PAR and carbon emission	No WE,SE and CHP
[13]- [15]	LOT	Minimize system cost and carbon emission	Applicable to remote area
[16]	Hybrid-WDO, BFA	Minimize cost, PAR and improve comfort	No BSS model included and carbon emission is ignored
[17]	DA-GmEDE	Cost reduction, PAR reduction and UC enhancement	Carbon emission is ignored
[18]	LP	EV smart charge/discharge schedule controller	Cost and carbon emission is not discussed
[19]	UFUV	Energy management and load reduction	No UC and carbon emission reduction included
[20]	CNN	Energy forecasting	UC and BSS model ignored
[21]	ANN	PV integration and reduction bill	Battery cost ignored
[22]	Game theory	PAR and cost reduction	Low comfort
[23]	ML	Demand forecasting	No EMC model
[24]	NN	Shape demand and estimate load	UC is ignored
[25]	LOT	Improve comfort, reduce electricity bill	UC is ignored
[26]	PSO	Integrating RES in virtual plant	No BSS and UC included
[27]	Analytical model	To increase SG potential by integrating RES	No UC included
[28]	ML	Demand forecasting	No EMC model
[29]	CNN	Price prediction, RES and BSS integration	UC is ignored
[30]	MKP, DP	Reduce electricity bill and PAR	BSS model is ignored
[31]	Stochastic, LOT	Optimize power cost	Thermal and visual comfort ignored
[32]	HGPO	Reduce bill, PAR and carbon emission	Low load and no thermal comfort included
[33]	Analytical model	Large BSS integration to PV system	High cost
[34]	Hybrid of GA and ACO	Reduce cost, PAR and carbon emission	No wind generation and less UC achieved
[35]	HGWmEDE	Optimal load scheduling and handle load randomness	No RES and BSS model included
[36]	GA	Shaping load and demand	Comfort is not included

place, the primary positions of all appliances are examined, and then the new positions of the bacteria (solution matrix) are calculated by the scheme. In the second step, the swimming loop is initialized to find the current best condition of the appliances, after this step is completed, the reproduction iteration loop starts. For the new population, only the fittest solution is used. Finally, the minimum fit solutions are removed, and new random samples are fitted with reduced probability. This is an important step because, the least fit solutions are rejected, and the probability of recurrence is minimized.

E. HGPDO

The HGPDO is our suggested algorithm. In HGPDO, the features of GA, BPSO and WDO algorithms are combined to proficiently reduce electricity bill cost, PAR and UC in terms of VC, TC and CO₂ emission. The GA, WDO and BPSO are chosen because these algorithms efficiently reduces PAR, effectively reduce electricity cost and return maximum UC in terms of visual and thermal comfort, respectively. HGPDO primarily has three stages where, in the stage one, the initial steps of PSO are implemented. In the stage two, the steps of WDO are adopted and in the third stage, the GA mutation and crossover are applied to the current global best position X_{gbest}^{t-1} , form by BPSO and $UC_{best}^{TC,VC}$ found by WDO, respectively. The GA features of crossover and mutation functioned at the best global position and best comfort offers good results as compared with their working to the individual and random based population. Figure 2 presents the flowchart of HGPDO algorithm. The parameters used in HGPDO and their values on which its best optimal results are achieved are listed in Table 2. All parameters and constraints values of GA, PSO and WDO are applied. The proposed method inputs, parameters initialization and step by step computation are given in Algorithm 1.

Table 2: Algorithms parameters

Algorithm	Parameters	Values
GA	Number of iterations	200
	Population size	200
	P_m	0.1
	P_c	0.9
	N	11
BPSO	Number of iterations	200
	Swarm size	200
	V_{max}	4
	V_{min}	-4
	\mathbf{W}_i	2
	C_1	0.4
	C_2	2
	N	11
WDO	Number of iterations	200
	Population size	200
	dim _{min}	-5
	\dim_{max}	5
	V_{min}	-0.3
	V_{max}	0.3
	R_t	3
	n	11
	g	0.2
	а	0.4
BFO	Number of iterations	200
	N_e	24
	N_r	5
	N_c	5
	N_p	30
	N_s	2
	C_i	0.01
	P_{ed}	0.1
	θ	0.1
HGPDO	Same parameters as	Same values as of
	of GA, PSO and WDO	GA, PSO and WDO

IV. SYSTEM MODEL

Consider a residential area, an EG connected smart home building (SHB) is fitted out with smart meters having load scheduling and energy storage system management controller (LSEMC) which employ and work on our proposed HGPDO algorithm. The LSEMC fetch all elements, such This article has been accepted for publication in a future issue of this journal, but has not been fully edited. Content may change prior to final publication. Citation information: DOI



Ateeg Ur Rehman et al.: An optimal power usage scheduling in smart grid

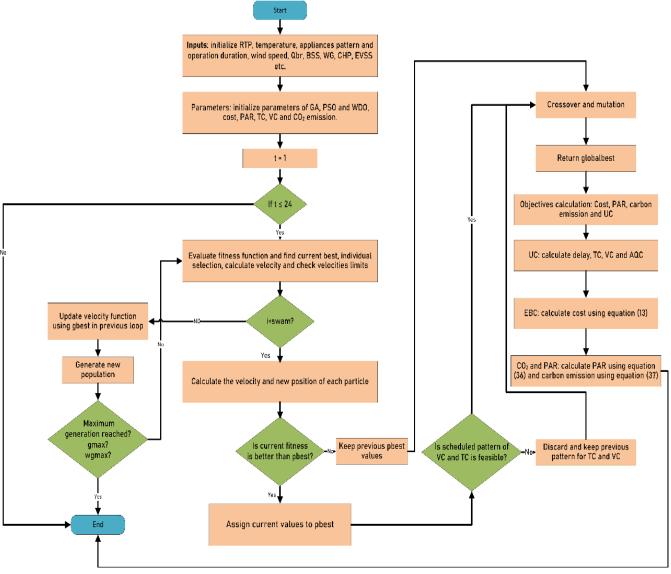


Figure 1: Proposed HGPDO algorithm flowchart

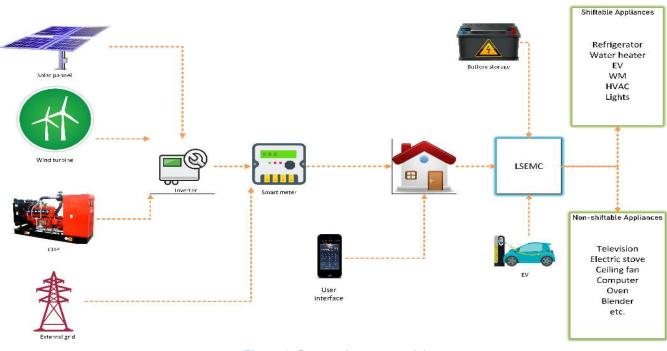
as electrical home appliances, user comfort signal (share preferences of appliances), renewable energy sources (RESs) local generations i.e., SE, WE, CHP running on biomass and energy storage devices i.e., home BSS and electric vehicle storage system (EVSS). Our algorithm will check for EV availability at home and having sufficient energy level to serve as backup at peak hours. Smart appliances will communicate with controller through home area network and LSEMC will control scheduling of those appliances on proposed scheme.

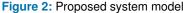
A LSEMC is operated at the external grid connected SHB which gathers these information: (i) UC signal about the loads pattern (i.e., scheduled or urgent; in terms of their durations of operations, tolerable delay, time of arrival, etc.) and comfort in terms of optical, heat, ventilation and air controller (HVAC), (ii) PV power generation output and corresponding constraints, (iii) Wind power generation output values and

respective constraints, (iv) micro-combine heat and power (CHP) and gas boiler (GB) generation values and relevant constraints, (v) level of energy of both BSS and EVSS and their relevant constraints, (vi) real time price signals of electricity and external electricity grid constraints. On sharing the above information, the LSEMC apply the proposed algorithm to determine optimal scheduling, manage the energy and storage system. It is assumed that all the LSEMC operations are performed at fixed time slots, carried per time slot, and all the essential data is securely and timely brought by a communications network. There are many wireless solutions for communication purpose such as Wi-Fi, ZigBee, Z-Wave, or a wired home plug protocol in SG. A simple system architecture of SHB is presented in Figure 2. This article has been accepted for publication in a future issue of this journal, but has not been fully edited. Content may change prior to final publication. Citation information: DOI 10.1109/ACCESS.2021.3087321, IEEE Access



Ateeq Ur Rehman et al.: An Optimal power usage scheduling in smart grid





A. ENERGY PROCUREMENT

The smart building in residential area procures energy for scheduled loads and urgent loads from EG, in home PV, WE and CHP. While in peak hours, it also uses BSS along with the EV battery as backup if available at home.

B. EXTERNAL GRID

The smart building is purchasing energy from external grid at defined price, discussed in subsequent subsection. The building procure energy from the external electricity grid. Let $E_{ex}(t)$, be the procured amount of energy from the grid at time t.

$$0 \le E_{ex}(t) \le E_{ex,max} \tag{7}$$

C. PRICE MODEL

There are several electricity tariffs, to set a price over 24 hours i.e., peak pricing (PP), time of use pricing (ToUP), critical peak pricing (CPP) and real time pricing (RTP) [32]. In most of the appliances scheduling systems, the pricing of electrical energy is supposed to be ToUP or RTP. Moreover, in ToUP, the pricing of total duration is divided into different slabs and for each slab a fixed price is defined. In this work, we practice RTP, in which the price of electrical energy vary on the hourly basis and rests constant during an hour's duration. Equations (8) and (9) calculate the 24 hours electricity bill of smart schedulable appliances (SSA) L^{sch} and non-schedulable appliances (NSA) L^{nsch} respectively.

$$L_{bill}^{sch} = \sum_{t=1}^{24} \left(\sum_{M=1}^{m} \left(L_{m\in M}^{sch}\left(t\right) \times S_{m\in M}^{sch}\left(t\right) \times EP\left(t\right) \right) \right)$$
(8)

$$L_{bill}^{nsch} = \sum_{t=1}^{24} \left(\sum_{N=1}^{n} \left(L_{n\in N}^{nsch}\left(t\right) \times S_{n\in N}^{nsch}\left(t\right) \times EP\left(t\right) \right) \right)_{(9)}$$
$$L_{bill}^{total} = L_{bill}^{sch} + L_{bill}^{nsch} = L_{bill}^{sch} = \sum_{t=1}^{24} \left(\sum_{M=1}^{m} \left(L_{m\in M}^{sch}\left(t\right) \times L_{m\in M}^{sch}\left(t\right) \right) \right)_{(9)}$$

$$S_{m\in M}^{sch}(t) \times EP(t) + \sum_{N=1}^{n} \left(L_{n\in N}^{nsch}(t) \times S_{n\in N}^{nsch}(t) \times EP(t) \right)$$
(10)

$$S_{m\in M}^{sch}(t) = \begin{cases} 1 \text{ if schedulable appliance is ON} \\ 0 \text{ if schedulable appliance is OFF} \end{cases}$$
(11)

$$S_{m\in N}^{nsch}(t) = \begin{cases} 1 \text{ if } non - schedulable appliance is ON} \\ 0 \text{ if } non - schedulable appliance is OFF} \end{cases} (12)$$

Where $S_{m\in M}^{sch}(t)$ represent the indication of on/off state of M number SSA and $S_{n\in N}^{nsch}(t)$ represent the on/off state of N number NSA, and EP(t) is electricity price in the particular time slot t. The electricity bill L at any time slot t after taking all RES and BSS into consideration is calculated as following

$$L_{bill} = (L^{sch}(t) + L^{nsch}(t) - (Eex(t) + E_{pv}(t) + P_{wd}(t) + E_{bio}(t)) - BSS(\tau))$$
(13)

Where the τ is the duration when the RESs are either not available or sufficient, so the load drains energy from BSS. Our main objective function is given in (14) below.

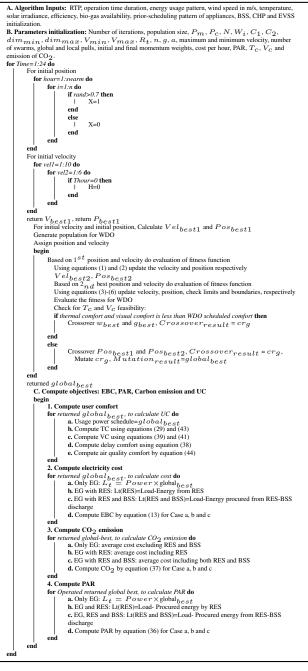
$$min\left(\sum_{t=1}^{T} L_{bill}(t) + CO_2 + PAR + Delay\right)$$
(14)

subject to constraints (15)-(19).

$$L^{sch}\left(t\right) + L^{nsch}\left(t\right) = \left(E\left(t\right) + BSS\left(t\right) + \varphi\left(t\right)\right) \quad (15)$$



Algorithm 1: HGPDO Algorithm steps



$$\sum_{a=1}^{n} \eta = l(a) \tag{16}$$

$$\sum_{\alpha=1}^{n} \alpha \le \eta \le \beta \tag{17}$$

$$\varphi\left(t\right) = RES\tag{18}$$

$$0 \le BSS_{min} \le BSS_{max}, \ \forall t \in T$$
 (19)

Where, l represent length of appliance operation time, α

and β shows the appliance operation start and end time respectively. While, $\varphi(t)$ denotes the total procurement of renewable energies at time slot t.

D. PV GENERATION SYSTEM

PV panel solar power generation depend primarily on solar radiations and over-all estimated radiation [32]. Solar power output depend on radiation amount, direction of panels and transfer efficiency [34]. The generated energy in each time slot within 24 hours is firstly supplied to scheduled load and is characterized as in (20) [32].

$$E_{pv}(t) = E_{pv} A_{pv} Ir(t) (1 - 0.05) (T_a(t) - 25) \forall t$$
(20)

In equation (20), E_{pv} denotes the energy efficiency conversion factor of the solar panels, A_{pv} is the surface area of the panels (m^2) , Ir(t) is the radiance of solar (kW/m^2) at time t, the correction factor of temperature is 0.005 [34], $T_a(t)$ denotes the outside temperature in (°C) at time t and standard room temperature is 25(°C). The sun hourly irradiation distribution generally follows a bimodal spreading that can be measured as a linear blend of two unimodal distribution functions. It could be demonstrated using Weibull probability density function as in equation (21) [34].

$$f\left(Ir_{pv}\left(t\right)\right) = \zeta\left(\frac{\alpha 1}{\beta 1}\right) \left(\frac{Ir\left(t\right)}{\beta 1}\right)^{\left(\alpha 1-1\right)} e^{-\left(\frac{Ir}{\beta 1}\right)^{\alpha 1}} + (1-\zeta)$$
$$\left(\frac{\alpha 2}{\beta 2}\right) \left(\frac{Ir\left(t\right)}{\beta 2}\right)^{\left(\alpha 2-1\right)} e^{-\left(\frac{Ir_{pv}}{\beta 1}\right)^{\alpha 2}} \quad 0 < Ir\left(t\right) < \infty$$
(21)

Where, ζ denotes a weighted factor, α_1 and α_2 are the shape factors, together with β_1 and β_2 which are scale factors.

E. WIND ENERGY

Power generation of wind turbines owed to the kinematic energy of wind speed, hence, its production of electrical energy primarily depends on meteorological conditions and direction of wind flow. It is assumed that procured amount of energy from wind turbine is given by:

$$P_{wd}(t) = \frac{1}{2} * \rho * A_{tb} * V_s(t)^3$$
(22)

Where, ρ , A_{tb} denotes the air density and area of turbine blade, respectively, while, the symbol $V_s(t)$ denotes the air speed in m/s.

F. MICRO-CHP

In order to meet the SHB power demand, it is supposed that the building is acquiring energy from its own controllable micro-CHP generation system. Details of CHP system are specified as follows. CHP system electricity generation on average uses 32% less fuel, thus results in 50% less carbon emission. Renewable fuel such as biomass, biogas, renewable natural gas (RNG) and renewable hydrogen (RH) have potential to reduce carbon emission even further. For thermal generation, electrical generation or as transport fuel,

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Ateeq Ur Rehman et al.: An Optimal power usage scheduling in smart grid

the methane CH_4 biogas can be used [5]. The efficiency CHP system is calculated by equation 23:

$$\partial = \frac{W_e + \sum Q_{th}}{Q_f} \tag{23}$$

In the above equation symbol ∂ is the total system efficiency, W_e is the valuable electric output, Q_{th} is the total thermal output and Q_f is the fuel energy input. A CHP system achieve about 60 to 80 percent efficiency.

The important fuel source for CHP is biomass, mostly produced from the forests. From the decomposition of organic matter the biogas is obtained in the absence of oxygen in a controlled tanks or landfills. CH_4 is the main components of biogas . CH_4 concentration generally ranges from 30% to 65%. CH_4 material of biogas can be used for thermal and electrical power generation as a transport fuel. Now, biogas is an active means for producing RE, so it in turn plays a significant part in energy production and is eco-friendly. There are numerous means for gaining biogas in the urban areas like waste of Industries, pruning waste, solid waste, municipal and industrial wastewater. The electrical power generation through biogas is defined in equation (24). This equation is associated to the biogas conversion to valuable energy of heat and electricity [5].

$$E_{bio}(t) = \frac{L * Q_{br}(t) * \partial}{\gamma_e}$$
(24)

Where $E_{bio}(t)$ is the available electrical power (kWh) at slot t, L is lower biogas calorific value, Q_{br} is biogas recovery and availability, ∂ is efficiency and γ_e is recovery efficiency. The total power P of micro-CHP generator is calculated using equation (25) as in [5]. Where CF denote capacity factor; is the plant accessibility factor which is taken in [80-90]% and t is duration in hours.

$$P(t) = \frac{E_{bio}(t)}{t * CF}$$
(25)

G. EV ON BOARD PV

EV On board PV generated energy is used for driving tasks and remaining is stored in electric vehicle storage system (EVSS). We assume that the harvested amount of energy from the PV source installed at EV is firstly used for driving loads and motor [13], while, the remaining energy is stored in EVSS. The EV on-board PV harvested amount of energy is g_t , such that:

$$p_t = \min\left\{\sum_{\tau=0}^t lm_\tau \mathbf{1}_\tau(\Delta_\omega, \tau), g_t\right\}$$
(26)

Where, the term $\sum_{\tau=0}^{t} lm_{\tau} 1_{\tau}(\Delta_{\omega}, \tau)$ represents the total EV load arriving at time slot t [13]. The $1_{\tau}(\Delta_{\omega}, \tau)$ indicate whether the load lm_{τ} is being served or not at time slot τ . The remaining energy, if any, is stored into EVSS and drawn from it only in peak hours and if the home BSS is not sufficient.

H. POWER CONSUMPTION

In SHB there are schedulable smart appliances and fixed appliances which consume power from EG and RES. Power consumption of load and HVAC is discussed below in detail.

I. LOAD CONSUMPTION MODEL

We suppose that SHB has different appliances loads L(t)at 24 hour duration which arrive over the time slots with temporal variability and uncertainty. Each L(t) has power rating ς for a duration. In this work, the appliances are classified in two kinds: smart schedulable appliances (SSA). SSA can operate themselves such as washing machines, dish washer, air conditioners, refrigerator and manually-operated [12] while fixed loads does not incur in bill or PAR reduction. Consider that the SH has two major sets of appliances i.e. L^{sch} and L^{nsch} , where L^{sch} is the set of schedulable i.e., can be shifted to operate in low peak slots, $L^{sch}(t) =$ $\{a_1, a_2, a_3...a_m\}$ and L^{nsch} is the set of non-schedulable appliances i.e., immediately operate on the time and preference set by the consumer, $L^{nsch}(t) = \{b_1, b_2, b_3...b_n\}$ over a scheduling duration of $t = \{1, 2, 3, ..., 24\}$. The 24 hours energy consumption of schedulable and non-schedulable load are given by equations (27) and (28) respectively.

$$L^{sch}(t) = \sum_{t=1}^{24} \left(\sum_{M=1}^{m} L^{sch}t, m \in M \right) = \{ L^{sch}t1, m \in M + L^{sch}t2, m \in M + \dots + L^{sch}t24, m \in M \}$$
(27)

$$L^{nsch}(t) = \sum_{t=1}^{24} \left(\sum_{M=1}^{m} L^{sch}t, m \in M \right) = \{L^{nsch}t1, m \in M + L^{nsch}t2, m \in M + \ldots + L^{nsch}t24, m \in M \}$$
(28)

J. HVAC CONSUMPTION MODEL

HVAC is other shift-able appliance for heating, cooling and ventilation in a building. HVAC uses almost 50% of total consumption [25]. The HVAC generally, has two mode of operations i.e., heating and air cooling. In this work, we focused on cooling in summer and heating in winter. According to [25], the indoor temperature dynamics caused by an HVAC system could be obtained as follows:

$$T_{t+1} = \epsilon T_t + (1 - \epsilon) \left(T_t^{out} + \frac{\eta_e}{A_c} e_t \right), \,\forall t \qquad (29)$$

Where, T_t the indoor temperature and T_t^{out} denote outdoor temperature, η_e is the thermal conversion efficiency, and A_c in $kW/^{\circ}F$ is the overall thermal conductivity, moreover, $\varepsilon = e^{-\tau/\omega}$ where ω is the time constant of system.

K. STORAGE MODEL

1) BSS Model

BSS is used to stores the remaining amount of RE to serve as backup in peak hours. It stores the energy by satisfying **IEEE**Access

the overcharging and depth of charging constraints. Energy charged in the BSS at time slot t is described by equation (30) as in [32]. The electricity discharged, the electricity charged and the self-discharging rate is also considered. The discharging and charging of BSS would gain or lose electrical energy, so turn-around the BSS efficiency is depicted as:

$$BS(t) = BS(t - 1) + k.\delta^{BS}.EP^{ch}(t) - \frac{k.EP^{dch}(t)}{\delta^{BS}}$$
(30)

Where, BS denote the stored energy (Ah) at time t, k is time slot duration (hour), δ^{BS} is the efficiency of BSS, EP^{ch} is the electric power (kW) provided to BSS from RES at time t and EP^{dch} is the electric power (kW) provided to the load from BSS at time t. The battery charging and discharging constraints are given below.

$$EP^{ch}(t) \le EP^{ch}_{UB} \tag{31}$$

$$EP^{dch}(t) \le EP_{LB}^{dch} \tag{32}$$

$$BSS(t) \le ES_{UB}^{ch} \tag{33}$$

In order to keep the BSS in good condition and avoid deep discharging or overcharging, discharge and charge rate of electrical energy, and energy stored in BSS should not cross the limits approved by the company.

2) EVSS mobile backup

Depending on the EV total load and total source conditions, the EVSS can be charged from PV, WE system and external power grid and its on-board PV [13]. EVSS can be used as a mobile backup, our algorithm will check for the availability of EV at home and the battery charge level for serving as mobile backup in peak hours to shift load. Let EV availability is indicated as:

$$Ev(a) = \{1 \text{ if availabe at home, else } 0\}$$
(34)

$$Ev(s) = \{1 if charging, 0 idle and -1 if discharging\}$$
(35)

The EV battery charging level at different hours after the driving tasks and status of availability is shown in Figure 12 and charge level in Figure 13.

L. PAR

PAR is the ratio of peak load consumed in a time slot t and the average of total load used over the scheduling hours duration i.e., from t = 1 to T where T = 24 hours. PAR describes the power consumption activities of the user and the operation EG peak hours and have a direct relationship with the user PARs. So, it is in favor for both the electric utility and user to mitigate PAR so that energy supply and user demand balance can be sustained. For single user, it is considered as in [34].

$$PAR = \frac{max(L^{total}(t))}{\frac{1}{T}\sum_{t=1}^{T}L^{total}(t)}$$
(36)

M. CARBON EMISSION

In this work, carbon emission is calculated using equation (37) as in [32] and [14]. Where avg(EP(t)) denotes the average cost of electricity per month, while η illustrate the electricity price per kWh equal to 0.20 dollars and γ represents the emission factor of electricity equal to 1.37, while m denotes number of months in one year.

$$CO_2 = \frac{avg(EP(t))}{\eta.\gamma.m} \tag{37}$$

N. UC MODEL

In this work, we compute the UC in terms of delay, thermal, air quality and visual comfort, each details and mathematical formulation is given below.

1) Delay comfort

This comfort is related to serving time of each appliance. Delay comfort is calculated using equation (38) as in [32]. Where unsch(t) denotes the time of serving in unscheduled method while sch(t) denotes the time of serving in scheduled method. Delay and electricity cost are both related to UC.

$$D_{comfort} = \frac{\sum |unsch(t) - sch(t)|}{\sum (sch(t))}$$
(38)

2) Visual comfort

Visual comfort is related to the number of lights and waiting time to serve. It will be adjusted to user preference while, indoor luminous intensity is defined as in [14].

$$V_{comfort}(t) \triangleq \frac{Ne.Le(t).fs.\vartheta.M}{A}$$
 (39)

Where N_e denotes the number of lightening devices, $V_{comfort}(t)$ in (39) shows the indoor illumination in Ailluminated indoor area. The room luminous can be adjusted by energy consumption level of individual lighting devices $L_e(t)$, that have their respective source flux value f_s , ϑ utilization factor and M maintenance factor. The user visual comfort V_c and delay $AP_{W_t}^{Lights}$ have an inverse relation, this relationship can be mathematically presented as in [30]:

$$V_c \propto \frac{1}{AP_{W_t}^{Lights}} \tag{40}$$

$$AP_{W_t}^{Lights} = (AP_{D_h}^{Lights} - AP_{S_h}^{Lights})$$
(41)

Where $AP_{D_h}^{Lights}$ is the user preference of lights and $AP_{S_h}^{Lights}$ is the scheduled hours for lights.

3) Indoor temperature comfort

The temperature of adjustable HVAC system, their temperature is varied in a definite range which is based on user preferences. The indoor temperature can be adjusted by varying the energy consumption of temperature adjustable HVAC equipment. The indoor temperature is varied within the range of $20^{\circ}C \sim 25^{\circ}C$ [14], for a single person in the smart home, to feel comfortable. The thermal comfort is calculated



by equation (43). The user thermal comfort and waiting time $AP_{W_t}^{HVAC}$ have an inverse relation, this relationship can be mathematically expressed as follows:

$$T_c \propto \frac{1}{A P_{W_t}^{HVAC}} \tag{42}$$

$$AP_{W_t}^{HVAC} = \left(AP_{D_h}^{HVAC} - AP_{S_h}^{HVAC}\right) \tag{43}$$

Where T_c is the thermal comfort, $AP_{D_h}^{HVAC}$ is user preference of HVAC and $AP_{S_h}^{HVAC}$ is the scheduled hours for HVAC.

4) Air quality

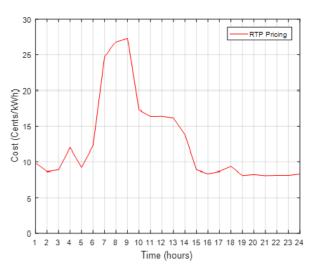
Air quality is measured in terms of carbon emission in the environment. The concentration of indoor carbon can be varied through adaptive ventilation system in the SHB. Thus, based on user preferences, indoor good air quality can be kept by ventilating fresh air into the indoor area. Mathematically, the parameters of the indoor carbon concentration can be stated as in [14]:

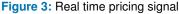
$$\zeta_{t+1} = \zeta_t + \frac{F_{air}(\zeta_{out} - \zeta_t) + \zeta_{in}}{V}$$
(44)

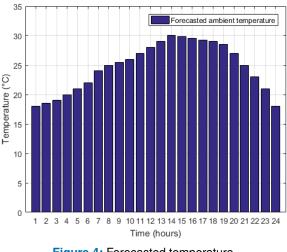
This equation (44) shows that the carbon concentration ζ_{t+1} in an indoor zone of volume V that can be adjusted by varying the amount of fresh air F_{air} in the zone with respect to its accumulation value of the CO₂ concentration ζ_t depending upon the outdoor carbon concentration ζ_{out} and the indoor carbon generation ζ_{in} . The fresh air cooling and heating can be adjusted by complementing the equation (29) when the cooling mode is required. The desired range of indoor fresh air is taken in terms of carbon concentration which ranges between 740ppm~780ppm [14].

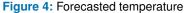
V. RESULTS AND DISCUSSION

The results and simulations of proposed LSEMC algorithm are presented in this section. In our system model, the incorporation of RES, BSS, EVSS and proposed HGPDO algorithm performance is evaluated and discussed in three cases. In case one, only EG (excluding RES and BSS), while, the case two is EG with RES, and the case three is EG combine with both BSS and RES. For simulations, we used the MATLAB simulation tool. To discuss the suggested LSEMC, we assumed a smart home having six shiftable appliances and EG, RES and BSS as a source. While BSS and EVSS is only used at peak price hours for load shifting. The smart grid signals; RTP [32], forecasted temperature [4], wind speed [4], biogas output [5] and solar irradiance [34] taken in the suggested LSEMC are showed in Figures 3, 4, 5, 6 and 7 respectively. The electricity generation by solar system primarily depends on ambient temperature and solar irradiance, while, wind and CHP depends on wind speed and biogas recovery efficiency. We have assumed 90% of total RES in all available time slots of the scheduled time. As well, 30% of RES in each time slot is consumed for BSS charging, of which the 20% contributes from the solar, while 10%









contributes from the wind and CHP. Figure 8, 9 and 10 shows the estimated power generation of solar, CHP, wind and the remaining RE after BSS charging respectively. Furthermore, figure 11 shows charging level of BSS along with EVSS.

A. CASE 1: ONLY EG

In this scenario, the smart home building is only using the external grid power for scheduled and unscheduled loads. We will discuss electricity bill cost (EBC), PAR and carbon emission.

1) Electricity bill cost

Figure 14 demonstrates the EBC of unscheduled load and scheduled load without BSS and RESs. In unscheduled, the maximum electricity cost is 1091 cents in slot hour 9 and in hour slot 16, the minimum cost is 165.81 cents. In case of BPSO, the maximum electricity cost is 727.41 cents in the hour slot 9 and minimum cost is at hour slot 19. In



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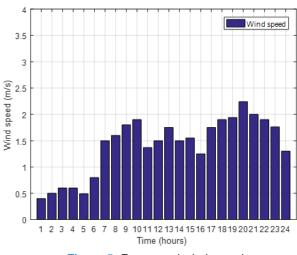
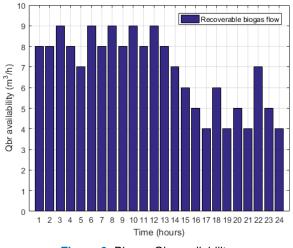
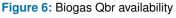
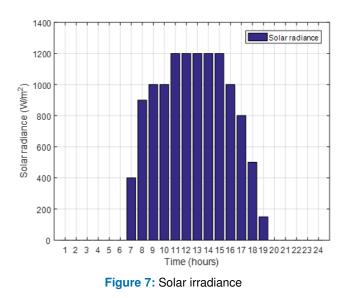


Figure 5: Forecasted wind speed







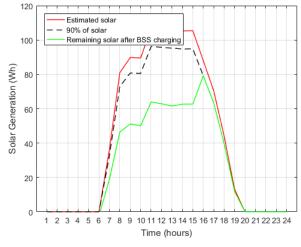
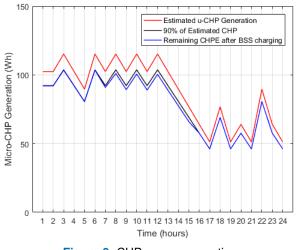
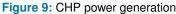
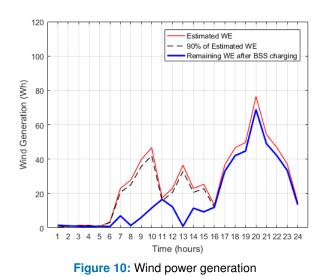
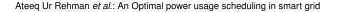


Figure 8: Solar power generation









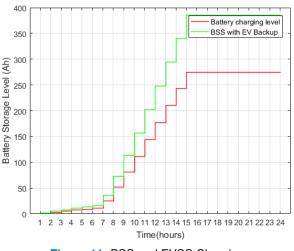
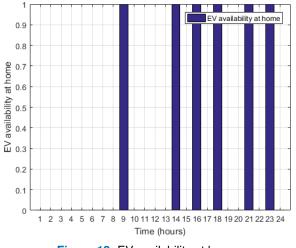
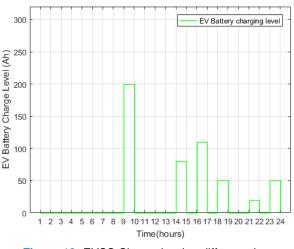
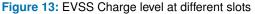


Figure 11: BSS and EVSS Charging









case of WDO, the maximum electricity cost is 699.70 cents in the hour slot 9. In HGPDO algorithm, maximum cost in the hour slot 7 is 386.64 cents, whereas in BFO, it is 488.83 cents in time hour slot 9. It is 727.41 cents in the time slot 9 in GA based scheduling method. The HGPDO algorithm performance is better in terms of EBC reduction when compared with the other exploratory algorithms. The average electricity bill over 24 hours in unscheduled, GA, WDO, BPSO and BFO are 337.34, 224.89, 170.92, 324.17, 160.07 cents, respectively. While with our proposed algorithm, it is 138.82 cents. The cumulative, electricity bill cost illustrates that GA, BPSO, WDO, BFO and HGPDO reduce the electricity bill cost by 33.33%, 3.90%, 49.33%, 52.54% and 58.69%, respectively. Nevertheless, in this case, the best cost minimization is achieved with the proposed scheduling algorithm. The average cost over 24 hours of all algorithms as compared with the proposed model is shown in Table 3.

Table 3: Case 1 cost comparison

Algorithm	Average cost (cents)	Difference (average cents)	Reduction (%)
Unscheduled	337.34		
GA	224.89	112.45	33.33%
BPSO	324.17	13.17	3.90%
WDO	170.92	166.42	49.33%
BFO	160.07	177.27	52.54%
HGPDO	138.82	198.52	58.69%

2) PAR

Figure 15 shows the PAR in Case 1, when SHB is only utilizing energy from EG. In unscheduled it is 3.302, while in GA, PSO, WDO and HGPDO it is 3.037, 2.964, 2.836, 2.654 and 1.559 respectively. Results demonstrate that GA, PSO, WDO, BFO and HGPDO reduce the PAR by 8.025%, 10.23%, 14.11%, 19.62% and 52.78% respectively. Although the PSO and WDO shift load to low peak price hours which create new peaks and disturb the utility system. A penalty is required to be imposed for preventing the system from such disturbance. Table 4 shows the PAR comparison of all algorithms with the proposed algorithm for the Case 1.

Table 4: Case 1 PAR comparison

Algorithm	Total PAR	Difference (PAR)	Reduction (%)
Unscheduled	3.302		
GA	3.037	0.265	8.025%
BPSO	2.964	0.338	10.23%
WDO	2.836	0.466	14.11%
BFO	2.654	0.648	19.62%
HGPDO	1.559	1.743	52.78%

3) Carbon emission

Carbon emission in Case 1 is shown in Figure 16. Where the desired carbon (D) is 760ppm. In case of unscheduled it is 1014 ppm, which shows carbon emission is far from the desired range. While in GA, BPSO, WDO, BFO and HGPDO it is 703.7 ppm, 535.5 ppm, 393.1 ppm 534.8 ppm and 72.4 ppm respectively. The reduction in carbon emission in this

1200

1000

800

600

400

200

0

D&Unsch

D&GA

B. CASE 2: EG WITH RES INTEGRATION

We will discuss EBC, PAR and carbon emission.

D&PSO

Figure 16: Case 1 carbon emission in (ppm)

D&WDO

Case 1:Only EG carbon emission(ppm)



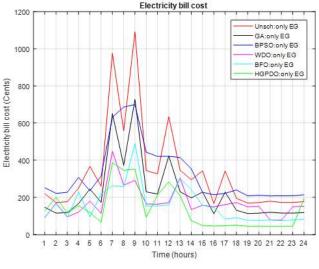


Figure 14: Case 1 electricity bill cost

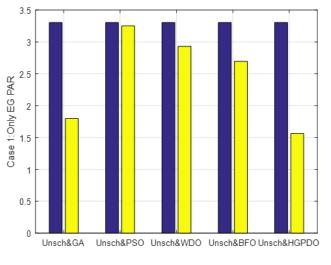


Figure 15: Case 1 PAR

case for GA, BPSO, WDO, BFO and HGPDO is 7.40%, 29.53%, 48.27%, 29.63% and 72.40% respectively. In this case, WDO and HGPDO show good results in case of carbon emission reduction. Table 5 illustrate the comparisons of user desired, unscheduled carbon emission and emission by all scheduling algorithms.

Table 5: Case 1	carbon emissio	n comparison
-----------------	----------------	--------------

Algorithm	Carbon emission (ppm)	Difference (ppm)	Reduction (%)
CO ₂ concentration	760		
Unscheduled	1014.0	-254	-33.42% (increased)
GA	703.7	56.30	7.40%
BPSO	535.5	224.5	29.53%
WDO	393.1	336.9	48.27%
BFO	534.8	225.2	29.63%
HGPDO	72.4	687.6	72.40%

14

In this scenario, the smart building is using the external grid

power along with RES for scheduled and unscheduled task.

Ateeg Ur Rehman et al .: An optimal power usage scheduling in smart grid

D&BFO D&HGPDO

1) Electricity bill cost

Figure 17 demonstrates the EBC of unscheduled load and scheduled load utilizing power from EG and RES. In unscheduled, the maximum electricity cost is 722.35 cents in slot hour 9 and in slot hour 16, the minimum cost is 109.10 cents. In BPSO, the maximum cost is 581.13 cents in the hour slot 9 and minimum cost is at hour slot 19. In case of WDO, the maximum electricity cost is 496.55 cents in the slot hour 9. In HGPDO algorithm, cost is 322.24 cents in the slot hour 8, while in BFO, it is 484.37 cents at slot hour 9. In GA centered scheduling method, cost is 666.79 cents in slot hour 9. The performance of the HGPDO algorithm in terms of EBC reduction is better when compared with the other discussed exploratory algorithms. The average electricity bill over 24 hours in unscheduled method, GA, BPSO, WDO and BFO are 225.91, 208.53, 216.23, 187.45 and 168.82 cents, respectively. While with our proposed algorithm it is 118.48 cents. In cumulative, electricity bill cost illustrate that GA, BPSO, WDO, BFO and HGPDO reduce the electricity bill cost by 7.69%, 4.21%, 17.02%, 22.27% and 47.55%, respectively. Nevertheless, in this Case 2 the best cost minimization is achieved with the proposed scheduling algorithm. The average cost over 24 hours duration comparison of all algorithms and the proposed algorithm in case two is shown in Table 6.

Ateeq Ur Rehman et al.: An Optimal power usage scheduling in smart grid

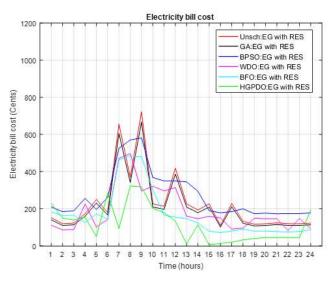


Figure 17: Case 2 electricity bill cost

Table 6: Case 2 cost comparison

Algorithm	Average cost (cents)	Difference (average cents)	Reduction (%)
Unscheduled	225.91	-	-
GA	208.53	17.38	7.69%
BPSO	216.23	9.68	4.21%
WDO	187.45	38.46	17.02%
BFO	168.82	57.09	25.27%
HGPDO	118.48	107.43	47.55%

2) PAR

Figure 18 shows the PAR in Case 2, when SHB is utilizing energy from EG and RESs. In unscheduled, it is 2.985, while in the case of GA, PSO, WDO, BFO and HGPDO it is 2.803, 2.523, 2.453, 2.185 and 1.651 respectively. The results demonstrate that GA, PSO, WDO, BFO and HGPDO reduce the PAR by 6.13%, 15.47%, 17.82%, 26.80% and 45.02% respectively. BFO and our proposed algorithm reduce PAR very efficiently. Although the PSO and WDO shift load to low peak price hours which create new peaks and disturb the utility system. A penalty is required to be imposed for preventing the system from such disturbance. Table 7 shows the PAR comparisons of all algorithms with the proposed algorithm for the Case 2.

Table 7: Case 2 PAR comparison

Algorithm	Total PAR	Difference (PAR)	Reduction (%)
Unscheduled	2.985		
GA	2.803	0.183	6.13%
BPSO	2.523	0.462	15.47%
WDO	2.453	0.532	17.82%
BFO	2.185	0.800	26.80%
HGPDO	1.651	1.344	45.02%

3) Carbon emission

Carbon emission in Case 2 is shown in Figure 19. Where the desired carbon (D) is 760ppm. In case of unscheduled,

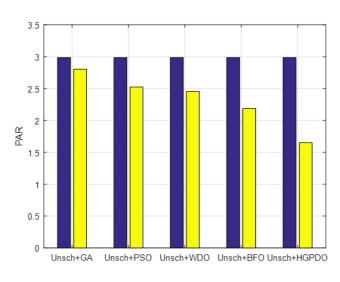


Figure 18: Case 2 PAR

it is 1003 ppm, which shows carbon emission is far from the desired range. While in GA, BPSO, WDO, BFO and HGPDO it is 682.1 ppm, 446.8 ppm, 360.7 ppm 594 ppm and 53.4 ppm, respectively. The reduction of carbon emission in this case for GA, BPSO, WDO, BFO and HGPDO is 10.50%, 41.21%, 52.60%, 21.84% and 92.90% respectively. In this case, WDO and HGPDO shows good results in terms of carbon emission reduction. Table 8 illustrate the comparison of desired, unscheduled carbon emission and emission by all scheduling algorithms.

Table 8: Case 2 carbon emission comparison

Algorithm	Carbon emission (ppm)	Difference (ppm)	Reduction (%)
CO ₂ concentration	760		
Unscheduled	1003	-243	-31.97%(increased)
GA	682.1	77.9	10.50%
BPSO	446.8	313.2	41.21%
WDO	360.7	399.8	52.60%
BFO	594	166	21.84%
HGPDO	53.4	706.6	92.90%

C. CASE 3: EG WITH RES AND BSS INTEGRATION

In this scenario, the smart building using the external grid power for scheduled and unscheduled task along with RES and BSS and EVSS. We will discuss EBC, PAR, carbon emission and UC.

1) Electricity bill cost

Figure 20 demonstrates the EBC of unscheduled load and scheduled load, utilizing power from EG with RES and BSS. In unscheduled, the maximum electricity cost is 711.82 cents in slot hour 9 and minimum cost is 102.88 cents in slot hour 16. In BPSO, the maximum electricity cost is 503.57 cents in the slot hour 9 and minimum cost is at slot hour 19. In WDO, the maximum cost of electricity is 522.29 cents in the slot hour 9. In HGPDO algorithm, cost is 423.48 cents in the slot hour 8, while in BFO, it is 444.97 cents in slot hour

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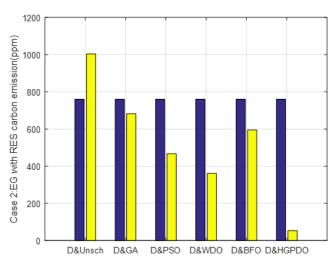


Figure 19: Case 2 carbon emission in (ppm)

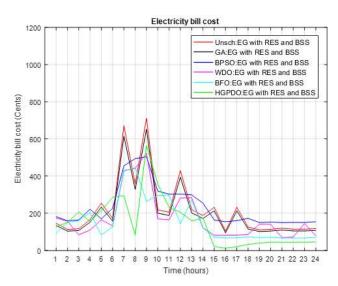
9. In case of GA based scheduling technique, it is 652.51 cents in the slot hour 9. The HGPDO algorithm performance is better in terms of EBC reduction when compared with the other discussed algorithms. The average electricity bill over 24 hours in unscheduled, GA, BPSO, WDO and BFO are 222.51, 203.97, 213.30, 175.05 and 156.38 cents, respectively. While with our proposed algorithm it is 147.61 cents. The cumulative, electricity bill cost illustrates that GA, BPSO, WDO, BFO and HGPDO reduces the electricity bill cost by 9.68%, 4.13%, 21.32%, 29.72% and 33.66%, respectively. Nevertheless, in this Case 3 the best cost minimization is achieved with proposed scheduling algorithm which uniformly distribute load over low and high peaks hours. The average cost over 24 hours duration comparison of all algorithms and proposed algorithm in Case 3 is shown in Table 9.

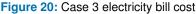
Table 9: Case 3 cost comparison

Algorithm	Average cost (cents)	Difference (average cents)	Reduction (%)
Unscheduled	222.51		
GA	203.97	21.54	9.68%
BPSO	213.30	9.21	4.13%
WDO	175.05	47.46	21.32%
BFO	156.38	66.13	29.72%
HGPDO	147.61	74.9	33.66%

2) PAR

Figure 21 demonstrates the PAR of user scheduled and unscheduled load. In unscheduled, it is 2.537, while in the case of GA, PSO, WDO, BFO and HGPDO it is 2.197, 2.049, 1.741, 1.727 and 1.158 respectively. The results demonstrate that the PAR is reduced as a result of proposed GA, PSO, WDO, BFO and HGPDO algorithms by 13.40%, 19.23%, 31.37%, 31.92% and 54.35%, respectively. Nevertheless, the HGPDO algorithm curtail the PAR significantly as compared with the other exploratory algorithms. The load is shifted to off peak slots by GA and WDO and create new peaks. Con-





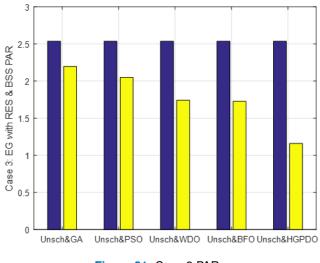


Figure 21: Case 3 PAR

versely, the PSO and HGPDO algorithms allocate the load equally and attain the required objective. Table 10 present the PAR comparisons of all algorithms with the proposed algorithm in the Case 3.

Table 10: Case 3 PAR comparison

Algorithm	Total PAR	Difference (PAR)	Reduction(%)
Unscheduled	2.537		
GA	2.197	0.340	13.40%
BPSO	2.049	0.488	19.23%
WDO	1.741	0.796	31.37%
BFO	1.727	0.810	31.92%
HGPDO	1.158	1.379	54.35%

3) Carbon emission

Carbon emission in Case 3 is shown in Figure 22. Where the desired carbon (D) is 760ppm. In case of unscheduled, it

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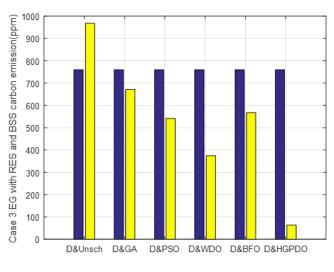


Figure 22: Case 3 carbon emission in (ppm)

is 968.3 ppm, which shows carbon emission is far from the desired range. While in GA, BPSO, WDO, BFO and HGPDO it is 671.6 ppm, 541.6 ppm, 374.8 ppm 567.5 ppm and 63.5 ppm, respectively. The reduction in carbon emission in this case for GA, BPSO, WDO, BFO and HGPDO is 11.63%, 28.73%, 50.68%, 25.39% and 91.64% respectively. In this case, WDO and HGPDO shows better results in terms of carbon emission reduction. Table 11 illustrates the comparison of desired, unscheduled carbon emission and emission by all scheduling algorithms.

Table 11: Case 3 carbon emission comparison

Algorithm	Carbon emission (ppm)	Difference (ppm)	Reduction (%)
CO ₂ concentration	760		
Unscheduled	968.3	-208.30	-27.40% (increased)
GA	671.6	88.40	11.63%
BPSO	541.6	218.40	28.73%
WDO	374.8	385.20	50.68%
BFO	567.5	193.00	25.39%
HGPDO	63.5	696.5	91.64%

D. USER COMFORT

1) Delay and cost comfort

UC is related to both EBC and scheduling wait time. Comfort is considered using equation (38) in terms of scheduling wait time in this work. Scheduling wait time is that the consumer must wait to turn on their appliances. Consumer will control their home appliances agreeing to scheduling pattern provided by controller for reduction in EBC. A consumer who desires to reduce the cost, he will compromise on comfort level. Figure 23 shows the average scheduling wait time of all home appliances scheduled by GA, HGPDO, WDO, PSO and BFO algorithms respectively. GA has no wait time in serving HVAC load. Our proposed algorithm scheduling wait time of all appliances is less than one hour. Table 12 displays the scheduling time of the home appliances in minutes and respective improvement in case of HGPDO algorithm.

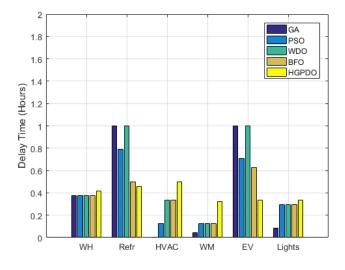


Figure 23: Waiting time of all appliances

Table 12: Delay comfort (minutes)

Appliances	GA	BPSO	WDO	BFO	HGPDO	Comfort(%) by HGPDO
Water heater (WH)	37	37	37	37	41	31.66%
Refrigerator	100	100	60	45	45	25.00%
HVAC	0	16	33	33	50	16.66%
Washing machine (WM)	04	12	12	12	32	46.66%
EV	100	70	100	62	31	48.33%
Lights	09	29	29	29	33	45.00%

2) Thermal comfort

Thermal comfort is calculated using equations (29) and (43) which is related to HVAC, cooling and heating (summer and winter season) and user given preference. Figure 24 shows the thermal comfort of our proposed HGPDO, GA, WDO, PSO and BFO algorithms. BPSO and WDO returns best values of TC. Also, it schedules the HVAC load on urgent basis with zero delay.

3) Visual comfort

Visual comfort is calculated using equations (39) and (41) which is related to number of lights, luminous intensity and user given preference. Figure 24 shows the visual comfort of our proposed HGPDO, GA, WDO, PSO and BFO. WDO returns the best values of VC because it schedules lights on urgent basis with zero delay.

4) Air quality comfort

Air quality comfort is calculated using equations (37) and (44). The AQC is related to carbon emission, electricity consumption and desired air freshness factor. Figure 25 shows the air quality comfort of our proposed HGPDO, GA, WDO, PSO and BFO. The proposed algorithm reduces the carbon emission, which results in less concentration of CO_2 in air, hence, the optimal AQC is achieved.

VI. CONCLUSION AND FUTURE WORK

The authors have proposed an efficient load scheduling and energy management controller for smart home building to



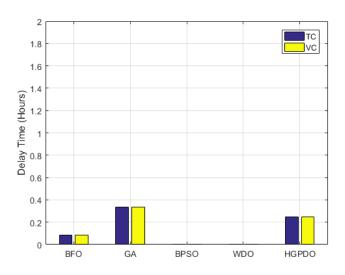


Figure 24: Thermal and visual comfort in minutes

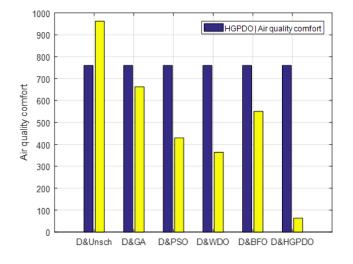


Figure 25: Air quality comfort in (ppm)

reduce the electricity bill, PAR, carbon emission and improve UC in terms of visual, thermal, air quality and delay. This work considers a smart building utilizing power from EG, BSS and RES i.e., solar, thermal and wind power to shift load to peak hours and achieve the objectives. The huge HVAC load is scheduled with other different shiftable and smart appliances to reduce load. Each appliance in the smart home is scheduled using GA, BPSO, WDO, BFO and the proposed optimization technique, HGPDO. The proposed technique helps to find the most optimum schedule of each home appliance considering system constraints. The performance of the proposed scheme and heuristic algorithms are evaluated using real time pricing scheme via MATLAB simulations. Moreover, we compared the results of the proposed method with the GA, PSO, WDO and BFO to check its efficiency. Results demonstrate that the proposed algorithm and integration of RES and BSS reduces the electricity bill, PAR and CO_2 in Case 1, by 58.69%, 52.78% and 72.40%, in Case 2, by 47.55%, 45.02% and 92.90% and in Case 3, by 33.6%, 54.35% and 91.64%, respectively as compared with unscheduled. Moreover, the user comfort by our proposed HGPDO algorithm in terms of delay, thermal, air quality and visual improved by 35.55%, 16.66%, 91.64% and 45%, respectively.

This work is based on domestic smart home load in residential sector. It can be applied to a commercial and industrial sector, and can also be considered with a large number of appliances and onsite renewable energy generation.

In future, we will work on real time algorithms and will evaluate the performance of our proposed system for the same scenarios.

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