1

2

3

24

# Analysis and Design of Three-Stage **Concatenated Color-Shift Keying**

Junyi Jiang, Rong Zhang, Member, IEEE, and Lajos Hanzo, Fellow, IEEE

Abstract-Visible light communication (VLC) relies on abun-4 5 dant unlicensed bandwidth resources. As an attractive high-6 data-rate modulation scheme designed for VLC, color shift keying 7 (CSK)-assisted modulation is analyzed. We commence our study 8 from an uncoded M-CSK scheme relying on the joint maxi-9 mum-likelihood (ML) hard detection (HD) of three colors when 10 communicating over an AWGN channel, where both empirical and 11 analytical results are provided. We invoke extrinsic information 12 transfer (EXIT) charts for designing a Maximum a posteriori prob-13 ability (MAP)-based soft detection (SD)-aided iterative receiver 14 jointly detecting the three colors. Based on the EXIT character-15 istics of M-CSK, we design different signal labeling strategies 16 for diverse color constellations and detection schemes, which are 17 capable of achieving a substantially improved bit-error-rate (BER) 18 performance. Thus, given fixed transmission power, a CSK system 19 using our proposed signal labeling is capable of increasing the 20 reliable data transmission distance by about 30%.

Index Terms-Color constellation, color shift keying (CSK), 21 23 extrinsic information transfer (EXIT) chart, iterative receiver, near capacity, signal labeling.

### I. INTRODUCTION

• O minimize the risk of the impending capacity crunch im-26 posed by the exponentially increased mobile data explo-27 28 sion, heterogeneous networks (HetNets) composed of multiple 29 radio access technologies (RATs), relying on diverse cellular 30 architectures and adaptive transmission modes, have been rec-31 ognized as one of the most promising solutions. Hence, the 32 fifth-generation (5G) wireless system is expected to rely on 33 the HetNet philosophy [1], [2]. Among others, millimeter-wave 34 communications (MWC) [3] and visible light communications 35 (VLC) [4]–[9] constitute a pair of important complementary 36 access technologies in addition to the development of tradi-37 tional RAT along the Long-Term Evolution "avenue" [10]. 38 In particular, VLC has attracted substantial research attention 39 across both the academic and industrial communities since it 40 provides abundant license-free bandwidth, which is free from

Digital Object Identifier 10.1109/TVT.2014.2382875

microwave pollutions, while supporting the dual purpose of 41 both communications and illumination. 42

As an appealing optical wireless communication technology, 43 both high-brightness white and colored light-emitting diodes 44 (LEDs) [5], [12]–[14] may be used for conveying information. 45 As a benefit of their long life expectancy, high lighting effi- 46 ciency, and small size, LED devices are routinely used by car 47 manufacturers in both headlights and taillights. This provides a 48 valuable opportunity for exploiting VLC as a part of the road 49 infrastructure for conveying the teletraffic with the aid of traffic 50 lights, streetlights, and for vehicle-to-vehicle communications 51 using the headlights and taillights. The development of VLC 52 schemes has led to the definition of an IEEE standard [5], 53 [16], which is known as 802.15.7, where the so-called color 54 shift keying (CSK) is used for high-data-rate transmission. To 55 elaborate a little further, Drost and Sadler proposed a CSK con- 56 stellation design using the so-called Billiards algorithm of [19] 57 and expanded their research to an arbitrary number of LEDs 58 in [20]; as a further development, Monterio and Hranilovic 59 proposed another design by invoking the interior point method 60 of [21] and [22], where optimized color constellations were 61 proposed. Furthermore, Singh et al. [23] conceived a novel 62 QuadCSK arrangement by invoking four source lights. Finally, 63 a multiuser CSK scheme was presented by Luna-Rivera et al. 64 in [24]. Nonetheless, at the time of writing, there is a paucity 65 of research on iterative CSK transceiver design; hence, in this 66 paper, we analyze the performance of the M-CSK system's 67 color constellation and design its bit-to-symbol mapping. Our 68 contributions are thus twofold. 69

- We conceive the maximum *a posteriori* probability 71 (MAP)-based soft detection (SD) of CSK and characterize 72 its extrinsic mutual information improvement achieved by 73 our iterative receiver with the aid of extrinsic information 74 transfer (EXIT) charts [25]-[27]. 75
- We characterize the performance limitations of the IEEE 76 standard's constellation labeling, and we circumvent them 77 by designing improved signal labeling strategies with the 78 aid of EXIT charts [28]. As a result, we demonstrate that 79 the power gain attained by this signal labeling improves 80 the achievable transmission distance and/or enhances the 81 link's reliability in vehicular VLC applications. 82

The remainder of this paper is organized as follows. In 83 Section II, we briefly introduce the CSK modulation philoso- 84 phy, including its color coding and intensity conversion tech- 85 niques. Both ML-based hard detection (HD) and MAP-based 86 SD are characterized. In Section III, we focus our attention 87

70

Manuscript received July 7, 2014; revised November 3, 2014; accepted December 13, 2014. This work was supported in part by the Research Council U.K. under the auspices of the India-U.K. Advanced Technology Centre and of the European Union Concerto Project and in part by the European Research Council under an Advanced Fellow Grant. The review of this paper was coordinated by Prof. Y. Su.

J. Jiang and R. Zhang are with the Wireless Group, School of Electronics and Computer Science, SO17 1BJ Southampton, U.K.

L. Hanzo is with the School of Electronics and Computer Science, SO17 1BJ Southampton, U.K., and also with Tsinghua University Beijing 100084, China.



Fig. 1. Transceiver of M-CSK using the joint ML-based HD in (a), the two-stage iterative joint MAP-based SD in (b), and the three-stage iterative joint MAP-based SD with URC predecoder in (c).

88 on EXIT chart-based constellation labeling. We then de-89 sign sophisticated channel coding schemes for achieving a 90 near-capacity performance. Our performance versus coding 91 complexity results and the practically achievable physical trans-92 mission distance are also discussed. Finally, we conclude in 93 Section IV.

94 *Notations:* In this paper, we use lowercase bold fonts to 95 represent a vector, and uppercase bold fonts to denote a matrix, 96 where we let the superscript in bracket  $()^{(n)}$  denote the *n*th 97 candidate in all possible legitimate intensity vectors. Addition-98 ally, the operator  $\mathbb{E}$  stands for expectation and  $\mathbb{T}$  for the SD 99 transfer function;  $\mathcal{Q}$  is the Q-function, which is used for error 100 probability calculation;  $()^T$  represents the matrix transpose; 101 and || || denotes the Euclidean norm. Finally, we employ  $\mapsto$  to 102 represent the misinterpretation of a received symbol as another 103 one in our pairwise error probability (PEP) calculation.

#### 104

### II. COLOR SHIFT KEYING SYSTEM

105 Fig. 1 shows the transceiver architecture of M-ary CSK. 106 Explicitly, in Fig. 1(a), the joint ML-based HD-aided system 107 is presented, whereas our two-stage joint MAP-based SD-108 aided system is shown in Fig. 1(b). As proposed in the IEEE 109 802.15.7 standard [16], the M-ary color coding scheme groups 110 the consecutive  $N_b = \log_2 M$  incoming bits b into M-ary CSK 111 symbols mapped onto a 2-D color constellation point in the 112 form of the xy color coordinate set  $(x_p, y_p) \in \chi$ , where  $\chi$ 113 contains all the xy color coordinate set. The color coordinates 114  $\mathbf{x} = (x_p, y_p)$  are generated by the intensity of the three light 115 sources; hence, these xy color coordinates are converted to the 116 three-element optical intensity vectors  $\mathbf{s}_n = [s_i, s_j, s_k]^T$  before 117 transmission, which represent the power of the light sources.

### A. CSK Modulation

1) Color Coding: The color constellations<sup>1</sup> of 8-CSK and 129 16-CSK are displayed in Fig. 2, where the aforementioned xy 121 color coordinates represent the specific locations of the CSK 122 symbols (marked by  $c_{\xi}$ ,  $\xi = 1, ..., M$ ). The operating light 123 intensity I is normalized to unity. Since CSK operates at a 124 fixed intensity, a constraint is imposed on the maximum output 125 light intensity I [19]. Furthermore, for the sake of fixing the 126 perceived color, we impose an average color constraint on the 127 CSK symbols for the color as follows [21]: 128

$$\sum_{n} \omega_n \mathbf{s}_n = \mathcal{C}_{\text{avg}} \tag{1}$$

118

where  $\omega_n$  is the probability of  $\mathbf{s}_n$ , and  $\mathcal{C}_{avg}$  is a vector represent- 129 ing the average perceived color of the transmitted light, which 130 is known as *color balance*. To elaborate a little further, there 131 is a pair of 2-D color constellations discussed in this paper. 132 Specifically, in *Type I*, the color constellation obeys the IEEE 133 standard of [16], whereas in *Type II*, we rely on a color-balanced 134 constellation, where  $\mathcal{C}_{avg}$  represents a specific perceived color. 135 Specifically, in this paper, we employed a *white-balanced* (WB) 136 color constellation. 137

The 8-CSK and 16-CSK *Type I* color constellations are 138 portrayed in Fig. 2(a) and (b). By contrast, in Fig. 2(c) and (d), 139 the 8-CSK and 16-CSK *Type II* color constellations maintain- 140 ing WB [19], [21] are presented. Owing to the constellation 141

<sup>&</sup>lt;sup>1</sup>The constellation is a collection of xy coordinates, which is defined by the international commission on illumination in CIE 1931 color space of [29], where each location corresponds to a color with a specific wavelength, as perceived by the human eye. The location of constellation points may be on the edge, on the vertices, or within a triangle where the vertices represent the optical light sources, as detailed in [17].



Fig. 2. Color constellation of 8-CSK and 16-CSK, where the operating intensity is normalized to 1. The CSK color constellations specified by the IEEE standard [16] *Type I* are detailed in (a) and (b), whereas those of (c) and (d) are the WB constellations *Type II* proposed in [21]. The details of (c) will be further explained in Table I as an example.

142 simplicity of 4-CSK, the standard color constellation auto-143 matically retains the WB property. Having considered these 144 two types of constellations, the bits **b** are mapped to the 145 corresponding xy color coordinates according to the signal 146 labeling strategy of  $\mu^l(\mathbf{b}) \rightarrow (x_p, y_p)$ , where *l* denotes the *l*th 147 possible signal labeling strategy of all possible candidates, 148 and our specific signal labeling design will be detailed in 149 Section III-A.

2) Light Intensity Conversion: The 2-D xy color coordi-150 151 nates of the symbols are now converted to the 3-D optical signal 152 intensities of light bands. We let S denote the alphabet of the 153 transmitted intensity vector  $\mathbf{s}_n$ . The subsequent conversion to 154 the optical signal intensities of the source light is achieved by 155 simultaneously solving a simple system of three equations, i.e., 156 { $\mathbf{x}_p = \mathbf{x}_c^T \mathbf{s}; y_p = \mathbf{y}_c^T \mathbf{s}; \sum \mathbf{s} = I$ }, where we define the coor-157 dinate vector of the three light sources as  $\mathbf{x}_c = [x_i, x_j, x_k]^T$ 158 and  $\mathbf{y}_c = [x_i, x_j, x_k]^T$  [16]. Thus, the entire CSK modulation 159 process may be interpreted as a one-to-one mapping function. *Example:* For the 8-CSK *Type II* color constellation of 160 161 Fig. 2(c), given the color band combinations of [110, 010, 000],<sup>2</sup> 162 the basic coordinates are  $\mathbf{x}_c = [0.734, 0.402, 0.169]$  and  $\mathbf{y}_c =$ 163 [0.265, 0.597, 0.007], whereas the corresponding color constel-164 lations  $\chi$  in the dimensions  $\mathbf{x} = (x_p, y_p)$  are shown in Table I. Then, upon solving the given system of equations, we arrive 165 166 at the alphabet of intensity vectors s, where an example of the 167 8-CSK's intensity vector alphabet S (columns of  $s_i, s_j$ , and  $s_k$ ) 168 is included in Table I. Furthermore, the notations  $S_{u1}^0$  and  $S_{u1}^1$ 

169 are only relevant for SD-aided CSK, which will be detailed in

 TABLE I

 ALPHABET OF 8-CSK Type II INTENSITY VECTORS S, USING THE COLOR

 BAND COMBINATION OF [110, 010, 000]

	1-	label		-	-	
	a	laber	$\mathbf{x} = (x_{\mathrm{p}}, y_{\mathrm{p}})$	$s_{i}$	$s_{j}$	$s_{\rm k}$
$\mathcal{S}^0_{u_1}$	000	$c_2$	(0.435, 0.299)	0	0.666	0.334
	001	$c_4$	(0.513, 0.486)	0.112	0.278	0.610
	010	$c_5$	(0.324, 0.400)	0.610	0.279	0.111
	011	C7	(0.402, 0.597)	0.501	0	0.499
$\mathcal{S}^1_{u_1}$	100	$c_1$	(0.357, 0.093)	0	1	0
	101	$c_6$	(0.546, 0.179)	0	0	1
	110	C3	(0.169, 0.007)	0.334	0.666	0
	111	$c_8$	(0.734, 0.265)	1	0	0

Section II-D. As for other CSK modulation schemes, a similar 170 procedure will be implemented.

### B. Optical Domain Propagation 172

In this paper, we consider a point-to-point transmission 173 system, where the optical signal intensities  $\{s_i, s_j, s_k\}$  of the 174 three light bands  $\{i, j, k\}$  emitted from the *M*-CSK modulator 175 block of Fig. 1(a) propagate through an optical channel. At the 176 receiver, a dedicated photodetector (PD) corresponding to each 177 of the three light bands is used for converting the received color 178 component into their electronic representations. Explicitly, the 179 output **r** is a distorted and noise-contaminated intensity vector 180 of the color light bands formulated as 181

$$\mathbf{r} = \mathbf{H}\mathbf{s} + \mathbf{v}.$$
 (2)

The optical channel is subjected to potential color-band interfer- 182 ence; hence, **H** is a  $(3 \times 3)$ -element matrix, where the diagonal 183 entries of **H** represent the channel gain of the corresponding 184 band, whereas the other entries host the interference imposed 185 by the other bands. As pointed out in [24], other propagation 186 factors, such as diffuse multipath, dispersion, and the non- 187 LOS components, should also be considered in the future, but 188 again, 90% of the received power is concentrated in the LOS 189 component, whereas the contribution of the power received 190 from reflections is small enough to be neglected. Therefore, the 191 channel matrix may be assumed an idealized identity matrix. 192 Based on these discussions, in this paper, we assume that the 193 system experiences an ideal AWGN channel.

Furthermore, **v** represents the noise imposed in the electronic 195 domain at the receiver, with each entry having a zero mean and 196 a variance of  $\sigma_0^2 = \sigma^2/3$ , where we define the electronic SNR 197 as  $1/\sigma^2$  and  $\sigma^2$  is the total electronic domain noise power at the 198 receiver. Thus, we have  $E_{b_{\text{elec.}}}/N_0 = 1/(N_b\sigma_0^2)$ . Furthermore, 199 we may also conveniently define the optical SNR as  $\gamma_o = \kappa \gamma_e$ , 200 where  $\kappa = \sigma \mathbb{E}\{\|\mathbf{s}\|\}/\mathbb{E}\{\|\mathbf{s}\|^2\}$ .

### C. Joint ML-Based CSK HD of the Three Colors 202

In practice, all the aforementioned detrimental channel 203 effects can be mitigated by the RGB compensation block of 204 Fig. 1(a) for retrieving the transmitted optical intensities.<sup>3</sup> 205

<sup>&</sup>lt;sup>2</sup>The IEEE standard [16] proposed nine valid sets of color band combinations, where each combination represents a set of three potential color schemes to be used in the CSK system. For example, the color band [110, 010, 000] corresponds to the specific color source, which has the center wavelength of 753, 564, and 429 nm [17].

<sup>&</sup>lt;sup>3</sup>Note that we implicitly assumed here lossless conversion from the electronic domain to the optical domain at the transmitter and from the optical domain to the electronic domain at the receiver. Hence, we may use the optical signal intensity and electronic signal amplitude interchangeable.

230

206 When we assume perfect channel state information (CSI) at 207 the receiver, the estimated intensity vector  $\tilde{s}$  is obtained by 208 the joint ML-based HD of the three colors. When an order 209 value of M = 4, 8, 16 is considered, the full-search-based joint 210 ML detection complexity remains moderate, and the estimated 211 intensity vector may then be expressed as

$$\hat{\mathbf{s}} = \underset{\tilde{\mathbf{s}} \in \mathcal{S}}{\arg\min} \|\mathbf{r} - \mathbf{H}\tilde{\mathbf{s}}\|^2$$
(3)

212 which implies that the estimated intensity vector  $\hat{s}$  is given by 213 that specific legitimate intensity vector  $\tilde{s} \in S$ , which exhibits 214 the lowest distance between **r** and **H** $\tilde{s}$ . The estimated intensity 215 vector  $\hat{s}$  is then finally converted back to the resultant bits.

Let us now characterize the analytical performance of our 217 joint ML-based HD by the union-bound-based approach for 218 deriving an upper bound, which may be deemed tight at high 219 SNRs, where the average bit error probability may be written 220 as [30]

$$P_{e,\text{union}} \leq \frac{1}{MN_b} \sum_{\xi=1}^{M} \sum_{\zeta=1, \zeta\neq\xi}^{M} d\left(\tilde{\mathbf{s}}^{(\xi)}, \tilde{\mathbf{s}}^{(\zeta)}\right) P\left(\tilde{\mathbf{s}}^{(\xi)} \mapsto \tilde{\mathbf{s}}^{(\zeta)}\right)$$
(4)

221 with  $d(\tilde{\mathbf{s}}^{(\xi)}, \tilde{\mathbf{s}}^{(\zeta)})$  representing the Hamming distance of the le-222 gitimate intensity vectors  $\tilde{\mathbf{s}}^{(\zeta)}$  and  $\tilde{\mathbf{s}}^{(\zeta)}$ . Furthermore,  $P(\tilde{\mathbf{s}}^{(\xi)} \mapsto$ 223  $\tilde{\mathbf{s}}^{(\zeta)})$  denotes the PEP of these legitimate intensity vectors, 224 which is given by [30]

$$P\left(\tilde{\mathbf{s}}^{(\xi)} \mapsto \tilde{\mathbf{s}}^{(\zeta)}\right)$$

$$= P\left[\left\|\mathbf{r} - \mathbf{H}\tilde{\mathbf{s}}^{(\xi)}\right\|^{2} > \left\|\mathbf{r} - \mathbf{H}\tilde{\mathbf{s}}^{(\zeta)}\right\|^{2}\right]$$

$$= P\left[\left\|\mathbf{H}\tilde{\mathbf{s}}^{(\xi)}\right\|^{2} / 2 - \mathbf{r}^{T}\mathbf{H}\tilde{\mathbf{s}}^{(\xi)} > \left\|\mathbf{H}\tilde{\mathbf{s}}^{(\zeta)}\right\|^{2} / 2 - \mathbf{r}^{T}\mathbf{H}\tilde{\mathbf{s}}^{(\zeta)}\right]$$

$$= P\left[\left(\left(\mathbf{H}\tilde{\mathbf{s}}^{(\xi)} + \mathbf{v}\right)^{T}\mathbf{H}\left(\tilde{\mathbf{s}}^{(\zeta)} - \tilde{\mathbf{s}}^{(\xi)}\right) \\ > \frac{\left\|\mathbf{H}\tilde{\mathbf{s}}^{(\zeta)}\right\|^{2} - \left\|\mathbf{H}\tilde{\mathbf{s}}^{(\xi)}\right\|^{2}}{2}\right]$$

$$= P\left[\mathbf{v}^{T}\mathbf{H}\left(\tilde{\mathbf{s}}^{(\zeta)} - \tilde{\mathbf{s}}^{(\xi)}\right) > \Psi\right]$$
(5)

225 where we have

$$\Psi = \left( \left\| \mathbf{H} \tilde{\mathbf{s}}^{(\zeta)} \right\|^2 - \left\| \mathbf{H} \tilde{\mathbf{s}}^{(\xi)} \right\|^2 \right) / 2 - \left( \mathbf{H} \tilde{\mathbf{s}}^{(\xi)} \right)^T \mathbf{H} \left( \tilde{\mathbf{s}}^{(\zeta)} - \tilde{\mathbf{s}}^{(\xi)} \right).$$
(6)

226 Since  $\mathbf{H}(\tilde{\mathbf{s}}^{(\zeta)} - \tilde{\mathbf{s}}^{(\xi)})$  is a matrix that contains constant num-227 bers, the term  $\mathbf{v}^T \mathbf{H}(\tilde{\mathbf{s}}^{(\zeta)} - \tilde{\mathbf{s}}^{(\xi)})$  obeys the Gaussian distribu-228 tion with  $\mathcal{N}(0, \|\mathbf{H}\tilde{\mathbf{s}}^{(\zeta)} - \mathbf{H}\tilde{\mathbf{s}}^{(\xi)}\|^2 \sigma_0^2)$ . As a result, the PEP 229 between  $\tilde{\mathbf{s}}^{(\xi)}$  and  $\tilde{\mathbf{s}}^{(\zeta)}$  can be written as

$$P\left(\tilde{\mathbf{s}}^{(\xi)} \mapsto \tilde{\mathbf{s}}^{(\zeta)}\right) = \mathcal{Q}\left(\Psi \middle/ \left\| \mathbf{H}\tilde{\mathbf{s}}^{(\zeta)} - \mathbf{H}\tilde{\mathbf{s}}^{(\xi)} \right\| \sigma_{0}\right).$$
(7)

### D. Joint MAP-Based CSK SD of the Three Colors

Let us now discuss the more powerful joint MAP-based 231 SD of M-CSK and its iterative receiver shown in Fig. 1(b). 232 When a sophisticated channel-coded system is considered, the 233 information bit sequence b is first channel encoded, yielding 234 the coded bit sequence u and then bit-interleaved by  $\pi_1$ , 235 before entering into the M-CSK block. The subsequent one- 236 to-one mapping of Fig. 1(b) from the incoming bits to the 237 resultant optical light intensities follows the procedure detailed 238 in Section II-A. Following the PDs employed at the receiver's 239 front end in Fig. 1(b), a joint MAP-based SD block is used 240 for directly converting the received optical signal intensities 241 back to channel-coded soft values, rather than going through 242 the consecutive stages of RGB compensation, demapping, and 243 color decoding, which was required when joint ML-based HD 244 was employed. 245

The joint MAP-based SD block of Fig. 1(b) exchanges 246 *extrinsic* information with the channel decoder, and the final 247 HD is carried out by the channel decoder once a predefined 248 stopping criterion, such as the maximum affordable number of 249 iterations, has been met. For ease of explanation, the following 250 terms are defined:

- $\mathcal{L}_{det}^p$ ,  $\mathcal{L}_{det}^a$ , and  $\mathcal{L}_{det}^e$ : the *a posteriori*, *a priori*, and *ex-* 253 *trinsic* log-likelihood ratio (LLR) of the detection block, 254 which are detailed in [25] and [27]. 255
- $\mathcal{L}_{dec}^{p}$ ,  $\mathcal{L}_{dec}^{a}$ , and  $\mathcal{L}_{dec}^{e}$ : the *a posteriori*, *a priori*, and 256 *extrinsic* LLR of the channel decoder block. 257

Recall that the *M*-CSK scheme groups a set of  $N_b = \log_2 M$  258 consecutive incoming bits, when we consider  $\mathbf{u}_{(1:N_b)}$  to be 259 a binary sequence constituted by  $N_b$  consecutive bits. Then, 260 for the *v*th bit of  $\mathbf{u}_{(1:N_b)}$ , its bit-wise *a posteriori* informa- 261 tion  $\mathcal{L}_{det}^p(u_v)$  can be derived by the max-log approximation, 262 i.e., [31]

$$\mathcal{L}_{det}^{p}(u_{v}) = \mathcal{L}_{det}^{a}(u_{v}) + \max_{\tilde{\mathbf{s}} \in \mathcal{S}_{u_{v}}^{1}} \left[ -\|\mathbf{r} - \mathbf{H}\tilde{\mathbf{s}}\|^{2}/2\sigma^{2} + A \right] - \max_{\tilde{\mathbf{s}} \in \mathcal{S}_{u_{v}}^{0}} \left[ -\|\mathbf{r} - \mathbf{H}\tilde{\mathbf{s}}\|^{2}/2\sigma^{2} + A \right]$$
(8)

where we introduce the shorthand of  $A = \sum_{\tau=1, \tau \neq v}^{N_b} u_v \mathcal{L}^a_{det}(u_\tau)$  264 for compactness. Additionally, we define  $\mathcal{S}^0_{u_v}$  and  $\mathcal{S}^1_{u_v}$  as two 265 subsets of  $\mathcal{S}$ , namely as  $\mathcal{S}^0_{u_v} = \{\tilde{s} \in \mathcal{S} | u_v = 0\}$  and  $\mathcal{S}^1_{u_v} = 266$  $\{\tilde{s} \in \mathcal{S} | u_v = 1\}$ , where a simple subset example is given in 267 Table I. 268

As a result, the *extrinsic* LLRs  $\mathcal{L}_{det}^e$  gleaned from the detec- 269 tion block of Fig. 1(b) are deinterleaved, and then they are fed 270 as the *a priori* LLRs  $\mathcal{L}_{dec}^a$  into the outer decoder of Fig. 1(b). 271 Similarly, as shown in Fig. 1(b), the updated *extrinsic* LLRs 272  $\mathcal{L}_{dec}^e$  are fed back and reinterleaved, before being processed as 273 the *a priori* LLRs  $\mathcal{L}_{det}^a$  by the detection block [32]. 274

### III. EXTRINSIC INFORMATION 275

### TRANSFER-CHART-AIDED ANALYSIS 276

Having obtained the soft LLRs of the joint MAP-based SD, 277 let us now invoke EXIT charts [25] for conveniently analyzing 278 the convergence behavior of our iterative-detection-aided coded 279



Fig. 3. EXIT characteristics of CSK joint MAP-based SD using different color constellations in an AWGN channel and a half-rate RSC outer decoder (solid line). In all figures, the dashed line and the dash-dotted line represent the approximately required minimum electronic  $E_{b_{\rm elec..}}/N_0$  (detailed in legend) to provide an open tunnel for Criteria I and II, and ON–OFF keying (OOK) is added as benchmarker. (a) 8-CSK *Type I*. (b) 16-CSK *Type I*. (c) 8-CSK *Type II*. (d) 16-CSK *Type II*.

280 *M*-CSK system by examining the exchange of the input/output 281 mutual information  $I_{det}^a$  and  $I_{det}^e$  between the inner and outer 282 decoders [25]. To be more explicit, by investigating the EXIT 283 characteristics and the area of open EXIT of the tunnel between 284 the EXIT curves of the inner and outer decoders, we were able 285 to find the most beneficial signal labeling for diverse design 286 objectives. In the remainder of this paper, we assume familiarity 287 with EXIT charts; see [27].

### 288 A. Design Criterion I

Fig. 3 portrays the EXIT characteristics of 8-CSK and 289 290 16-CSK employing both types of color constellations of Fig. 2 291 for transmission over a LOS AWGN channel, where the 292 S-shaped solid lines represent the EXIT characteristics of a 293 half-rate recursive systematic convolutional (RSC) outer de-294 coder, whereas the dashed or dash-dotted lines represent the 295 specific SNR values, where an open EXIT chart tunnel emerges. For the time being, let us focus our attention on the dashed 296 297 lines in Fig. 3. The most important observation related to 298 M-CSK is that its EXIT characteristic exhibits a modest but 299 perceivable slope, which implies that the employment of an 300 iterative receiver is capable of providing some iteration gain 301 in *M*-CSK. By contrast, as shown in Fig. 3(a), OOK does not 302 exhibit any iteration gain. This is because the OOK bits of 303 the three emitters are independent of each other; hence, they 304 provide no extrinsic information for each other. On the other 305 hand, the bits of the CSK symbols are not independent of each 306 other; hence, a modest iteration gain is achieved. However, the 307 gradient of the joint MAP-based SD's curve is low. This is

TABLE II LABELING MAPS PRESENTED FOR DIFFERENT CONSTELLATION AND DETECTION SCHEMES

name	labels $(c_1 \cdots c_{N_{\mathrm{b}}})$ in Fig. 2
8-CSK Type I, Crit. I	(4,0,6,1,2,5,3,7)
8-CSK Type I, Crit. II	(2,5,1,0,4,3,7,6)
8-CSK Type II, Crit. I	(3,2,1,0,6,4,5,7)
8-CSK Type II, Crit. II	(2,1,7,4,5,6,3,0)
16-CSK Type I, Crit. I	(0, 1, 3, 5, 2, 7, 10, 6, 4, 11, 14, 13, 9, 15, 12, 8)
16-CSK Type I, Crit. II	(7, 1, 5, 8, 9, 15, 0, 3, 14, 11, 10, 4, 12, 2, 6, 13)
16-CSK Type II, Crit. I	(1,0,3,5,4,2,7,13,12,6,15,9,10,14,11,8)
16-CSK Type II, Crit. II	(8, 14, 13, 4, 1, 3, 10, 7, 11, 6, 9, 12, 0, 15, 5, 2)

because the signal labeling design of [16] minimizes the sum 308 of Hamming distances between the pair of the closest adjacent 309 color constellation points of 8-CSK and 16-CSK. To elaborate 310 a little further, the bit-to-symbol mapping rule, which is also 311 referred to as the constellation labeling  $\mu$  of the 8-CSK and 312 16-CSK schemes obeying Criterion I, is formulated as 313

**Criterion I:** 
$$\widetilde{\mu}_{\mathrm{I}}^{l} = \operatorname*{arg\,min}_{\mu^{l} \in \mathbb{M}} \sum_{1}^{M} d_{\mathrm{adj}}(\mu^{l})$$
 (9)

where M denotes an  $(M! \times M)$ -element matrix, each row of 314 the matrix contains a set of legitimate signal labelings (signal 315 labeling examples can be found in Table II),  $d_{adj}$  represents 316 the Hamming distance between the adjacent color constellation 317 points, and  $\mu^l$  is the specific signal labeling. We launch a 318 full search within the set M and choose the specific signal 319 labeling that meets the requirement of (9). We invoke this 320 criterion for designing a Gray-coded bit-to-symbol mapping 321 for Type II color constellations, noting that, due to the specific 322 arrangement of the color constellation points shown in Fig. 2, 323 it is impossible to realize a perfect and consistent Gray bit- 324 to-symbol mapping. Explicitly, our proposed signal labeling 325 designed for the Type II color constellation using Criterion I 326 is listed in Table II, where the decimal numbers represent the 327 corresponding bit combinations, which are assigned to their 328 corresponding locations  $(c_1, c_2, \ldots, c_8)$  in Fig. 2. Additionally, 329 when using this criterion, we obtained the same signal labeling 330 scheme as the IEEE standard constellation labeling of [16]. 331

Fig. 4 portrays the BER performance of the joint ML-based 332 HD-aided CSK system of Fig. 1(a) using different color con- 333 stellations and signal labeling strategies in an AWGN channel. 334 Observe in Fig. 4 that all our simulation results recorded for 335 the *Type I* color constellation of M = 4, 8, and 16-CSK using 336 Criterion I are well matched to their analytical union bound 337 represented in dashed line. 338

### B. Design Criterion II 339

*1) Design Criterion:* When we design the signal labeling 340 for our iterative joint MAP-based SD-aided receiver, the bit- 342 to-symbol mapping is different from the Gray-like mapping of 343 Criterion I. In general, when an iterative receiver is invoked, the 344 free squared Euclidean distance condition (FEDC) on having 345 ideal feedback usually dominates the performance of iterative 346 detection system [33], [34]; hence, a signal labeling having a 347 large FEDC performs well. 348

Additionally, in [33], an alternative objective function (OF) is 349 proposed for the constellation labeling search procedure relying 350



Fig. 4. Analytical and empirical BER performance of the joint ML-based HD CSK schemes using both types of color constellations and different signal labeling strategies in an AWGN channel. The corresponding three- and fourbits/symbol constellation layouts are described in Fig. 2, and the various signal labeling schemes are detailed in Table II. The analytical union bounds are represented by the dashed lines and squares.

351 on the *harmonic mean* of the minimum squared Euclidean 352 distance after the feedback, which is formulated as [34]

$$d_h^2(\mu) = \left(\frac{1}{N_b 2^{N_b}} \sum_{n=1}^{N_b} \sum_{b=0}^{1} \sum_{\mathbf{x} \in \chi_b^n} \frac{1}{\|\mathbf{x} - \mathbf{z}\|^2}\right)^{-1}$$
(10)

353 where  $\chi_b^n$  is the specific subset of  $\chi$ , whose label has the 354 binary value *b* at the *n*th bit position, whereas **z** is the same 355 as **x**, except that its *n*th bit is inverted. As pointed out in [33] 356 and [34], a specific signal labeling associated with a large  $d_h^2$ 357 usually also has a large FEDC; hence, we rely on the  $d_h^2$  OF 358 value for characterizing a signal labeling, rather than on the 359 computationally more complex evaluation of the FEDC.

However, purely relying on evaluating the FEDC value alone solution is insufficient because it does not guarantee finding nearcapacity signal labelings, as also pointed out in [35]. Namely, solution goal is to find that specific signal labeling, which leads solution to the smallest open tunnel area in the EXIT chart [34], [35]. solution this paper, we first evaluate all legitimate the signal solution chart is based on (10) and then verify the result with solution the EXIT chart analysis.

368 *Example:* Given the 8-CSK *Type II* color constellation of 369 Fig. 2(c), based on studying the Hamming distance between 370 the closest-neighbor adjacent constellation points, we carried 371 out a full search in the space of all possible M! signal labeling 372 possibilities and used the one associated with the largest  $d_h^2$  OF 373 value, while relying on the design criterion of

**Criterion II:** 
$$\widetilde{\mu}_{\text{II}}^l = \operatorname*{arg\,max}_{\mu^l \in \mathbb{M}} d_h^2(\mu^l).$$
 (11)

The resultant signal labeling designed for the for 8-CSK *Type II* color constellation relying on our iterative joint MAP-376 based SD-aided receiver is also shown in Table II. For other

TABLE III Example of Optimizing Signal Labeling Candidates Follow Criterion II for 8-CSK Type II Color Constellation

name	labels ( $c_1 \cdots c_{N_b}$ )	$d_{\rm h}^2$	open tunnel	$\mathcal{A}_{\mathrm{diff}}$
Label 1	(7,3,6,0,2,1,5,4)	0.0653	6.5 dB	0.3166
Label 2	(4, 1, 2, 6, 7, 0, 3, 5)	0.1217	4.0 dB	0.1625
Label 3	(4, 1, 5, 0, 3, 2, 6, 7)	0.0519	7.5  dB	0.3694
Label 4	(7, 4, 2, 0, 3, 5, 6, 1)	0.0934	4.5  dB	0.1951
Label 5	(4,5,7,0,3,6,2,1)	0.0763	5.5  dB	0.2582
proposed	(2, 1, 7, 4, 5, 6, 3, 0)	0.1280	3.5  dB	0.1333

CSK color constellations using joint MAP-based SD, the signal 377 labeling design follows the same procedure, which has also 378 been detailed in Table II. Inspired by the method of [28], we 379 use EXIT charts for comparing the performance of the signal 380 labeling schemes employed. 381

2) EXIT-Chart-Based Verification of the  $d_h^2$ -Aided Labeling 382 Optimization: It was shown in [28] that the area under the 383 EXIT curve of a joint MAP-based SD's EXIT chart approxi- 384 mately equals to the system's achievable throughput, which is 385 directly related to its electronic SNR, but remains unaffected by 386 its signal labeling, i.e., [25] 387

$$\mathcal{A}(\gamma_e) = \int_{0}^{1} I_{\text{det}}^e dI_{\text{det}}^a \tag{12}$$

$$= \int_{0}^{1} \mathbb{T}_{\det} \left( I_{\det}^{a}, \mu^{l}, \gamma_{e} \right) dI_{\det}^{a}.$$
(13)

Furthermore, the area under the outer decoder's EXIT curve 388 equals to its coding rate R. Again, if we expect the system to 389 achieve a vanishingly low BER, it has to exhibit an open tunnel 390 all the way, leading to the (1.0, 1.0) point. Then, the open tunnel 391 area  $A_{\text{diff}}$  between these two curves characterizes the system's 392 binary data-rate loss in comparison to its true capacity, and the 393 size of this area depends on the specific the signal labeling [28] 394

$$\mathcal{A}_{\text{diff}}(\mu^l, \gamma_e) = \mathcal{A}(\gamma_e) - R. \tag{14}$$

If we aim to attain a near-capacity performance, the area  $A_{\rm diff}$  395 should be small. Based on (14), we proceed by finding the 396 specific signal labeling strategy that results in having an open 397 EXIT tunnel at the lowest possible electronic SNR, which 398 leads to a vanishingly low BER at the lowest SNR, provided 399 that the interleaver is sufficiently long to ensure that the soft 400 information is near Gaussian. For practical finite-length inter- 401 leavers, this is not the case; hence, usually, a slightly higher 402 SNR is required for the stair-case-shaped decoding trajectory 403 to pass through the EXIT chart's constriction. To demonstrate 404 the associated  $A_{diff}$  differences, we consider the 8-CSK Type II 405 color constellation as an example and randomly opt for the 406 signal labeling schemes of Table III. When we invoke a half- 407 rate RSC code, the corresponding results are shown in Fig. 5, 408 whereas some specific numerical results are listed in Table III. 409 Observe in Fig. 5 that the EXIT characteristics of the randomly 410 selected Labels 1-5 exhibit different gradients and that our 411 proposed signal labeling has the smallest open tunnel, as well 412 RSC Label 1

Label 2

Label 3 Label 4

Label 5

0.6

proposed signal labe

0.8

0.9

0.7

0.6

0.4

0.3

0.2

0.1

0 L 0

0.2

det / l<sup>a</sup> dec / dec



l<sup>a</sup>

0.4

413 as a crossover point closest to the (1.0, 1.0) point, which implies 414 having the lowest residual BER, as detailed in [25]–[27]. The 415 tunnel opens at  $E_{b_{\text{elec.}}}/N_0 = 3.5$  dB, whereas for the other 416 signal labeling schemes, the EXIT characteristics intersect with 417 that of the outer decoder. This suggests that our proposed signal 418 labeling is capable of achieving a significant iteration gain. 419 Following this approach, our other proposed signal labeling 420 schemes are listed in Table II.

*3) Performance Comparison of Criteria I and II:* Let us tet us now return to Fig. 3 and observe the dash-dotted lines in each subfigure, which represent the EXIT characteristics of the labeling schemes obeying Criterion II. For all color constellates tions, the dash-dotted style curves are associated with a steeper gradient and a lower open-tunnel area than for Criterion I. This implies that the reliability of the *extrinsic* LLRs improves more substantially upon iterating since more reliable *a priori* LLRs are gleaned from the outer decoder. Furthermore, the dash-dotted lines cross the S-shaped RSC decoder curves closer to the (1.0, 1.0) point; hence, a lower residual BER floor is expected. Having said this, we also note that these benefits are achieved at the cost of an increased number of iterations, i.e., at higher complexity.

Furthermore, as for the performance of Criterion II using HD 436 shown in Fig. 4, we can observe that, although the differences 437 between the designs relying on Criteria I and II are relatively 438 small, the performance of the signal labeling obeying Criterion I 439 is consistently better than that of Criterion II. This is because 440 having the lowest Hamming distance between the points of 441 closest-neighbor adjacent constellation points ensures having 442 the least number of bit errors between the adjacent constellation 443 points, when decision errors occur in an AWGN channel. 444 Moreover, regardless of the specific signal labeling criterion 445 used, the *Type II* color constellation is outperformed by the 446 color constellation *Type I*. Hence, in line with [21] and [22], the



Fig. 6. BER performance of the two-stage half-rate RSC coded CSK system of Fig. 3 using our joint MAP-based SD-aided iterative receiver, where different color constellations are considered. Criterion I is represented by the dashed line and Criterion II by the solid line. From right to left, the multiple solid and dashed lines represent the BER curves associated with an increasing number of iterations from 1 to 5 (link to Fig. 3). (a) 8-CSK *Type I*. (b) 16-CSK *Type II*. (c) 8-CSK *Type II*.

color constellation *Type I* is confirmed to be a better solution 447 for an uncoded CSK system. 448

Additionally, the corresponding BER characteristics of Fig. 3 449 are shown in Fig. 6,<sup>4</sup> where both the *Types I* and *II* color 450 constellations of Fig. 2 are characterized in an AWGN channel, 451 and both Criteria I and II are considered. Similarly to our EXIT- 452 chart-based predictions shown in Fig. 3, the different M-CSK 453 schemes exhibit rather different iteration gains in Fig. 6. The 454 maximum attainable iteration gain of the systems relying on 455 Criterion I is essentially achieved during the first two rounds, 456 which is due to the limited slope of the related EXIT curves 457 shown by the dashed lines in Fig. 3. By contrast, the systems 458 relying on Criterion II benefit from a more substantial iteration 459 gain. Consequently, the shape of the BER curves exhibits a 460 "turbo-cliff" effect. After five iterations, the electronic SNR 461 difference between the two different signal labeling schemes 462 is around 5-7 dB in all subfigures. 463

Another important observation in Fig. 6 is that there is a 464 gradient change in the BER curves around  $10^{-5}$ , as mentioned 465 in Section III-C. This point is associated with the intercept 466 point observed in the EXIT chart of Fig. 3. Hence, the EXIT 467 characteristic of the joint MAP-based SD scheme fails to reach 468 the (1.0, 1.0) point. We will demonstrate in Section III-C that 469 this performance limitation is almost completely eliminated by 470 our three-stage system.

<sup>&</sup>lt;sup>4</sup>Although introducing a redundant forward error correction (FEC) code reduce the effective data rate of the system, it results in considerable performance gains. Furthermore, in [16], half-rate or even lower rate Reed–Solomon codes were considered, which is outperformed by the FEC scheme employed in this paper.

### 472 C. Three-Stage Concatenated Error Correction Coding

473 Again, despite the aforementioned benefits, all the EXIT 474 characteristics of the iterative joint MAP-based SD schemes 475 fail to reach the (1.0, 1.0) point at the top-right corner of the 476 EXIT chart, which implies the potential emergence of an error 477 floor in the low BER range. Suffice it to say here that it was 478 demonstrated in [25]–[27] that an open EXIT tunnel leading to 479 the (1.0, 1.0) point of the EXIT chart is a sufficient condition for 480 achieving iterative decoding convergence to a vanishingly low 481 BER. Invoking an additional unity-rate code (URC) is capable 482 of eliminating this problem, as detailed in [25]–[27], because it 483 has an infinite impulse response and, hence, efficiently spreads 484 the extrinsic information without increasing the system's inter-485 leaver delay. Due to its unity rate and simple two-stage trellis, 486 this is achieved without reducing the throughput while only 487 modestly increasing the complexity.

488 Hence, this becomes a three-stage concatenated system, 489 where a URC encoder and decoder along with their interleaver 490 and deinterleaver are inserted at the transmitter and receiver, 491 respectively, as shown in Fig. 1(c). At receiver end, we first 492 perform a sufficiently high number of iterations between the 493 joint MAP-based SD and the URC decoder, which we refer to 494 as inner iterations. The output  $\mathcal{L}_{p,o}^p$  of this combined block is 495 then forwarded to the outer RSC decoder for exchanging the 496 LLRs. The outer decoder then provides *extrinsic* information in 497 form of the *a priori* information  $\mathcal{L}_{o,p}^a$  fed to the inner decoder. 498 These iterations continue until the maximum affordable number 499 of outer iterations has been reached.

Again, invoking the URC imposes slight extra complexity, 501 but fortunately, the number of inner iterations required remains 502 low. The associated performance of the 8-CSK *Type II* color 503 constellation of Fig. 2(c) is shown in Fig. 7 when we employ 504 two inner iterations between the SD and URC decoder.

In Fig. 7, we use the solid line marked by the square and 505 506 circle markers for representing the different signal labeling 507 strategies and operating with the aid of the URC precoder. 508 We also portray the corresponding results achieved by our 509 two-stage concatenated system operating without the URC, 510 which is represented by the dashed and dash-dotted lines for 511 comparison. Upon introducing the URC precoder of Fig. 1(c), 512 all the EXIT curves become capable of reaching the (1.0, 1.0)513 point in Fig. 7, Although, we note that, consequently, we have 514 a starting point in the EXIT curve, which is at a lower value 515 than that of the two-stage system. However, the introduction of 516 this URC does not reduce the overall code rate. Hence, it does 517 not change the area under the EXIT curve of its corresponding 518 three-stage system either. Its ultimate benefit is that it allows the 519 curve to reach the (1.0, 1.0) point. To elaborate a little further, 520 this URC will beneficially affect the shape of the original 521 EXIT curve, making the curve steeper, which may result in a 522 smaller open tunnel area in the EXIT chart, hence requiring 523 a reduced SNR. We note however that it might also increase 524 the risk of intersecting with the outer decoder's curve before 525 it reaches the (1.0, 1.0) point of decoding convergence to an 526 infinitesimally low BER. Hence, it necessitates an increased 527 number of iterations for approaching the (1.0, 1.0) point asso-528 ciated with an infinitesimally low BER. For example, observe 529 furthermore in Fig. 7 that having an open tunnel for Criterion II



Fig. 7. EXIT characteristics of our joint MAP-based SD assisted 8-CSK *Type II* color constellation with the aid of the URC predecoder after two inner iterations using different color constellations in the AWGN channel and the half-rate RSC outer decoder (solid line), where the solid lines with square and circle markers represent the approximately required minimum electronic  $E_{b_{\rm elec.}}/N_0$  (detailed in legend) to achieve an open tunnel for Criteria I and II, respectively. The dashed and dash-dotted lines denote the EXIT characteristics of the signal labeling adopting Criteria I and II without URC from Fig. 3(c) as our benchmarker.



Fig. 8. BER performance of the three-stage half-rate RSC-URC coded CSK system of Fig. 7 using the joint MAP-based SD-aided iterative receiver (two inner iterations), where different color constellations are considered. Criterion I is represented by the dashed line and Criterion II by the solid line. The performance of the system operating without RSC coding is highlighted by an ellipse circle (link to Fig. 7).

requires an electronic SNR of 6 dB, whereas Criterion I has an 530 open tunnel at 4 dB for our three-stage system. The same trends 531 are valid for the other three color constellations, which will not 532 be specified here. Correspondingly, the BER performance of 533 Fig. 7 recorded in an AWGN channel is displayed in Fig. 8, 534 where the performance of adopting Criterion I is represented by 535 the dashed line, whereas the solid lines represent Criterion II. 536 The most important result is that the URC precoder eliminates 537

Constellation labeling	2-stage iterations: 1-5 in [dB]			3-stage iterations: 1-5 in [dB]						
4-CSK	6.4	7.6	7.6	7.6	7.6	5.2	9.6	11.1	11.9	12.2
8-CSK Type-I Criterion I	6.5	7.2	7.2	7.2	7.2	6.3	10.1	11.7	12.4	13.0
8-CSK Type-I Criterion II	5.7	10.6	10.7	10.7	10.7	4.6	9.0	10.0	10.7	10.9
8-CSK Type-II Criterion I	6.8	7.2	7.2	7.2	7.2	5.6	10.0	11.0	11.7	11.9
8-CSK Type-II Criterion II	5.9	9.7	11.0	11.2	11.2	4.8	8.4	9.6	10.3	10.6
16-CSK Type-I Criterion I	6.5	8.0	8.0	8.0	8.0	5.0	9.0	10.5	11.1	11.5
16-CSK Type-I Criterion II	5.7	10.1	13.0	13.0	13.0	4.6	8.9	10.8	11.5	11.8
16-CSK Type-II Criterion I	9.6	10.0	10.0	10.0	10.0	6.2	10.8	12.7	13.4	13.7
16-CSK Type-II Criterion II	8.3	12.5	13.5	14.4	14.4	7.3	11.6	12.8	13.5	13.6

TABLE IV Coding Gain (dB) of M-CSK at BER Level of  $10^{-6}$ 

538 the performance limitations of the two-stage system. Further-539 more, the BER results closely match the EXIT-chart-based 540 performance predictions shown in Fig. 7. However, compared 541 to the results shown in Fig. 6(c), the BER performance was not 542 improved but actually degraded for all the systems relying on 543 Criterion II. On the other hand, with the aid of the URC pre-544 coder, Criterion I attained a substantial iteration gain in the low 545 SNR range, which is an explicit benefit of its capability of effi-546 ciently spreading the extrinsic information. Again, for the other 547 color constellation schemes of Fig. 2, the BER performance 548 would exhibit the same trend, which will not be detailed 549 here.

Upon comparing Figs. 6(c) and 8, we observe that the BER 550 551 performance of the two-stage system relying on Criterion II is 552 similar to that of the three-stage system using Criterion I in the 553 BER range of  $10^{-4}$  to  $10^{-5}$ . The overall coding gain summary 554 of our coded M-CSK system has been listed in Table IV, where 555 we define the overall coding gain as the SNR improvement at 556 the BER level of  $10^{-6}$  after each iteration. Hence, we can opt 557 for the most appropriate system according to the specific BER 558 versus complexity requirements.

### 559 D. Transmission Distance Improvement

Based on the BER results of Fig. 6(c), let us now investigate 560 561 how the different signal labeling designs would affect the attain-562 able transmission distance. To demonstrate this, let us assume a 563 simple point-to-point transmission. Hence, the channel matrix 564 H still remains a diagonal matrix, with each entry given by the 565 channel gain H(0) formulated as [4]

$$H(0) = \frac{(m+1)A_r}{2\pi D^2} \cos^m(\phi) \cos(\psi) T_s(\psi) g(\psi)$$
(15)

566 where  $A_r$  represents the physical area of the PD, which is 567 exemplified by a value of  $1 \text{ cm}^2$  according to [4]. Furthermore, 568  $m = -\log_2[\cos(\phi_{1/2})]$  is the order of Lambertian emission, 569 which is given by the semi-angle at half-illuminance of the 570 LED used, such as for example  $\phi_{1/2} = 60^{\circ}$ , and D denotes 571 the physical distance between the transmitter and receiver, and 572  $\phi$  and  $\psi$  represent the angle of irradiance and incidence, re-573 spectively. For simplicity, we let  $\phi = \psi = 0^{\circ}$ . Still referring to 574 (15),  $T_s(\psi) = 1$  is the gain of the optical filter, and we assume 575 having a field of view of  $\psi_c = 60^\circ$  and a refractive index for 576 the lens at a PD, which is  $n_{\rm PD} = 1.5$ , as well as an optical 577 concentrator gain of  $g(\psi) = n_{\rm PD}^2 / \sin^2(\psi_c) = 3$ . Then, upon 578 considering two-stage 8-CSK Type II color constellation as 579 an example, according to Section II-B, the received electronic

SNR becomes  $\gamma_e = (\eta P_x)^2 / \sigma^2 = [\eta P_{tx} H(0)]^2 / \sigma^2$ , where  $P_{tx}$  580 is the transmission power of the LED, whereas  $\eta$  represents the 581 responsivity of the PD assuming idealized lossless reception 582 associated with  $\eta = 1$ . Furthermore, given that the data rate of 583 8-CSK is 36 Mb/s [16], according to [4], we have  $\sigma^2 = 5.0 \times 584$  $10^{-16}$ . Finally, if we let  $P_{\text{tx}} = 10$  mW and aim for BER = 585  $10^{-6}$ , while assuming that the total average power of the CSK 586 modulation is constant, the achievable transmit distance D can 587 eventually be expressed as 588

$$D = \sqrt{\frac{A_r P_{\rm tx} g(\psi)}{\pi \sqrt{\gamma_e \sigma^2}}}.$$
(16)

Hence, based on (16), we investigate the beneficial effect 589 of different signal labeling design criteria on the attainable 590 transmit distance D, which is characterized in Fig. 9. Observe 591 that our proposed signal labeling using Criterion II has the 592 best performance, which is capable of increasing the trans- 593 mit distance while maintaining a BER of  $10^{-6}$ . In practical 594 vehicle-to-vehicle communication and vehicle-to-infrastructure 595 communication, the transmission power is larger than the exper- 596 imental power that we used here. This implies that, by carefully 597 designing signal labeling according to proper FEC coding and 598 detection scheme, we could realize higher transmission quality 599 in limited range or longer but reliable data transmission. How- 600 ever, as predicted in Fig. 3, due to the limited iteration gain 601 of all other signal labeling strategies, except for the proposed 602 one using Criterion II, the distance improvement attained after 603 two iterations tends to be small, particularly for Criterion I. 604 By contrast, observe in Fig. 9 that for our proposed signal 605 labeling using Criterion II, the improvement between each 606 iteration is a more considerable. This distance improvement is 607 indeed expected for the two-stage system Criterion II because 608 we demonstrated in Fig. 8 that it needs 4-dB lower electronic 609 SNR than its Criterion I counterpart based on the BER versus 610 SNR curves of Fig. 6(c). 611

#### **IV. CONCLUSION** 612

Efficient signal labeling techniques were designed for 613 both types of CSK color constellations proposed in [16] 614 and [19]-[22]. We provided the EXIT-chart-based perfor- 615 mance analysis of both our two-stage and three-stage 616 iterative-detection-aided CSK systems. Commencing from the 617 conventional ML HD, we characterized both the empirical 618 and analytical BER performance of different CSK schemes, 619 adopting the IEEE standard constellation labeling of [16]. We 620



Fig. 9. Achievable transmit distance of a colored LED relying on the twostage half-rate RSC coded 8-CSK *Type II* system of Fig. 1(b) using the different labeling strategies detailed in Fig. 5, where the LED's transmit optical power is 10 mW, and error tolerance level is BER =  $10^{-6}$ . Additionally, the signal labeling using Criterion I is used as a benchmarker.

621 then demonstrated in Fig. 3 that all the standard *M*-CSK 622 labeling schemes of Fig. 3(a) and (b) exhibit a limited iteration 623 gain in the context of the joint MAP-based SD-aided iterative 624 receiver of Fig. 1(b). Hence, more beneficial signal labeling 625 schemes were proposed based on the design criterion of (10). 626 The associated numerical BER performances were presented 627 in Fig. 6. We discussed the potential distance and quality 628 improvements of coded CSK in vehicle-related communication 629 and concluded that Criterion II is more beneficial in a two-630 stage system, whereas Criterion I has the edge in a three-stage 631 system.

632

### REFERENCES

- [1] L. Hanzo *et al.*, "Wireless myths, realities, and futures: From 3G/4G to
  optical and quantum wireless," *Proc. IEEE*, vol. 100, pp. 1853–1888,
  May 2012.
- 636 [2] A. Ghosh *et al.*, "Heterogeneous cellular networks: From theory to practice," *IEEE Commun. Mag.*, vol. 50, no. 6, pp. 54–64, Jun. 2012.
- [3] T. S. Rappaport *et al.*, "Millimeter wave mobile communications for 5G
   cellular: It will work!" *IEEE Access*, vol. 1, pp. 335–349, May 2013.
- 640 [4] T. Komine and M. Nakagawa, "Fundamental analysis for visible641 light communication system using LED lights," *IEEE Trans. Consum.*642 *Electron.*, vol. 50, no. 1, pp. 100–107, Feb. 2004.
- 643 [5] S. Rajagopal, R. D. Roberts, and S.-K. Lim, "IEEE 802.15.7 visible
  644 light communication: Modulation schemes and dimming support," *IEEE*645 *Commun. Mag.*, vol. 50, no. 3, pp. 72–82, Mar. 2012.
- 646 [6] J. Grubor, S. Randel, K.-D. Langer, and J. W. Walewski, "Broadband information broadcasting using led-based interior light," *J. Lightw. Technol.*,
  vol. 26, no. 24, pp. 3883–3892, Dec. 2009.
- R. Zhang and L. Hanzo, "Multi-layer modulation for intensity modulated direct-detection optical OFDM," *IEEE/OSA J. Opt. Commun. Netw.*,
  vol. 5, no. 12, pp. 1402–1412, Dec. 2013.
- [8] X. Bao, X. Zhu, T. Song, and Y. Ou, "Protocol design and capacity analysis in hybrid network of visible light communication and OFDMA systems," *IEEE Trans. Veh. Technol.*, vol. 63, no. 4, pp. 1770–1778, May 2014.
- [9] J. M. Alattar and J. M. H. Elmirghani, "Optical wireless system employing adaptive collaborative transmitters in an indoor channel," *IEEE Trans.* [65] Web. Tradevel. and 50
- 658 Veh. Technol., vol. 59, no. 1, pp. 63–74, Jan. 2010.

- [10] A. Ghosh, R. Ratasuk, B. Mondal, N. Mangalvedhe, and T. Thomas, 659
   "LTE-advanced: Next-generation wireless broadband technology," *IEEE* 660
   *Wireless Commun.*, vol. 17, no. 3, pp. 1536–1284, Jun. 2010.
- [11] V. W. S. Chen, "Free-Space optical communications," J. Lightw. Technol., 662 vol. 24, no. 12, pp. 4750–4762, Dec. 2006.
   663
- [12] H. Elgala, R. Mesleh, and H. Haas, "Indoor broadcasting via white 664 LEDs and OFDM," *IEEE Trans. Consum. Electron.*, vol. 55, no. 3, 665 pp. 1127–1134, Aug. 2009. 666
- [13] T. Komine, J. H. Lee, S. Haruyama, and M. Nakagawa, "Adaptive equal- 667 ization system for visible light wireless communication utilizing multiple 668 white LED light equipment," *IEEE Trans. Wireless Commun.*, vol. 8, 669 no. 6, pp. 2892–2900, Jun. 2009. 670
- [14] R. Mesleh, H. Elgala, and H. Haas, "LED nonlinearity mitigation tech-671 niques in optical wireless OFDM communications systems," *IEEE/OSA* 672 *J. Opt. Commun. Netw.*, vol. 4, no. 11, pp. 865–875, Nov. 2012.
- [15] Y. A. Alqudah and M. Kavehrad, "Optimum order of angel diversity with 674 equal-gain combining receivers for broad-band indoor optical wireless 675 communications," *IEEE Trans. Veh. Technol.*, vol. 53, no. 1, pp. 94–105, 676 Jan. 2004. 677
- [16] IEEE Comput. Soc., IEEE Standard for Local and Metropolitan Area 678 Networks—Part 15.7: Short-Range Wireless Optical Communication Us- 679 ing Visible Light, Sep. 6, 2011.
- [17] IEEE Comput. Soc. Project: IEEE P802.15 Working Group for Wireless 681 Personal Area Networks (WPANs), Jul. 2011.
   682
- S. He, G. Ren, Z. Zhong, and Y. Zhao, "M-ary variable period modulation 683 for indoor visible light communication system," *IEEE Commun. Lett.*, 684 vol. 17, no. 7, pp. 1325–1328, Jul. 2013.
- [19] R. J. Drost and B. M. Sadler, "Constellation design for color-shift key- 686 ing using billiards algorithms," in *Proc. IEEE GLOBECOM Workshop*, 687 Miami, FL, USA, Dec.6–10, 2010, pp. 980–984.
- [20] R. J. Drost and B. M. Sadler, "Constellation design for chan- 689 nel precompensation in multi-wavelength visible light communica- 690 tions," *IEEE Trans. Commun.*, vol. 62, no. 6, pp. 1995–2005, 691 Jun. 2014. 692
- [21] E. Monterio and S. Hranilovic, "Constellation design for color-shift key- 693 ing using interior point methods," in *Proc. IEEE GLOBECOM Workshop*, 694 Anaheim, CA, USA, Dec. 3–7, 2012, pp. 1224–1228.
- [22] E. Monterio and S. Hranilovic, "Design and implementation of color-shift 696 keying for visible light communications," *J. Lightw. Technol.*, vol. 32, 697 no. 10, pp. 2053–2060, May 2014.
- [23] R. Singh, T. O'Farrell, and J. David, "An enhanced color shift keying 699 modulation scheme for high speed wireless visible light communica- 700 tions," *J. Lightw. Technol.*, vol. 32, no. 14, pp. 2582–2592, Jul. 2014. 701
- [24] J. M. Luna-Rivera *et al.*, "Multiuser CSK scheme for indoor visible 702 light communications," *Opt. Exp.*, vol. 22, no. 20, pp. 24256–24257, 703 Oct. 2014.
- [25] A. Ashikhmin, G. Kramer, and S. ten Brink, "Extrinsic information trans- 705 fer functions: Model and erasure channel properties," *IEEE Trans. Inf.* 706 *Theory*, vol. 50, no. 11, pp. 2657–2673, Nov. 2004.
- [26] S. ten Brink, "Convergence behavior of iteratively decoded parallel con- 708 catenated codes," *IEEE Trans. Commun.*, vol. 49, no. 10, pp. 1727–1737, 709 Oct. 2001.
   710
- [27] M. El-Hajjar and L. Hanzo, "EXIT charts for system design and analysis," 711 IEEE Commun. Survey Tuts., vol. 16, no. 1, pp. 1–27, May 2013.
   712
- [28] X. Qi, S. Zhou, M. Zhao, and J. Wang, "Design of constellation labeling 713 maps for iteratively demapped modulation schemes based on the assumption of hard-decision virtual channels," *Proc. Inst. Elect. Eng.–Commun.*, 715 vol. 152, no. 6, pp. 1139–1148, Dec. 2005. 716
- [29] "Commission Internationale de lEclairage Proc." CIE, Vienna, Austria, 717 1931.
   718
- [30] M. K. Simon and M.-S. Alouini, *Digital Communication over Fading* 719 *Channel.* Hoboken, NJ, USA: Wiley, 2005. 720
- [31] L. Hanzo, O. Alamri, M. El-Hajjar, and N. Wu, *Near Capacity Multi-* 721 functional MIMO Systems. Hoboken, NJ, USA:Wiley, 2009. 722
- [32] X. Wang and H. V. Poor, "Iterative (Turbo) soft interference cancellation 723 and decoding for coded CDMA," *IEEE Trans. Commun.*, vol. 47, no. 7, 724 pp. 1046–1061, Jul. 1999.
- [34] X. Li, A. Chindapol, and J. A. Ritcey, "Bit-interleaved coded modulation 730 with iterative decoding and 8PSK signaling," *IEEE Trans. Commun.*, 731 vol. 50, no. 8, pp. 1250–1257, Aug. 2002.
  [34] X. Li, A. Chindapol, and J. A. Ritcey, "Bit-interleaved coded modulation 730 with iterative decoding and 8PSK signaling," *IEEE Trans. Commun.*, 731 vol. 50, no. 8, pp. 1250–1257, Aug. 2002.
- [35] N. H. Tran and H. H. Nguyen, "Signal mapping of 8-ary constellations for 733 bit interleaved coded modulation with iterative decoding," *IEEE Trans.* 734 *Broadcast.*, vol. 52, no. 1, pp. 92–99, Mar. 2006.



Junyi Jiang received the B.Eng. degree in communication engineering from Heilongjiang Institute of Science and Technology, Harbin, China, in 2009 and the M.Sc. degree with distinction in wireless communication from the University of Southampton, Southampton, U.K., in 2010. He is currently working toward the Ph.D. degree with the Wireless Group, School of Electronics and Computer Science, University of Southampton.

His research interests include indoor visiblelight communications, free-space optical communi-

747 cations, and iterative detection.



**Rong Zhang** (M'09) received the B.Sc. degree from Southeast University, Nanjing, China, in 2003 and the Ph.D. degree from the University of Southampton, Southampton, U.K., in 2009.

From August 2003 to July 2004, he was an Engineer with China Telecom. From January 2006 to May 2009, he was a Research Assistant with the Mobile Virtual Center of Excellence, U.K. From August 2009 to July 2012, he was a Postdoctoral Researcher with the University of Southampton. From August 2012 to January 2013, he was a System Algorithms

759 Specialist for Huawei Sweden. Since February 2013, he has been appointed 760 as a Lecturer with the Wireless Group, School of Electronics and Computer 761 Science, University of Southampton. He is also a Visiting Researcher under 762 the Worldwide University Network. He is the author of more than 40 papers 763 published in prestigious journals (e.g., IEEE and The Optical Society) and 764 major conference proceedings.

765 Dr. Zhang serves as a Reviewer for IEEE TRANSACTIONS/JOURNALS and as 766 a Technical Program Committee Member/Invited Session Chair of major con-767 ferences. He received joint funding from the Mobile Virtual Center of Excel-768 lence, U.K. and the Engineering and Physical Sciences Research Council, U.K.



Lajos Hanzo (M'91–SM'92–F'04) received the 769 Master's degree in electronics, the Ph.D. degree, and 770 the Doctor Honoris Causa degree from the Technical 771 University of Budapest, Budapest, Hungary, in 1976, 772 1983, and 2009, respectively. 773

During his 38-year career in telecommunications, 774 he has held various research and academic posts in 775 Hungary, Germany, and U.K. Since 1986, he has 776 been with the School of Electronics and Computer 777 Science, University of Southampton, Southampton, 778 U.K., where he is currently the Chair in telecommu- 779

nications. He is also a Chaired Professor with Tsinghua University, Beijing, 780 China. He has successfully supervised about 100 Ph.D. students, coauthored 781 20 John Wiley/IEEE Press books on mobile radio communications totalling 782 in excess of 10 000 pages, and published more than 1400 research entries on 783 IEEE Xplore. Currently, he is directing a 100-strong academic research team, 784 working on a range of research projects in the field of wireless multimedia 785 communications sponsored by industry, the Engineering and Physical Sciences 786 Research Council (EPSRC) U.K., the European Research Council through an 787 Advanced Fellow Grant, and the Royal Society through the Wolfson Research 788 Merit Award. He is an enthusiastic supporter of industrial and academic liaison, 789 and he offers a wide range of industrial courses. 790

Dr. Hanzo has acted both as Technical Program Committee and General 791 Chair of IEEE conferences, presented keynote lectures, and received a number 792 of distinctions. He was a Governor of the IEEE Vehicular Technology Society 793 from 2012 to 2014. He was the Editor-in-Chief of the IEEE Press. His research 794 is funded by the European Research Council's Senior Research Fellow Grant. 795 He is a Fellow of the Royal Academy of Engineering, Institution of Engineering 796 and Technology, and European Association for Signal Processing. 797

## AUTHOR QUERY

NO QUERY.