

# Anticoagulation in COVID – 19: An Update

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## ABSTRACT

The novel coronavirus disease, 2019 (COVID – 19) evolved as an unprecedented pandemic. The severe acute respiratory syndrome-corona virus-2 (SARS-CoV-2) infection has been associated with significantly deranged coagulation parameters and increased incidence of thrombotic events. Deranged coagulation parameters, such as D-dimers and fibrin degradation products, can indicate a poor prognosis, and their measurement will help stratify the patients according to the disease severity, need of intensive care unit admission, and prediction of the clinical course. Gaps in understanding the natural history of the disease cause difficulties in tailoring therapies and optimizing the management of patients. Lack of specific treatment further complicates this situation. While thrombotic events can cause significant morbidity and mortality in patients, a focused approach to the prevention and treatment of venous thromboembolism (VTE) can, to a great extent, decrease the disease burden caused by thrombotic diseases. Pharmacological prophylactic anticoagulants and mechanical therapies such as pneumatic compression devices can help prevent venous thromboembolism and other thrombotic events. Thrombotic events due to COVID-19, their prevention and management, are the focus of this paper, with the prospect of providing insights into this relatively unexplored area.

**Keywords:** COVID-19, anticoagulation, venous thromboembolism, SARS-CoV-2, intensive care unit

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## INTRODUCTION

SARS-CoV-2 has glycoprotein spikes on its capsid, designated as S protein, which helps it engage specific receptors on the cells of target organisms. For human infections, this receptor happens to be the angiotensin-converting enzyme 2 (ACE) receptor of the respiratory epithelium [1]. This receptor is expressed by many other cells of the body, including vascular endothelium and cardiac myocytes.

The virus replicates after it enters into these cells and causes severe acute inflammation and cellular lysis. This inflammatory state initiates a cytokine storm with the release of many pro-inflammatory cytokines, for example, interleukin-6 (IL-6). As a consequence of this, patients infected with COVID-19 are at high risk of developing acute respiratory distress syndrome (ARDS), multiple organ failure, and shock [2].

Thrombotic events in COVID-19 patients are reported in the literature due to its potential to cause endotheliopathy [3].

In this review, the abnormal laboratory parameters, the strategies for prevention and treatment of thrombotic events, and associated anticoagulant therapy in patients with COVID-19 associated illness, are considered.

## INTENSIVE CARE TREATMENT AND COVID – 19

The literature from Italy and China indicated high mortality amongst COVID-19 patients admitted to intensive care units (ICU). Further, its rampant spread led to a rapid rise in numbers of critically ill patients with COVID-19. It caused the overburdening of ICUs and entire health care systems in general [4, 5].

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A case series from the USA reported a fatality rate of 50% in COVID-19 patients admitted to ICUs. Patients with comorbidities such as diabetes mellitus (DM), asthma, and chronic kidney disease (CKD) were more prone to the development of serious illness. About 71% of patients in this study required vasopressors for the maintenance of blood pressure, while none had coinfections with bacteria or other viruses. It led to a hypothesis that the circulatory shock may be directly related to COVID-19 [6].

This underlines the importance of optimized management of patients with COVID-19 related illnesses in ICUs.

The haemostatic pathway is an established component of innate immunity. The process of haemostasis is protective in infective states as it helps prevent the spread of the organisms and concentrates antimicrobial cells in the area of inflammation [7].

However, in states of severe infection like sepsis, when this process progresses out of control, widespread activation of coagulation pathways may occur, and a state of consumptive coagulopathy, manifesting as disseminated intravascular coagulation (DIC) can develop.

There are many theories as to the underlying mechanisms, with notable ones being bacterial polyphosphates activating platelets, endothelial expression of tissue factor because of exposure to cytokines, and the formation of neutrophil extracellular traps (NETs) [8].

Infection by SARS-CoV-2 is an inflammatory state evidenced by increased levels of C-reactive protein, IL-6, and an elevated erythrocyte sedimentation rate (ESR). The endothelial cells infected with viruses undergo lysis and attract antigen-presenting cells (APCs). These antigen-presenting cells then activate T-lymphocytes resulting in a propagative state of inflammation resulting in a widespread activation of platelets as well as the coagulation pathway, with the possibility of coagulation exceeding the capacity of clearance by natural anticoagulants [3].

## ■ THROMBOTIC RISK ASSOCIATED WITH COVID – 19

Common and critical haematological abnormalities seen in COVID-19 patients were lymphocytopenia, thrombocytopenia, leukopenia, and elevated D-dimers [9]. Klok et al. (2020) evaluated the incidence of thrombotic complications in COVID-19 patients admitted

to the ICU. They found the frequency of thrombotic complications in these patients to be 31% [10]. Complications were attributable to hypoxia, DIC, extreme inflammation, and immobilization due to the disease. Surprisingly, in this study, the patients who developed thrombotic events were already getting standard doses of prophylactic anticoagulation [10].

An autopsy series of confirmed COVID-19 cases demonstrated diffuse alveolar haemorrhage, microvascular thromboses, and marked extracellular fibrin deposition in the lungs [11]. A Chinese study reported the incidence of DIC as 71.4% in patients who succumbed to COVID-19 pneumonia [12]. Klok et al. (2020) re-evaluated the results of their previous study later in the year [10], and found, on longer follow-up, an even higher cumulative incidence of the composite outcome of thrombotic events at 49% [13].

A multicentre retrospective cohort study, studying thrombotic and bleeding manifestations of COVID-19 patients, recorded an overall thrombotic complication rate of 18.1% in critically ill patients. All but one patient in this study, who developed a thrombotic event, were getting a standard dose of prophylactic anticoagulation. The same study also recorded a major-bleeding event rate of 5.6% in critically ill patients [14]. In another retrospective cohort study on COVID-19 patients admitted to an ICU, the incidence of venous thromboembolism (VTE) was 25% [15]. Moreover, patients who developed VTE were older, had lymphocytopenia, increased D-dimer, and a prolonged activated partial thromboplastin time (aPTT). This study also linked T-cell immune dysfunction to the increased incidence of VTE [15].

In a retrospective cohort study including critically ill COVID-19 patients, the incidence of VTE was 26.1%; the risk was higher at 73% in patients who required extracorporeal membrane oxygenation (ECMO) support [16]. Also, the patients who had an episode of VTE were more likely to need mechanical ventilation and vasopressors. It implies that increased rates of VTE occur in patients having more severe disease [16].

In a prospective cohort study on the patients admitted to an ICU due to COVID-19 related illness, sixty-four clinically significant thrombotic events occurred in 150 patients, with pulmonary embolism being the most commonly encountered thrombotic event [17]. In the same study, investigators also compared risks of thrombotic complications in COVID-19 related ARDS patients versus non-COVID-19 related ARDS patients.

They found the risk of thrombotic events and pulmonary embolism was significantly higher in COVID-19 related ARDS patients, with an odds ratio of 2.6 (95% CI = 1.1 to 6.1) and 6.2 (95% CI = 1.6 to 23.4) respectively [17].

A retrospective cohort study on twenty-six critically ill, mechanically ventilated, COVID-19 patients receiving eight prophylactic-doses plus eighteen therapeutic-dose of anticoagulants reported an overall rate of VTE as 69%. In this study, VTE occurred in all patients receiving prophylactic doses of anticoagulants, and in 56% of patients receiving therapeutic doses of anticoagulants [18].

A French prospective cohort study on critically ill, mechanically ventilated COVID-19 patients, screened patients for deep vein thrombosis (DVT) in the lower extremity and yielded similar results. The incidence of DVT, forty-eight -hours after admission to the ICU, was 79% [19]. The importance of screening COVID-19 patients for DVT and their prompt management in the form of therapeutic-dose anticoagulation to prevent further morbidity and mortality was thus highlighted.

## ■ HAEMATOLOGICAL PARAMETERS IN COVID-19

Derangements in haematological parameters like elevated D-dimers and fibrin degradation products (FDPs), thrombocytopenia, prolonged prothrombin time (PT), and aPTT are relatively common in COVID-19 patients [3].

Table 1 summarizes some of the haematological abnormalities seen in COVID-19 patient studies. From the interpretation of this data, the following can be deduced.

1. It is common to see deranged coagulation parameters, especially D-dimer and FDPs, in COVID-19 patients.
2. These derangements are, by and large, associated with a poorer prognosis and increased likelihood of ICU admission.
3. These parameters, if checked on admission to the hospital, can serve as a guide to triage patients, based on severity and risk of deterioration. Their serial monitoring may also help predict the clinical course of the disease and tailor the required therapy.

In a retrospective cohort study, looking at the causes of elevated D-dimers, investigators found that infec-

tions were the most common cause of elevated D-dimers. Of the patients with infections having elevated D-dimers, pneumonia was the cause in almost 2/3rd of cases [20]. This highlights the propensity of lung infections as the cause of elevated D-dimers in general. However, this also raises an important question – is the COVID-19 related rise in D-dimers significantly more than that associated with other lung infections? This could only be answered by conducting a study comparing D-dimer levels in COVID-19 related and non-COVID-19 related lung infections, which to date has not been reported.

A rise in D-dimers and their increasing levels in the course of COVID-19 related illness has been proven to be associated with a considerable increase in morbidity and mortality [12-16].

## ■ LUPUS ANTICOAGULANT IN COVID – 19

A prolonged aPTT was associated with COVID-19 in a small subgroup of patients [12]. In general, a prolongation of aPTT means one of the following, either a clotting factor deficiency or presence of circulating inhibitors of coagulation, and concerning the latter, the important one is the presence of lupus anticoagulant (LAC) [21].

In a study looking at the prolongation of aPTT and the presence of LAC in COVID-19 patients, of the 34 patients with prolonged aPTT, 31 patients (91%) were positive for LAC [21].

Another study, evaluating the presence of LAC in COVID-19 patients, reported an incidence rate of 45% [22].

Helms et al. (2020) reported an incidence rate of 87.7% for a positive LAC in 50 of 57 tested patients [17].

From these studies, it can be postulated that the rise in aPTT in COVID-19 patients is related to the presence of LAC and that a prolonged aPTT, which would otherwise be worrying as a bleeding risk, may not be a problem in anticoagulating such patients, as they are already at a high risk of thromboses.

## ■ ANTICOAGULATION IN COVID – 19

From the available evidence, it is clear that there are derangements in the coagulation parameters and increased incidence of thrombotic events in COVID-19 patients [12].

**Table 1. Summary of important studies looking at haematological parameters in COVID – 19 patients. (aPTT - Activated Partial Thromboplastin time, FDP – Fibrin degradation products, PT – Prothrombin Time)**

Authors	Sample Size (n)	Haematological Abnormalities (%)	Key Features
Chen et al. [29]	99	Elevated D-dimer- 36 (36%) Thrombocytopenia – 12 (12%) Prolonged aPTT – 6 (6%) Prolonged PT – 5 (5%)	The first study to report both the clinical and laboratory features of COVID-19 related illness.
Wang et al. [30]	138 (ICU – 36, Non-ICU – 102)	Prolonged PT – 80 (58%) Elevated D-dimer- (26% of the patients from ICU)	1 – The levels of D-dimer were significantly higher in ICU patients than non-ICU patients. (p<0.001) 2 – The levels of D-dimer were significantly higher in non-survivors than survivors. (p<0.05) 3 – D-dimer levels showed an increasing trend in patients who succumbed to the illness.
Zhou et al. [31]	191 (Survivors-137, Non-survivors – 54)	Elevated D-dimer Survivors – 67 (57%) Non-survivors – 50 (92%)	1 – A D-dimer level of >1.0 µg/mL at admission was associated with higher odds of mortality. OR=18.42 (p=0.0033) 2 – D-dimer levels were significantly higher in non-survivors than in survivors. (5.2 vs. 0.6, p<0.0001)
Huang et al. [32]	41 (ICU – 13, Non-ICU – 28)	-	1- Median D-dimer levels were significantly higher in ICU patients as compared to the non-ICU patients. (2.4 vs. 0.5, p=0.0042) 2 – Median prothrombin time was significantly higher in ICU patients as compared to the non-ICU patients. (12.2sec vs. 10.7sec, p=0.012)
Chen et al. [33]	21 (Severe cases – 11, Moderate cases – 10)	-	Compared to moderate cases, severe cases had significantly elevated levels of D-dimer. (2.6 vs. 0.3, p=0.029)
Guan et al. [9]	1099	Elevated D-dimer 260/560 (46.4%)	D-dimer levels were significantly elevated in a higher proportion of patients with severe illness than those with non-severe illness. (59.6 % vs. 43.2 %, p = 0.0021)
Han et al. [34]	94 patients 40 healthy controls	-	1- D-dimer levels were significantly higher in the patient group than the healthy control group. (10.36 vs. 0.26, p<0.001) 2 – FDP levels were significantly higher in patients than in controls. (33.83 vs. 1.55mg/L, p<0.001) 3- Higher D-dimer and FDP levels were found to be predictive of severe disease.
Li et al [35]	279 (Ordinary- 136 Improved- 23 Poor- 120)	-	The D-dimer levels on admission were significantly higher in the improved and poor group of patients than ordinary patients. (p<0.01)  Ordinary – Mild disease, subsided Improved – First deteriorated, then improved gradually with treatment Poor – Deteriorated or died
Tang et al. [12]	183 (Survivors – 162, Non-survivors – 21)	-	Abnormal coagulation tests (Elevated D-dimer, FDPs and decreased fibrinogen) were associated with a poorer prognosis, i.e. these parameters were significantly deranged in non-survivors than the survivors.

There are many clinical trials underway, testing the effectiveness of anticoagulation in COVID-19 patients (Clinical Trials.gov identifiers: NCT04345848, NCT04344756, NCT04359277).

A retrospective cohort study, including severely ill COVID-19 patients, looked at the twenty-eight day-mortality in heparin users vs non-users. The results of this study showed a decreased twenty-eight day-mortality in heparin users in specific subgroups of patients

with either sepsis-induced coagulopathy (SIC) score of  $\geq 4$  or those having D-dimer levels >6 times of the upper limit of normal [23]. It was concluded that anticoagulation might be beneficial in individual patients with COVID-19, especially those with a SIC score of  $\geq 4$  or those with markedly high D-dimer levels.

Table 2 summarises the societies and forums that have published interim guidance for managing coagulopathy in hospitalized COVID-19 patients.

## ■ AGENTS SUPPRESSING ENDOTHELIAL INFLAMMATION –

The efficacy of anticoagulants in preventing macrovascular thromboses (VTE) is well studied. However, their effectiveness in limiting microvascular thromboses is inconclusive [36, 37].

To prevent a microvascular thrombotic event, traditionally agents like corticosteroids, tocilizumab, complement inhibitors such as eculizumab, and Janus kinase inhibitors have been used in various autoimmune conditions as suppressants of endothelial inflammation [24].

Tocilizumab (ClinicalTrials.gov identifiers: NCT04320615, NCT04317092, NCT04363853) and corticosteroids (ClinicalTrials.gov identifier: NCT04273321) are currently being studied for treatment in COVID-19 associated illnesses. Use of this category of drugs in carefully selected COVID-19 patients may prove to be useful in preventing microvascular complications of the disease.

## ■ RECOMMENDATIONS

Derangements in coagulation parameters increased frequency of thrombotic events, and evidence of mor-

**Table 2. Summary of the interim guidelines published by some of the societies and forums. (aPTT – Activated Partial Thromboplastin Time, DOAC – Direct Oral Anticoagulant, ESC – European Society of Cardiology, ISTH – International Society on Thrombosis and Haemostasis, LMWH – Low Molecular Weight Heparin, VTE – Venous Thromboembolism)**

Society/Forum	Recommendations
European Society of Cardiology (ESC) [25]	1 – All admitted patients with COVID-19 related illnesses should get, at the least, prophylactic dose of enoxaparin (40mg daily). 2- Depending on the clinical features, a patient at a high risk of thromboembolism should receive therapeutic dose anticoagulation. It can be in the form of a heparin drip (per parenteral protocol) or enoxaparin (1mg/kg twice a day) based on whether the patient is in the intensive care unit or not. 3- Patients at low risk of thromboembolism are further classified based on the D-dimer levels. A) D-dimer <0.5 µg/mL = Prophylactic dose anticoagulation (Enoxaparin 40mg/day) B) D-dimer 0.5 to 3.0 µg/mL = Enoxaparin 40mg twice a day C) D-dimer >3.0 µg/mL = Enoxaparin 1mg/kg twice a day 4- The patients at high risk of thromboembolism and having markedly elevated D-dimer (>3.0 µg/mL) should undergo a point-of-care ultrasound. Based on its results, a call should be on whether to continue therapeutic dose or switch to prophylactic dose anticoagulation.
International society of thrombosis and haemostasis (ISTH) on the management of coagulopathy [26]	1- Patients having one/more of the following should be admitted to the hospital. A) Markedly raised D-dimer (>3-4 times of the normal) B) Prolonged prothrombin time C) Platelet count of <100 × 10 <sup>9</sup> /L D) Fibrinogen concentration <2.0 g/L 2- All admitted patients, in the absence of contraindications- should receive prophylactic dose anticoagulation (LMWH).
Scientific and standardization committee by ISTH- guidance on prevention and treatment of VTE [27]	1- Universal routine thromboprophylaxis should be given in all admitted patients of COVID-19 related illnesses. (LMWH as preferred agent) 2- Dose should be modified appropriately in patients with renal failure and obesity as required. 3- Intermediate dose anticoagulation can be a reasonable option in patients admitted to ICU with COVID-19 related illnesses. 4- Extended post-discharge thromboprophylaxis should be considered in patients that are a high risk of thromboembolism. The duration can be up to 30 days post-discharge.
Interim clinical guidance from the anticoagulation forum [28]	1- All hospitalized patients, with COVID-19 related illnesses, should receive prophylactic anticoagulation. 2- Escalated dose anticoagulation should be considered in critically ill (ICU) patients. 3- To monitor the anticoagulant activity of heparin, an anti-factor-Xa assay should be used in place of aPTT as the baseline aPTT may be abnormal in some COVID-19 patients. 4 – Post-discharge VTE prophylaxis may be considered on a case-to-case basis in COVID-19 patients that have one/more of the following- A) Prolonged ICU stay B) Paralyzed for a long time C) Risk factor for VTE at the time of discharge (Decreased mobility, severe weakness) 5 – All pregnant patients of COVID-19 should receive prophylactic dose anticoagulation for the prevention of VTE. 6 – Patients on vitamin K antagonists (warfarin) should be transitioned to directly acting oral anticoagulants (DOACs), except for indications like mechanical heart valves, antiphospholipid antibody syndrome.



tality benefit in critically ill make a strong case for exploring the efficacy of anticoagulants in COVID-19 patients. While randomized controlled trials are ideal, the rapid spread and relatively high incidence of VTE events in COVID-19 patients temporarily preclude their need for formulating working clinical guidelines. Based on the interpretation of the available literature, it is recommended that:

1. Prophylactic dose anticoagulation to be given to all patients admitted to a hospital due to COVID-19 related illness.
2. Therapeutic anticoagulation to be prescribed for patients having markedly elevated D-dimer levels and patients that are paralyzed or bedridden.
3. An intermediate escalated-prophylactic anticoagulation regime be prescribed for all patients admitted to an ICU and those having clinical features suggestive of ARDS.
4. Serial monitoring of D-dimers to be prescribed carried out to stratify patients and provide an appropriate line of management.

## ■ CONCLUSION

COVID-19 associated coagulopathy is associated with significant morbidity and mortality. Evidence of the development of coagulopathy is gained from basic blood investigations monitoring D-dimer, FDPs, and fibrinogen levels.

Many societies and forums have recommend the use of prophylactic dose anticoagulation in all hospitalized patients with COVID-19, and especially in critically ill patients. Reports in the literature support this approach.

## ■ CONFLICT OF INTEREST

None to declare.

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