

Open access • Posted Content • DOI:10.1101/2021.03.05.433666

Analysis of SARS-CoV-2 Mutations Over Time Reveals Increasing Prevalence of Variants in the Spike Protein and RNA-Dependent RNA Polymerase — Source link []

Showers Wm, Sonia M. Leach, Katerina Kechris, Michael J. Strong

Institutions: Anschutz Medical Campus

Published on: 05 Mar 2021 - bioRxiv (Cold Spring Harbor Laboratory)

Topics: RNA-dependent RNA polymerase, Polymerase, RNA polymerase and Mutation

Related papers:

- Large-scale analysis of SARS-CoV-2 spike-glycoprotein mutants demonstrates the need for continuous screening of virus isolates
- Human SARS CoV-2 spike protein mutations.
- Mutations in SARS-CoV-2 spike protein and RNA polymerase complex are associated with COVID-19 mortality risk
- Origin, phylogeny, variability and epitope conservation of SARS-CoV-2 worldwide.
- High-Throughput, Single-Copy Sequencing Reveals SARS-CoV-2 Spike Variants Coincident with Mounting Humoral Immunity during Acute COVID-19

Share this paper: 😯 🄰 🛅 🖂

Analysis of SARS-CoV-2 Mutations Over Time Reveals Increasing Prevalence of Variants in the Spike Protein and RNA-Dependent RNA Polymerase

William M. Showers^{1,2 \Box}}, Sonia M. Leach^{1,2}, Katerina Kechris¹, Michael Strong^{1,2}

1 University of Colorado, Anschutz Medical Campus, Aurora, CO, USA.

2 Center for Genes, Environment, and Health, National Jewish Health, Denver, CO, USA.

⊠ Corresponding Author

Abstract

Amid the ongoing COVID-19 pandemic, it has become increasingly important to monitor the mutations that arise in the SARS-CoV-2 virus, to prepare public health strategies and guide the further development of vaccines and therapeutics. The spike (S) protein and the proteins comprising the RNA-Dependent RNA Polymerase (RdRP) are key vaccine and drug targets, respectively, making mutation surveillance of these proteins of great importance.

Full protein sequences for the spike proteins and RNA-dependent RNA polymerase proteins were downloaded from the GISAID database, aligned, and the variants identified. Polymorphisms in the protein sequence were investigated at the protein structural level and examined longitudinally in order to identify sequence and strain variants that are emerging over time. Our analysis revealed a group of variants in the spike protein and the polymerase complex that appeared in August, and account for around five percent of the genomes analyzed up to the last week of October. A structural analysis also facilitated investigation of several unique variants in the receptor binding domain and the N-terminal domain of the spike protein, with high-frequency mutations occurring more commonly in these regions. The identification of new variants emphasizes the need for further study on the effects of these mutations and the implications of their increased prevalence, particularly as these mutations may impact vaccine or therapeutic efficacy.

Introduction

The global pandemic of Coronavirus Respiratory Disease 2019 (COVID-19), caused by Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), has caused significant disruption to public health and economic activity worldwide. As of February 15, 2021 there have been over 108 million confirmed cases of COVID-19 and 2.4 million deaths worldwide.¹ To date, a number of COVID-19 vaccines have been developed and approved for use, including mRNA vaccines of the spike protein from Pfizer and Moderna.² The speed of development for the vaccine is unprecedented and has been aided by the rapid and broad availability of viral genomic data. High throughput genomic analysis of SARS-CoV-2 strains has been greatly facilitated by databases, such as the Global Initiative on Sharing all Influenza Data (GISAID).³ GISAID was initially created after the global spread of the H5N1 avian flu, to break down barriers to data

sharing, enabling users to share and analyze data in a timely manner, and allowing users to access unpublished genomic data under the conditions of a data use agreement that protects the intellectual property rights of data contributors.³ Many studies have leveraged the GISAID information to examine the emergence of genetic variants,^{4–6} to understand how the SARS-CoV-2 genomes are evolving over time and geographic locations, and to assess mutation rates.

The genome of SARS-CoV-2 consists of 14 open reading frames (ORFs) that encode 27 proteins.⁷ Four of the ORFs encode structural proteins, and are named with one letter corresponding to the name of the structural protein produced: E (envelope protein), N (nucleocapsid protein), M (membrane protein), and S (spike protein).⁸ The spike protein has been shown to be a key factor in

viral entry, and binds to the human angiotensinconverting enzyme 2 (ACE2),⁹ resulting in the fusion with the host cell membrane.¹⁰ In addition to the four structural proteins, there are 16 non-structural proteins (Nsps) encoded by open reading frame 1ab.⁷ The nonstructural proteins Nsp7, Nsp8, and Nsp12 have been found to form the viral RNA-dependent RNA polymerase (RdRP) complex, and each of the Nsps forming the RdRP complex must be present for the replication of viral genomic RNA to occur.¹¹ Nsp12 contains the active site in which the antiviral drug remdesivir binds,¹¹ making this protein of great importance for variant surveillance. Here we describe a comprehensive analysis of the amino acid variants in the spike protein and the RdRP complex from the beginning of the pandemic to October 31, 2020. We identify and document changes in the prevalence of variants over time, and examine the variants within the context of protein structures to identify patterns of variation that may impact host-pathogen interactions, as well as vaccine and therapeutic efficacy.

Methods

Raw Data Download

All protein sequences submitted to GISAID by November 14th were downloaded in a single FASTA file. The file was pre-processed to amino acid format, with one entry for each protein in every sequence. Sequence headers contained metadata including the protein, the accession ID of the sequence, the date of collection, and the geographic location. A second file containing extended metadata was also downloaded; the file was formatted as a table with one row per sequence. The reference genome used in our analysis was the Severe Acute Respiratory Syndrome Coronavirus 2 Isolate WIV04 (WIV04), sequenced in Wuhan, China on December 30th, 2019.¹² The raw FASTA file was split by protein into 27 files using a Python script in Jupyter Notebook (version 6.1.4),¹³ and each protein was processed separately through all subsequent steps.

Filtering of Sequences

Sequences were filtered in Python using the Biopython SeqIO module.¹⁴ In order to reduce potential incomplete sequences and lower quality sequences, all sequences that were ten or more codons shorter or longer than the reference sequence were eliminated from the analysis, along with sequences containing more than 0.1% ambiguous ("X") codons. The number of sequences for each protein remaining after filtering is listed in **Table 1**.

Sequence Dereplication

In order to streamline our computational pipeline, identical sequences were condensed into clusters using USEARCH (version 11.0.667).¹⁵ Clusters, representing unique sequences, were written out to a FASTA file with the ID of the cluster and the number of sequences in the cluster. Concurrently, a separate file for cluster information was created that linked the metadata for all sequences in each cluster to the cluster ID. Clusters of size one were not included in the analysis due to the low abundance and possibility of these clusters reflecting errors in sequencing rather than true variation.

Sequence Alignment

Clustal Omega (version 1.2.4)^{16,17} was used to align clustered sequences for comparison with the reference sequence. Clustal Omega was selected based on the balance between alignment quality and speed. The

Table 1: Number of sequences remaining after each step in the analysis. The number of sequences clustered and aligned reflects the number of sequences in the cumulative analysis and structural visualizations.

	Spike	Nsp7	Nsp8	Nsp12
Sequences Downloaded (November 14th, 2020)	196,674	196,191	196,192	196,314
Sequences Passing Filter Criteria	155,118	193,786	192,168	178,648
Sequences Clustered and Aligned	150,069	193,713	192 <i>,</i> 032	177,643
Clustered Sequences Linked to Metadata	146,740	189,712	188,088	173,978
Metadata-Sequence Pairs Included in Time Series Analysis	139,835	181,856	180,432	166,617

option "--full" was specified to perform a full distance matrix calculation to improve alignment accuracy. Two iterations of alignment were performed to optimize both alignment quality and computational efficiency.¹⁶ Full distance matrix calculations were applied to the extra iteration using "--full-iter", and the output was stored in FASTA format.

Parsing of Multiple Sequence Alignment

A Python script was developed in Jupyter notebook to automatically parse the aligned sequences for variants given the ID of the cluster containing the reference sequence, which was determined by searching for "WIV04" in the cluster information file using RStudio (version 1.3.1093).¹⁸ The Python script scanned through the other clusters (Supplementary Figure S1), comparing each codon with the corresponding codon of the reference cluster. When variants were discovered, the program determined the type of mutation, and functions were run accordingly to store the position of the variant relative to the reference, the ID and size of the variant cluster, and the identity of the codon in the reference and variant clusters. Based on this information, a code was computed for the variant based on the nomenclature recommended by the Human Genome Variation Society (HGVS).¹⁹ This information was then stored for each mutation observed, as the variant events dataset. Deletions spanning multiple codons were recorded as a single event, and insertions at the beginning and end of the sequences were named as extensions with the format <Position of the first or last codon>ext<Identity of codon(s) inserted>. The variant events dataset was then grouped by position and the sum of the cluster sizes was taken to compute the total number of sequences with a mutation at each position, and a similar operation was performed to compute the total number of sequences containing each unique variant.

Three-dimensional Visualization of Frequently Mutated Sites

Structures of the spike protein and the RNA-dependent RNA polymerase (RdRP) complex were downloaded from the Protein Data Bank (PDB)²⁰ and visualized using PyMOL.²¹ For the spike protein, two structures were downloaded: PDB ID <u>6VSB</u>,²² which shows the whole spike protein with one receptor binding domain in the up conformation, and PDB ID <u>6M17</u>,²³ which shows the receptor binding domain of the spike protein in contact with the ACE2 receptor. For the RdRP complex, the structure PDB ID <u>7BV2</u>²⁴ was used. The variants by position dataset was used to color each position in the structures by the frequency of variation using a log-10 scale. In a separate visualization, the spike protein and the RdRP complex were colored by domain and all positions mutated in more than 100 sequences were highlighted.

Frequency of Mutations in Key Residues

The variants by code dataset was filtered by residue number to determine the frequency and identity of variants in key regions of the genome, such as the receptor-binding domain (RBD), the furin cleavage site,²⁵ and superantigen motifs²⁶ in the spike protein. For the RdRP complex, the binding site of remdesivir¹¹ was analyzed.

Time Series Analysis of Variants

To determine changes in the prevalence of variants over time, the variant events dataset was linked with the extended metadata according to the process outlined in Supplementary Figure S2. The GISAID accession ID for each sequence was extracted from the cluster information dataset using RStudio, yielding a dataframe mapping sequence accession IDs to the corresponding cluster ID. This dataframe was merged with the extended metadata on the common accession ID column, yielding a dataset listing the metadata for each sequence, along with the ID of the representative cluster. A dataframe mapping the cluster ID to the variants observed in the cluster was created from the variant events dataset, and this dataframe was merged with the metadata with cluster IDs to yield a dataset giving the metadata for each sequence, along with the variants observed. Subsets were then taken based on the collection date of the samples: weekly time intervals beginning on Sunday, January 5th, 2020 and ending on Saturday, October 31st, 2020, were used. The frequency of each unique variant was obtained for each week and stored in a separate dataset along with the

total number of sequences analyzed; variant counts were then divided by the total number of sequences to give the percentage of sequences with each unique variant by week. Subsets were also taken by continent to perform a region-specific analysis. Sequences with no defined day of collection were excluded from analysis, as well as sequences with metadata entries that could not be linked to cluster IDs. There were only 53 sequences analyzed with a collection date later than October 31st, 2020: these were also excluded from analysis. The number of sequences included in the time series dataset is given in **Table 1**.

Visualization of Variant Trends

The Python package Matplotlib^{27,28} was used to visualize trends in spike variants over time. The top ten most common variants were selected from the percentage table, and a line plot showing the prevalence of each mutation over time was created. To analyze trends in less common variants, a heatmap was used: all variants with a prevalence greater than or equal to 2 percent in at least one week were included. A color map for the heatmap was defined using a log-10 scale, with 0.10% as the lower bound for coloring cells. A histogram was generated to show the number of sequences represented in each week.

Results

Spike Protein

Time Series Analysis of Variants: The global prevalence of the top ten most common variants by week of sample collection is shown in Figure 1A, and the prevalence of the top ten most common variants on each continent are shown in Figure 1 B-G. The most common variant was the substitution D614G, which quickly became prevalent after its appearance in mid-January 2020. The variant was observed in more than 50% of sequences collected worldwide by the week of March 1st, and in more than 90% of sequences collected by the week of April 26th. D614G quickly became the dominant variant on all continents, though its rate of establishment was much lower in Asia (Figure 1B). D614G reached 90% prevalence in Asia during the week of June 14th. The N-terminal domain (NTD) substitution A222V and the signal peptide substitution L18F have

also gained in prevalence since their appearance in late July and early August, respectively. These variants appear to be common in Europe (Figure 1C), though A222V has been observed to an increasing extent in Asia and Oceania (Supplementary Figure S3A), and L18F and A222V have been sporadically observed in North America (Supplementary Figure S3B). The receptor binding domain (RBD) substitution S477N appeared in June and reached peak prevalence during week of July 19th, appearing in 44% of sequences worldwide before decreasing in prevalence to 4.3% by the week of October 18th. An influx of sequences from Oceania was observed during this time (Figure 1H), and S477N was observed in more than 90% sequences from Oceania between the week of July 15th and the week of August 16th (Figure 1D). S477N has also been observed in Europe with increasing frequency, but not on other continents (Supplementary Figure S3C). The RBD substitution N439K and the NTD deletion H69 V70del have slowly increased in prevalence since August and are present in 3.2 and 3.7 percent of the sequences collected worldwide on the week of October 18th, respectively. Both variants were in the top ten most common variants from Europe but were not consistently observed on other continents (Supplementary Figure S3 D-E). The signal peptide substitution L5F was observed on all continents at low prevalence (Supplementary Figure S3F).

The data for North America (Figure 1E) suggests that there are no highly prevalent variants besides D614G as of October 31st, but the limited deposition of full-length spike sequences to GISAID since July (Figure 1H, Supplementary Figure 4) limits the conclusions that can be drawn from the latter months of the analysis. Data from Africa and South America (Figure 1 F-G) shows several variants unique to both regions that have appeared then later decreased in prevalence, but the sample size from these continents is very low (Supplementary Figure 4). Considerable changes in the prevalence of several mutations are observed in the last week of this analysis (October 25th to October 31st), though there are only 1,500 sequences included in this week compared with 5,000 in preceding weeks (Figure 1H).



Figure 1A-H: Prevalence of the top ten most common variants for the spike protein, by collection date in one-week intervals beginning on January 5th, 2020 and ending on October 31st, 2020. Prevalence of the ten most common variants **A**) worldwide, **B**) in Asia, **C**) in Europe, **D**) in Oceania, **E**) in North America, **F**) in Africa, and **G**) in South America. Variants that appear within the top ten most prevalent variants on multiple continents are given the same color and shape in every graph. The variant D614G quickly gained prevalence after its appearance in mid-January, occurring in 50% of the sequences sequenced by early march and 90% by early May. The variants A222V and L18F are increasing in prevalence in Europe and are not observed in other continents as of October 31, 2020. The spike in global prevalence of S477N appears to be driven by an influx of sequences from Oceania, where it appears in the majority of samples sequenced between June and September. The signal peptide substitution L5F was observed at low prevalence on all continents. N439K, which binds ACE2 with increased affinity and decreases the neutralization capability of some antibodies,⁵ have seen slight increases in prevalence in Europe since September. **H**) Number of complete spike protein sequences analyzed per week, by continent. Europe has contributed the majority of sequences from September to the end of October, 2020. Lower sample sizes in regions may explain the sudden shifts in prevalence seen in (A-G).

Figure 2 shows time series trends for all variants present in at least two percent of samples worldwide from any given week. Of the 33 variants that met this criterion, 13 were in the NTD, five were in the RBD, two each were in the signal peptide, the intracellular domain, the cytoplasmic domain, and heptad repeat 2; and seven were outside of a named domain. Time series analyses of variants occurring within NTD (**Supplementary Figure S5**) and the RBD (**Supplementary Figure S6**) show that the N-terminal domain contains more variants than the RBD that are consistently present and increasing in prevalence with time. Four additional variants became more prevalent since late August (S98F, T723I, A626S, and E583D),²⁹ while other variants emerged and then later disappeared. Two heptad repeat 2 residues, D1163Y and G1167V appear to increase in prevalence at the same time, near the end of August. Out of the 910 sequences containing either D1163Y, G1167V, or both, 802 contain both variants (**Supplementary Table S2**). The same trend is observed for the N-terminal domain residues A262S and P272L, and these variants occur together in 687 out of 1,084 sequences containing either A262S, P272L, or both. Some of the fluctuations in amino acid variations may reflect the geographic trends of data deposited to GISAID. Region-specific



Prevalence of Common Spike Variants Over Time (Worldwide)

Figure 2: Heatmap of all spike protein variants observed in two percent or more of the genomes sequenced, for at least one week. Variants are listed on the y-axis, with the row "zero mutations in spike" showing the percentage of genomes sequenced with spike protein sequences identical to that of the reference genome. Parentheses indicate the spike protein domain in which each variant appears, according to the domain ranges specified in Huang et al. 2020.²⁹ Key for domain abbreviations: SP=signal peptide, NTD=N-terminal domain, RBD=receptor-binding domain, FP=fusion peptide, HR1=heptad repeat 1, HR2=heptad repeat 2, CD=cytoplasmic domain, ID=intracellular domain. The heatmap is colored based on a log-10 scale, with prevalence values of zero colored in white, and values less than or equal to 0.10% colored with the lightest shade. Time on the x-axis is categorized by week of collection date, beginning on January 5th, 2020, and ending on October 31st, 2020. The heatmap reveals several variants that have increased in prevalence since August, as well as others that have appeared and have subsequently disappeared. Fifteen out of the 33 variants observed in at least two percent of samples are in the N-terminal domain, five out of 33 are in the receptor-binding domain, two each are in the signal peptide, the intracellular domain, the cytoplasmic domain, and heptad repeat 2, and seven are in unspecified domains (other).

heatmaps showing all variants present in at least two percent of samples on each continent are shown in **Supplementary Figures S7-S12**, and a complete prevalence table for all variants is given in **Supplementary Table 1 A-G**.

Structural Visualization of Variants: We utilized the structural visualization program PyMOL to examine the frequency of variation at each position in the spike protein sequence and structure (Figure 3 A-D). The Nterminal domain and the receptor binding domain are mutated more frequently than other domains (Figure **3A-B**). Of the 1,735 unique variants observed in the spike protein across all collection dates, 595 were observed in the N-terminal domain and 220 were observed in the receptor binding domain. Heptad repeats 1 and 2 contained 62 and 69 unique variants, respectively, the intracellular domain contained 63 variants, and the cytoplasmic domain contained 35 unique variants. The fusion peptide contained 25 variants, none of which were observed in more than 100 sequences. 636 variants were in regions of the spike protein not classified within a domain.

A comparison of the secondary structure of A222V (Figure 3C) to that of D614G (Figure 3D) shows that both variants occur in a loop region. A ribbon diagram of the secondary structures of A222V (Figure 3C), which quickly became more prevalent in Europe between mid-July and October 31st, shows that the variant occurs in a loop region, like D614G (Figure 3D). D614G has been shown to alter the conformational state of the receptor binding domain through a hinge mechanism involving its loop structure,³⁰ and it is possible that A222V may have a similar effect on the conformational state.

The interface between the receptor binding domain of the spike protein and ACE2 is shown in **Figure 4** (PDB ID: <u>6M17</u>).³¹ Three residues that directly contact ACE2 had more than 100 instances of variation: Y453, N501, and F486. The residues Y453 and F486 were shown to have pi-pi stacking interactions with the ACE2 receptor. Y453 was observed as mutating to a phenylalanine residue in 346 sequences, and F486 was observed to mutate to leucine in 140 sequences. N501 forms two polar contacts with ACE2 residues; it was observed to mutate

to a tyrosine residue in 156 sequences. N439 and S477 do not contact the ACE2 receptor directly but in the vicinity of the binding site. S477N was observed in 9,931 sequences, and N439K was observed in 2,025 sequences.

Furin Cleavage Site: SARS-CoV-2 contains an insertion not found in SARS-CoV that creates an additional cleavage site for the human protease furin.^{25,32} The furin cleavage site has been shown to strongly influence host mortality rates of other RNA- based viruses such as influenza³³, and furin cleavage sites similar to those of SARS-CoV-2 have been observed in MERS-CoV.³⁴ The furin cleavage site occurs between residues N679 and R685²⁵; these residues were analyzed for the frequency and type of variants present. A high degree of conservation was observed at the furin cleavage site, with zero variants in R685 and less than 20 variants observed for residues S680, R682, and R683. P681 was mutated in 233 sequences to several different codons: the proline was substituted with a histidine in 144 sequences, with a leucine in 67 sequences, with an arginine in 12 sequences, and with a serine in seven sequences.

Superantigen Mimicry: Other spike protein motifs of interest include those that function as superantigens. Superantigens are proteins that stimulate the receptors of T lymphocytes (T cells) by binding at either the alpha or the beta variable chains,³⁵ resulting in an overproduction of cytokines that leads to hyperinflammation and toxic shock syndrome.²⁶ The symptoms of multisystem inflammatory syndrome in children (MIS-C) infected with SARS-CoV-2 are similar to those of toxic shock syndrome,²⁶ suggesting that sequence patterns similar to superantigens exist in SARS-CoV-2 proteins. A sequence motif between the residues E661 and R685 was discovered that is capable of binding both the alpha and beta variable chains in Tcells, with residues S680 through R683 shown to directly contact the T-cell receptor.²⁶ This motif overlaps with the furin cleavage site. The sequence that directly contacts the T-cell receptor is the same sequence that is cleaved by furin. A high degree of conservation was observed for residues in the superantigen motif: all



Figure 3 A-D: Structure of spike protein (PBD ID: 6VSB) with common amino acid variants labeled. **A)** Spike protein domains are shown with residues mutated in 100 or more sequences highlighted. The N-terminal domain is shown in light cyan, the receptor binding domain is shown in light orange, heptad repeat 1 is shown in light blue, and the fusion peptide is shown in blue. Residues in the S1 subunit with no classified domain are shown in light grey, and unclassified residues in the S2 subunit are shown in dark grey. Residues with a variant frequency of more than 100, 1,000, and 10,000 are shown in pink, red, and dark red, respectively. Variants are observed in more residues in the N-terminal domain and the receptor binding domain compared to the S2 domains, and higher-frequency variants appear to be concentrated in these domains. **B)** All residues with variants are shown. The color-coding for variants appearing in at least 100 sequences is the same as in A) and B); light blue is used for variant frequencies of 2-10. Residues with zero variants are shown based on subunit colors specified in A) and B). **C)** A ribbon diagram visualizing the secondary structure of the A222V variant and surrounding residues. A222V is located on a loop region, as is the case with D614G **(D)**. Despite its distance from the receptor binding domain, D614G has been observed to alter the conformational state of the receptor binding domain by altering the conformation in the region surrounding codon 614, acting as a hinge.³⁰ PDB structures used: PDB ID: <u>6VSB</u>.²²

residues except for Q675, Q677, and P681 contained variants in less than 100 sequences. A time series analysis of variants in the superantigen motif (**Supplementary Figure S13**) reveals five variants out of 44 total that are present in at least 0.5% of samples from any given week (Q675H, Q675R, Q677H, P681H, P681L). P681H appears to be gradually increasing in prevalence over time, while Q675H and Q677H appear stable in prevalence. Q675R and P681L appear to have increased in prevalence, peaked, and later disappeared. A deletion of the furin cleavage site (N679_S686del) was observed in 0.402% of sequences from the week of January 26th and 0.045% of samples from the week of May 31st; the deletion was not observed in any other samples. *Emergent SARS-CoV-2 Strains:* The time series trends of variants specific to the B.1.1.7, B.1.351, and P.1 strains first detected in the UK, South Africa, and Brazil, respectively, are shown in **Figure 5 A-C** with updated data current as of January 23rd, 2021. The ten variants associated with B.1.1.7 have very quickly gained prevalence worldwide since their appearance in mid-September, appearing in more than half of sequences collected worldwide during the week of January 17th. Variants associated with B.1.1.7 appear to be propagating at a greater rate than those in B.1.351 and P.1. The RBD variant N484K is observed in B.1.351 and P.1, and N501K is observed in all three strains.



Figure 4: Structure of the interface between the spike receptor binding domain (RBD) and the human ACE2 receptor (top left shows the location of the RBD on the spike protein). The ACE2 receptor is shown in light cyan, and the spike residues are colored according to the frequency of variation: red is used for residues with variants in more than 1000 sequences, pink is used for variant frequencies of 100-1000, light blue for frequencies of 10-100, blue for frequencies of 2-10, and grey for residues with no observed variants. Residues mutated in more than 100 sequences are labeled with the one-letter codes of the reference residue and the most commonly observed variant. Direct contacts are shown with dotted lines: hydrogen bonds and salt bridges are shown in yellow, and pi-pi stacking interactions are shown in cyan. The residues Y453 and F486 form pi-pi stacking interactions with ACE2 residues: the variant Y453F would result in the loss of a hydroxyl group, and the variant F486L would disrupt the pi-pi stacking interaction due to the loss of the aromatic group in phenylalanine. N439 does not directly contact the receptor binding domain, though it is believed that the variant lysine residue, which is positively charged, could form a salt bridge with the negatively charged ACE2 residue E329, increasing binding affinity of the RBD to ACE2.⁵ PDB ID: <u>6M17</u>²³ (RBD-ACE2 interface), PDB ID: <u>6VSB</u>²² (whole spike protein on upper left).

RNA-Dependent RNA Polymerase (RdRP) Complex *Time Series Trends of Variants in Nsp12:* The Nsp12: P323L variant appeared in late January 2020 and was present in 50% of the sequences by early March, and 90% of the sequences by late April (**Figure 6A**). The trend of predominance for Nsp12: P323L was observed on all continents (**Figure 6 B-G**), but the rate of establishment was lower in Asia than in other continents, as with spike: D614G. Other variants have emerged and are relatively rare, present in 3.5-4.0% of samples collected weekly. Variants that are increasing in prevalence globally include the Nsp12 variants V776L, E254D, A656S, and V720I, and the Nsp7 variants S25L and M75I. Time series trends in Europe (**Figure 6B**) match global trends. All the top ten global variants worldwide are seen in the top ten for Europe except for Nsp7: S25L, and several Nsp12 variants are present in 5.0%-10.0% of samples. This may be due to the predominance of samples from Europe since August 2020 (**Supplementary Figure S14 A-F**). In Asia (**Figure 6C**), the Nsp12 beta-hairpin substitution A97V appeared in late January and was observed in 49.7% of sequences collected during the week of April 12th. Afterward, the variant decreased in prevalence and was observed in between 5-25% of samples. The variant was observed in no samples during and after the week of September 13th. The Nsp7 variant S25L, which exists on the interface between Nsp7 and Nsp8, was observed in



Figure 5 A-C: Heatmap of spike protein variants observed in the **A**) B.1.1.7 strain first detected in the UK, **B**) B.1.351 strain first detected in South Africa, and **C**) P.1 strain first detected in Brazil. Variants are listed on the y-axis, and time is categorized by week of collection date on the x-axis, beginning on January 5th, 2020, and ending on January 23rd, 2021. Parentheses give the domain in which each variant appears, according to the domain ranges specified in Huang et al. 2020.²⁹ Key for domain abbreviations: SP=signal peptide, NTD=N-terminal domain, RBD=receptor-binding domain, FP=fusion peptide, HR1=heptad repeat 1, HR2=heptad repeat 2, CD=cytoplasmic domain, ID=intracellular domain. The heatmap is colored based on a log-10 scale, with prevalence values of zero colored in white, and values less than or equal to 0.10% colored with the lightest shade. **A**) The ten variants associated with the B.1.1.7 strain appeared together in mid-September and have quickly increased in prevalence. The variants associated are now observed in more than 50% of sequences worldwide. (Y144del sometimes appears as Y145del due to difficulties with identical adjacent amino acids in the alignment software). **B**) The variants associated with B.1.351 appeared together in mid-October and have increased in prevalence over time in samples worldwide, but to a lesser extent than the variants in B.1.1.7. **C**) The variants associated with P.1 appeared together in mid-December. The RBD variant E484K is also observed in B.1.351, and the RBD variant N501Y is also observed in B.1.1.7.



Figure 6 A-G: Prevalence of the top ten most common variants for the constituent proteins of the RNA-dependent RNA polymerase (RdRP) complex, by collection date in one-week intervals beginning on January 5th, 2020 and ending on October 31st, 2020.The top ten variants **A**) worldwide, **B**) in Europe, **C**) in Asia, **D**) in North America, **E**) in Africa, **F**) in Oceania, and **G**) in South America, are shown. Variants that appear within the top ten most prevalent variants on multiple continents are given the same color and shape in every graph.

both Asia (Figure 6C) and North America (Figure 6D). Nsp7: S25L was first observed in North America during the week of March 1st, and in Asia during the week of March 8th. The prevalence of the variant in Asia peaked at 45.6% of sequences during the week of June 28th and then declined in prevalence. After the week of September 13th, no sequences were observed with the variant. In North America, the variant is consistently observed in 1-7 % of sequences. Nsp7: S25L is rare on other continents: it is observed in less than 0.5% of sequences from Oceania, and less than 0.1% of sequences from Africa and Europe. In South America Nsp7: S25L was observed in three out of 16 sequences (18.75%) collected during the week of May 17th, though the low sample size from this continent makes it difficult to determine from this observation if the variant is common on the continent. In Africa (Figure 6E), the Nsp8 variant Y138H appears consistently in 2040% of sequences since late June. The Nsp12 thumb subdomain variant G823S is also highly prevalent in samples from the week of August 16th, and the sharp increase in prevalence in this variant is observed at the same time as a decrease in the prevalence of Nsp12: P323L. In Oceania (Figure 6F), there are no stable variants in the RdRP complex besides Nsp12: P323L. Several variants, such as the Nsp12 variants A97V, K718N, and N215S, and the Nsp8 variant L9F, emerged, peaked in prevalence between 5-25%, and later decreased to less than 4% prevalence. However, one variant appeared recently and may be increasing in prevalence: the Nsp12 finger subdomain mutation A656S appeared in late September and is present in 5.26% of samples collected during the week of October 18th (Supplementary Table 2F). In South America (Figure 6G), the Nsp12 thumb subdomain variant P918L was observed in 72.5% of samples collected during the



Prevalence of Common RdRP Variants Over Time (Worldwide)

Figure 7: Heatmap of all RdRP complex variants observed in two percent or more of genomes sequenced for at least one week. Variants are listed on the y-axis, and parentheses give the domain in which each variant appears, according to the domain ranges specified in Yin et al. 2020¹¹. The heatmap is colored based on a log-10 scale, with prevalence values of zero colored in white, and values less than or equal to 0.10% colored with the lightest shade. Time on the x-axis is categorized by week of collection date, beginning on January 5th, 2020, and ending on October 31st, 2020. P323L quickly increased in prevalence after its appearance in mid-January. The Nsp12 variants A185S, V776L, E254D, A656S, V720I, and T76I, as well as the Nsp7 variant M75I appear to be increasing in prevalence over time since early August. Common variants are observed in all domains except for the thumb subdomain of Nsp12. Of the 24 variants observed in at least two percent of genomes in any week, five are in the palm subdomain of Nsp12; three each are in the finger subdomain, the interface domain, the NiRAN domain, and the beta hairpin of Nsp12; zero are in the thumb subdomain of Nsp12, four are in Nsp8, three are in Nsp7.



Figure 8 A-D: Structural visualization of variants in the RNA-Dependent RNA Polymerase (RdRP) complex. **A)** RdRP complex colored by domain, with high-frequency variants highlighted. Nsp7 and Nsp8 are colored in grey and dark grey, respectively. Nsp12 is colored and labeled by domain. Residues with more than 100 variants are highlighted according to the number of sequences with a variant at that position (worldwide, all collection dates). Dark red is used for residues with variants in10,000+ sequences, red is used for variant frequencies of 1000-10000, and pink is used for variant frequencies of 100-1000. Nsp7 has few variants compared with Nsp8 and Nsp12, and the interface and NiRAN domain of Nsp12 also appear to have relatively few variants compared with other domains. The common variant Nsp7: S25L exists at the interface between Nsp7 and Nsp8. **B)** A ribbon diagram of the highly prevalent Nsp12: P323L variant. The variant exists within a small alpha helix surrounded by loop regions. Proline helps maintain a tight helical geometry, and substitution of this variant may disrupt the secondary structure. The common Nsp12 variant A656S is also shown. **C)** Ribbon diagram of RNA-binding residues of Nsp12 (shown in green). Remdesivir is shown in orange, and variants are colored according to the scheme in (**A**). There are no RNA-binding residues (labeled). **D**) Ribbon diagram of remdesivir-binding site with side chains of remdesivir-binding residues (dark blue). Variation was observed in only one remdesivir-binding residue, S862, which is mutated to proline in 2 sequences. PDB structures used: PDB ID: <u>7BV2</u>.²⁴

week of June 21st and 56.7% of sequences collected during the week of July 12th. This amino acid residue is near M924, which is involved in RNA binding.

A heatmap of time series data for variants in the RdRP complex is shown in Figure 7. The Nsp12 variants A185S and V776L appear to increase in prevalence together, suggesting a correlation between these variants. This is supported by the table of variant combinations for each cluster (Supplementary Tables S4-S6), which shows V776L occurring together with A185 in 2,652 sequences, and V776L and A185S occurring separately in 11 and 34 sequences, respectively. Relatively low sample sizes on some continents (Supplementary Figure 14 B, D, F) limit the conclusions that can be drawn from the time series data. Supplementary Figures S15-S20 show regional heatmaps of all variants present in at least two percent of samples on each continent, and Supplementary Table S3 A-G shows complete prevalence data of all variants.

Structural Visualization of Variants in RdRP Complex: 325 unique variants were observed in Nsp8, 79 unique variants were observed in Nsp7, and 1,072 unique variants were observed in Nsp12 (**Figure 8A**). Of the 1,072 variants in Nsp12, 259 were observed in the finger subdomain, 177 were observed in the beta hairpin, 165 were observed in the palm subdomain, 161 were observed in the NiRAN domain, 133 were observed in the interface, and 118 were observed in the thumb subdomain. Fifty-nine variants occurred in an uncharacterized region of Nsp12.

Secondary Structure of P323: The Nsp12 residue P323 occurs in a small alpha helix region within a loop secondary structure (**Figure 8B**). This residue is not known to directly bind residues in neighboring domains, though it is near residue A656, which contacts the interface domain and is mutated to a serine in 2,010 sequences across all time points (1.132%). A656 has also been observed to mutate to a threonine in 54 sequences, and to a valine in 13 sequences. A656S has been observed in increasing frequency since August, while A656T and A656V have not increased in prevalence with time (**Supplementary Figures S21-S22**). *RNA-Binding Residues*: RNA-binding residues of in the finger, thumb, and palm subdomains were highly conserved (**Figure 8C**). Out of the 41 residues of Nsp12 known to bind RNA¹¹, only seven were mutated in at least two sequences and no residues were mutated in more than 6 sequences (0.0034% of all sequences analyzed).

Variation in the Binding Site for Remdesivir: Remdesivir blocks viral replication by binding to the following residues in Nsp12: K545, S682, R555, T687, S759, N691, D623, D760, and D761.¹¹ Remdesivir-binding residues are shown in **Figure 8D**. Of these nine amino acid residues, variants were observed for only one: S862, which mutated to proline in two sequences worldwide.

Discussion

This study analyzed viral genomic data made available through GISAID and used structural information to identify trends in variant representation over time and to examine the structural features of variants. The study validated previous findings of the predominance of spike: D614G and Nsp12: P323L in the population⁴ while uncovering recent increases in the prevalence of new mutations in Nsp12 and the spike protein. Trends in the emergence of new variants vary by geographic area.

The very high prevalence of the D614G mutant in the sequences sampled reflects studies documenting increased viral counts in-vitro^{4,36} as well as higher viral loads in infected individuals.⁴ D614G has also been observed to co-occur with Nsp12: P323L⁴, the most common mutation observed in Nsp12. For both the spike protein and Nsp12, new variants appeared in late July to early August and have since steadily increased in prevalence. Most mutations generally have neutral or deleterious effects on protein function³⁷; these variants would not be expected to increase in prevalence over time unless they occur with a variant that confers an increase in fitness, replication, or transmission potential. The increasing prevalence of new variants in the population suggests that these variants provide an evolutionary advantage, though follow-up studies invitro or in-vivo are required to definitively determine the effects of these variants.

The high conservation observed in the RNA-binding residues of the RdRP indicates that there is no evidence of remdesivir resistant mutations as of October 31, 2020. Continued surveillance of contact residues for remdesivir¹¹ and other drug candidates targeting the RdRP³⁸ is necessary to effectively respond to potential drug resistance in the future.

The N-terminal domain and the receptor binding domain (RBD) of the spike protein were observed to be variable, which may have implications for monoclonal antibody treatments that target these domains.^{39–41} The RBD variant N439K, which has been shown to result in antibody evasion,^{5,42} is becoming more common over time. Several variants observed in the N-terminal domain and the signal peptide (L18F, Y144del, and D253G) have also been shown to decrease the effectiveness of antibodies.⁴³ It is essential to continue monitoring these variants and other variants in the RBD shown to escape antibodies^{42,44} to ensure that vaccines and monoclonal antibody treatments against SARS-CoV-2 remain effective against evolving and globally circulating variant strains.

In the case of antibody cocktails, the chance of any single mutation rendering the treatment ineffective is low. In some cases, antibody cocktails contain multiple antibodies that recognize different regions of the RBD. Studies on the Regeneron cocktail demonstrate enduring effectiveness despite the presence of individual escape mutations.⁴⁵ Vaccines also result in the production of multiple antibodies targeting different regions of the spike and other proteins, and are likely to remain effective, even when encountering single escape mutations. Strains with many variants relative to the reference may be more difficult to protect against with current vaccines designed against the original SARS-CoV-2 strain, as has been observed with the South African SARS-CoV-2 variant B.1.351.⁴⁶

The analysis in this study is limited in some aspects, since the files used and downloaded for this study consist only of amino acid sequences, without the accompanying nucleotide information. Amino acid data can robustly capture information on non-synonymous mutations, but analyses such as codon bias⁴⁷ cannot be performed without nucleotide information. The lack of nucleotide information also limits the ability of alignment programs to distinguish between two possible deletions in regions where two or more identical amino acids are adjacent to one another; this causes the spike variant Y144del to be identified as Y145del in some sequences of our analysis. In addition, the identity of ambiguous "X" codons cannot be fully elucidated without nucleotide data. Despite stringent filtering of sequences, ambiguous codons were still observed in some sequences.

Due to the lack of accompanying clinical data posted to the GISAID platform, it is possible that some samples may represent longitudinal samples taken from the same patient across different time points. If this is the case, rare variants in these samples may be overrepresented. The time series data may also be influenced by reporting bias. A sudden influx of samples from a region where a variant is present may overrepresent that variant, and variants may appear to become less common due to decreasing reporting of sequences from regions where they are common. European variants are likely over-represented in the time series data from August onward due to the very high number of samples collected in this region relative to others in the same time interval. Without extensive sequencing of samples worldwide, it is likely that there are functionally significant variants present that have not yet been discovered.

Future directions for study include segmenting the data by location as well as time to characterize regional trends in variation. The analyses performed in this study can be repeated for other SARS-CoV-2 proteins, and differing trends in variation by protein could be determined by normalizing variant frequencies to the length of each protein. Also, correlation analysis of variants can be performed to see which mutations happen concurrently, verified by identifying variants that co-occur on specific viral protein sequences. This would allow for preemptive identification of new strains consisting of multiple variants, which can be evaluated in follow-up studies for functional implications.

Acknowledgements

We gratefully acknowledge the authors of the submitting laboratories to the GISAID portal, as well as the authors from the originating laboratories who obtained the sequences. The entire analysis is based on data from the GISAID portal (**Supplementary Figure S7**). Many thanks to our colleagues, Cody Glickman, Elaine Epperson, Nabeeh Hasan, and Jo Hendrix, in the Strong Laboratory for their input and feedback. We also wish to thank Tzu Phang, Lauren Vanderlinden, Fuyong Xing for helpful discussions and training. WS thanks the countless faculty and peers that have served as role models and furthered his learning. MS acknowledges funding from the Colorado Advanced Industries grant program.

References

1. John Hopkins University. COVID-19 Dashboard. Johns Hopkins Coronavirus Resource Center

https://coronavirus.jhu.edu/map.html (2020).

- 2. Dai, L. & Gao, G. F. Viral targets for vaccines against COVID-19. Nat Rev Immunol 21, 73-82 (2021).
- 3. Shu, Y. & McCauley, J. GISAID: Global initiative on sharing all influenza data from vision to reality. *Euro Surveill.* 22,

30494 (2017).

- Korber, B. *et al.* Tracking Changes in SARS-CoV-2 Spike: Evidence that D614G Increases Infectivity of the COVID-19 Virus. *Cell* 182, 812-827.e19 (2020).
- 5. Thomson, E. C. *et al.* Circulating SARS-CoV-2 spike N439K variants maintain fitness while evading antibody-mediated immunity. *Cell* (2021) doi:10.1016/j.cell.2021.01.037.
- Hodcroft, E. B. et al. Emergence and spread of a SARS-CoV-2 variant through Europe in the summer of 2020. (2020) doi:10.1101/2020.10.25.20219063.
- Wu, A. *et al.* Genome Composition and Divergence of the Novel Coronavirus (2019-nCoV) Originating in China. *Cell Host & Microbe* 27, 325–328 (2020).
- 8. Kim, D. et al. The Architecture of SARS-CoV-2 Transcriptome. Cell 181, 914-921.e10 (2020).
- 9. Crackower, M. A. et al. Angiotensin-converting enzyme 2 is an essential regulator of heart function. 417, 7 (2002).
- Wang, Q. *et al.* Structural and Functional Basis of SARS-CoV-2 Entry by Using Human ACE2. *Cell* **181**, 894-904.e9 (2020).
- 11. Yin, W. *et al.* Structural basis for inhibition of the RNA-dependent RNA polymerase from SARS-CoV-2 by remdesivir. *Science* **368**, 1499–1504 (2020).
- Zhou, P. *et al.* A pneumonia outbreak associated with a new coronavirus of probable bat origin. *Nature* 579, 270– 273 (2020).

- Kluyver, T. *et al.* Jupyter Notebooks—a publishing format for reproducible computational workflows. in *Positioning and Power in Academic Publishing: Players, Agents and Agendas* (eds. Loizides, F. & Schmidt, B) 87–90 (IOS Press, 2016).
- Cock, P. J. A. *et al.* Biopython: freely available Python tools for computational molecular biology and bioinformatics. *Bioinformatics* 25, 1422–1423 (2009).
- 15. Edgar, R. C. Search and clustering orders of magnitude faster than BLAST. *Bioinformatics* 26, 2460–2461 (2010).
- Sievers, F. *et al.* Fast, scalable generation of high-quality protein multiple sequence alignments using Clustal Omega.
 Mol Syst Biol 7, 539 (2011).
- 17. Sievers, F. & Higgins, D. G. Clustal Omega for making accurate alignments of many protein sequences: Clustal Omega for Many Protein Sequences. *Protein Science* **27**, 135–145 (2018).
- 18. RStudio: Integrated Development Environment for R. (RStudio, PBC, 2020).
- den Dunnen, J. T. *et al.* HGVS Recommendations for the Description of Sequence Variants: 2016 Update. *Human Mutation* **37**, 564–569 (2016).
- 20. Berman, H. M. The Protein Data Bank. Nucleic Acids Research 28, 235-242 (2000).
- 21. The PyMOL Molecular Graphics System. (Schrödinger, LLC, 2020).
- 22. Image of 6VSB (Wrapp, D. et al. Cryo-EM structure of the 2019-nCoV spike in the prefusion conformation. Science 367, 1260–1263 (2020)) created with PyMOL (The PyMOL Molecular Graphics System. (Schrödinger, LLC, 2020)).
- 23. Image of 6M17 (Yan, R. et al. Structural basis for the recognition of SARS-CoV-2 by full-length human ACE2. Science 367, 1444–1448 (2020)) created with PyMOL (The PyMOL Molecular Graphics System. (Schrödinger, LLC, 2020)).
- 24. Image of 7BV2 (Yin, W. et al. Structural basis for inhibition of the RNA-dependent RNA polymerase from SARS-CoV-2 by remdesivir. Science 368, 1499–1504 (2020)) created with PyMOL (The PyMOL Molecular Graphics System. (Schrödinger, LLC, 2020)).
- Walls, A. C. *et al.* Structure, Function, and Antigenicity of the SARS-CoV-2 Spike Glycoprotein. *Cell* 181, 281-292.e6 (2020).
- 26. Cheng, M. H. *et al.* Superantigenic character of an insert unique to SARS-CoV-2 spike supported by skewed TCR repertoire in patients with hyperinflammation. *Proc Natl Acad Sci USA* **117**, 25254 (2020).

- 27. Caswell, T. A. et al. matplotlib/matplotlib: REL: v3.2.2. (Zenodo, 2020). doi:10.5281/ZENODO.3898017.
- 28. Hunter, J. D. Matplotlib: A 2D Graphics Environment. Comput. Sci. Eng. 9, 90–95 (2007).
- 29. Huang, Y., Yang, C., Xu, X., Xu, W. & Liu, S. Structural and functional properties of SARS-CoV-2 spike protein: potential antivirus drug development for COVID-19. *Acta Pharmacol Sin* **41**, 1141–1149 (2020).
- 30. Yurkovetskiy, L. *et al.* Structural and Functional Analysis of the D614G SARS-CoV-2 Spike Protein Variant. *Cell* **183**, 739-751.e8 (2020).
- 31. Yan, R. *et al.* Structural basis for the recognition of SARS-CoV-2 by full-length human ACE2. *Science* **367**, 1444–1448 (2020).
- 32. Wrobel, A. G. *et al.* SARS-CoV-2 and bat RaTG13 spike glycoprotein structures inform on virus evolution and furincleavage effects. *Nat Struct Mol Biol* **27**, 763–767 (2020).
- 33. Steinhauer, D. A. Role of Hemagglutinin Cleavage for the Pathogenicity of Influenza Virus. Virology 258, 1–20 (1999).
- 34. Millet, J. K. & Whittaker, G. R. Host cell entry of Middle East respiratory syndrome coronavirus after two-step, furinmediated activation of the spike protein. *Proc Natl Acad Sci USA* **111**, 15214–15219 (2014).
- 35. Saline, M. *et al.* The structure of superantigen complexed with TCR and MHC reveals novel insights into superantigenic T cell activation. *Nat Commun* **1**, 119 (2010).
- Hou, Y. J. *et al.* SARS-CoV-2 D614G variant exhibits efficient replication ex vivo and transmission in vivo. *Science* **370**, 1464–1468 (2020).
- Soskine, M. & Tawfik, D. S. Mutational effects and the evolution of new protein functions. *Nat Rev Genet* 11, 572–582 (2010).
- Sada, M. *et al.* Detailed Molecular Interactions of Favipiravir with SARS-CoV-2, SARS-CoV, MERS-CoV, and Influenza Virus Polymerases In Silico. *Microorganisms* 8, 1610 (2020).
- 39. Chi, X. *et al.* A neutralizing human antibody binds to the N-terminal domain of the Spike protein of SARS-CoV-2. *Science* **369**, 650–655 (2020).
- 40. Premkumar, L. *et al.* The receptor binding domain of the viral spike protein is an immunodominant and highly specific target of antibodies in SARS-CoV-2 patients. *Sci. Immunol.* **5**, eabc8413 (2020).

- 41. Rogers, T. F. *et al.* Isolation of potent SARS-CoV-2 neutralizing antibodies and protection from disease in a small animal model. *Science* **369**, 956–963 (2020).
- 42. Starr, T. N. *et al.* Deep Mutational Scanning of SARS-CoV-2 Receptor Binding Domain Reveals Constraints on Folding and ACE2 Binding. *Cell* **182**, 1295-1310.e20 (2020).
- 43. McCallum, M. *et al. N-terminal domain antigenic mapping reveals a site of vulnerability for SARS-CoV-2.* http://biorxiv.org/lookup/doi/10.1101/2021.01.14.426475 (2021) doi:10.1101/2021.01.14.426475.
- 44. Greaney, A. J. *et al.* Complete Mapping of Mutations to the SARS-CoV-2 Spike Receptor-Binding Domain that Escape Antibody Recognition. *Cell Host & Microbe* **29**, 44-57.e9 (2021).
- 45. Baum, A. *et al.* Antibody cocktail to SARS-CoV-2 spike protein prevents rapid mutational escape seen with individual antibodies. *Science* **369**, 1014–1018 (2020).
- 46. Kupferschmidt, K. Vaccinemakers ponder how to adapt to virus variants. *Science* **371**, 448–449 (2021).
- 47. Plotkin, J. B. & Dushoff, J. Codon bias and frequency-dependent selection on the hemagglutinin epitopes of influenza A virus. *Proceedings of the National Academy of Sciences* **100**, 7152–7157 (2003).