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## Anti-cancer, anti-diabetic and other pharmacologic and biological activities of penta-galloyl-glucose

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### Abstract

1, 2, 3, 4, 6-penta-O-galloyl- $\beta$ -D-glucose (PGG) is a polyphenolic compound highly enriched in a number of medicinal herbals. Several *in vitro* and a handful of *in vivo* studies have shown that PGG exhibits multiple biological activities which implicate a great potential for PGG in the therapy and prevention of several major diseases including cancer and diabetes. Chemically and functionally, PGG appears to be distinct from its constituent gallic acid or tea polyphenols. For anti-cancer activity, three published *in vivo* preclinical cancer model studies with PGG support promising efficacy to selectively inhibit malignancy without host toxicity. Potential mechanisms include anti-angiogenesis, anti-proliferative actions through inhibition of DNA replicative synthesis and S-phase arrest and also G<sub>1</sub> arrest, induction of apoptosis, anti-inflammation and anti-oxidation. Putative molecular targets include p53, Stat3, Cox-2, VEGFR1, AP-1, SP-1, Nrf-2 and MMP-9. For anti-diabetic activity, PGG and analogues appear to improve glucose uptake. However, very little is known about the absorption, pharmacokinetics and metabolism of PGG, nor its toxicity profile. The lack of large quantity of highly pure PGG has been a bottleneck limiting *in vivo* validation of cancer preventive and therapeutic efficacies in clinically relevant models.

### Keywords

gallotannin; polyphenols; anti-cancer; anti-angiogenesis; anti-diabetes

## 1. Introduction

Medicinal herbals contain many novel natural compounds with attractive pharmacologic and physiologic activities. 1, 2, 3, 4, 6-penta-O-galloyl- $\beta$ -D-glucose (PGG) is a naturally occurring polyphenolic compound highly enriched in a number of medicinal herbals such as *Rhus chinensis* Mill and *Paeonia suffruticosa* (1-3). A number of *in vitro* and a handful of *in vivo* studies have shown that PGG exhibits multiple biological activities which implicate a great potential for PGG in the therapy and prevention of several major human diseases including cancer and diabetes. Here, we present a systematic review on these activities in the hope of stimulating research interests to test the health benefits of PGG and to build the case for its eventual bench to bedside translation.

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## 2. Tannin, gallotannin and PGG

Tannins, secondary metabolites of plants, are mostly water-soluble phenolic compounds which can give the common phenolic reactions and can precipitate alkaloids, gelatin and other proteins (4). According to their structures, tannins are categorized as hydrolysable tannins, condensed tannins or complex tannins(5). Hydrolysable tannins are the esters of 3, 4, 5-trihydroxyl benzoic acid (gallic acid). Gallic acid molecules are esterified to a core polyol, and the galloyl groups may be further esterified or oxidatively cross-linked to form more complex structures. Gallotannins, the polygalloyl esters of glucose, are the simplest hydrolysable tannins. 1, 2, 3, 4, 6-penta-O-galloyl- $\beta$ -D-glucose ( $\beta$ -PGG) is a prototypical gallotannin and the central compound in the biosynthetic pathway of hydrolysable tannin.  $\beta$ -PGG has five ester bonds formed between carboxylic groups of gallic acids and aliphatic hydroxyl groups of the glucose core (Fig 1). Most of studies have been conducted with  $\beta$ -PGG due to its natural abundance, though the  $\alpha$ -anomer (Anomers, Diastereoisomers of glycosides, hemiacetals or related cyclic forms of sugars, or related molecules differing in configuration only at C-1 of an aldose, C-2 of a 2-ketose, etc. by IUPAC Gold book) also exists naturally (6). For convenience, the terms PGG and  $\beta$ -PGG are used interchangeably in this review, unless noted otherwise.

## 3. Endogenous Metabolism of PGG in plants

As key members of an important family of plant secondary metabolites, PGG and tannins have been extensively studied in terms of their metabolism in plants. Interested readers are referred to an excellent review on this topic (7). PGG is synthesized from gallic acid and glucose by a series of strictly position-specific galloylation steps. Esterification of gallic acid and glucose to yield  $\beta$ -glucogallin (1-O-galloyl- $\beta$ -D-glucose) is the first enzyme catalyzed reaction using UDP-glucose as activated substrate. Further substitution of glucose hydroxyls is not randomly distributed in these conversions but displays an unexpected extreme specificity, thus constituting the metabolic sequence  $\beta$ -glucogallin  $\rightarrow$  1,6-digalloylglucose  $\rightarrow$  1,2,6-trigalloylglucose  $\rightarrow$  1,2,3,6-tetragalloylglucose and finally 1,2,3,4,6-pentagalloylglucose (8-10). In those reactions,  $\beta$ -glucogallin exerts a dual role, functioning not only as an acyl acceptor but also as an efficient acyl donor.

PGG is the common and immediate precursor of the two classes of hydrolyzable tannins, gallotannin and ellagitannin. Addition of further galloyl residues to PGG yields gallotannins such as hexa-, hepta- and octagalloylglucoses with their characteristic *meta*-depside groups (11,12). This process could be regarded as a continuation of the esterification reactions of the preceding steps. However, esterification of gallic acid to phenolic hydroxyls yields depsides which are considerably less stable than the core ester linkages of PGG. Several gallotannin synthesizing  $\beta$ -glucogallin-dependent galloyltransferases (EC 2.3.1.-) with different substrate specificities have been identified. Intra or inter-molecular oxidative crosslinking between galloyl groups leads to the production of ellagitannins. Different oxidoreductases catalyze the intra- or inter-molecular oxidative reactions, respectively(13,14) (Fig. 2).

## 4. Natural source of PGG and its preparation

### 4.1 Natural source

PGG is predominantly found in plants as the core structure of the higher galloyl glucoses, which comprise the commercial preparation known as tannic acid. The amount of free PGG varies among different plant species, but is present at sufficient levels to allow direct isolation from a number of Oriental herbs and other plants such as *Rhus chinensis* Mill(2), *Paeonia suffruticosa*(1), *Paeonia lactiflora*(15), *Schinus terebinthifolius*(16), *Acer truncatum* Bunge (17) and *Terminalia chebula*(18) by solvent extraction, liquid-liquid partition and chromatography separation. A recent review has summarized the distribution of PGG in 70

kinds of plants with both the scientific and popular names listed (3). The yield of PGG isolation from plants is variable (19). This has been a major bottleneck limiting the establishment of *in vivo* preventive or treatment efficacy of PGG against cancer or other diseases.

#### 4.2 Preparation of PGG by methanolysis of tannic acid

The higher galloyl esters are abundant in the galls of many plants, and are commercially available as tannic acids. Tannic acids from various sources differ significantly in composition, with some preparations containing mainly tetragalloyl glucose and lower esters, and other preparations predominantly PGG and higher esters(20). Furthermore, the core polyol may be either glucose or in some cases other alcohols such as quinic acid. Hagerman and associates have prepared PGG by methanolysis of tannic acid (21) (Fig. 3). It is essential to confirm that the starting material has the correct core structure to yield the desired final product. In tannic acids, the depside bonds between galloyl groups are less stable than the aliphatic ester bonds between galloyl groups and glucose core, so mild methanolysis of tannic acids in weak acid (usually acetate buffer at pH 5.0) and methanol generates PGG and methyl gallate. Hydrolysis with strong inorganic acid leads to oxidative decomposition of the hydrolysis products. A scaled-up protocol has been refined to allow the reproducible isolation of multi-gram quantity of high purity crystalline PGG (>98%) from 100-g batches of tannic acid with a yield of 15% (A. Shaik, C. Xing and J. Lu, unpublished data).

#### 4.3 Chemical synthesis of PGG and radio-labeled PGG

PGG can be chemically synthesized through Steglich esterification of glucose with gallic acids. Tri-*O*-benzylgallic acid, the protected form of gallic acid, was used in the esterification reaction and then de-protected to PGG (22). Epimerization at C-1 of the glucose ring occurs during the reaction, and the anomers ( $\alpha$  and  $\beta$ ) can be separated *via* normal phase chromatography. Recently, Binkley *et al* developed an anomeric-selective synthesis scheme for PGG using anomeric pure glucose as starting material (23). Hagerman group reported the synthesis of radio-labeled PGG from  $^{14}\text{C}$ -glucose (24), which has been used to study the bioavailability of PGG in a rodent model and in a Caco-2 cell culture model (25) (26).

### 5. Chemistry characteristics

The structure of PGG has been confirmed by UV, IR, MS,  $^1\text{H-NMR}$  and  $^{13}\text{C-NMR}$ (6,22). It is worthy noting that the measured octanol/water partition ratio of PGG of 129 is much higher than that of many other polyphenol compounds such as gallic acid (7.76), green tea polyphenols epigallocatechin-3-gallate (EGCG) (12.1), epigallocatechin (EGC) (0.281) and hexagalloylglucose (1.51) (27). The data suggest that PGG is more hydrophobic than other polyphenolic compounds, probably due to the relative symmetric structure of PGG. Whether formation of intra-molecular hydrogen bonds or inter-molecular stacking are involved in accounting for this unexpected higher hydrophobicity should be examined. This unique characteristic may affect stability and *in vivo* bioavailability of PGG.

An interesting and unique distinction from other polyphenolic compounds is the lack of pro-oxidant activity of PGG in aqueous milieu (28). Some phenolic compounds such as gallic acid and EGCG are pro-oxidants through Fenton reaction in aqueous solution, leading to a rapid generation of measurable hydrogen peroxide within minutes (29,30). Because PGG is a glucose ester of five gallic acid molecules, our group has compared the production of hydrogen peroxide in the cell-free culture medium by PGG with that of gallic acid in equal molar concentration of phenol groups (28). The results showed that gallic acid induced a significant production of hydrogen peroxide, peaking at 1 h, which was quenched by catalase added to the cell culture medium. PGG did not induce cell-free hydrogen peroxide production throughout 24 h. These

data support PGG as a distinct entity from gallic acid and possibly other tea polyphenolics, in terms of possible tumor suppression effect or other activities.

Tannins have the common characteristics of chelating metal ions, binding and/or precipitating proteins and quenching reactive oxygen species. Depending upon the concentration and target cells/organs examined, PGG exerts varied biological activities through multiple “mechanisms”. Some activities are shared with other tannins or polyphenols whereas others are unique to PGG itself due to its chemical structure.

## 6. Anti-cancer activities and mechanisms

PGG has been shown to exhibit *in vivo* anti-cancer effects against prostate cancer (28,31), lung cancer (2), sarcoma (32) and breast cancer (unpublished data, HJ Lee, Lu and Kim). *In vitro* inhibitory effects on the growth and/or invasion of breast cancer, leukemia, melanoma and liver cancer have been reported (See details next). Mechanistic studies using cell culture indicated the involvement of pro-apoptosis, anti-proliferation, anti-angiogenesis, anti-metastasis, inhibition of P-glycoprotein, as well as other organ-specific pathways. However, whether the *in vivo* anti-cancer activities of PGG are mediated by these direct actions or through PGG metabolites or other indirect mechanisms remain unclear.

### 6.1 Prostate cancer

Collaborative work by authors Kim and Lu has recently shown the induction of G<sub>1</sub>- and S-phase arrests and caspase-mediated apoptosis in the human LNCaP cells (wild-type p53, androgen-dependent), and in DU145 cells (p53-mutant, androgen-independent) in concentration-dependent manner for up to 75 μM PGG (28). In LNCaP cells, caspase-mediated apoptosis induced by PGG was mediated mainly by p53 activation. Intracellular reactive oxygen species production induced by PGG was found to be crucial for these molecular and cellular actions. In DU145 cells, which harbor constitutively active signal transducer and activator of transcription 3 (STAT3), caspase-mediated apoptosis induction by PGG was associated with an inhibition of STAT3 Tyr<sup>705</sup> phosphorylation and the down-regulation of STAT3 transcriptional targets Bcl-XL and Mcl-1. Overexpression of Bcl-XL or knockdown of its binding partner Bak attenuated the apoptosis induction. Furthermore, we showed, for the first time, *in vivo* anti-cancer activity of PGG. Daily *i.p.* injection of 20 mg/kg PGG significantly suppressed the growth of DU145 xenograft in an athymic nude mouse model. This suppression was associated with decreased pSTAT3. The data strongly suggest PGG as a multi-targeting agent for chemoprevention and therapy of prostate cancer.

In a more recent publication, PGG was shown to suppresses the *in vivo* invasion and xenograft growth in nude mice with intra-tibially injected PC-3 human prostate cancer cells (31). At a dose of 25 mg per kg body weight, three times per week for 28 days, intraperitoneal injection of PGG suppressed PC-3 tumor weight from 4.2 g to 1 g. The authors also studied related mechanisms, focusing on epidermal growth factor (EGF), which can be generated from bone tissue to stimulate matrix metalloproteinase (MMP) secretions from metastatic prostate cancer cells. PGG inhibited the EGF-induced cell invasiveness and MMP-9 expression in a dose- and time-dependent manner by reducing the MMP-9 transcriptional activity. Their results showed that PGG suppressed the EGF-induced NF-κB nuclear translocation and also abrogated the EGF-induced activation of c-jun N-terminal kinase (JNK), an upstream modulator of NF-κB. Moreover, they showed that PGG reduced EGFR expression through the proteasomal pathway. Their *in vitro* and *in vivo* results suggest that PGG may be a therapeutic drug candidate for the treatment of advanced metastatic prostate cancer.

Earlier, Lee *et al* reported the inhibitory effect of PGG on androgen receptor (AR) signaling pathway in human prostate cancer LNCaP cells (33). Androgens play a critical role in

regulating the growth, differentiation and survival of epithelial cells in androgen-responsive organs such as prostate. They found that PGG significantly reduced the growth of androgen-responsive LNCaP prostate cancer cells, suppressed the expression of the AR and lowered androgen induced prostate-specific antigen secretion and fatty acid synthase protein level. Additional studies indicated that PGG was a potent inhibitor of rat liver microsomal 5 $\alpha$ -reductase (EC 1.3.99.5), which catalyzes the conversion of testosterone (T) to a more active androgen dihydrotestosterone (DHT) with an IC<sub>50</sub> at 7.8 $\mu$ M. Kinetic studies showed that PGG was a competitive inhibitor for NADPH while a non-competitive inhibitor for testosterone. The mode of inhibition suggested that PGG may inhibit 5 $\alpha$ -reductase by competing with NADPH binding site. However, a closer examination of the dose-response patterns for PGG and PSA suppression did not dissociate such an effect from PGG-induced apoptosis in the LNCaP cell model (28). The *in vivo* impact of PGG on PSA expression and AR signaling should be examined and verified.

The cell cycle effects of PGG in PCa cells have recently been evaluated by Lu and Kim groups (28,34). The data show that treatment with sub-apoptotic doses of PGG induced S-arrest, whereas apoptotic doses of PGG induced not only S-arrest but also G<sub>1</sub> arrest. Irrespective of the p53 functional status of the PCa cell lines, PGG exerted a rapid (within 2 h) and potent inhibition (IC<sub>50</sub> ~ 6  $\mu$ M) of BrdU incorporation into S phase cells. In isolated nuclei, PGG inhibited DNA replicative synthesis with superior efficacy than a known DNA polymerase-alpha inhibitor, aphidocolin. In addition to the S-arrest action, we have found a close association of down regulation of cyclin D1 with G<sub>1</sub> arrest induced by PGG exposure in the pro-apoptotic range. Over-expressing this G<sub>1</sub> cyclin abolished G<sub>1</sub> arrest, but hastened the S-arrest induction by PGG. Together, our data indicate that PGG induced PCa S-arrest probably through DNA replicative blockage and induced G<sub>1</sub>-arrest via cyclin D1 down regulation to contribute to anti-cancer activity. The G<sub>1</sub> and S arrest actions and the inhibition of DNA replication by PGG are also observed in breast cancer cell lines (Y. Chai and Lu, unpublished data). The data raise the hypothesis that PGG may be a novel inhibitor of DNA polymerases. Overall, the data further supported the potential role of PGG as a novel therapeutic or chemo-preventive agent for prostate cancer, but these effects need to be validated *in vivo*.

## 6.2 Lung cancer

Co-author Kim's group reported that *i.p.* injected PGG at once daily dosages of 4 and 20 mg/kg significantly inhibited the growth of the highly angiogenesis-dependent Lewis Lung Cancer (LLC) allograft by 57 and 91%, respectively (2). Immunohistochemistry staining revealed decreased microvessel density, suppressed expression of cyclooxygenase-2 (COX-2) and vascular endothelial growth factor (VEGF). Reduced tumor cell proliferation and increased tumor cell apoptosis were associated with tumor suppression. *In vitro*, non-cytotoxic concentrations of PGG effectively inhibited the proliferation and tube formation in basic fibroblast growth factor (bFGF)-treated human umbilical vein endothelial cells (HUVECs), attenuated the expression of COX-2 and VEGF and reduced the secretion of VEGF and prostaglandin E<sub>2</sub>. PGG also disrupted the bFGF-induced neovascularization in the chick chorioallantoic membrane (CAM) model and in Matrigel plugs in the mice. These data strongly suggest anti-angiogenesis to play an important role in LLC inhibition by PGG and perhaps for cancers of other organ sites.

## 6.3 Breast cancer

The *in vitro* inhibitory effect of PGG on breast cancer cells was investigated by Chen *et al* (35). Growth of MCF-7 cells was decreased by 30 and 50 $\mu$ M PGG with a strong induction of G<sub>1</sub> arrest. The levels of several G<sub>1</sub> phase related cyclins and cyclin-dependent kinases did not change in these cells during a 24-hr treatment of PGG. However, the activity of cyclin E/CDK2 was decreased in a concentration- and time-dependent manner and the activity of cyclin D/



CDK4 was inhibited when serum starvation synchronized cells were released from synchronization. In the mean time, PGG gradually increased the levels of p27 and p21, which were inhibitors of cyclin/CDK complexes in G<sub>1</sub>-phase.

In another study, Hua *et al* investigated the effect of PGG on estrogen receptor (ER) signaling pathway in the same model (36). They found PGG significantly suppressed the phosphorylation and protein level of ER $\alpha$ . Co-treatment with proteasome or lysosome inhibitors indicated that PGG depleted ER $\alpha$  through a lysosomal-dependent degradation mechanism. Down-regulation of ErbB family receptors such as epidermal growth factor receptor (EGFR), ErbB2 and ErbB3, as well as the estrogen-activated cyclin D1, were also observed. Those findings highlighted the potential role of PGG in the chemoprevention and treatment of breast cancer, especially ER positive breast cancers.

Recently accomplished studies with MDA-MB231 xenograft in nude mice indicated a remarkable *in vivo* efficacy of orally administered PGG (10 or 20 mg/kg) on the growth of this very aggressive triple negative (ER-, PR- and HER2-) breast cancer (HJ Lee, Lu and Kim, unpublished data). In comparison with paclitaxel, daily PGG gavage at 10 mg/kg was more efficacious at suppressing xenograft growth than weekly intraperitoneal injection of 10 mg/kg of this potent chemotherapy drug.

#### 6.4 Leukemia

Pan *et al* reported that PGG induced caspase-mediated apoptosis in human promyelocytic leukemia HL-60 cells at a concentration range of 20 to 100 $\mu$ M (37). DNA fragmentation, PARP cleavage and activation of caspase-3 but not caspase-1 were observed. They also found that treatment with 50 $\mu$ M PGG caused a rapid loss of mitochondrial transmembrane potential, release of mitochondrial cytochrome c into cytosol, and subsequent induction of procaspase-9 processing. In human Jurkat T cells, PGG induced both G<sub>1</sub> arrest and apoptosis. G<sub>1</sub> arrest was accompanied by the induction of p21 and p27. Mechanistic studies indicated that turnover of those proteins was disrupted by PGG due to proteasome inhibition. This was further supported by a direct inhibition of 20 and 26S proteasome activity by PGG in the test tube (38). *In vivo* efficacy of PGG against leukemia has not been published to date.

#### 6.5 Melanoma

Cancer metastasis is the major cause of mortality and cancer pain. Key molecules in the regulation of metastasis and invasion are matrix metalloproteinases (MMPs). Ho *et al* reported that up to 25 $\mu$ M PGG inhibited the invasion of highly metastatic mouse melanoma B16F10 cells *in vitro*(39). PGG treatment diminished the activity of MMP-9 more than that of MMP-2 at both protein and mRNA levels. Gel shift experiments showed that the transcription factor binding activities of activator protein-1 (AP-1) and Sp-1 sites were down-regulated by PGG in the concentration range of 5–15  $\mu$ M, but the binding activities of nuclear factor  $\kappa$ B (NF- $\kappa$ B), poliovirus enhancer activator 3 (PEA-3) and activator protein-2 (AP-2) were not significantly affected. A suppressing effect of PGG on MMP-9 promoter was also directly validated in a luciferase reporter system. The *in vivo* anti-metastatic efficacy of PGG against melanoma or other cancers needs to be demonstrated.

#### 6.6 Liver cancer

Oh *et al* isolated PGG from the root of *Paeonia suffruticosa* ANDREWS and tested its *in vitro* effect on human hepatocellular carcinoma SK-HEP-1 cells (1). Up to 50 $\mu$ M PGG inhibited the growth of SK-HEP-1 cells in a dose-dependent fashion and 30 $\mu$ M PGG significantly induced G<sub>1</sub> arrest. Gel shift experiments showed that 1h treatment with 30 $\mu$ M PGG inhibited not only basal but also TPA induced activation of NF- $\kappa$ B. However, neither apoptosis nor necrosis was observed in the cells treated with PGG. Their data suggested that

PGG at the concentration studied was a cyto-static rather than cyto-toxic anticancer reagent in liver cells. *In vivo* efficacy has yet to be established.

### 6.7 Sarcoma

Miyamoto *et al* screened anti-tumor activity of sixty-three tannins and polyphenols on S180 sarcoma model in syngeneic mice (32). The chemicals were *i.p.* injected only once at 4 days before  $10^5$  tumor cells were *i.p.* inoculated. They found that 10 mg/kg PGG increased the life span of tumor bearing mice by 81.9%. Possible mechanism may be a potentiation of the immunity of the host animals. However, it remains to be determined whether PGG or metabolites may persist in the *i.p.* cavity to exert a direct effect on the tumor cells since the same route was used for drug delivery and tumor inoculation.

### 6.8 Anti-angiogenesis

Tumor angiogenesis is well accepted to be critical in the growth and metastasis of tumors. VEGF is one of the most important angiogenic factors mediating tumor-induced neovascularization. VEGF exerts its activities through binding to its receptor tyrosine kinase, KDR/Flk-1, expressed on the surface of endothelial cells. Lee *et al* showed that PGG strongly inhibited the binding of VEGF to KDR/Flk-1 and blocked VEGF-induced HUVEC proliferation and the growth of immortalized human microvascular endothelial HMEC-1 cells (40). *In vitro* functional study also showed that PGG blocked VEGF-induced capillary-like tube formation of endothelial cell on Matrigel. The involvement of anti-angiogenesis in the anti-cancer activities of PGG was further demonstrated by co-author Kim's group in the LLC model as described in section 5.2 (2). Whether PGG is indicated for *in vivo* treatment of other angiogenesis-related diseases needs further research.

### 6.9 P-glycoprotein inhibition

Drug resistance is one of the major challenges in cancer chemotherapy. Over-expression of P-glycoprotein (P-gp), a membrane protein which extrudes therapeutic drugs out of cancer cells, plays an important role in drug resistance. Kitagawa *et al* studied the effect of tannic acid and PGG on the function of P-gp in the multi-drug resistant oral cancer KB-C2 cells (41). They found both compounds dramatically increased the intra-cellular levels of P-gp drug substrates. Further study indicated that inhibition of ATPase activity of P-gp by PGG was associated with the functional inhibition. However, they did not provide any kinetic studies of ATPase inhibition mechanics. Their data suggested that PGG may reverse drug resistance in cancer chemotherapy via P-glycoprotein inhibition. The *in vivo* efficacy needs to be established for PGG as a combination modality to enhance drug efficacy and reverse drug resistance.

In summary, PGG has been demonstrated to have promising *in vitro* inhibitory effects against cancer cells from different organs through multiple mechanisms. However, its *in vivo* efficacy has been established in a very limited number of organ sites, including Lewis Lung cancer allograft and prostate and breast cancer xenografts. More clinically relevant *in vivo* therapeutic and chemo-preventive studies of PGG against prostate and breast cancer are ongoing in the authors' labs.

## 7. Insulin-mimicking and anti-adipogenesis activities

Liu *et al* first reported that tannic acid stimulated glucose transport and inhibited adipogenesis in 3T3-L1 cells (42). Later on, Li *et al* showed that both  $\beta$ -PGG and its anomer  $\alpha$ -PGG possessed insulin-mimicking activity in the absence of insulin, and that  $\alpha$ -PGG was more potent than  $\beta$ -PGG (43).  $\alpha$ -PGG itself stimulated glucose uptake in 3T3-L1 adipocytes. However,  $\alpha$ -PGG weakened the activity of insulin if treated together. Mechanistic studies indicated that the insulin receptor (IR) was involved in the insulin-mimicking activity of  $\alpha$ -PGG.  $\alpha$ -PGG induced

phosphorylation of the IR, PI 3-kinase and AKT and stimulated membrane translocation of GLUT4. Receptor binding studies indicate that  $\alpha$ -PGG bound to the IR in a competitive manner. Treatment with cross-linking reagent Sulfo-SANPAH resulted in an up-shift of IR  $\alpha$ -subunit band detected by Western blot. Such data supported the direct binding between IR and  $\alpha$ -PGG. *In vivo* studies demonstrated that  $\alpha$ -PGG reduced resting blood glucose levels and improved glucose tolerance in diabetic and obese mice. Their results suggest that PGGs may serve as lead compounds for the development of new types of therapeutics for diabetes and metabolic syndrome.

## 8. Other biological activities

### 8.1 Anti-oxidative and anti-mutagenic activities

**8.1.1 Direct anti-oxidative effect**—Tannins are good direct antioxidants. Even the tannin-protein complex can act as radical scavengers and radical sinks (44). The anti-oxidant potency of PGG has been evaluated in several models (45). PGG showed an EC<sub>50</sub> of scavenging 1, 1-diphenyl-2-picrylhydrazil (DPPH) free radical at about 1 $\mu$ g/mL (1.1  $\mu$ M) in test tubes, which was more potent than vitamin E. In another cell based model, lipid peroxidation was induced by hydrogen peroxide in human leukemia K562 cells. Pretreatment with PGG or gallic acid significantly inhibited lipid peroxidation. It was also very interesting to note that a concentration of 100 $\mu$ g/mL (*i.e.* 106  $\mu$ M) PGG was more effective than higher concentrations of 200 and 400 $\mu$ g/mL (*i.e.* 212 and 424  $\mu$ M). Whether the higher levels produce a pro-oxidant action as do some other anti-oxidants remains a possibility.

Okubo *et al* reported that 10  $\mu$ M PGG significantly scavenged the superoxide and hydroxyl radical generated by phenylhydroquinone (PHQ) and *tert*-butylhydroquinone (TBHQ) in test tubes. Much higher levels 100 to 1000  $\mu$ M PGG almost totally inhibited PHQ and TBHQ induced oxidative cleavage of DNA (pUC18 plasmid) (46). In this system, PGG was more potent than other polyphenolic compounds such as paeonol, catechin and galloylpaeoniflorin. Park *et al* reported that pretreatment with 3 to 50 $\mu$ M PGG significantly protected primary rat hepatocytes from necrosis/apoptosis induced by *tert*-butyl hydroperoxide (tBH) (47). Since oxidative stress is one of the mechanisms of the toxicity of tBH, anti-oxidative effect of PGG may be involved in the hepato-protection.

**8.1.2 Anti-mutagenic activity**—Okuda *et al* reported that PGG exerted remarkably strong inhibition of mutagenicity of 3-hydroxyamino-1-methyl-5H-pyrido[4,3-b]indole on *Salmonella typhimurium* in the absence of S9 mix. On equal weight base, PGG was more potent than gallic acid, ellagic acid, ECG and EGCG(48). In another study, the SOS chromotest was used to test the anti-mutagenic effect of PGG on aflatoxin B1 (AFB1: indirect acting mutagen and a known liver carcinogen) or nifuroxazide- (direct acting mutagen) induced genotoxicity. Mutagenicity induced by either of these compounds could be totally blocked by PGG. Interestingly, in the case of nifuroxazide, gallic acid was more effective than PGG on equal weight basis (45). *In vivo* mutagenicity test in models such as Big Blue rats should be more relevant and informative and needs to be carried out.

**8.1.3 PGG as an indirect antioxidant**—Some antioxidants can exert their function indirectly (49), *i.e.* induction of cyto-protective proteins such as Glutathione *S*-transferase (GST), NAD(P)H dehydrogenase quinone (NQO) and Heme oxygenase-1 (HO). Besides directly scavenging free radicals, PGG can also act as an indirect antioxidant. Choi *et al* examined the effect of PGG on the expression of neuronal heme oxygenase-1 (HO-1), an inducible stress protein that degrades heme to generate the anti-oxidant, biliverdin (50). Exposure of Neuro 2A cells to PGG (10–50  $\mu$ M) resulted in a concentration- and time-dependent induction of HO-1 mRNA and protein expressions and heme oxygenase activity. Interestingly, pretreatment of the neuronal cells with PGG resulted in enhanced cellular



resistance to hydrogen peroxide. This cyto-protective effect was reversed by zinc protoporphyrin IX, an inhibitor of HO-1. This study showed that PGG could protect neuronal cells from oxidative stress *via* the induction of HO-1.

Pae *et al* studied the hepato-protective effect of PGG in HepG2 cells treated with tBH and the role of cyto-protective proteins in this process (51). They also found that 5-20 $\mu$ M PGG up-regulated HO-1 expression without causing obvious toxicity to cells. Pretreatment with PGG significantly protected cells against oxidative injury induced by tBH. Knockdown of HO-1 expression by siRNA abolished the protective effect of PGG whereas over-expression of HO-1 itself made the cells more resistant to tBH. Thus, induction of HO-1 expression could play an important role in the hepato-protective activity of PGG. Mechanistic studies indicated that nuclear translocation of Nrf2, an important upstream transcription factor of HO-1 expression, was stimulated after 30min treatment by 20  $\mu$ M PGG. Nrf2 siRNA treatment not only reduced intracellular HO-1 level but also attenuated the cyto-protective effect of PGG. Further data showed that phosphorylation of ERK-1/2 was induced by PGG; co-treatment with 10  $\mu$ M ERK inhibitor U0123 abolished all the activities of PGG on HO-1 expression, Nrf-2 nuclear translocation and cyto-protection. Taken together, those data indicated that PGG up-regulated HO-1 expression by stimulating Nrf2 nuclear translocation in an ERK-dependent fashion.

#### **8.1.4 PGG can reduce intracellular oxidative stress induced by carcinogen—**

Bhimani *et al* studied the effect of tamoxifen and several natural products on intracellular hydrogen peroxide and DNA adduct levels in HeLa cells treated with 12-*O*-tetradecanoylphorbol-13-acetate (TPA). They found that PGG could significantly reduce intracellular hydrogen peroxide level and reduce the formation of DNA adducts 8-hydroxyl-2'-deoxyguanosine (8-OHdG) and 5-hydroxymethyl-2'-deoxyuridine (HMdU) with IC<sub>50</sub> at 5, 1 and 1 $\mu$ M, respectively. In this system, the potency of PGG was comparable with tamoxifen. These data further suggest that PGG could be an effective cancer chemo-preventive agent through inhibition of DNA mutagenesis(52).

## **8.2 Inflammation related activities**

### **8.2.1 Induction of the secretion of TNF $\alpha$ and IL-1 $\beta$ from peripheral blood mononuclear cells—**

As mentioned in section 5.1.7, PGG given once by injection 4 days prior to tumor inoculation inhibited the *in vivo* growth of S-180 sarcoma. Other polyphenolic compounds were also tested and showed varying activities. Feldman *et al* hypothesized that the induction of endogenous cytokines may contribute to the anti-cancer activity of those compounds (53). They treated human peripheral blood mononuclear cells (PBMCs) with PGG and other polyphenols and measured the secreted TNF $\alpha$  and IL-1 $\beta$  levels, with LPS as positive control. Both TNF $\alpha$  and IL-1 $\beta$  secretion were significantly stimulated by PGG with a stronger effect on IL-1 $\beta$ . The potency of stimulating TNF $\alpha$  secretion by PGG and other polyphenols correlated well with their *in vivo* anti-cancer activity on S-180. Their data suggest that induction of endogenous cytokines may be one of the mechanisms of the anti-cancer activity of PGG and other polyphenols.

**8.2.2 Anti-inflammation activity—**Though PGG itself stimulated the secretion of TNF $\alpha$  and IL-1 $\beta$ , which are pro-inflammatory cytokines, it attenuated the stimulating effect of LPS when treated together. This effect was tested in both *in vitro* and *in vivo* models (54). PBMCs harvested from three healthy human subjects were stimulated with 5 $\mu$ g/mL LPS in the absence or presence of PGG. There was a pronounced suppression of LPS-stimulated TNF $\alpha$  release by PGG. Maximum inhibition of TNF $\alpha$  output was achieved at 5  $\mu$ M of PGG. In an *in vivo* model, LPS and PGG were administrated intravenously to chronically catheterized, conscious, unrestrained rats (250 g each). A bolus of PGG (10 mg) was administered to rats, followed by continuous infusion of 7 mg over 90 min. All animals were treated with 0.25 mg of LPS at 10

min after the initial bolus PGG treatment. Blood samples were collected at 90 min for cytokines assay. Pre-treatment with PGG significantly reduced serum levels of TNF $\alpha$  but not IL-1 $\beta$  compared to LPS alone. This may be due to the stronger IL-1 $\beta$  stimulating effect of PGG itself. Recently, Wu *et al* also reported that PGG inhibited the secretion of TNF $\alpha$  and IL-6 by IL-1 $\beta$  treated rat synoviocytes (55).

In addition, PGG has been shown to protect mice from a lethal challenge by LPS (56). In order to look for an alternative therapeutic strategy for sepsis, they screened lipid A binding components from the aqueous extracts of 42 traditional Chinese herbs by an affinity biosensor technology. They identified PGG as a lipid A binding molecule with a *K<sub>d</sub>* of 32 $\mu$ M. *In vitro*, PGG inhibited LPS induced *Limulus* Amebocyte Lysate (LAL) reaction and significantly repressed TNF $\alpha$  and IL-6 secretion by PMBCs stimulated with 100ng/mL LPS. *In vivo*, mice challenged with 20 mg/kg LPS by *i.v.* injection all died within 24hrs, but pretreatment with 40 and 80 mg/kg PGG by *i.v.* injection resulted in 7-days survival rates of 45 and 70%, respectively. Accordingly, serum endotoxin and TNF $\alpha$  levels of endotoxemic animals were significantly reduced by PGG.

More experiments in different models supported the anti-inflammation effect of PGG. Oh *et al* reported that PGG inhibited IL-8 mRNA expression and secretion in human monocytic U937 cells stimulated with phorbol 12-myristate 13-acetate (PMA) or TNF $\alpha$  (57). Lee *et al* showed that 10  $\mu$ g/mL (10.6 $\mu$ M) PGG strongly suppressed PMA and calcium ionophore A23187 induced expression of TNF $\alpha$ , IL-1 $\beta$  and IL-6 in human mast cells at both mRNA and proteins level (58). In macrophages RAW 264.7 cells stimulated by LPS, PGG significantly inhibited the COX-2 and inducible nitric oxide synthase (iNOS) activity, as well as NO production (59). Kang *et al* reported that incubation of human umbilical vein endothelial cells (HUVECs) with 0.1-10 $\mu$ M PGG increased the production of cGMP in a dose-dependent manner. Moreover, PGG suppressed the expression levels of adhesion molecules including intracellular cell adhesion molecule-1 (ICAM-1) and vascular cell adhesion molecule-1 (VCAM-1) induced by TNF- $\alpha$ . TNF- $\alpha$ -induced monocyte chemoattractant protein-1 (MCP-1) expression was also attenuated by PGG. PGG treatment also inhibited cellular adhesion of U937 cells onto human umbilical vein endothelial cells induced by TNF- $\alpha$  (60). Those data strongly suggest the potential for PGG to suppress the vascular inflammatory process and may be useful as an anti-arteriosclerosis agent.

**8.2.3 Mechanisms of anti-inflammation effect of PGG**—Mechanistic studies showed that inhibition of nuclear factor- $\kappa$ B (NF- $\kappa$ B) played an important role in the anti-inflammation activity of PGG. Pan *et al* reported that PGG inhibited I $\kappa$ B kinase (IKK) activity in activated macrophages RAW 264.7 cells activated by LPS (61). Furthermore, PGG blocked phosphorylation of I $\kappa$ B from the cytosolic fraction, inhibited nuclear factor- $\kappa$ B (NF $\kappa$ B) activity, and inhibited increases in inducible nitric oxide synthase (NOS) levels in activated macrophages. These results suggest that PGG may exert its anti-inflammatory actions by suppressing the activation of NF- $\kappa$ B via inhibition of IKK activity. Data by Oh *et al* also indicated that inhibition of NF- $\kappa$ B was involved in PGG inhibited IL-8 expression in U937 cells stimulated with PMA (57). Yokozawa *et al* reported that treatment of BALB/c mice peritoneal macrophages with 50 $\mu$ g/mL (*i.e.* 53 $\mu$ M) of PGG substantially inhibited LPS-induced intracellular iNOS and NADPH-diaphorase activities and NO release. The cytotoxicity of LPS was also attenuated (62).

### 8.3 Anti-allergy activity

Cavalher-Machado *et al* isolated PGG from the ethyl acetate fraction of *Schinus terebinthifolius* and tested its anti-allergic effect (16). PGG (100  $\mu$ g/mL, *i.e.* 106 $\mu$ M) inhibited by 50-80% of histamine secretion in rat peritoneal mast cells challenged *in vitro* with allergen.

*In vivo*, oral pre-treatment with the crude extract (100 mg/kg) significantly inhibited paw edema induced by compound 48/80 (100 ng/paw). This effect was related to the inhibition of CCL11/eotaxin and CCL5/RANTES in pleural lavage fluid. Possible mechanisms include an inhibition of pro-inflammatory mechanisms triggered *via* histamine and direct action on mast cell membrane.

#### 8.4 Hypo-cholesterolemic effect

Park *et al* reported that PGG isolated from *Paeonia moutan* significantly inhibited rat microsomal squalene synthase activity with IC<sub>50</sub> around 1 μM (63). Squalene synthase is a key enzyme in cholesterol synthesis. The *in vitro* cholesterol biosynthesis from [1-<sup>14</sup>C] acetate was decreased by PGG. They further tested the hypocholesterolemic effect of PGG *in vivo*. Oral administration of 100 mg PGG/kg per day to hamster for 10 days significantly decreased serum total cholesterol level by 22.9% but did not affect total triglyceride and lipoprotein levels. When intraperitoneally administrated, 10 mg PGG/kg per day for only 3 days significantly lowered both serum total cholesterol and triglyceride levels. The authors did not mention effect on body weight or other health parameters. The results supported PGG as a potential hypocholesterolemic agent and suggested limited oral bioavailability of PGG.

#### 8.5 Inhibition of acid secretion

Ono *et al* purified PGG from *Paeoniae radix*, which has been used for the treatment of gastritis and peptic ulcers in traditional Chinese medicine, and showed a strong inhibitory effect on H<sup>+</sup>, K<sup>+</sup>-ATPase with IC<sub>50</sub> of 0.166 μM (64). Kinetic study indicated that the inhibition was noncompetitive with respect to K<sup>+</sup>. Functional studies showed that PGG inhibited the accumulation of [<sup>14</sup>C]aminopyrine in guinea pig stomach parietal cells stimulated by histamine or dbc-AMP. Their results suggested that PGG could be responsible for the inhibition of acid secretion by *Paeoniae radix*.

#### 8.6 Stabilization of elastin and collagen

Maintaining the integrity of arterial elastin is vital for the prevention of abdominal aortic aneurysm (AAA) development. To search for novel agents to intervene in AAA development, Isenburg *et al* studied the effect of PGG on stabilization of aortic elastin(65). *In vivo* efficacy of PGG was evaluated within a well-established AAA model in rats on the basis of CaCl<sub>2</sub>-mediated aortic injury. One-time periadventitial delivery of noncytotoxic levels of PGG (0.6 to 3 mg/mL, i.e. 640 to 3200 μM) inhibited elastin degeneration, attenuated aneurysmal expansion and hindered AAA development in rats without interfering with the pathogenic mechanisms typical of this model such as inflammation, calcification, and high metalloproteinase activities. PGG bound specifically to arterial elastin and preserved the integrity of elastic lamellae despite the presence of high levels of proteinases derived from inflammatory cells. Their results suggested that stabilization of aortic elastin in aneurysm-prone arterial segments by PGG offered great potential toward the development of safe and effective therapies for AAAs.

In a similar study, stabilizing effect of PGG on collagen was investigated by Tedder *et al* (66). The ideal scaffolds for heart valve must function immediately after implantation but also need to tolerate cell infiltration and gradual remodeling. For this purpose, they treated collagen scaffolds prepared from decellularized porcine pericardium with 0.3% PGG and then evaluated its properties. They found that PGG-treated collagen was initially resistant to collagenase and degraded gradually, which indicated a partially stabilizing effect. In addition, PGG-treated collagen exhibited excellent biaxial mechanical properties, did not calcify *in vivo*, and supported infiltration by host fibroblasts and the subsequent matrix remodeling. Those findings highlight the potential use of PGG in tissue engineering.

### 8.7 Cardiovascular protective and anti-coagulation activities

Goto *et al* were first to report the vasodilatory effect of PGG (67). They found that PGG isolated from the roots of *Paeonia lactiflora* Pallas relaxed prostaglandin F<sub>2</sub>-precontracted aortic ring preparations from isolated rat aorta that contained endothelium. This activity was further studied by Kang *et al* (60). They found that 1 to 30  $\mu$ M PGG induced a concentration-dependent relaxation of the phenylephrine pre-contracted rat aorta. This effect depended on the presence of functional endothelium. Pretreatment of the aortic tissues with either nitric oxide (NO) synthase inhibitor N<sup>G</sup>-nitro-L-arginine methyl ester (L-NAME) or guanylyl cyclase inhibitor <sup>1</sup>H-[1,2,4]-oxadiazole-[4,3- $\alpha$ ]-quinoxalin-1-one (ODQ) inhibited the relaxation. These results suggested that PGG-induced vascular relaxation was closely related to activation of a NO-cGMP pathway. Further mechanistic studies found that 0.1 to 10  $\mu$ M PGG blocked NF- $\kappa$ B translocation in HUVEC cells in a dose-dependent manner, which was consistent with other reports about the role of NF- $\kappa$ B in the anti-inflammation activities of PGG(57,61).

Dong *et al* purified PGG from the MeOH extract of the whole plant of *Geum japonicum*. They found that PGG showed potent anticoagulant activity by significantly prolonging the clotting of rabbit plasma. Kinetic studies indicated that PGG was a noncompetitive inhibitor of thrombin but a competitive inhibitor of Factor Xa. Synthesized permethylated derivative of PGG and Penta-*O*-benzoyl- $\beta$ -D-glucopyranoside did not show inhibitory effect on thrombin. So the phenolic hydroxyl groups of PGG could play an important role in the inhibition of clotting (68).

Liu *et al* reported the anti-hypertensive effects of tannins isolated from traditional Chinese herbs as inhibitors of angiotensin converting enzyme (ACE) (69). Their data showed that PGG and 1, 2, 3, 6-tetra-*O*-galloyl- $\beta$ -D-glucose (4GG) inhibited ACE in test tubes. Presence of 25  $\mu$ g/mL BSA in the reaction abolished the inhibitory activity of PGG but not 1,2,3,6-Tetra-*O*-galloyl-  $\beta$  -D-glucose, suggesting a non-specific inhibitory mechanism of PGG through protein binding. *In vivo* study with spontaneously hypertensive rats showed that 1, 2, 3, 6-Tetra-*O*-galloyl- $\beta$ -D-glucose had a strong inhibitory effect on angiotensin I induced blood pressure elevation.

### 8.8 Anti-convulsion (epilepsy) activity

To investigate the mechanism of anticonvulsant activity of peony root *Paeoniae radix*, Sugaya *et al* studied the effect of selected chemicals isolated from peony root on EEG power spectrum and extra-cellular calcium and potassium levels in rat cerebral cortex (70). They found that orally administrated PGG (10 mg/kg) completely inhibited the EEG power spectrum changes induced by pentylenetetrazol as well as the extracellular calcium and potassium concentration changes related to seizure. Their data suggest that PGG contributes to the anticonvulsant activity of peony root.

### 8.9 Anti-kidney stone formation

Calcium oxalate monohydrate (COM) crystals bind avidly to the surface of proliferating and migrating renal endothelial cells, and oxalate-induced peroxidative injury can promote crystal attachment to renal epithelial cells. Co-author Kim and collaborators recently showed that PGG significantly decreased COM crystal adhesion to cultured MDCK I cells at a low concentration (10  $\mu$ M) which was not cytotoxic (71). PGG exerted anti-adhesion effects whether cells or crystals were pre-coated. PGG also inhibited cell migration in scrape-wounding test of confluent monolayer, decreased subsequent adhesion of crystals to proliferating and migrating cells, and decreased expression of the crystal binding molecule hyaluronan. These findings suggest that PGG represents a potential urolithiasis prevention compound through multifaceted actions involving crystal coating by PGG, decreased cell migration and the associated surface

expression of hyaluronan. The latter represents a novel mechanism of nephrolithiasis prevention(71).

### 8.10 Antivirus and antibacterial activities

Based on an activity-guided separation scheme, Lee *et al* purified PGG from the root of *Paeonia lactiflora* PALL and validated its anti-hepatitis B virus (HBV) activity in an HBV-producing HepG2.2.15 cell culture system (15). They showed that after 8 days of treatment, PGG decreased the level of extracellular HBV (IC<sub>50</sub>, 1.0 µg/ml, *i.e.* 1.1µM) in a dose-dependent manner and also reduced the HBsAg level by 25% at a concentration of 4 µg/ml (4.2 µM). Gallic acid showed a much weaker inhibitory effect, demonstrating a structure-activity relationship requiring polygalloylation rather than isolated galloyl groups to achieve inhibition of HBV DNA replication.

Others reported the inhibition by PGG of several key enzymes in the virus life circle. Ahn *et al* isolated several compounds containing galloyl moiety from *Terminalia chebula* Retz fruits including PGG, 1, 3, 6-tri-*O*-galloyl-β-D-glucose (3GG) and gallic acid (18). PGG showed a stronger inhibitory effect on HIV integrase and reverse transcriptase than the other two compounds. Duan *et al* purified 1, 2, 6-tri-*O*-galloyl-β-D-glucose (3GG), 1, 2, 3, 6-tetra-*O*-galloyl-β-D-glucose (4GG) and PGG (5GG) from the ethyl acetate extract fraction of the traditional Chinese medicine *Galla chinensis*. These compounds inhibited HCV NS3 protease with IC<sub>50</sub> of 1.9, 0.8, and 1.6 µM, respectively (72). Molecular docking of those compounds onto the active site of HCV NS3 protease indicated five potential hydrogen bond interactions between the compounds and the enzyme at D81, K136, A157, R155, C159 and a potential hydrophobic interaction between the glucose ring and V158. 1, 2, 3, 6-tetra-*O*-galloyl-β-D-glucose (4GG) and the enzyme had the best alignment, which was consistent with the highest inhibitory effect of this compound.

PGG has been shown to have anti-virus activities against HSV (herpes simplex virus), HIV, HBV and HCV. Takechi *et al* tested the anti-HSV activity of PGG and structural related hydrolysable tannins in an *in vitro* FL cells culture model. The data showed that PGG had an anti-HSV ED<sub>50</sub> of 12µM whereas its IC<sub>50</sub> to host cells was 117µM. Structure-activity relationship studies indicated that anti-virus activity was positively correlated with the numbers of phenolic groups. The cytotoxicities to host cells of tannins paralleled their antiviral activities, which suggested that the activities could be based on the interaction with the proteins of FL cell surfaces(73). Nakashima *et al* evaluated the anti-HIV activity of sulfated pentagalloylglucose (Y-ART-3). *In vitro*, Y-ART-3 inhibited both HIV-1 and HIV-2 through inhibition of viral adsorption to CD4-positive cells. For *in vivo* study, 4 mg/kg Y-ART-3 was daily administrated to hu-PBL-SCID mice that had been infected with HIV *via i.p.* injection. Human Ig- and CD4-positive cells were detected at similar levels in Y-ART-3-treated mice compared with mice that were not infected with HIV. They concluded that Y-ART-3 may be considered to be a potent and effective anti-HIV compound(74).

Zhang *et al* studied the anti-bacterial activity of *Acer truncatum* Bunge extract and found that PGG inhibited several bacteria strains such as *Staphylococcus aureus*, *Staphylococcus epidermidis*, *E.coli* and *Pseudomonas aeruginosa* with MIC (Minimal Inhibitory Concentration) at 0.25, 0.06, 0.25 and 0.125 mg/mL (266, 64, 266 and 133µM), respectively (17). The inhibitory mechanism proposed was related to an inhibition of the bacterial type II fatty acid synthesis system. Their data showed that PGG potently inhibited the β-oxoacyl-ACP reductase (FabG) with the IC<sub>50</sub> value of 0.9µM. Kinetic studies indicated that PGG was a mixed-type inhibitor of FabG with respect to NADPH. In another study, Cannell *et al* isolated a isomer of PGG, 3-*O*-digalloyl- 1,2,6-trigalloylglucose, from the freshwater green alga *Spirogyra varians*, and found that this compound irreversibly inhibited α-glucosidase and was more potent than PGG, 1, 2, 3, 6-Tetra-*O*-galloylglucose or 1, 2, 6-Tri-*O*-galloylglucose (75).



The PGG isomer was proposed as the antibacterial agent in the methanol extract of *Spirogyra varians*.

### 8.11 Radio-protective activity of PGG-rich extract

To search for safe and efficacious radio-protective agents, Park *et al* tested the effect of *Elaeocarpus sylvestris* var. *ellipticus* extract, which was rich in PGG, in protecting mice from radiation injury by single whole-body irradiation (76). The extract was *i.p.* administrated at 25 mg/kg on day 1, 3, 6 and 9 after 9 Gy lethal  $\gamma$ -ray radiation. The mean life span of mice receiving the extract was significantly increased by more than 30%. Moreover, endogenous splenocytes colonies and proliferation of splenocytes from irradiated mice were also stimulated by the *i.p.* injected extract. These data suggested that the PGG-rich extract exerted the radio-protective activity by intensifying hematopoietic repair capacities. Since only the crude extract was tested in the experiments, PGG may or not account for the biological activities seen.

### 8.12 Inhibition of mitochondria respiration

Adachi *et al* investigated the effects of PGG on mitochondrial respiration in test tube assays (77). PGG (20 $\mu$ M) decreased the respiratory control ratio (RCR) of highly coupled rat liver mitochondria by half, whereas PGG barely affected the adenosine-5'-diphosphate/oxygen (ADP/O) ratio. When more than 30 $\mu$ M PGG was used, neither RCR nor ADP/O ratio could be measured. A similar effect was observed with tannic acid. These findings suggested a bi-phasic mechanism of inhibition of mitochondrial respiration by PGG. At lower concentrations, PGG only inhibited the electron transport system to decrease RCR, while at higher concentrations, it damaged the structural integrity of the mitochondrial membrane and directly inhibited the exposed electron transport system. In order to identify the inhibitory sites of PGG on electron transport system, they tested the inhibitory effect of PGG on enzyme activities of sub-mitochondrial particles (SMP). PGG strongly inhibited succinate dehydrogenase in a competitive manner with respect to succinate and inhibited NADH dehydrogenase and ubiquinol-1 oxidase in a noncompetitive manner. The data suggested that PGG was a potential respiratory chain inhibitor with multiple targets. Whether such activities mediate the reactive oxygen generation found in PGG-treated prostate cancer cells(28) remains an intriguing postulate.

### 8.13 PGG as enzyme inhibitors and its kinetic characteristics

PGG has been reported as an enzyme inhibitor for microsomal 5 $\alpha$ -reductase (33), CDK2, CDK4 (35), proteasome (38), ATPase activity of P-glycoprotein (41), HIV integrase and reverse transcriptase (18), HCV NS3 protease (72),  $\beta$ -oxoacyl-ACP reductase (17),  $\alpha$ -glucosidase (75,78), angiotensin converting enzyme (ACE) (69) and H<sup>+</sup>, K<sup>+</sup>- ATPase (64) PGG has also been reported to inhibit fatty acid synthase (FASN) (79), xanthine oxidase (80), aminopeptidase N, neutral endopeptidase (81) human salivary  $\alpha$ -amylase (82) and tyrosinase (83,84).

Detailed inhibitory kinetic mechanisms of PGG are not available on most enzymes. Of the few with the kinetic characterization, PGG inhibited succinate dehydrogenase in submitochondrial particles with respect to succinate and microsomal 5 $\alpha$ -reductase with respect to NADPH in a competitive manner, whereas it inhibited other enzymes such as NADH dehydrogenase, ubiquinol-1 oxidase, human salivary  $\alpha$ -amylase and H<sup>+</sup>, K<sup>+</sup>- ATPase in a noncompetitive manner. This suggests that PGG could affect the availability of enzymes, cofactors or substrates as well as directly compete for substrate binding.

### 8.14 Binding to biomolecules

Tannins have the ability to bind and precipitate alkaloids, gelatins and proteins. Binding to a wide range of molecules is the characteristic bioactivity of all the compounds classified as tannins, and the binding activities of PGG reflect this typical activity. Takechi *et al* quantitatively studied the binding potency of PGG to various proteins, lipids, nucleic acids, sugars and cultured human amniotic cells (FL cells)(85). The results showed that PGG bound less to acidic proteins than to neutral and basic proteins, but more to basic phospholipids than to the other lipids. In general, PGG did not strongly bind to nucleic acids and sugars. Using bovine serum albumin (BSA) as model protein, Hagerman *et al.* demonstrated that PGG-protein interactions are largely due to hydrophobic interactions(27). The stoichiometry of soluble PGG-serum albumin complexes was established as ranging from one to four PGG per protein (21). The mechanisms of the interactions between PGG and biological molecules were further studied by He *et al*(86). Their data suggested that the hydrophobic galloyl groups of PGG interacted with aliphatic side chains of amino acids such as glycine, alanine, proline and leucine. PGG -protein and PGG-phospholipids interactions were mediated by cooperative effects of hydrogen bonds and hydrophobic interactions, whereas hydrogen bonds contributed mainly to the interactions between PGG and sugars. In another study with human salivary  $\alpha$ -amylase (82), surface plasmon resonance (SPR) binding experiments and saturation transfer difference (STD) experiment by NMR showed that the aromatic rings of PGG may be involved in the interaction, so stacking of the aromatic rings of PGG with the aromatic amino acids side chains of amylase could be the possible binding and enzyme inhibition mechanism. Replacement of the aromatic amino acids with aliphatic ones significantly decreased the amylase inhibitory effect of PGG, which supported the hypothesized mechanism.

Tannins bind to protein in a selective fashion, with particularly high affinities for proline-rich proteins which have open structures and form strong peptide-phenolic hydrogen bonds(87). In accordance with this generalization, PGG has higher affinity for the proline-rich protein gelatin than for other globular proteins such as serum albumin(88). Detailed NMR studies of the interaction between PGG and proline rich peptides suggest a stacking interaction between adjacent galloyl rings on the polyphenolic and proline residues of the peptide(89). The high affinity of PGG and other tannins for proline-rich proteins may impact bioavailability of ingested tannins, since human saliva is rich in proline-rich proteins(90).

Under oxidizing conditions, PGG and other polyphenolics form quinones at basic pH or polymers at acidic pH, via semiquinone radical intermediates. Oxidation in the presence of protein prevents quinone or polymer formation, but promotes formation of covalently stabilized protein-PGG adducts(91).

Overall, binding between tannins and other molecules is likely to occur in almost all biological systems. The challenge remains in defining how binding characteristics contribute to the biological activities of specific polyphenols such as PGG.

## 9. Pharmacokinetics and Stability Issues

Pharmacokinetic studies including absorption, distribution, metabolism and excretion (ADME) are very crucial to define the mechanisms underlying biological activities. Ren *et al* commented that  $\alpha$ -PGG was orally bioavailable because it was detectable in blood 1 h after gavage into mice (92). However, the original data were not presented (92).

Cai *et al* studied the transport of PGG across cultured epithelial cells using a human intestinal epithelial Caco-2 cell monolayer model (26,93). According to their data, transport of PGG could occur either from the apical into the basolateral compartment of the Caco-2 monolayer or the reverse direction. However, the apparent permeability coefficient ( $P_{app}$ ) data suggested

a relative poor bioavailability for PGG. HPLC-MS analysis revealed that a large proportion of PGG was degraded during the transport process across Caco-2 cells. At least part of this degradation occurred early in the transcellular transport in both apical and basolateral directions as evidenced by the presence of tri- and tetragalloyl glucose in the loading solution at the end of incubation. The identification of tri- and tetragalloyl glucose suggested the presence of esterase on both the apical and basolateral sides of the cells. The transport from the apical into the basolateral side was measured at PGG concentrations varying from 5 to 90  $\mu\text{M}$ . Within the tested concentration ranges,  $P_{\text{app}}$  decreased with increasing PGG concentration, which suggested the transport was saturable. When serum was added to the receiving solution, transport of PGG was increased. This could be due to the presence of polyphenol binding proteins in serum since such binding would reduce free PGG and its metabolites in the receiving side, thus creating a sink for PGG and shifting the balance. Interestingly,  $P_{\text{app}}$  for basolateral outflow was four-fold higher than  $P_{\text{app}}$  for apical uptake. The difference in  $P_{\text{app}}$  suggests that the cells have an effective mechanism for elimination of PGG and its metabolites from the basolateral compartment. Verapamil, an inhibitor of P-glycoprotein did not decrease basolateral to apical transport of PGG at concentrations of 50 and 75  $\mu\text{M}$ . In contrast, an inhibitor of multidrug resistance-associated protein MK571, when present at the concentration of 50 and 75  $\mu\text{M}$ , caused a 51% and 73% reduction in  $P_{\text{app}}$  of PGG and its metabolites, respectively. Phlorizin, a specific inhibitor of the sodium-dependent glucose transporter SGLT-1, inhibited transport of PGG or its metabolites in a concentration dependent manner, indicating a role for this transporter in apical to basolateral transport of PGG or its metabolites across Caco-2 cells. Addition of 40  $\mu\text{M}$  PGG to the basolateral compartment of the Caco-2 monolayer caused transepithelial electric resistance (TEER) to decrease below  $350\Omega/\text{cm}^2$ , which indicated that the cell layer was no longer intact. The compromised monolayer integrity therefore complicates the interpretation of the results. Taken at face value, it is reasonable to speculate that PGG metabolites are generated at the gastrointestinal tract level.

Phenol groups on polyphenolic compounds are susceptible to oxidation. However, our data indicated no cell-free generation of hydrogen peroxide by PGG in cell culture medium, which suggested a lack of oxidation of PGG in Fenton-driven systems(28). Cai *et al* also reported that in their Caco-2 cells monolayer system, HPLC analysis of PGG solutions that had been incubated in the absence of cells for 3 hrs demonstrated only a prominent peak with the same elution position as PGG (26), which indicated that PGG was stable in solution during conditions of the cell transport experiments. Co-author Hagerman's group has found that PGG is quite stable in pure water or saline for days, but not in phosphate-buffer saline or in alkaline condition.

Tannase (tannin acyl hydrolase, EC, 3.1.1.20) can hydrolyze PGG to gallic acid (94). Though humans have not been reported to produce tannase yet, tannase activity has been found in foods such as wine and preserved vegetable (Korean kimchi)(95,96). Tannase-producing microbes also have been isolated from human fecal samples(97). Some of them were correlated with colon cancer(98). Whether PGG can be de-activated by tannase in food and GI tract or can be converted to even more active forms by those microbes should be considered, especially if PGG is going to be administrated orally or used as an active component of health-promoting foods.

There is an urgent need to establish the *in vivo* PK data and identify the PGG metabolites for improving the mechanistic understanding of the biological activities and for pharmaceutical development.

## 10. Toxicology

Tannins are usually considered safe or even beneficial at low dietary levels. Tannins diminish protein digestibility when present in high levels in diets of low protein content(90). Some animals have mechanisms to accommodate diets with high levels of tannin including production of “salivary proline-rich proteins (PRPs)” which appear to bind to tannin immediately after ingestion and thus neutralize its detrimental effects(99). PRPs are constitutively expressed in human saliva and can be induced by PGG in rats. For example, when rats were fed with high-PGG (3%) diet, they lost weight in the first couple of days, but once the PRPs were induced, their food intake and body weight gain returned to the normal level (25).

A few studies report on the acute toxicity of PGG in animals. Feldman *et al* reported that infusion of 50-60 mg PGG per rat (~200 g body weight) resulted in a precipitous and lethal drop in blood pressure within 30 minutes, whereas 30 mg per rat did not affect blood pressure or blood glucose levels(54). Nishizawa *et al* found that *i.p* administration of 1 ~ 5mg PGG per rat caused a significant reduction in blood urea nitrogen level by 18-25% compared with control (100). Other gallotannin such as tri-, tetra-, hexa-, hepta-, octa-, nona- and decagalloylglucose had similar effects to PGG. Therefore, the long-term safety of PGG for cancer chemoprevention still needs to be rigorously established. Since PGG is astringent(88) and inhibits human salivary  $\alpha$ -amylase(82), potential negative effect on starch digestion and food taste should be considered during preclinical or clinical studies.

## 11. Taste property of PGG

It is widely believed that the oral sensation of astringency is a consequence of interactions between tannins and salivary proline-rich proteins or mucous tissues. Hoffman *et al* studied the astringency threshold and dose-response of PGG using the half-tongue test within a trained human panel (88). The taste threshold concentration for the astringent sensation induced by PGG in aqueous solution (pH 4.5) was 1.8  $\mu$ M. Protein binding experiments suggested that PGG selectively bound soluble proteins and only associated with membranes when presented at high concentrations, resulting in a relatively high taste threshold. This was further supported by the steep taste dose/response curve for PGG. Whether this property can lead to food avoidance in dietary supplementation experiments for cancer chemoprevention should be considered.

## 12. Structure-activity relationship

Detailed understanding of the structure-activity relationship of PGG will be very helpful to elucidating mechanisms related to the various activities covered in this review. Among a limited number of studies, most of the researchers simply focused on how the number of galloyl groups affects the biological activities of galloyl-glucoses and analogues. In general, fully or almost fully esterified form of glucose, *i.e.* PGG (5GG) or 1, 2, 3, 6-tetragalloylglucose (4GG), had the best biological activities, including anti-cancer effect on S-100 sarcoma, inhibition of acid secretion (64), inhibition of HIV integrase and reverse transcriptase (18) and inhibition of HCV protease (72). These have been discussed in the above sections.

In simple chemical assays, e.g. radical scavenging, PGG is often noted to be five times more active on a molar basis than methyl gallate, suggesting that its chemical activity is simply the sum of the activities of the individual phenolic moieties(101). In more complex biological assays both *in vitro* and *in vivo*, PGG has activities that are clearly distinct from those of the monomeric phenolic compounds. In a study of the inhibitory effect of PGG on rat intestinal  $\alpha$ -glucosidase the activities of PGG, monogallyolglucose and gallic acid were compared. On the basis of equimolar concentrations of phenol groups, PGG was much more active than

monogallyolglucose or gallic acid(78). Isenburg *et al* also reported that PGG but not gallic acid or glucose stabilized vascular elastin (102).

Ren *et al* synthesized a series of PGG analogues and tested their stimulatory activity on glucose transport (92). In their study, they compared the functional importance of each individual galloyl group, the glucose core, peripheral position of the galloyl groups and the stereochemical arrangement of the galloyl groups. Their data showed that both the carbohydrate core and the galloyl substituents with their three free phenolic hydroxyl groups were essential for activity.  $\alpha$ -PGG and  $\beta$ -PGG were equally potent in this biological system. In both anomers, the glucose core was required as a scaffold to present galloyl groups in a certain spatial arrangement to interact with targets and express the activity. The removal of a galloyl group from position 1, 2, 3, or 4 of glucose resulted in a complete loss of glucose transport stimulation. However, activity was retained when a galloyl group linked to position 6 was removed and the R configuration at C-1 was present ( $\alpha$ -PGG anomer). These results suggested that the galloyl groups linked to position 1, 2, 3, or 4 of glucose in  $\alpha$ -PGG were functionally important, while the galloyl group bound to C-6 was not. On the other hand,  $\beta$ -PGG required all five galloyl groups to exert its activity. Their observation suggests the possibility to achieve better activity of  $\alpha$ -PGG by further modification of the groups bound to C-6 of the glucopyranose. Whether this structure-activity relationship holds true in other systems is still unknown.

### 13. Challenges and questions

Though the work reviewed above supports PGG as a very promising candidate for therapy and prevention of cancer, diabetes or other diseases, many questions remain. First, what is its *in vivo* bioavailability, especially when administered orally? A handful of oral gavage studies with PGG have shown *in vivo* anti-cancer and other activities, suggesting that PGG and/or its degradation products and metabolites must be bioavailable to mediate the biological activities. Second, what metabolites of PGG are produced *in vivo*? The Caco-2 cell study by Cai et al (26) suggests generation of PGG degradation products at the gastrointestinal tract level. The speciation of *in vivo* metabolites will be facilitated with isotopically labeled PGG. The bioavailability and metabolite questions have important implications for further mechanistic investigation and pharmaceutical development. These include the structure-activity relationship for PGG and its metabolites to define the essential chemical moieties (pharmacophore) to allow further derivatives to be developed and tested. Third, PGG seems more stable than other polyphenolic compounds in aqueous milieu. What is the structural basis? Finally, PGG is capable of binding to lots of biological molecules. How does this binding characteristic contribute to its biological properties? Understanding the molecular basis for binding is essential to using PGG for selective bioactivation or inhibition in light of its avidity for so many biological molecules.

### 14. Concluding remarks

The systematic review supports PGG as a very promising and novel drug candidate for the therapy and prevention of cancer, diabetes or other diseases. Rigorous preclinical studies are needed to establish the efficacy of PGG for these applications and are now feasible due to the optimization of PGG preparation in highly pure crystalline form and in multiple-gram quantities from the readily available tannic acid. Chemically and functionally, PGG appears to be distinct from its constituent gallic acid and from tea polyphenols. For anti-cancer activity, potential mechanisms include anti-angiogenesis, anti-proliferative actions through inhibition of DNA replicative synthesis and S-phase arrest and also G<sub>1</sub> arrest, induction of apoptosis, anti-inflammation and anti-oxidation. Putative molecular targets include p53, Stat3, Cox-2, VEGFR1, AP-1, SP-1, Nrf-2 and MMP-9. For anti-diabetic activity, PGG and analogues appear to improve glucose uptake. However, very little is known about the absorption,



pharmacokinetics and metabolism of PGG, nor its toxicity profile. Serious efforts are needed urgently to address these issues to provide critical information for the identification and validation of the *in vivo* active chemical species, molecular targets and for further pharmaceutical development.

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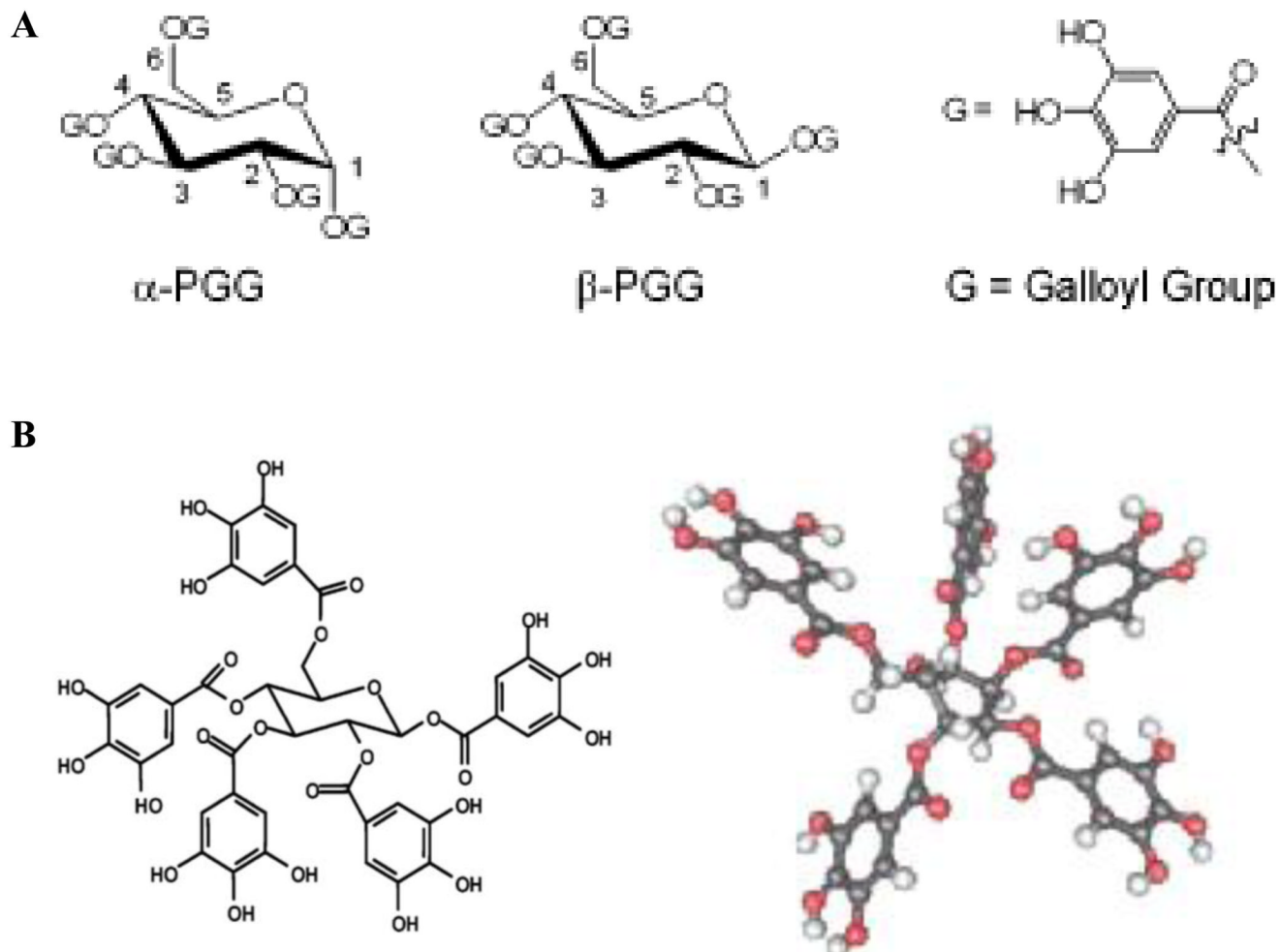
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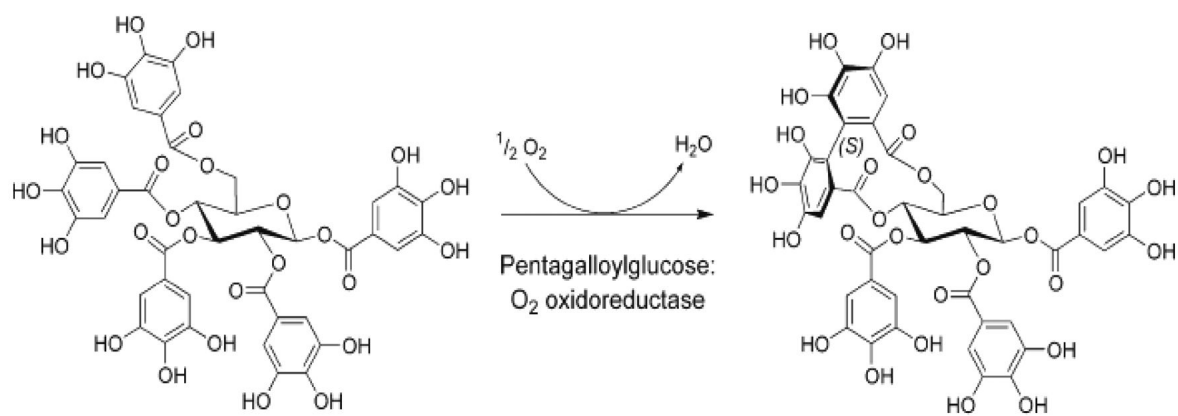
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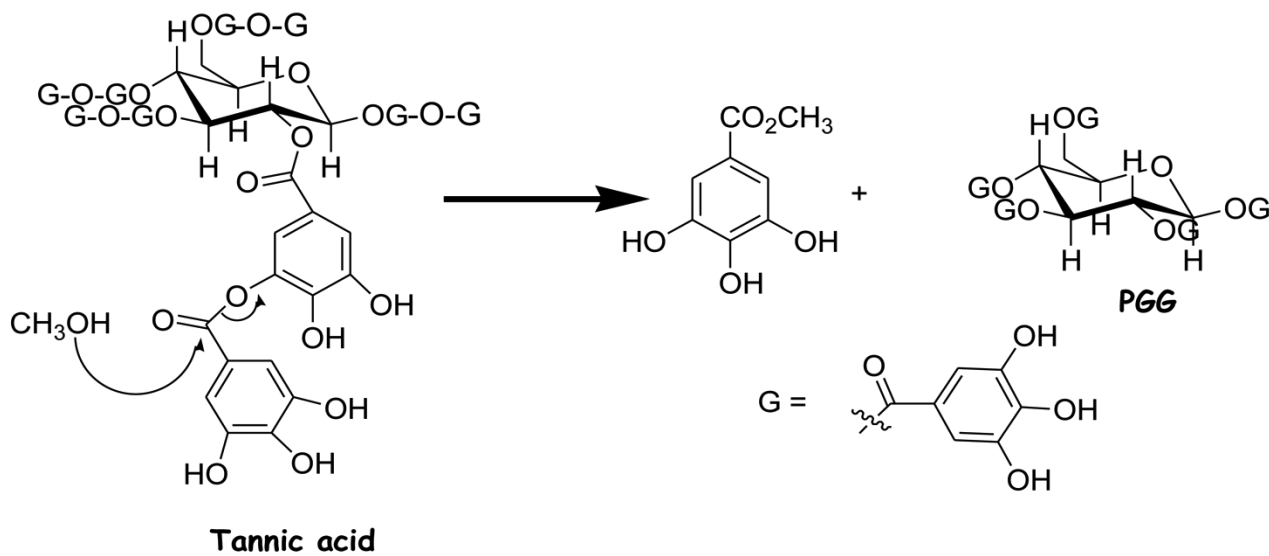


**Fig. 1.** A. Sterical configuration of  $\alpha$ -PGG and  $\beta$ -PGG (ref. 3) **B.** Structure and 3D model of  $\beta$ -PGG (ref. 7)



**Fig. 2.**  
An example of oxidoreductase-catalyzed the intra-molecular oxidative cross-linking reactions (ref 13).

### PGG generation by Methanolysis of tannic acid:



**Fig. 3.** Methanolysis of tannic acid to yield PGG under weak acidic condition (ref. 21).