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Apoptosis-related genes confer resistance to Fusarium wilt in transgenic 'Lady Finger' bananas.

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1 **Apoptosis-related genes confer resistance to Fusarium wilt in transgenic**  
2 **‘Lady Finger’ bananas**

3

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25 **Running title:** Transgenic resistance to Fusarium wilt in banana

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34 **Summary**

35

36 Fusarium wilt, caused by *Fusarium oxysporum* f. sp. *cubense* (Foc), is one of the most  
37 devastating diseases of banana (*Musa* spp.). Apart from resistant cultivars, there are  
38 no effective control measures for the disease. We investigated whether the transgenic  
39 expression of apoptosis-inhibition related genes in banana could be used to confer  
40 disease resistance. Embryogenic cell suspensions of the banana cultivar, 'Lady Finger',  
41 were stably transformed with animal genes that negatively regulate apoptosis, namely  
42 *Bcl-xL*, *Ced-9* and *Bcl-2* 3' UTR, and independently transformed plant lines were  
43 regenerated for testing. Following a 12 week exposure to Foc race 1 in small-plant  
44 glasshouse bioassays, seven transgenic lines (2 x *Bcl-xL*, 3 x *Ced-9* and 2 x *Bcl-2* 3' UTR)  
45 showed significantly less internal and external disease symptoms than the wild-type  
46 susceptible 'Lady Finger' banana plants used as positive controls. Of these, one *Bcl-2* 3'  
47 UTR line showed resistance that was equivalent to that of wild-type Cavendish  
48 bananas that were included as resistant negative controls. Further, the resistance of  
49 this line continued for 23 weeks post-inoculation at which time the experiment was  
50 terminated. Using TUNEL assays, Foc race 1 was shown to induce apoptosis-like  
51 features in the roots of wild-type 'Lady Finger' plants consistent with a necrotrophic  
52 phase in the lifecycle of this pathogen. This was further supported by the observed  
53 reduction of these effects in the roots of the resistant *Bcl-2* 3' UTR transgenic line. This  
54 is the first report on the generation of transgenic banana plants with resistance to  
55 Fusarium wilt.

56

## 57 **Introduction**

58 Fusarium wilt, also known as Panama disease, has been and continues to be a major  
59 constraint and serious threat to banana (*Musa* spp.) production worldwide (Ploetz and  
60 Pegg, 2000). The disease is caused by the soil-borne fungal pathogen *Fusarium*  
61 *oxysporum* forma specialis (f. sp.) *cubense* (Foc) of which four physiologically distinct  
62 “races” (referred to as races 1-4) have been identified based on their variation in  
63 virulence to specific host cultivars. Races 2 and 3 are not considered economically  
64 important, as they do not infect commercially relevant banana cultivars. Foc race 1,  
65 however, infects commercially important cultivars such as Gros Michel (*Musa* spp. AAA  
66 group) and ‘Lady Finger’ (AAB). In the mid 1950’s, Foc race 1 decimated the major  
67 export cultivar (Gros Michel) in South and Central America, essentially eliminating its  
68 use and leading to the adoption of the race 1-resistant Cavendish subgroup of cultivars  
69 (AAA) as the dominant export commodity. Foc race 4 infects all race 1-susceptible  
70 cultivars as well as the Cavendish cultivars and, until relatively recently, only affected  
71 bananas in subtropical climates (and was therefore designated subtropical race 4  
72 (SR4)). More recently, a newly discovered Foc variant called tropical race 4 (TR4) has  
73 been identified which affects Cavendish cultivars, and other locally important types  
74 such as the plantains, growing in tropical regions. This variant is apparently spreading  
75 and has been responsible for significant plantation losses in Southeast Asia, particularly  
76 Malaysia, China, Philippines and Indonesia as well as northern Australia (Ploetz and  
77 Pegg, 2000; Ploetz, 2006).

78           There are currently no effective methods available for controlling Foc. Chemical  
79 control is ineffective, and *Fusarium chlamydosporos* remain viable in the soil for  
80 several decades rendering infested ground unsuitable for growing susceptible banana  
81 cultivars. It is generally accepted that the only option for controlling the disease is  
82 through the use of genetically resistant cultivars generated by conventional breeding  
83 or genetic modification. Although sources of resistance to Foc have been identified in  
84 wild bananas (Ploetz, 2006), the exploitation of these “resistance genes” by  
85 conventional breeding has been hampered by the extremely low fertility of  
86 commercial banana cultivars, which essentially do not set seeds, thus precluding  
87 traditional breeding strategies. As such, genetic modification is generally regarded as  
88 the most viable strategy for developing bananas with enhanced agronomic traits,  
89 particularly with the recent availability of efficient and reliable banana transformation  
90 protocols (Becker *et al.*, 2000; Khanna *et al.*, 2004).

91           Different forms of programmed cell death (PCD), including apoptosis and  
92 autophagy, occur in plants during normal growth and development as well as in  
93 response to environmental stresses and pathogen attack (Greenberg, 1996; Lenz *et al.*,  
94 2011). We have previously shown that the extensive cell death observed in banana cell  
95 suspensions exposed to *Agrobacterium tumefaciens* was accompanied by several  
96 features characteristic of apoptosis, including DNA laddering and fragmentation and  
97 the formation of apoptotic-like bodies (Khanna *et al.* 2007). Further, these cellular  
98 responses were shown to be inhibited in cells expressing the anti-apoptosis genes *Bcl-*  
99 *xL*, *Bcl-2* 3' UTR and *Ced-9*. The transgenic modification of pathways controlling PCD in  
100 plants has indicated that engineering resistance to biotic stresses in plants is a valid

101 strategy (Dickman *et al.*, 2001). Dickman *et al.* (2001) showed that the constitutive  
102 expression of various anti-apoptotic *Bcl-2* gene family members (including *Bcl-xL*, *Ced-*  
103 *9* and *Bcl-2* 3' UTR) in transgenic tobacco plants resulted in high levels of resistance to  
104 a broad range of necrotrophic fungi. Since *Fusarium oxysporum* is a necrotrophic  
105 fungus (Vajna, 1985; Trusov *et al.*, 2006) that kills host cells prior to infection through  
106 the predicted deployment of toxins and enzymes that induce cell death, we  
107 hypothesized that transgenic expression of anti-apoptosis genes would confer  
108 resistance to Foc. In this paper, we provide proof-of-principle that anti-apoptosis  
109 transgenes do confer resistance against Foc race 1 in banana.

## 110 **Results**

### 111 **Generation and characterization of transgenic plants**

112 Binary vectors were generated containing the anti-apoptosis genes *Bcl-xL*, *Ced-9* and  
113 *Bcl-2* 3' UTR, all under the control of the maize polyubiquitin (Ubi-1) constitutive  
114 promoter. Embryogenic cell suspensions (ECS) of the banana cultivar 'Lady Finger'  
115 were transformed with each of the constructs using an *Agrobacterium*-mediated  
116 transformation protocol from Khanna *et al.* (2004) and transgenic lines were  
117 regenerated. Thirty-one independently transformed lines (7 x *Bcl-xL*, 11 x *Ced-9*, 13 x  
118 *Bcl-2* 3' UTR) were identified based on PCR and Southern analysis and these were  
119 selected for further study.

120 To determine whether transgene expression resulted in any deleterious  
121 phenotypic effects, each of the transgenic lines was multiplied and 10 plants from each  
122 line were acclimatised and grown in the glasshouse for eight weeks. Plants from three

123 *Bcl-xL* lines, two *Ced-9* lines and five *Bcl-2* 3' UTR lines displayed a range of mild  
124 phenotypic abnormalities which included stunting as well as altered leaf morphology  
125 and phyllotaxy. In most cases, the abnormal phenotype was present in every replicate  
126 of a given line. None of the cell control lines displayed abnormalities. Phenotypic  
127 abnormalities have previously been reported in plants expressing high levels of anti-  
128 apoptosis genes (Dickman *et al.* 2001); however, abnormal phenotypes, or 'off-types',  
129 also occur naturally in non-transgenic tissue-cultured banana due to somaclonal  
130 variations (Côte *et al.*, 1993; Israeli *et al.*, 1996; Reuveni *et al.*, 1996). It is not known  
131 whether the abnormalities observed in some transgenic plants generated in this study  
132 were the result of naturally occurring somaclonal variations or transgene expression or  
133 both. However, the observation that (i) all but one of these abnormalities were off-  
134 types commonly observed associated with somaclonal variation in tissue-cultured,  
135 non-transgenic banana plants (Israeli *et al.*, 1991) and (ii) none of the abnormalities  
136 were typical of those previously associated with anti-apoptosis transgene expression  
137 (Dickman *et al.* 2001), suggested that they were unlikely to be transgene-related.

### 138 **Glasshouse trials**

139 Two independent "small-plant" bioassay glasshouse trials were conducted to assess  
140 the transgenic banana lines for resistance to Foc race 1. The first trial (T1) included 15  
141 independently transformed banana lines (6 x *Bcl-xL*, 5 x *Ced-9* and 4 x *Bcl-2* 3' UTR)  
142 while the second trial (T2) included the most promising line/s from trial 1 plus an  
143 additional 16 independently transformed banana lines (1 x *Bcl-xL*, 6 x *Ced-9* and 9 x  
144 *Bcl-2* 3' UTR). For each of the trials, 10 plants of each transgenic line were inoculated

145 while 10 plants of both wild-type susceptible 'Lady Finger' and resistant Cavendish cv  
146 'Grand Naine' plants were included as controls. Two plants from each transgenic and  
147 wild-type line were also included as non-inoculated controls. Twelve weeks post-  
148 inoculation, the external (yellowing, wilting, stem-splitting) and internal symptoms  
149 (corm discoloration) of Fusarium wilt infection were assessed. In the second trial, a  
150 subset of plants was evaluated at 23 weeks post-inoculation to assess the potential for  
151 long term resistance to Fusarium wilt in the glasshouse.

152 In both trials, typical external disease symptoms first appeared on the  
153 susceptible 'Lady Finger' wild-type positive control plants within 3 to 5 weeks. At 12  
154 weeks post-inoculation (Figure 1a), these plants showed mean yellowing and wilting  
155 scores of 2 and 2.2, respectively (on a scale of 1-5), and mean stem-splitting scores of  
156 2.8 (on a scale of 1-3) in T1 and scores of 2.6, 2.9 and 2.6, respectively, in T2 (Table 1).  
157 When the internal symptoms were assessed, an average of 44.9% and 50.6% corm  
158 discoloration was observed in trials 1 and 2, respectively (Table 1 and Figure 2a). As  
159 expected, plants from the resistant 'Grand Naine' wild-type line treated with Foc race  
160 1 displayed no symptoms of wilting and stem-splitting, with corm discoloration at 2.8%  
161 and 0.1% in trials 1 and 2, respectively (Table 1 and Figure 2a). For the 'Grand Naine'  
162 controls, the average wilting and stem-splitting ratings were significantly less than the  
163 'Lady Finger' controls; however, the average yellowing ratings were not, suggesting  
164 this symptom was not a reliable disease indicator in small plant assays. None of the  
165 non-inoculated wild-type plants of either cultivar showed external or internal signs of  
166 infection (Figures 1 and 2b).



167           Seven transgenic lines (2 x *Bcl-xL* (15 and 30), 3 x *Ced-9* (1, 4 and 6) and 2 x *Bcl-*  
168 2 3' UTR (6 and 17)) identified from both trials had significantly lower disease ratings  
169 than the wild-type susceptible 'Lady Finger' control banana plants. For line *Bcl-xL*-15  
170 and line *Ced-9*-6, only the stem-splitting rating and percentage corm discoloration  
171 were significantly lower than the susceptible controls while for line *Bcl-xL*-30, the  
172 wilting and stem-splitting ratings and percentage corm discoloration were significantly  
173 lower (Table 1 and Figure 2). For two *Ced-9* lines (*Ced-9*-1 and *Ced-9*-4) and two *Bcl-2*  
174 3' UTR lines (*Bcl-2* 3' UTR-6 and *Bcl-2* 3' UTR-17), all external symptom ratings and the  
175 amount of vascular discoloration were significantly lower than the susceptible controls  
176 (Table 1, Figures 1b,c and 2).

177           Since all of the external symptom ratings for *Ced-9*-4, *Bcl-2* 3' UTR-6 and 17 in  
178 trial 2 were significantly lower than the susceptible controls, one replicate for each line  
179 was not sacrificed for evaluation of internal symptoms at week 12, but was instead  
180 kept in the glasshouse for an additional 11 weeks. Non-inoculated wild-type 'Lady  
181 Finger' plants were also kept as controls. At 23 weeks post-inoculation, the external  
182 and internal symptoms were assessed. The *Bcl-2* 3' UTR-17, *Ced-9*-4 and *Bcl-2* 3' UTR-  
183 17 plants had increased external symptom ratings over that additional 11 weeks  
184 period along with an increase in the amount of corm discoloration. In contrast, none of  
185 the external symptom ratings of the *Bcl-2* 3' UTR-6 plant increased over that period  
186 nor did the amount of vascular discoloration.

187   **Further characterisation of the most resistant plant lines**

188 To determine whether there was any correlation between the expression of the anti-  
189 apoptosis transgenes and the level of disease resistance, the seven most promising  
190 transgenic lines were analyzed by semi-quantitative RT-PCR and/or Western analysis.  
191 Due to a lack of suitable antibodies against Ced-9, Western analysis could only be done  
192 on the 2 x *Bcl-xL* (15 and 30) lines. For the 3 x *Ced-9* (1, 4 and 6) and 2 x *Bcl-2* 3' UTR (6  
193 and 17) lines, RT-PCR was used to assess transcript levels. Both RT-PCR and Western  
194 analyses revealed that higher transcript/protein levels were correlated with the  
195 resistance phenotype. For example, the amounts of *Ced-9* and *Bcl-2* 3' UTR-specific  
196 transcripts were found to be highest in the most resistant lines, *Ced-9-1* and *Bcl-2*  
197 3'UTR-6 (Figure 3a), respectively. Similarly, Western analysis revealed that higher  
198 amounts of Bcl-xL were present in line *Bcl-xL-30* than in line *Bcl-xL-15* (Figure 3b), with  
199 the former line also displaying a higher level of disease resistance. An unexpected  
200 observation was the cross-reactivity of the anti-Bcl-xL antibodies with a lower  
201 molecular weight protein present in all wild-type and transgenic lines tested.

## 202 **Apoptotic response of banana roots to Foc**

203 Foc is considered to be a necrotrophic pathogen. Since necrotrophic pathogen appear  
204 to induce PCD during the course of infection (Dickman *et al.*, 2001), we examined  
205 whether Foc could induce PCD-like features in banana roots and, if so, whether these  
206 effects could be prevented/reduced in the resistant transgenic banana line *Bcl-2* 3'  
207 UTR-6. The terminal deoxynucleotidyltransferase-mediated dUTP nick-end labelling  
208 (TUNEL) assay is commonly used to detect two characteristic features of PCD  
209 associated with apoptosis, namely DNA fragmentation and the formation of apoptotic

210 bodies, which occur from coalescence of specifically cleaved DNA. The assay relies on  
211 the presence of specific nicks in the DNA which can be identified by terminal  
212 deoxynucleotidyl transferase, an enzyme that catalyzes the addition of dUTPs that are  
213 secondarily labelled with a marker (fluorescein).

214         Roots from three wild-type susceptible 'Lady Finger' plants and three wild-type  
215 resistant 'Grand Naine' plants, as well as three clones of the transgenic banana line  
216 *Bcl-2* 3' UTR-6, were initially incubated separately in a solution of sugar-free liquid  
217 rooting medium without Foc as controls. Root tissue samples taken at 48 h were  
218 analysed by TUNEL assays and all samples contained largely intact nuclei with very few,  
219 if any, green (TUNEL-positive) apoptotic cells indicating that experimental conditions  
220 were not causing any background apoptotic effects (Figure 4a(i), b(i), c(i)). In contrast,  
221 DNase-treated roots included as positive controls displayed a relatively large number  
222 of green, apoptotic cells (Figure 4a(iii), b(iii), c(iii)).

223         When roots from wild-type 'Lady Finger' banana plants were incubated with an  
224 Foc spore suspension, TUNEL-positive nuclei were observed as early as 6 h post-  
225 inoculation (Figure 4a(v)) with the incidence of TUNEL-positive cells increasing to a  
226 maximum at 48 h (Figure 4a(vii), (ix), (xi)). At 48 h post-inoculation, a slight  
227 necrosis/browning type cell death was observed in the root tissue, at which time  
228 distorted nuclei and apoptotic-like bodies containing fragmented DNA were also  
229 observed. In comparison, roots from the *Bcl-2* 3' UTR-transgenic plants exposed to Foc  
230 contained very few TUNEL-positive nuclei, like the non-exposed controls, and these  
231 were only detected after 12 and 24 h exposure to Foc (Figure 4b(v), (vii)). In addition,

232 the tissue browning phenotype previously noted in Foc-exposed wild-type plants was  
233 not observed.

234 As a further control, the roots from the wild-type 'Grand Naine' plants, which  
235 are known to show field resistance to Foc race 1, were exposed to an Foc race 1 spore  
236 suspension. TUNEL assays (Figure 4c(vii)) revealed that only a small number of positive  
237 cells, similar to non-exposed controls, were present in roots of the 'Grand Naine'  
238 cultivar primarily after 24 h exposure. These results indicate that (i) DNA  
239 fragmentation and cell browning observed in the wild-type 'Lady Finger' banana roots  
240 following exposure to Foc is a consequence of PCD which, in the case of the *Bcl-2* 3'  
241 UTR-transgenic plants, could be reduced via expression of anti-apoptosis genes and (ii)  
242 the lack of PCD in banana root cells following exposure to Foc is correlated with a  
243 resistance phenotype.

## 244 **Discussion**

245 The transgenic modification of pathways regulating PCD in plants is emerging as a  
246 promising strategy for engineering broad-spectrum resistance to both biotic and  
247 abiotic stresses in plants (Dickman *et al.*, 2001; Lincoln *et al.*, 2002; Li and Dickman,  
248 2004). Such a strategy is particularly suited for generating disease resistant bananas as  
249 there are limited options for genetic improvement of this crop. In this study, we have  
250 provided proof-of-principle that anti-apoptosis genes can be used in banana to confer  
251 resistance against Foc race 1. Of the 31 transgenic 'Lady Finger' banana lines  
252 challenged with Foc race 1 in small-plant glasshouse bioassays, two *Bcl-xL*, three *Ced-9*  
253 and two *Bcl-2* 3' UTR-transgenic lines exhibited significantly less external and internal

254 disease symptoms than wild-type susceptible 'Lady Finger' control plants after a 12  
255 week exposure to the pathogen. Of these lines, the transgenic line *Bcl-2* 3' UTR-6  
256 showed a level of resistance similar to the 'Grand Naine' cultivar. Importantly, further  
257 monitoring of the line *Bcl-2* 3' UTR-6 transgenic showed that the resistance continued  
258 for at least 5 months after inoculation.

259         Despite limited published evidence, Foc is considered to be a necrotrophic  
260 pathogen. Such pathogens, by definition, require dead cells for nutrition, growth and  
261 development. A characteristic feature associated with many necrotrophs is the  
262 appearance of host PCD during the course of infection. Dickman *et al.* (2001) reported  
263 apoptotic responses in plants infected with several different necrotrophic fungi,  
264 including *Sclerotinia sclerotiorum*, *Botrytis cinerea* and *Cercospora nicotianae*, and  
265 concluded that apoptosis-like PCD occurs in compatible plant-necrotrophic pathogen  
266 interactions. In this study, we used TUNEL assays to demonstrate that an apoptotic-like  
267 cell death is triggered in susceptible wild-type banana root cells as early as 6 hours  
268 post-exposure to a suspension of Foc spores. Further evidence suggesting that the  
269 observed DNA fragmentation was apoptotic in nature was provided from TUNEL assays  
270 on roots from a Foc race 1-resistant *Bcl-2* 3' UTR-transgenic line in which these effects  
271 were significantly attenuated or absent. These results provide further evidence that  
272 Foc race 1 is a necrotrophic pathogen or at least has a necrotrophic stage in its life  
273 cycle.

274         Little is known regarding the molecular mechanisms underlying Foc infection  
275 and PCD induction in banana. Toxins produced by many necrotrophic fungi, including  
276 oxalic acid from *Sclerotinia sclerotiorum*, AAL from *Alternaria alternata*, Fumonisin B1

277 from *F. verticillioides* and victorin from *Cochliobolus victoriae*, induce characteristic  
278 hallmarks of apoptosis in plants (Abbas *et al.*, 1995; Navarre and Wolpert, 1999; Kim *et*  
279 *al.*, 2008). Further, in *Fusarium* species such as *F. verticillioides* and *F. moniliforme*,  
280 several secondary metabolites, including fumonisins, are produced during infections  
281 and act as powerful elicitors of PCD (Wang *et al.*, 1996; Stone *et al.*, 2000). Based on  
282 what is known about other *F. oxysporum* f. sp, Foc is predicted to produce mycotoxins  
283 which may be involved in stimulating cell death in the host and facilitating fungal  
284 growth. However, whether this and/or other mycotoxins are responsible for the PCD  
285 observed in Foc-infected 'Lady Finger' banana cells is unknown.

286         The mechanism(s) by which anti-apoptosis genes confer protection against  
287 necrotrophic fungi is still unclear. Cytological studies on tobacco transformed with *Bcl-*  
288 *xL* and *Ced-9* revealed that these proteins localized to mitochondria and other  
289 organelles including the vacuole and chloroplast (Chen and Dickman, 2004). Based on  
290 these observations, it was suggested that the proteins might improve the overall  
291 function of organelles by assisting in the generation of ATP in the mitochondrion or  
292 photorespiration to prevent ROS production in the chloroplast under stress conditions  
293 (Chen *et al.*, 2003; Chen and Dickman, 2004; Li and Dickman, 2004). By analogy, *Bcl-xL*  
294 and/or *Ced-9* may prevent cell death and enhance plant resistance characteristics by  
295 contributing to the maintenance of organelle homeostasis (Qiao *et al.*, 2002). The  
296 mechanism/s by which *Bcl-2* 3' UTR confers resistance to plant cells is also unknown.  
297 However, the *Bcl-2* 3' UTR transcript is thought to interact with pro-apoptotic proteins  
298 at the RNA level (Dickman *et al.*, 2001) to mediate their degradation via the  
299 ubiquitin/proteasome pathway (Martin Dickman, unpublished).

300           The generation of ‘Lady Finger’ banana plants with resistance to Foc race 1 is  
301 significant considering the serious economic and social impact caused by Fusarium wilt  
302 and the fact that no sustainable control strategy currently exists for this disease. The  
303 glasshouse tested resistant lines described here are very promising, however, testing  
304 of the transgenic plants under field conditions will ultimately be required, preferably  
305 through at least two crop cycles as symptoms of Foc infection can sometimes take up  
306 to 12 months to appear. Although the concentration of Foc in naturally infested soils is  
307 typically unknown, it is likely that the Foc inoculum pressure in the field sites would be  
308 dramatically lower than that of the very high, confined inoculum load used in our  
309 small-plant glasshouse assays. As such, the number of transgenic plants resisting  
310 infection to Foc race 1 in the field might be considerably higher than that observed in  
311 glasshouse trials.

312           A further important next step will be to test the transgenic banana lines  
313 generated in this study for resistance to Foc race 4, and particularly Foc TR4 which is  
314 emerging as a major threat to the global banana industry (Ploetz, 2006; Buddenhagen,  
315 2009; Dita *et al.*, 2010). Since the apoptosis-related genes used in this study have been  
316 previously shown to generate broad resistance to a diversity of necrotrophic  
317 pathogens in other plants, it is possible that the transgenic banana generated in this  
318 study will also show resistance to the necrotrophic fungal disease black Sigatoka,  
319 caused by *Mycosphaerella fijiensis*, which also threatens the international viability of  
320 the crop. Assessment of plants for developmental and agronomic qualities under field  
321 conditions is also required, as well as evaluation of any increased susceptibility to  
322 biotrophic banana pathogens such as viruses.

## 323 **Experimental procedures**

### 324 **Transformation constructs**

325 Binary vectors pPTN254, pPTN261, pPTN396 and pPTN290 containing the maize  
326 polyubiquitin-1 (Ubi-1) promoter controlling the expression of anti-apoptosis genes  
327 *Bcl-xL*, *Ced-9*, *Bcl-2* 3' UTR and the *UidA* reporter gene encoding  $\beta$ -glucuronidase  
328 (GUS), respectively, were as described previously (Khanna *et al.*, 2007). All genes were  
329 fully sequenced in their original vector prior to transformation to verify the presence  
330 and integrity of the coding sequences and the promoter/gene and gene/terminator  
331 borders.

### 332 **Transformation of banana and molecular characterization of transgenic plants**

333 Embryogenic cell suspensions (ECSs) of the banana cultivar 'Lady Finger' (*Musa* spp.  
334 AAB group) were initiated, maintained and transformed as described previously  
335 (Khanna *et al.*, 2004). The molecular analysis of transgenic plants was essentially done  
336 as previously described by Khanna *et al.* (2007). RNA was extracted from 50 mg of  
337 fresh leaf tissue using an RNeasy Plant Mini kit (Qiagen, Valencia, CA, USA) following  
338 the manufacturer's instructions, and was treated with RNase-free DNase (Promega,  
339 Madison, WI, USA). RT-PCR was done using a Titan One Tube RT-PCR Kit (Roche  
340 Diagnostics Australia Pty Ltd, Castle Hill, NSW, Australia), with each reaction containing  
341 10 ng DNase-treated total RNA and the appropriate gene-specific primers. For Western  
342 analysis, crude plant protein extract (20  $\mu$ g) from 100 mg of leaf tissue was separated  
343 on a 10% denaturing SDS polyacrylamide gel and transferred to nitrocellulose  
344 membrane. The membrane was incubated with rabbit monoclonal anti-Bcl-xL (BL804)



345 (Bethyl Laboratories, Inc., Montgomery, TX, USA) primary antibody (diluted 1/1000 in  
346 blocking solution) for 16 h at 4°C. Following a subsequent incubation in HRP-  
347 conjugated secondary antibody in blocking solution for 1 h at 4°C, the SuperSignal  
348 West Femto (Pierce Biotechnology, Inc., Rockford, IL, USA) substrate was used for  
349 signal detection at 4°C until the desired intensity was achieved.

### 350 **Fungal cultures and inoculum preparation**

351 *Fusarium oxysporum* f. sp. *cubense* race 1 (VCG 0124/5) isolate was obtained from the  
352 Department of Employment, Economic Development and Innovation (DEEDI)  
353 herbarium, Indooroopilly Research Centre, Australia. The fungus was grown on 1/4  
354 strength potato dextrose agar (PDA) supplemented with 50 mg/L streptomycin and  
355 incubated for 3 to 5 days at 25°C. The inoculum used for small-plant bioassays was in  
356 the form of Foc-colonized Japanese millet (*Echinochloa esculenta*) grain (Smith *et al.*,  
357 2008). For *in vitro* root assays, spore suspensions were used as inoculum. These were  
358 prepared by inoculating 100 mL of *Fusarium* liquid growth medium-A (without yeast  
359 extract and supplemented with 20.6 g/L tri-sodium citrate) (Ahamed and Vermette,  
360 2009) with two 7 mm diameter plugs of PDA-grown Foc. After shaking at 120 rpm for  
361 three days at 25°C, the medium was filtered through three layers of cheesecloth,  
362 centrifuged at 3850 g for 5 min and the pelleted conidia (a mixture of macroconidia  
363 and microconidia) were resuspended in 5 mL distilled water. Spore concentration was  
364 calculated using a haemocytometer before dilution to 10<sup>5</sup> spores/mL in sugar-free  
365 liquid rooting medium M5 (Côte *et al.*, 1996).

### 366 **Small-plant bioassays**

367 Tissue-cultured transgenic plants of the 'Lady Finger' cultivar and wild-type banana  
368 plants of 'Lady Finger' and Cavendish cv 'Grand Naine' were acclimatised and  
369 transplanted into 100 mm diameter pots in soil (Searles Premium Potting Mix, Kilcoy,  
370 Australia) for 8 weeks in a glasshouse at 27°C under natural light conditions.

371 Selected transgenic banana lines and wild-type control plants were inoculated  
372 with Foc race 1 in two independent glasshouse trials. For logistical reasons, each  
373 independent transgenic line was assayed once in trial 1 or trial 2 with the exception for  
374 *Bcl-2 3' UTR* line 6 which was assayed in both trials. For each line tested in both trials,  
375 10 replicate plants were inoculated and two plants were non-inoculated. Plants were  
376 inoculated with Foc race 1 using a modified version of the Foc small-plant bioassay  
377 protocol described by Smith *et al.* (2008). Briefly, 200 mm pots were half-filled with  
378 potting mix (7 mm gravel, sand, perlite, and vermiculite in a ratio of 2:2:1:1) and 20 mL  
379 of Foc-colonized millet grain (corresponding to an average of  $2 \times 10^8$  Foc spores) was  
380 placed on the surface. Acclimatized banana plants were placed directly on the millet  
381 grain and the pots were filled with potting mixture. A tablespoon of Osmocote Plus  
382 slow-release fertilizer (Scotts Australia Pty Ltd, Baulkham Hills, NSW, Australia) was  
383 added to each pot. Plants were maintained in a greenhouse with an average  
384 temperature between 23-25°C during the summer and autumn months.

### 385 **Assessment of disease symptoms**

386 Twelve weeks after inoculation, external and internal symptoms of Fusarium wilt  
387 infection were assessed using a modified version of the method described by Smith *et*  
388 *al.* (2008). External symptoms were assessed by scoring each plant for the intensity of

389 the three main disease symptoms. Yellowing and wilting were assessed using a 5 point  
390 scoring scale where 1 = healthy, no sign of symptoms (except natural degradation of  
391 lower leaves), 2 = slight symptoms, mainly on lower leaves, 3 = advanced symptoms  
392 (~50%), 4 = extensive symptoms (~90%) and 5 = entire plant affected (dead plant).  
393 Splitting of the stem was assessed using a 3 point scoring scale where 1 = no sign of  
394 splitting, 2 = slight splitting at the base of the plant and 3 = extensive splitting.  
395 Following assessment of external symptoms, plants were removed from their pots, the  
396 stem was cut longitudinally and digital images of the rhizome were taken using a  
397 Canon Ixus 75 digital camera. The percentage of discoloration (browning) of the stellar  
398 region of the corm was subsequently assessed from the digital images using a  
399 MATLAB<sup>®</sup>-based program to ensure accuracy and reproducibility.

#### 400 ***In vitro* root assays**

401 Tissue-cultured wild-type and transgenic 'Lady Finger' banana plants (5-7 cm tall)  
402 growing on M5 medium were transferred into liquid M5 medium in a 50 mL tube and  
403 roots were allowed to develop for two weeks. The plants were then transferred into  
404 separate fresh 50 mL tubes containing 7 mL Foc spore suspension ( $10^5$  spores/mL) and  
405 incubated at 25°C with shaking (120 rpm) for 48 h. Negative control samples were  
406 incubated in sugar-free M5 medium only. Root tip fragments (10 mm) for terminal  
407 deoxynucleotidyl transferase-mediated dUTP nick-end labelling (TUNEL) assays were  
408 taken from each plant at 6, 12, 24 and 48 h post-inoculation, washed in 10 mM  
409 phosphate-buffered saline (PBS), fixed for 24 h in 4% (v/v) paraformaldehyde in 10 mM  
410 PBS (pH 7.4) at 4°C and stored at 4°C in 70% ethanol.

#### 411 **TUNEL assays**

412 Root tip fragments were washed three times in fresh 10 mM PBS (pH 7.4) before being  
413 assayed by TUNEL essentially as described by Khanna *et al.* (2007). Positive control  
414 samples were made by subjecting root tissue to 1 unit of RNase-free DNase (Promega)  
415 for 10 min at room temperature. Stained root fragments were squash-mounted onto  
416 slides and examined under a BX41 microscope (Olympus Imaging Australia Pty Ltd,  
417 Macquarie Park, NSW, Australia) equipped with U-MWIBA3 and U-MWIY2 filters  
418 (Olympus), a DP71 microscope digital camera (Olympus) and the DP Manager software  
419 (Olympus).

#### 420 **Statistical analysis**

421 Correlations between data were established using a correlation matrix of all external  
422 and internal symptoms. Due to the ordinal scale of measurements, a Spearman's Rho  
423 correlation coefficient was calculated to determine the most appropriate choice for  
424 further statistical analysis. Based on a high correlation coefficient, a Multivariate  
425 Analysis of Variant (MANOVA) model was fitted using a general linear model (GLM)  
426 approach. This model included all four symptoms (yellowing, wilting, stem-splitting  
427 and vascular discoloration) concurrently. The LSD Post Hoc test was used to compare  
428 each plant line against the wild-type 'Lady Finger' control line with statistical  
429 significance reported at a level of  $P < 0.05$ , using a two-tailed test. Statistical analysis  
430 was done using SPSS 15.0 (SPSS Inc., Chicago, IL, USA).

#### 431 **Acknowledgements**

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435 Fiona Banks for technical assistance.

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548 **Tables**

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550 **Table 1** Assessment of external symptoms of Foc race 1 infection on *Bcl-xL*, *Ced-9* and  
 551 *Bcl-2* 3' UTR transgenic lines

Line	External symptom scores			Internal symptoms % discoloration
	Yellowing <sup>φ</sup>	Wilting <sup>φ</sup>	Stem-splitting <sup>λ</sup>	
GN (T1)	2.0	1.0*	1.0*	2.8*
LF (T1)	2.0	2.2	2.8	44.9
GN (T2)	1.9	1.0*	1.0*	0.1*
LF (T2)	2.6	2.9	2.6	50.6
<i>Bcl-xL</i> -15 (T1)	1.8	1.8	1.9*	26.8*
<i>Bcl-xL</i> -30 (T1)	2.0	1.3*	1.9*	12.6*
<i>Ced-9</i> -1 (T2)	1.2*	1.0*	1.0*	10.4*
<i>Ced-9</i> -4 (T2)	1.0*	1.0*	1.0*	23.6*
<i>Ced-9</i> -6 (T1)	2.0	2.0	2.0*	19.7*
<i>Bcl-2</i> 3' UTR-6 (T1)	1.0*	1.1*	1.4*	6.9*
<i>Bcl-2</i> 3' UTR-6 (T2)	1.0*	1.0*	1.0*	0.6*
<i>Bcl-2</i> 3' UTR-17 (T2)	1.2*	1.0*	1.5*	33.8*

552

553 Results are presented as score means based on 10 replicates.

554 <sup>φ</sup> Based on 1-5 scale

555 <sup>λ</sup> Based on 1-3 scale

556 GN Untransformed wild-type 'Grand Naine' cultivar

557 LF Untransformed wild-type 'Lady Finger' cultivar

558 \* Significantly different from their respective susceptible LF control lines in either trial 1 (T1) or trial 2  
 559 (T2) with  $P < 0.05$  based on LSD Post Hoc test.

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## 581 **Figure Legends**

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583 **Figure 1** Representative photographs of the external symptoms of Fusarium wilt  
584 infection on susceptible, wild-type 'Lady Finger' (a) and resistant, transgenic 'Lady  
585 Finger' *Ced-9-1* (b) and *Bcl-2 3' UTR-6* (c) banana plants at 12 weeks post-inoculation.  
586 Inoculated (I) and non-inoculated (NI) plants are shown as indicated.

587

588 **Figure 2** Assessment of the internal symptoms of Fusarium wilt in small-plant bioassays.  
589 (a) Quantitative assessment of the internal symptoms of Foc race 1 infection on  
590 resistant wild-type 'Grand Naine' (GN), susceptible wild-type 'Lady Finger' (LF) plants  
591 and selected transgenic lines harbouring transgenes *Bcl-xL*, *Ced-9* and *Bcl-2 3' UTR*. (b)  
592 Representative photographs of the internal symptoms of Fusarium wilt infection at 12  
593 weeks post-inoculation. Susceptible wild-type 'Lady Finger' plants (1 and 2), resistant  
594 wild-type 'Grand Naine' plants (3 and 4), transgenic 'Lady Finger' *Ced-9-6* (5 and 6) and  
595 *Bcl-2 3' UTR-6* (7 and 8) are shown. Transgenic banana lines were inoculated with Foc  
596 race 1 and grown in the glasshouse. After 12 weeks, the plants were scored for the  
597 characteristic external and internal symptoms. Results are presented as score means  $\pm$   
598 standard errors based on 10 replicates. The significance of differences between mean  
599 values was evaluated by the LSD Post Hoc test. Differences were considered significant  
600 at  $P < 0.05$ . \*Denotes the results are statistically significant from their respective  
601 susceptible LF line in either trial 1 (T1) or trial 2 (T2).

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603 **Figure 3** Transgene expression analysis in selected 'Lady Finger' transgenic banana  
604 lines. (a) RT-PCR transcript analysis of *Ced-9* lines 1, 4 and 6 and *Bcl-2 3' UTR* lines 6  
605 and 17. (b) Western analysis of *Bcl-xL* transgenic lines 15 and 30 (*Bcl-xL* expected size is  
606 28 kDa). P = *Bcl-xL* positive control consisting of 6His-*Bcl-xL*-6His protein expressed in  
607 *E. coli* (expected size is 31 kDa). WT = wild-type negative control.

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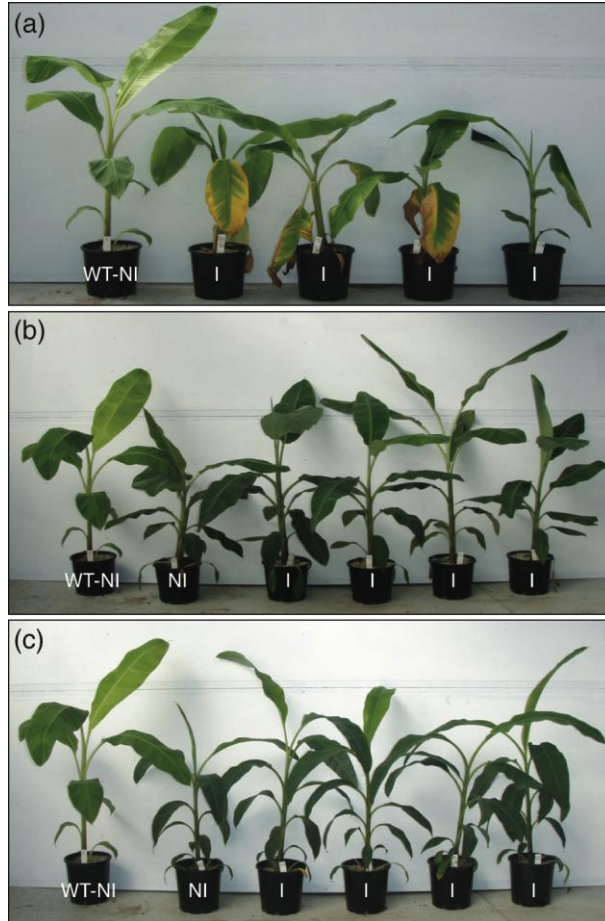
609 **Figure 4** Response of banana root cells to Foc race 1. Root tips from tissue-cultured  
610 susceptible wild-type 'Lady Finger' (a), resistant wild-type 'Grand Naine' (b) and  
611 transgenic 'Lady Finger' *Bcl-2 3' UTR-6* were exposed to either liquid rooting media  
612 alone [Negative control (N) for 48 h] or rooting media containing  $10^5$  Foc spores/mL,  
613 and subjected to TUNEL assays and propidium iodide counter-staining at 6, 12, 24 and  
614 48 h post-inoculation. Nucleic acid in TUNEL positive cells is selectively stained and  
615 fluoresces green, indicating the presence of apoptotic-like bodies, whereas all nucleic  
616 acid is counter-stained with propidium iodide and fluoresces red. Roots treated with  
617 DNase were used as a positive control (P). Magnification as indicated.

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620 **Figures**

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**Figure 1**

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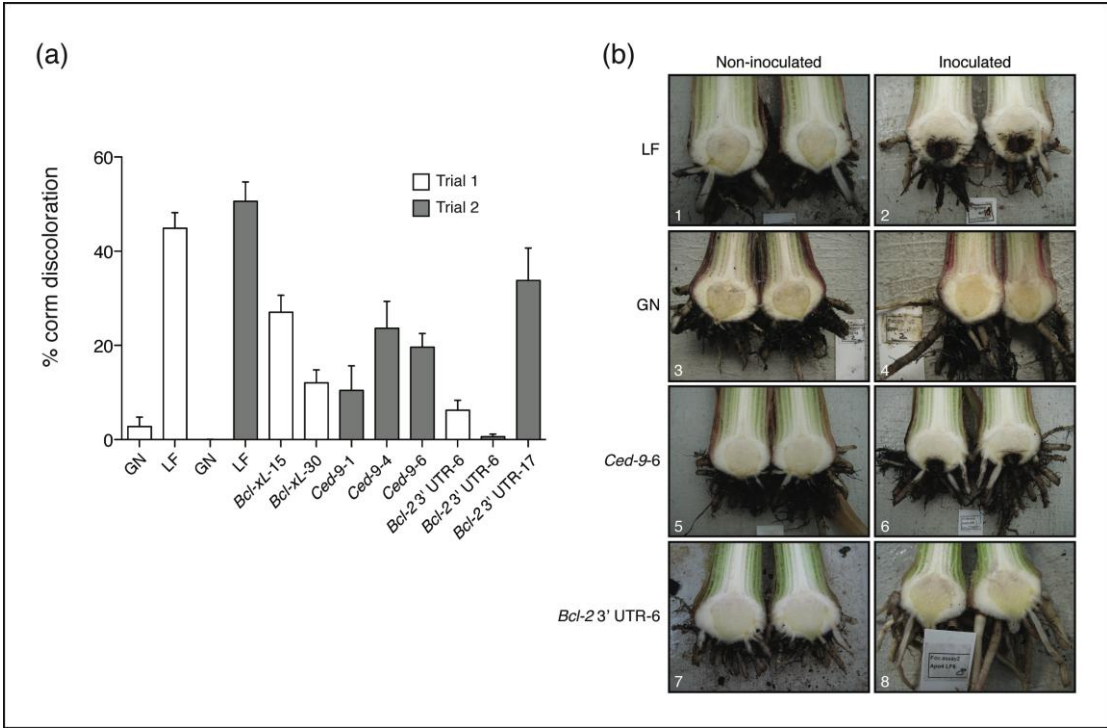
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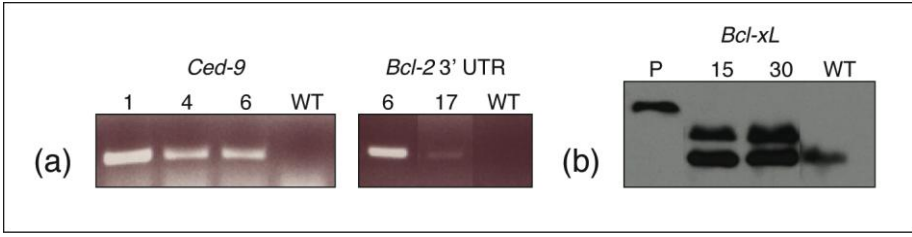
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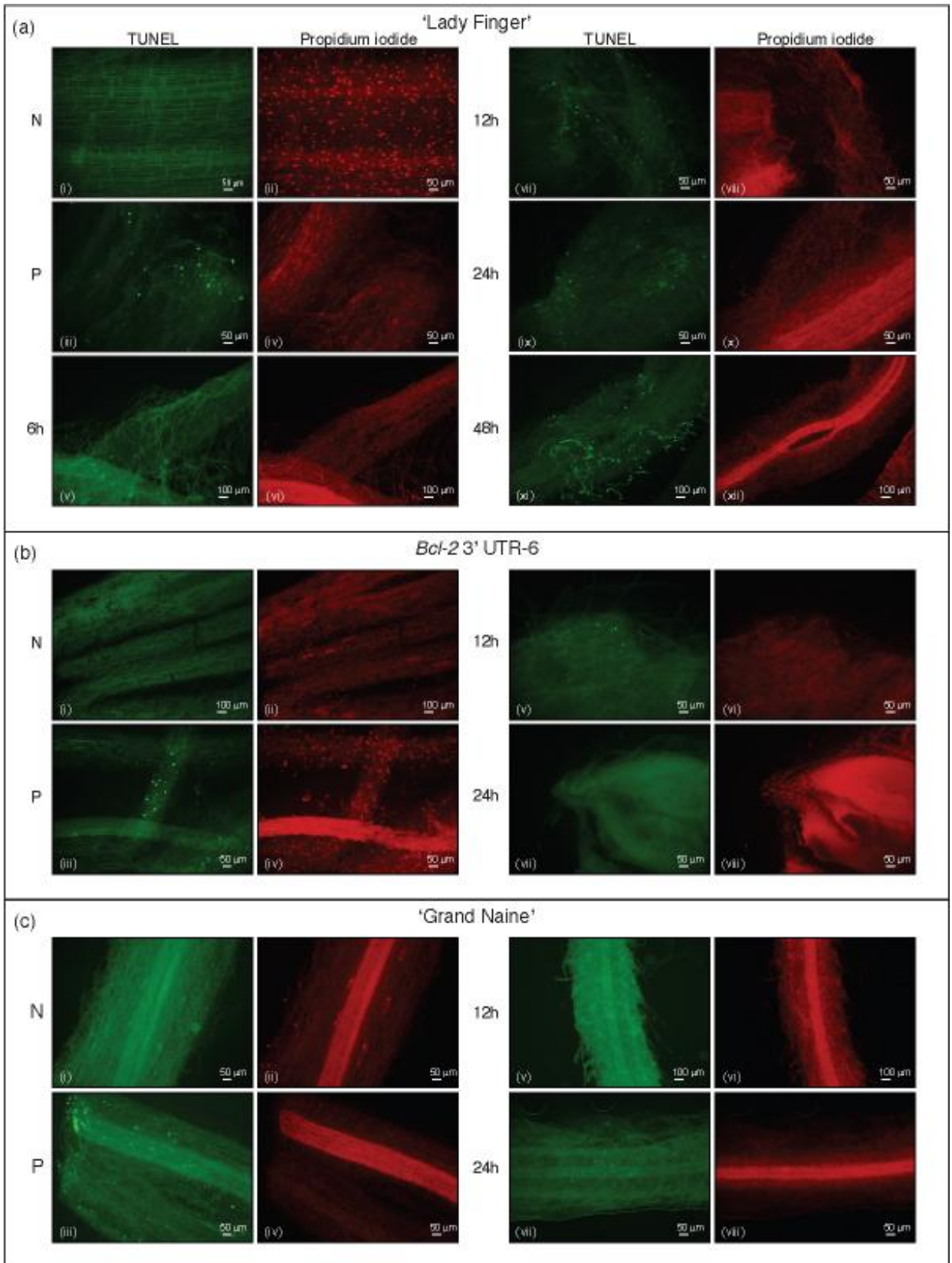
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**Figure 2**



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**Figure 3**



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666 **Figure 4**