

# Apical traction: a novel visual echocardiographic parameter to predict survival in patients with pulmonary hypertension

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Aims	In some pulmonary hypertension (PH) patients, we noted a motion pattern where the right ventricular (RV) apex is pulled towards to left ventricle (LV) during systole, caused by traction from the LV ('apical traction', AT). Herein, we characterize patients with AT to investigate its prognostic significance.
Methods and results	Echocardiograms of 62 pre-capillary PH patients (42 females, age 61 $\pm$ 15 years) were retrospectively analysed. The presence of AT was assessed visually and confirmed by speckle-tracking analysis. Fractional area change (FAC), tricuspid annular plane systolic excursion (TAPSE), RV free-wall longitudinal strain (LS) as well as LV function were measured. A primary end point of death or heart/lung transplantation was set. AT was observed in 31 patients. They had worse functional capacity, lower TAPSE (1.3 $\pm$ 0.2 vs. 1.9 $\pm$ 0.4, $P \leq$ 0.001) and FAC (20.3 $\pm$ 6.1 vs. 33 $\pm$ 7.1%, $P \leq$ 0.001), worse RV free-wall LS ( $-12.4 \pm 3.4$ vs. $-20.8 \pm 4.9$ %, $P < 0.001$ ), and higher systolic pulmonary arterial pressure (92 $\pm$ 15 vs. 75 $\pm$ 23, $P <$ 0.001). LV function was similar in both groups. The primary end point occurred in 16 patients with and 8 without AT. AT was an independent predictor of the outcome (HR: 14.826, 95% CI: 1.696 – 129.642, $P = 0.015$ ).
Conclusion	AT occurs in RVs with impaired systolic function in PH patients. It may serve as a new, easily to assess visual parameter to predict the outcome in these patients. Its prognostic importance needs to be validated by prospective studies.
Keywords	apical traction • pulmonary hypertension • right ventricular function • speckle-tracking echocardiography • survival

## Introduction

Right ventricular (RV) function has an important prognostic role in left ventricular (LV) dysfunction, pulmonary hypertension (PH), and other pathologies,<sup>1,2</sup> and its decrease is strongly associated with clinical deterioration and higher mortality.<sup>3–6</sup> While invasive right heart catheterization (RHC) is regarded as gold standard for the assessment of RV haemodynamics and function, echocardiography is commonly used as the first line diagnostic tool in daily clinical practice. Several quantitative parameters, such as RV ejection fraction (EF), RV fractional area change (FAC), or tricuspid annular plane systolic excursion (TAPSE), have been suggested for the assessment of RV function, but have limited feasibility and robustness.<sup>7–13</sup> Tissue

Doppler and speckle-tracking echocardiography may be an alternative, but are also strongly dependent on image quality.<sup>14–18</sup> Therefore, an easy to assess imaging parameter with prognostic significance and limited dependence on image quality would be a welcome addition to the armamentarium of routine echocardiography.

In our clinical practice of assessing patients with PH, we have noted a specific motion pattern that is characterized by the RV apex being pulled towards LV during systole. We named this pattern 'apical traction' (AT) (*Figure 1*, see Supplementary data online, *Video S1*). The purpose of this study was to investigate the origin of this motion pattern, to characterize patients in whom it occurs, and to determine the diagnostic and predictive values of AT in patients with PH.

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**Figure I** Schematic of the mechanism of apical traction as it can be observed in the apical four-chamber view. Impaired contraction of the RV and traction from the normally contracting LV results in abnormal motion of the cardiac apex towards the left (blue arrows). Grey, diastole; red, systole.

## Methods

#### Study population and study protocol

We screened patients with confirmed pre-capillary pulmonary hypertension, referred to the University Hospital Leuven between the years 2006 and 2009. Patients under 18 years of age, with irregular heart rhythm, poor echocardiographic image quality, congenital heart defects, or shunt lesions were not considered. In total, 62 patients could be included. After inclusion, all patients received state-of-the-art PAH treatment including phosphodiesterase 5 inhibitors, endotheline receptor antagonists, prostacyclin analogues, or atrial septostomy in addition to conventional treatment at the discretion of the treating physician.

As part of the clinical routine, all patients underwent a baseline echocardiogram before the start of treatment. Patients were assigned to two groups based on the occurrence of AT (AT group/Non-AT group).

All patients were regularly seen in the PH clinic of our hospital. The follow-up period was defined as the time from baseline echocardiography to the end of the study (June 2013). All-cause mortality and heart–lung transplantation were chosen as primary end points. The study protocol was approved by the ethics committee of the University Hospital Leuven. Since the protocol was judged to pose no additional risk on the patient, the obligation for obtaining a written informed consent was waived.

#### **Echocardiographic examination**

All inclusion echocardiograms were performed before the start of PH-specific treatment on a Vivid 7 or Vivid E9 ultrasound systems (GE Vingmed Ultrasound, Horten, Norway). Image raw data were digitally stored and retrospectively analysed using a dedicated workstation (EchoPAC, BT 12, GE Vingmed Ultrasound).

#### Standard echocardiography

We measured RV dimensions, end-diastolic area, end-systolic area, RV FAC, and TAPSE of the free wall annulus.<sup>7</sup> Pulmonary arterial pressure (PAP) was calculated by adding the tricuspid regurgitation peak gradient to the right atrial pressure as estimated from inferior vena cava dimension and diameter variation.<sup>7</sup> LVEF was calculated by using modified Simpson's method.

#### Visual and quantitative assessment of apical traction

Occurrence of AT, defined as a motion of the RV apex towards the LV, was independently assessed by three readers, blinded to each other and any other patient-related information. In case of disagreement, a majority decision was taken. Patients were grouped according to this visual assessment.

In an attempt to quantify AT, speckle tracking was performed in four-chamber-view recordings using a tracking region of interest that included the apex and the apical parts of the free walls of both ventricles. Two displacement curves were selected from the apical segments of which the left lateral was inverted before both curves were averaged (*Figure 2*). The amplitude of the resulting curve was considered to reflect the motion of the apex due to the tracking.

#### Quantitative assessment of myocardial deformation

Standard speckle tracking was performed in RV and LV. Typical frame rate was 50 fps. In the LV, a horseshoe-shaped contour was drawn around the endocardium, and LV global longitudinal strain (GLS) as well as segmental longitudinal strain (LS) of LV lateral wall were measured in the apical four-chamber view and at least one more apical view. For the RV, the contour was drawn in the four-chamber view and included the RV free wall and the septum to achieve better stability of the signals, although only the data from the RV free wall were used to determine GLS and segmental LS. To provide a quantitative measure of the traction to which the cardiac apex is exposed to, the differences between RV free-wall LS and LV GLS were calculated.

#### Haemodynamics

RHC was performed in 56 patients. In six patients, RHC was refused by the patient or not clinically indicated due to existence of confirmed CTEPH, advanced age, or co-morbidity. Haemodynamic measurements included right atrial pressure (RAP), systolic and diastolic PAP, pulmonary capillary wedge pressure (PCWP), and mixed venous oxygen saturation (SvO<sub>2</sub>). Furthermore, we calculated mean PAP, pulmonary vascular resistance (PVR), and cardiac index according to Fick's formula.

#### **Statistical analysis**

Continuous variables are presented as mean ± standard deviation, and categorical data are presented as percentages or frequencies. Continuous variables were examined by Kolmogorov-Smirnov test to check for normality of distribution. The patient population was categorized based on having AT or not. Baseline characteristics were compared between groups using the Student *t*-test or the  $\chi^2$  test. Correlation between AT amplitude and difference between RV free wall and LS LV GLS were tested by using Pearson correlation coefficient. Cumulative end point estimates of the two patient groups were calculated with the Kaplan-Meier method, considering the date of the first echocardiography as onset of follow-up. Significant determinants of all-cause mortality and heart-lung transplantation were assessed with the Cox proportional hazard model with backward stepwise likelihood ratio. Clinical, echocardiographic, and invasive variables, including age, gender, NYHA FC, TAPSE, cardiac index, RV free-wall LS, and AT, were analysed in a multivariable model. Gender and occurrence of AT



**Figure 2** Principle of the calculation of apical traction. (A) To estimate the traction of the apex  $(AT_{4CV})$  perpendicular to the longitudinal axis of the heart, two local displacement curves  $(dx_L, dx_R)$  (B) are obtained from both sides of the apex, e.g. by means of speckle tracking. (C) Then, the left-sided displacement curve  $(dx_{L})$  is inverted, which allows averaging. (D) Apical traction is then measured as displacement of the apex  $(dx_{averaged})$  during systole, i.e. between mitral valve closure (MVC) and aortic valve closure (AVC).

were encoded as categorical variables, and the rest were encoded as continuous variables. The significance level for a variable to remain in the multivariable model was 0.10, and the exclusion criterion was 0.20. A two-tailed *P*-value of  $\leq$  0.05 was considered as statistically significant. All data were analysed using SPSS version 18.0.

## **Results**

Classification of patients as having AT or not was concordant between all three readers in 60 of 62 patients. In only two patients, a majority decision was needed. Thirty-one patients were found to have AT. The baseline characteristics of both groups are presented in *Table 1*. No difference in age and gender between groups was observed. The AT group had a significantly worse NYHA functional class a shorter 6-min walking distance. The majority of the patients with AT were in NYHA class III (20/31) or IV (5/31). They also had more elevated RAP, PVR, and mean PAP, and more depressed cardiac index and lower SvO<sub>2</sub>. There was no difference in PCWP between groups.

# Conventional echocardiographic RV function parameters

The standard echocardiographic variables and values from myocardial deformation imaging are presented in *Table 2*. LVEF was not different between groups. Patients with AT had larger RV dimensions and worse RV function assessed by FAC and TAPSE. In addition, patients with AT have more elevated systolic PAP.

## Magnitude of AT and ventricular function

Both LV GLS and the LS of LV lateral wall were not different between groups. RV free-wall LS amplitude was significantly lower in the AT group. As a consequence, the absolute difference between RV free-wall LS and LV GLS was significantly higher in AT group which explains the traction of the cardiac apex towards the left side. The quantitative assessment of the apical motion revealed a motion amplitude of  $6.1 \pm 2.4$  mm in the AT group and  $0.4 \pm 2.1$  mm in the Non-AT group, respectively ( $P \le 0.001$ ), while both, AT amplitude was significantly correlated with the RV free-wall LS – LV GLS difference (r = 0.528, P < 0.001) (*Figure 3*).

### **Clinical outcome**

The mean follow-up period in our patient population was  $45.3 \pm 25.3$  months. The primary end point was reached in 24 patients (21 deaths and 3 transplantations). Sixteen events (13 deaths and 3 transplantations) occurred in AT group (51.6%), while only eight deaths were observed in the Non-AT group (25.8%).

Predictors of the end point assessed by univariable analysis are shown in *Table 3*, and Kaplan–Meier survival curves of the AT and

	AT group $(n = 31)$	Non-AT group $(n = 31)$	Р
A. Clinical findings			
Age (years)	$57\pm16$	64 <u>+</u> 14	0.072
Gender (female)	19 (74.2%)	23 (61.3%)	0.277
Aetiology			0.007
Anorexigens	0 (0%)	4 (12.9%)	
CTEPH	11 (35.5%)	18 (58.1%)	
Connective tissue disease	5 (16.1%)	5 (16.1%)	
Idiopathic	15 (48.4%)	4 (12.9%)	
NYHA functional class			0.044
1	0 (0%)	4 (12.9%)	
2	6 (19.4%)	10 (32.3%)	
3	20 (58.1%)	16 (51.6%)	
4	5 (9.7%)	1 (3.2%)	
	AT group (n = 27)	Non-AT group (n = 28)	
B. Measurements			
6MWD (m)	291 <u>+</u> 150	388 ± 157	0.024
Heart catheterization	AT group $(n = 31)$	Non-AT group $(n = 25)$	
RAP (mmHg)	7.3 ± 5.8	4.6 ± 3.8	0.048
Systolic PAP (mmHg)	82 <u>+</u> 15	71 <u>+</u> 21	0.040
Diastolic PAP (mmHg)	32 ± 8	$24 \pm 8$	0.001
Mean PAP (mmHg)	49 <u>+</u> 10	40 <u>+</u> 12	0.004
$PVR (dyne \times s/cm^5)$	1137 <u>+</u> 363	670 ± 338	< 0.001
PCWP (mmHg)	8.4 ± 3.8	7.4 <u>+</u> 3.6	0.314
Cardiac Index (L/min $\times$ m <sup>2</sup> )	1.5 ± 0.3	$2.3 \pm 0.5$	< 0.001
Su() (%)	587 + 61	656 ± 93	0.002

Values are mean  $\pm$  SD or *n* (%).

6MWD, 6-min walking distance; CTEPH, chronic thromboembolic pulmonary hypertension; NYHA, New York Heart Association; PAP, pulmonary artery pressure; PVR, pulmonary vascular resistance; PCWP, pulmonary capillary wedge pressure; RAP, right atrial pressure; SvO<sub>2</sub>, mixed venous oxygen saturation.

Non-AT group are displayed in *Figure 4*. The cumulative rate of end points was significantly higher in the AT group (Log-rank, P = 0.033).

Age, gender, TAPSE, RV free-wall LS, NYHA FC, and cardiac index (all but age and gender have P < 0.1) were included in the multivariable model (*Table 4*). Of these, gender, RV free-wall LS, and NYHA FC were found to be statistically significant determinants of the outcome. AT was a strong and independent predictor of the outcome with a hazard ratio of 14.8 in multivariable analysis (95% CI 1.7–129.6, P = 0.015) (*Figure 5*).

## Discussion

#### Main findings of the study

In a cohort of patients with pre-capillary PH, we observed in 50% a characteristic motion pattern with the cardiac apex being pulled towards the LV in the echocardiographic four-chamber view. This so-called AT could be detected with high concordance between observers. Quantitative analysis of LV and RV deformation suggests that this specific motion pattern is caused by a dysbalance between

the reduced RV and relatively normal LV function. The occurrence of AT was independently associated with the worse outcome (15-fold higher mortality or heart–lung transplantation during follow-up).

## **Conventional assessment of RV function**

PH leads to RV remodelling and dysfunction which is strongly inversely associated with the functional capacity and mortality of the patients. <sup>1,3,6</sup> Although RHC is considered the gold standard, echocardiography has become the most widely used tool to assess RV function in daily practice as it is non-invasive and widely available. However, assessment of RV function with echocardiography is challenging due to the complex shape and retrosternal position of RV.<sup>7–9</sup>

Many conventional echocardiographic indices like RV EF, RV FAC, and TAPSE have been validated for the quantitative evaluation of RV function and shown to be related to the patient's prognosis.<sup>6</sup> However, studies have also shown that these indices can be of limited value due to bad image quality, global heart motion, or ventricular loading conditions.<sup>7,11–14,19</sup> Tissue Doppler and speckle

	AT group( $n = 31$ )	Non-AT Group $(n = 31)$	Р
Standard echocardiography			
RV basal diameter (cm)	5.6 <u>+</u> 0.7	4.6 <u>+</u> 0.6	< 0.001
RV mid cavity diameter (cm)	4.7 <u>+</u> 0.7	3.5 <u>+</u> 0.6	< 0.001
RV longitudinal diameter (cm)	8.0 <u>+</u> 0.7	7.1 <u>+</u> 0.8	< 0.001
RV end-diastolic area (cm <sup>2</sup> )	35.5 <u>+</u> 7.5	26.3 <u>+</u> 6.2	< 0.001
RV end-systolic area (cm <sup>2</sup> )	28.3 <u>+</u> 6.9	17.7 <u>+</u> 5.3	< 0.001
RV fractional area change (%)	20.3 <u>+</u> 6.1	33 <u>+</u> 7.1	< 0.001
TAPSE (mm)	1.3 ± 0.2	1.9 <u>+</u> 0.4	< 0.001
Systolic PAP (mm Hg)	91.5 <u>+</u> 15	75 <u>+</u> 23	0.002
IVC dimension (mm)	2.1 <u>+</u> 1.6	0.97 <u>+</u> 1.51	0.038
LV cardiac output (L/min)	3.6 <u>+</u> 0.9	4.7 <u>+</u> 0.99	< 0.001
LV ejection fraction (%)	62 <u>+</u> 3.8	62 <u>+</u> 5	0.843
Myocardial deformation imaging			
LV GLS (%)	$-20.8 \pm 4.6$	-21.6 ± 3.8	0.418
RV free-wall LS (%)	-12.4 <u>+</u> 3.4	-20.8 <u>+</u> 4.9	< 0.001
RV free-wall LS — LV GLS (%)	8.4 <u>+</u> 4.2	0.8 <u>+</u> 4.7	< 0.001
AT (mm)	6.1 <u>+</u> 2.4	0.4 ± 2.1	< 0.001

#### Table 2 Standard echocardiographic and myocardial deformation imaging values for groups

Values are mean  $\pm$  SD or *n* (%).

AT, apical traction; IVC, inferior vena cava; GLS, global longitudinal strain; LS, longitudinal strain; LV, left ventricle; RV, right ventricle; PAP, pulmonary artery pressure; TAPSE, tricuspid annular plane systolic excursion.



**Figure 3** Scatter plot of the apical traction amplitude in relation to the difference between RV and LV function as measured by RV free-wall GLS and LV GLS. The regression analysis revealed a significant correlation, suggesting a relation between AT and the imbalance in RV and LV function.

tracking derived function information have been suggested for RV assessment in several populations, including patients with PH.<sup>14–18</sup> RV LS is impaired in patients with PH and is a predictor of mortality.<sup>20–22</sup> However, direct RV myocardial functional measurements are similarly affected by loading conditions and are highly dependent on image quality. Besides that, no normal values are available at the moment.<sup>7,18</sup>

## Table 3Univariable predictors of all-cause mortality/transplantation among patients

Parameter	Hazard ratio	P-value	(95% CI)
Age (years)	1.01	0.387	0.984-1.042
Gender (m/f)	1.59	0.262	0.705-3.607
TAPSE (mm)	0.346	0.015	0.147-0.815
NYHA FC	2.654	0.004	1.371-5.138
Cardiac Index (L/min $\times$ m <sup>2</sup> )	0.467	0.071	0.204-1.067
RV Free-wall LS (%)	1.061	0.1	0.989-1.139
Apical traction (yes/no)	2.549	0.040	1.046-6.215

Gender and occurrence of AT were encoded as categorical variables, and the rest was encoded as a continuous variables.

AT, apical traction; CI, confidence interval; NYHA FC, New York Heart Association functional class; LS, longitudinal strain; RV, right ventricle; TAPSE, tricuspid annular plane systolic excursion.

In summary, the accurate assessment of RV function remains challenging in clinical routine and diagnosis and prognosis are commonly based on clinical judgement based on the integration of different measurements.<sup>14</sup> Any additional parameter that helps to improve this situation would therefore be welcome.

## **Apical traction**

In patients with PH, we frequently observed a specific motion pattern of the heart which was characterized by the cardiac apex being pulled towards the LV. It appeared particularly in patients with dilated, impaired RV when LV function was preserved (*Tables 1* and 2, *Figure 1*). Our data indicate that the magnitude of AT depends significantly on the dysbalance in RV and LV function (*Figure 3*). A detailed analysis reveals that the phenomenon of AT is predominantly driven by the impaired RV function (AT vs. RV free-wall LS: r = 0.5, P < 0.001), while LV function has less effect (AT vs. LV GLS: r = -0.02, P = 0.85). RV load seems to have only minor influence on AT (AT vs. mean PAP: r = 0.28, P = 0.04).

In our population of patients with chronic pre-capillary PH who were seen for the first time before the start of a specific treatment, AT appeared in half of the cases. The blinded readings of three different observers showed a very good concordance in the detection of AT, indicating that it is a clinically feasible, easy to recognize sign. It is a particular advantage of visual AT assessment over quantitative measurement methods, which is robust and feasible even when echogenicity is limited and image geometry is sub-optimal.





## Predictive value of AT

Our data indicate that the visual recognition of AT is a strong and independent predictor of patient mortality and transplant. Multivariable Cox regression analysis revealed a 14.8-fold higher risk of death or lung transplant when AT was present. Other parameters, such as NYHA FC and RV free-wall LS, were also found to be independent predictors (see *Table 4*) which is in agreement with large registry data.<sup>4,23</sup> While the predictive value of AT may not be significantly superior, the parameter is practical as it uses easily available visual information for the assessment of patients with PH. Our data suggest that AT can be clinically used as a highly feasible, easy to recognize visual indicator of bad patient prognosis.

## Limitations

In our study, we considered only outcome parameters, such as allcause mortality and transplantation. We did not investigate the effect of specific therapies on AT or the usability of AT for the followup of patients under treatment.

Our data are based on a registry of chronic pre-capillary PH from our Pulmonary Hypertension Clinic. Being a tertiary referral centre, a selection bias is likely and the frequency of AT in a general population of chronic PH patients may be lower. However, the occurrence of a parameter to be tested in half of the patient population is an ideal situation for the evaluation of its prognostic value.

Finally, the prognostic value was examined in a single-centre retrospective analysis. A prospective and potentially multi-centric study would be desirable to confirm and validate our findings.

## Conclusion

In our study, AT has been shown to offer a significant predictive value regarding all-cause mortality and heart–lung transplantation in patients with chronic pre-capillary PH. It may not be particularly superior to other, well-established parameters, but being robustly recognizable by simple visual assessment of echo images of even limited quality, it adds a new useful tool to the diagnostic armament of echocardiography. We therefore suggest AT as new prognostic

#### Table 4 Multivariable Cox regression analysis to define predictors of all-cause mortality/transplantation

Parameter	β	SE (β)	Hazard ratio	<i>P</i> -value	(95% CI)
Age (years)	0.021	0.014	1.022	0.123	0.994–1.050
Gender (m/f)	1.219	0.544	3.382	0.025	1.163-9.833
TAPSE (mm)	- 1.719	0.887	0.179	0.053	0.032-1.019
Cardiac Index (L/min $\times$ m <sup>2</sup> )	1.189	0.724	3.283	0.100	0.795-13.559
RV free-wall LS (%)	-0.206	0.085	0.814	0.016	0.689-0.962
Apical traction (yes/no)	2.696	1.106	14.826	0.015	1.696-129.642
NYHA FC	1.551	0.450	4.718	0.001	1.952-11.401

Multivariable Cox regression analysis in which co-variables are age, gender (being male), TAPSE, RV free-wall longitudinal strain, cardiac index, NYHA FC, and AT. Gender and occurrence of AT were encoded as categorical variables, and the rest were encoded as continuous variables.

β, regression coefficient; CI, confidence interval; NYHA FC, New York Heart Association functional class; LS, longitudinal strain; RV, right ventricle; SE, standard error; TAPSE, tricuspid annular plane systolic excursion.



**Figure 5** Probability of freedom from death or heart–lung transplant, with the effect of co-variables from multivariable Cox regression analysis (*Table 4*). AT, patients with apical traction; Non-AT, patients without apical traction.

echocardiographic marker for the assessment of patients with pre-capillary PH.

## Supplementary data

Supplementary data are available at European Heart Journal – Cardiovascular Imaging online.

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