

1 *Analysis, structural characterization and bioactivity of oligosaccharides*
2 *derived from lactose*

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16 **Abbreviations:**

17 DP: Degree of polymerization

18 FOS: Fructooligosaccharides

19 GOS: Galacto-oligosaccharides

20 OsLu: Oligosaccharides derived from lactulose

21 HMO: Human milk oligosaccharides

22 SCFA: Short chain fatty acids

23 TMS: Trimethylsilyl

24

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26 oligosaccharides derived from lactulose, prebiotic effect.

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28 **ABSTRACT**

29

30 The increasing interest for prebiotic carbohydrates as functional food ingredients has
31 promoted the synthesis of galacto-oligosaccharides and new lactose derivatives. This
32 review provides a comprehensive overview on the chromatographic analysis, structural
33 characterization and bioactivity studies of lactose-derived oligosaccharides. The most
34 common chromatographic techniques used for the separation and structural
35 characterization of this type of oligosaccharides, including GC and HPLC in different
36 operational modes, coupled to various detectors are discussed. Insights on
37 oligosaccharide MS fragmentation patterns using different ionization sources and mass
38 analyzers, as well as data on structural analysis by NMR spectroscopy are also
39 described. Finally, this article deals with the bioactive effects of galacto-
40 oligosaccharides and oligosaccharides derived from lactulose on the gastrointestinal and
41 immune systems, which support their consumption to provide significant health
42 benefits.

43

44 **1 Introduction**

45

46 Traditionally, whey was considered an abundant waste material of the cheese industry
47 with very limited value as animal feed. However, application of whey ultrafiltration in
48 the cheese industry, allowed whey protein concentrates to be recovered and used as
49 ingredients in a variety of food industries because of their numerous functional
50 properties, such as, bulking, foaming, and water binding [1]. Advances in processing
51 technologies have also led to the industrial production of whey protein fractions with
52 different biological activities used as ingredients in various products including infant
53 formulas, specialized enteral and clinical protein supplements and sport nutrition
54 products [2].

55 As the result of whey protein recovery, large amounts of whey permeate
56 containing mainly lactose are generated. Lactose itself has some functional properties
57 that allow to be used in the food and pharmaceutical industries; however, world lactose
58 demand is less than its availability. To overcome this limitation several processes to
59 obtain lactose derivatives with other functional and bioactive properties were
60 commercially developed. Since lactose is a reducing carbohydrate, it can be isomerized,
61 reduced or oxidized to give lactulose (4-O- β -galactopyranosyl-D-fructose), lactitol (4-
62 O- β -galactopyranosyl-D-glucitol) or lactobionic acid (4-O- β -galactopyranosyl-D-
63 gluconic acid), respectively. These products are marketed for years and used in the food
64 and pharmaceutical industries. Other lactose derivatives with reported bioactive
65 properties are galacto-oligosaccharides (GOS), tagatose, lactosucrose or sialyllactose [3,
66 4].

67 In the last few years, increasing interest in the consumption of prebiotic
68 carbohydrates has been observed; therefore, the development of new approaches to the

69 synthesis of carbohydrates with bioactive properties is growing up. Consequently, one
70 of the most appealing uses of whey permeate is the enzymatic synthesis of prebiotic
71 GOS. In this context, the efficient synthesis of a number of lactose-related
72 oligosaccharides, such as oligosaccharides derived from lactulose (OsLu), 2- α -glucosyl-
73 lactose or lactulosucrose, have been recently developed. This article reviews the
74 production, analysis and structural characterization of GOS, as well as that of other
75 lactose-derived oligosaccharides with potential functional properties. Finally, data
76 warranting the bioactive properties of GOS and OsLu are also discussed.

77

78 **2 Synthesis of oligosaccharides from lactose**

79

80 Oligosaccharides from lactose can be obtained using chemical and enzymatic methods.
81 The transgalactosidase activity of lactase whereby a wide range of GOS are produced
82 from lactose has been known for over 60 years [5]. However, it has gained renewed
83 interest in the past few years due to the recognition of GOS as prebiotics [6, 7], being
84 the most recent oligosaccharides derived from lactose to become commercially
85 available. The composition of the oligosaccharide mixture obtained during
86 transgalactosylation of lactose is highly affected by several factors including the
87 enzyme source, lactose concentration, substrate composition, and reaction conditions
88 (temperature, time and pH) [4]. In transgalactosylation reactions using lactose as a
89 single substrate, the galactose released during enzymatic hydrolysis of lactose is
90 transferred to another lactose molecule, being linked to the galactose moiety by
91 $\beta(1\rightarrow6)$, $\beta(1\rightarrow3)$ or $\beta(1\rightarrow4)$ glycosidic bonds, depending on the enzyme source. The
92 trisaccharides formed may be elongated by new linked galactosyl moieties [4]. The
93 galactosyl residue may be transferred to the glucose released to give allolactose.

94 Quantitatively, allolactose is one of the major oligosaccharides produced by neutral pH
95 β -galactosidases [6].

96 Although lactulose is a well-known lactose derivative with multiple health
97 benefits [8], its use as substrate for oligosaccharide synthesis has not been undertaken
98 until recently [9]. β -Galactosidase has the ability to hydrolyze lactulose and transfer the
99 galactosyl residue to the galactosyl moiety of another lactulose molecule. As in the case
100 of transgalactosylation of lactose, the released galactose moiety is linked by $\beta(1\rightarrow6)$,
101 $\beta(1\rightarrow3)$ or $\beta(1\rightarrow4)$ glycosidic bonds [10]. When transgalactosylation reactions are
102 carried out in presence of other galactosyl acceptors, a number of different
103 galactosylated oligosaccharides may be originated during lactose hydrolysis [11, 12].

104 Oligosaccharides derived from lactose can also be obtained via
105 transglycosylation catalyzed by glycoside hydrolases using different glycosyl donors
106 and lactose as acceptor. This method has been used to prepare lactose derivatives as
107 lactosucrose, trisaccharide produced from the transfer of a fructosyl moiety of sucrose
108 to lactose, catalyzed by β -fructofuranosidases or levansucrases [13, 14]. Similarly, 2- α -
109 D-glucopyranosyl-lactose is produced using dextransucrase which transfers glucose
110 from sucrose (donor) to lactose (acceptor) by linking mainly an $\alpha(1\rightarrow2)$ -glucosyl bond
111 [15].

112 Since GOS are reducing carbohydrates, they also can be chemically isomerized
113 at their reducing glucose end using basic catalysts such as sodium aluminate, and
114 converted to the corresponding keto-sugar [16].

115

116 **3 Chromatographic analysis**

117

118 The synthesis and characterization of GOS formed during enzymatic hydrolysis of
119 lactose has been a subject of research for many years, and a variety of well-established
120 classical methods are now available [17-21]. Although di- and trisaccharides have been
121 well characterized, the chemical structure of higher molecular weight oligosaccharides
122 has not been investigated in detail. Moreover, since transglycosylation in lactose
123 solutions may be performed under a number of different conditions [4, 16, 22, 23], new
124 lactose derivatives are continuously being isolated and characterized.

125 Among the main analytical techniques currently used in carbohydrate analysis
126 (chromatographic, electrophoretic and spectroscopic), Gas Chromatography (GC) and
127 High Performance Liquid Chromatography (HPLC) are methods of choice. Despite
128 capillary electrophoresis (CE) enables high-resolution analysis of heterogeneous
129 mixtures of oligosaccharides derived from various sources [24], there are only a few
130 studies dealing with the CE analysis of GOS [25-27]. In these cases, GOS were
131 previously derivatized to be determined by UV or laser-induced fluorescence detection.
132 Similarly, for GC analysis, carbohydrates must first be converted into volatile
133 derivatives whereas in HPLC, samples can, in most cases, be analyzed without prior
134 derivatization. Additionally, the development during the last decades of a wide range of
135 new support materials and/or stationary phases operating under different separation
136 modes have improved the separation of structurally related carbohydrates by HPLC.
137 Therefore, nowadays HPLC, combined with pulsed amperometric, refractive index and
138 fluorescence detectors or Mass Spectrometry (MS), is the most used chromatographic
139 technique for the analysis of oligosaccharides.

140

141 *3.1 HPLC separation modes*

142 Reverse phase (RP)-HPLC is commonly used for the analysis of carbohydrates [28-30].
143 The separation in this mode of HPLC is through hydrophobic interactions, the stationary
144 phase is non-polar (e.g. silica-based modified with octadecyl functional group) and the
145 mobile phase is polar (e.g., binary mixture of water and a miscible polar organic solvent
146 such as methanol or acetonitrile). This operating mode explains that oligosaccharide
147 separations are normally difficult due to the polar nature of these compounds; thus, to
148 overcome these issues, oligosaccharides are commonly derivatized with hydrophobic
149 chromophores or fluorophores enabling separation and sensitive detection [31]. RP-
150 HPLC has been successfully applied to the study of oligosaccharides naturally present
151 in milk, mainly focused on oligosaccharides containing *N*-acetyl amino groups that
152 provide a good chromophore for ultraviolet (UV) detection at low level [32] or after
153 derivatization with different reagents [33-35].

154 The analysis of oligosaccharides formed during chemical or enzymatic
155 modifications of lactose is mainly accomplished by High Performance Anion Exchange
156 Chromatography (HPAEC) using CarboPac[®] PA columns with sodium hydroxide and
157 sodium acetate solutions as mobile phases. Under these conditions, the weakly acidic
158 nature of carbohydrates gives highly selective separations so that a number of studies on
159 the use of HPAEC in the analysis of lactose-derived oligosaccharides have been
160 performed during the last few years [26, 36-42]. This is a powerful HPLC operating
161 mode capable of separating oligosaccharides based on structural features such as size,
162 charge, composition, anomericity and linkage isomerism [43]. Thus, the larger the
163 oligosaccharide structure, the greater its negative charge, and the later it elutes. This is
164 attributed to the increasing number of ionized hydroxyl groups in the alkaline solution,
165 which produced stronger molecular adsorption onto the anion exchange stationary
166 phase. Apart from charge and size, differences in the oligosaccharides tertiary structure

167 and structural modifications of oligosaccharides during isolation or release have been
168 also shown to affect oligosaccharide retention on HPAEC columns [44].

169 Resins loaded with Ca^{2+} have also been used for the analysis of GOS formed by
170 the action of a commercial grade lactase from *Bacillus circulans* [45] and the study of
171 GOS present in dried buttermilk has been successfully performed using a cation
172 exchanger column in Ca^{2+} form with deionized water as mobile phase [46].

173 Hydrophilic interaction chromatography (HILIC), which involves the use of
174 hydrophilic stationary phases and hydrophobic mobile phase, has been extensively
175 applied for the analysis of *N*- and *O*-glycans and some studies have been performed on
176 the analysis of human milk oligosaccharides (HMO) [33]. Analysis of neutral
177 oligosaccharides is currently achieved using different stationary phases (e.g. silica
178 particles or chemically modified monolithic silica columns.). Recently, satisfactory
179 resolution of complex mixtures of different commercial GOS was achieved on ethylene
180 bridge hybrid amide stationary phase, using acetonitrile:water with 0.1% ammonium
181 hydroxide as mobile phase [47].

182 Quantification and analysis of OsLu (up to degree of polymerization, DP, of 6)
183 has also been successfully accomplished by liquid chromatography on a graphitized
184 carbon column. The used HypercarbTM column efficiently resolved the different
185 oligosaccharides on the basis of their DP [48].

186 With respect to detectors for HPLC analysis of lactose derived oligosaccharides,
187 UV detector can be applicable only to carbohydrates with UV-absorbing chromophores
188 such as sialic acid, aldonic acid or amino residues found in HMO [32], whereas neutral
189 carbohydrates present in milk require prior derivatization [33-35]. Among the detection
190 systems that can be coupled to HPAEC, pulsed amperometric detection (PAD) enables
191 the universal and highly sensitive detection, reportedly in the picomole range [49], of all

192 carbohydrates [50], being used to analyze mixtures of GOS formed during enzymatic
193 lactose hydrolysis by β -galactosidases from *Lactobacillus reuteri* [26], *L. plantarum*
194 [36], *Kluyveromyces lactis* [37, 38], *B. circulans* [39] and *Aspergillus aculeatus* [40].
195 HPAEC-PAD has also been used to quantify levels of GOS in commercial lactose-free
196 UHT dairy products [41] and commercial fermented milks [42], as well as to the study
197 of the new oligosaccharides formed during enzymatic hydrolysis of lactulose by β -
198 galactosidase from *K. lactis* [9] and *A. aculeatus* [10]. **Figure 1** shows a HPAEC-PAD
199 carbohydrate profile of the reaction mixture resulting from the enzymatic synthesis of
200 OsLu after 7 h of incubation.

201 HPLC coupled to a refractive index detector (HPLC-RID) has been successfully
202 applied for the determination of GOS during lactose hydrolysis by β -galactosidases
203 from *A. oryzae* [50, 51] and *B. circulans* [45]. Using β -galactosidases from *B. circulans*,
204 *A. oryzae*, *K. lactis*, and *K. fragilis*, clear differences between the β -galactosidase
205 activities were found concerning amount and size of oligosaccharides produced [52].
206 HPLC-RID has also been applied to analyze the formation of lactulosucrose by the
207 *Leuconostoc mesenteroides* B-512-F dextransucrase which catalyzes the transfer of the
208 glucosyl residue from sucrose to lactulose [23]. Although this technique has been
209 widely used in the study of lactose derived oligosaccharides, its known low sensitivity
210 has been recently highlighted in a comparative analysis by HPAEC-PAD and HPLC-
211 RID of the oligosaccharide mixture obtained during the enzymatic synthesis of 2- α -D-
212 glucopyranosyl-lactose. The HPAEC-PAD chromatograms showed the presence of a
213 series of peaks which could correspond to trisaccharides or oligosaccharides with higher
214 DP that were not detected by HPLC-RID [53]. Additionally, RID is not appropriate for
215 use with gradient elution. However, RID is considerably less expensive than PAD,

216 whose higher sensitivity may not always be needed, in particular when analyses are
217 restricted to major carbohydrates [30].

218

219 3.2 GC methods

220 GC has been widely used for the analysis of carbohydrates because is a rapid, simple,
221 relatively cheap, and powerful analytical technique commonly found in academic and
222 industrial laboratories. It is a technique with high resolving power, sensitivity and
223 selectivity which enables higher oligosaccharides determination in foods that are often
224 present at low concentrations. The most widely used GC detector for carbohydrate
225 analysis is the flame ionization detector (FID). However, the coupling of GC to MS
226 detectors has greatly contributed to identification and quantification of carbohydrates,
227 mainly in complex mixtures with oligosaccharides which present equal DP as it will be
228 explained below. This fact together with the development of capillary and high-
229 temperature columns to analyze carbohydrates with a DP of up to eleven makes GC a
230 technique with high potential for oligosaccharides analysis [29, 54-56].

231 Trimethylsilyl (TMS) oximes are widely used derivatives for GC analysis of
232 many oligosaccharides since they produce only two peaks corresponding to the *syn* (E)
233 and *anti* (Z) forms for reducing sugars and only one peak for non-reducing
234 carbohydrates. Cardelle-Cobas et al. [57] employed this derivatization to analyze by
235 GC-FID mono-, di- and trisaccharides formed during lactose transgalactosylation using
236 two commercial β -galactosidase preparations, Pectinex Ultra SP-L and Lactozym 3000
237 L HP G. Carbohydrates from reaction mixtures were separated, using a fused silica
238 capillary column coated with CP-Sil 5CB (methyl siloxane). This same method was also
239 employed by Montilla et al. [58] to quantify di- and trisaccharide production during
240 transglycosylation of lactose using β -galactosidases from *K. lactis*. Enzymatic reactions

241 were carried out using different buffers and influence of cations Na⁺ and K⁺, as well as
242 of anions acetate and phosphate was investigated. The results showed that the formation
243 of these carbohydrates was higher in presence of Na⁺ regardless of the anion used.

244 Corzo-Martínez et al. [22] identified by GC-MS oligosaccharides formed by
245 transgalactosylation of isomerized cheese whey permeate using β-galactosidase from *B.*
246 *circulans*. TMS oxime derivatives were separated in a HP-5 MS (5% phenyl methyl
247 siloxane) capillary column. The same column and derivatization procedure was
248 successfully used for the analysis of 2-α-D-glucopyranosyl-lactose and leucrose formed
249 during enzymatic hydrolysis of mixtures sucrose:lactose and sucrose:cheese whey
250 permeate using a dextransucrase from *L. mesenteroides* [53]. Alditol acetates
251 derivatives have also been used for sugar GC analysis due to their stability and the
252 simplicity of the resulting chromatograms. Coulier et al. [59] used these derivatives to
253 identify the glycosidic linkages present in the commercial GOS mixture Vivinal[®] GOS
254 by GC-MS using a DB-225 ms capillary column.

255 Also, monosaccharide composition (fucose, galactose, glucose and glucosamine)
256 of HMO was determined by GC-FID of alditol acetate derivatives [60]. First,
257 oligosaccharides were hydrolyzed using trifluoroacetic acid, reduced with borohydride
258 and transformed in *O*-acetylated derivatives that were separated isothermally in a DB-
259 225 ms capillary column.

260 Because oligosaccharides usually appear in complex matrices, purification steps
261 are required before analysis. In those cases where the study is guided to a specific
262 carbohydrate or a group of carbohydrates, fractionation steps are also required to
263 provide an enrichment of the samples [29]. Hernández-Hernández et al. [61] used four
264 fractionation techniques (diafiltration, yeast treatment, activated charcoal adsorption and
265 Size Exclusion Chromatography, SEC) to purify the prebiotic commercial mixture

266 Vivinal[®] GOS. TMS oximes of oligosaccharides from treated mixtures were analyzed
267 by GC-MS using a HT5 (5% phenyl polysiloxane-carborane) column. Yeast or
268 activated charcoal (with 1% of ethanol) treatments selectively removed
269 monosaccharides; however, SEC was the most appropriate method to obtain GOS
270 fractions (DP up to 8) with high purity and recovery.

271 In order to determine structures of OsLu, the reaction mixtures resulting from
272 hydrolysis using fungal and yeast β -galactosidases were purified using activated
273 charcoal and analyzed by GC-MS as oxime TMS derivatives in a fused silica column
274 coated with SPB-1 (cross linked methyl siloxane). Galactosyl-galactoses and galactosyl-
275 fructoses as well as several trisaccharides were characterized in the mixtures [48].

276 GC-MS has also been used to quantify the *in vivo* ileal digestibility of
277 synthesized oligosaccharides OsLu with $DP \geq 2$ and commercial GOS with $DP \geq 3$ [62].
278 For chromatographic analysis, carbohydrates were converted to their TMS oxime
279 derivatives and analyzed by fused silica capillary column GC. The composition of a
280 purified fraction of OsLu, obtained by hydrolysis of lactulose (Duphalac[®]) using β -
281 galactosidase from *A. oryzae*, has also been determined by GC-FID of its TMS oxime
282 derivatives. Before chromatographic analysis, oligosaccharide mixtures were treated
283 with yeast (*Sacharomyces cerevisiae*) to eliminate monosaccharides. Carbohydrate
284 analysis was performed in a ZB-5HT Inferno fused silica capillary column (5% phenyl
285 and 95% dimethyl polysiloxane) [63]. OsLu consisted of a mixture of carbohydrates,
286 which contained 28% monosaccharides; 12% lactulose; and 36% of prebiotic
287 carbohydrates (17% disaccharides, 13% trisaccharides 5% tetrasaccharides and 1%
288 pentasaccharides).

289 GC-FID has also been used to monitor isomerization of lactose to lactulose,
290 from cheese whey permeate, using egg shell as catalysis. Analysis of de TMS

291 derivatives was performed using a commercial fused silica capillary column SPB-17
292 bonded cross linked phase [22]. Also, Ruiz-Matute et al. [41] quantified by GC-FID the
293 content of mono- (glucose, galactose, fructose and tagatose) and disaccharides
294 (allolactose, lactose, $\beta(1\rightarrow6)$ -galactobiose and sucrose) in commercial lactose-free UHT
295 milks and dairy products as their TMS oxime derivatives (**Figure 2**).

296

297 **4 Structural characterization**

298

299 Given that the structural features of carbohydrates, in terms of type of glycosidic
300 linkage, monosaccharide composition and molecular weight, determine their
301 functionality, the comprehensive structural elucidation of carbohydrates is required to
302 gain insight into the structure/function relationship. Among the different techniques
303 available for the structural characterization of carbohydrates, which include X-ray
304 crystallography, infrared and Raman spectroscopy, immunochemical labeling or
305 electron microscopy, MS and Nuclear Magnetic Resonance (NMR) spectroscopy are the
306 two most prevalent ones [64].

307 MS-based methods have a higher sensitivity than those based on NMR
308 spectroscopy and are favored when only a limited amount of material is available.
309 However, NMR spectroscopy is better suited for determining novel structures of
310 unknown carbohydrates and for the study of dynamical processes, since the structure at
311 atomic resolution can be elucidated [65]. Both techniques can also be coupled to
312 different high resolution separation techniques as HPLC, GC or CE, being these
313 hyphenated methods powerful tools for the structural elucidation of carbohydrates [66,
314 67].

315

316 4.1 MS analysis

317 The wide accessibility of hyphenated instrumental devices based on MS (HPLC-MS,
318 GC-MS, CE-MS), and the current availability of different ionization modes and mass
319 analyzers has greatly increased the potential of MS to characterize carbohydrates in the
320 last decades [68]. Electron impact (EI), electrospray ionization (ESI) and matrix-
321 assisted laser desorption/ionization (MALDI) are the most frequently ionization sources
322 used for the MS analysis of carbohydrates [69, 70].

323

324 4.1.1 EI (GC-MS)

325 EI is coupled on-line to GC for carbohydrate analysis and, thus, a combination of GC
326 retention data (linear retention indices, I^T) and MS data (relative abundances for
327 selected fragments) can provide useful information about ring size, the glycosidic
328 linkage of reducing end and other oligosaccharide structural features [71]. Thus, Sanz et
329 al. [72] used a multivariate statistical analysis to correlate the mass spectral data of the
330 TMS oximes of standard disaccharides with their structures, and this was successfully
331 applied to determine the composition of the disaccharide fraction obtained from the
332 hydrolysis and subsequent transgalactosylation of lactose with β -galactosidase from *K.*
333 *fragilis* by means of a quadrupole as mass analyzer working in EI mode at 70 eV.
334 Although mass fragments are common for most of the glycosidic linkages, these authors
335 were able to identify several galactobioses and galactosyl-glucoses having different
336 glycosidic linkages (from 1 \rightarrow 2 to 1 \rightarrow 6) from the relative intensity of a wide range of
337 characteristic fragment ions (**Figure 3**). Likewise, the presence of an α or a β glycosidic
338 bond was correctly predicted in 94% of cases according to the intensity of ion fragments
339 at m/z 243 or 204, respectively. Later on, a similar procedure was used for the structural
340 determination of the di- and trisaccharide fractions of GOS [57] or OsLu [48] using β -

341 galactosidases of different origin (*K. lactis*, *A. aculeatus* and *A. oryzae*). In the case of
342 OsLu, the presence of a reducing fructose unit substituted in C1 or C3 gave rise to a
343 characteristic fragment ion at m/z 307 [48]. Within the trisaccharide fraction, GC-MS
344 data of 6'-galactosyl-galactobiose, 4'-galactosyl-lactose, 6'-galactosyl-lactose and 6'-
345 galactosyl-lactulose, as well as of the galactosyl- and digalactosyl-glycerols produced
346 during the transgalactosylation reaction due to the presence of glycerol as enzyme
347 stabilizer in some commercial preparations, were also reported [48].

348 Methylation followed by GC-MS analysis has also been applied for the tentative
349 determination of GOS as partially methylated alditol acetates by comparison with the
350 profile of standard oligosaccharides [59]. These authors determined that the main
351 structural elements in Vivinal[®] GOS were Gal-1 and 4-Gal-1, whereas for the reducing
352 ends 4-Glc, 3-Glc, 6-Glc and 2-Glc were present in equivalent abundances.

353

354 4.1.2 ESI (HPLC-MSⁿ)

355 ESI has extensively been used to analyze oligosaccharides and can be employed without
356 any previous separation technique to analyze simple oligosaccharide mixtures.
357 However, for the characterization of complex oligosaccharides mixtures, as the case of
358 GOS, ESI is commonly coupled[®] to LC. In oligosaccharide characterization studies, the
359 most commonly used mass analyzers are quadrupole (Q), time-of flight (TOF),
360 quadrupole ion trap (QIT), linear ion trap (LIT/LTQ) and Orbitrap. Additionally,
361 tandem systems composed of two or more coupled analyzers of the same or different
362 types, such as triple quadrupole (QqQ) or the quadrupole coupled to time-of-flight (Q-
363 TOF), are employed with the aim of gaining more information on the oligosaccharides
364 structural features [68].

365 Q and microTOF provided with an ESI source operated under positive polarity
366 coupled to HPLC on graphitized carbon and hydrophilic interaction columns,
367 respectively, were useful to determine the DP (from di- to hexasaccharides) of OsLu
368 [48] and GOS (from tri- to heptasaccharides) [73]. An unusual coupling technique such
369 as HPAEC-MS using an LTQ with an ESI source operated in both positive and negative
370 mode was used for the determination of the DP (from di- to tetrasaccharides) and
371 quantification of GOS [59]. In this case, removal of salts present in the mobile phase
372 carried out by a suppressor that exchanges Na^+ with H^+ ions is essential prior to MS
373 detection. However, in this work the authors did not make use of the capacity of ion
374 traps to perform multi-stage fragmentation and gain insight on oligosaccharide
375 structures, and the characterization was accomplished by NMR as it will be explained
376 below. GOS synthesized from lactose and previously purified by LC were analyzed by
377 Neri et al. [74] using ESI(+) and MS^n ($n = 2,3$) on a LTQ mass spectrometer. The initial
378 ESI-MS analysis determined the presence of di-, tri- and tetrasaccharides; whilst the
379 ESI-MS/MS experiments allowed the detection of major fragment ions at m/z 305, 275
380 and 245 in the disaccharide fraction which were attributed to the cross ring
381 fragmentation of two glucose units linked by a $\alpha(1\rightarrow6)$ type linkage [69]. Other major
382 fragment ions at m/z 467 and 407 in the trisaccharide fraction were detected; these were
383 formed by the loss of 60 Da ($-\text{C}_2\text{H}_4\text{O}_2$) and 120 Da ($-\text{C}_4\text{H}_8\text{O}_4$) fractions, suggesting the
384 presence of a $\alpha(1\rightarrow4)$ linkage at the reducing end. MS^3 analyses also served to
385 determine the presence of an $\alpha(1\rightarrow6)$ linkage in the trisaccharide fraction, the $\alpha(1\rightarrow4)$
386 linkage at the reducing end and two other $\alpha(1\rightarrow6)$ linkages in the tetrasaccharide
387 fraction. This knowledge was expanded by Hernández-Hernández et al. [47], who
388 carried out a comprehensive elucidation of the structure of di- and trisaccharides of
389 three different commercial GOS by HILIC-ESI(+)- MS^n using a linear ion trap as mass

390 analyzer. These authors described characteristic fragment ions for (1→3) (i.e., at m/z
391 347, 275, 203), (1→4) (at m/z 305, 203 and 347) and (1→6) type linkages (at m/z 305,
392 275 and 245) in the disaccharide and trisaccharide fractions. In the case of
393 tetrasaccharides only some glycosidic linkages were tentatively assigned and the
394 monomer composition could not be determined. This was attributed to the decrease of
395 the abundance of fragment ions derived from cross-ring fragmentation with increasing
396 number of MS cycles, as well as to the existence of multiple coelutions [47].

397

398 4.1.3 MALDI

399 Unlike EI and ESI, MALDI is not coupled directly to a GC or HPLC system. In
400 MALDI the analyte is embedded in an excess of an appropriate low molecular weight
401 matrix molecule and then desorbed and ionized by a short laser pulse. Depending on the
402 oligosaccharide structure and the molecular weight, different matrices have been used,
403 with 2,5-dihydroxybenzoic acid and 2,4,6-trihydroxyacetophenone being the most
404 commonly used [68]. Indeed, the former has been successfully used for the analysis of
405 GOS synthesized using active β -galactosidase inclusion bodies-containing *Escherichia*
406 *coli* cells [75]. MALDI-TOF data revealed that the GOS were mainly composed by the
407 trisaccharide fraction, although m/z values indicative of the presence of tetra- and
408 disaccharides were also detected. Rodriguez-Colinas et al. [37, 39] and Urrutia et al.
409 [76] used the same matrix (i.e., 2,5-dihydroxybenzoic acid) to determine by MALDI-
410 TOF the presence of several GOS (di-, tri- and tetrasaccharides) synthesized either by
411 ethanol-permeabilized *K. lactis* cells or by β -galactosidases from *B. circulans* and *A.*
412 *oryzae*.

413 In an elegant study, Barboza et al. [77] demonstrated the utility of MALDI with
414 Fourier transform ion cyclotron resonance mass spectrometry (FT-ICR-MS) to

415 determine the oligosaccharide composition of GOS syrup preparations that contained
416 oligosaccharides with DP ranging from 3 to 11. Indeed, the oligosaccharides with the
417 highest DP, i.e. 10 and 11, could be only detected after adjusting the voltage of the
418 MALDI-FT-ICR quadruple ion guide. Furthermore, following a previous fractionation
419 of the GOS syrup accomplished by SEC, oligosaccharides with DP up to 15 were also
420 detected in the Bio-Gel P-2 excluded fraction. In addition, these authors developed a
421 microplate protocol for growing four different bifidobacterial strains on purified GOS,
422 followed by MALDI-FT-ICR analysis to profile the specific oligosaccharide species
423 consumed. Results indicated that GOS with DP ranging from 3 to 8 were preferentially
424 consumed by the infant-borne isolates, i.e. *B. longum* subsp. *infantis* and *B. breve*,
425 whilst the other two tested strains (*B. adolescentis* and *B. longum* subsp. *longum*)
426 exhibited more differential consumption of select DPs. Overall, these findings
427 demonstrated that MALDI-FT-ICR is a rapid-through-put tool for the comprehensive
428 profiling of oligosaccharides in complex GOS mixtures.

429

430 4.2 NMR spectroscopic analysis

431 Currently, *de novo* structural elucidation of unknown compounds in solution can be
432 only achieved by the exhaustive use of 1D and 2D homo- and heteronuclear NMR
433 spectroscopy assisted by other spectroscopic methods [67]. This is particularly
434 important in the case of oligosaccharides by bearing in mind the limited number of
435 commercial standards. Likewise, in conjunction with molecular modeling and molecular
436 dynamics simulations, NMR spectroscopy has the capacity to determine the 3D
437 structures of oligosaccharides [65].

438 Concretely, NMR spectroscopy has been used in the characterization of several
439 oligosaccharides derived from lactose and/or lactulose. The analyzed oligosaccharide

440 should be present in high purity because of the signal richness of NMR spectra,
441 indicating that a purification step prior to NMR based structure analysis should be
442 accomplished. This fact can be an important drawback in the case of GOS mixtures
443 since its enzymatic synthesis normally leads to complex mixtures of structurally similar
444 carbohydrates as it was indicated in the section 2. Consequently, the uses of advanced
445 analytical techniques, which can provide an adequate chromatographic resolution, are
446 very often required. This was the case for the characterization of several GOS di- and
447 trisaccharides which were previously isolated by preparative HILIC and then identified
448 on the basis of their methylation analysis and ^1H NMR and/or ^{13}C NMR data [59].
449 Rodriguez Colinas et al. [37, 39] also purified GOS by semipreparative HILIC to further
450 successfully elucidate the structure of the major synthesized GOS products, i.e. 6-
451 galactobiose, allolactose, 3-galactosyl-glucose, 6'-galactosyl-lactose, 4'-galactosyl-
452 lactose and the tetrasaccharide $\beta\text{-D-Gal-(1}\rightarrow\text{4)-}\beta\text{-D-Gal-(1}\rightarrow\text{4)-}\beta\text{-D-Gal-(1}\rightarrow\text{4)-Glc}$,
453 using a combination of 1D (^1H , ^{13}C) and 2D (COSY, TOCSY, NOESY, HSQC) NMR
454 techniques. Neri et al. [74] characterized similar trisaccharide structures, i.e. 6-
455 galactosyl-lactose and 6'-galactosyl-lactose, and the tetrasaccharide $\beta\text{-D-Gal-(1}\rightarrow\text{6)-}\beta\text{-}$
456 $\text{D-Gal-(1}\rightarrow\text{6)-}\beta\text{-D-Gal-(1}\rightarrow\text{4)-Glc}$ according to 1D (^1H , ^{13}C) and 2D (COSY, HSQC
457 and HMBC) NMR experiments.

458 Two GOS trisaccharide isomers, 6'-galactosyl-lactose and 3'-galactosyl-lactose,
459 enzymatically synthesized and, subsequently, acetylated and purified by silica gel
460 column chromatography were structurally characterized by 1D (^1H , ^{13}C) and 2D
461 (COSY, HSQC and HMBC) NMR analysis [78]. These authors indicated that
462 acetylation simplified the analysis of NMR spectra as it blocked the free hydroxyl
463 groups of sugar ring.

464 Martinez-Villaluenga et al. [9] also performed a comprehensive characterization
465 of two novel trisaccharides, 6' galactosyl-lactulose and 1-galactosyl-lactulose, obtained
466 by transglycosylation of lactulose with the β -galactosidase from *K. lactis*, and which
467 were chromatographically purified by HPLC and then fully characterized by 1D (^1H ,
468 ^{13}C , and 1D TOCSY) and 2D (gCOSY, TOCSY, ROESY, gHSQC, and gHMBC) NMR
469 studies. Similar chromatography and NMR approaches for the structural elucidation of
470 two potential bioactive oligosaccharides, 2- α -D-glucopyranosyl-lactose and
471 lactulosucrose obtained from the *L. mesenteroides* B-512F dextransucrase-catalyzed
472 reactions using lactose [53] or lactulose [23] as acceptors, have also recently been
473 carried out.

474

475 **5 Bioactivity**

476

477 Although prebiotics present in foods have been consumed since prehistoric times,
478 during the last decades, special attention has been paid on these functional ingredients
479 due to their bioactive effect on intestinal microbiota which, as it is known, is narrowly
480 related to the health of the host. Among the recognized prebiotics, GOS has emerged as
481 a practical and efficient choice to be incorporated in several foodstuffs to positively
482 modify the gut microbiota due to the wide number of studies related to their benefits on
483 human health [79]. GOS benefits the health of host by two principal mechanisms; one is
484 related to the stimulation of the growth of beneficial bacteria, lactobacilli and, mainly,
485 bifidobacteria in the gut [80, 81], and the other is related to the production of Short
486 Chain Fatty Acids (SCFA) (acetate, propionate, butyrate) during fermentation of
487 carbohydrates. These metabolism products of bacteria play an important role in

488 biochemical and physiological processes not only in the large gut but also in remote
489 body places [82].

490 The positive effects of GOS on human health have been largely reviewed in the
491 literature, however, much less is known on the benefits of the recently described OsLu.
492 Given their structural similarity, it is presumable that both types of compounds also
493 share some bioactive properties. This review includes the main effects of GOS and
494 OsLu to date described.

495

496 *5.1 Digestibility*

497 As it is known, one of the conditions for oligosaccharides to be considered as prebiotics
498 is the fact that they must resist the small intestinal digestion to exert the positive effect
499 on the large intestine. Ohtsuka et al. [83] observed that only a small proportion of the
500 4'-galactosyl-lactose was *in vitro* digested by a homogenate of intestinal mucosa of rats.
501 Torres et al. [84] revised, among other properties, the digestibility of GOS. Thus, *in*
502 *vitro* and *in vivo* assays pointed out that more than 90% of GOS are stable to digestive
503 enzymes and achieve the colon to exert their effect. *In vitro* trials showed that GOS with
504 DP \geq 3 are resistant to salivary enzymes. *In vivo* human studies have been focused on the
505 hydrogen breath test, which is dose-dependent; thus, 15-35 g/d unequivocally
506 demonstrated that GOS were fermented but not digested, however, with 10 g/d odd
507 results were obtained. In rats, Hernández-Hernández et al. [85] pointed out a higher
508 resistance of OsLu as compared to GOS to gastrointestinal digestion and absorption in
509 the small intestine, probably due to the $\beta(1\rightarrow4)$ linkage between galactose and fructose
510 at the reducing end of the OsLu molecules.

511

512 5.2 Prebiotic effect

513 Among the different biological activities of GOS, the principal is the prebiotic effect.
514 According to Gibson et al. [86], prebiotic is an ingredient that when is selectively
515 fermented gives rise to specific changes in the composition and in the activity of
516 intestinal microbiota which benefit the health and well-being of the host [79].

517 A great number of studies recognize GOS as prebiotic [79, 86-89]. The prebiotic
518 effect of GOS can be tested *in vitro* using a simple fermentation batch system with
519 human fecal culture without pH control [90], a three-stage continuous model of the
520 human gut [91-93] and *in vivo* with randomized, double-blind, crossover, placebo-
521 controlled intervention study in humans [79]. **Table 1** summarizes some studies carried
522 out in the last 5 years on the prebiotic effect of GOS in humans.

523 With respect to individual OsLu, recent *in vitro* studies showed that they can be
524 fermented by different strain of *Bifidobacterium*, *Lactobacillus* and *Streptococcus*
525 [103]. OsLu with $DP \geq 3$ presented bifidogenic activity in human fecal slurries [104].
526 Lately, Cardelle-Cobas *et al.* [105] assessed their prebiotic properties considering the
527 growth of *Bifidobacterium*, *Lactobacillus*, *Enterococcus*, *Eubacterium*, *Bacteroides*,
528 *Clostridium* and *Atopobium* and the production of SCFA in mixed fecal microbiota. *In*
529 *vivo* assays showed that, in rats feed with 1% (w/w) GOS or OsLu after 14 days, the
530 balance between beneficial bacteria (bifidobacteria, lactobacilli and *Eubacterium*
531 *rectale*) and “less desirable” bacteria (bacteroides and clostridia) was better for OsLu
532 than for GOS [85]. In addition, a selective increase of *Bifidobacterium animalis* counts
533 in the cecum and colon of these rats was observed [106]. All these findings highlight

534 that the new oligosaccharides OsLu may constitute an alternative as prebiotics to the
535 original disaccharide lactulose and also to GOS. Further *in vivo* studies carried out with
536 humans are currently underway in order to definitively establish their prebiotic
537 properties.

538

539 *5.3 Modulation of immune system and effect on allergy*

540 Intestinal microorganisms and SCFA production during saccharolytic metabolism can
541 positively affect the immune responses and protect against the development of
542 inflammatory diseases [82]. Particularly, during fermentation of GOS, the produced
543 butyrate and propionate have been demonstrated to participate in several mechanisms
544 related to immune modulation such as stimulation of apoptosis and suppression of
545 cytokines, among others [80]. This effect could be age-dependent; thus, in artificially
546 reared newborn rats with GOS and fructooligosaccharides (FOS) supplementation,
547 Barrat et al. [107] found that the SCFA production during fermentation could impair the
548 intestinal barrier function.

549 The intestinal mucosa has large amounts of secretory immunoglobulin A (s-
550 IgA), which has a protective role against the adherence and invasion by harmful bacteria
551 and viruses [88]. It has been reported the positive effect of enteral administration of
552 GOS in the maintenance of intestinal barrier function in rats with severe acute
553 pancreatitis, partially attributed, among other factors, to stimulation of mucous s-IgA
554 that increased from 49 to 66.7 $\mu\text{g/g}$ as compared to rats with non-supplemented feeding
555 [108]. In overweight adults the intake of Bimuno[®] GOS, a commercial mixture of GOS,

556 increases faecal s-IgA and decreases faecal calprotectin and plasma C-reactive protein
557 [95].

558 Vulevic et al. [109] carried out a trial with healthy elderly volunteers and
559 evidenced a decreased secretion of proinflammatory cytokines (IL-6, IL-1 β and TNF- α)
560 and an improvement in NK cell activity and increased secretion of the anti-
561 inflammatory cytokines, IL-10.

562 Inadequate immune response to the normal microbiota gives rise to
563 inflammatory processes such as Crohn's disease and ulcerative colitis. Although some
564 results are contradictory, the intake of prebiotics can positively affect these diseases.
565 Holma et al. [110] did not find reduction in the inflammatory process in colitis induced
566 rats which had intake 4 g of GOS/kg of body mass per day and they attributed these
567 results to the animal model. In mice treated with the pathogen *Helicobacter hepaticus*,
568 Gopalakrishnan et al. [111] suggested that GOS could be a novel approach for
569 inflammatory bowel disease since these oligosaccharides significantly reduced the
570 severity of colitis (**Figure 4**) together with an increase in the percentage of NK cells.

571 Bimuno[®] GOS has shown potential for ameliorating the symptoms of irritable
572 bowel syndrome in human (**Table 1**). Thus, in addition to the selective increase of
573 bifidobacteria, side effects such as flatulence, bloating, stool consistency, anxiety and a
574 subjective global assessment of severity were improved in subjects who intake GOS.
575 Although the mechanisms of the effect are unknown the authors draw parallels with a
576 study on the effect of bifidobacteria that favored the normalization of the aberrant IL-
577 10/IL-12 ratio in peripheral blood of inflammatory bowel syndrome patients [96].

578 An interesting although preliminary study in nonsymptomatic highly active
579 antiretroviral therapy-naive HIV-1-infected adults (**Table 1**), suggests that, a mixture of

580 GOS/lcFOS/pAOS (long-chain FOS/pectin-derived acidic oligosaccharides) (9/1/10) at
581 a dose of 15 or 30 g/d, improves NK cell cytolytic activity, as well as reduces of HIV-1-
582 induced immune activation [100].

583 With respect to OsLu, only one *in vitro* study using intestinal epithelial cells
584 (Caco-2 and HT29-MTX) has investigated the modulation of immune system and an
585 increase of anti-inflammatory cytokines (IL-6, IL-10) and reduction of pro-
586 inflammatory factors (TNF- α , IL-1 β) was observed [112].

587 Several studies have indicated that could have evidence for a link between the
588 colonic microbiota and allergy. In developed countries an increase in allergic diseases
589 has been observed and it is attributed to a less microbial exposure in early childhood,
590 among other hypothesis. Allergic children have less lactobacillus and bifidobacteria and
591 prebiotics might favor the development of beneficial microbiota. The effects of
592 prebiotics on allergy have been investigated in a preventive setting in animal and human
593 assays [113, 114]. In infant formula, a mixture of GOS/lcFOS has been studied due to
594 prebiotic effect similar to human milk [115, 116]. This prebiotic infant formula
595 consumed during the first 6 months of life (8 g/L) has also shown a reduction in the
596 incidence of atopic dermatitis and infectious episodes, not only in this period [117], but
597 also during the first 2 years of life [118]. In addition, during the 6-month of treatment,
598 an increase in bifidobacteria levels in the feces and a fall in the levels of total IgG1,
599 IgG2, IgG3, and IgE was observed [117].

600 Other study, with 414 infants fed with a formula with prebiotic mixture
601 supplementation GOS/lcFOS/pAOS (9/1/2, 8 g/L) showed the effectiveness as primary
602 prevention of atopic dermatitis. Furthermore, the prebiotic intervention group had a

603 smaller number of upper respiratory tract infections, fever episodes and courses of
604 antibiotic therapy [119].

605 The role of symbiotics in allergy prevention has been recently reviewed [114]. In
606 a clinical human study, near of 1,000 mothers with infants at high risk for allergy were
607 randomized to receive a capsule containing freeze-dried probiotic mixture surplus 0.8 g
608 of GOS during the last month of pregnancy and their infants to receive it from birth
609 until age 6 months. After 2 years, the treatment seemed to increase resistance to
610 respiratory infections and tended to reduce IgE-associated to eczema, but showed no
611 effect on food allergy, asthma and rhinitis [120]. However, the anti-allergic effect was
612 not sustained over 5 years [121]. In other study, a symbiotic mixture of bifidobacteria
613 plus GOS/lcFOS (8 g/L) showed no detectable effect on plasma levels of the analyzed
614 atopic disease markers (of IL-5, IgG1, IgG4, and others) [122].

615

616 5.4 Antipathogenic effect

617 GOS and other prebiotics may directly inhibit intestinal infections by enteric pathogens
618 due to their capacity to mimic the places of binding in the surface of gastrointestinal
619 epithelial cells. Tzortzis et al. [92], in an *in vitro* assay with Bimuno[®] GOS, showed a
620 strong inhibition in attachment of enterohepatic *E. coli* and *Salmonella enterica*
621 serotype Typhimurium to HT29 cells. Shoaf et al. [123] found the highest adherence
622 inhibition of *E. coli* E2348/69 on Hep-2 and Caco-2 cells with GOS as compared to
623 FOS, inulin, lactulose and raffinose. The anti-adhesive ability of GOS on *Cronobacter*
624 *sakazakii*, an opportunistic pathogen implicated in serious neonates infections, has been
625 also demonstrated in Hep-2 human cell lines [124].

626 *In vivo* assays carried out with BalbC mice fed with Bimuno[®] GOS before
627 induction of salmonellosis demonstrated that although the pathogen was recovered in
628 the feces, no disease symptomatology was observed [125], the protective effect being
629 attributed to GOS [126]. Lately, in murine studies, Searle et al. [127] indicated that the
630 low molecular weight fractions of Bimuno[®] GOS may be the primary stimulant of both
631 pro- and anti-inflammatory cytokines by macrophages that can promote antigen
632 presenting cell recruitment enhancing pathogen phagocytosis. Drakoularakou et al.
633 [128] in a study in 159 healthy volunteers assessed the capacity of Bimuno[®] GOS (5.5
634 g/d) in reducing travelers' diarrhea, probably ascribed to the inhibition of pathogen
635 adhesion.

636 Infant formula supplemented with prebiotic (GOS/lcFOS, 9/1), have shown to
637 exert a protective effect against respiratory infections during the first year of age in
638 children [118, 129]. A similar effect was observed with consumption of infant formula
639 with symbiotics (4 probiotic species with 0.8 g/day of GOS), that reduced frequency of
640 respiratory infections during the first 2 years of life [120].

641 Gastrointestinal dysfunction and cold/flu symptoms due to acute psychological
642 stress related to academic exams were reduced by means of commercial GOS powder
643 (Purimune[®]) supplementation in healthy university students [130].

644

645 *5.5 Mineral absorption*

646 Several investigations in rats and in humans have reported that GOS fermentation in the
647 gut improves the absorption of minerals, mainly Ca [131-135]. One of the possible
648 involved mechanisms is based on the action that, during fermentation of GOS, the

649 production of SCFA in the large intestine results in a lowering of pH. Thus, the mineral
650 solubility and their absorption across the epithelial cells of colon and cecum can be
651 increased [136]. Other mechanism is related to the increase in the available surface for
652 absorption due to the proliferation of epithelial cells, as studied in animal and human
653 assays [137, 138].

654 Recently, in a study carried out in rats with hypochlorhydria, Takasugi et al.
655 [139] have shown that the combination of fermented milk with GOS improves the
656 retention of Ca, Fe and Zn and decreases the urinary excretion of P, and, moreover, this
657 combination also increased bone strength. An increase in the absorption of Ca was also
658 observed in a study with thirty-one healthy adolescent girls who daily intake 5 g of GOS
659 in smoothed drinks during three weeks [94].

660

661 *5.6 Effect on lipid metabolism and related metabolic disorders*

662 The effect of GOS on the lipid metabolism is still unclear and it has been scarcely
663 studied. Dokkum et al. [140] administrated to healthy humans 15 g/d of Vivinal[®] GOS,
664 FOS and inulin and no effects on glucose absorption and serum lipids were detected.
665 Total cholesterol (TC) and LDL cholesterol levels did not change in infants feed with
666 GOS and FOS in the infant formula [141]. TC and HDL cholesterol were not affected in
667 healthy elderly people after the intake of 5.5 g of Bimuno[®] GOS [108]. However, very
668 recently, Vulevic et al. [95] have demonstrated that the same GOS mixture significantly
669 reduces TC and triglycerides, while having no effect on the levels of LDL and HDL
670 cholesterol in overweight subjects with metabolic syndrome. In this sense, as
671 oligosaccharides are not viscous fibers, they unlikely decrease the absorption of

672 cholesterol and other mechanisms related to the intestinal microbiota can be involved.
673 Among them, enzymatic deconjugation of bile salts by bacteria, incorporation of lipids
674 into bacteria cellular membranes during growth, conversion into coprostanol and fecal
675 excretion and inhibition of cholesterol synthesis in the liver through the production of
676 SCFAs have been proposed as responsible for the observed beneficial effects of GOS
677 [142].

678 On the other hand, the occurrence of overweight and its negative effects on
679 human health worries to sanitary authorities and consumers. During the recent years,
680 obesity has been associated with changes in the microbiota, reduced bacterial diversity
681 and altered representation of genes and metabolic pathways. Overduin et al. [143] have
682 studied the effects of long-term (3-week) and acute (4 h) Vivinal[®] GOS consumption on
683 parameters of energy balance in young adult male rats. The GOS-fed rats showed
684 increased caecal and reduced fat-pad weight and increased gene expression of the
685 satiety-related peptides, PYY (1.7 fold) and proglucagon (3.5 fold).

686

687 *5.7 Other beneficial effects*

688 In addition to the above mentioned, GOS can present other positive effects that should
689 be taken into account. Prebiotic effects also modulate stooling pattern, pH (due to
690 increase of SCFA levels), consistency and frequency. In infant feed with formulae
691 supplemented with 2.4-4 g/L GOS the stools were similar to those of breast-fed infants
692 [144, 145]. Addition of povidextrose and GOS to a follow-on formula was well tolerated
693 and induced a pattern of more frequent and softer stools in toddlers [146]. Lamsal [147]
694 reviewed that in women who had a tendency to constipation a 5 g/d dose of GOS, for 1

695 week, improved defecation frequency, similarly in healthy volunteers consuming GOS-
696 supplemented yoghurt (9–15 g) daily for 2–3 weeks.

697 With respect to prevention of colon cancer, the increase in the levels of
698 bifidobacteria and lactobacillus can be also related to anti-mutagenic and anti-tumor
699 properties, increasing the protection against cancer. Moreover, the enhanced production
700 of SCFA after fermentation can directly or indirectly affect enterocyte proliferation,
701 carcinogenesis, enzyme activities and the production of nitrogenous metabolites, all
702 related with colon cancer [148]. In spite of this positive hypothesis no concluding
703 studies have been carried out. Macfarlane et al. [88] reviewed the effect of prebiotics in
704 cancer and they showed that GOS only decreased the incidence of aberrant crypt
705 multiplicity in rats. In humans, reduced activities of genotoxic enzymes (β -
706 glucuronidase) produced by colonic micro-organisms have been proved and this fact has
707 been linked to colon cancer.

708 Other benefit of GOS could be their potential positive effect on neurological
709 diseases. In SOD1^{G93A} mouse model, Song et al. [149] have suggested that GOS (even
710 in a prebiotic yogurt) might have therapeutic potential for amyotrophic lateral sclerosis
711 since their administration significantly delayed the disease beginning and prolonged the
712 lifetime due to the increase of vitamin absorption and reduction of homocysteine,
713 among other mechanisms.

714

715 **6 Conclusions**

716

717 Although the synthesis of bioactive carbohydrates from lactose has been
718 extensively investigated, recent research has focused on developing new bioactive

719 carbohydrates that could result in commercial products. The growth of cheese
720 production leads to a steady increase availability of lactose-rich permeates so that the
721 development of new ways to use lactose is a constant challenge for researchers.

722 In recent years the synthesis, characterization and biological activities of new
723 lactose derivatives have been studied with the aim of obtaining compounds with new or
724 improved bioactivities. However, more research is needed to extend the knowledge of
725 structural characterization, and bioactive properties, not only to understand the
726 mechanisms of bioactivity in the characterized new compounds but also for the future
727 design of more effective multifunctional lactose derived oligosaccharides. Among these
728 studies, clinical assays are essential to verify its usefulness in humans.

729 Finally, the development of novel bioactive oligosaccharides will be of use only
730 if they are formulated into foods and placed in the market. For achieving that purpose,
731 the manufacture of this type of oligosaccharides on a commercial scale requires
732 technically feasible and economically viable processing methods. Likewise, it is
733 necessary to gain further knowledge on the physico-chemical and organoleptic
734 properties of potential bioactive oligosaccharides in the context of real food products, as
735 well as on their stability properties during food processing and storage.

736

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743

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1031 **Figure legends**

1032

1033 **Figure 1.** HPAEC-PAD carbohydrate profiles of oligosaccharides formed from
1034 transgalactosylation of lactulose using a β -galactosidase from *A. aculeatus* at pH 6.5, 60
1035 °C, 650 g/L of lactulose, and 16 units/mL of enzyme after 7 h of reaction. Identified
1036 compounds: (1) galactose; (2) fructose; (3) β -D-Gal-(1 \rightarrow 6)-Gal; (4) lactulose; (5) β -D-
1037 Gal-(1 \rightarrow 6)- β -D-Gal-(1 \rightarrow 4)- β -D-Fru (6'-galactosyl-lactulose); (6) β -D-Gal-(1 \rightarrow 4)- β -D-
1038 Fru-(1 \rightarrow 1)- β -D-Gal (1-galactosyl-lactulose); (7) oligosaccharides with DP \geq 3.
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1041

1042 **Figure 2.** GC-FID profile of TMS oxime derivatives of carbohydrates present in a
1043 commercial Lactose Free-UHT milk. (1a, 1b) Tagatose; (2a, 2b) fructose; (3) glucose;
1044 (4) galactose; (5a, 5b) lactose; (6a, 6b) allolactose; (7a, 7b), 6-galactobiose; (I.S.)
1045 internal standard.

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1047 **Figure 3.** Mass spectra of disaccharide TMS oximes of kojibiose (A), turanose (B),
1048 maltose (C), leucrose (D), 6-galactobiose (E) obtained by EI mode at 70 eV. Reprinted
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1051 **Figure 4.** Representative images (200 \times magnification) corresponding to colonic and
1052 cecal crypts before and after infection by *H. hepaticus* in mice feed with/without GOS
1053 (arrows denote inflammatory infiltrate). Reprinted with permission from
1054 Gopalakrishnan et al. Copyright (2012) American Society for Nutrition.

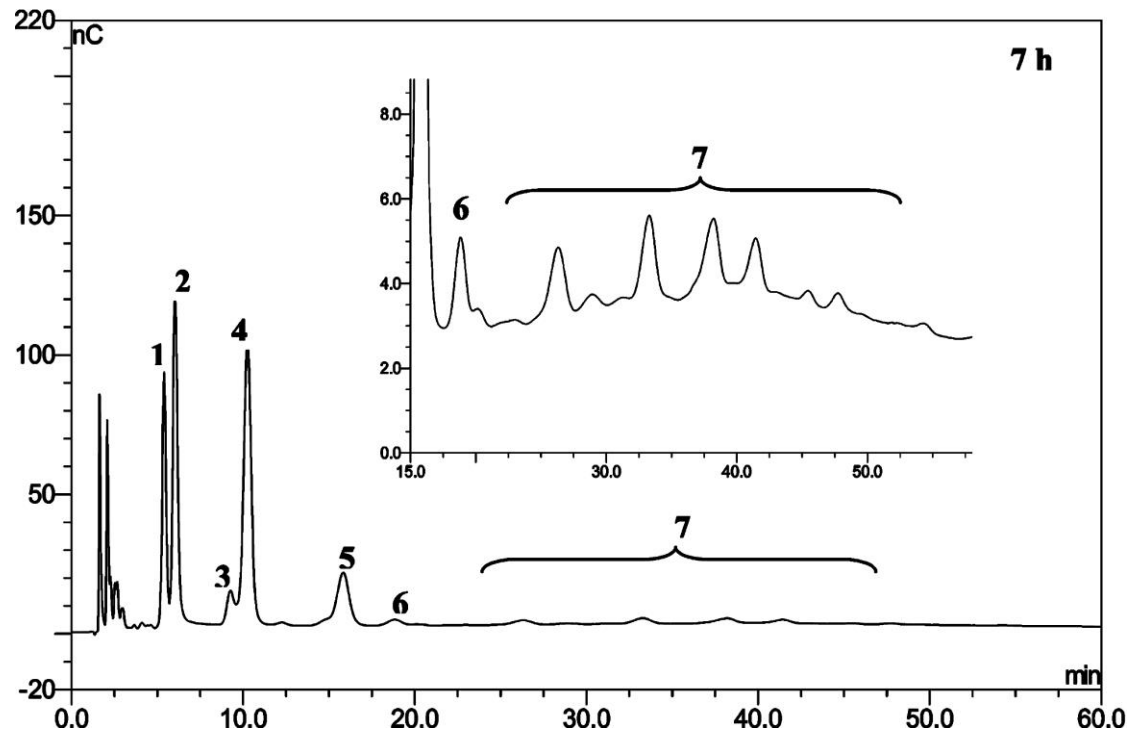
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1056 **Table 1.** Recent human studies designed to determine the prebiotic effect of GOS.

Prebiotic	Subject (n)	Dose	Duration	Effect	References
Vivinal® GOS	Healthy adolescent girls (31)	5 or 10 g/d	9 weeks	Increase of: fecal bifidobacteria counts with 5 g/d GOS dose	Whisner et al. 2013 [94]
Vivinal® GOS	Volunteers aged 50–81 years (39)	8 g/d	6 weeks	<i>In vivo</i> : a significant bifidogenic effect <i>In vitro</i> : a saccharolytic effects with increase of bifidobacteria and lactobacilli counts and butyrate level	Walton et al. 2012 [93]
Bimuno® GOS	Overweight adults (45)	5.5 g/d	24 weeks	Increase of: bifidobacteria counts and s-IgA level Decrease of: fecal calprotectin, plasma C-reactive protein, insulin, total cholesterol and triglycerides level	Vulevic et al. 2013 [95]
Bimuno® GOS	Irritable bowel syndrome patients (44)	3.5 or 7 g/d	12 weeks	Increase of: fecal bifidobacteria counts and amelioration of symptoms of irritable bowel syndrome	Silk et al. 2009 [96]
Purimune® GOS	Healthy human volunteer aged 19-50 years (18)	2.5, 5 and 10 g/d	3 weeks per dose	Increase of: Actinobacteria, mainly <i>Bifidobacterium</i> ; Firmicutes in few individuals. Response varied between individuals, reversible, and in accordance with dose	Davis et al. 2011 [97]
GOS/FOS (9/1)	Infants born from hepatitis C virus-infected mothers (20)	8 g/L	6 months	Increase of: fecal bifidobacteria and lactobacilli counts during the first year	Salvini et al. 2011 [98]
GOS/FOS (9/1)	Healthy neonates (110)	8 g/L	28 days	Fecal bifidobacteria levels and soft stools, comparable to those found in breast-fed infants	Veereman-Wauters et al. 2011 [99]
GOS/FOS/pectin hydrolyzate-derived acidic oligosaccharides (9/1/10)	Highly active antiretroviral therapy-naive HIV-1-infected adults (57)	15 or 30 g/d	12 weeks	Increase of: bifidobacteria counts. Decrease of: <i>Clostridium coccooides</i> / <i>Eubacterium rectale</i> and pathogenic <i>C. lituseburense</i> / <i>C. histolyticum</i> cluster counts	Gori et al. 2011 [100]
Polydextrose + GOS (ratio 1/1)	Term infants (230)	4 g/L	60 days	Bifidogenic effect and soft stools similar to breast milk	Scalabrin et al. 2012 [101]
Probiotics and GOS	Healthy men (18)	3.8 g/d	2 weeks	Increase of: bifidobacteria counts Decrease of: β -glucosidase activity	Kekkonen et al. 2011 [102]

1057 **Figure 1**

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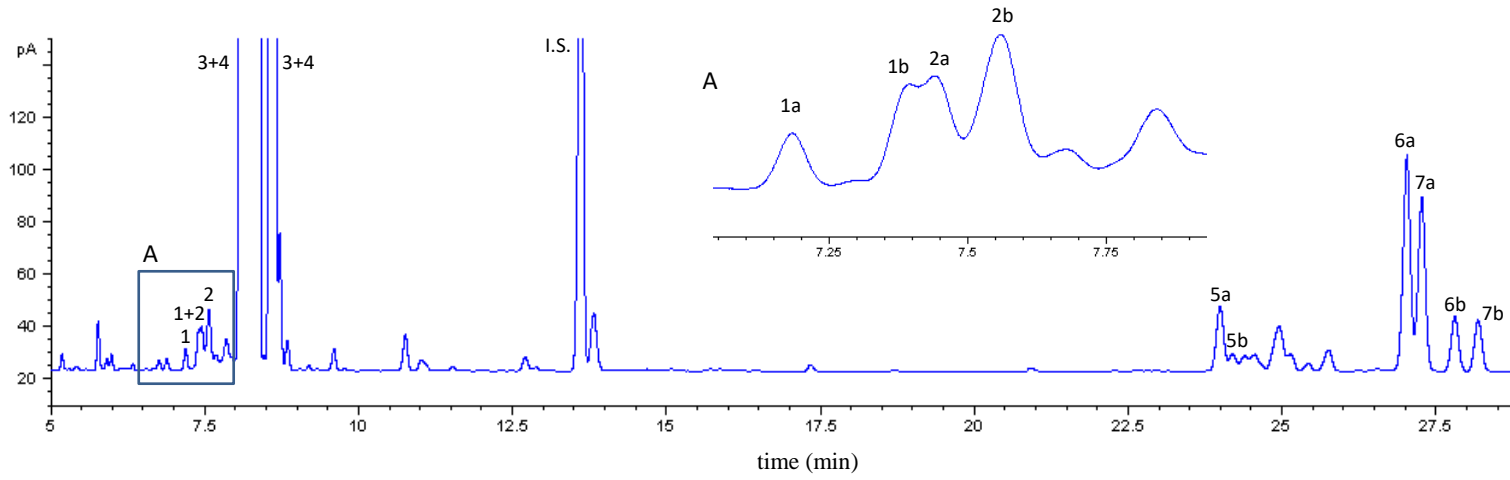
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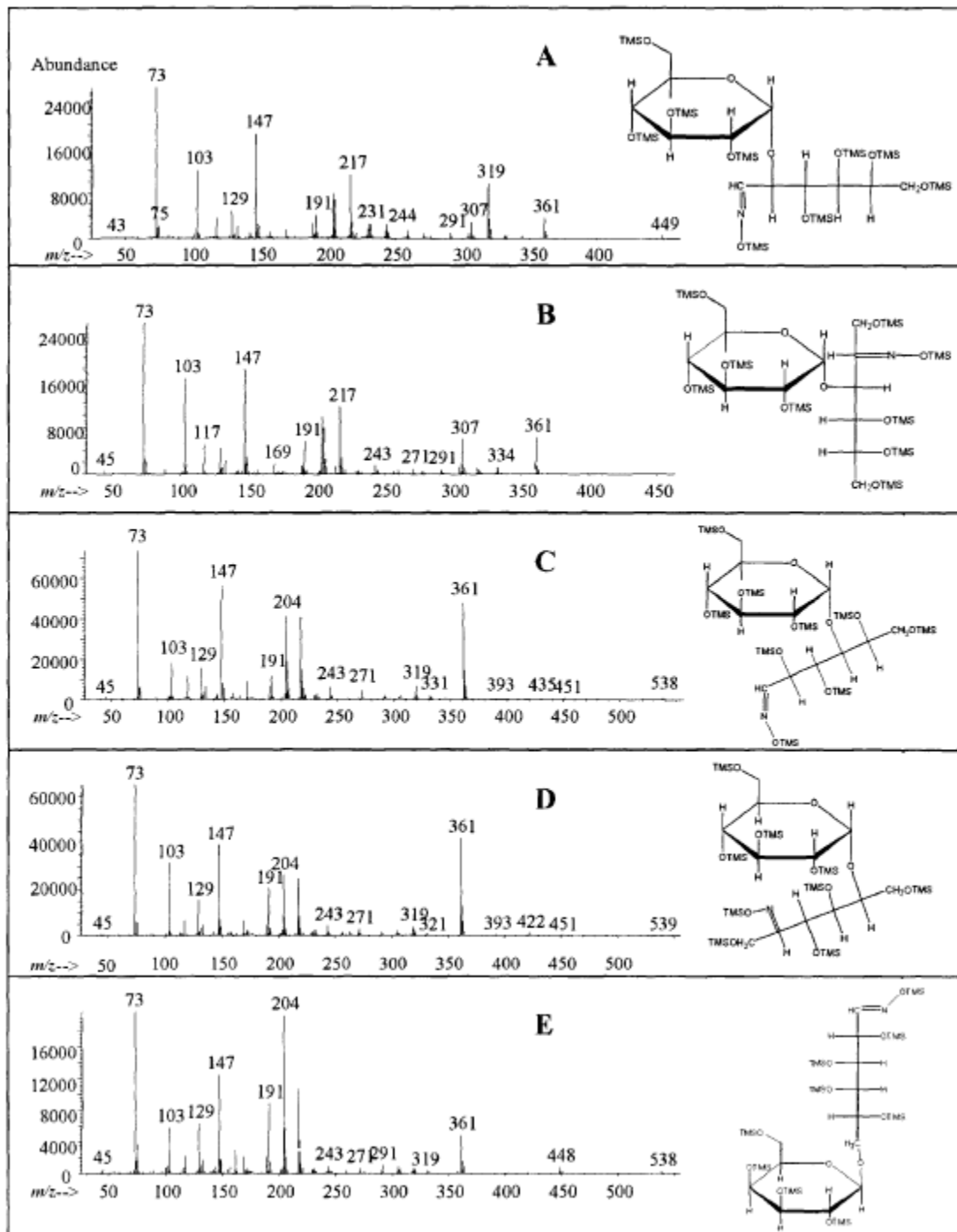
1062 **Figure 2**

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1082 **Figure 3**

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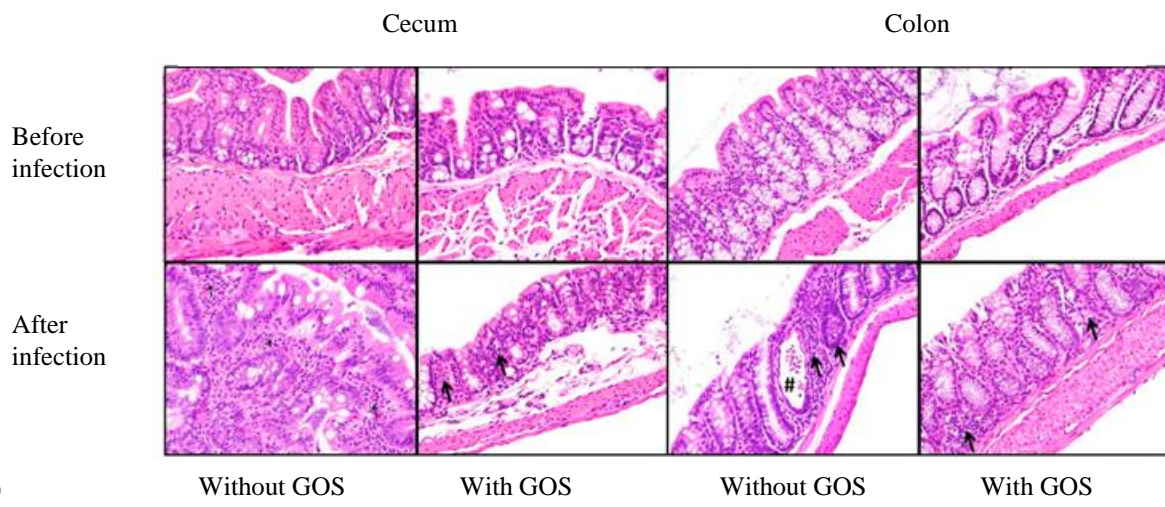
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1087 **Figure 4**

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