

# ANALYSIS OF A SELF -FORMATION PROCESS OF SEMICONDUCTOR ELEMENTS

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**Abstract.** Self-formation processes of semiconductor elements are discussed. A method for recognition of self-formed semiconductor elements is described. The method based on the Region Connection Calculus theory is proposed. A system for recognition of semiconductor elements is developed. The experimental results of the recognition of semiconductor elements are presented.

**Keywords:** Pattern recognition, self-formation, semiconductor elements, qualitative spatial reasoning, Region Connection Calculus.

## 1. Introduction

Computer system for the self-formed artificial object classification can help accelerating selection of objects and reduce the volume of work in a decision making process. The main task for a recognizer is to analyze generated patterns – semiconductor elements – using the proposed criteria, to classify them and output results. The original computer system for qualitative evaluation of semiconductor elements is based on the **Region Connection Calculus (RCC)** [7] theory, the particular formalism for Qualitative Spatial Reasoning [4].

The system of semiconductor elements recognition, based on the C++ dot Net technology, is discussed. The verification of the system was performed.

## 2. The Problem

Self-formation methods for creating technologies for semiconductor elements (e.g. transistors, solar cells) are based on the phenomenon of self-formation of artificial objects. Self-formation simulation results are two-dimensional geometrical figures - patterns [2, 3]. The pattern might fit for mass production, e.g., satisfy the set conditions. Consequently, the analysis of the structure of such objects is required. But recognition of proper self-formed artificial objects is an extremely labour consuming process.

Therefore pattern recognition can help reduce the volume of work in the decision making process and in accelerating object selection – classification into two

classes, namely, class **A** (good semiconductor element) and class **B** (bad semiconductor element) [1].

The concept of the system for recognition of semiconductor elements is given in Figure 1.

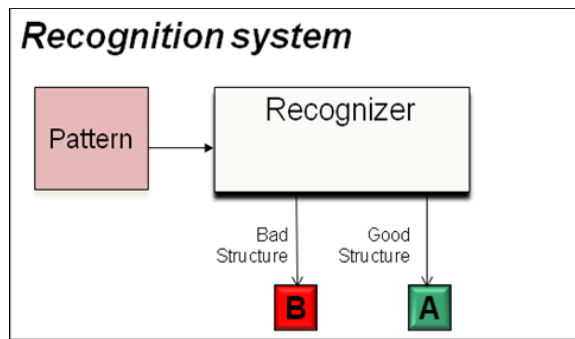


Figure 1. Recognition system

Self-formation results – sets of semiconductor elements – depend on different initial conditions, e.g., having a different geometric structure and different substances. According to these conditions, self-formed elements obtain a particular geometry, but probably don't meet the definite electrical characteristics.

An example of artificial semiconductor element (solar cell) structure with the notation of substances is given in Figure 2.

The criteria for qualitative evaluation of self-formed semiconductor elements might be based on the theory of Qualitative Spatial Reasoning initially developed by Clarke [7]. It is because we don't analyze the object structure using the point-based or contour-based approach; we don't search for similarities with

the example patterns, but we need to approach regions of the object and relations between those regions, no matter what form of the region is.

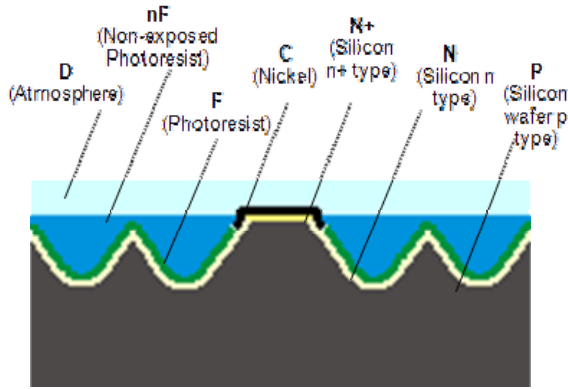


Figure 2. Example of the structure of a semiconductor element

The system to recognize the semiconductor elements, which is based on singularity of structures of the element, the rules of the relations between the regions within the structure and RCC theory [4,5,6] was developed.

### 3. Recognition system. Concept

The concept of recognition system is based on the RCC theory, which is used for Geographic Information Systems, image analysis and characterized by essential axioms.

The basis of the theory is one primitive binary relation  $C(x,y)$  read as “ $x$  connects with  $y$ ” [4].

The essential axioms are as following:

1.  $\forall x[C(x,x)]$  (reflexivity); (1)
2.  $\forall x\forall y [C(x,y) \rightarrow C(y,x)]$  (symmetry); (2)
3.  $DC(x,y) \equiv_{\text{def}} \neg C(x,y)$   
( $x$  is disconnected from  $y$ ); (3)
4.  $EC(x,y) \equiv_{\text{def}} C(x,y) \wedge \neg O(x,y)$   
( $x$  is externally connected to  $y$ ); (4)
5.  $O(x,y) \equiv_{\text{def}} \exists z[P(z,x) \wedge P(z,y)]$   
( $x$  overlaps  $y$ ); (5)
6.  $P(x,y) \equiv_{\text{def}} \forall z[C(x,z) \rightarrow C(z,y)]$   
( $x$  is a part of  $y$ ); (6)
7.  $EQ(x,y) \equiv_{\text{def}} P(x,y) \wedge P(y,x)$   
( $x$  is identical with  $y$ ); (7)
8.  $CON(x) \equiv_{\text{def}} \forall yz[\text{sum}(y,z) = x \rightarrow C(y,z)]$   
(continuous), (8)

where  $\text{sum}(x,y)$  – the quasi-Boolean function  $\text{sum}$  of  $x$  and  $y$  [4].

Graphical interpretation of axioms is illustrated in Figure 3.

In conformity with RCC axioms and requirements for the structure of semiconductor elements, the rules, which show that semiconductor element belongs to class **A**, have been described:

$$CON(P) \wedge CON(C) \wedge \neg CON(F) \wedge EC(C,D) \wedge EC(C,N+) \wedge EC(P,N) \wedge EC(P,N+) \wedge DC(D,P) \wedge DC(D,N+) \wedge DC(D,N) \quad (9)$$

$CON(P)$  – Region  $P$  must be continuous.

$\neg CON(F)$  – Region  $F$  cannot be continuous.

$EC(P,N)$  – Region  $P$  is externally connected to region  $N$ .

$DC(D,P)$  – Region  $P$  is disconnected from region  $D$ .

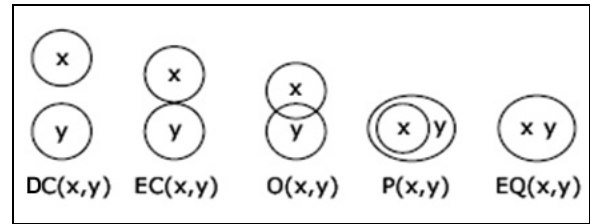


Figure 3. Essential RCC axioms

An object belongs to class  $A$  if all rules (9) are met. Otherwise, the object belongs to class  $B$ . These rules are implemented within the recognizer.

The main task for a recognizer is to analyze generated patterns – semiconductor elements - by using the criteria proposed, e.g. to extract features of elements, to classify elements and to output results. The pattern is divided into chromatic regions (substances) and connections between regions are identified for feature extraction. Then, the RCC rules are checked to classify the object either into class  $A$  (when all the rules are met) or class  $B$  (otherwise).

A sequence of the actions performed by the recognizer is as follows:

- Read the object;
  - System reads image pixels (RGB values) and coordinates into an array;
- Assign labels;
  - System assigns a label to each pixel depending on the RGB value;
- Initialize regions;
  - System initializes regions of the object while analyzing the label values of each pixel. The result is the label of the region and ID of the region;
  - System identifies connections of the region with other regions;
  - Conjunction of the neighbouring regions with the same label;
- Initialize rules;
- Check rules for the object;
  - System checks all the rules;
- Output results.

The recognition system processes are presented in the use-case diagram shown in Figure 4.

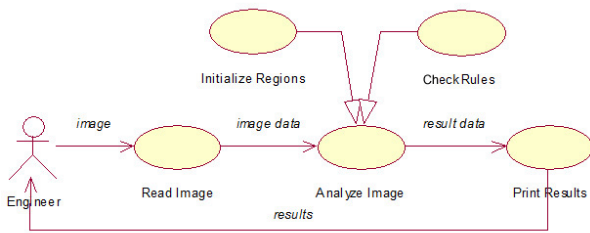


Figure 4. Processes of the recognition system

Read Image process (see Figure 4) describes image reading into an array. A specification of the process is given in Figure 5.

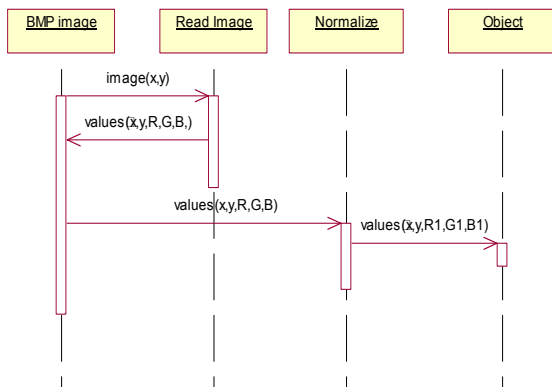


Figure 5. Sequence diagram for the Read Image process

In this stage the image (semiconductor element) is read into an array whose elements are RGB values of image pixels. The RGB values are generalized according to the range of colour values (Table 1). Depending on the RGB range, the pixel RGB value is recalculated to the pixel label value.

Table 1. RGB values – labels

| Label | Substance | R   | G   | B   |
|-------|-----------|-----|-----|-----|
| 101   | P         | 77  | 73  | 72  |
| 103   | N         | 255 | 251 | 156 |
| 150   | C         | 0   | 0   | 0   |
| 105   | N+        | 229 | 222 | 86  |
| 0     | D         | 210 | 255 | 255 |
| 140   | F         | 0   | 210 | 63  |
| 141   | nF        | 0   | 147 | 221 |

The Process Analyze Image (Figure 4) aggregates two particular processes – Initialize Regions and Check Rules. First of all, the system identifies image regions using the read image data (array of image pixels) (Figure 6).

The system reads regions of the image structure while analyzing the array of the image pixels. Each region is marked by the colour value – label (according to pixel value – label) and the region ID number. This number is necessary in the case where two or more not connected regions of the same colour exist within the structure. The region connection process also includes identification of connections of regions.

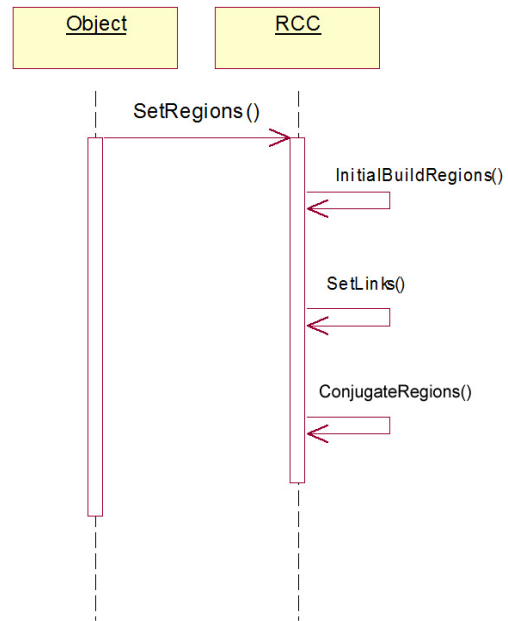


Figure 6. Sequence diagram for the Initialize Regions process

Analysis of image pixels starts from the top left pixel to the right and moves down by one pixel row in each step. Due to that, the region often might be divided into more regions with the same label, but different ID. Thus, the system implements conjunction of the regions. The original view of a semiconductor element and the same element with a few regions coloured after the region initialization step is presented in Figure 7. In this example, 12 different regions are coloured (other regions are in black), but lots of them will be conjugated later (see Figure 7).

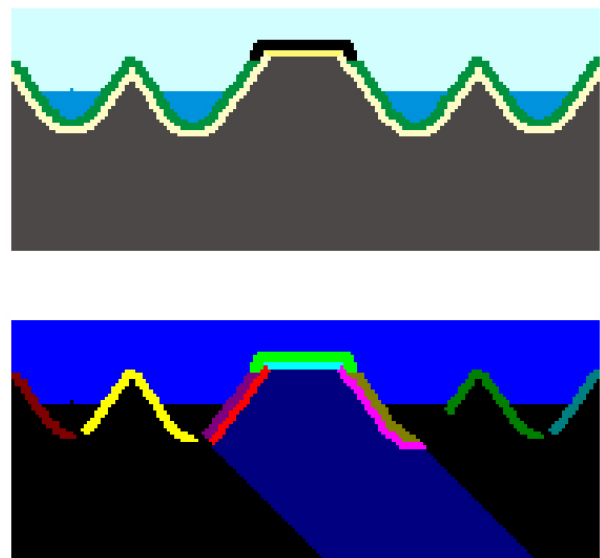


Figure 7. Initial result of regions initialization

Connected regions with the same label but different ID values will be conjugated.

After the regions have been initialized, conjugated and connections between the regions have been identified, the system checks the rules. The sequence

diagram of the process *Check Rules* is presented in Figure 8.

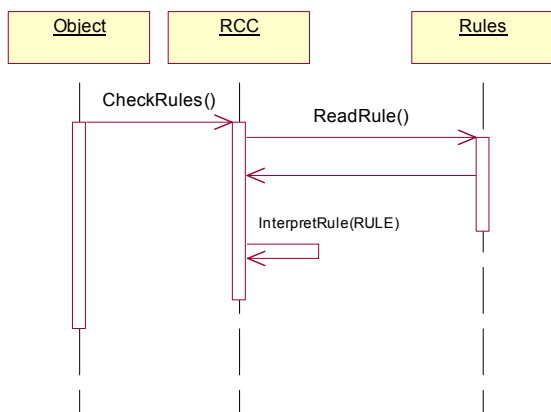


Figure 8. Sequence diagram of the process *Check Rules*

In this stage, application reads the RCC. Rules are specially described in an external text file. The rules may vary according to the type of semiconductor element, the structure of composing substances (regions) and connections between them. Thus, the recognition system might be used in various occurrences of self-formation (Figure 9).

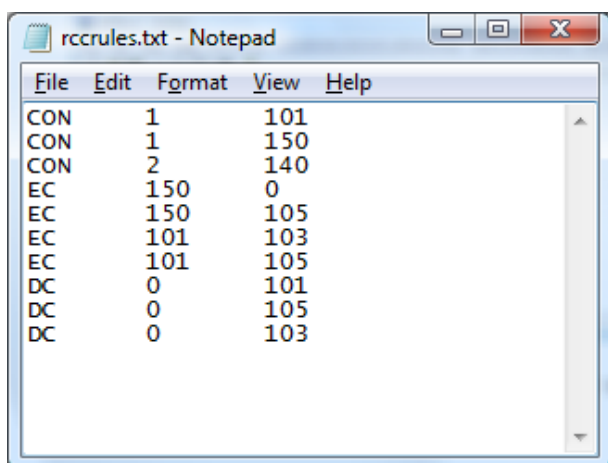


Figure 9. Description of rules

All the rules, which were read, are applied to the analysis of region.

After the check of the rules, system outputs a message to the user if a semiconductor element meets the rules described (see Figure 11). The results are printed into a text file, as well.

#### 4. Software and Experiments

Based on the concept of the system given above, the software application „BMPRCCI“ for the recognizing semiconductor elements was realized. The software for recognizing semiconductor elements is based on C++ dot Net technology. This software is designed for analysing *bmp* files.

The test results show that recognition of one element takes about 100 milliseconds. The computer parameters for testing software were as follows:

- Processor: Intel(R) Core™ 2 CPU, 6600 @ 2,4 GHz, 2 Cores, 2 Logical Processors; 8192 Kb cache memory
- Physical Memory (RAM): 2,00 GB
- OS Name: Microsoft(R) Windows Wista™ Ultimate

In the first step, the system reads a semiconductor element into the array of RGB values of the pixels. Subsequently RGB values of the pixels are generalized and the system outputs a re-coloured image to the user (this is necessary when the quality of images is different or rough) (Figure 10).

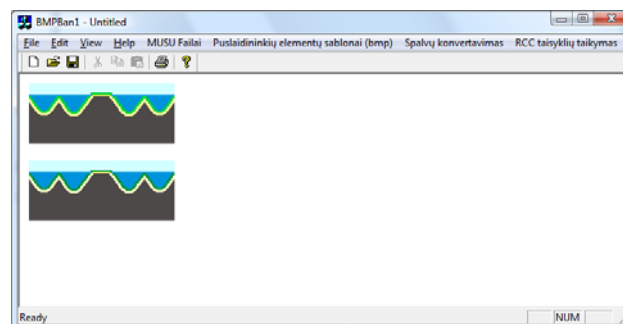


Figure 10. “BMPRCCI“ application window: re-coloured image

In the next step, all the image analysis processes are implemented: regions extraction, rules interpretation, and results output (Figure 11).

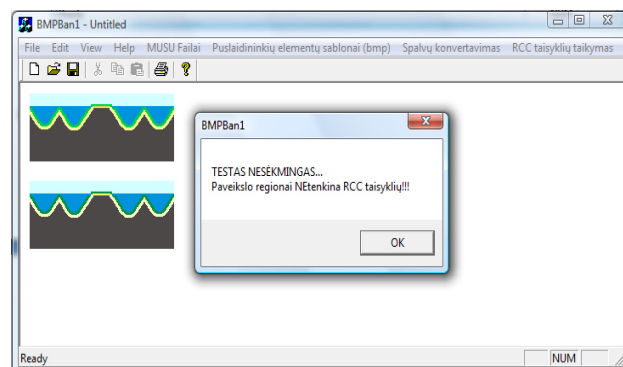


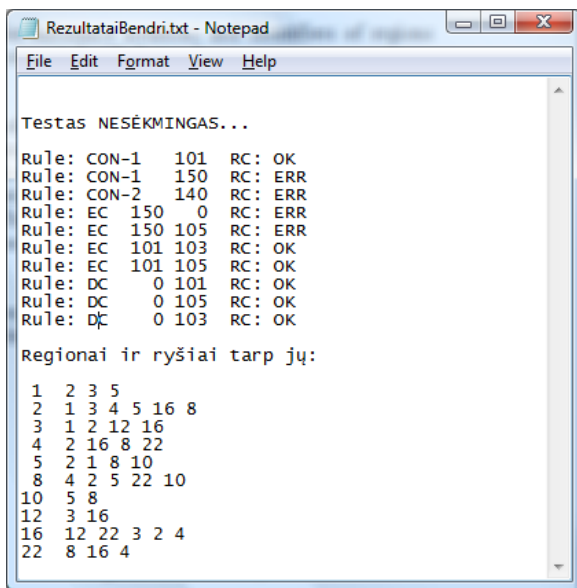
Figure 11. Recognition result

As we see in an example, which is given in Figure 11, the software outputs a message about a failed test. The regions of semiconductor element don't meet the described connection rules – the structure of the semiconductor element is not suitable.

The system forms the file of test results with the comprehensives results for each test (see Figure 12):

In this file, we see that four rules out of ten are not met. According to the notation of substances (Figure 2, Table 1), it is easy to find out that substance *C* (nickel) is not continuous, and substance *F* (photo resist) is continuous, substances *C* and *D* (atmosphere)

are not connected and substances *C* and *N+* (silicon *n+* type) are not connected.



```

RezultataiBendri.txt - Notepad
File Edit Format View Help

Testas NESÉKMINGAS...

Rule: CON-1 101 RC: OK
Rule: CON-1 150 RC: ERR
Rule: CON-2 140 RC: ERR
Rule: EC 150 0 RC: ERR
Rule: EC 150 105 RC: ERR
Rule: EC 101 103 RC: OK
Rule: EC 101 105 RC: OK
Rule: DC 0 101 RC: OK
Rule: DC 0 105 RC: OK
Rule: DC 0 103 RC: OK

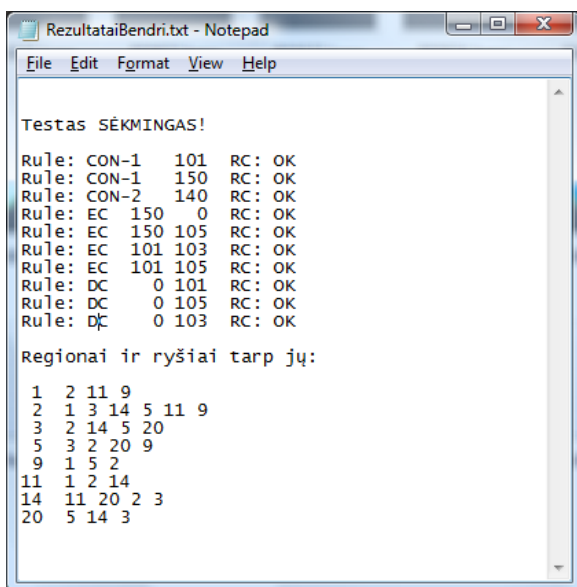
Regionai ir ryšiai tarp jų:

 1  2  3  5
 2  1  3  4  5 16 8
 3  1  2 12 16
 4  2 16 8 22
 5  2  1  8 10
 8  4  2  5 22 10
10  5  8
12  3 16
16 12 22 3 2 4
22  8 16 4

```

Figure 12. File of the recognition results (1)

The result of a successful test is given in Figure 13.



```

RezultataiBendri.txt - Notepad
File Edit Format View Help

Testas SÉKMINGAS!

Rule: CON-1 101 RC: OK
Rule: CON-1 150 RC: OK
Rule: CON-2 140 RC: OK
Rule: EC 150 0 RC: OK
Rule: EC 150 105 RC: OK
Rule: EC 101 103 RC: OK
Rule: EC 101 105 RC: OK
Rule: DC 0 101 RC: OK
Rule: DC 0 105 RC: OK
Rule: DC 0 103 RC: OK

Regionai ir ryšiai tarp jų:

 1  2 11 9
 2  1  3 14 5 11 9
 3  2 14 5 20
 5  3  2 20 9
 9  1  5  2
11  1  2 14
14 11 20 2 3
20  5 14 3

```

Figure 13. File of the recognition results (2)

As we see in Figure 13, all the required rules are met. The test has passed with the verdict true.

The digits in the results file below the rules (Figure 13) mean (from the left): the region number (not the substance notation) and identifiers of regions connected with that region.

This system is flexible for recognizing of any self-formed (and not only) two-dimensional structures if the suitability of the elements can be described by substances which participate within the structure and by connections between the substances. Thus, this system might be adapted to a particular case with no changes of the algorithm.

The main steps of adapting the system for recognizing of particular set of semiconductor elements are:

1. Substance identification:
  - a. Identification of colour for each substance;
  - b. Determination of the colour values range (if necessary);
  - c. Identification of substances (notation assigning);
2. Description of the connections between the regions (rules are defined to describe the regions connections).

It is necessary to describe a few initial variables to identify substances:

1. value[x] – array of substances colour
2. label[x] – array of substances colour notation
3. RULE\_NAME[ ] – array of rules

**The common algorithm to identify regions and to check the rules is as follows:**

```

k=0;
RN=1;
BEGIN (k, label)
{
  region[k][value]=value[x]
  region[k][value][label]= label[x]
CHECK COLOR (k)
{
  FOR (i=0; i<8; i++)
  {
    IF (Neighbour[i][value] !=
    region[k][value])
    {
      region[k][value][neighbour][i][value]
      = Neighbour[i][value]
      j=j+1
    }
  }
  IF (j==8) GO TO BEGIN(k+1, label[x+1])
  ELSE GO TO SET LABEL (k)
}
SET LABEL(m)
{
  FOR (i=0; i<8; i++)
  {
    IF ( Neighbour[i][value] ==
    region[k][value] )
    {
      m=m+1;
      region[m][value] = region[k][value]
      region[m][value][label] =
      region[k][value][label]
    }
  }
CHECK COLOR(m+1)
}
}

RULE_NAME[ ] = { CON(1,P); CON(1,C);
EC(C,D); EC(C,N+); ... }
RuleNumber=count(RULE_NAME[ ]);

```

```

FOREACH (m)
{
  IF (RULE_NAME[m] == TRUE)
  {
    RN=RN+1
    Print (RESULT: RULE_NAME = true)
  }
  ELSE
  {
    Print (RESULT: RULE_NAME = false)
  }
}
IF (RN < RuleNumber) Print (IMAGE
BELONGS TO CLASS B)
ELSE Print (IMAGE BELONGS TO CLASS A)

//////////RULE FUNCTIONS

CON(number,y)
{
  IF (number==0)
  {
    IF(count(region[y][]) > 1 )
    RETURN TRUE
  }
  ELSE
  {
    IF(count(region[y][]) == number )
    RETURN TRUE
  }
}

EC(x,y)
{
  FOREACH(i,j, k)
  {
    IF(region[i][y][neighbour][k][value] ==
region[j][x]) RETURN TRUE
  }
}

DC(x,y)
{
  FOREACH(i,j, k)
  {
    IF(region[i][y][neighbour][k][value] ==
region[j][x]) RETURN FALSE
  }
}

```

In our case the primal variables are:

```

value[1] = 77,73,72;
value[2] = 255,251,156;
value[3] = 0,0,0;
value[4] = 229,222,86;
value[5] = 210,255,255;
value[6] = 0,210,63;
label[1] = 101;
label[2] = 103;
label[3] = 150;

```

```

label[4] = 105;
label[5] = 0;
label[6] = 140;

```

The connection rules for the semiconductor element are (from Figure 9):

```

RULE_NAME[1] = CON(1,105);
RULE_NAME[2] = CON(1,104);
RULE_NAME[3] = CON(2,106);
RULE_NAME[4] = EC(105,106);
RULE_NAME[5] = EC(104,106);
RULE_NAME[6] = DC(104,105);
RULE_NAME[7] = DC(104,0);
RULE_NAME[8] = DC(105,0);

```

## 5. Recognition results

A total of 851 self-formed semiconductor elements have been analyzed while the experimental test was accomplished. In this experiment solar cells have been evaluated.

The test assigned 23 solar cells to class A. Results of the experiment are given in Table 2.

**Table 2.** Recognition results of solar cells (1)

| Total | Class "A" | Class "B" |
|-------|-----------|-----------|
| 851   | 23        | 828       |
| 100%  | 2,7%      | 97.3%     |

Initial conditions were supplemented with a few new rules for testing the system flexibility. Let us suppose that the structure of semiconductor element is suitable if all the rules (given in Figure 9) are met. The additional conditions - regions *D* and *F* cannot be connected with region *nF* (see Figure 2) – should be satisfied. Then the connection rules are as follows:

$$\begin{aligned}
 & \text{CON}(P) \wedge \text{CON}(C) \wedge \neg \text{CON}(F) \wedge \text{EC}(C,D) \wedge \text{EC} \\
 & (C,N+) \wedge \text{EC}(P,N) \wedge \text{EC}(P,N+) \wedge \text{DC}(D,P) \wedge \text{DC} \\
 & (D,N+) \wedge \text{DC}(D,N) \wedge \text{DC}(D,nF) \wedge \text{DC}(F,nF) \quad (10)
 \end{aligned}$$

The file of rules is supplemented with two new rules (Figure 14).

The recognition test has assigned 6 solar cells to class A. The results of the experiment are summarized in Table 3.

## 6. Conclusions

In summary, we have presented a method for recognizing any self-formed two-dimensional structures if the suitability of elements can be described by substances, which participate within the structure, and connections between the substances, no matter what the form of the region is.

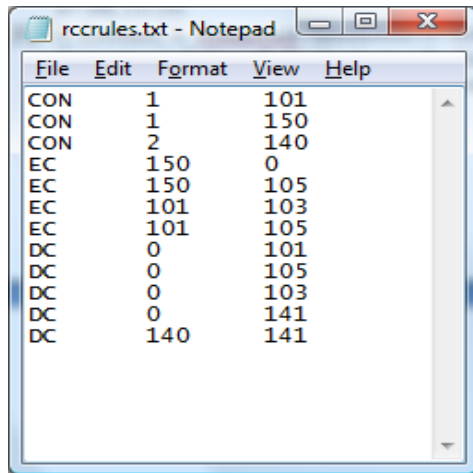


Figure 14. File of the extended set of rules

Table 3. Results of solar cells recognition (2)

| Total | Class "A" | Class "B" |
|-------|-----------|-----------|
| 851   | 6         | 845       |
| 100%  | 0,71%     | 99.29%    |

To conclude, we state:

- The processes of self-formation of the artificial semiconductor elements are discussed.
- The method, algorithm and software for recognition of the self-formed semiconductor elements have been developed.
- Experimental tests of recognition of semiconductor elements with two sets of connection rules have been performed.
- The experimental results prove that the developed recognition system is suitable for recognition of the self-formed semiconductor elements.

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