

# Analytical Modeling of Pipeline Parallelism

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## Abstract

*Parallel programming is a requirement in the multi-core era. One of the most promising techniques to make parallel programming available for the general users is the use of parallel programming patterns. Functional pipeline parallelism is a pattern that is well suited for many emerging applications, such as streaming and “Recognition, Mining and Synthesis” (RMS) workloads. In this paper we develop an analytical model for pipeline parallelism based on queueing theory. The model is useful to both characterize the performance and efficiency of existing implementations and to guide the design of new pipeline algorithms.*

*We demonstrate the usefulness of the model by characterizing and optimizing two of the PARSEC benchmarks, `ferret` and `dedup`. We identified two issues with these codes: load imbalance and I/O bottlenecks. We addressed load imbalance using two techniques: i) parallel pipeline stage collapsing; and ii) dynamic scheduling. We implemented these optimizations using `Pthreads` and the `Thread- ing Building Blocks (TBB)` libraries. We compare the performance of different alternatives and we note that the `TBB` implementation based on work stealing outperforms all other variants.*

## 1 Introduction

As multi-core processors are becoming ubiquitous, parallel programming is the technology that can make them succeed or not. Research in parallel programming has focused on two main directions: (i) new parallel languages; and (ii) parallel libraries – developing highly optimized libraries that encapsulate data parallelism [9] or task parallelism [17]. Both approaches aim at providing higher levels of abstraction to allow programmers to focus on algorithms and data structures rather than the complexity of the architecture.

In this paper we shall focus on one type of task parallelism, pipeline parallelism. In this model, the application is partitioned in a sequence of *filters* or *stages*. The fil-

ters are code regions that may exhibit other kinds of parallelism (data- and/or task-parallelism). A number of workloads, including two benchmarks from the PARSEC benchmarks suite [2], `ferret` and `dedup`, exhibit pipeline parallelism. Both are streaming applications: `ferret` implements image similarity searches and `dedup` compresses a data stream by using “de-duplication”.

Pipeline parallelism is an important programming pattern and we are interested in providing models, tools, and guidance to the programmers for tuning the scalability and the performance of codes using this pattern. Applications parallelized using the pipeline model are very sensitive to load balancing: for best efficiency, pipelines must avoid bubbles, thus all stages must be processing at all times. Thus, the programmer must either partition the work into well balanced work items that flow through the pipeline or use a system that dynamically shifts resources from idle stages to the busiest pipeline stages. One such example is a system supporting work stealing [4]. Another bottleneck of the pipeline parallelism pattern is I/O, typically encountered at the ends of the pipeline. In the examples we studied, at large number of threads `ferret` is bounded by the input I/O and `dedup` is bounded by the output I/O stage. Optimizing I/O stages typically requires algorithmic knowledge and the use of a parallel I/O library.

Given this sensitivity, we explore analytical modeling of pipeline parallelism using queueing theory. We consider several pipeline configurations that capture the most common patterns. Such models can be used to assist programmers in tuning the parameters of their pipeline implementations without resorting to large numbers of simulations. We validate our models against measurements on a large parallel system.

To summarize, this paper makes the following contributions: i) A detailed characterization of two benchmarks in the PARSEC suite that use the pipeline parallelism model. In our study, we identify the main issues that limit the scalability of the codes and propose solutions to address them (Section 2); ii) New analytical models for pipeline parallelism based on queueing theory. The goal of the models is to help us better understand the use of resources

for different pipeline configurations (Section 3); iii) A performance comparison of several implementations of these benchmarks, including the original versions, a collapsed pipeline version and a version using work stealing, using Pthreads and Intel Threading Building Blocks (TBB) [17]. We demonstrate that our analytical model can capture precisely the behavior of all these variants (Section 4); iv) A discussion of the advantages of using work stealing and the TBB library for enhanced productivity in coding pipeline parallel programs. We also identify several aspects that can be improved in the TBB implementation of the pipeline template (Section 6).

## 2 Background and Motivation

A number of emerging workloads, such as streaming and RMS applications, employ pipeline parallelism as the main programming pattern. Pipeline parallelism, a form of task-parallelism, is a natural model for streaming applications, because they can easily be decomposed into stages. For example, in the PARSEC Benchmark suite [2], two of the applications, *ferret* and *dedup*, are implemented using the pipeline parallelism pattern. This pattern has several advantages: (i) parallelism can be exploited at multiple levels which allows the programmer to tolerate different dependence patterns; (ii) communication is deterministic, following a producer-consumer pattern between pipeline stages. Note that pipeline parallelism is most appropriate implementation for the intended design of these applications [2], although if considered just in the benchmarking setup they could be rewritten using a different, more efficient, parallelism structure.

First we study the behavior of *ferret* and *dedup* benchmarks, on a HP9000 Superdome SMP with 64 dual-core Itanium2, running at 1.6GHz, with 380GB of main memory. Each core has the following cache hierarchy: 16KB L1I + 16KB L1D, 1MB L2I + 256KB L2D and an unified 9MB L3. The I/O consists of 14 SCSI buses to a 40TB RAID 5. The operating system is Linux SLES 10 SP2 2.6.16 kernel. We used the Intel icc 10.1 compiler. The base implementation uses the Pthreads library. In the following discussion, we use  $c$  to denote the number of threads used per parallel stage, and  $nThreads$  for the total number of threads in the application.

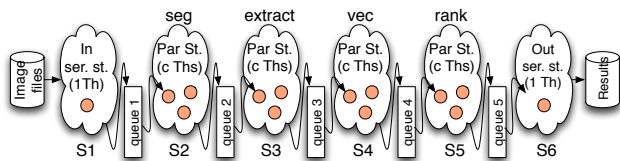


Figure 1. *ferret* pipeline configuration

## 2.1 Similarity search: ferret

The *ferret* application included in the PARSEC suite is an instantiation of the Ferret toolkit configured for image similarity search [2]. As shown in Fig. 1, *ferret* is decomposed into six pipeline stages. The input and output are serial stages, that read a set of images to be analyzed against a database of images, and output the list of names of similar images, respectively. The four parallel middle stages are configured with a  $c$ -size thread pool each. Object data is passed between stages using software queues, configured for 20 entries (default *Queue\_size*). We ran our experiments using the largest input set (*native*), comprising of 59,695 images. The sequential execution time for this input is 437s. The maximum speed-up of the parallel version is 11.38 (66 threads) and maximum efficiency of 27% (34 threads). Fig. 2 shows the execution time breakdown of useful work (dark-gray) and idle time (light-gray) for each stage  $S_i$ . These results clearly point to the fifth stage (*rank*) as an implementation bottleneck. As the number of threads is increased, the performance of the parallel stages scales. However, for  $c$  between 8 and 16, the serial I/O input stage becomes the bottleneck.

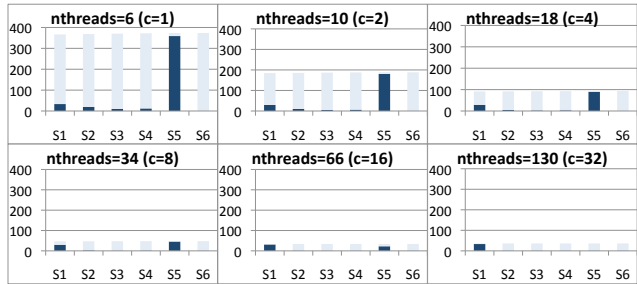


Figure 2. *ferret*: Execution time (seconds) breakdown

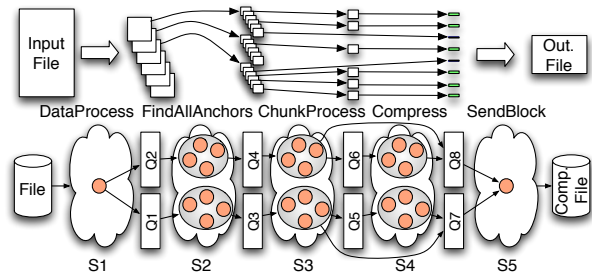


Figure 3. *dedup* pipeline configuration.

## 2.2 Data compression: dedup

The *dedup* kernel implements data stream compression using the “de-duplication” method. The kernel is decomposed in five pipelines stages (see Fig. 3). The first and last stages are sequential and handle I/O. The intermediate

stages are parallel. The largest inputs set available in PAR-SEC for the `dedup` benchmark, `native`, is an ISO file of 672MB. We choose not to preload the input file, to achieve real streaming. The sequential code runs for 89.37s.

The two main differences in the `dedup` pipeline compared to `ferret`, are: i) one stage that generates work (more output items than input items) – `FindAllAnchors`; and ii) stage bypassing – not all stages process all items, e.g. `Compress`. There are additional implementation artifacts, such as a limit on the number of threads that share a queue in order to avoid contention, and additional queues between stages to buffer items. For `dedup`, the maximum speed-up is close to 5 for 14 threads. Fig. 4 shows that there is a high load imbalance between the stages, and the I/O bottleneck is reached on the output stage for  $c = 4$ .

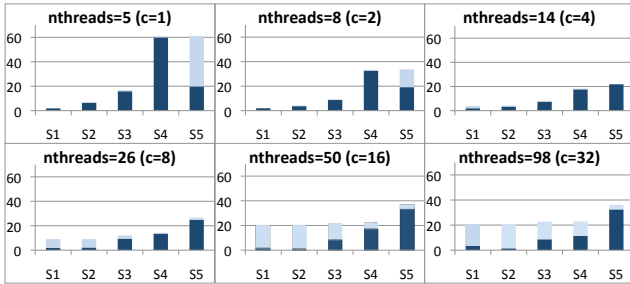


Figure 4. `dedup`: Execution time (in sec.) breakdown.

### 2.3 Scalability in Pipeline Parallelism

The scalability of both applications studied is gated by load imbalance between stages for small number of threads and by the I/O stages for large number of threads. We discuss solutions to address the load imbalance in this section, and address I/O in Section 4.2.

Load imbalance is a result of different amounts of work per item in each stage. When a programmer fixes the number of threads per stage arbitrarily (it is a common practice to use the same number of threads for all stages), the stage with largest amounts of work per item becomes a bottleneck. To address load imbalance, we explore two orthogonal approaches: 1) collapsing all the parallel stages into one; 2) using dynamic scheduling to share the load among the different stages. Collapsing pipeline stages is applicable only if all the intermediate stages are parallel. Both `ferret` and `dedup` satisfy this condition, but this solution may not be generally applicable. Dynamic scheduling is a more general solution. There are two ways in which dynamic scheduling can be implemented:

- **Oversubscription** – the application creates more threads than available processors and relies on the OS scheduling policies to keep all the processors busy.

In our example, setting the number of threads  $c$  to the number of available cores creates 3-4 times more threads. In this case, the number of threads per stage is still fixed (statically) and there are different overheads introduced by time slicing: context switching, cache pollution, and lock preemption [17]. Section 4.1 discusses this approach.

- **Work stealing** – achieves load balancing by keeping one active thread per core and assigning several work units to each thread [3]. When a thread completes its assigned work, it queries the other threads for additional work.

The Thread Building Blocks (TBB [17]) library parallel pipeline template provides support for work stealing [6]. We re-implemented `ferret` and `dedup` using TBB to quantify the performance of the work stealing parallel pipeline. We introduce next some of the abstractions used in this implementation. In TBB, programmers express concurrency in terms of *tasks*. Tasks are lighter weight than threads, thus allowing fast work switching. In the TBB pipeline template, the C++ classes `pipeline` and `filter` represent the pipeline container and its stages, respectively. A token in TBB represents an item that traverses all pipeline stages. A new token is created for each input item. The parameter `ntokens` to the method `pipeline.run` specifies the maximum number of tokens in flight and it is used to manage resource contention by throttling the arrival rate of items in the pipeline.

The linear pipeline in `ferret` maps directly to the TBB pipeline template. On the other hand, `dedup`, with its item producing and bypassing stages, can not be directly mapped. Therefore, we selected a combined Pthreads and TBB implementation. To work around the TBB constraint that the number of tokens entering/leaving the stage is constant, we created a separate queue for the output stage and assigned a dedicated pthread to handle the output. To handle the bypassing limitation of the `Compress` stage as well as the equal number of tokens limitation of the `FindAllAnchors` stage, we collapsed them into one parallel TBB pipeline filter.

### 3 Analytical Model of a Parallel Pipeline

In this section we develop a series of analytical models, based on queueing theory, for several parallel pipeline templates, starting from the studied benchmark implementations presented before. We model the Pthreads versions of `ferret` and `dedup` as a closed and open queueing system, respectively, and we discuss the effects of collapsing stages on the model. We propose a new queueing system to model the TBB implementations that support work-stealing. Our analytical models serve as a system configuration tool

to determine pipeline queue sizes, the optimal number of stages, optimal number of tokens in the system, and the optimal number of parallel threads to achieve high efficiency and scalability. Manually setting these parameters typically requires a multitude of runs in different configurations to understand all the trade-offs and interactions between them. Due to space constraints we just sketch the description of our models. The interested reader can find more details in [14].

We use the concept of *logical queue* to explicitly represent: i) the buffer where pending items are waiting to be processed, and ii) the servers (or threads) that process the items. In some cases (e.g., closed systems), the queueing system also includes a representation of the population that can ask for a service. Some parameters, such as service time  $T_{ser}$  and inter-arrival time  $T_{arr}$ , are obtained from the sequential codes.

$$\lambda_e = \begin{cases} \min(\lambda_i) & \text{Pthreads (Cases 1 \& 2)} \\ \sum_{i=1}^{npTh} \lambda_i & \text{TBB (Case 3)} \end{cases} \quad (1)$$

$$Time = \frac{1}{\lambda_e} \cdot nit \quad (2)$$

Eqs. 1 and 2 are the main metrics computed for our models.  $\lambda_e$  is the effective throughput of the whole pipeline: it depends on the throughput  $\lambda_i$  of each internal queue, and it is particular to the modeled template. *Time* – the estimated execution time, is our primary performance metric, and it is computed using Little’s law, based on the effective throughput  $\lambda_e$  and the total number of processed items *nit*. We use measurements on the HP9000 Superdome machine configuration described above to verify that the analytical models correctly predict the execution time.

### 3.1 Case 1. Parallel pipeline closed system

In the original PARSEC implementations (using Pthreads), each stage  $S_i$  in the parallel pipeline consists of an input queue buffer  $Q_i$  and a pool of dedicated working threads  $c_i$ . We model this pipeline as a network of single logical queues [1]. The Pthreads implementations of *ferret* have queue buffers with limited capacity, causing items to stall in the pipeline stages, as shown in Fig. 2(b). Therefore we model it as a *closed system*: items can be viewed as circulating continuously and never leaving the network of queues because a new item can not enter until a previous one leaves. Fig. 5(a) shows a graphical representation of a closed system, depicting all the stages in the pipeline. In this model, we assume that there is a finite-calling population of  $K$  items.

In steady state, each stage  $S_i$  behaves like a  $M/M/c_i/N/K$  queue system. This represents a logical queue with exponential inter-arrival and service time distributions,

$c_i$  servers (the working threads), system size  $N$  (approximately the queue buffer size,  $N = Queue\_size$ ) and job population  $K = N$  items [16]. Fig. 5(b) shows the abstract view of one stage. For each stage  $S_i$ , the mean service time,  $T_{ser_i}$ , is the time to process an item on that particular stage in the sequential execution. The mean inter-arrival time is the same for all stages:  $T_{arr}$ , and it is determined by the longest stage in the pipeline, i.e.  $T_{arr} = \max(T_{ser_i})$ . In the closed model, Eq. 3, represents another statement of Little’s law: it computes the internal throughput for each stage,  $\lambda_i$ , based on i) the number of items processed on the stage per unit time, where  $P_n(i)$  is the probability that there are  $n$  items in the stage  $S_i$ ; and ii) the time  $T_{arr}$  (see [1]).

$$\lambda_i = \sum_{n=0}^N (N - n) \cdot \frac{P_n(i)}{T_{arr}} \quad (3)$$

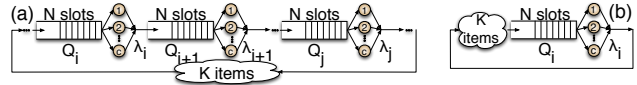


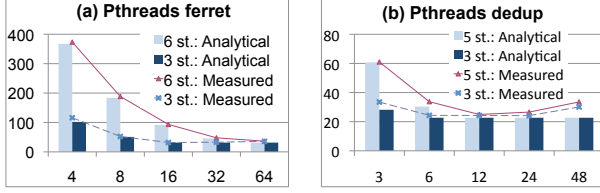
Figure 5. (a) Closed system; (b) Closed system stage.

To apply this model to *ferret*, we start with the 6 stages Pthreads pipeline (see Fig. 1). We model one thread per each serial stage,  $S_1$  and  $S_6$ :  $c_1 = c_6 = 1$ . For the parallel stages  $S_{i,i=2:5}$ , the number of threads assigned to each stage is  $c_i = npTh/npstages$ , where  $npTh$  and  $npstages$  represent, the number of parallel threads, and the number of parallel pipeline stages, respectively. In this version,  $npstages = 4$ . Using Eq. 3, we compute the internal throughput  $\lambda_i$  for each stage. The effective throughput for the whole pipeline,  $\lambda_e^{st6}$ , and the execution time for a run  $Time^{st6}$ , are computed using Eq. 1-Case 1 and Eq. 2, where *nit* is the number of items to be processed.

Next we analyze the Pthreads implementation that collapses the parallel stages. There are 3 stages:  $S_{1'}$ ,  $S_{2'}$  (which represents the collapsing of the parallel stages) and  $S_{3'}$ . Here,  $c_{1'} = c_{3'} = 1$ , whereas  $c_{2'} = npTh$  is the number of parallel threads in the collapsed stage, because now  $npstages = 1$ . Using Eq. 3 we compute the internal throughput for each stage, then the effective throughput for the whole pipeline,  $\lambda_e^{st3}$  (Eq. 1-Case 1), and the running time for the implementation  $Time^{st3}$  using Eq. 2.

Fig. 6(a) compares the analytical times obtained for  $Time^{st6}$  and  $Time^{st3}$  for different number of parallel threads (the  $npTh$  parameter) and for  $N = Queue\_size = 20$  (default value in the original implementation). We also represent the measured times for the two Pthreads implementations of *ferret*.

We observe that the analytical times accurately predict the measured times. In Section 3.4 we discuss how to use the model to predict queues sizes and the optimum number of threads to achieve good scalability. As shown by

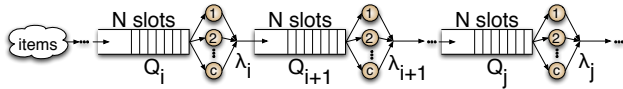


**Figure 6.** Analytical vs. measured times (sec.) for different no. of  $npTh$ : (a) *ferret*; (b) *dedup*

Fig. 6(a) the model predicts that the 3 stages implementation outperforms the 6 stages one: it always reaches smaller running times for the same number of parallel threads and scales better (until the I/O bottleneck). The measurements confirm the prediction, and the analytical model allows us to explain this effect: for all the cases, and before reaching the I/O bottleneck,  $\lambda_e^{st6} < \lambda_e^{st3}$  due to the load imbalance in the service times in the 6 stages code.

### 3.2 Case 2. Parallel pipeline open system

Another type of pipeline is the *open system*: the items flow among stages with different internal throughputs. Fig. 7 shows a view of this model. Assuming exponential inter-arrival and service times, each stage  $S_i$  of this pipeline behaves like a  $M/M/c_i$  queue system. This is the model typically found in the literature for pipelines [15, 13]. The Pthreads PARSEC implementation of *dedup* matches this model. The code has logical queues per stage, but these are sized to 1 million entries, providing the system with essentially infinite capacity ( $N = \infty$ ). Indeed, we did not observe any stalling in the pipeline.



**Figure 7.** Model of a parallel pipeline open system.

In an open network, the inter-arrival time,  $T_{arr_i}$ , is different for each stage  $S_i$ . We compute it by composing the throughput from the stages in the network that reach  $S_i$ . Eq. (4) gives us the internal throughput for each stage. It depends on the inter-arrival time for the corresponding stage.

$$\lambda_i = \frac{1}{T_{arr_i}} \quad (4)$$

Now, we analyze the original *dedup* Pthreads version with 5 stages. Again, one thread is assigned to each serial stage, i.e.  $c_1 = c_5 = 1$ , whereas for the parallel stages  $S_{i,i=2:4}$ , the number of threads per stage is  $c_i = npTh/npstages$ . In this case,  $npstages = 3$ . The effective throughput for the whole pipeline  $\lambda_e^{st5}$ , is determined by the slower stage as Eq. 1-Case 2 indicates, and the execution

time for the run  $Time^{st5}$ , is computed by Eq. 2. We also model the 3 stages Pthreads version, in which we collapse the parallel stages. We assign one thread to each of the serial  $S_{1'}$  and  $S_{3'}$  stages, and  $c_{2'} = npTh$  parallel threads to the collapsed stage  $S_{2'}$ , because  $npstages = 1$ . We compute the internal throughput for each stage, the effective throughput for the whole pipeline  $\lambda_e^{st3}$  (by Eq. 1-Case 2), and the running time  $Time^{st3}$  (by Eq. 2).

In Fig. 6(b) we compare the analytical  $Time^{st5}$  and  $Time^{st3}$  times vs. the measured times for the two Pthreads implementations of *dedup*, for different number of parallel threads. In this case, the analytical times represent an optimistic lower bound of the pipeline behavior. One particular issue, non captured in the model, is the non-deterministic behavior of this code: the size of the output file may be larger as the number of threads increases. This is the main cause of the divergence between the analytical and measured times for both Pthreads implementations, especially when the number of parallel threads is greater than 12.

We find similar results as in the *ferret* case: the scalability behavior of each Pthreads version is different, in fact the 3 stages version scales faster; for this reason the I/O bottleneck appears sooner in the collapsed version. As in *ferret*, the load imbalance of service times explains why the 3 stages version always outperforms the original 5 stages code.

### 3.3 Case 3. Model for work stealing

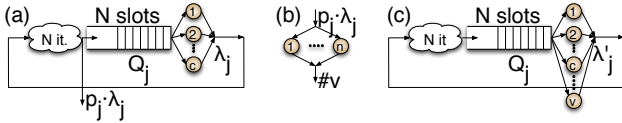
Deriving an analytical model for the parallel pipeline paradigm which incorporates work stealing is difficult because stealing hinges on temporary imbalances among the working threads, making the application of steady state modeling techniques non-trivial. The analytical model will give hints about how the parameters and resources interact.

We model the TBB implementation as a set of loosely coupled systems working in parallel, rather than a network of logical queues, because there is a logical queue of tasks per each thread, as opposed to the Pthreads implementations with a logical queue per pipeline stage. Each thread is the server of its local queue, where the items (the tasks) to be processed arrive. All other remote threads in the system can steal work from the local queues. Each time that a task is processed by one thread, a slot becomes available in its local queue and a new task can enter in the system. So, each thread can be viewed as a queue in a closed model: each thread can be modeled as a  $M/M/c/N/K$  queue system, where the population that can call for a service is finite and equal to the queue capacity, i.e.  $K = N$  items (see Fig. 8(a)).

Each task carries on the work from the input filter to the output filter through the intermediate stages of the pipeline. The service time  $T_{serTBB}$ , depends on the whole processing

of an item. The inter-arrival time  $T_{arrTBB}$ , also depends on the processing of one item, i.e.  $T_{arrTBB} = T_{serTBB}$ . The number of servers per queue is initially  $c = 1$  (1 thread). The total system capacity is finite and is given by the number of tasks that can exist simultaneously in the system:  $ntokens$  (a parameter of the TBB pipeline template that is described in 2.3). Initially we assume that the logical queues for all the threads have equal capacity and that the items are evenly distributed, i.e.  $N = ntokens/npTh$ , being  $npTh$  the number of parallel threads. Thus,  $N$  represents the number of tasks (or tokens) per thread.

The concept of work stealing is similar to that of *load sharing*. An analytical model of load sharing for a distributed closed system was proposed in [19]. It uses a three steps framework to model the load sharing. We can exploit their observations for our model as follows: the effect of load sharing (work stealing) on the performance of a queue based distributed system, is similar to that of adding more working resources to each queue. Using this observation, the model for work stealing can be derived following a three step framework that we sketch next.



**Figure 8.** Model for work stealing: (a) Step 1: A local queue; (b) Step 2: Stealing of items; (c) Effect of the stealing in the local queue.

**Step 1.** Each thread is a  $M/M/c/N/K$  (closed) local queue, with  $K = N$  (see Fig. 8(a)). Initially  $c = 1$ . From this step and this model, we get  $\lambda_j$  (the internal throughput for the  $j$ -th thread) and  $p_j$  (the probability that the  $j$ -th thread is busy [19]).

**Step 2.** The stealing of tasks from other threads can be modeled as a  $M/M/n_j/n_j$  remote queue. In other words, the stealing is modeled as an open queue system, where  $p_j \cdot \lambda_j$  represents the offered load (see Fig. 8(b)) for the stealing of tasks and  $n_j$  is the remote available capacity to perform the stolen work. That  $n_j$  (the capacity of the remote queue) is determined by Eq. 6. In this equation, the parameter  $\rho_k$  is the utilization factor in the  $k$ -th thread, and can be computed by Eq. 5. In any queueing theory model,  $\rho_k$  represents the probability that the  $k$ -th server (a thread, in this case) is busy. Therefore,  $(1 - \rho_k) \cdot c_k$  represents the idle working capacity in the  $k$ -th thread, i.e. quantifies the number of tasks that can be stolen by that thread.

$$\rho_k = \lambda_k \cdot \frac{T_{ser_k}}{c_k} \quad (5)$$

$$n_j = nidle_j = \sum_{\substack{k=1:npTh \\ j \neq k}} (1 - \rho_k) \cdot c_k \quad (6)$$

Next, we derive  $v$  which is the average number of active services in the  $M/M/n_j/n_j$  remote queue that represents the average number of effectively stolen tasks.

**Step 3.** When we incorporate work stealing in our model, each thread is now modeled as a closed  $M/M/c + v/N/N$  queue, i.e. each thread behaves as if a (virtual) working capacity of  $v$  additional threads are added, as shown in Fig. 8(c). Now, we re-compute the internal throughput  $\lambda'_j$  (see Eq. 3), taking into account these new working capacity.

We compute the effective throughput of the whole system  $\lambda_e^{TBB}$ , by aggregating  $\lambda'_j$  from all the threads, as indicated by Eq. 1-Case 3.

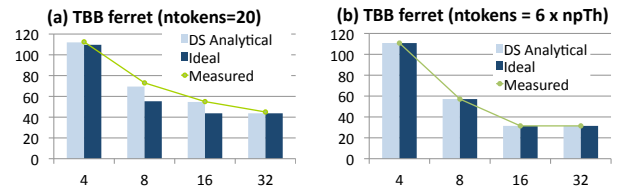
As we saw, the serial stages eventually become a bottleneck. Therefore, we add Eq. 7 as a new constraint to model this behavior:

$$\lambda_e^{TBB} = \frac{1}{\max(T_{in}, T_{out})}, \text{ If } \max(T_{in}, T_{out}) > \frac{1}{\sum_{j=1}^{npTh} \lambda'_j} \quad (7)$$

where  $T_{in}$  and  $T_{out}$  are the times to process a item in the input and output filters, respectively. Finally, the execution time  $Time^{TBB}$ , is computed by Eq. 2.

In Fig. 9 we compare the analytical  $Time^{TBB}$  (named “DS-Analytical”) vs. the measured times for the TBB `ferret` implementation on different numbers of threads. The TBB `ferret` code has 6 pipeline filters. We compare the times for different values of  $ntokens$ : 20 and  $6 \times npTh$ . Recall that the  $ntokens$  variable controls the number of tasks that exists concurrently at any given point, representing the total system capacity.

For comparison, we also include the times obtained for an ideal centralized system based on a global  $M/M/npTh/ntokens/ntokens$  logical queue, which we name “Ideal”. This is a boundary case that represents a centralized queue system with the same aggregate number of threads and task capacity that our queue distributed implementation in TBB. This centralized system represents the optimal case in queueing theory [19], and serve as a baseline to measure the optimality of the work stealing implementation.



**Figure 9.** Analytical vs. measured times (sec.) for TBB and different no. of  $npTh$ .

The analytical times accurately predict the measured times, which give us a proof of their validity. One important result is that the selection of *ntokens* is a trade-off parameter that has impact on the execution time, especially for a large number of threads when the *ntokens* is small. This indicates an underutilized system where there are no load balancing opportunities. For large number of tokens, the TBB implementation behaves optimally. The analytical model provides some insight: when *ntokens* is sufficiently large, the work stealing in TBB emulates a global queue that is able to feed all the threads. An additional advantage of the TBB implementation is that the distributed solution with one queue per thread avoids the contention that a global single queue would exhibit. However there is a trade-off in selecting the value of *ntokens*: while large values improve thread utilization by exposing work-stealing opportunities, they also may incur additional overheads due to task management, synchronization and contention. These can potentially eliminate the benefits of the dynamic scheduling [6]. In Section 3.4 we demonstrate how to use the model to compute the optimal *ntokens* to get the whole pipeline system behaves optimally.

Another important result is that the analytical times obtained for the TBB `ferret` code, do not depend on the number of pipeline stages (as opposed to the Pthreads implementation). In other words, from the TBB model point of view, selecting the appropriate number of stages in the parallel pipeline is not an issue. This result will be corroborated later in the experimental section, where we measure and compare the execution times for some other parallel TBB-pipeline implementations of `ferret` in which we choose different number of stages.

We also analyzed the TBB pipeline port of the `dedup`. The results and conclusions are similar to the `ferret` case.

### 3.4 Sizing the System

In this section we use the analytical model to determine: i) the optimum values for the capacity of the closed model,  $N$  (*Queue\_size* in Pthreads and *ntokens* in TBB) that minimizes the storage requirements and overheads of each implementation; and ii) the optimum number of threads,  $c_{optimal}$  that achieves the maximum effective throughput.

In the closed models, the system capacity  $N$  (*Queue\_size* in Pthreads and *ntokens* per thread in TBB), is optimized using Eq. 3: as  $N$  increases, the internal throughput increases until it reaches an upper bound. Ideally, assuming a high utilization  $\rho_i \approx 1$ , from Eq. 5, we determine the upper bound  $\lambda_{upper_i}$  for the internal throughputs as:

$$\lambda_{upper_i} \approx \frac{c_i}{T_{ser_i}} \quad (8)$$

The other factor that limits the effective throughput is the critical serial stage, that is, the serial stage with longer service time. This stage ends up being the I/O bottleneck of our implementations. Let  $\lambda_{max}$  be the throughput due to the critical serial stage. Let  $T_{in}$  and  $T_{out}$  be the service times to process an item in the serial input and output stages. From Eq. 5, assuming  $\rho_i \approx 1$ , and as the serial stages have  $c_i = 1$  thread, we can find an optimistic upper bound for  $\lambda_{max}$ ,

$$\lambda_{max} = \min\left(\frac{1}{T_{in}}, \frac{1}{T_{out}}\right) \quad (9)$$

Therefore, an upper bound of the effective throughput is  $\lambda_{eu} = \min(\lambda_e, \lambda_{max})$ :

$$\lambda_{eu} = \begin{cases} \min(\min(\lambda_{upper_i}), \lambda_{max}) & \text{Pthreads (Case 1)} \\ \min(\sum(\lambda_{upper_i}), \lambda_{max}) & \text{TBB (Case 3)} \end{cases} \quad (10)$$

Once computed  $\lambda_{eu}$ , we can use Eqs. 3, 1-Case 1, and 1-Case 3, to find the value of  $N_{optimal}$  which verifies  $\lambda_e(N_{optimal}) = \lambda_{eu}$ . For the Pthreads implementation of the `ferret` code,  $N_{optimal}$  is around 21 items per queue in the 6 stages case, and 24 items per queue in the 3 stages one. Thus, the queue buffers will consume a total storage requirement of  $N_{optimal} \times (npstages + 1)$  items. In the TBB implementations, the  $N_{optimal}$  value for `ferret` is around 5 tokens per thread and around 3 tokens per thread for `dedup`. We deduce that the optimal total capacity is  $ntokens = 5 \times npTh$  and  $ntokens = 3 \times npTh$ , respectively, which represent the storage requirements for these implementations.

The implementations will scale with the number of threads, until the effective throughput reaches  $\lambda_{max}$ , i.e. until they reach the I/O bottleneck. Another interesting application of the model is, given a certain system capacity, to find the optimal number of threads  $c_{optimal}$  for which the effective throughput reaches  $\lambda_{max}$ . From Eqs. 3, 1-Case 1, and 1-Case 3, we compute the value of  $c_{optimal}$  which verifies  $\lambda_e(c_{optimal}) = \lambda_{max}$ . For the `ferret` Pthreads implementations with  $N = 20$ , we find  $c_{optimal} = 15$  parallel threads for the collapsed 3 stages implementation, and  $c_{optimal} = 12$  threads per parallel stage for the 6 stages code, which give us a total of  $12 \times 4 = 48$  parallel threads for this last code. In the `ferret` TBB implementation we have found that for  $N = 5$ ,  $c_{optimal} = 15$  parallel threads, while for TBB `dedup` with  $N = 3$ ,  $c_{optimal} = 5$  parallel threads.

For the open pipeline system (Case 2), i.e. the Pthreads `dedup` codes, the upper bound of the internal throughput is given by Eqs. 5 and 4:  $\lambda_{upper_i} \approx c_i/T_{arr_i}$ . In this case, the I/O bottleneck will be found when  $\lambda_e = \min(\lambda_{upper_i}) = \lambda_{max}$ .

6 stages Pthreads non-oversubscribed				6 stages Pthreads oversubscribed			
# PE/Th	Time	Sp.	Eff.(%)	# PE/Th	Time	Sp.	Eff. (%)
6/6	370.6	1.2	19.7%	1/6	438.4	1.0	99.7%
10/10	186.6	2.3	23.4%	2/10	220.8	1.9	98.9%
18/18	94.8	4.6	25.6%	4/18	111.8	3.9	97.7%
34/34	47.9	9.1	26.8%	8/34	69.2	6.3	78.9%
66/66	38.4	11.3	17.2%	16/66	48.8	8.9	55.9%

**Table 1.** Non-oversubscribed vs. oversubscribed comparison for the 6 stages Pthreads `ferret` code.

## 4 Evaluation

In this section, we shall focus on the measured performance of the different optimized implementations as discussed in Section 2.3. Since we have already proved that collapsing parallel stages of a pipeline is profitable, we address here the other proposed solutions. We use the same methodology and target platform that we described in section 2 for our experiments.

### 4.1 Static scheduling vs. work stealing

As discussed before, the first bottleneck for scalability is load imbalance. To tackle this issue, we propose two solutions: oversubscription and dynamic scheduling.

In [2], the authors recommend a configuration in which the number of logical threads per parallel pipeline stage  $c$ , is set to the total number of available cores. Our target platform, the HP Superdome, has a total 128 cores which will result in a total of 514 and 386 threads for the `ferret` and `dedup` pipelines, respectively. Since we reach the serial I/O bottleneck imposed by the input and output stages at about 16 threads, such a configuration is not practical. Therefore we chose to achieve oversubscription using a parametrized number of cores and binding processes (and all their threads) to cores using the `taskset` UNIX command. To achieve high utilization, our Pthreads implementation relies on the OS scheduling policy to assign ready threads to the hardware threads, while the TBB implementation relies on the library-level tasks.

Table 1 shows the execution time (Time), speed-up (Sp.) relative to the baseline sequential time, and efficiency (Eff.) for the non-oversubscribed and the oversubscribed 6 stages Pthreads versions. The oversubscribed version clearly outperforms the non-oversubscribed version, given that the same number of cores (PE) can run a much higher number of threads (Th) by better utilizing the hardware resources.

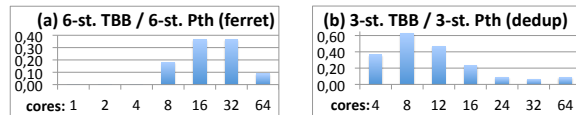
The second optimization is dynamic scheduling using work stealing. We coded `ferret` and `dedup` using the pipeline template from the TBB library. We have used the  $ntokens$  values determined previously by our analytical model. For `ferret`, we implemented two TBB versions: 6 stages and 3 stages, both with  $ntokens = 5 \times npTh$ . Table 2 shows the execution time, speed-up and efficiency for these two versions. As predicted by the analytical model,

# PE	6 stages TBB			3 stages TBB		
	Time	Spdup	Eff.(%)	Time	Spdup	Eff.(%)
1	437.65	0.77	77.00	442.88	0.76	76.09
2	221.13	1.52	76.20	223.5	1.51	75.39
4	112.59	2.99	74.83	113.58	2.97	74.18
8	58.5	5.76	72.01	59.56	5.66	70.73
16	35.87	9.40	58.72	36.98	9.11	56.96
32	33.14	10.17	31.78	33.42	10.08	31.51
64	35.66	9.45	14.77	36.44	9.25	14.45

**Table 2.** 6 stages vs. 3 stages comparison for `ferret`-TBB. Time is in seconds.

the number of stages in the TBB pipeline implementation does not affect the execution time. The load imbalance issue of the original 6 stages pipeline is essentially removed by dynamic scheduling, and the two versions have similar behavior profiles across all threads configurations.

In Fig. 10 we compare the performance of the TBB implementation using work stealing with the Pthreads version using oversubscription. Again, the measurements are in accordance with the analytical model prediction: the TBB version is faster when the number of cores is larger than 8 since there are more opportunities for task stealing. The largest improvement for the 6 stages version is for 16 and 32 cores where the TBB version is 37% faster. For the 3 stages version, the largest difference is for 16 cores where the TBB version is 42% faster. These improvements degrade when approaching the input bottleneck.



**Figure 10.** Oversubscribed Pthreads versus TBB: (a) 6 stages `ferret`; (b) 3 stages `dedup`.

For the `dedup` kernel, we implemented only a 3 stages TBB pipeline version due to the restrictions in the TBB template explained in Section 2.2, and  $ntokens = 3 \times npTh$ . Fig. 10(b) compares the 3 stages oversubscribed Pthreads and the 3 stages TBB implementations. The TBB version shows up to 63% of improvement (8 cores). As we approach to the output bottleneck, which happens for more than 8 cores, the advantages of dynamic scheduling start to diminish.

We also quantified the overhead of the TBB pipeline template using the Intel VTune 9.1 profiler. Contrary to what it was reported in [6] for the TBB `parallel_for` template, the overhead introduced by the TBB scheduler is very small for the pipeline template and the contribution of the `steal_task` function (the main function that implements work stealing) is negligible and less than 0.05% of execution time in all the cases. While a naive measurement will assign a significant part of the execution time to the

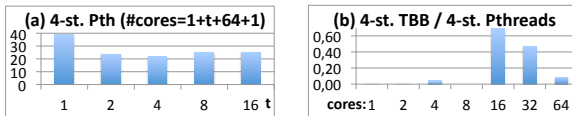


TBB library, our measurements indicate that the serial bottleneck on the input stage causes the other stages to wait in the TBB scheduling loop.

## 4.2 I/O Optimization

It is quite common that the stages at the ends of the pipeline become a bottleneck. Both the input and the output stages may be gated by either the serial requirements to read or write data from/to the I/O stream, or simply by the I/O bandwidth of the machine. We measured both causes on our benchmarks when we removed the load imbalance in the pipeline.

While the `ferret` benchmark input stage is serialized in the original 6 stages implementation (i.e. the `load` Stage serially scans the input directory to find new images), there is no dependence between different images, therefore this stage can be parallelized. One optimization scenario is to divide the first stage into two stages, a serial one that scans the input directory and enqueues all the image names in an intermediate queue, and a second parallel stage that extracts names from the queue and reads the images. We implemented this optimization in a 4 stages Pthreads `ferret` version: two input stages as described above, all processing stages collapsed into one stage, and an output stage. Our goal is to find the maximum number of threads that can read the image files in parallel. Fig. 11(a) shows the total execution time when running `ferret` with one thread for Stage 1,  $t$  threads for the parallel input Stage 2, 64 threads for the working Stage 3 (ensuring that way that total execution times are practically bounded by the input time), and one thread for the output stage. By increasing the number of dedicated input threads to 4 in the second stage, we see a 2x performance improvement. As the number of threads increases above 4, threads start contending on the I/O bandwidth of the machine. Although other parallel input solutions are possible, we have chosen to keep the streaming philosophy of the original `ferret` code in which the first stage of the pipeline is serial.



**Figure 11.** (a) Total execution time in 4 stages `ferret`; and (b) Improvement of 4 stages `ferret` TBB.

We also implemented a 4 stages TBB implementation of `ferret`, splitting the first stage in a similar manner. As opposed to the 4 stages Pthreads implementation where the number of threads can be set by the user, in the TBB implementation with work stealing the number of task working in each pipeline stage is dynamic. As shown in Fig. 11(b),

the TBB pipeline outperforms the Pthreads pipeline by up to 69%, again showing the advantage of dynamic load balancing.

For `dedup` the bottleneck is in the output stage, but since it is writing a compressed stream in a single file, it can not be parallelized without significantly changing the original algorithm. A possibility we have not yet explored would be to allow multiple threads to write different output streams and combine these into a single file in a reduction stage. However, since this is compressed data, the combining algorithm is non-trivial and it is outside of the scope of this paper.

## 5 Related Work

The emergence of new workloads that are streaming data has brought attention to the parallel pipeline programming paradigm, since these workloads can be parallelized naturally using this model. The work of Raman et al. [15] exploits a technique called Parallel-Stage Decoupled Software Pipelining (PS-DSP). This technique identifies the pipeline stages (with small programmer interventions), as well as partitions the threads between the parallel stages. Thies et al. propose in [20] a set of simple annotations to let the programmer mark the pipeline stages and use dynamic analysis to track the data communications between stages. In these works, the selection of threads for the stages is static. Both approaches suffer from load imbalance, thus degrading the scalability of the applications. Other approaches for coding streaming applications is to use programming language with support for streams. Examples include: StreamC [8], Brook [5], and StreamIt [18]. The main target of this kind of languages are stream processors [7], or graphics processors [5], although there have been some works that have studied the mapping to general purpose multiprocessors [10]. In all the cases, a static scheduling of threads per stage of the pipeline is always implemented, and degradation of the speedups due to runtime load imbalance is generally reported.

Liao et al. [13] propose a model to study the performance of a parallel pipeline system. They model only the open parallel pipeline, and although the collapsing of parallel stages is considered, strategies for solving the load balancing problem are not studied. The model of the parallel pipeline closed system, as well as the analytical model for work stealing in the context of the parallel pipeline paradigm are two important contributions of our paper.

An important body of work, based on dynamic scheduling, has been developed for solving the problem of load imbalance, especially in the context of irregular applications. For instance, load balancing schemes using tasks queues on shared-memory architectures are described in [11] and [12]. Languages such as Cilk [3], and X10 [21] have proposed

efficient implementations of dynamic scheduling based on work stealing [4]. In these studies, the parallel pipeline paradigm has not been considered – a distinguishing feature of our work.

## 6 Conclusions

In this paper we studied the pipeline parallelism programming pattern. We identified two workloads that exhibit pipeline parallelism in the PARSEC benchmark suite and characterized their behavior on a large scale SMP machine. We identified two issues that limit scalability: load imbalance and I/O bottlenecks. We developed a queueing model for the behavior of pipeline parallelism that can be used to understand and tune the behavior of applications using this programming patterns. We propose two techniques to address load imbalance in the pipeline programming model, namely, parallel stage collapsing and dynamic scheduling based on work stealing. We implemented these techniques and evaluated them both by applying our pipeline parallelism model and by running on a real system. We observe that the model faithfully captures the measured behavior.

For our implementation of dynamic scheduling we used the TBB library, which provides a pipeline template and supports work stealing. There are a number of advantages of using a library that supports work stealing: i) Programmer can code their algorithms without worrying about the number of stages, load balancing between stages or the number of threads allocated to each stage; ii) When selected the appropriate number of tokens, the TBB behaves near optimally as we have demonstrated with our analytical model; and iii) The TBB library overheads for the pipeline template are negligible for the number of cores we have used in our experiments (up to 64). We have also identified limitations in the TBB pipeline template, in particular the ability of specifying non-linear pipelines or specifying stages that produce a different number of outputs. Finally, regarding the I/O bottleneck we explored the possibility of improving the performances by allowing parallel I/O. We point out that once load balancing is resolved, feeding and clearing the pipeline becomes the next bottleneck and will become a cornerstone to achieve scalability on multi-core systems for RMS and streaming applications.

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