Anonymizing Unstructured Data

Rajeev Motwani rajeev@cs.stanford.edu Shubha U. Nabar sunabar@cs.stanford.edu

Abstract

In this paper we consider the problem of anonymizing datasets in which each individual is associated with a set of items that constitute private information about the individual. Illustrative datasets include market-basket datasets and search engine query logs. We formalize the notion of k-anonymity for set-valued data as a variant of the k-anonymity model for traditional relational datasets. We define an optimization problem that arises from this definition of anonymity and provide a constant factor approximation algorithm for the same. We evaluate the efficacy of our algorithms on the America Online query log dataset.

1 Introduction

Consider a dataset containing detailed information about the private actions of individuals, e.g., a market-basket dataset or a dataset of search engine query logs. Market-basket datasets contain information about items bought by individuals and search engine query logs contain detailed information about the queries posed by users and the results that were clicked on. There is often a need to publish such data for research purposes. Market-basket data, for instance, could be used for association rule mining and for the design and testing of recommendation systems. Query logs could be used to study patterns of query refinement, develop algorithms for query suggestion and improve the overall quality of search.

The publication of such data, however, poses a challenge as far as the privacy of individual users is concerned. Even after removing all personal characteristics of individuals such as actual usernames and ip addresses, the publication of such data is still subject to privacy attacks from attackers with partial knowledge of the private actions of individuals. Our work in this paper is motivated by two such recent data releases and privacy attacks on them.

In August of 2006, America Online (AOL) released a large portion of its search engine query logs for research purposes. The dataset contained 20 million queries posed by 650,000 AOL users over a 3 month period. Before releasing the data, AOL ran a simplistic anonymization procedure wherein every username was replaced by a random identifier. Despite this basic protective measure, the New York Times [3] demonstrated how the queries themselves could essentially reveal the identities of users. For example, user 4417749 revealed herself to be a resident of Gwinnett County in Lilburn, GA, by querying for businesses and services in the area. She further revealed her last name by querying for relatives. There were only 14 citizens with her last name in Gwinnett County, and the user was quickly revealed to be Thelma Arnold, a 62 year old woman living in Georgia. From this point on, researchers at the New York Times could look at all of the queries posed by Ms. Arnold over the 3 month period. The publication of the query log data thus constituted a very serious privacy breach.

In October of 2006, Netflix announced the \$1million Netflix Prize for improving their movie recommendation system. As a part of the contest Netflix publicly released a dataset containing 100 million movie ratings created by 500,000 Netflix subscribers over a period of 6 years. Once again, a simplistic anonymization procedure of replacing usernames with random identifiers was used prior to the release. Nevertheless, it was shown that 84% of the subscribers could be uniquely identified by an attacker who knew 6 out of 8 movies that the subscriber had rated outside of the top 500 [15].

The commonality between the AOL and Netflix datasets is that each individual's data is essentially a set of items. Further this set of items is both identifying of the individual as well as sensitive information about the individual, and partial knowledge of this set of items is used in the privacy attack. In the case of the Netflix data (representative of market-basket data), for instance, it is the set of movies that a subscriber rated, and in the case of the AOL data, it is the set of queries that a user posed, also called the *user session*.

Motivated by these examples, as well as by the very real need for releasing such datasets for research purposes, we propose a notion of anonymity for setvalued data in this paper. Informally, a dataset is said to be k-anonymous if every individual's "set of items" is identical to those of at least k - 1 other individuals. So a user in the Netflix dataset would be k-anonymous if at least k-1 other users rated exactly the same set of movies; a user in the AOL query logs would be k-anonymous if at least k - 1 other users posed exactly the same set of queries.

One simple way to achieve k-anonymity for a dataset would be to simply remove every item from the universe of items to every single set. Naturally this would radically distort the dataset rendering it useless for analyses. So instead, to preserve utility, we seek to make the minimal number of changes possible to the dataset in order to achieve the anonymity requirements. We provide $O(k \log k)$ and O(1)-approximation algorithms for this optimization problem. Further we demonstrate how these algorithms can be scaled for application to massive modern day datasets such as the AOL query logs. To summarize our contributions.

- We define the notion of k-anonymity for setvalued data and introduce an optimization problem for minimally achieving k-anonymity in Section 3.
- We provide algorithms with approximation factors of $O(k \log k)$ and O(1) for the optimization problem in Section 4.
- In Section 5, we demonstrate how our algorithms can be scaled for application to massive datasets and evaluate them on the AOL logs .

Before proceeding further, note that illustrative datasets used as motivating examples above also contain further user information: time stamp information for when a rating was given and the actual rating itself in the Netflix data; time stamp information for when a query was posed and the query result that was clicked on in the AOL data. However in this paper, we will ignore these other attributes of the datasets¹ while noting that our techniques can be extended to encompass these attributes as well.

We will next briefly review related work where we distinguish our problem from the traditional *k*anonymity problem that has been studied for relational datasets.

2 Related Work

There has been considerable prior work on anonymizing traditional relational datasets such as medical records. The most widely studied anonymity definitions for such datasets are k-anonymity [2, 14, 16, 18, 11] and its variants, *l*-diversity [13] and tcloseness [12]. In all these definitions, certain nonsensitive attributes of the dataset are initially determined to be "quasi-identifiers". For instance, in a dataset of medical records, attributes such as Dateof-Birth, Gender and Zipcode would qualify as quasiidentifiers since in combination they can be used to uniquely identify 87% of the U.S. population [18]. A dataset is then said to be k-anonymous if every record in the dataset is identical to at least k-1 other records on its quasi-identifying attribute values. The idea is that privacy is achieved if every individual is hidden in a crowd of size at least k. Anonymization algorithms achieve the k-anonymity requirement by suppressing and generalizing the quasi-identifying attribute values of records. A trivial way to achieve kanonymity would be to simply suppress every single attribute value in the dataset, but this would completely destroy the utility of the dataset. Instead, in order to preserve utility, the algorithms attempt to achieve the anonymity requirement with a minimum number of suppressions and generalizations.

The kinds of datasets that we consider in this paper differ from traditional relational datasets in two ways. First, each record in our scenario essentially corresponds to a set of items. The database records could thus be of variable length and high dimensionality. Further, there is no longer a clear distinction between sensitive attributes and quasi identifiers. A user's queries are both sensitive information about the user as well as identifying of the user himself. Similarly, in the case of market-basket data, the set of items bought by an individual are sensitive information about the individual and at the same time can be used to identify the individual. Our definition of anonymity and anonymization algorithms are applicable for such set-valued data.

In [20] the authors study the problem of anonymizing market-basket data. They propose a notion of anonymity similar to k-anonymity and propose generalization algorithms to achieve the anonymity requirements. In contrast, the techniques we propose consider additions and deletions to the dataset instead of generalizations, and further, we demonstrate applicability of our algorithms to search engine query log data as well.

With regards to search engine query logs, there has been work on identifying privacy attacks both

¹Indeed the privacy attacks mentioned in this section did not involve knowledge of these other attributes.

on users [10] as well as on companies whose websites appear in query results and get clicked on [17]. We do not consider the latter kind of privacy attack in this paper. [10] considers an anonymization procedure wherein keywords in queries are replaced by secure hashes. The authors show that such a procedure is susceptible to statistical attacks on the hashed keywords, leading to privacy breaches. There has also been work on defending against privacy attacks on users in [1]. This line of work considers heuristics such as the removal of infrequent queries and develops methods to apply such techniques on the fly as new queries are posed. In contrast, we consider a static scenario wherein a search engine would like to publicly release an existing set of query logs.

3 Definitions

Let $D = \{S_1, \ldots, S_n\}$ be a dataset containing n records. Each record S_i is a set of items. Formally S_i is a non-empty subset of a universe of items, $U = \{e_1, e_2, \ldots, e_m\}$. We can then define an anonymous dataset as follows.

Definition 1 (k-Anonymity for Set-Valued Data). We say that D is k-anonymous if every record $S_i \in D$ is identical to at least k - 1 other records.

Given this definition, we can now define an optimization problem that asks for the minimum number of transformations to be made to a dataset to obtain an anonymized dataset.

Definition 2 (The k-Anonymization Problem for Set-Valued Data). Given a dataset $D = \{S_1, \ldots, S_n\}$, find the minimum number of items that need to be added to or deleted from the sets S_1, \ldots, S_n to ensure that the resulting dataset D' is k-anonymous.

We illustrate the k-anonymization problem with an example.

Example 1. Consider the dataset in Figure 1(a). The dataset in Figure 1(b) represents a 2-anonymous transformation that is obtained by making 2 additions and 1 deletion. The items e_3 and e_2 are added to records S_2 and S_3 respectively while the item e_6 is deleted from record S_4 . The resulting dataset consists of two 2-anonymous groups: $\{S_1, S_2, S_3\}$ and $\{S_4, S_5\}$.

As a more concrete example, in the case of marketbasket data, the dataset consists of records, where each record is a *basket of items purchased* by an individual. The k-anonymization problem then is to add

ID	Contents		ID	Contents
S_1	$\{e_1, e_2, e_3\}$		S_1	$\{e_1, e_2, e_3\}$
S_2	$\{e_1, e_2\}$		S_2	$\{e_1, e_2, e_3\}$
S_3	$\{e_1, e_3\}$		S_3	$\{e_1, e_2, e_3\}$
S_4	$\{e_4, e_5, e_6\}$		S_4	$\{e_4, e_5\}$
S_5	$\{e_4, e_5\}$		S_5	$\{e_4, e_5\}$
(a) Original Dataset		-	(b)	2-Anonymous
			Transf	formation

Figure 1: 2-Anonymization

or delete items to individuals' baskets so that every basket is identical to at least k - 1 other baskets.

In the case of search engine query logs, the records correspond to user sessions. Instead of treating each user session as a set of queries, we relax our problem and treat each user session as a set of query terms or keywords. See Section 5 for the details. The kanonymization problem then becomes one of adding or deleting keywords to or from user sessions to ensure that each user session becomes identical to at least k-1 other user sessions. Since no two user sessions are likely to be similar on all the queries, we consider a slightly modified problem in our experiments. Each user session is first separated into "topic-based" threads, and our goal becomes one of anonymizing these threads instead of the original sessions. The result is an increase in the utility of the released dataset at little cost to privacy. Again, Section 5 elaborates on the details.

More generally, the dataset can be thought of as a bipartite graph, with sets (user sessions/baskets/individuals) represented as nodes on the left hand side and items of the universe (keywords searched for/items purchased/movies rated) as nodes on the right hand side. The k-anonymization problem then is to add or delete edges in the bipartite graph so that every node on the left hand side is identical to at least k - 1 other nodes.

Depending on the application, it may make sense to restrict the set of permissible operations to only additions or only deletions, however in this paper we consider the most general version of the problem that permits both.

4 Approximation Algorithms

Given these definitions, we are now ready to devise algorithms for optimally achieving k-anonymity. We first draw connections between the k-anonymization problem for set-valued data and other optimization problems that have previously been studied in literature, namely, the suppression-based k-anonymization problem for relational data and the *load-balanced fa*-

ID	e_1	e_2	e_3	e_4	e_5	e_6
S_1	1	1	1	0	0	0
S_2	1	1	0	0	0	0
S_3	1	0	1	0	0	0
S_4	0	0	0	1	1	1
S_5	0	0	0	1	1	0

Figure 2: Data set from Figure 1(a) as a relational dataset

cility location problem. The reductions to these problems automatically give us the approximation algorithms we desire. In what follows we do not describe the algorithms themselves due to space constraints, rather only the reductions. The algorithms can be found in [14, 2, 16, 6, 9, 19].

A natural question that arises is whether traditional k-anonymity algorithms that involve suppressions and generalizations can be used for the kanonymization problem for set-valued data as defined in Section 1. To this end, we first translate the setvalued dataset to a traditional relational dataset.

4.0.1 Transforming D to R_D

A dataset $D = \{S_1, \ldots, S_n\}$ can be transformed to a traditional relational dataset R_D by creating a binary attribute for every item e_i in the universe and a tuple for every set S_i . Each tuple will then be a vector in $\{0,1\}^m$. The 1's correspond to items in the universe that a set contains and the 0's correspond to those that it does not². For example, the dataset from Figure 1(a) translates to the dataset in Figure 2.

The k-anonymization problem over D now translates to the following problem over R_D :

Definition 3 (k-Anonymization via Flips). Given a dataset R_D over a binary alphabet $\{0, 1\}$, flip as few 0's to 1's and 1's to 0's in R_D as possible so that every tuple is identical to at least k - 1 other tuples.

It is trivial to see that there is a one-to-one correspondence between feasible solutions for the kanonymization problem over D and the flip-based kanonymization problem over R_D .

Proposition 1. Any feasible solution, S_{flip} , to the flip-based k-anonymization problem over R_D can be converted to a feasible solution, S_{\pm} , of the same cost

for the k-anonymization problem over D and vice versa.

Proof Sketch. For every 0 that is flipped to a 1 in S_{flip} , simply add the corresponding item to the corresponding set in S_{\pm} , and for every 1 that is flipped to a 0, delete the item from the set.

Now the flip-based k-anonymization problem can be solved using suppression-based k-anonymization techniques for traditional relational datasets studied in [14, 2, 16]. The problem studied here essentially boils down to the following.

Definition 4 (k-Anonymization via Suppressions). Given a dataset R_D over a binary alphabet $\{0, 1\}$, what are the minimum number of 0's and 1's in R_D that need to be converted to *'s to ensure that every tuple is identical to at least k - 1 other tuples.

Now it is easy to see that the following holds.

Proposition 2. Any feasible solution S_* to the suppression-based k-anonymization problem can be converted to a feasible flip-based solution S_{flip} using Algorithm 1.

Alg	gorithm 1 Converting \mathcal{S}_* to \mathcal{S}_{flip}
1:	//input: R_D, \mathcal{S}_*
2:	for every k-anon group of tuples G in \mathcal{S}_* do
3:	for every column C do
4:	$//C_G = C$ values for rows in G in R_D
5:	if number of 1's in C_G > number of 0's then
6:	flip the 0's in C_G to 1's
7:	else
8:	flip the 1's in C_G to 0's
9:	end if
10:	end for
11:	end for

The algorithm essentially takes every k-anonymous group of tuples in S_* . Then for any column in the group that is suppressed (*ed out), it replaces the column for that group entirely with 1's or entirely with 0's depending on which action would involve a fewer number of flips in the original dataset R_D .

Example 2. Figure 3 shows an example of an original dataset, a 2-anonymous dataset S_* obtained via suppressions, and a flip-based 2-anonymous dataset S_{flip} obtained by applying Algorithm 1 to S_* . In both the solutions, the two 2-anonymous groups are $\{S_1, S_4, S_5\}$ and $\{S_2, S_3, S_6\}$.

Now we can show the following about Algorithm 1.

²Note that at no point do our approximation algorithms ever explicitly construct these bit vectors. Rather they operate directly on the set representations of the tuples, computing intersections of pairs of sets. The algorithms therefore scale with the maximum set size rather than m. The bit vector representations have only been used here for ease of exposition.

		II	D	e_1	e_2	e	3		
		S	1	1	1	()		
		S	2	0	0	1	L		
		S	3	1	0	1	L		
		S	4	1	0	()		
		S S S S S S	5	1	0	()		
		S	6	1	0	1	L		
		(8	a) O	rigin	al da	tase	t		
								-	
ID	e_1	e_2	e_3		Ι	D	e_1	e_2	e_3
	1	<i>e</i> ₂ *	e_3 0				e_1 1	e_2 0	e_3 0
	1	* 0 0	0 1 1				1	0	0
	1 *	* 0	$\begin{array}{c} 0 \\ 1 \end{array}$				1 1	0 0	$\begin{array}{c} 0\\ 1\end{array}$
	1 * *	* 0 0	0 1 1				1 1 1	0 0 0	0 1 1
$\begin{array}{c} {\rm ID} \\ S_1 \\ S_2 \\ S_3 \\ S_4 \\ S_5 \\ S_6 \end{array}$	1 * 1	* 0 0 *	0 1 1 0			$\frac{D}{S_1} \\ S_2 \\ S_3 \\ S_4 \\ S_5 \\ S_6$	1 1 1 1	0 0 0 0	$ \begin{array}{c} 0 \\ 1 \\ 1 \\ 0 \end{array} $
	1 * 1 1 *	* 0 0 *	0 1 1 0 0				1 1 1 1 1 1	0 0 0 0 0	$ \begin{array}{c} 0 \\ 1 \\ 1 \\ 0 \\ 0 \end{array} $

Figure 3: S_{flip} is obtained from S_* via Algorithm 1

Theorem 1. For a given dataset R_D , let the cost of a feasible solution S_* to the suppression-based kanonymization problem be within a factor α of the cost of the optimal solution. Then the cost of S_{flip} obtained by applying Algorithm 1 to S_* is within a factor of $O(k\alpha)$ of the cost of the optimal solution for the flip-based k-anonymization problem.

Proof. Let OPT_* and OPT_{flip} be the optimal solutions to the suppression-based and flip-based kanonymization problems over R_D respectively. Then it is easy to see that $Cost(OPT_*) \leq (2k - 1)$ 1)Cost (OPT_{flip}) . This is because every k-anonymous group of tuples in OPT_{flip} consists of at most 2k-1tuples. Further, this group can be converted to a kanonymous group obtained by suppressions by *ing out any column that contains a flip (essentially the reverse of Algorithm 1).

It is also easy to see that the cost of any solution S_{flip} obtained by applying Algorithm 1 to a solution S_* is less than the cost of S_* . This gives us the following set of inequalities and our desired result.

 $\operatorname{Cost}(S_{flip}) \le \operatorname{Cost}(S_*) \le \alpha \operatorname{Cost}(OPT_*) \le \alpha (2k - \alpha)$ 1)Cost(OPT_{flip})

The best possible suppression-based kanonymization algorithm thus gives us a good flip-based anonymization algorithm through the application of Algorithm 1. Since the suppressionbased algorithm from [16] has an approximation ratio of $O(\log k)$, Theorem 1 together with Proposition 1 gives us the following result.

Corollary $O(k \log k)$ -**1.** There existsan

problem for set-valued data.

The suppression algorithm from [16] essentially considers all possible partitions of the dataset into k-anonymous groups and chooses a good one using a set-cover type greedy algorithm.

The translation of D to R_D also enables the insight that the k-anonymization problem over set-valued data is essentially a clustering problem. Each set can be viewed as vector in $\{0,1\}^m$. The optimal solution to the following clustering problem then gives us an optimal solution to the k-anonymization problem for set-valued data.

Definition 5 (The *k*-Group Clustering Problem). Given a set of points in $\{0,1\}^m$, cluster the points into groups of size at least k and assign cluster centers in $\{0,1\}^m$ so that the sum of the Hamming distances of the points to their cluster centers is minimized.

The following proposition tells us that there is a one-to-one correspondence between feasible solutions to the k-group clustering problem and the kanonymization problem for set-valued data.

Proposition 3. Given a solution, S_{group} , to the k-group clustering problem over a dataset R_D , we can obtain a solution \mathcal{S}_{\pm} of the same cost to the kanonymization problem over D and vice versa.

Proof Sketch. For every cluster in S_{group} , create a k-anonymous group of the sets corresponding to the cluster points in S_{\pm} . *k*-anonymity is achieved by adding or deleting items as necessary so that every set in the group becomes identical to the set corresponding to the cluster center. The sum of the Hamming distances of points to their cluster centers in \mathcal{S}_{group} thus corresponds to the total number of additions and deletions of items to obtain the solution \mathcal{S}_{\pm} .

Given Proposition 3, we can now focus on solving the k-group clustering problem from here on. In this regard, the following result tells us that it suffices to consider potential cluster centers from amongst the data points themselves.

Theorem 2. The cost of the optimal solution to the k-group clustering problem when the cluster centers are chosen from amongst the set of data points themselves is at most twice the cost of the optimal solution to the k-group clustering problem when the cluster centers are allowed to be arbitrary points in $\{0,1\}^m$.

Proof. Let OPT be the optimal solution to the kapproximation algorithm to the k-anonymization group clustering problem when the cluster centers are allowed to be arbitrary points in $\{0,1\}^m$. Now consider a solution S_{rand} that maintains the same cluster groups as OPT, but replaces each cluster center with a randomly chosen data point from within the cluster. The expected cost of this solution is given below.

$$\mathbb{E}[\operatorname{Cost}(\mathcal{S}_{rand})] = \sum_{G \in \mathcal{G}} \sum_{C \in \mathcal{C}} \frac{2N_1^{C_G} N_0^{C_G}}{N_1^{C_G} + N_0^{C_G}}$$

Here \mathcal{G} is the set of all clusters in \mathcal{S}_{rand} (which is the same as the set of clusters in OPT). \mathcal{C} is the columns/dimensions of the dataset R_D . $N_1^{C_G}$ and $N_0^{C_G}$ are the number of 1's and number of 0's respectively that the points in a cluster G have in column C. The cost of the optimal solution on the other hand is given by

$$\operatorname{Cost}(OPT) = \sum_{G \in \mathcal{G}} \sum_{C \in \mathcal{C}} \min(N_1^{C_G}, N_0^{C_G}).$$

By simple algebraic manipulation, it is easy to see that

$$E[Cost(\mathcal{S}_{rand})] \le 2Cost(OPT)$$

Since the expected cost of S_{rand} is less than twice the cost of OPT, there must exist some clustering solution where the cluster centers are chosen from the data points themselves whose cost is less than twice the cost of OPT. This completes the proof of the theorem.

Theorem 2 considerably simplifies the clustering problem since there is now only a linear number of potential cluster centers that need be considered (as opposed to 2^m). We can now frame this modified k-group clustering problem as an integer program.

$$\begin{array}{ll} \min & \sum_{i,j} x_{ij} d_{ij} \\ \text{s.t} & x_{ij} \leq y_j & \forall \ i,j \\ & \sum_i x_{ij} \geq k y_j & \forall \ j \\ & x_{ij}, y_j \in \{0,1\} & \forall \ i,j \end{array}$$

Here y_j is an indicator variable that indicates whether or not data point S_j is chosen as a cluster center. x_{ij} is an indicator variable that indicates whether or not data point S_i is assigned to cluster center S_j and d_{ij} is the Hamming distance between data points S_i and S_j . This integer programming formulation is exactly equivalent to the load-balanced facility location problem studied in [6, 9, 19]. The cluster centers can be thought of as facilities, and the data points as demand points. The task then is to open facilities and assign demand points to opened facilities so that the sum of the distances to the facilities is minimized and every facility has at least k demand points assigned to it. The algorithms for this problem work by solving a modified instance of a regular facility location problem (without the load balancing constraints), and then grouping together facilities that have fewer than k demand points assigned to them. The result from [19] in conjunction with Theorem 2 and Proposition 3, gives us the following result.

Theorem 3. There exists an O(1)-approximation algorithm for the k-anonymization problem for setvalued data.

To reemphasize the earlier footnote, the approximation algorithms for suppression-based anonymization or load-balanced facility location never need to explicitly compute and operate on the bit vector representations of the records. They can operate directly on the set representations, computing distances between pairs of sets. Algorithm 1 need not operate on the bit-vector representations either. It can simply take every k-group of sets and add every majority item in the group to all the sets in the group, while deleting other items.

5 Experiments

We experimentally evaluated the proposed anonymization techniques³ on the AOL query log dataset. Recall (Definition 1) that in this dataset records correspond to user sessions and items correspond to the query terms/keywords. As mentioned earlier, the query log dataset also contains other attributes that we ignore for the purposes of this paper. Our goal then is to add or delete keywords from user sessions so that every session becomes identical to at least k - 1 others.

The anonymization algorithms from Section 4 cannot be directly applied to the AOL dataset for several reasons: (1) No two users in the dataset are likely to be similar on all their queries since each user session is fairly large, representing 3 months of queries. The algorithms when directly applied to the user sessions would thus result in a large number of additions and deletions. (2) The dataset consists of millions of users. The algorithms from Section 4 have a quadratic running time and therefore cannot be practically applied to such real world datasets directly. And (3) Different keywords from different users could often be misspellings of each other or derivations from

 $^{^{3}}$ The suppression algorithm from [2] followed by Algorithm 1, and the clustering algorithm from [9].

a common stem. The conditions for considering two user sessions to be "identical" thus need to be relaxed.

We describe below the steps we took to overcome these three problems.

5.1 Separating User Sessions into Threads

To deal with the issue of large user sessions, we considered a slightly modified problem definition: Each user session was first divided into smaller threads and a different random identifier was assigned to each thread. We then considered the anonymization problem over these threads as opposed to the original sessions. Each user thread was treated as a set of keywords and our goal was modified to add or delete keywords from user threads so that every user thread became identical to threads from at least k - 1 other distinct users.

One trivial way to divide sessions into threads is to treat every single query from a user as a thread of its own and assign a random identifier to it. However this would render the data nearly useless for many forms of analysis (e.g., studying patterns of query refinement). Instead "topic-based" threads were determined on the basis of the similarity of constituent queries. For this purpose we employed two simple measures to determine query similiarity:

- Edit distance: Two queries were deemed similar if the edit distance between them was less than a threshold.
- Overlapping result sets: Two queries were deemed similar if the result sets returned for each query by a search engine had a large overlap in the top 50 results.

Using these similarity measures, each user session was separated into multiple threads: Queries in a user session were considered in the order of their time stamps. A query that was similar to one seen before was assigned the same identifier as the previous query. A query that was very different from any of the previously seen queries was assigned a new identifier. This was followed by another round where consecutive threads that contained similar queries were collapsed and so on. This algorithm for determining threads was run on a random sample of $\sim 82K$ users who posed a total of $\sim 412K$ queries. The 82K user sessions were split into $\sim 165K$ threads. Each thread had on average 2.55 unique keywords.

There may of course exist more sophisticated techniques for separating sessions into topic-based threads, however this is not the focus in this paper. Note that the shift in goal from anonymizing sessions to anonymizing threads, enhances the utility of the released dataset (anonymizing entire sessions would require far too many additions and deletions), without affecting privacy too much. In fact, as we shall see in Section 5.4, the separation into threads itself helps in anonymization.

5.2 Pre-clustering User Threads

As mentioned earlier, the algorithms from Section 4 have a quadratic running time, and cannot be practically applied to our dataset of user threads. To make them more scaleable, we first performed a preliminary clustering step where we clustered similar user threads together using a simple, fast clustering algorithm, and then applied the k-anonymization algorithms from Section 4 to the threads within each cluster. If a cluster had fewer than k user threads, we simply deleted these threads altogether. Running the k-anonymization algorithms within these small clusters was much more efficient than running them directly on all the user threads at once.

To do the preliminary clustering, we used the Jaccard coefficient as a similarity measure for user threads. Recall that each thread S_i is a subset of the universe of keywords $U = \{e_1, \ldots, e_m\}$. Under the Jaccard measure, the similarity of two user threads, S_i and S_j is given by

$$\operatorname{Sim}(S_i, S_j) = \frac{|S_i \cap S_j|}{|S_i \cup S_j|}$$

A straightforward clustering algorithm would involve a comparison between every pair of user threads and would thus be very ineffcient. Instead, to quickly cluster all the user threads, we used Locality Sensitive Hashing (LSH). The LSH technique was introduced in [8] to efficiently solve the nearest-neighbour search problem. The key idea is to hash each user thread using several different hash functions, ensuring that for each function, the probability of collision is much higher for threads that are similar to each other than for those that are different. The Jaccard coefficient as a similarity measure admits an LSH scheme called Min-Hashing [5, 4].

The basic idea in the Min-Hashing scheme is to randomly permute the universe of keywords U, and for each user thread S_i , compute its hash value $MH(S_i)$ as the index of the first item under the permutation that belongs to S_i . It can be shown [5, 4] that for a random permutation the probability that two user threads have the same hash function is exactly equal to their Jaccard coefficient. Thus Min-Hashing is a probabilistic clustering algorithm, where each hash bucket corresponds to a cluster that puts together two user threads with probability proportional to their Jaccard coefficient. The LSH algorithm [8] concatenates p hash-keys for users so that the probability that any two users S_i and S_j agree on their concatenated hash-keys is equal to $\operatorname{Sim}(S_i, S_j)^p$. The concatenation of hash-keys thus creates refined clusters with high precision. Typical values for p that we tried were in the range 2-4.

Clearly generating random permutations over the universe of keywords and storing them to compute Min-Hash values is not feasible. So instead, we generated a set of p independent, random seed values, one for each Min-Hash function and mapped each user thread to a hash-value computed using the seed. This hash-value serves as a proxy for the index in the random permutation. The approximate Min-Hash values thus computed have properties similar to the ideal Min-Hash value [7]. See [7] for more details on this technique.

As a result of running the LSH-based clustering algorithm on our user threads, we otained a total of $\sim 84K$ clusters. Each cluster contained an average of 2 user threads. The largest cluster contained ~ 2800 threads and corresponded to the queries that searched for 'Google'!

Again, there may exist more sophisticated techniques for clustering similar user threads together, however this is not the focus of this paper, which is meant to be more of a proof of concept.

5.3 k-Anonymity within Clusters

Now within each cluster generated using the LSH scheme above, we ran the k-anonymization algorithms from Section 4.

Before proceeding further, we need to clarify the criterion that was used for deeming two user threads to be identical. As mentioned earlier, different user threads might contain keywords that are actually just misspellings of each other or derivations from a common stem. To deal with this issue, we once again resorted to LSH. We treated each user thread as a set of Locality Sensitive Hashes [5, 4] of its constituent keywords, i.e., a user thread $S_i = \{e_1, \ldots, e_\ell\}$ now became $S_i = \{\text{LSH}(e_1), \ldots, \text{LSH}(e_\ell)\}$ where $\text{LSH}(e_j)$ is a concatenation of Min-Hashes of the keyword e_j^4 . Two user threads were considered identical if they had the same set of hashes.

Now if a k-anonymous solution for a particular cluster deemed that a certain LSH value must be deleted from a particular user thread, we simply deleted all the keywords from the user thread that

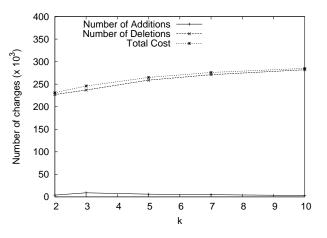


Figure 4: Cost of achieving k-anonymity

generated that LSH value. If the solution asked for a LSH value to be added to a user thread, we added to the thread one of the keywords *from its cluster* that generated the LSH value. Threads in clusters of size less than k were entirely deleted.

Figure 4 shows the total number of additions and deletions of keywords that were made for different values of k. As would be expected, as k increases, the total number of additions and deletions that need to be made to achieve k-anonymity increases. The number of additions is a small fraction of the total cost, and surprisingly goes down as k increases.

5.4 Case Study

As anecdotal evidence of the effectiveness of our algorithms in anonymizing query logs, we looked at the query logs of user 4417749 who had been previously been identified as Ms. Thelma Arnold from Lilburn, Georgia.

Figure 5(a) shows a sample of user 4417749's query logs. Misspellings have been maintained, however repeated queries have been removed. As can be seen, the user searched for some fairly generic queries such as the "effects of nicotine on the body". However she also posed several identifying queries. For instance, she queried for humane societies and animal shelters in Gwinnett county in Georgia, revealing herself to be an animal lover in Gwinnett county. Further, she queried for pine straw delivery in Lilburn, Gwinnett, thereby revealing herself to be a resident of Lilburn, Gwinnett. Finally, her queries for relatives in Oregon revealed that her last name was "Arnold".

Figure 5(b) shows the result of running our kanonymization algorithm for k = 3. Notice first that the division of Ms. Arnold's session into threads itself goes some way in anonymization by decorrelating

 $^{^4\}mathrm{Each}$ keyword can be treated as a multiset of characters

4417749 pine straw lilburn delivery 4417749 pine straw delivery in gwinnett county 4417749 pine straw in liburn ga. 4417749 atlant humane society 4417749 atlanta humane society 4417749 dekalb animal shelter 4417749 dekalb humane society 4417749 gwinnett animal shelter 4417749 doraville animal shelter 4417749 humane society 4417749 gwinnett humane society 4417749 seffects of nicotine 4417749 effects of nicotine 4417749 nicotine effects on the body 4417749 jarrett arnold 4417749 jarrett t. arnold 4417749 jarrett t. arnold eugene oregon 4417749 eugene oregon jaylene arnold 4417749 jaylene and jarrett arnold eugene or.

(a) User 4417749's Session

4417749 pine straw liburn delivery mulch
 4417749 pine straw delivery in gwinnett county
 4417749 pine straw in liburn ga.

2 4417749 atlant humane society county
2 4417749 atlanta humane society
2 4417749 dekalb animal shelter
2 4417749 dekalb humane society
2 4417749 gwinnett animal shelter
2 4417749 doraville animal shelter
2 4417749 humane society
2 4417749 gwinnett humane society
3 4417749 seffects of nicotine

3 4417749 effects of nicotine 3 4417749 nicotine effects on the body

4 4417749 jarrett arnold 4 4417749 jarrett t. arnold 4 4417749 jarrett t. arnold eugene oregon 4 4417749 eugene oregon jaylene arnold

4 4417749 jaylene and jarrett arnold eugene

(b) User 4417749's anonymized threads

Figure 5: User 4417749's Query Logs

her various query topics. The session sample was divided into a thread for pine straw delivery, a thread for animal shelters and humane societies, a thread for the effects of nicotine and a thread for the queries about relatives in Oregon. Each thread was assigned a separate identifier.

The threads were treated as sets of unique keywords (not depicted in the figure) and were then clustered with the threads of other users using LSH. The anonymization algorithms were run within the resulting clusters. If a particular keyword was to be deleted from a particular thread, we deleted every occurence of that keyword from the original queries of the thread. If a keyword was to be added to a thread, we added it to one of the original queries of the thread. The result was that some threads such as the nicotine thread were left relatively untouched. In the thread for pine straw delivery, the keywords 'lilburn', 'delivery', 'gwinnett', 'county' and 'ga.' were deleted, and the keyword 'mulch' was added instead. This is because other users in the thread's cluster, querying for 'pine straw', queried for it in conjunction with the keyword 'mulch'. Similarly, in the thread for animal shelters and humane societies, the keywords 'gwinnett' and 'doraville' were removed, while the keyword 'county' was added. Finally, the thread for the relatives in Oregon was deleted altogether because not a sufficient number of threads from other users got clustered together with it. Plenty of users queried for 'arnold schwarzenegger', however none of their threads fell in the same cluster!

This example shows that our algorithm does the intuitively right thing. Identifying keywords are removed and keywords that commonly occur in conjunction with other keywords are added to a user's threads. The guarantee is that every user thread will look like the threads of at least k - 1 other users, and this guarantee is achieved while making a close to minimal number of additions and deletions.

6 Summary and Future Work

In this paper we introduced the k-anonymization problem for set-valued data. Algorithms with approximation factors of $O(k \log k)$ and O(1) for the problem were developed. We demonstrated the efficacy of these algorithms in anonymizing the AOL query log dataset. In order to scale the algorithms to deal with the size of the dataset, we proposed a division of the dataset into clusters, followed by the application of anonymization algorithms within the clusters. An interesting avenue for future work would be to develop scaleable algorithms for such massive modern day datasets with provable approximation guarantees. Experimentally measuring the utility of the anonymized datasets is also an interesting research direction. Another important question is how such algorithms can be applied in an online fashion to anonymize datasets on the fly as records get added to them. For example, as a search engine receives new queries, how should it anonymize them in an online fashion before storing them.

7 Acknowledgements

We would like to thank Tomas Feder, Evimaria Terzi and An Zhu for many useful discussions.

References

- E. Adar. User 4XXXXX9: Anonymizing Query Logs. In Proceedings of the Workshop on Query Log Analysis: Social and Technological Challenges, 2007.
- [2] G. Aggarwal, T. Feder, K. Kenthapadi, R. Motwani, R. Panigrahy, D. Thomas, and A. Zhu. Anonymizing Tables. In *Proceedings of the International Conference on Database Theory* (*ICDT*), 2005.
- [3] M. Barbaro and T. Zeller Jr. A face is exposed for AOL searcher no. 4417749. New York Times, August 2006.
- [4] A. Broder. On the Resemblance and Containment of Documents. In Proceedings of the Compression and Complexity of Sequences (SE-QUENCES), 1997.
- [5] E. Cohen. Size-estimation Framework with Applications to Transitive Closure and Reachability. Journal of Computer and System Sciences, 55(3):441–453, 1997.
- [6] S. Guha, A. Meyerson, and K. Munagala. Hierarchical Placement and Network Design Problems. In Proceedings of the IEEE Symposium on Foundations of Computer Science (FOCS), 2000.
- [7] P. Indyk. A Small Approximately Min-wise Independent Family of Hash Functions. In Proceedings of the Annual ACM-SIAM Symposium on Discrete Algorithms(SODA), 1999.
- [8] P. Indyk and R. Motwani. Approximate Nearest Neighbors: Towards Removing the Curse of Dimensionality. In *Proceedings of the Annual ACM* Symposium on Theory of Computing (STOC), 1998.

- [9] D. Karger and M. Minkoff. Building Steiner Trees with Incomplete Global Knowledge. In Proceedings of the IEEE Symposium on Foundations of Computer Science (FOCS), 2000.
- [10] R. Kumar, J. Novak, B. Pang, and A. Tomkins. On Anonymizing Query Logs via Token-based Hashing. In *Proceedings of the International* World Wide Web Conference (WWW), 2007.
- [11] K. Lefevre. Anonymity in data publishing and distribution. Ph.d. thesis, University of Wisconsin at Madison, 2007.
- [12] N. Li, T. Li, and S. Venkatasubramanian. t-Closeness: Privacy Beyond k-Anonymity and l-Diversity. In Proceedings of the International Conference on Data Engineering (ICDE), 2007.
- [13] A. Machanavajjhala, J. Gehrke, D. Kifer, and M. Venkitasubramaniam. *l*-Diversity: Privacy Beyond k-Anonymity. In Proceedings of the International Conference on Data Engineering (ICDE), 2006.
- [14] A. Meyerson and R. Williams. On the Complexity of Optimal k-Anonymity. In Proceedings of the ACM Symposium on Principles of Database Systems (PODS), 2004.
- [15] A. Narayanan and V. Shmatikov. Robust Deanonymization of Large Sparse Datasets. In Proceedings of the IEEE Symposium on Security and Privacy, 2008.
- [16] H. Park and K. Shim. Approximate Algorithms for k-Anonymity. In Proceedings of the ACM International Conference on Management of Data (SIGMOD), 2007.
- [17] B. Poblete, M. Spiliopoulou, and R. Baeza-Yates. Website Privacy Preservation for Query Log Publishing. In Proceedings of the 1st International Workshop on Privacy, Security and Trust in KDD, 2007.
- [18] P. Samarati and L. Sweeney. Generalizing Data to Provide Anonymity when Disclosing Information. In Proceedings of the ACM Symposium on Principles of Database Systems (PODS), 1998.
- [19] Z. Svitkina. Lower-Bounded Facility Location. In Proceedings of the Annual ACM-SIAM Symposium on Discrete Algorithms(SODA), 2008.
- [20] M. Terrovitis, N. Mamoulis, and P. Kalnis. Anonymity in Unstructured Data. In Proceedings of the International Conference on Very Large Databases (VLDB), 2008.