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Application of Semiempirical Methods to Transition Metal Complexes: Fast Results but Hard-to-Predict Accuracy.

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KEYWORDS: Conformational Sampling, Density Functional Theory, Transition Metals, Conformational Energies, Semiempirical Methods.

ABSTRACT.

A series of semiempirical PM6* and PM7 methods has been tested in reproducing of relative conformational energies of 27 realistic-size complexes of 16 different transition metals (TMs).

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3 An analysis of relative energies derived from single-point energy evaluations on density
4 functional theory (DFT) optimized conformers revealed pronounced deviations between
5 semiempirical and DFT methods, indicating fundamental difference in potential energy surfaces
6 (PES). To identify the origin of the deviation, we compared fully optimized PM7 and respective
7 DFT conformers. For many complexes, differences in PM7 and DFT conformational energies
8 have been confirmed often manifesting themselves in false coordination of some atoms (H, O) to
9 TMs and chemical transformations/distortion of coordination center geometry in PM7 structures.
10 Despite geometry optimization with fixed coordination center geometry leads to some
11 improvements in conformational energies, the resulting accuracy is still too low to recommend
12 explored semiempirical methods for out-of-the-box conformational search/sampling: careful
13 testing is always needed.
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33 **1. Introduction**

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36 Transition metal (TM) complexes bearing large bulky ligands are examples of important
37 catalysts, both *in vivo* and in the chemical and pharmaceutical industry.¹⁻⁵ To better understand
38 their catalytic activity and physical properties, theoretical modelling of these complexes is today
39 routinely undertaken and accounts for a significant fraction of the computational resources used
40 worldwide.⁶⁻¹¹ The complex electronic structure of TM (which is responsible for their catalytic
41 activity), implies a number of computational challenges for an accurate modelling, such as
42 relativistic effects, dynamic and static correlation effects, poor scalability of the reliable
43 theoretical methods, and multiple low-lying electronic states.^{8, 12-20} Numerous strategies have
44 been proposed to overcome these issues, and many instructive results have been obtained.²¹⁻⁴⁷ An
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3 additional important challenge, the strong conformational flexibility of many TM species, has so
4 far received only limited attention from selected research groups,⁴⁸⁻⁵⁸ and is to large extent still
5 an unsolved problem. This leads to known difficulties in the modeling of large TM complexes
6 containing multiple rotatable bonds.
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12 Accurate conformational search and/or sampling have been shown to be relevant for a number
13 of cases related to molecular modelling. First, these are useful for modelling of chemical
14 reactivity since a reaction may not arise from the most stable conformation, and conformational
15 transitions are often required to initiate a chemical reaction. Moreover, as shown by Besora and
16 co-workers, the errors originated from choosing wrong conformations can alter the calculated
17 energy profiles by as much as 10 – 20 kcal/mol.^{50, 55} Second, reliable conformational sampling is
18 often required for interpretation of physical experiments, like NMR,⁵⁹ gas phase electron
19 diffraction or dipole-moment experiments,⁶⁰ in order to perform a proper Boltzmann averaging
20 over the conformational space. Third, conformational sampling is sometimes needed for
21 quantitative structure activity relationship (QSAR) studies aimed at identifying better catalysts or
22 drug design because molecular descriptors can be sensitive to the conformations, and using only
23 the most stable conformation might not be sufficient for reliable predictions.⁶¹
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40 To solve these issues and make accurate calculations of conformational flexible systems
41 possible, a fast and reliable approach to sample the conformational space of the molecule is of
42 paramount importance. Needless to say, this is a daunting task since the complexity of this
43 problem is exponential (O^N). A systematic conformational search thus implies minimization of
44 the energy function ca. 3^N times, where N is the number of rotatable bonds. To get reliable
45 relative energy estimates for TM complexes, the energy function from sophisticated wave or
46 density function theory (DFT) should be used. These energy functions, however, are so
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3 computationally intensive that only systems with a few dozens of atoms and 3 – 4 rotatable
4 bonds can be subjected to an exhaustive conformational search. A possible way to solve these
5 issues is to use computationally inexpensive energy functions.
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10 The cheapest in terms of CPU-time approach to treat the transition metal complexes is
11 represented by the force field (FF) methods.⁶²⁻⁶⁶ In principle, even the standard and very general
12 out-of-the-box FF methods, such as the universal force field (UFF) approximation, have been
13 shown to perform reasonably well for conformational sampling of transition metal complexes.⁴⁹
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15 In cases where the UFF method is not sufficiently accurate, a few more FF-based strategies can
16 be utilized. Thus, careful parameterization of both metal and functional groups can be done prior
17 to the conformational search.^{56, 58, 65-70} However, the FF parameterization is a tedious task
18 requiring expertise and can be time consuming. Therefore, this approach is not suitable for
19 routine applications as in molecular screening, where the conformational sampling of thousands
20 of molecules is required. In any case, parameterization of a FF is probably the most viable option
21 in case of conformational search on a single transition metal complex with an immutable
22 coordination sphere, or when large amount of structures has to be sampled. Another FF-related
23 approach requiring less manual work is to fix the atoms comprising the transition metal
24 coordination sphere either at their crystallographic or DFT positions, and run the conformational
25 search on the organic “non-frozen” part of the complex.^{50, 55, 57, 71-77} Semiempirical methods⁷⁸⁻⁸¹
26 represent another out-of-the-box alternative to FF methods, albeit they are computationally more
27 intensive, and have been successfully applied for decades in many areas of organic^{78-79, 81-92} and
28 to less extent transition metal chemistry.^{33, 36, 45, 78-79, 93-115} Thus, PM3(TM) and PM6
29 semiempirical methods have been successfully used for conformational search on
30 molybdenum,⁵³⁻⁵⁴ ruthenium,¹¹⁶ rhenium⁴⁹ and technetium⁵¹ systems. However, their accuracy
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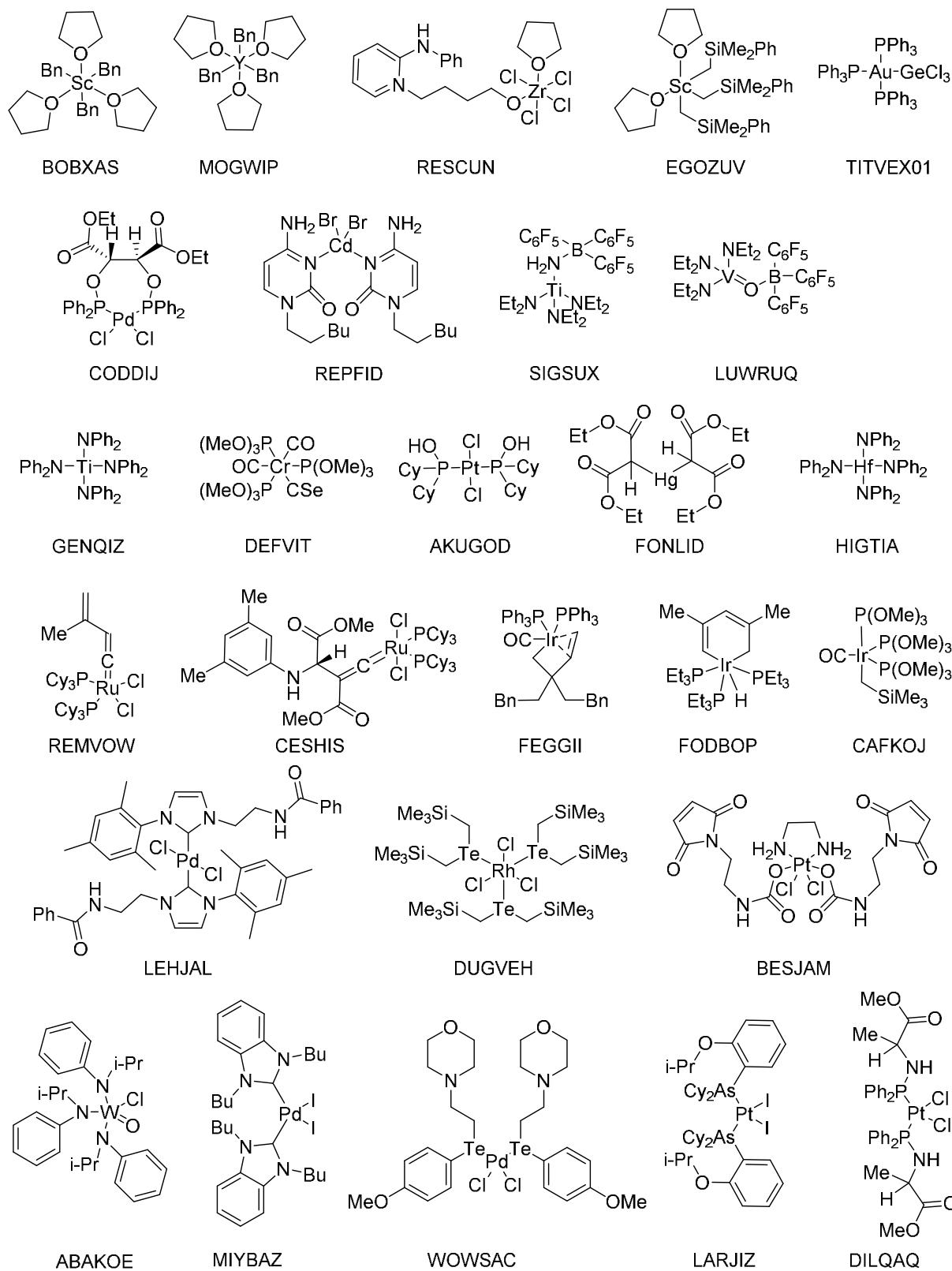
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3 for relative conformational energies have never been systematically tested for a series systems
4 containing various transition metals. In addition, there is no single opinion on the preference of
5 FF or semiempirical methods for the conformational search of transition metal species.
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7 Comparing FF and semiempirical methods to sample the conformational spaces of a series of
8 technetium complexes, Buda et al. noted that in a number of cases semiempirical methods are
9 more reliable than FFs, as the latter could not predict the right isomer.⁵¹ In contrast, Gillespie et
10 al.⁴⁹ showed that a few potential energy minima found during molecular mechanics
11 conformational search of rhenium complex have disappeared after re-optimization with
12 semiempirical methods. Taken together these studies indicate that the conformational energy
13 surface of particular complex apparently depends (sometimes strongly) on the particular method
14 chosen for geometry optimization, hampering the reliable conformational search.
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28 In the current work we seek to contribute to testing an accuracy of semiempirical methods and
29 generate a database containing 10 very accurate relative conformational energies for each out of
30 27 realistic sized (ca. 100 atoms) TM complexes initially retrieved from the Cambridge
31 Structural Database.¹¹⁷ The developed database was used to test relative conformational energies
32 from widely available out-of-the-box semiempirical methods: PM6,¹¹² PM6-D3,^{112, 118} PM6-
33 DH+,^{112, 119} PM6-DH2,^{112, 120-121} PM6-DH2X,^{112, 122} PM6-D3H4,^{112, 123} PM6-D3H4X^{112, 124} and
34 PM7¹¹³. The performance of all methods was carefully analyzed, the most difficult cases were
35 identified and the origin of the failures discussed in detail.
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49 **2. Computational Details**

50 **2.1 Selection of Complexes and Conformer Generation**

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3 All the initial structures of the transition metal complexes studied in the present work have been
4 retrieved from the Cambridge Structural Database (CSD).¹¹⁷ Pursuing the maximal diversity in
5
6 our benchmark set we included complexes of 16 transition metals related to catalysis (Au, Cd,
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8 Cr, Hf, Hg, Ir, Pd, Pt, Rh, Ru, Sc, Ti, V, W, Y, Zr) containing various functional groups and
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10 different covalent and non-covalent ligands. For each complex we have generated 10 conformers
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12 in total from the X-Ray structures as follows. First, the random values of the dihedral angles
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14 corresponding to the rotatable bonds had been set up and the preliminary structure was
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16 generated. Then, to avoid clashing, each structure was pre-optimized with UFF potential by
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18 keeping all the bonds and angle bends at their X-Ray values and relaxing only the dihedral angle
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20 values of the rotatable bonds. All the transition metal complexes studied in this work together
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22 with their CSD names are given in Figure 1.
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3 **Figure 1.** Transition metal complexes studied in this work together with their Cambridge
4 Structural Database (CSD) names.
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8 **2.2 Technical Protocols Utilized to Derive and Compare the Conformational Energies**

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10 **2.2.1 Strategy I**

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15 In the first part of the study all geometry optimizations of the generated conformers (see Section
16 2.1) were performed with the local GGA PBE¹²⁵⁻¹²⁶ functional as implemented in Gaussian 09¹²⁷
17 suite of programs as this method was found to accurately reproduce the molecular spatial
18 structures of inorganic species.^{34, 128-132} The Grimme's D3(BJ)¹¹⁸ dispersion correction was
19 applied to arrive at the PBE-D3(BJ) functional, to account the possible influence of the non-
20 covalent interaction not covered by standard PBE functional on molecular geometries.¹³³⁻¹³⁵ The
21 default values were adopted for the self-consistent-field (SCF) and geometry optimization
22 convergence criteria. Numerical integration of the exchange-correlation (XC) terms was
23 performed using tighter-than-default "ultrafine" option (pruned, 99 radial shells and 590 angular
24 points per shell) to eliminate the potential numerical noise in energy second derivatives.
25 Geometries were characterized as true energy minima by the eigenvalues of the analytically
26 calculated Hessian matrix.
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44 The all-electron double- ζ basis sets accomplished with single sets of polarization functions
45 ("def2-svp") of Ahlrichs et al.¹³⁶ were used on all the elements with $Z \leq 36$. On all the elements
46 with $Z > 36$ to account for the scalar relativistic effects the Stuttgart-type effective core
47 potentials¹³⁷ were used to describe the inner (28 electrons on Sn, Cd, Pd, I, Te, Rh, Ru, Y and Zr,
48 60 electrons on Au, Hf, Hg, Ir, W and Pt) electrons in combination with corresponding def2-svp
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3 basis set.¹³⁶ The density fitting algorithms with automatic generation of the auxiliary basis sets
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5 were turned on by “Auto” Gaussian 09 keyword to speed up the calculations.
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9 The single-point energy evaluations on the structures obtained after geometry optimizations
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11 were performed with the hybrid-meta-GGA M06 functional as implemented in Gaussian 09.
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13 Alike in the geometry optimization procedure, numerical integration of the exchange-correlation
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15 (XC) terms was performed using “ultrafine” grid to eliminate the potential numerical noise in
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17 electronic energy.¹³⁸⁻¹³⁹ The all-electron triple- ζ basis sets accomplished with single set of
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19 polarization functions (“def2-tzvp”) of Ahlrichs et al.¹³⁶ were used on all the elements with $Z \leq$
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21 36. On all the elements with $Z > 36$ to account for the scalar relativistic effects the Stuttgart-type
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23 effective core potentials¹³⁷ were used to describe the inner electrons (28 electrons on Sn, Cd, Pd,
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25 I, Te, Rh, Ru, Y and Zr, 60 electrons on Au, Hf, Hg, Ir, Os and Pt) in combination with
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27 corresponding def2-tzvp basis set.¹³⁶
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33 Thus obtained conformational energies have been used as references and are denoted as
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35 M06/def2-tzvp//PBE-D3/def2-svp. To show that thus obtained relative conformational energies
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37 are not sensitive to the choice of particular DFT method, we have performed a series of
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39 additional SP energy calculations with PBE0-D3 method and def2-tzvp basis set abbreviated
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41 further as PBE0-D3/def2-tzvp//PBE-D3/def2-svp. In addition, to explore the basis set effect, the
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43 M06/def2-tzvp//PBE-D3/def2-svp relative conformational energies have been systematically
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45 compared with those derived from geometry optimization method, PBE-D3/def2-svp. Notably,
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47 practically in all cases the PBE0-D3/def2-tzvp//PBE-D3/def2-svp and PBE-D3/def2-svp
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49 conformational energies were found to practically mimic their M06/def2-tzvp//PBE-D3/def2-svp
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51 counterparts.
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3 Finally, the SP energies on the optimized PBE-D3 conformers were calculated with out-of-the-
4 box semiempirical PM6,¹¹² PM6-D3,^{112, 118} PM6-DH+,^{112, 119} PM6-DH2,^{112, 120-121} PM6-
5 DH2X,^{112, 122} PM6-D3H4,^{112, 123} PM6-D3H4X^{112, 124} and PM7¹¹³ methods as implemented in
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8 MOPAC electronic structure package.¹⁴⁰
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12 13 **2.2.2 Strategy II**

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16 In the second part of the work each structure generated in Section 2.1 and optimized with PBE-
17 D3/def2-svp protocol as outlined in Section 2.2.1 has been re-optimized with PM7¹¹³
18 semiempirical method as implemented in MOPAC electronic structure package.¹⁴⁰ All the
19 default MOPAC internal values were used apart from the geometry optimization termination
20 criterion GNORM, for which the default value of 1 kcal·mol⁻¹/Å was decreased to 0.1 kcal·mol⁻¹
21 /Å to ensure more precisely optimized structures. The two geometry optimization strategies
22 were used for optimizing the conformers. In the first strategy, the “non-constrained” geometry
23 optimization has been performed with the positions of all the atoms relaxed during the
24 optimization process. In the second strategy, usual for routine conformational search in the
25 transition metal catalysis, the “constrained” geometry optimization has been performed with
26 fixed (at their PBE-D3/def2-svp values) positions of transition metal and bonded to transition
27 metal atoms to preserve the structure of the coordination center. In addition, the positions
28 (Cartesian coordinates) of few more atoms constituting several functional groups directly bonded
29 to transition metal were kept fixed in some complexes at their DFT positions as well: GeCl₃
30 group in TITVEX01 (Ph₃P)₃AuGeCl₃, CSe group in DEFVIT [(MeO)₃P]₃Cr(CO)₂(CSe), and
31 CO group in CAFKOJ [(MeO)₃P]₃Ir(CO), DEFVIT, FEGGII (-CH₂-)C(CH₂OBn)₂-(CH=CH₂)-
32 Ir(PPh₃)₂(CO).
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In both PM7 optimization strategies after the PM7 relative energies for each conformers were derived and tabulated, each conformer was again re-optimized with PBE-D3 method (as in Section 2.2.1) and M06 and PBE0-D3 SP energies with def2-tzvp basis sets were calculated to derive the relative conformational energies as it was done in Section 2.2.1.

2.3 Comparing the Conformational Energies

Few quantitative criteria have been used to compare the semiempirical conformational energies with their reference values.

2.3.1 Calculation of Pearson Correlation Coefficient

To quantify the correlation between conformational energies from semiempirical computational chemistry methods, PBE0-D3/def2-tzvp//PBE-D3/def2-svp and PBE-D3/def2-svp protocols with reference M06/def2-tzvp//PBE-D3/def2-svp conformational energies, we have calculated the Pearson correlation coefficient (ρ) and the squared version thereof (ρ^2).

The following formula was used to calculate the Pearson correlation coefficient:

$$\rho(X, Y) = \frac{\sum_{i=1}^n (E_{x,i} - \bar{E}_x)(E_{y,i} - \bar{E}_y)}{\sqrt{\sum_{i=1}^n (E_{x,i} - \bar{E}_x)^2 \sum_{i=1}^n (E_{y,i} - \bar{E}_y)^2}} \quad (1)$$

where X is the tested electronic structure theory method to obtain the conformational energies and Y is the method to obtain the reference conformational energies, i.e. M06/def2-tzvp//PBE0-D3/def2-svp, n is the number of conformations calculated for a given transition metal complex, E_i is the conformational energy of i^{th} conformer, and \bar{E} is the average conformational energy for n conformers from a given method. The ρ coefficient can occupy any value in the interval [-1,

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3 +1]. If the ρ value is close to 1 there is an absolute correlation, and if this value is close to -1
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5 there is an anti-correlation.
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8 The squared Pearson correlation coefficient (ρ^2) is obtained straightforwardly from Eq. 1 and can
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10 be any value in the interval [0, 1]. While the ρ^2 is the most popular criterion in the field of
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12 chemoinformatics to quantitatively measure the correlation ($\rho^2 \geq 0.95$ indicates excellent
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14 correlation), it can be misleading when describing the quality of the conformational energies
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16 from a certain method as it is always positive and cannot distinguish between correlation and
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18 anti-correlation. In particular, the large (close to 1) ρ^2 values can correspond to both correlation
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20 ($\rho \approx 1$) and anti-correlation ($\rho \approx -1$).
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26 **2.3.2 Calculation of the Mean Unsigned Deviations in Conformational Energies**

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29 Another criterion to judge the quality of the relative conformational energies is to calculate the
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31 mean absolute deviation (MUD) between the relative energies obtained with particular method
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33 and corresponding reference values (M06/def-tzvp//PBE-D3/def2-svp). The following formula
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35 was used to calculate the mean absolute deviation of particular complex:
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$$39 \text{MUD}(\text{Complex}) = \frac{\sum_{i=0}^n |E_i(X) - E_i(Y)|}{n} \quad (2)$$

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43 Where X is the tested method, Y is the reference method (M06/def-tzvp//PBE-D3/def2-svp), n is
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45 the number of conformers calculated for the certain complex and E_i is the relative energy of the
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47 conformer i.
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51 **3. Results and Discussion**

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3 The results and discussion is organized as follows. First, following the Strategy I, we explored
4 the potential energy surface (PES) from several electronic structure theory methods. For each
5 structure/conformer in our dataset we compared the relative conformational energies retrieved
6 from the semiempirical, PBE-D3/def2-svp, PBE0-D3/def2-tzvp and M06/def2-tzvp SP energies
7 on the PBE-D3 optimized geometries. Second, following the Strategy II, we estimated the
8 potential errors arising from the conformational search based on geometry optimization with
9 semiempirical methods. We performed geometry optimizations with PM7 method of all the
10 conformers generated in the previous step followed by subsequent PBE-D3/def2-svp geometry
11 optimizations and PBE0-D3/def2-tzvp and M06/def2-tzvp SP energy evaluations. Finally, the
12 results are analyzed, the possible origins of the largest deviations are discussed, and conclusions
13 on the applicability of the semiempirical methods for the conformational search and sampling in
14 the realistic-size transition metal complexes are given.

3.1 Comparing the Relative Conformational Energies Using Strategy I

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35 The averaged over all the complexes ρ^2 and ρ (Pearson correlation coefficient) values of
36 conformational energies derived from the SP energies obtained with all the methods tested on the
37 PBE-D3/def2-svp geometries with respect to the reference M06/def2-tzvp values are given in
38 Figures 2 and 3. In addition, the lowest and highest ρ^2 and ρ values are also given in the Figures
39 through the solid lines to have an idea of the span.

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42 As can be seen from Figure 2, an average PBE0-D3/def2-tzvp and PBE-D3/def2-svp ρ^2 values
43 turned out to be 0.97 and 0.93, indicating rather strong correlation. Quite large average ρ^2
44 numbers and an absence of any negative ρ values for any complex studied in the present work
45 indicate DFT methods to be quite robust in predicting the relative conformational energies. It
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3 suggests the M06/def2-tzvp//PBE-D3/def2-svp protocol to be the reliable source of relative
4 conformational energies, and we do not expect any significant changes upon change in the
5 reference SP and geometry optimization method with any other contemporary DFT method
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10 accomplished with the basis set of reasonable quality.

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13 Remarkably, for all tested semiempirical methods significantly lower average ρ^2 values all below
14 0.55 have been obtained. The lowest average values of 0.45 and 0.47 were obtained for PM7 and
15 PM6 methods, correspondingly. The highest average value of 0.55 were obtained for PM6-D3H4
16 and PM6-D3H4X methods, indicating only insignificant difference between the best and the
17 worth performers. The performance of the semiempirical methods is heterogeneous and varies
18 from complex to complex which is reflected in the large span between the largest and lowest ρ^2
19 values. Thus, for the most recent PM7 method the smallest ρ^2 value of only 0.02 has been
20 obtained for DEFVIT complex $[(\text{MeO})_3\text{P}]_3\text{Cr}(\text{CO})_2(\text{CSe})$ indicating practically no correlation.
21 Meanwhile, quite high ρ^2 value of 0.93 was obtained for complexes FONLID $\text{Hg}[\text{CH}(\text{COOEt})_2]_2$
22 and DUGVEH $[(\text{Me}_3\text{SiCH}_2)_2\text{Te}]_3\text{RhCl}_3$ which is comparable to DFT performance.

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37 Another striking difference comparing to the tested DFT methods is existing of negative ρ values
38 which, according to Figure 3, have been detected for all the semiempirical methods indicating
39 signs of anti-correlation in some cases. For example, for PM7 method negative ρ value was
40 obtained for 6 out of 27 complexes: TITVEX01 $(\text{Ph}_3\text{P})_3\text{AuGeCl}_3$ ($\rho=-0.48$), CAFKOJ
41 $[(\text{MeO})_3\text{P}]_3\text{Ir}(\text{CO})$ ($\rho=-0.42$), AKUGOD $[(\text{Cy})_2\text{P}-\text{OH}]_2\text{PtCl}_2$ ($\rho=-0.42$), BOBXAS
42 $(\text{Bn})_3\text{Sc}(\text{Furan})_3$ ($\rho=-0.48$), GENQIZ $\text{Ti}(\text{NPh}_2)_4$ ($\rho=-0.40$) and MOGWIP $(\text{Bn})_3\text{Y}(\text{Furan})_3$ ($\rho=-$
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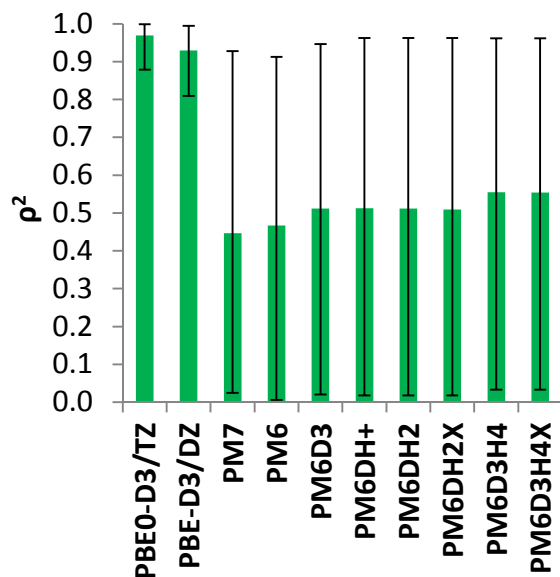


Figure 2. The ρ^2 (the squared Pearson correlation coefficient) values obtained for correlation between single-point (SP) energy-based DFT (PBE0-D3/def2-tzvp and PBE-D3/def2-svp) and semiempirical (PM6, PM6-D3, PM6-DH+, PM6-DH2, PM6-DH2X, PM6-D3H4, PM6-D3H4X and PM7) relative conformational energies and their corresponding references (M06/def2-tzvp) for transition metal complexes studied in the present work. The solid bars indicate the average values, and the ends of the solid lines at each bar give the lowest and the highest values.

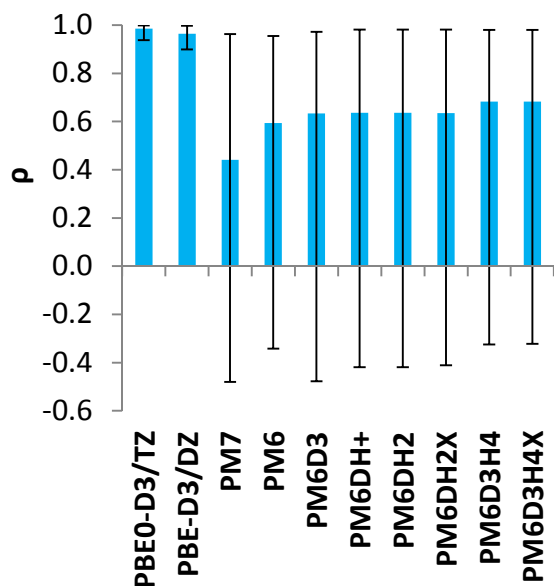


Figure 3. The ρ (the Pearson correlation coefficient) values obtained for correlation between single-point (SP) energy-based DFT (PBE0-D3/def2-tzvp and PBE-D3/def2-svp) and semiempirical (PM6, PM6-D3, PM6-DH+, PM6-DH2, PM6-DH2X, PM6-D3H4, PM6-D3H4X and PM7) relative conformational energies and their corresponding references (M06/def2-tzvp) for transition metal complexes studied in the present work. The solid bars indicate the average values, and the ends of the solid lines at each bar give the lowest and the highest values.

To explore the quality of the absolute values of the relative conformational energies important for reliable Boltzmann distribution, for each complex we calculated the mean absolute deviations (MUDs) between conformational energies obtained with tested method and their reference (M06/def2-tzvp) counterparts. The results are summarized in Figure 4.

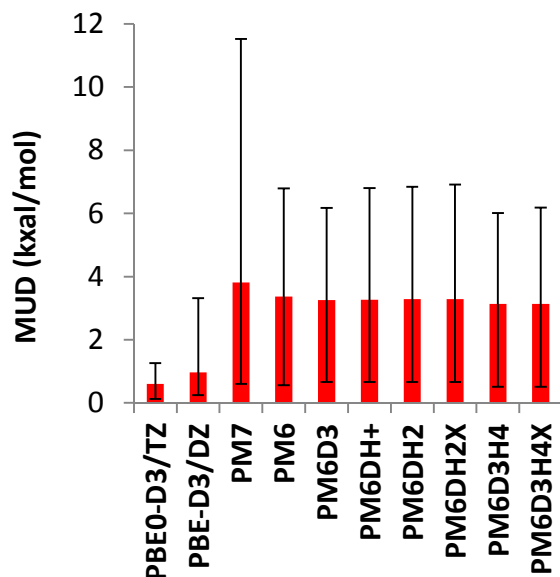


Figure 4. The mean unsigned deviation (MUD) values obtained between reference M06/def2-tzvp reference conformational energies and their PBE0-D3/def2-tzvp, PBE-D3/def2-svp, semiempirical (PM6, PM6-D3, PM6-DH+, PM6-DH2, PM6-DH2X, PM6-D3H4, PM6-D3H4X and PM7) counterparts for transition metal complexes studied in the present work. The solid bars indicate the average MUD values, and the ends of the solid lines at each bar give the lowest and the highest MUD values obtained for particular complexes.

For the PBE0-D3/def2-tzvp method the average MUD turned out to be 0.6 kcal/mol. The PBE-D3/def2-svp method with an average MUD of 1.0 kcal/mol is only slightly less accurate. Significantly larger MUDs in range 3.1 (PM6-D3H4 and PM6-D3H4X) to 3.8 (PM7) kcal/mol have been obtained for semiempirical methods. Comparing to DFT methods, notably larger variations in MUD values have been obtained from complex to complex. Thus, the largest PM7 MUD of 11.5 kcal/mol was obtained for CAFKOJ complex $[(\text{MeO})_3\text{P}]_3\text{Ir}(\text{CO})$ and the lowest MUD of 0.6 kcal/mol was obtained for FONLID complex $\text{Hg}[\text{CH}(\text{COOEt})_2]_2$. Combined with respective ρ^2 and ρ tests, the results indicate quite heterogeneous performance in reproducing of

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3 the reference conformational energies: good performance for some complexes alternates with
4
5 spectacular failures for others.⁵²
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7

8 9 **3.2 Comparing the Relative Conformational Energies Using Strategy II**

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11 Since the semiempirical methods sometimes fail to reproduce the coordination center of
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13 transition metal complexes even qualitatively, we performed the PM7 geometry optimizations in
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15 the two ways: with relaxation of all parameters (unconstrained geometry optimization) and with
16
17 fixed atomic positions of the coordination center atoms, see Computational details section 2.2.2.
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22 **3.2.1 Unconstrained Geometry Optimization**

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25 The ρ^2 and ρ values between PM7//PM7 and M06-def2-tzvp//PBE-D3/def2-svp relative
26
27 conformational energies are presented in Figure 5. The average ρ^2 value obtained for all 27
28
29 structures turned out to be 0.41 which is reasonably close to PM7 ρ^2 value of 0.45 obtained based
30
31 exclusively on SP energies. An average ρ value turned out to be 0.25 which is smaller than that
32
33 of 0.44 based on the SP energies. The largest ρ^2 values followed by positive ρ values have been
34
35 detected for TITVEX01 $(\text{Ph}_3\text{P})_3\text{AuGeCl}_3$ ($\rho^2/\rho=0.85/0.92$), REPFID $(\text{HexylCyt})_2\text{CdBr}_2$
36
37 ($\rho^2/\rho=0.80/0.89$), FONLID $\text{Hg}[\text{CH}(\text{COOEt})_2]_2$ ($\rho^2/\rho=0.90/0.95$), DILQAC
38
39 $[\text{MeOC}(\text{O})\text{CH}(\text{Me})\text{NH-PPH}_2]_2\text{PtCl}_2$ ($\rho^2/\rho=0.83/0.91$) and DUGVEH $[(\text{Me}_3\text{SiCH}_2)_2\text{Te}]_3\text{RhCl}_3$
40
41 ($\rho^2/\rho=0.81/0.90$) indicating correlation. In contrast, large ρ^2 value followed by negative ρ value
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43 was obtained for REMVOW $[\text{propen-2-yl}]\text{-CH=C=Ru}(\text{PCy}_3)_2\text{Cl}_2$ ($\rho^2/\rho=0.83/-0.91$) indicating
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45 the anti-correlation, and making black-box application of PM7 method for this complex
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51 dangerous.
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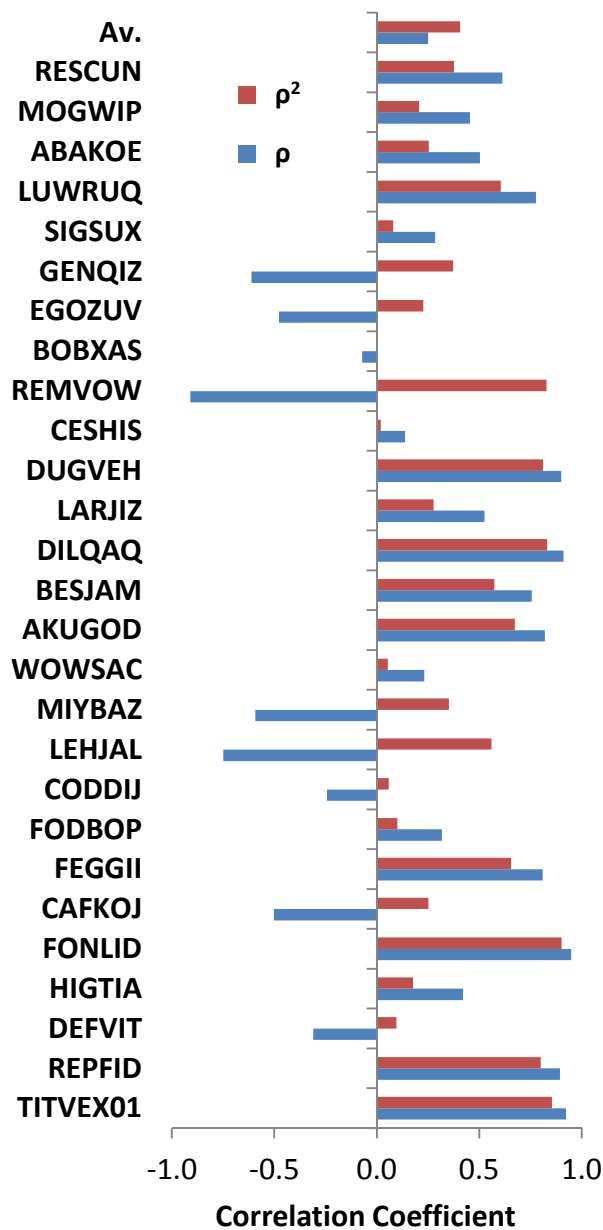


Figure 5. The ρ^2 and ρ correlation coefficients obtained between PM7 relative conformational energies on PM7 geometries and M06/def2-tzvp relative conformational energies on PBE-D3/def2-svp optimized geometries with PM7 optimized geometries used as starting point.

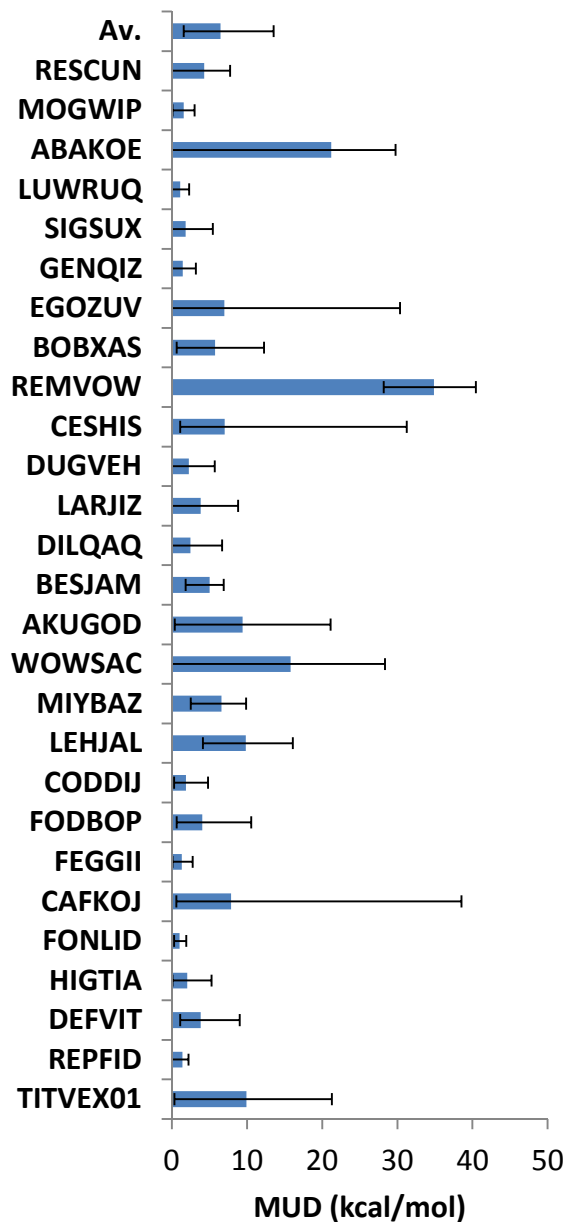


Figure 6. The mean unsigned deviation (MUD) values obtained between the PM7//PM7 and M06/def2-tzvp//PBE-D3//def2-svp relative conformational energies for transition metal complexes studied in the present work. The solid bars indicate the average MUD value, and the ends of the solid lines at each bar give the lowest and the highest absolute deviation obtained for particular complexes.

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3 In Figure 6 the MUDs obtained between PM7//PM7 and M06/def2-tzvp//PBE-D3/def2-svp
4 conformational energies are presented for each complex together with the largest and lowest
5 conformational energies are presented for each complex together with the largest and lowest
6 conformational energies are presented for each complex together with the largest and lowest
7 conformational energies are presented for each complex together with the largest and lowest
8 absolute deviations in the relative conformational energies. The average MUD turned out to be
9 6.5 kcal/mol. The lowest MUD of 1.0 kcal/mol has been obtained for complex FONLID
10 **Hg**[CH(COOEt)₂]₂ and the largest MUD of 34.9 kcal/mol has been obtained for REMVOW
11 **Hg**[CH(COOEt)₂]₂ and the largest MUD of 34.9 kcal/mol has been obtained for REMVOW
12 **Hg**[CH(COOEt)₂]₂ and the largest MUD of 34.9 kcal/mol has been obtained for REMVOW
13 **Hg**[CH(COOEt)₂]₂ and the largest MUD of 34.9 kcal/mol has been obtained for REMVOW
14 [propen-2-yl]-CH=C=**Ru**(PCy₃)₂Cl₂.
15 [propen-2-yl]-CH=C=**Ru**(PCy₃)₂Cl₂.
16 [propen-2-yl]-CH=C=**Ru**(PCy₃)₂Cl₂.
17 [propen-2-yl]-CH=C=**Ru**(PCy₃)₂Cl₂.

18 The manual inspection of all the optimized geometries revealed incorrect PM7 coordination
19 center geometries of some structures to be responsible for the PM7 failures. One particular
20 center geometries of some structures to be responsible for the PM7 failures. One particular
21 center geometries of some structures to be responsible for the PM7 failures. One particular
22 example is conformer 5 of ruthenium complex REMVOW [propen-2-yl]-CH=C=**Ru**(PCy₃)₂Cl₂.
23 example is conformer 5 of ruthenium complex REMVOW [propen-2-yl]-CH=C=**Ru**(PCy₃)₂Cl₂.
24 example is conformer 5 of ruthenium complex REMVOW [propen-2-yl]-CH=C=**Ru**(PCy₃)₂Cl₂.
25 As depicted in Figure 7, PM7 geometry optimization of this structure resulted in Cl migration
26 As depicted in Figure 7, PM7 geometry optimization of this structure resulted in Cl migration
27 As depicted in Figure 7, PM7 geometry optimization of this structure resulted in Cl migration
28 from Ru atom to carbon atom bonded to =C group of ruthenium took place manifesting chemical
29 from Ru atom to carbon atom bonded to =C group of ruthenium took place manifesting chemical
30 transformation. The subsequent PBE-D3/def2-svp optimization did not return Cl atom back to
31 transformation. The subsequent PBE-D3/def2-svp optimization did not return Cl atom back to
32 Ru to lead to initial structure. In contrast to conformer 5, all other conformers of REMVOW did
33 Ru to lead to initial structure. In contrast to conformer 5, all other conformers of REMVOW did
34 not undergo to chemical transformation upon PM7 optimization. Similarly, the chemical
35 not undergo to chemical transformation upon PM7 optimization. Similarly, the chemical
36 transformation occurred upon PM7 geometry optimization of some (or all) conformers turned out
37 transformation occurred upon PM7 geometry optimization of some (or all) conformers turned out
38 to be responsible for large energy deviations obtained for other complexes, in particular for
39 to be responsible for large energy deviations obtained for other complexes, in particular for
40 TITVEX01 (Ph₃P)₃**Au**GeCl₃ (GeCl₃ group dissociation from Au, see Figure S1), FODBOP [-
41 TITVEX01 (Ph₃P)₃**Au**GeCl₃ (GeCl₃ group dissociation from Au, see Figure S1), FODBOP [-
42 TITVEX01 (Ph₃P)₃**Au**GeCl₃ (GeCl₃ group dissociation from Au, see Figure S1), FODBOP [-
43 CH=CMe-CH=CMe-]>**Ir**(H)(PEt₃)₃ (CH₂ dissociation from Ir for conformer 6, see Figure S2),
44 CH=CMe-CH=CMe-]>**Ir**(H)(PEt₃)₃ (CH₂ dissociation from Ir for conformer 6, see Figure S2),
45 CH=CMe-CH=CMe-]>**Ir**(H)(PEt₃)₃ (CH₂ dissociation from Ir for conformer 6, see Figure S2),
46 LEHJAL [C₂₁H₃₄N₃O]₂**Pd**Cl₂ (coordination of the two hydrogen atoms to Pd, see Figure S3),
47 LEHJAL [C₂₁H₃₄N₃O]₂**Pd**Cl₂ (coordination of the two hydrogen atoms to Pd, see Figure S3),
48 LEHJAL [C₂₁H₃₄N₃O]₂**Pd**Cl₂ (coordination of the two hydrogen atoms to Pd, see Figure S3),
49 MIYBAZ [N,N-diBu-benzimidazoline]₂**Pd**I₂ (coordination the CH₂ groups to Pd, i.e. change
50 square planar to octahedral configuration, see Figure S4), WOWSAC [MeOPh-*Te*-(CH₂)₂-
51 square planar to octahedral configuration, see Figure S4), WOWSAC [MeOPh-*Te*-(CH₂)₂-
52 Morpholinyl]₂**Pd**Cl₂ (hydrogen coordination to Pd, see Figure S5), CAFKOJ [(MeO)₃P]₃**Ir**(CO)
53 Morpholinyl]₂**Pd**Cl₂ (hydrogen coordination to Pd, see Figure S5), CAFKOJ [(MeO)₃P]₃**Ir**(CO)
54 Morpholinyl]₂**Pd**Cl₂ (hydrogen coordination to Pd, see Figure S5), CAFKOJ [(MeO)₃P]₃**Ir**(CO)
55 Morpholinyl]₂**Pd**Cl₂ (hydrogen coordination to Pd, see Figure S5), CAFKOJ [(MeO)₃P]₃**Ir**(CO)
56 Morpholinyl]₂**Pd**Cl₂ (hydrogen coordination to Pd, see Figure S5), CAFKOJ [(MeO)₃P]₃**Ir**(CO)
57 Morpholinyl]₂**Pd**Cl₂ (hydrogen coordination to Pd, see Figure S5), CAFKOJ [(MeO)₃P]₃**Ir**(CO)
58 Morpholinyl]₂**Pd**Cl₂ (hydrogen coordination to Pd, see Figure S5), CAFKOJ [(MeO)₃P]₃**Ir**(CO)
59 Morpholinyl]₂**Pd**Cl₂ (hydrogen coordination to Pd, see Figure S5), CAFKOJ [(MeO)₃P]₃**Ir**(CO)
60 Morpholinyl]₂**Pd**Cl₂ (hydrogen coordination to Pd, see Figure S5), CAFKOJ [(MeO)₃P]₃**Ir**(CO)

(OMe transfer from P to Ir for conformer 8, see Figure S6), EGOZUV (Furan)₂Sc(CH₂SiMe₂Ph)₃ (dissociation of the two (conformer 2) or one (conformer 5) THF molecules from Sc, Figure S7), CESHIS [C₁₄H₁₇NO₄]=C=Ru(PCy₃)₂Cl₂ (Cl transfer from Ru to =C group for conformer 9, see Figure S8). At the same time, correctly reproduced coordination center geometry does not guarantee accurate relative conformational energies, as in case of ABAKOE (Ph-*N*-iPr)₃W(=O)Cl.

An analysis of Figures 5 and 6 combined with manual geometries checks suggests that only for a few (e.g. FONLID Hg[CH(COOEt)₂]₂, REPFID (HexylCyt)₂CdBr₂, LUWRUQ (Et₂N)₃V=O-B(C₆F₅)₃) out of 27 structures PM7 method can be used to obtain the reliable conformational energies and molecular geometries.

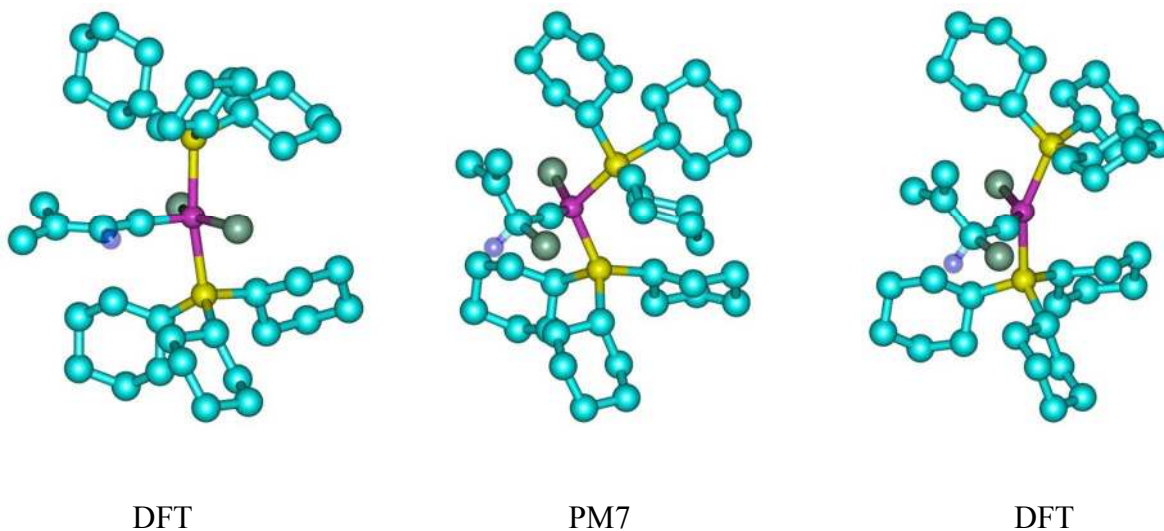


Figure 7. The molecular geometries of conformer 5 of REMVOW complex [propen-2-yl]-CH=C=Ru(PCy₃)₂Cl₂: initial PBE-D3 optimized conformer (left), the same conformer after PM7 geometry optimization (middle), the PM7 structure after subsequent PBE-D3 optimization

(right). Color coding: Ru (Orchid), Cl (Aquamarine), C (Turquoise), P (Orange). Hydrogens of high importance are transparent blue, otherwise - omitted for clarity.

3.2.2 Geometry Optimization with Fixed Coordination Center

To see whether the constrained geometry optimization with all the atoms forming coordination center fixed at their DFT positions will improve on PM7 performance, we re-optimized all the PBE-D3 conformers obtained in Part I according to the procedure described in Section 2.2.2. The ρ^2 and ρ values for correlation between PM7//PM7 and M06/def2-tzvp//PBE-D3/def2-svp relative conformational energies are presented in Figure 8. The average ρ^2 value obtained for all 27 structures turned out to be 0.46 which is practically identical to PM7 ρ^2 value of 0.45 obtained based on only SP energies (Part I). An average ρ value turned out to be 0.37 which is reasonably close to PM7 ρ value of 0.44 based on the SP energies and is higher than PM7 ρ value of 0.25 obtained upon completely unrelaxed PM7 geometry optimizations. The largest ρ^2 values followed by positive ρ values have been obtained for REPFID (HexylCyt)₂CdBr₂ ($\rho^2/\rho=0.77/0.88$), FONLID Hg[CH(COOEt)₂]₂ ($\rho^2/\rho=0.89/0.95$), FEGGII (-CH₂-)C(CH₂OBn)₂-(CH=CH₂)-Ir(PPh₃)₂(CO) ($\rho^2/\rho=0.81/0.90$), FODBOP [-CH=CMe-CH=CMe-]>Ir(H)(PEt₃)₃ ($\rho^2/\rho=0.83/0.91$), DUGVEH [(Me₃SiCH₂)₂Te]₃RhCl₃ ($\rho^2/\rho=0.85/0.92$) and CESHIS [C₁₄H₁₇NO₄]=C=Ru(PCy₃)₂Cl₂ ($\rho^2/\rho=0.92/0.961$) indicating correlation. No large ρ^2 values followed by negative ρ values were obtained, indicating certain improvement upon fixed coordination center geometry optimizations.

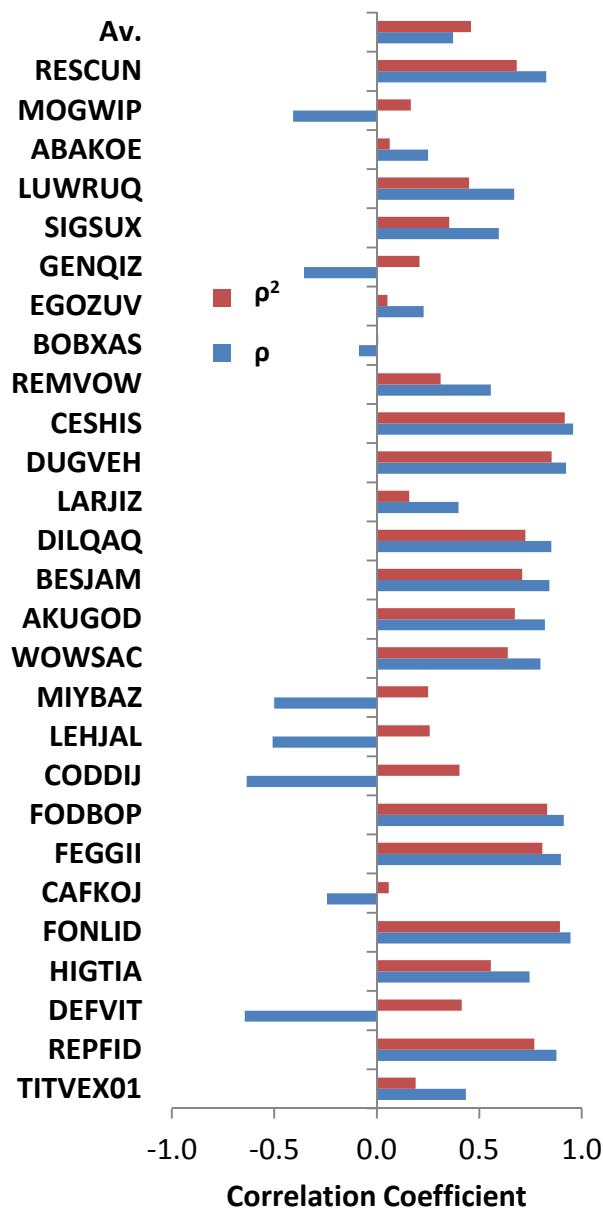


Figure 8. The ρ^2 and ρ correlation coefficients obtained between PM7 relative conformational energies on PM7 geometries with coordination center fixed during optimization and M06/def2-tzvp relative conformational energies on PBE-D3/def2-svp optimized geometries with PM7 optimized geometries used as starting point.

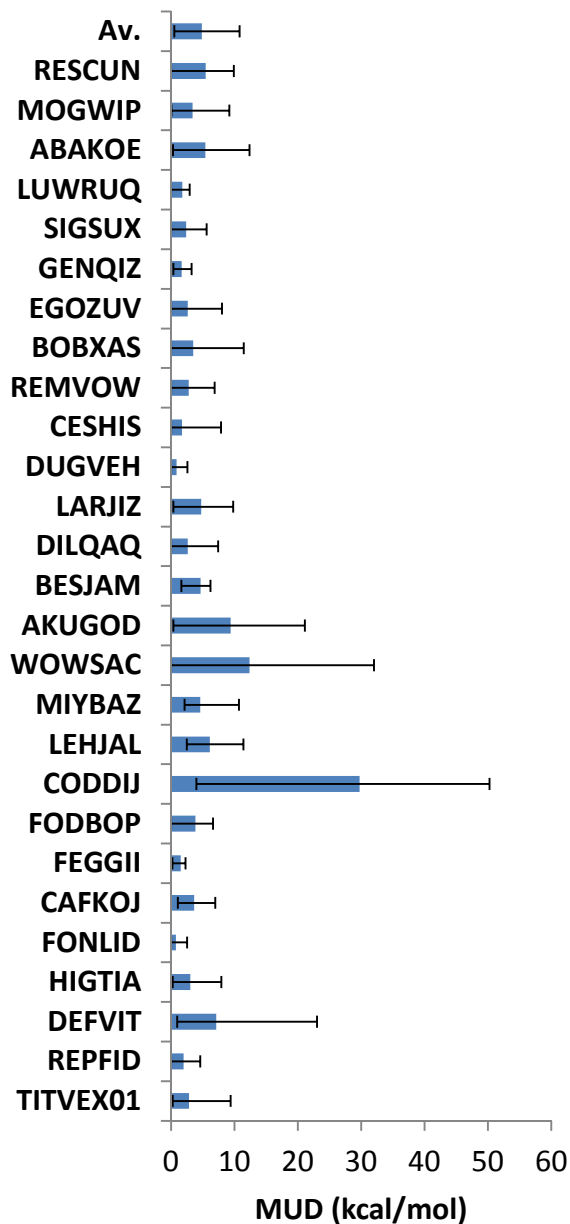


Figure 9. The mean unsigned deviation (MUD) values obtained between the PM7//PM7 (PM7 optimization with coordination center fixed) and M06/def2-tzvp//PBE-D3//def2-svp relative conformational energies for transition metal complexes studied in the present work. The solid bars indicate the average MUD value, and the ends of the solid lines at each bar give the lowest and the highest absolute deviation obtained for particular complexes.

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3 In Figure 9 the MUDs obtained between PM7//PME7 (optimization with fixed coordination
4 center) and M06/def2-tzvp//PBE-D3/def2-svp conformational energies are presented for every
5 complex together with the largest and lowest absolute deviations in the relative conformational
6 energies. An average MUD turned out to be 4.9 kcal/mol which is 1.6 kcal/mol lower comparing
7 to what was obtained for fully relaxed PM7 geometry optimizations in Section 3.2.1. The lowest
8 MUD of 0.8 kcal/mol has been obtained for complex FONLID $\text{Hg}[\text{CH}(\text{COOEt})_2]_2$ and the
9 largest MUD of 29.7 kcal/mol has been obtained for CODDIJ $\text{DET}(\text{PPh}_2)_2\text{PdCl}_2$.

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20 Again the manual inspection of all the geometries has been performed. As all atoms forming the
21 coordination center have been fixed during PM7 geometry optimization, distorted coordination
22 center is not an origin of poorly predicted relative conformational energy. However, fixed
23 coordination sphere does not eliminate another problem revealed for semiempirical methods in
24 Section 3.2.1: incorrectly predicted coordination of some elements or functional groups to
25 transition metal. Thus, during the PM7 geometry optimization of CODDIJ $\text{DET}(\text{PPh}_2)_2\text{PdCl}_2$
26 an unphysical coordination of one hydrogen atom in conformers 1, 2, 3, 5, 6, 8, 9 (see Figure S9
27 for conformer 1) or two hydrogen atoms in conformer 7 (see Figure S10) to Pd atom occurred.
28 As no unphysical hydrogen coordination to Pd observed in conformers 0 and 4, these turned out
29 to be significantly higher in energy according to PM7. DFT optimization removes false hydrogen
30 coordination leading to significantly smaller energy diversity in conformational energies of
31 CODDIJ $\text{DET}(\text{PPh}_2)_2\text{PdCl}_2$. Similar unphysical coordination of atoms/functional groups have
32 also been found in CAFKOJ $[(\text{MeO})_3\text{P}]_3\text{Ir}(\text{CO})$ (O of O-CH₃ group coordinates to Ir, see Figure
33 S11), LEHJAL $[\text{C}_{21}\text{H}_{34}\text{N}_3\text{O}]_2\text{PdCl}_2$ (H of CH₃ groups coordination to Pd, see Figure S12),
34 MIYBAZ $[\text{N,N-diBu-benzimidazoline}]_2\text{PdI}_2$ (H of CH₃ coordination to Pd, see Figure S13),
35 WOWSAC $[\text{MeOPh-}T_e\text{-(CH}_2)_2\text{-Morpholinyl}]_2\text{PdCl}_2$ (H of CH₂ group coordination to Pd, see
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3 Figure S14), REMVOW [propen-2-yl]-CH=C=**Ru**(PCy₃)₂Cl₂ (H of CH₂ group coordination to
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Figure S14), REMVOW [propen-2-yl]-CH=C=**Ru**(PCy₃)₂Cl₂ (H of CH₂ group coordination to Ru, see Figure S15). Remarkably, large errors have also been obtained for complexes for which no unphysical coordination to metal atoms occurred, e.g. for ABAKOE (Ph-*N*-iPr)₃**W**(=O)Cl, DEFVIT [(MeO)₃P]₃**Cr**(CO)₂(CSe), RESCUN [C₁₁H₉N₂]-**(CH**₂)₄-**O-Zr**(Furan)Cl₄ indicating fundamental difference between the PES in DFT and semiempirical methods⁴⁹ which sometimes results in a conformational change, see Figures S16-S18.

4. Conclusion

A set of contemporary PM6*/7 black-box semiempirical methods has been tested to reproduce the conformational energies of 27 realistic transition metal complexes of 16 transition metals related to homogeneous catalysis. An analysis of the conformational energies derived from the single point energy evaluations revealed a pronounced difference between semiempirical and DFT methods. While conformational energies obtained with DFT protocols perfectly group together, their PM6*/7 counterparts turned out to be significantly different for all but a few complexes. To identify an origin of the deviations, we re-optimized all the conformers with PM7 method followed by DFT optimization mimicking the conformational search procedure in organometallic chemistry. Comparison of thus obtained PM7-based and DFT-based relative conformational energies revealed large discrepancies for all but a few complexes, originated from fundamental differences in PESs often manifesting themselves in chemical transformations/distortion of coordination center geometry or false coordination of some atoms (H, O) to transition metals upon PM7 geometry optimization. To minimize these effects, we tried

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3 to perform PM7 geometry optimizations with fixed positions of all atoms composing the
4 coordination sphere. Even if slight improvement in PM7 performance was achieved, still large
5 discrepancies with DFT conformational energies have survived partly because fixing
6 coordination center did not prevent false coordination of atoms to transition metal to saturate the
7 coordination sphere. In cases where no false coordination was observed, an origin of the
8 difference in conformational energies is the fundamental difference between PESs of DFT and
9 semiempirical methods. Hence, in general the semiempirical methods are recommended for
10 conformational search/sampling in transition metal complexes only after careful validation for
11 particular complex. One possible origin of poor performance of the PM6*/PM7 semiempirical
12 methods is related to a limited number of complexes in the MOPAC training set,¹⁴¹ often
13 complexes containing necessary diatomic parameters are missing. For example, poor PM7
14 geometries obtained for TITVEX01 (Ph₃P)₃AuGeCl₃ complex might be the result of absence of
15 reliable Au – Ge diatomic parameters as there are no complexes both containing Au and Ge in
16 the PM7 training set.¹⁴¹ Another origin is likely related to the fact that many experimental
17 formation enthalpies tabulated in numerous databases as NIST webbook¹⁴²⁻¹⁴³ and utilized to
18 train semiempirical have been found to be inaccurate.¹⁴⁴⁻¹⁴⁶ We expect that careful re-
19 parameterization of semiempirical methods will significantly increase their accuracy for
20 transition metal systems. The obtained database¹⁴⁷ is promising with respect to testing of other
21 strategies not covered by the present study as ligand field molecular mechanics (LFMM) method
22 developed by Deeth et al.⁶⁷ and available via upcoming Tinker release⁶⁸ where additional energy
23 terms describing the TM center are combined with standard force field terms responsible the
24 organic part of the molecule, and alternative quantum mechanically derived force field
25 (QMDF) ¹⁴⁸ or tight binding GFN-xTB³⁶ approaches suggested by Grimme and co-workers.

ASSOCIATED CONTENT

Supporting Information. Cartesian coordinates (Å) of PBE-D3/def2-svp and PM7 optimized structures, M06/def2-tzvp, PBE0-D3/def2-tzvp, PBE-D3/def2-svp, PM6, PM6-D3, PM6-DH+, PM6-DH2, PM6-DH2X, PM6-D3H4, PM6-D3H4X and PM7, tabulated values forming the basis of Figures 2 – 6, Figures 8 – 9 and Figures S1-S18, tabulated conformational energies. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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