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1 **Aquatic Noise Pollution: Implications for Individuals, Populations and Ecosystems**

2

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17

18

19 Anthropogenically driven environmental changes affect our planet at an unprecedented scale,
20 and are considered to be a key threat to biodiversity. According to the World Health
21 Organisation, anthropogenic noise is one of the most hazardous forms of anthropogenically
22 driven environmental change and is recognised as a major global pollutant. However, crucial
23 advances in the rapidly emerging research on noise pollution focus exclusively on single
24 aspects of noise pollution, e.g. on behaviour, physiology, terrestrial ecosystems or by
25 focusing on certain taxa. Given that more than two thirds of our planet is covered with water,
26 there is a pressing need to get a holistic understanding of the effects of anthropogenic noise in
27 aquatic ecosystems. We found experimental evidence for negative effects of anthropogenic
28 noise on an individual's development, physiology, and/or behaviour in both invertebrates and
29 vertebrates. We also found that species differ in their response to noise, and highlight the
30 potential underlying mechanisms for these differences. Finally, we point out challenges in the
31 study of aquatic noise pollution and provide directions for future research, which will
32 enhance our understanding of this globally present pollutant.

33 **1. Background**

34 Many species are currently experiencing anthropogenically driven environmental changes,
35 which can negatively affect the persistence of populations or species [1,2]. One form of
36 anthropogenically driven environmental change is the change in the acoustic environment
37 through anthropogenic noise pollution. According to the World Health Organisation,
38 anthropogenic noise is one of the most hazardous forms of pollution and has become
39 omnipresent within terrestrial and aquatic ecosystems [3,4]. Anthropogenic noise is any
40 unwanted or disturbing sound. In aquatic ecosystems, noise is intentionally produced for
41 seismic exploration, harassment devices or sonar, or an unintentional by-product such as
42 industry, shipping and recreational boating [5].

43

44 Sound is the propagation of a mechanical disturbance through a medium, such as air or
45 water, taking the form of acoustic waves [6]. Underwater sound has both a pressure and a
46 particle motion component, and hearing can be defined as the relative contribution of each of
47 these sound components to auditory detection [7]. Therefore, hearing may involve the
48 detection of pressure, and/or particle motion. Particle motion perception differs from pressure
49 perception by limiting the detectable frequency range to a few hundred Hertz, by restricting
50 the detectable sound intensities to higher levels, and also by shortening distances over which
51 sounds can be perceived [8].

52

53 In recent years, a number of excellent reviews focusing on single aspects of noise
54 pollution have been published, e.g. behaviour [9]; physiology [10]; conservation: [11-14],
55 terrestrial ecosystems [15,16] or by focusing on certain taxa e.g.[17-25]. Given that more
56 than two thirds of our planet is covered with water, there is a pressing need to specifically

57 understand the effects of anthropogenic noise in aquatic ecosystems. To close this gap, we
58 review how noise pollution in the aquatic environment affects species across the taxonomic
59 scale by looking how noise affects an individuals' development, physiology and/or behaviour.
60 Then, we discuss why species may differ in their susceptibility to anthropogenic noise and
61 critically evaluate challenges in the study of aquatic noise pollution; finally, we provide
62 directions for future studies, which will enhance our understanding of this important global
63 pollutant.

64

65 **2. Effects of anthropogenic noise**

66 Anthropogenic noise can affect an individual's anatomy, physiology, and/or behaviour in
67 several ways [26]: (i) hearing damage, including permanent threshold shifts, and other non-
68 auditory tissue damage from exposure to very loud sounds; (ii) temporary threshold shifts
69 from acoustic overexposure; (iii) masking of sounds hindering the perception of acoustic
70 information [27]; (iv) changing hormone levels, leading to stress responses and lack of sleep.
71 At least for the first three of these, direct auditory effects strongly depend on the level and
72 duration of noise exposure, which often correlates with the proximity of the individual to the
73 noise source [25]. There is evidence that intense and impulsive sounds can damage tissues
74 and potentially result in mortal effects when animals are close to a noise source, but far more
75 individuals are likely to be exposed to sounds at some distance from the noise source where
76 the intensity is lower, with effects being more likely to be behavioural rather than physical
77 [25,26]. Thus, the effects of anthropogenic noise can range from small, short-term
78 behavioural adjustments to large behavioural or physiological changes resulting in death
79 (figure 1).

80

81 (a) Development

82 Noise can affect both the anatomy and the morphology of an organism, by mechanically
83 damaging single cells as well as entire organs. For example, noise can damage statocysts in
84 invertebrates, ears and/or swim bladders in fish, and auditory organs in marine mammals
85 [28,29]. Such noise induced damages can negatively affect perception and orientation, and/or
86 buoyancy control, which may result in mass strandings in both invertebrates and vertebrates
87 (e.g., [28,29]).

88

89 Noise can also affect organisms during various stages of ontogeny. While early life
90 stages may be able to tolerate natural environmental fluctuations, anthropogenically induced
91 environmental changes can reach beyond the natural range. Consequently, anthropogenic
92 noise can lead to morphological malformations [30], reduce the successful embryonic
93 development and increase larvae mortality [31]. This suggest that noise may affect
94 developmental instability, i.e. the inability of the genome to buffer developmental processes
95 against disturbances [32] and canalisation, i.e. the ability of a population to express the same
96 phenotype regardless of variability of its environment or genotype [33]. Such changes early in
97 life will result in fitness cost and may impact on population dynamics and resilience, with
98 potential implications for community structure and function (figure 1).

99

100 However, not all species are affected by noise during early life stages: whilst
101 anthropogenic noise did not affect crab larvae survival [34] it increased mortality in some fish
102 larvae ([35], but see [36]). One explanation for these contrasting results is that the fry of some
103 species rely on detection of reef noise for habitat selection [37], which may explain why
104 embryonic coral reef fish respond to noise [38]. On the other hand, the lack of an effect on

105 early life stages in other species may be explained by embryos and fry developing hearing
106 capacity to detect sounds later during ontogeny [36].

107

108 (b) Physiology

109 One of the changes in response to noise that links anatomy, morphology and physiology is the
110 impact on hearing. Noise exposure can change hearing capabilities by increasing the auditory
111 threshold level [39,40]. Following noise exposure, several regions of saccules can exhibit
112 significant loss of hair bundles demonstrating damage caused by noise, but with the potential
113 of recovery [41], depending on both the duration of noise exposure and the frequency [39].
114 Anthropogenic noise can also influence the endocrine system, leading to an increase in
115 secretion of the stress hormone cortisol in fish ([40,42] but see [43]) and mammals [44].
116 Although the exact mechanism remains unclear, physiological stress caused by noise is a
117 likely source for developmental delays and growth abnormalities [30,31,35] but also may
118 hamper reproduction, growth and immunity [45].

119

120 Anthropogenic noise can also affect the metabolism of both invertebrates and
121 vertebrates. Crustaceans exposed to ship-noise consumed more oxygen than those exposed to
122 ambient harbour noise [46]. In Perciformes, anthropogenic noise elicited a rise in cardiac
123 output [47] and increased lactate and haematocrit levels reflecting increased muscle
124 metabolism [48]. Since muscle activity can be a large part of the fish energy budget, noise
125 may thus result in an increase of metabolic costs [49]. Thus, noise can affect various aspects
126 of an individual's physiology, that are negatively associated with metabolism, immune
127 responses, survival and recruitment as well as affecting development [10].

275

276 (c) Behaviour

277 Initial responses of individuals to changes in the environment are often behavioural [50].
278 Consequently, noise pollution can induce a variety of behavioural changes by (i) overlapping
279 with the hearing range of species (figure 2), (ii) overlapping with the bandwidth of acoustic
280 information (figure 2), i.e. the acoustic information is masked, (iii) distracting individuals
281 [51] even if acoustic information is not energetically masked [52], and (iv) affecting
282 behaviour across sensory modalities: cuttlefish, for example, changed their visual signals
283 when exposed to anthropogenic noise [53], and aquatic mammals may alter the use of their
284 primary communication channel [54].

285

286 Broadly speaking, species can use sound to provide or extract information by actively
287 producing sound, e.g. in communication and/or echolocation, and passively by extracting
288 information from environmental cues. Mitigating the effects of anthropogenic noise during
289 communication is crucial because noise reduces the range at which a signal can be detected
290 and processed. Ship noise, for example, reduces communication range of Ziphiidae by a
291 factor of more than five [55]. One of the most common behavioural responses mitigating
292 increasing noise levels is the adjustment of acoustic signals [56] to maintain their detection
293 and efficiency [57]. In addition to communication, some species produce sound such as
294 echolocation to gather information about their environment. In Delphinidae, noise decreased
295 the accuracy to detect objects with sonar and increasing noise levels ceased the production of
296 sonar clicks due to a decrease in effectiveness [58]. Thus, acoustic information used in
297 navigation and prey location is disrupted by noise, individuals will have difficulties locating
298 indispensable resources, e.g. suitable habitats and food.

299

300 Noise can affect the perception of environmental cues which many species use to gather
301 information about the environment [59]. Acoustic cues play an important role for larval
302 orientation and settlement decisions, e.g. in reef fish and crustaceans, because these cues can
303 indicate both the presence and suitability of particular habitat types [60-62]. Furthermore,
304 noise may affect predator-prey interactions: fish can use sound generated by prey to hunt
305 efficiently [63], and prey, on the other hand, may suppress acoustic behaviour in response to
306 predator sounds [64-67]. Moreover, noise can increase the risk of predation or affect anti-
307 predator behaviour by reducing anti-predator defence in both invertebrates and vertebrates
308 ([68,69] but see [70]).

309

310 Foraging might not only be affected through masking of cues that are important to detect
311 prey (see above). When experimentally exposed to noise, fish showed increased handling
312 errors and decreased discrimination between food and non-food items [71] or ceased feeding
313 [72], whereas shore crabs disrupted their feeding [69]. Thus, anthropogenic noise can lead to
314 significant impacts on an individual's foraging and feeding efficiency in both invertebrates
315 and vertebrates. Noise pollution can also alter small scale movements leading to avoidance of
316 noise, e.g. fish and squid which alter their position in the water column in response to
317 anthropogenic noise [73,74], whereas large scale movements can lead to the abandonment of
318 habitats [75].

319

320 Noise may also negatively affect the social structure between pairs and groups, leading to
321 weakened social bonds and instability in group cohesion by increasing the aggression
322 between individuals [68]. Such behavioural changes can impede defence against predators of
323 eggs and fry [68], reduce the ability to maintain territories [76], or alter the reproductive

324 behaviour and output of individuals by negatively influencing mate choice, courtship and
325 parental care [17]. An increase in agonistic behaviours, including the quantity and quality of
326 contests between individuals, may increase the amount of energy used or the likelihood of
327 injury or death [68].

328

329 **3. Challenges and directions for future studies**

330 There are a few challenges in the study of aquatic noise pollution, which fall into four broad
331 categories: (a) linking proximate and ultimate individual responses to ecological effects; (b)
332 interactions among multiple environmental stressors; (c) species-specific responses; and (d)
333 study design, i.e. experiments with suitable controls and replicates. Only by addressing these
334 issues we will be able to get a better understanding of the effects of noise pollution and set
335 the right conservation actions.

336

337 (a) Bridging the gap: linking proximate and ultimate individual responses to ecological
338 effects

339 Due to the complexity of ecosystem processes, we currently have only little understanding of
340 how proximate and ultimate individual responses may translate into ecological effects (figure
341 1). While we have found experimental evidence of how noise affects behaviour, development
342 and physiology, we have only little experimental data how these changes may translate into
343 individual fitness and population-level consequences. One example illustrating how
344 increasing noise may affect ultimate individual responses is the effect of noise on predator-
345 prey interactions: acoustic disturbance can impair anti-predator responses in fish, which

346 directly affects the likelihood of survival [77]. Whether these ultimate individual responses
347 translate into ecological effects in the wild remains to be shown.

348

349 (b) Interactions among multiple environmental stressors

350 Anthropogenic stressors, such as noise pollution, have an ever increasing effect on the
351 environment, but these stressors rarely act in isolation [78]. Often organisms are exposed to
352 several environmental stressors and the resulting interactions among them simultaneously.
353 For example, the impact of anthropogenic noise in the marine environment may be amplified
354 by ocean acidification and/or an increase in water temperature both affecting transmission of
355 sound in water. Ocean acidification has led to a decrease in pH, which reduces the absorption
356 of sound in oceans, making them noisier by decreasing sound absorbing abilities for low
357 frequencies [79,80]. Increasing temperatures, on the other hand, lead to a decrease of speed at
358 which sound travels. Carefully planned experiments are needed to investigate the complexity
359 of such multifaceted interactions of environmental stressors.

360

361 (c) Species specific responses

362 Anthropogenic noise affects a wide range of aquatic invertebrates and vertebrates and
363 responses to noise can differ between species (figure 2). Non-mutually exclusive explanations
364 why species respond differently to anthropogenic noise are: Firstly, differences in auditory
365 capabilities and sensitivities to detect sound pressure and/or particle motion (e.g. [81-83]).
366 Notably, the role that particle motion plays in the biology and ecology of species is still
367 largely unknown [84]. The detection of pressure is well described in mammals and certain
368 fish with morphological specialisations that use the swimbladder as a pressure-to-particle

369 motion converter [7]. In contrast, the detection of particle motion is found in cartilaginous
370 and some teleost fish that do not have specialised adaptations to detect or process sound
371 pressure [8,85]. At least a third of all teleost species developed structures for sound pressure
372 detection where air-filled cavities within the body, e.g. the swim bladder, undergo volume
373 changes because air is more compressible than fluids in a sound field [8]. These changes will
374 result in oscillations transmitted to the inner ear improving hearing capabilities, functioning
375 as pressure-to-particle motion transducers [8]. However, if a noise source is more than a few
376 metres away from an organism, noise may have less impact on species relying on particle
377 motion, because it can only be detected over short distances, in a small frequency range and
378 at sound intensities at higher levels (see above). In contrast, species relying on sound pressure
379 detection will detect sound pressure changes over large distances and thus may be more
380 vulnerable to increasing noise levels than species relying on particle motion alone. Hence,
381 aquatic mammals and fish species able to detect sound pressure may be more vulnerable to
382 increasing noise than species relying on particle motion alone. Due to the variety of
383 perception modes among species, more work is needed to understand the interplay between a
384 species' sound detection mechanisms and its vulnerability to increasing noise levels. To
385 unravel the link between hearing mechanisms and vulnerability to anthropogenic noise is
386 particularly important for conservation and species management.

387

388 Secondly, species might also respond differently to different types of noise, e.g.
389 whether it is chronic or not, and/or has daily fluctuations. To assess the effects of different
390 types of anthropogenic noise in aquatic environments it is necessary to quantify the
391 distinctive characteristics of individual noise sources because aquatic environments can be
392 complex in their characteristics [19]. Some of the noise produced by human activities is
393 impulsive and intense, particularly close to the sound source (e.g. explosions, seismic air

394 guns, impact pile driving), whereas other human noises are less pronounced but are chronic
395 (e.g. wind farms, vessels). This added complexity, i.e. differences in response to different
396 noise sources, is seen in both behavioural and physiological responses to noise. For example,
397 Balaenopteridae reacted differently to ship noise and noise generated by air guns, with the
398 latter causing avoidance behaviour and changes to communication, whilst the former only
399 affected communication [86]. These differences in response could be related to temporal
400 differences (e.g. [87]) or structural differences in the characteristics of the noise stimuli.
401 Therefore, caution must be taken when extrapolating results from one species or noise type to
402 another [25].

403

404 The importance of noise pollution has been recognised in conservation in both aquatic
405 and terrestrial ecosystems [11-14]. Often, the aim of conservation is to protect entire
406 ecosystems, but conservation can only be successful if we understand how and why species
407 are affected by environmental changes, as individual changes can have population
408 consequences [88]. While there are some attempts to understand why terrestrial species differ
409 in their response [e.g. [89,90] and the how noise affects species composition [91,92], we still
410 need such formal comparison for aquatic species. To fill this knowledge gap is important,
411 because the effects of noise have often been oversimplified, by suggesting that species are
412 either sensitive and abandon an area or are not and remain [14]. However, as our review
413 shows there is compelling evidence that the effects of noise can be quite subtle by affecting
414 developmental and physiological processes in species quite differently (see above).

415

416 (d) Demonstrating cause and effect relationships

417 A major challenge in understanding how anthropogenically induced environmental changes
418 affect organisms is establishing cause and effect relationships. Only carefully designed
419 experiments can control for potentially confounding factors [93], which allow to draw robust
420 conclusions about the effects of noise. Noise exposure experiments in free ranging aquatic
421 animals are difficult to conduct, therefore, tank-based experiments have been successfully
422 used as an alternative (e.g. [77,94,95]), and alternative approaches in semi-open settings are
423 starting to emerge (e.g. [96,97]).

424

425 There is an ongoing debate on how efficacious tank-based experiments can be [98]:
426 Firstly, the sound field produced in small tanks is complex and is dominated by the particle
427 velocity element of the sound field [99]. Thus, the noise animals are exposed to in a tank-
428 based setup may differ from real world conditions e.g. [70,77]. Secondly, loud speakers do
429 not have a linear response and thus change the spectral quality of the sounds played, resulting
430 in a different balance between the sound pressure and particle velocity components of sound
431 [100]. Thus, the particle motion generated from tank-based playback experiments may not
432 closely mimic real-world situations. However, tank-based experiments also have some major
433 advantages. Firstly, tank-based experiments mimic common ecological circumstances faced
434 by many species where individuals cannot avoid noise polluted areas [72]. Secondly, in some
435 situations only experiments carried out under controlled laboratory conditions allow us to
436 understand the underlying mechanisms that lead to an animals' response, which is the basis
437 for successful conservation [12]. Finally, most noise exposure experiments have been short-
438 term, and there is only very little known about long-term effects of noise. To understand the
439 long-term effects of noise pollution the repeated or long-term exposure of the same
440 individuals to noise is necessary. This may prove particularly difficult in the field, but could

441 be achieved in laboratory settings. Work of this nature will highlight whether species
442 habituate to noise over time, or become sensitised to the noise stimulus.

443

444 **4. Conclusions**

445 Anthropogenic noise is rapidly becoming omnipresent in both aquatic and terrestrial
446 environments. We found comprehensive evidence that noise affects an individual's
447 development, physiology, and/or behaviour. As aquatic and terrestrial habitats differ in their
448 sound propagation properties [6], i.e. sound in water travels faster and greater distances, and
449 attenuates less than sound in air, noise pollution in aquatic ecosystems may be more far-
450 reaching than in terrestrial ecosystems by covering larger areas. The interplay with other
451 environmental stressors may also intensify the problems for species inhabiting noise-polluted
452 aquatic habitats. The patterns highlighted here illustrate how noise in aquatic ecosystems
453 causes major changes and potentially impacts a wide range of species. Given the mixed
454 results from studies investigating the impact of aquatic noise pollution on different species
455 and life history stages, care must be taken when extrapolating results between species. As
456 many invertebrates and fish are sensitive to particle motion, rather than sound pressure, it is
457 crucial to monitor particle motion along with sound pressure. However, as this field continues
458 to grow, and research questions become more fine-tuned, we see that the impact noise has on
459 aquatic species involves complexities, such as hearing abilities and noise types. These
460 complexities will affect the nature of responses, and thus should be highlighted and examined
461 if we are to develop effective noise mitigation strategies to conserve and protect the world's
462 aquatic wildlife more efficiently.

463

464

465 Authors' contributions. All authors contributed equally to the manuscript.

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469 **Figure 1.** The effects of anthropogenic noise on individuals' anatomy, physiology and
470 behaviour. Changes in the acoustic environment through increasing noise levels can lead to
471 immediate proximate responses, resulting in variety of emergent responses. Anthropogenic
472 noise can have non-mutually exclusive interrelated effects on proximate and ultimate
473 individuals responses leading to large scale ecological effects.

474

475 **Figure 2.** (a) Examples of hearing and signal production ranges of different taxa that can be
476 affected by anthropogenic noise (modified and extended from [17]). We used the minimum
477 and maximum value reported in the literature (hearing range: dark blue bars, signal
478 production range: light blue). Note: fish have a huge diversity in hearing and production
479 mechanisms [7]; therefore, examples were chosen to illustrate the variety of their hearing and
480 perception. The noise ranges (shown in grey) indicate where the majority of sound sources
481 have most of their energy [5]. Data obtained from various studies (for details see
482 supplementary material ESM 1). (b) The effect of noise pollution across taxa. The majority of
483 studies published found a relationship with noise. Dark grey bars indicate the number of
484 cases that did find a significant effect and light grey bars those that did not (for details see
485 supplementary material ESM 2).

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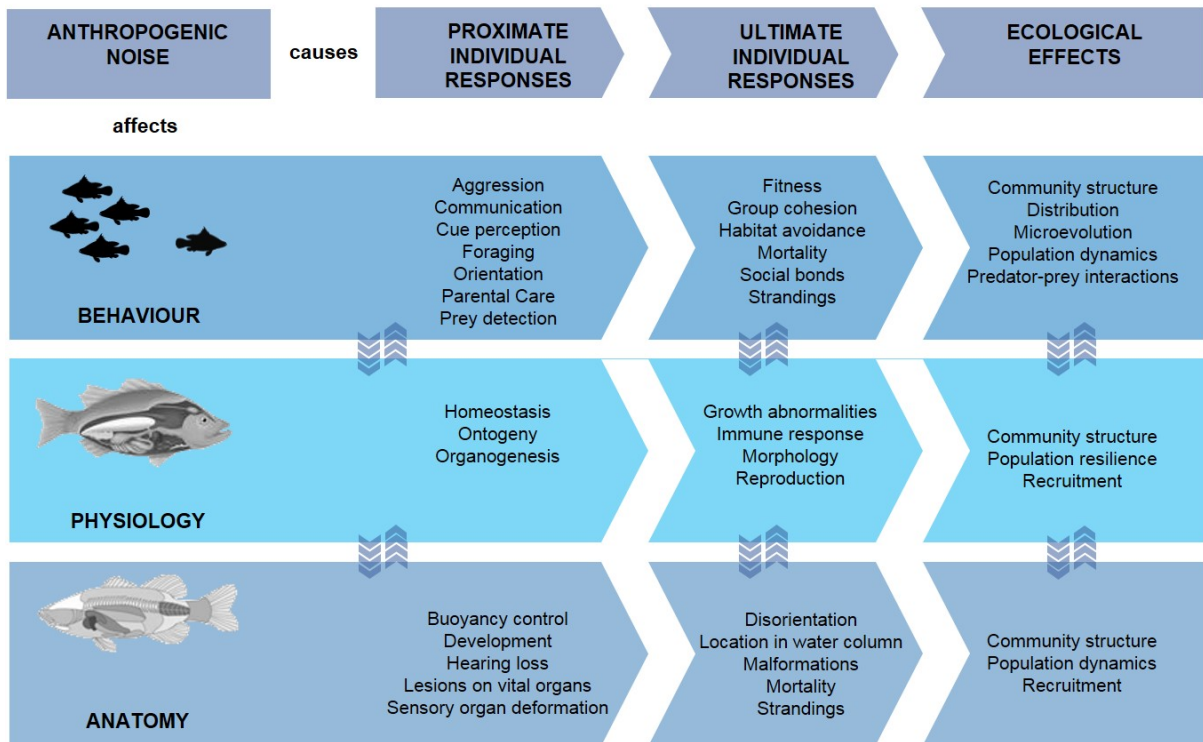
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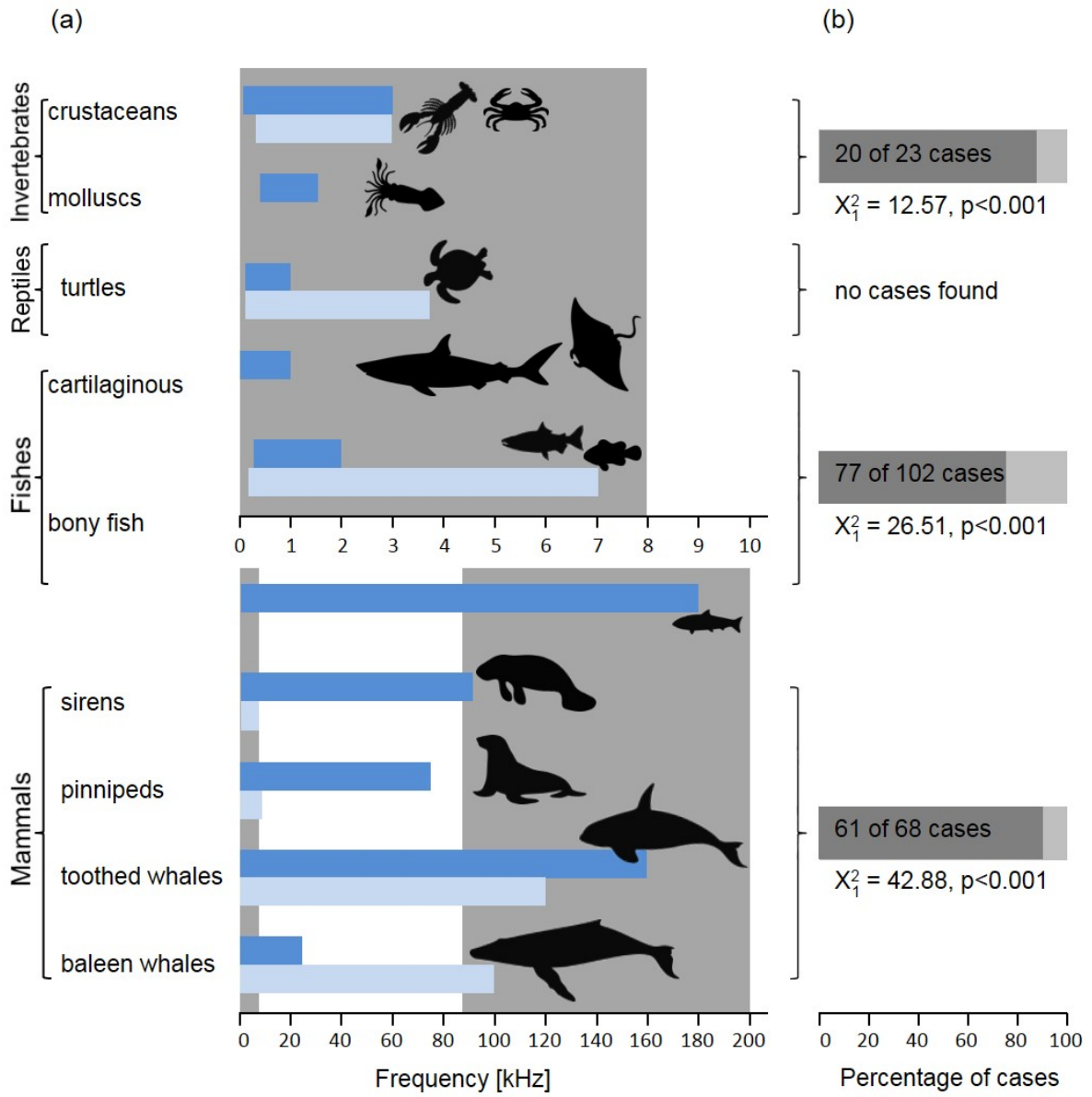
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