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# Application of the factor method to the service life prediction of architectural concrete

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## Abstract:

Architectural concrete surfaces are a durable solution, but their deterioration process is unavoidable, beginning as soon as the element is built. This study establishes a methodology for predicting the service life of architectural concrete surfaces, through the application of the factor method. For this purpose, 239 architectural concrete surfaces in in-service conditions are analysed. Different durability factors are studied, evaluating their impact in the service life of architectural concrete surfaces. Different scenarios are analysed for the quantification of the durability factors. Scenario 4 presents the best results, leading to a higher similarity between the estimated service lives predicted by the factor method and obtained by the graphical method. The application of the factor method, as described in this study, allows predicting the service life of architectural concrete surfaces. An estimated service life ranging between 43 and 48 years was obtained, which agrees with the literature and empirical knowledge.

**Keywords:** service life, factor method, architectural concrete.

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## 20 1. Introduction

21 For decades, concrete has been essentially used as a structural material, protected by  
22 different types of coatings. Currently, concrete has been progressively used as a decorative  
23 solution, designated architectural concrete. In this study, architectural concrete is defined as  
24 any concrete surface used as exterior finishing of a building or structure, without any coating  
25 other than paint or varnish (Silva et al. 2017). The main difference between architectural  
26 concrete and structural concrete lies on the exposed surfaces of architectural concrete, which  
27 is more relevant than the strength of the material (Peurifoy and Oberlender 2011). These  
28 exposed concrete elements can present countless color tones, shapes and types of finishing,  
29 resulting in a cladding solution with a remarkable aesthetic quality (Cassar et al. 2003;  
30 Freedman 2007).

31 Despite being a durable solution, the degradation process of architectural concrete is  
32 inevitable, and starts as soon as the element is put into use. Nowadays, various studies have  
33 been performed to evaluate the durability and service life of concrete structures (Liang et al.  
34 2002; Ahmad 2003; Alghamdi and Ahmad 2014). Nevertheless, as mentioned by Hongke et al.  
35 (2016), these studies are limited to the material itself, neglecting the real exposure conditions. In  
36 this sense, the research related with the service life of architectural concrete under real exposure  
37 conditions is insufficient, since the environmental degradation agents, the age of the element  
38 and other durability factors are rarely considered in existing research works.

39 In current practice, under most circumstances, the repair works performed in architectural  
40 concrete surfaces are the same regardless of the cause and the extent of the anomaly observed  
41 (Farahmandpour et al. 2000). This situation occurs mainly due to the lack of knowledge  
42 regarding the impact of different degradation mechanisms in the durability and service life of  
43 architectural concrete surfaces. Therefore, the definition of maintenance strategies depends on  
44 the knowledge regarding the expected service life of the element, under specific conditions of

45 use, as well as the quantification of the actions required during this period of time (Kessler et al.  
46 2017). This knowledge could lead to more effective measures and different repair techniques  
47 according to the different anomalies observed, aiding the optimization of the periodicity of  
48 maintenance plans, which is crucial to evaluate the economic and environmental impacts of  
49 concrete surfaces through the building's life cycle (Flores-Colen and de Brito 2010).

50 This study intends to propose a methodology to predict the physical service life of  
51 architectural concrete surfaces, through the application of the factor method. The model proposed  
52 allows encompassing different durability factors, related with the quality of the materials, design  
53 characteristics and environmental exposure conditions, which influence the physical service life of  
54 architectural concrete surfaces. The factor method (FM) is established based on the analysis of the  
55 degradation state of 239 architectural concrete surfaces in in-service conditions. The observed  
56 reality is translated by a numerical index and a graphical method (GM), which allow estimating  
57 the service life of each architectural concrete surface analysed, according to the anomalies  
58 observed, their severity and extent. The results obtained by the FM are compared with those  
59 obtained by the GM (which portrays the reality observed in fieldwork), for each case study within  
60 the sample analysed.

## 61 **2. Background**

62 The service life prediction of buildings components has been gaining importance, for several  
63 reasons. The resources available for maintenance and rehabilitation actions are scarce and should  
64 be planned in order to maximize the results obtained. To achieve this goal, the decision to  
65 intervene should be based on the knowledge of the degradation processes and their interaction  
66 with the building materials (Leira et al. 1999). The service life of a building component is  
67 intrinsically linked to its sustainability; data regarding the durability of a building component,  
68 when subjected to specific conditions of use, are essential to compare the durability and,  
69 consequently, the economic and environmental impacts, of different solutions over the same

70 period of time.

71 According to ISO 15686 (2011), the service life is the period of time, after a building is put to  
72 use, during which the building and its elements meet or exceed the minimum performance  
73 requirements. The performance requirements are strongly related with users and owners demands  
74 and expectations, and the “minimum” acceptable level of performance is usually conditioned by  
75 subjective criteria, such as aesthetic reasons, the building’s social and economic context, the funds  
76 available for maintenance actions, among others. The concept of service life, although always  
77 based on the same fundamental assumptions, presents slight differences between authors. Some of  
78 them concern, for example, whether or not the buildings should be subjected to periodic  
79 maintenance actions during this period (Silva et al. 2016).

80 Currently, there are various methodologies for the service life prediction of buildings and  
81 their components. Among these, the factor method stands out, since it is considered a general  
82 framework for service life prediction and its application is standardised by ISO 15686 (2011).  
83 This method, initially proposed by the Architectural Institute of Japan (AIJ 1993), introduces an  
84 easy and expedient way to calculate the estimated service life (ESL) of a component,  
85 considering all variables, which are likely to affect its degradation process. This methodology is  
86 based on a reference service life (RSL), which is the expected service life for that component,  
87 under standard conditions of use. The reference service life is subsequently multiplied by a  
88 number of factors that affect the durability of the building element under analysis (as shown in  
89 Equation (1)), either positively or negatively, depending on whether the elements’  
90 characteristics are better or worse than those considered as the reference (ISO 15686: 2011;  
91 Straub 2015).

$$ESL = RSL \times A \times B \times C \times D \times E \times F \times G \quad (1)$$

92 Where *ESL* represents the estimated service life, *RSL* is the reference service life, factor *A*  
93 is the quality of the construction material, factor *B* is the design level, factor *C* is the level of

94 execution, factor  $D$  is related to the characteristics of the external environment, factor  $E$   
95 concerns the characteristics of the indoor environment, factor  $F$  depends on the characteristics  
96 of use and factor  $G$  is the maintenance level.

97 The factor method has been criticized due to the simplicity with which it models a complex  
98 phenomenon. Some of the criticisms refer that this method only provides an empirical estimate  
99 of the service life of a given component (Hovde 2002; Marteinsson 2003). The correct  
100 application of this method requires that the different durability factors are well known and  
101 adequately quantified, to ensure that credible results are obtained (Hovde 2004). In addition, the  
102 method has a high sensitivity for small variations of the data, and there is no clear methodology  
103 for estimating the reference service life. Despite this, the factor method remains the only  
104 standardised methodology for predicting the service life of a building component, due to its  
105 simplicity and ability to adapt when new techniques or information arise.

### 106 **3. Degradation phenomena of architectural concrete facades**

107 The degradation phenomena of architectural concrete surfaces are analysed based on the  
108 evaluation of the degradation condition of several case studies in-use conditions, with  
109 different ages and characteristics. In this sense, the degradation condition of 239 architectural  
110 concrete surfaces is evaluated, during an extensive fieldwork. Each architectural concrete  
111 surface is evaluated through a visual inspection, gathering the relevant information to  
112 characterise this case study, mapping all the anomalies present in the surface.

113 The main anomalies that can occur in architectural concrete are (Silva et al. 2017): stains;  
114 efflorescence; biological growth; erosion; bug holes; *graffiti*; mapped cracking; oriented  
115 cracking; disaggregation; spalling; flatness defects; honeycombing; fastening marks;  
116 dribbling; crusts; and formwork incrustation. These anomalies are divided in three main  
117 groups (Figure 1): i) aesthetics anomalies; ii) mechanical anomalies; and iii) constructive  
118 anomalies.

### 119 3.1. Degradation model

120 To evaluate the overall degradation condition of the architectural concrete surfaces  
121 inspected, a numerical index, called severity of degradation ( $S_w$ ), is used. This numerical  
122 index, initially proposed by Gaspar and de Brito (2008, 2011), considers the area affected by  
123 each anomaly, its degradation level and the relative weight of the anomaly detected - Equation  
124 (2).

$$S_w = \frac{\sum(A_n \times k_n \times k)}{A \times \sum(k_{max.})} \quad (2)$$

125 Where  $S_w$  represents the severity of degradation of architectural concrete surfaces, in %,  $A$   
126 is the area of the architectural concrete surface affected by the anomaly  $n$ , in  $m^2$ ,  $k$  is the  
127 multiplication factor for the anomaly  $n$ , as a function of their degradation level ( $k_n$  varies  
128 between 0 and 4, as shown in Table 1),  $k_{a,n}$  is the weighting coefficient according to the  
129 relative weight of the anomaly detected (equal to 1 if no specification is referred, i.e. equal to  
130 1 by default),  $A$  is the total surface area, in  $m^2$  and  $\sum(k_{max.})$  is the sum of the weighing  
131 coefficients, corresponding to the highest possible level of degradation.

132 The coefficient  $k$  allows taking into account the severity of a given anomaly, by defining  
133 different degradation levels according to the percentage of area affected by the anomaly, as well  
134 as the impact of this anomaly in the overall degradation of the architectural concrete surface. In  
135 this study, five degradation levels are established, ranging from A (with no visible degradation) to  
136 E (most unfavorable condition). Table 1 shows the different degradation levels for each anomaly  
137 considered and also the limits that correspond to each level. The anomalies with more serious  
138 consequences, for the degradation of architectural concrete surfaces, are only represented at  
139 higher levels, e.g. oriented cracking with an opening higher than 3 mm only appears at levels 3  
140 and 4, as it is considered quite serious, compromising the integrity and safety of the concrete  
141 surface.

142 After calculating the value of  $S_w$ , for all the case studies inspected in this study, it was

143 possible to define an average degradation curve, obtained through a third-degree polynomial  
144 regression analysis. Figure 2 presents the degradation curve achieved, which graphically  
145 represents the evolution of the degradation of architectural concrete surfaces over time. The  
146 coefficient of determination -  $R^2$  ( $R^2$  varies between 0 and 1, revealing a regression model  
147 with no correlation to the data and a perfect correlation, respectively) - obtained for the  
148 polynomial curve, which describes the average curve of degradation, is 0.74. The value  
149 obtained reveals a strong correlation between the curve and the sample analyzed, in which  
150 74% of the variability of the severity of degradation of concrete surfaces can be explained by  
151 their age.

152 In this study, it is conventionally considered that an architectural concrete surface with a  
153 value of  $S_w$  equal to 20% has reached the end of its physical service life. To illustrate the  
154 physical condition associated to the end of physical service life of an architectural concrete  
155 surface, Figure 2 presents a case study that has reached the end of its physical service life.  
156 Once the service life limit is defined, it is possible to calculate the expected service life value  
157 for architectural concrete surfaces. In this study, an average estimated service life of 43 years  
158 is obtained, based on the degradation curve.

### 159 **3.2. Extrapolation of the degradation curve for each point of the sample and calculation** 160 **of the estimated service life for each case**

161 Based on the overall degradation curve, a graphical method can be adopted to determine  
162 the estimated service life for all the surfaces under analysis. For this purpose, the ordinates'  
163 conversion factor method is used, in which a new curve is drawn (similar to the overall  
164 degradation curve), which passes through each of the sample's points, determining the age  
165 after which a severity of degradation of 20% is reached.

166 In the ordinates' conversion factor method, a factor  $k$ , which corresponds to the ratio  
167 between the ordinates of two points  $A$  and  $M$ , is determined.  $M$  is a point on the average



168 degradation curve, which has an age equal to  $A$  ( $x_A = x_M$ ). The ordinate of  $A$  is the value of its  
169 severity of degradation (calculated by Equation (2)) and the ordinate value of  $M$  is the value  
170 of the degradation curve for that age. The value of  $k$  is multiplied by the function  $f$  (equation  
171 of the average degradation curve), to estimate a function  $f'$ , as presented in Equation 3, which  
172 contains the point  $A$  (i.e., the degradation curve that intersects  $A$ , thus representing the specific  
173 degradation curve of this point) (Magos et al. 2016).

$$f' = k \times f = k \times a \times x^3 + k \times b \times x^2 + k \times c \times x \quad (3)$$

174 Where  $f$  represents the function of the average degradation curve,  $f'$  is the function of the  
175 degradation curve for each point,  $k$  is the ratio factor between the two curves and  $a$ ,  $b$  and  $c$   
176 are the polynomial curve constants of degree 3 that define the function  $f$ .

177 The application of this graphical method to the sample led to an average estimated service  
178 life of 48 years for architectural concrete surfaces.

#### 179 **4. Identification and weighting of the factors that influence the durability of** 180 **architectural concrete facades**

181 ISO 15686 (2011) suggests seven durability factors, divided into: material characteristics  
182 (A); design conditions (B); execution conditions (C); inner environment characteristics (D);  
183 external environmental characteristics (E); use conditions (F) and maintenance level (G).  
184 Some of the factors mentioned in ISO 15686 (2011) are not considered in this study, either  
185 because it is not possible to obtain reliable information during the fieldwork survey or  
186 because they are not relevant for the service life prediction of architectural concrete surfaces  
187 (Marques et al., 2018). In this study, the following factors are considered relevant for the  
188 explanation of the degradation of architectural concrete:

- 189 • **Factor A** - regarding the materials characteristics, two durability factors are analysed,  
190 the first related with the color of the surface ( $A1$ ) and the second related with the  
191 surfaces' treatment ( $A2$ );

- 192 • **Factor B** - for the design characteristics, the type of finishing (*B1*) is the only factor  
193 analysed;
- 194 • **Factor C** - in this study, this factor is not considered, since the execution conditions  
195 are difficult to evaluate only based on visual inspections carried out on surfaces many  
196 years after their execution; moreover, the case studies found with serious failures due  
197 to execution errors were excluded from this study, because they did not represent the  
198 natural degradation evolution of an architectural concrete façade over time, i.e. this  
199 study intends to predict the service life of architectural concrete surfaces, modelling  
200 the natural degradation process of these elements, in this sense, discrete or  
201 unpredictable events can not be modelled mathematically;
- 202 • **Factor D** - this factor is not considered in this study, because the conditions of the  
203 interior environment did not influence the degradation process of the outer coatings;
- 204 • **Factor E** - the characteristics of the external environment strongly influence the  
205 degradation process of external architectural concrete surfaces. In this durability factor,  
206 five durability factors are considered: surfaces orientation (*E1*); distance from the sea  
207 (*E2*); exposure to damp (*E3*); the surface's level of protection (*E4*); and exposure to  
208 wind/rain action (*E5*);
- 209 • **Factor F** - the use conditions factor is difficult to estimate; the only situation resulting  
210 from incorrect use is *graffiti*. However, actions such as vandalism or accidents cannot  
211 be modelled since they do not evolve in a predictable way over time. Therefore, this  
212 factor is not considered;
- 213 • **Factor G** - regarding the maintenance conditions, only the factor “ease of inspection”  
214 is considered.

215 The quantification of the weighting values of the durability factors considered in this study is  
216 done based on two methods (Silva et al. 2016): i) degradation curves, in which an average

217 degradation curves is established for the different characteristics of architectural concrete surfaces,  
218 estimating the average estimated service life for each characteristic; ii) and using the graphical  
219 method, estimating the average estimated service life for the surfaces with the characteristic under  
220 analysis. Moreover, the quantification of the durability factors also considers their physical  
221 meaning.

222 ISO 15686 (2011) proposes three values for quantifying the durability factors included in  
223 the factor method: 1.2 for favorable conditions and characteristics, which positively affect the  
224 service life of the element analysed; 1.0 for reference conditions (or when it is not possible to  
225 draw clear conclusions regarding the influence of this factor on the service life of the building  
226 component); and 0.8 for conditions and characteristics that adversely affect the service life of  
227 the element analysed. McDuling et al. (2008) suggests a broader approach, proposing the  
228 adoption of five possible values for the quantification of the durability factors, according to the  
229 exposure conditions: 1.2 (clearly favorable); 1.1 (slightly favorable); 1.0 (slightly aggressive);  
230 0.9 (aggressive); 0.8 (very aggressive). These values are thus adopted in a preliminary  
231 quantification of the durability factors (in section 5.3 various scenarios are analysed, to fine tune  
232 the quantification of the durability factors, to improve the capability of the factor method to  
233 describe the observed reality).

234 Table 2 shows the preliminary quantification of the durability factors, considering the  
235 estimated service life obtained by the graphical method and the degradation curves. The  
236 results achieved through the graphical method are generally very close to those obtained  
237 through the degradation curves. These results allow understanding the influence of the  
238 different factors analysed on the degradation of architectural concrete surfaces, i.e. it is  
239 possible to quantify the effect of each sub factor to increase or decrease the estimated service  
240 life of these surfaces, thus allow distinguishing the favorable from the unfavorable situations.

241 As for the color of the surface, the application of the values obtained by the graphical method

242 reveals that light-colored surfaces present a predicted service life higher than dark surfaces.  
243 Darker concrete surfaces tend to show higher degradation levels, since they absorb more solar  
244 radiation, than those with lighter shades, and are therefore subjected to greater thermal variations  
245 and internal stresses, which contribute to increase cracking and spalling anomalies  
246 (Wojciechowski et al. 2014).

247 For the various factors analysed, the maximum and minimum values of estimated service  
248 lives are obtained according to the surfaces' treatment. The maximum value is around 79  
249 years for surfaces with paint plus water repellent protection, and the minimum value is 36.5  
250 years, for surfaces with varnish. The fact that the extreme values are obtained for this  
251 characteristic reveals its relevance to the degradation of architectural concrete surfaces.  
252 Custódio and Eusébio (2006) refer that external varnished surfaces are extremely susceptible  
253 to degradation phenomenon due to the exposure to solar radiation. These surfaces can present  
254 an estimated service life between 5 to 10 years (Hopper 2007) and, if not properly maintained,  
255 the end of the varnish's service life may adversely affect the natural process of degradation of  
256 a concrete surface. Therefore, in this study, surfaces without protection and with varnish are  
257 considered the unfavorable situation. On the opposite, surfaces with paint plus water repellent  
258 protection present the highest estimated service life, corresponding to the most favorable  
259 condition.

260 For the type of finishing, the results obtained by the two methods are consistent. In the  
261 sample analysed, textured surfaces present a higher estimated service life than smooth  
262 surfaces. This finding is not consensual in the literature. Various authors (PCA 2007;  
263 Lahdensivu et al. 2013) refer that textured surfaces are more prone to dirt deposition and  
264 biological growth. Köliö et al. (2016) refer that concrete surfaces treated while fresh (e.g.  
265 brushed or floated) present a more open porous system, which increases the carbonation rate  
266 in dry periods, but also promotes a faster wetting of the surfaces subjected to driving rain.

267 Hurd (1990) refers that smooth concrete surfaces are not easy to obtain, since it is difficult to  
268 achieve completely uniform surfaces, without defects due to the casting process and free from  
269 bug holes, which leads to the premature appearance of anomalies. The author refers that some  
270 designers choose to adopt textured surfaces rather than smooth ones, to avoid these problems.  
271 In a preliminary analysis, the type of finishing is considered a neutral factor, but when the  
272 durability factors are fine-tuned, it is considered that textured surfaces correspond to the  
273 favorable condition, to be coherent with the results observed during the fieldwork survey.

274 The surfaces' orientation is divided in four categories (Silva et al. 2016; Serralheiro et al.  
275 2017): North (N); Northeast, East and Southeast (NE/E/SE); West and Northwest (W/NW); and  
276 South and Southeast (S/SE). The concrete surfaces analysed are located in Portugal (north  
277 hemisphere), and therefore, the discussion of the influence of the surfaces' orientation in their  
278 degradation condition is performed accordingly with the region under analysis. In the sample  
279 analysed, S/SW oriented surfaces are the less durable, reaching the end of service life sooner. In  
280 Portugal, surfaces facing S/SW present longer periods of UV radiation, which promote the  
281 occurrence of anomalies due to higher thermal gradients (Serralheiro et al. 2017). W/NW and  
282 NE/E/SE correspond to an intermediate situation, without a clear pattern of which orientation is  
283 worse. Surfaces facing SE/E/NE also present a higher exposure to the direct incidence of UV  
284 radiation (Garrido et al. 2012); surfaces facing W/NW are more exposed to the combined  
285 effects of wind and rain (Silva et al. 2016). Surfaces facing North present higher estimated  
286 service lives; even though these surfaces are more exposed to damp, it seems that the lower  
287 incidence of solar radiation increases the durability of architectural concrete surfaces. The  
288 results obtained seem to indicate that UV radiation is a degradation agent with a significant  
289 impact on the surfaces' service life, promoting the occurrence of aesthetics anomalies (e.g.  
290 discoloration and darkening) and cracking.

291 Concerning distance from the sea, the results obtained through the degradation curves are

292 coherent, revealing that concrete surfaces in coastal areas (less than 1 km) are the unfavorable  
293 condition, reaching the end of service life sooner. The further away from the coastline, the  
294 higher the surfaces' estimated service life will be. Concrete surfaces more distant from the  
295 coastline are less prone to chemical, physical and mechanical degradation due to the presence of  
296 sea salts (e.g. chloride ions), which induce the occurrence of severe anomalies (Islam et al.  
297 2018).

298 Regarding exposure to damp, Pereira et al. (2018) refer that damp is an aggressive  
299 degradation agent and one of the main causes of defects, being responsible for intense  
300 changes in the overall appearance of concrete surfaces. The results obtained in both methods  
301 are consistent, revealing that surfaces with a high exposure to damp reach the end of service  
302 life sooner.

303 Concerning the surfaces' protection and exposure to wind/rain action, the results obtained  
304 by the degradation curves are more reliable. The surfaces' protection considers the  
305 surrounding conditions, such as vegetation and adjacent buildings, which increase the  
306 surfaces' protection against the environmental agents. As expected, surfaces with unfavorable  
307 exposure conditions (without protection and with high exposure to wind-rain action) reach the  
308 end of service life sooner, since the incidence of prevailing winds and precipitation lead to  
309 higher stresses in concrete surfaces, promoting the degradation of these elements (Pakkala et  
310 al. 2014, 2016).

311 Regarding the ease of inspection, this factor considers the height of the building, assuming  
312 two categories (Silva et al. 2016): surfaces that are easily observed (i.e. yes), for buildings up  
313 to three storeys high; and surfaces that are not easily inspected, for buildings with more than  
314 three floors. Taller buildings present a lower ESL (according to the degradation curves), since  
315 they are more exposed to the degradation agents (e.g. wind and rain), which increase the  
316 propensity to present higher degradation levels (Lahdensivu et al. 2013).

## 317 **5. Application of the factor method to the service life prediction of architectural concrete**

### 318 **5.1. Reference service life**

319 This study addresses the physical service life of architectural concrete surfaces,  
320 considering that a surface that reaches a degradation condition corresponding to a severity of  
321 degradation of 20% comes to the end of its service life. In this sense, to determine the value of  
322 the reference service life, the two previous average values of the estimated service life of  
323 architectural concrete surfaces are considered, but two additional methods are also considered  
324 (Galbusera et al. 2014; Emídio et al. 2014; Silva et al. 2016).

325 First, the method of the average exposure conditions for a case is applied. In this method, the  
326 reference service life is calculated by estimating the average estimated service life of  
327 architectural concretes characterized by standard conditions (i.e. surfaces that feature all the  
328 subfactors equal to 1.0). The reference service life (*RSL*) is thus calculated for the case studies  
329 closest to the standard conditions. For the sample analyzed, the *RSL* is calculated for surfaces  
330 with less than three factors different from 1.0 (since none of the case studies present all the  
331 subfactors equal to 1.0). With this method, the reference service life value was 42.1 years.

332 The second method is that of average exposure conditions, for the entire sample. The  
333 following procedure was used: the reference service life was calculated for all surfaces in the  
334 sample; then, the relationship between the expected service life through the graphical method and  
335 the reference service life is calculated. Subsequently, the *RSL* is given by the average of the values  
336 where the *ESL/RSL* ratio's standard deviation is  $< 3\%$ . Using this method, a *RSL* of 44.8 years is  
337 obtained.

338 The reference service life adopted is 43.8 years, corresponding to the average *RSL*  
339 obtained using these four methodologies.

### 340 **5.2. Calculation formula**

341 Once the durability factors are identified and the reference service life is determined, it is

342 possible to establish the mathematical equation that enables the practical application of the  
343 factor method to the service life prediction of architectural concrete surfaces (Equation (4)).

$$344 \quad ESL = RSL \times A1 \times A2 \times B1 \times E1 \times E2 \times E3 \times E4 \times E5 \times G1 \quad (4)$$

345 Where *ESL* represents the estimated service life, *RSL* the reference service life (equal to 43.8  
346 years), *A1* the color of the surface, *A2* the type of the surface treatment, *B1* is the type of finishing,  
347 *E1* the surfaces orientation, *E2* the distance from the sea, *E3* the exposure to damp, *E4* the  
348 surfaces level of protection, *E5* the exposure to wind-rain action, and *G1* the ease of inspection.

### 349 **5.3. Quantification of the factor method's sub-factors**

350 For the quantification of the durability factors, four scenarios are analysed, always  
351 considering the physical meaning of the results obtained in the previous sections, which allow  
352 evaluating the influence of each factor in the degradation of architectural concrete surfaces. In  
353 this sense, the factors with an unfavorable effect in the estimated service life of architectural  
354 concrete surfaces always present a *k* value lower or equal to average and favorable conditions.  
355 The following scenarios are thus considered:

- 356 • **Scenario 1** is a neutral scenario, in which a value of 1.00 is assigned to all the  
357 durability factors analysed in this study. This scenario allows evaluating the neutral  
358 behavior of the proposed model and is only used as comparison with other models.  
359 The analysis of this scenario intends to evaluate the relevance and usefulness of  
360 applying the factor method to the service life prediction of architectural concrete  
361 surfaces;
- 362 • In **Scenario 2**, the values of each sub factor are assigned according to the ISO  
363 15686: 2011, i.e. 0.80 for the factors that have an unfavorable effect in the  
364 estimated service life of architectural concrete surfaces, 1.00 for current situations  
365 or difficult to access, and 1.20 for the factors considered as favorable;
- 366 • **Scenario 3** adopts a similar methodology as scenario 2, but in scenario 3, the *k*



367 values are 0.90, 1.00 and 1.10. This scenario allows analysing the sensitivity of the  
368 model to small variations in the quantification of the durability factors (Emídio et  
369 al. 2014; Marques et al. 2018);

370 • Finally, **scenario 4** corresponds to an optimised scenario, in which the durability  
371 factors are quantified through an iterative process, intending to obtain the  
372 combination of  $k$  values that minimise the deviation between the estimated service  
373 life obtained by the factor method and the values observed in fieldwork (graphical  
374 method).

375 Table 3 shows the quantification of the durability factors according to the different  
376 scenarios considered.

## 377 **6. Discussion of the results**

378 The different scenarios are analysed and validated through the comparison between the  
379 estimated service life obtained using the factor method (using the different scenarios for the  
380 quantification of the durability factors) and the graphical method. The graphical method is  
381 based on the reality observed during fieldwork, describing, in a mathematical way, the  
382 degradation condition of the surfaces analysed, evaluating the real influence of the different  
383 factors to their estimated service life. Therefore, to evaluate the accuracy of the different  
384 scenarios proposed, different statistical indicators are used, which consider the ratio between  
385 the estimated service life obtained through the factor method (FM) and the estimated service  
386 life obtained through the conversion to the average degradation curve (GM), as follows  
387 (Emídio et al. 2014; Silva et al. 2016; Marques et al. 2018):

- 388 • The relationship between the average ESL, using the factor method and the graphical  
389 method, should not differ from 1 by more than 5% (i.e. the maximum range is [0.95;  
390 1.05]);
- 391 • The amplitude of the results obtained through the factor method must be lower than

392 the amplitude of the results obtained through the graphical method;

- 393 • The results obtained through the factor method must be credible and therefore comply  
394 with the limits considered as acceptable for the expected service life of architectural  
395 concrete surfaces. In this study, as a minimum value an ESL of 22 years is considered,  
396 which corresponds to around half the average expected service of architectural concrete  
397 surfaces, according to the sample analysed; moreover, Kōliö et al. (2014) refer that the  
398 visible damage in concrete facades became visible within 21 and 25 years after  
399 construction. For the maximum limit, an estimated service life of 100 years is assumed  
400 (PCA, 2007);
- 401 • The cumulative frequency of the ratio between MF/MG, greater than or equal to 0.85,  
402 must be greater than 50%;
- 403 • The cumulative frequency of the MF/MG ratio, greater than 1.50, should be less than  
404 10% (i.e. the number of failed estimations should be less than 10%);
- 405 • The various iterations should maximise the number of surfaces belonging to the  
406 interval from 0.85 to 1.15, for the MF/MG ratio.

407 Table 4 shows the statistical indicators resulting from the application of each of the  
408 scenarios considered. As expected, scenario 1 presents the worst overall results, with the  
409 higher percentage of failed estimations. For scenario 2, the average of the ratio between the  
410 results obtained using the factor method and the graphical method presents a variation higher  
411 than 5%. In this scenario, the average estimated service life, achieved through the factor  
412 method (around 36 years), is quite reduced, in comparison with the average ESL obtained by  
413 the graphical method (around 48 years). In scenario 3, the average of the ratio between the  
414 FM and the GM is 0.97, fulfilling the defined criteria. This scenario presents an improved  
415 performance when compared with the previous scenarios analysed. Nevertheless, the results  
416 obtained seem to indicate that the values proposed by the ISO 15686: 2011 standard are too

417 broad (have low sensitivity and adaptability) and do not have the capacity of significantly  
418 increasing the number of cases whose MF/MG ratio is in the range of 0.85 to 1.15.

419 Scenario 4, as expected, presents the best overall results, since the quantification of the  
420 durability factors is based on an iterative process to maximise the similarity between the ESL  
421 predicted by the factor method and the ESL observed in reality (GM). This scenario fulfills all  
422 the defined acceptance criteria. In this scenario, a MF/MG ratio of 1.02 is obtained and the  
423 percentage of case studies whose MF/MG ratio is in the range of 0.85 to 1.15 is also the  
424 highest of all scenarios (45.1%), thus revealing that about 45% of the sample has a variation  
425 of less than 15% compared to the ideal situation, which would be to obtain a service life  
426 estimated by the factorial method equal to the service life estimated by the graphical method.  
427 Scenario 4 shows a significant improvement (about 11%) in terms of the cumulative  
428 frequency of MF/MG results between 0.85 and 1.15, compared to the second-best scenario  
429 (scenario 3).

430 The methodology followed in this study has been adopted by the authors to other building  
431 components, with good results, namely to renderings (Gaspar and de Brito 2008), ceramic  
432 claddings (Galbusera et al. 2014), natural stone claddings (Emídio et al. 2014; Silva et al.  
433 2016), paintings (Magos et al. 2016) and ETICS (Marques et al. 2018). Nevertheless, in the  
434 specific case of architectural concrete surfaces, the factor method led to worse results than  
435 when applied to other elements of the buildings' envelope, which reveal that some relevant  
436 factors are not included in the proposed model. The technology involved in the execution of  
437 architectural concrete surfaces and the formulation of the concrete applied as cladding  
438 solution have a significant influence on the durability of the surfaces. Since this study  
439 analyses the performance of architectural concrete based only on visual inspections, some  
440 aspects related with the design and execution of architectural concrete surfaces (e.g. the  
441 concrete formulation and composition, the exposure class, the cover's thickness) cannot be

442 considered in the service life prediction model. This limitation can explain the variability of  
443 the degradation phenomena that is not encompassed in the proposed model.

444 This study is a first approach for the application of the factor method to the service life  
445 prediction of architectural concrete surfaces in Portugal, and future studies are required to  
446 improve the proposed model. Nevertheless, the results obtained are in accordance with the  
447 reality and the empirical knowledge regarding the durability of architectural concrete  
448 surfaces. Figure 3 shows the histogram of the estimated service life predicted by the factor  
449 method, adopting the quantification of the durability factors proposed in scenario 4, and the  
450 histogram of the service life predicted by the graphical method. The different methodologies  
451 lead to coherent results ranging between 43 years (for the overall degradation curve, presented  
452 in Figure 2) and 48 years (GM). The average estimated service life obtained by the proposed  
453 model for architectural concrete surfaces is in accordance to different studies related with the  
454 durability and service life of architectural concrete: i) adopting a similar methodology,  
455 Serralheiro et al. (2017) obtained an estimated service life of 44 years for architectural concrete  
456 surfaces; ii) Pakkala et al. (2014) refer that the expected service life of architectural concrete  
457 surfaces should be lower than 50 years, which is the service life conventionally accepted for  
458 structural concrete, considering that these surfaces are directly exposed to the degradation  
459 agents; iii) Takahashi et al. (2008) proposed an estimated service life of 50 years for precast  
460 concrete applied as external claddings.

## 461 7. Conclusions

462 Currently, there is a lack of reliable data and methodologies to evaluate the durability and  
463 service life of architectural concrete surfaces in in-service conditions. Moreover, the existing  
464 studies only address the characteristic of concrete (mechanical properties, composition,  
465 among other parameters), neglecting the influence of the real exposure conditions and the  
466 environmental degradation agents in the degradation of concrete elements. Moreover, the lack

467 of knowledge regarding the impact of different degradation agents in the service life of  
468 architectural concrete surfaces usually leads to the adoption of standardised measures for  
469 maintenance and repair of these elements, regardless of the cause, the extent and the severity  
470 of the anomaly observed.

471 Therefore, this study intends to propose the application of the factor method for the  
472 service life prediction of architectural concrete surfaces, thus allowing encompassing different  
473 durability factors that influence the service life of architectural concrete surfaces. In this  
474 study, 239 architectural concrete surfaces are analysed *in situ*.

475 This study proposes a mathematical equation for the practical application of the factor  
476 method to the service life prediction of architectural concrete surfaces. In order to apply the  
477 proposed equation, practitioners should use the durability factors quantified by scenario 4,  
478 which shows the best overall results, i.e. this scenario allows maximising the similarity  
479 between the ESL predicted by the factor method and the ESL observed in reality. The results  
480 obtained show that the values predicted, by the model, are in accordance with reality and the  
481 empirical knowledge regarding the durability of architectural concrete surfaces. However, the  
482 application of the factor method to the service life prediction of architectural concrete, and the  
483 mathematical equation proposed, as well as the quantification of the durability factors as  
484 suggested in this study, should not be taken as an absolute truth, but rather as the best  
485 approach to the sample analysed. In this sense, the equation and the quantification of the  
486 durability factors proposed in this study can be used, as proposed, in similar contexts (with  
487 similar exposure to environmental conditions). Moreover, the proposed method can be  
488 applied in other geographical contexts (mainly in Europe and other developed countries in the  
489 North hemisphere), with some adaptations (e.g. considering the effects of freeze/thaw cycles).  
490 The proposed model can be easily adapted, by tailoring the durability factors, adjusting the  
491 mathematical formulation to the specific reality and context of the architectural concrete

492 analysed.

493 The factor method is an adequate and easily applicable tool for the service life prediction  
494 of architectural concrete surfaces, which can aid the establishment of maintenance and repair  
495 requirements, according to the potential degradation mechanisms and the correspondent  
496 estimated service life.

497 This study is a first approach for the application of a standardised service life prediction  
498 method to architectural concrete surfaces, providing some guidance, which can be used in  
499 future research. In future studies, this method can be applied to a new universe of cases, since  
500 the larger the sample analysed, the greater the reliability and applicability of the factor  
501 method.

502 Despite the various limitations, that this method presents, in an overall analysis, its  
503 application is expeditious and highly operational, especially when considering the complexity  
504 and the numerous factors that influence the evolution of the degradation of an external  
505 cladding. Due to these advantages, the factor method is the basis of international standards, as  
506 a general framework for estimating the service life of buildings and their elements.

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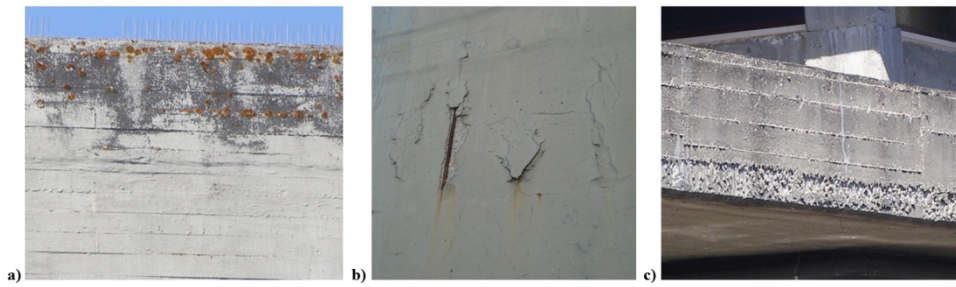
## FIGURE CAPTIONS

614 Figure 1. Anomalies in architectural concrete: a) biological growth (aesthetics); b) mapped cracking  
615 (mechanical); and c) honeycombing (constructive)

616 Figure 2. Degradation curve obtained for the 239 architectural concrete surfaces analyzed, and an illustrative  
617 case study that has reached the end of its physical service life

618 Figure 3. Histograms of the ESL obtained by factor and graphical methods

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**Figure 1.** Anomalies in architectural concrete: a) biological growth (aesthetics); b) cracking and disaggregation/spalling (mechanical); and c) honeycombing (constructive)

138x46mm (300 x 300 DPI)

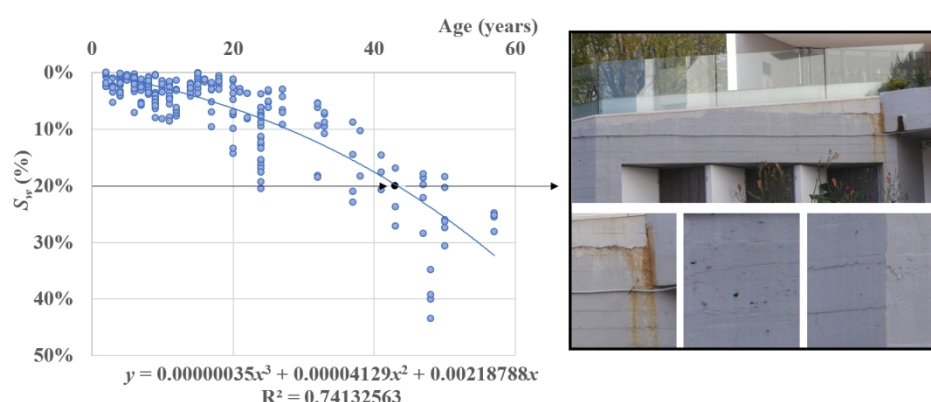
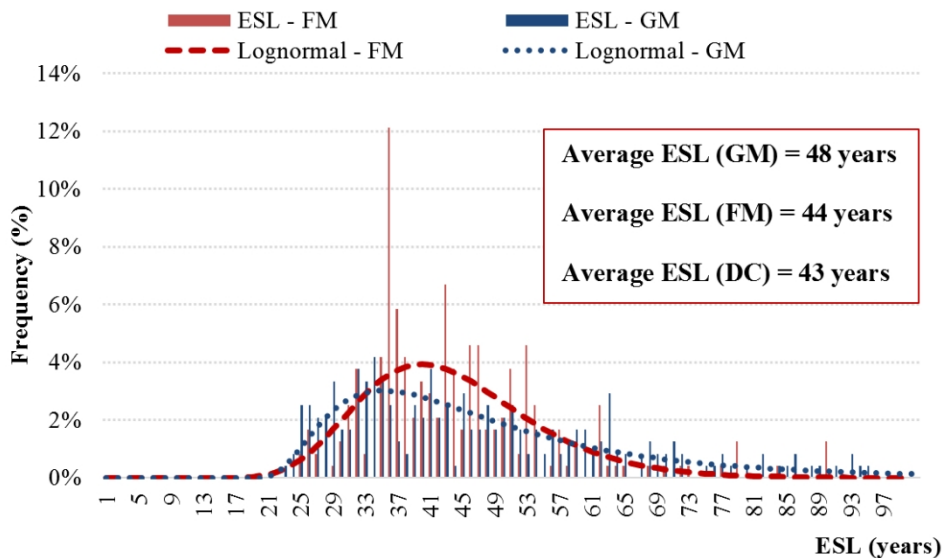


Figure 2. Degradation curve obtained for the 239 architectural concrete surfaces analyzed, and an illustrative case study that has reached the end of its physical service life

149x63mm (300 x 300 DPI)



**Figure 3.** Histograms of the ESL obtained by factor and graphical methods

100x64mm (300 x 300 DPI)

**Table 1.** Degradation levels for the different anomalies observed in architectural concrete surfaces (data sourced from Serralheiro et al., 2017)

Anomalies group	Anomalies description	$k_{a,n}$	Degradation level				
			Level A	Level B	Level C	Level D	Level E
Aesthetic anomalies	Dirt stains	0.15	No visible degradation	< 15%	15% to 40%	> 40%	-
	Moisture stains	0.15					
	Corrosion stains	0.50					
	Wear/erosion	2.00					
	Bug holes	0.10					
	Biological growth	0.60					
	Efflorescence	0.20					
Mechanical anomalies	Disaggregation/spalling	5.00/ 4.00		< 10%	< 10%	10% to 30%	> 30%
	Oriented cracking ( $\leq 0.5$ mm)	1.00					
	Oriented cracking (> 0.5 mm and < 3 mm)	1.00		-	< 5%	-	-
	Oriented cracking ( $\geq 3$ mm)	1.00		-	-	$\geq 5\%$	-
	Mapped cracking	0.15		-	-	< 50%	$\geq 50\%$
Constructive anomalies	Flatness defects	0.10		< 20%	20% to 50%	> 50%	-
	Dribbling	0.10		< 10%	$\geq 10\%$	-	
	Fastening marks	0.10	-	$\leq 5\%$	> 5%		
	Honeycombing	0.30	-	< 10%	$\geq 10\%$		
	Formwork incrustation	0.10	-	< 10%	$\geq 10\%$		

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**Table 2.** Service life predicted by the graphical method and by the degradation curves for the durability factors under analysis

Surfaces' characteristics			Graphical method	Degradation curves	<i>k</i> initial value
<b>Color (A1)</b>	Dark	<i>k</i> <sub>1</sub>	42.6	46.0	0.95
	Light	<i>k</i> <sub>2</sub>	50.2	42.6	1.00
<b>Surfaces' treatment (A2)</b>	Without protection	<i>k</i> <sub>1</sub>	47.3	43.9	0.80
	Paint	<i>k</i> <sub>2</sub>	48.9	39.0	1.10
	Water repellent	<i>k</i> <sub>3</sub>	49.2	39.3	1.00
	Paint + water repellent	<i>k</i> <sub>4</sub>	67.5	79.1	1.20
	Varnish	<i>k</i> <sub>5</sub>	36.5	42.7	0.80
<b>Type of finish (B1)</b>	Flat	<i>k</i> <sub>1</sub>	46.7	41.7	1.00
	Textured	<i>k</i> <sub>2</sub>	53.1	45.3	1.00
<b>Orientation (E1)</b>	North	<i>k</i> <sub>1</sub>	50.7	42.3	1.00
	W/NW	<i>k</i> <sub>2</sub>	47.7	46.8	0.90
	SE/E/NE	<i>k</i> <sub>3</sub>	48.3	40.0	1.00
	S/SW	<i>k</i> <sub>4</sub>	47.3	40.7	0.80
<b>Distance from the sea (E2)</b>	< 1 km	<i>k</i> <sub>1</sub>	47.3	35.5	0.90
	Between 1 km and 5 km	<i>k</i> <sub>2</sub>	52.0	44.5	1.00
	> 5 km	<i>k</i> <sub>3</sub>	46.0	48.5	1.10
<b>Exposure to damp (E3)</b>	Low	<i>k</i> <sub>1</sub>	51.5	45.9	1.10
	High	<i>k</i> <sub>2</sub>	45.0	39.9	1.00
<b>Surfaces' protection (E4)</b>	With protection	<i>k</i> <sub>1</sub>	47.3	45.3	1.00
	Without protection	<i>k</i> <sub>2</sub>	49.8	37.5	0.90
<b>Wind/rain exposure (E5)</b>	Low	<i>k</i> <sub>1</sub>	45.3	45.6	1.00
	High	<i>k</i> <sub>2</sub>	49.9	42.0	0.95
<b>Ease of inspection (G1)</b>	Yes	<i>k</i> <sub>1</sub>	47.3	45.3	1.20
	No	<i>k</i> <sub>2</sub>	49.8	37.5	1.00

**Table 3.** Factors for each of the scenarios considered

Conditioning factors			Scenario 2	Scenario 3	Scenario 4
<b>Color</b>	Dark	<i>k</i> 1	0.80	0.90	0.900
	Light	<i>k</i> 2	1.00	1.00	1.200
<b>Surface treatment</b>	Without protection	<i>k</i> 1	0.80	0.90	0.800
	Paint	<i>k</i> 2	1.00	1.00	1.100
	Water repellent	<i>k</i> 3	1.00	1.00	1.025
	Paint + water repellent	<i>k</i> 4	1.20	1.10	1.200
	Varnish	<i>k</i> 5	0.80	0.90	0.900
<b>Type of finish</b>	Flat	<i>k</i> 1	1.00	1.00	0.800
	Textured	<i>k</i> 2	1.20	1.10	1.200
<b>Orientation</b>	North	<i>k</i> 1	1.20	1.00	1.100
	W/NW	<i>k</i> 2	1.00	1.00	1.000
	SE/E/NE	<i>k</i> 3	1.00	1.00	1.000
	S/SW	<i>k</i> 4	0.80	0.90	0.850
<b>Distance from the sea</b>	< 1 km	<i>k</i> 1	0.80	0.90	0.800
	Between 1 km and 5 km	<i>k</i> 2	1.00		
	> 5 km	<i>k</i> 3	1.20	1.00	0.950
<b>Exposure to damp</b>	Low	<i>k</i> 1	1.20	1.10	1.000
	High	<i>k</i> 2	1.00	0.90	0.875
<b>Surface protection</b>	With protection	<i>k</i> 1	1.00	1.00	1.050
	Without protection	<i>k</i> 2	0.80	0.90	1.000
<b>Wind/rain exposure</b>	Low	<i>k</i> 1	1.00	1.00	1.250
	High	<i>k</i> 2	0.80	0.90	1.000
<b>Ease of inspection</b>	Yes	<i>k</i> 1	1.00	1.10	1.050
	No	<i>k</i> 2	0.80	1.00	1.000

**Table 4.** Statistical indicators of the scenarios considered

<b>Scenarios</b>		<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>
<b>FM/GM average &lt; 1.05</b>		1.04	0.85	0.97	1.02
<b>Standard deviation</b>		0.37	0.37	0.35	0.37
<b>Amplitude of results</b>	Factor method (years)	0.0	58.31	37.63	65.60
	Graphical method (years)	85.29	85.29	85.29	85.29
<b>Extremes values obtained in the factor method</b>	Maximum = 100 years	43.42	72.67	63.49	89.89
	Minimum = 22 years	43.42	14.35	25.87	24.29
<b>FM/GM <math>\geq 0.85</math> (<math>\geq 50\%</math>)</b>		64.85%	47.28%	61.51%	70.71%
<b>FM/GM <math>\geq 1.50</math> (<math>&lt; 10\%</math>)</b>		12.97%	5.86%	6.69%	8.79%
<b><math>0.85 \leq \text{FM/GM} \leq 1.15</math></b>		28.45%	31.96%	34.31%	45.19%

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