

## Research Article

# Application Research of Chaotic Carrier Frequency Modulation Technology in Two-Stage Matrix Converter

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The harmonics of line to line voltage in two-stage matrix converter (TSMC) with fixed carrier frequency had discrete and high values and produced powerful electromagnetic interference (EMI). In this paper, chaotic carrier frequency modulation technique (CCFMT) was applied in TSMC for the first time to spread sideband range and suppress harmonic peak value. Although this technique could suppress EMI, it would increase the probability of narrow pulses. In order to improve reliability, the rectifier in the two-stage matrix converter uses PWM modulation with zero vector to extend the zero current commutation time, solves the narrow pulse problem, and simplifies the commutation process. At last, an experiment platform was designed and experimental results showed that harmonics of line to line voltage was efficiently suppressed.

## 1. Introduction

TSMC is a kind of direct AC/AC converters, which consists of rectifier and inverter with no filter capacitors. Apart from the advantages of matrix converter, TSMC also possesses some outstanding features, for instance, zero current commutation of rectifier, simple clamping circuit, and less switches at particular condition. Therefore, TSMC has become one of the most promising areas in many fields [1–6].

However, due to the fixed carrier frequency, the harmonics which appear at integer multiples of the carrier frequency of TSMC line to line voltage possess discrete and high values and produce powerful EMI. In order to meet the demands of at the same carrier frequency. In a practical application, TSMC can generate narrow pulses inevitably and CCFMT also increases the possibility of producing them, which can lead to commutation failure and device damage [20–29].

In response to these shortcomings, we propose a new CCFMT which can improve commutation reliability. It can solve the narrow pulse problem and simplify the commutation process. In addition, we demonstrate the effectiveness and superiority of the proposed CCFMT to suppress EMI of TSMC on TSMC experiment platform [30–35].

Figure 1 shows the topology of TSMC with 18 switches. Because the input side of rectifier and output side of inverter

electromagnetic compatibility (EMC), output filter should be adopted, which not only increases the volume and weight but also produces additional harmonics [7–11]. CCFMT is one of the most effective solutions of Carrier Frequency Modulation Technique (CFMT). It can make the carrier frequency change according to chaotic principle and spread the sideband to the whole frequency range; thus the harmonic peak value is effectively suppressed [12–19]. However, because there is no DC energy storage element, the rectifier and inverter should adopt collaborative commutation to modulate the fundamental component of the input current and output voltage

have the properties of voltage source and current source, respectively, to ensure safe operation three principles should be as follows: prevent short circuit of three rectifier bridges, avoid direct connection between rectifier bridges, and prevent open circuit of inverter output side during rectifier commutation.

In this paper, we adopt chaotic carrier frequency. The carrier frequency  $f_s$  is as follows:

$$f_s = f_c + \Delta f_s x_k \quad (1)$$

In the equation,  $f_c$  is the constant carrier frequency;  $\Delta f_s$  is the maximum offset;  $x_k$  is the chaotic iterative sequence. We

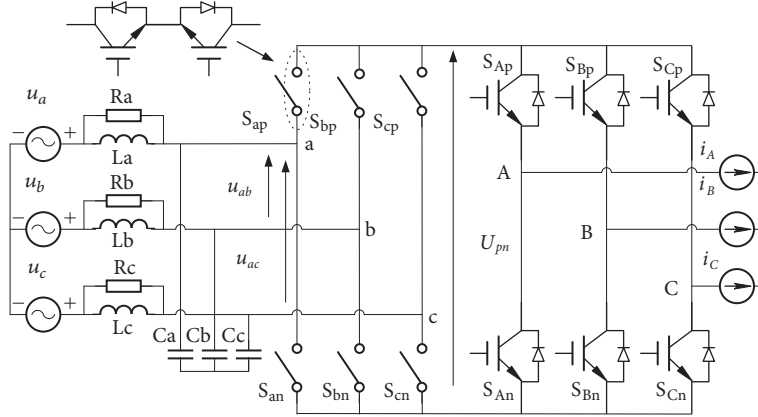


FIGURE 1: Topology of TSMC.

utilize Logistic sequence is a widely used chaotic mathematical model. This paper uses it to generate chaotic signals, and the recursive expression is as follows:

$$x_{k+1} = 1 - 2x_k^2, \quad x_k \in (-1, 1) \quad (2)$$

In working process, if same carrier frequency, appropriate rectifier carrier, and both modulation function and carrier wave of inverter are adopted, unnecessary commutation and switching operation can be avoided.

When the carrier frequency changes according to chaotic principle, the switching moment will be uncertain. It brings about irregularly distributed narrow pulses due to decreasing commutation time as the carrier period shortens. Although some enlarged carrier periods can remove the corresponding narrow pulses, CCFMT will increase the narrow pulses generally. The rectifier zero vector action time (ZVAT) is large at the transition of sectors. Thus if rectifier zero vector is employed, we can extend the commutation time, remove the influence of narrow pulses, and improve commutation reliability. Based on that, we propose a new CCFMT to improve the commutation reliability of TSMC [36–41].

## 2. The CCFMT Principle of TSMC

Suppose the normalized form of expected three-phase input current is as follows:

$$\begin{aligned} i_a &= \cos(\theta_i) \\ i_b &= \cos\left(\theta_i - \frac{2\pi}{3}\right) \\ i_c &= \cos\left(\theta_i + \frac{2\pi}{3}\right) \end{aligned} \quad (3)$$

In the equation,  $\theta_i = \omega_i t$ ,  $\omega_i$  is the angular frequency of input current. We divide the input current into 6 sectors, and the principle is that in each sector the direction of one certain current is opposite to the other two. Figure 2 shows the space vectors of input current. In Figure 2,  $\mathbf{i}_\alpha$  and  $\mathbf{i}_\beta$  are the working vectors of reference vector  $\mathbf{i}$  in each sector. It can be obtained that if the input vector of the input current is

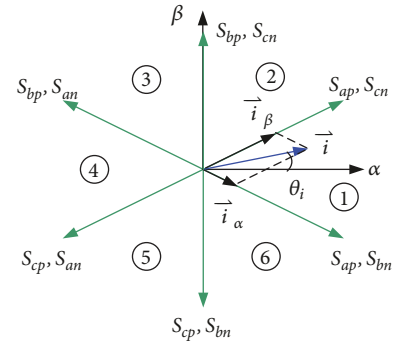


FIGURE 2: Space vectors of input current.

different, the corresponding switching states of the rectifier are also different [20, 21]. To obtain the modulation function containing rectifier zero vector, the expression of  $\mathbf{i}_\alpha$ ,  $\mathbf{i}_\beta$  and duty ratio of ZVAT are as follows:

$$\begin{aligned} d_\alpha &= m_i \cos\left(\theta_i - \frac{k_i \pi}{3}\right) \\ d_\beta &= m_i \sin\left(\frac{k_i \pi}{3} - \theta_i - \frac{\pi}{6}\right) \\ d_0 &= 1 - d_\alpha - d_\beta \end{aligned} \quad (4)$$

In the equation,  $d_\alpha$ ,  $d_\beta$ , and  $d_0$  are action time duty ratios of  $\mathbf{i}_\alpha$ ,  $\mathbf{i}_\beta$ , and zero vector, respectively;  $m_i \in (0, 1.1547)$  is the modulation coefficient of rectifier, and  $m_i = 1$  in this paper;  $k_i = 1, 2, \dots, 6$  is the number of input current sector.

The definitions of  $i_1$  and  $i_2$  are as follows:

$$\begin{aligned} i_1 &= d_\alpha \\ i_2 &= d_\alpha + d_0 \end{aligned} \quad (5)$$

In Figures 3(a) and 3(b), the longitudinal area between two modulation functions is ZVAT. In working process, rectifier will not output the switching states of zero vectors, which means that the DC-side voltage is still composed of two input

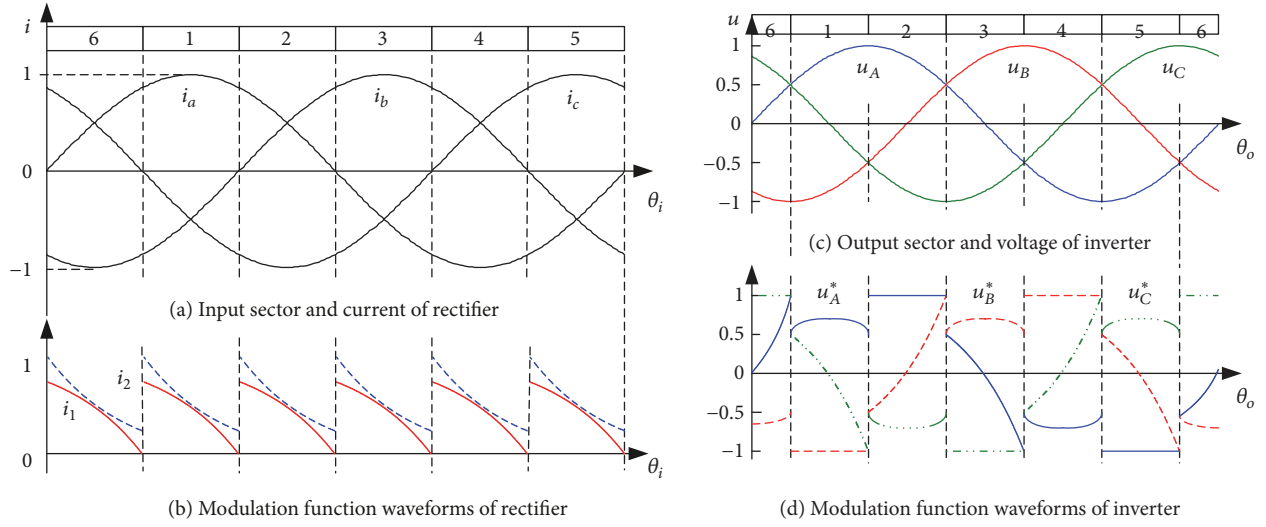


FIGURE 3: Waveforms of rectifier and inverter.

line voltages. The duty ratio of the action time of each line voltage can be obtained from

$$\begin{aligned} d_1 &= d_\alpha + h_i d_0 \\ d_2 &= d_\beta + (1 - h_i) d_0 \end{aligned} \quad (6)$$

In the equation,  $h_i \in (0, 1)$  is the distribution coefficient of ZVAT between two line voltages. We take  $h_i = 0.5$ , and then the zero vector is distributed evenly. Suppose the normalized form of expected three-phase output current of inverter is as follows:

$$\begin{aligned} u_A &= \cos(\theta_o) \\ u_B &= \cos\left(\theta_o - \frac{2\pi}{3}\right) \\ u_C &= \cos\left(\theta_o + \frac{2\pi}{3}\right) \end{aligned} \quad (7)$$

In the equation,  $\theta_o = \omega_o t$ , and  $\omega_o$  is the angular frequency of output voltage. We divide output voltage into 6 sectors as shown in Figure 3(c). By applying zero sequence to three-phase SPWM modulation wave, we can obtain the space vector modulation function with high-side or down-side switch clamped at DC bus in  $\pi/3$  in (8).

In (8),  $m_o$  is the inverter modulation coefficient;  $k_o$  is the distribution coefficient of two output zero vectors of inverter, and it is equal to 1 and 0 at even and odd sectors, respectively;  $u_{\min}$  and  $u_{\max}$  are the minimum and maximum output phase voltages.

$$\begin{aligned} u_A^* &= m_o [u_A - k_o u_{\min} - (1 - k_o) u_{\max}] + 1 - 2k_o \\ u_B^* &= m_o [u_B - k_o u_{\min} - (1 - k_o) u_{\max}] + 1 - 2k_o \\ u_C^* &= m_o [u_C - k_o u_{\min} - (1 - k_o) u_{\max}] + 1 - 2k_o, \end{aligned} \quad (8)$$

$$m_o \in (0, 1.1547)$$

This paper adopts this modulation function to reduce the number of inverter commutation. Figure 3(d) shows the waveform of inverter modulation function. Based on regular sampling principle, the duty ratio of three-phase high-side switches of inverter is [22–25]

$$\begin{aligned} d_A &= 0.5(1 + u_A^*) \\ d_B &= 0.5(1 + u_B^*) \\ d_C &= 0.5(1 + u_C^*) \end{aligned} \quad (9)$$

In one carrier period  $T_s = 1/f_s$ , the DC-side voltage consists of two different input line voltages, and the two corresponding action times is determined by (6). The inverter should take modulation in each action time of two line voltages, respectively. However, the modulation is not carried out in the whole line voltage action time but the first half of it according to (6), namely, the working vector action time of rectifier  $d_\alpha T_s$  and  $d_\beta T_s$ . Therefore, the local average value of DC-side voltage utilized by inverter in one carrier period is equal to

$$\bar{u}_{pn} = d_\alpha u_1 + d_\beta u_2 = 1.5U_{im} \quad (10)$$

In the equation,  $U_{im}$  is the maximum value of input phase voltage.

To make full use of the two line voltages, the same duty ratio of inverter should be adopted in two action times, which ensures the phase angle could stay same in one carrier period. Equation (10) shows that the average value of DC-side voltage utilized by inverter is constant; thus the calculation amount is reduced effectively, which differs from that of rectifier [26–28]. This is because the rectifier modulation technique has not zero vectors and then the formula needs real time correction.

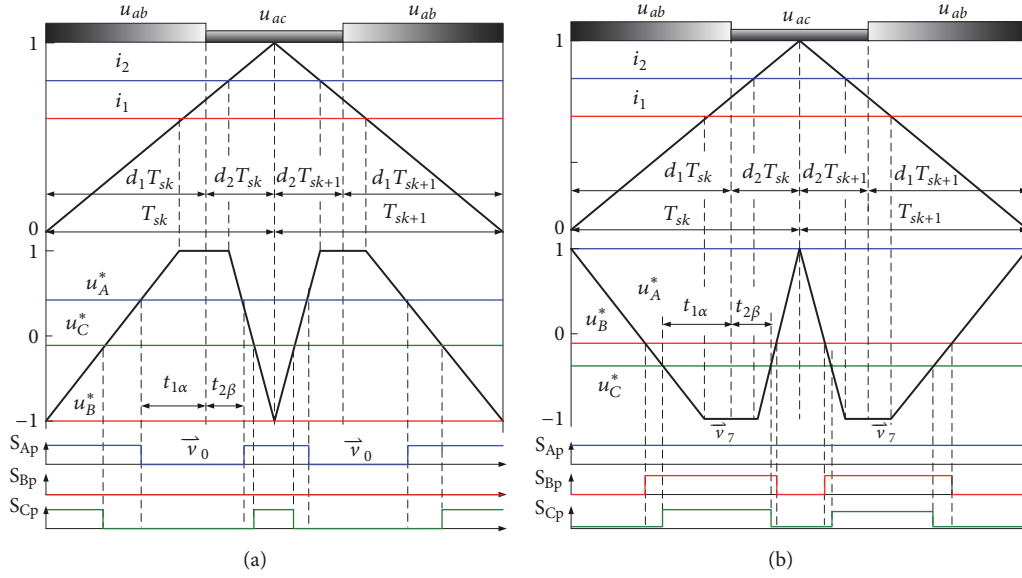


FIGURE 4: Modulation diagram of rectifier and inverter: (a) sector 1 and (b) sector 2.

In the collaborative modulation, the duty ratio formula of inverter when rectifier contains zero vectors is as follows:

$$\begin{aligned} d_{j\alpha} &= d_j d_\alpha \\ d_{j\beta} &= d_j d_\beta, \end{aligned} \quad (11)$$

$j \in (A, B, C)$

Figure 4 shows the collaborative modulation of the first sector of rectifier. Because one phase of the inverter modulation function is -1 and 1 in odd and even sectors, respectively, different carriers are employed in odd and even inverter sectors. In adjacent carrier periods  $T_{sk}$  and  $T_{sk+1}$ , symmetrical trigonometric wave is employed as the carrier in rectifier. In each carrier period, the output line voltages of rectifier are  $u_{ab}$  and  $u_{ac}$  in  $d_1 T_s$  and  $d_2 T_s$ , respectively, and the commutation is carried out in rectifier ZVAT. In each period, it only commutates once and there is no commutation in period transition. In the working vector action time  $d_\alpha T_s$  and  $d_\beta T_s$ , unilateral PWM is adopted in inverter. In ZVAT  $d_0 T_s$ ,  $\vec{v}_0$  and  $\vec{v}_7$  remain the same. Therefore, the inverter carrier wave shows the shape of scalene triangle with flat. The inverter commutates 4 times in each carrier period with no commutation in period transition. Thus, both rectifier and inverter avoid the unnecessary commutation.

The TSMC conventional modulation contains three constant frequencies, namely,  $f_s$ ,  $f_0$ , and  $f_i$ , and the modulation has periodicity. The output voltage pulse can be represented by a triple Fourier series.

The phase voltage of the output A-phase is expressed as follows:

$$u_{AN} = \sum_{l=-\infty}^{+\infty} \sum_{m=-\infty}^{+\infty} \sum_{n=-\infty}^{+\infty} K_{lmn} e^{j(lr+ms+nz)} \quad (12)$$

In the formula,  $r = \omega_s t$ ;  $s = \omega_0 t$ ;  $z = \omega_s t$ ;  $\omega_s = 2\pi f_s$ ;  $K_{lmn}$  is the  $lf_s \pm mf_0 \pm nf_i$  harmonic coefficient.

Since the modulation function of phase B lags the phase A by  $2\pi/3$ , the triple Fourier series of the phase B voltage is

$$u_{BN} = \sum_{l=-\infty}^{+\infty} \sum_{m=-\infty}^{+\infty} \sum_{n=-\infty}^{+\infty} K_{lmn} e^{-j2m\pi/3} e^{j(lr+ms+nz)} \quad (13)$$

Thus, the Fourier series of the line voltage  $u_{AB} = u_{AN} - u_{BN}$  is

$$\begin{aligned} u_{AB} &= \sum_{l=-\infty}^{+\infty} \sum_{m=-\infty}^{+\infty} \sum_{n=-\infty}^{+\infty} K_{lmn} (1 - e^{-j2m\pi/3}) e^{j(lr+ms+nz)} \end{aligned} \quad (14)$$

The amplitude of the  $lf_s \pm mf_0 \pm nf_i$  harmonic of the output voltage is as follows:

$$A_{lmn} = 2 |K_{lmn} (1 - e^{-j2m\pi/3})| \quad (15)$$

According to (15), the spectrum of the constant carrier frequency output line voltage  $u_{AB}$  as shown in Figure 5 is calculated by Matlab, wherein the input phase voltage amplitude is 100V, the frequency is 50 Hz, the output current frequency is 30 Hz, and the carrier frequency is 10 kHz. Since the rectification stage uses a symmetric triangular carrier in two adjacent carrier cycles, the output voltage harmonics contain discrete harmonics concentrated at around 5 kHz.

From the perspective of time domain analysis, the PWM pulse is formed by the pulse connection of different switching moments of a certain width [29–32]. The CCFMT is such that the carrier period changes with the modulation signal, resulting in a change of the switching time; from the perspective of frequency domain analysis, the PWM pulse is composed of discrete harmonics with fixed fundamental amplitude and frequency. CCFMT is to make the carrier frequency change with the modulation signal, independently spread the single frequency harmonic, and reduce its peak value [33].

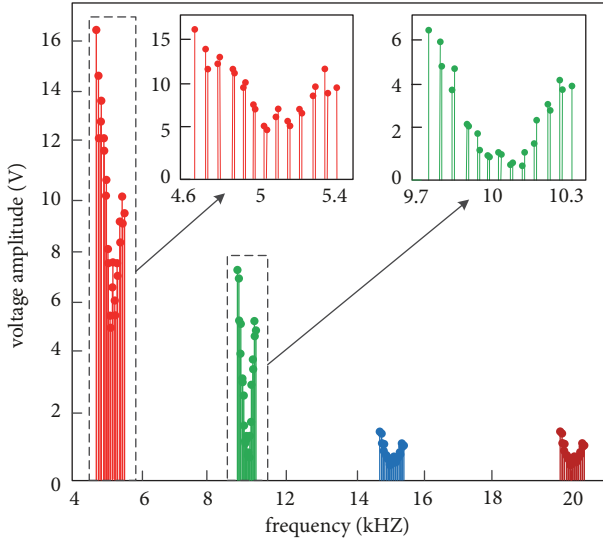


FIGURE 5: Output line voltage spectrum simulation diagram.

According to the principle of conservation of harmonic energy, the wider the frequency bands of a single harmonic extension, the lower the amplitude. It can be seen from (1) that the larger the maximum frequency offset, the larger the range of carrier frequency variation, and the wider the harmonic spread after CCFMT modulation, the flatter the spectrum. However, since the adjacent discrete harmonic distribution pitch is much smaller than the extended frequency band, harmonic sidebands of different frequencies are likely to overlap. The amplitudes of the components with opposite phases at the same frequency cancel each other out, and the amplitudes of the components with the same phase are superimposed on each other, making the spectrum distribution quite complicated. However, the overall spectral overlap of the overlap will increase.

According to the constant carrier frequency modulation, the output voltage frequency at the maximum frequency offset frequency  $\Delta f_s = 2 \text{ kHz}$ ,  $5 \text{ kHz}$  can also be obtained. The increase of  $\Delta f_s$  is more obvious.

The TSMC modulates the sinusoidal input and output current through the cooperative operation of the rectification stage and the inverter stage. The change of the current is determined by the applied pulse, so the modulated current has ripples of up and down jitter. The magnitude of the ripple is related to the pulse width of the action, and the pulse width is determined by the product of the duty cycle of each switch and the switching period. The duty cycle is related to the modulation wave and is independent of the carrier frequency. In constant carrier frequency modulation, the pulse width changes over and over again. In CCFMT, the pulse width changes in a chaotic manner, thus causing a chaotic change in current modulation time. In a carrier cycle, as the carrier period becomes larger, the current change time also increases, and the range of variation also increases. As the carrier cycle becomes smaller, the range of current variation also becomes smaller. The CCFMT changes the carrier frequency up and down around the center frequency, resulting in an increase

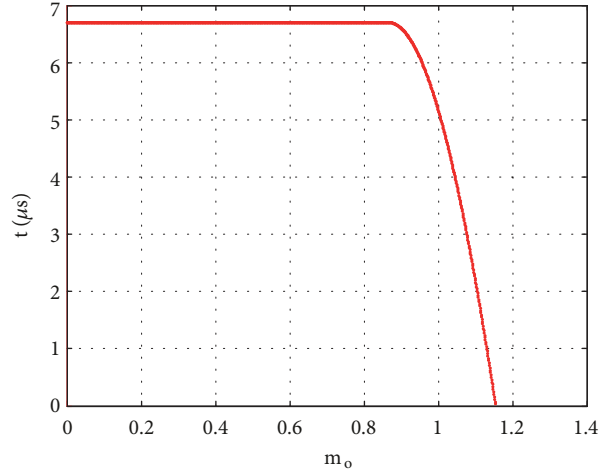


FIGURE 6: Relation between minimum commutation time and  $m_o$ .

in current ripple. The larger the range of carrier frequency variation, the larger the maximum frequency offset  $\Delta f_s$ , and the larger the range of current variation in one carrier period.

During one carrier cycle, the TSMC rectification stage is modulated by two line voltages, and the inverter stage is demodulated separately during the two line voltages. The pulse width of the rectification stage is affected by the change of the carrier frequency than the pulse width of the inverter stage, so the input current ripple is also affected by the CCFMT and larger than the output current ripple.

### 3. The Analysis on Narrow Pulses

In Figure 4,  $t_{1\alpha}$  and  $t_{2\beta}$  are rectifier commutation time. In  $t_{1\alpha}$ , the inverter outputs zero vector and the current of DC bus goes to zero, which prepares for zero current commutation of rectifier. In  $t_{2\beta}$ , the commutation of rectifier is carried out under the second principle modulating the output line voltage for the second stage of inverter. In  $t_{1\alpha}$ , a switch of inverter is turned off and the other switch of the same bridge is turned on after some dead-time; in  $t_{2\beta}$ , a bidirectional switch is turned off and the other switch is turned on after some dead-time; thus the needed commutation time is the same [34, 35, 42].

Figure 6 shows the relation between the minimum commutation time and  $m_o$  obtained by Matlab, and  $T_s = 100 \mu\text{s}$ . If  $m_o < 0.867$ , the minimum commutation time appears at the beginning and ending of rectifier sectors, and it is equal to the half of maximum ZVAT. If  $m_o > 0.867$ , with  $m_o$  increasing, the minimum commutation time moves toward middle and decreases at the same time. At last, it goes to zero at  $m_o = 1.1547$  in full-commutation. Then, to avoid narrow pulses,  $m_o$  should not be too large, and we prefer  $m_o = 1$ . Thus, the minimum commutation time is  $5.1713 \mu\text{s}$ , and there is enough time for rectifier commutation to remove narrow pulses.

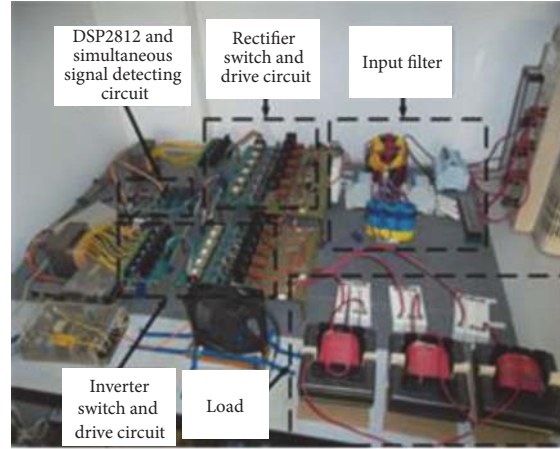


FIGURE 7: Experimental platform of TSMC.

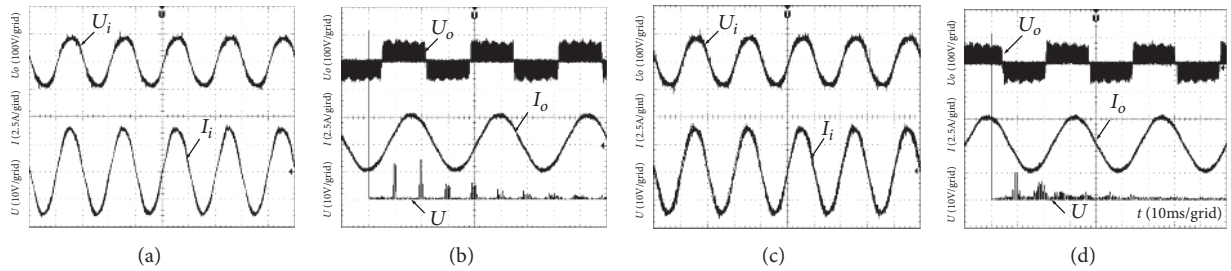


FIGURE 8: (a) Waves of input phase voltage and input current (CFMT). (b) Waves of output line voltage and output current, and frequency spectrum of output line voltage (CFMT). (c) Waves of input phase voltage and input current (CCFMT). (d) Waves of output line voltage and output current, and frequency spectrum of output line voltage (CCFMT).

#### 4. The Experiment Result

To verify the effectiveness of CCFMT, the TSMC experiment platform is built in Figure 7. The controller is DSP2812. The amplitude of input phase voltage is 100V and the frequency is 50Hz. The parameters of input RLC filter are  $L=2\text{mH}$ ,  $C=10\mu\text{F}$ , and  $R=200\Omega$ ; the Y-connection load is made up of  $10\Omega$  resistors and  $6\text{mH}$  inductors in series; the constant carrier frequency is 10kHz, and the maximum offset is 2kHz; the dead-time is  $2\mu\text{s}$ . The result is measured by Tektronix oscilloscope TDS3032B with probe P5205 and T CPA300. In Figure 8, because the carrier frequency in Figure 8(c) changes according to chaotic principle, the fluctuation of input current and voltage is larger than that in Figure 8(a). In addition, from Figures 8(b) and 8(d), both modulations can bring about commendable waves of output voltage and current. However, in constant carrier frequency modulation, the harmonics in output line voltage frequency spectra concentrate on integer multiples of carrier frequency (because the symmetric triangular carrier is adopted in rectifier, the actual carrier frequency is halved) and possess large peak values bringing about powerful EMI. In chaotic carrier frequency modulation, the sideband range is spread and peak values are reduced effectively without changing the fundamental component. Furthermore, the sideband is spread more widely with the frequency increasing, which

TABLE 1: HSF of output voltages from fixed CFMT and CCFMT with different  $\Delta f_s$ .

| $\Delta f_s/\text{kHz}$ | 0    | 2   | 3    | 4    | 5    |
|-------------------------|------|-----|------|------|------|
| HSF                     | 0.85 | 0.7 | 0.68 | 0.66 | 0.64 |

makes the harmonic distribution evenly and suppresses EMI.

In order to evaluate the effect of  $\Delta f_s$  on the spread spectrum effect, the concept of variance in statistics is introduced to define the harmonic expansion factor (HSF). HSF can quantitatively describe the spread spectrum effect. HSF can quantitatively describe the spread spectrum effect. If the HSF is smaller, the harmonic spectrum is flatter. Table 1 shows the HSF of the output voltage spectrum for a constant carrier frequency and CCFMT at different  $\Delta f_s$  modulations.

#### 5. Conclusions

This paper first proposed the application of CCFMT to TSMC. The proposed new CCFMT can effectively improve the reliability of commutation, solve the narrow pulse problem, and simplify the commutation process. By changing the carrier frequency, extending the harmonic spectrum, and reducing the peak value of the output voltage harmonics,

EMI is effectively suppressed. In addition, the effectiveness of CCFMT was proved by experiments. However, CCFMT will increase the input current ripple and still need improvement in future research.

## Data Availability

The data used to support the findings of this study are available from the corresponding author upon request.

## Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this article.

## Acknowledgments

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