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Aqueous dispersions of nanostructures formed through the self-assembly of iminolipids with exchangeable hydrophobic termini

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The addition of amines to an aldehyde surfactant, which was designed to be analogous to didodecyldimethylammonium bromide, gave exchangeable "iminolipids" that self-assembled to give stable aqueous dispersions of nano-sized vesicles. For example, sonication of suspensions of the *n*-hexylamine-derived iminolipid gave vesicles 50 to 200 nm in diameter that could encapsulate a water-soluble dye. The iminolipids could undergo dynamic exchange with added amines, and the resulting equilibrium constants (K_{rel}) were quantified by ¹H NMR spectroscopy. In the absence of lipid self-assembly, in CDCl₃, the assayed primary amines gave very similar K_{rel} values. However in D₂O the value of K_{rel} generally increased with increasing amine hydrophobicity, consistent with partitioning into a self-assembled bilayer. Amines with aromatic groups showed significantly higher values of K_{rel} in D₂O compared to similarly hydrophobic alkylamines, suggesting that π - π interactions favor lipid self-assembly. Given this synergistic relationship, π -rich pyrenyliminolipids were created and used to exfoliate graphite, leading to aqueous dispersions of graphene flakes that were stable over several months.

Introduction

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Strong molecular recognition involving surfactants can be crucial for the creation of stable aqueous dispersions of nanostructures. To select for strong intermolecular recognition processes, dynamic combinatorial chemistry (DCC) has often been exploited, for example to select for host/guest complexes, self-assembling hydrogelators, or even knotted nanostructures.¹ Recently the core principle of DCC, rapid interchange between covalently linked molecular motifs,² has been used to create dynamic covalent surfactants.³ Van Esch and co-workers formed dynamic surfactants from soluble precursors, showing that the surfactants produced go on to self-assemble into a variety of nanoscale structures, including fibrils, micelles and vesicles, which can operate as bioactive materials.^{3a,4} For example vesicles formed from these surfactants may respond to stimuli by releasing entrapped compounds or be model systems for self-reproducing compartments in minimal cell models.⁵

Quantifying dynamic exchange involving surfactants that are self-assembled into micelles, monolayers or bilayers may reveal which intermolecular interactions are strongest in these condensed anisotropic environments. To this end,



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To understand which intermolecular interactions might be favored, as well as to produce a library of cationic surfactants that self-assemble into monolayers and bilayers, we drew inspiration from the well-studied surfactant didodecyldimethylammonium bromide (DDAB). In contact with water at room temperature, DDAB can self-assemble into synthetic bilayer vesicles or form surface-supported monolayers.⁹ These properties have given DDAB a number of applications in nanomedicine and materials science. It has been used to transfect siRNA or DNA into cells¹⁰ utilizing the positive charge on the headgroup to mask the anionic backbone of oligonucleotides and produce lipoplexes that can penetrate cell membranes.¹¹ In materials chemistry, the selfassembly of DDAB on surfaces has been used to solubilize anionic graphene oxide,¹² in capillary electrophoresis as semipermanent wall coatings,¹³ for the stabilization of aqueous gold nanoparticle dispersions¹⁴ and to provide a biocompatible coating on graphite electrodes.¹⁵ A simple method for the high-throughput modification of the alkyl tails

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of DDAB-derived precursors could provide lipids that are tailormade for these and other applications in materials chemistry.

Replacing a terminal methyl group of DDAB with an aldehyde is a relatively small structural modification that should maintain these monolayer and bilayer forming properties yet permit access to the rich chemistry of aldehydes. Subsequent addition of a primary amine (RCH₂NH₂) will transform the aldehyde into an imine (Figure 1), a change that may promote lipid self-assembly into nanoscale structures. The amine substituent, R, would be located in the hydrophobic region of any self-assembled structure. Comparing the relative equilibrium constant, K_{rel} (Figure 1), for amine/imine interchange in an organic solvent, where no selfassembly occurs, with K_{rel} for the same mixture in water may provide insight into how self-assembly then and intermolecular recognition could perturb these amine-imine equilibria. If certain substituents R give lipids with a strong propensity to self-assemble into bilayers or interact with selected surfaces, the lipid products can be applied at the interface of nanotechnology and biotechnology.



Figure 1: a) Exchange of amines between iminolipids and the relative equilibrium constant (K_{rel}) for this process. b) Schematic representation of the exchange process for iminolipids that are in solution or c) self-assembled into a monolayer (or one leaflet of a bilayer).

Results and discussion

Synthesis.

The key intermediate was lipid **1** (Scheme 1a), which bears an aldehyde at the terminus of one alkyl chain. This was synthesized in four steps from 12-bromododecanol, starting with oxidation to the corresponding aldehyde and subsequent protection as the dimethoxyacetal. Displacement of the bromide with *N*,*N*-dimethyldodecylamine generated the target lipid **1**, with chloride counterion after workup, as a white powder in 31-45% overall yield (see ESI for details).



Didodecyldimethylammonium bromide (DDAB)

Scheme 1: a) Synthesis of lipid **1** (i) CH₂Cl₂, Dess-Martin periodinane, 0 °C to room temperature, 2 - 3 h, 60 - 70%; (ii) dry MeOH, TsOH, trimethyl orthoformate, reflux, 5 h, \geq 99%; (iii) *N*,*N*-dimethyldodecylamine, EtOH, reflux, 2 - 3 days, 55 - 65%; (iv) HCl, acetone, water, 2 h, \geq 95%. b) Structure of didodecyldimethylammonium bromide (DDAB).

Aldehyde lipid self-assembly in water.

The close structural resemblance of **1** to DDAB (Scheme 1b) allows its behavior to be compared with that of DDAB in water at 25 °C, which has a critical micelle concentration (CMC) of 0.050 \pm 0.005 mM¹⁶ and a critical vesicle concentration (CVC) of 0.16 \pm 0.02 mM.^{16e,17} Bilayers of DDAB have a melting temperature (T_m) of 15-16 °C^{17b,18} with a width initially estimated from TEM as 40 Å and later more accurately measured by SANS as 24 Å (thickness of headgroup and tail).^{16b,19,20}

Sonication of **1** in HPLC grade water (4.6 mM) for 15 minutes gave a suspension that was transparent to the eye. The measured pH was 4.5 \pm 0.5; the solution was not buffered so as to allow comparison to the properties of DDAB. To assess if this aldehyde lipid had self-assembled to form hydrophobic microenvironments, the environmentally-sensitive fluorescent dye Nile Red (NR) was used. A hydrophobic environment strongly increases the intensity and blue shift of NR emission from that found in water, where emission occurs at 652 \pm 2 nm (excitation at 550 nm). Spectroscopic changes in NR emission can also suggest if either micelles (emission \geq 640 \pm 2 nm) or vesicles (emission \leq 636 nm) are formed.^{4,21} However addition of this fluorescent probe (1 μ M) to the sonicated suspension of **1** in water gave emission between these values, at 638 \pm 2 nm (Figure 3a). In contrast, addition of NR to a sonicated Published on 15 June 2017. Downloaded by The University of Manchester Library on 19/06/2017 12:37:24

Journal Name

suspension of DDAB (4.6 mM) gave emission at 628 ± 2 nm, consistent with the reported formation of vesicles.^{4c,21} Analysis of a suspension of 1 in water by dynamic light scattering (DLS) was similarly inconclusive due to poor data quality, whereas DLS data from DDAB suspensions was consistent with vesicles of ca. 40 nm diameter. Dilution of the NR/lipid 1 mixture gave a shift in Nile Red emission (see the ESI) that suggested a critical aggregation concentration (CAC) from 0.015 to 0.03 mM; using the same method with DDAB gave a CVC of 0.10 -0.14 mM. The NMR data for this compound in D₂O was consistent with this CVC, with the ¹H NMR spectrum broadened to a similar extent over the range 1 - 12 mM. The ¹H NMR resonances appeared to be similar in broadness to those of DDAB in D_2O .²⁰ A suspension of **1** (4.6 mM, measured pH ~4.5) was air-dried onto a stub and sputter-coated with gold for scanning electron microscopy (SEM) imaging. The resulting images showed spherical structures with sizes generally between 0.15 and 1.0 µm (majority 0.25 to 0.8 µm), although air-drying of the suspension for SEM preparation may have altered the original size of the nanostructures. These fluorescence, DLS and SEM data suggest lipid 1 self-assembles in water, but into ill-defined structures.

Iminolipid self-assembly in water.

It was hoped that condensation of lipid 1 with a water-soluble amine to form an iminolipid (Figure 2a) would promote selfassembly into nanostructures like vesicles. For initial tests, water-soluble primary amine *n*-hexylamine **2e** (Figure 2b) was selected. Two different concentrations, 1 eq. and 5 eq., of amine 2e were initially assessed, with the latter hoped to maximize conversion of the aldehyde lipid 1 to the corresponding imine (Figure 2a, R = n-hexyl). Addition of 2e (either 1 eq. or 5 eq.) to an aqueous suspension of lipid 1 (4.6 mM in HPLC grade water) followed by sonication for 15 minutes gave a translucent suspension of iminolipid 3e (the measured pH was ~11, ascribed to the presence of unreacted amine). Nile Red emission occurred at 627 ± 2 nm for 1 eq. of amine and 629 \pm 2 nm with 5 eq. amine, values that are consistent with the formation of bilayer-containing structures like vesicles (Figure 2a). DLS indicated that self-assembled structures 50 to 90 nm in diameter were formed (Figure 3b). Differential scanning calorimetry of a paste of 3e in water (8.7 mg lipid $\boldsymbol{1}$ with 1 eq. hexylamine in 20 μL water) gave an endotherm with onset at 11 °C,²² similar to that of DDAB vesicles ($T_{\rm m}$ = 15-16 °C).^{17b,18} Dilution studies of NR-loaded suspensions gave a CVC of 0.013 - 0.025 mM, similar to that of lipid 1 and slightly less than that of DDAB. Analysis of a 3e suspension by TEM revealed some spherical structures with the appearance of multilamellar vesicles (Figure 3c) that have bilayers of 3-5 nm in width; these are similar in appearance to the DDAB vesicles observed by Kunitake et al.²⁰ SEM analysis of the 3e suspension showed spherical structures with sizes generally between 0.15 and 1.0 µm (majority 0.25 to 0.8 µm), but as found for 1 the air-drying of the suspension during sample preparation may have resulted in the formation of micron-sized vesicles (see ESI). The ¹H NMR spectrum of a

suspension of **3e** showed: the disappearance of the aldebyde resonance of lipid **1** at 9.7 ppm; the appearance of the aldebyde broad imine CH signal at ca. 7.5 ppm; a 0.5 ppm downfield shift in the broadened resonance of the methylene adjacent to the nitrogen (compared to *n*-hexylamine). All these observations are consistent with imine formation. The lipid peaks were significantly broadened from 1 mM to 20 mM, with a slight increase in broadening at the higher concentrations. Nonetheless several key ¹H NMR resonances of iminolipid **3e** in D₂O could be identified and integrated (see ESI). In CDCl₃ however, the resonances of the iminolipid were all sharp, consistent with the absence of any product self-assembly.

¹H NMR spectroscopy of acidified vesicular suspensions of **3e** showed that the iminolipid became hydrolyzed below pH 8, with aldehyde **1** formed. Subsequent addition of base to raise the pH above 8 re-formed iminolipid **3e** (see ESI). Performing an analogous pH titration on vesicular suspensions of **3e** that were doped with Nile Red indicated that hydrophobic microenvironments were still present below pH 8 despite hydrolysis of **3e**, consistent with self-assembly of aldehyde lipid **1** that was produced.







Encapsulation of a water-soluble dye, such as rhodamine B (RhB) would be a key indicator of vesicle formation as it shows the dye has become entrapped in an interior aqueous lumen. RhB was selected as its cationic nature should limit any non-specific electrostatic adsorption onto nanostructures formed by the cationic aldehyde lipid or iminolipids. After sonication of **1** or **3e** with dye solution (2 mM, see the ESI) and removal of

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ARTICLE

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non-encapsulated dye by gel permeation chromatography (GPC), suspensions with a pale red color were produced, suggesting successful dye encapsulation. Furthermore SEM of the suspension of RhB/lipid **3e** showed a large number of spherical structures with diameters generally between 100 and 200 nm (Figure 3d). Measurement of the absorption due to RhB in these suspensions of **1** and **3e** provided the encapsulation efficiencies (see ESI), which were higher for suspensions of lipid **3e** (0.27 %) than suspensions of lipid **1** (0.10 %), suggesting a larger population of self-assembled vesicles in the former.



Figure 3: (a) Fluorescence emission spectra (excitation at 550 nm) from Nile Red (1 µM) in water only (solid red line), in an aqueous suspension of **1** (dashed blue line) and in an aqueous suspension of **3e** (dotted green line). (b) Dynamic light scattering (DLS) data from suspension of lipid **3e** in water (4.6 mM). (c) Transmission electron microscopy image of a vesicle-in-vesicle observed in a suspension of lipid **3e** in water. (d) Scanning electron microscopy image of **3e** vesicles containing rhodamine B.

Although the transformation of the aldehyde group into an imine promoted lipid self-assembly into vesicles, the effect of changing amine structure on the propensity to form vesicles was unclear. To elucidate this relationship, alkylamines 2a-e and benzylamine 2p were each mixed with lipid 1 in water, and the fluorescence emission from NR added to each suspension was analyzed in the same way as for *n*-hexylamine. In all cases the emission maximum was < 631 nm, suggesting that bilayercontaining structures were also present. DLS on the samples of lipid 1 condensed with either benzylamine 2p, methylamine 2a or n-propylamine 2b (each 1 eq.) showed objects with diameter 33 nm, 102 nm and 83 nm respectively. SEM showed vesicles after condensation with either benzylamine, npropylamine or *n*-hexylamine, although no vesicles were observed after methylamine addition (see the ESI). These results imply that although different types of primary amine can support the formation of iminolipid vesicles from 1,

methylamine is less effective than the longer Araminese Reduction of a mixture of methylamine $2a^{1}$ and $f(p) = 2^{2}$ with NaBH₃CN revealed methyliminolipid **3a** was incompletely formed (indicated by the observation of reduced **1** in the HPLC/MS). In contrast reduction of a mixture of *n*-hexylamine with lipid **1** showed imine formation was nearly complete. Both results correlated with ¹H NMR spectroscopic data (see ESI).

Amine exchange with iminolipids self-assembled into vesicle bilayers.

To probe these structure/activity relationships further, dynamic amine/imine exchange was exploited.²³ HPLC/MS was used initially to establish that dynamic exchange between the bilayer-embedded imines and external amines was possible. After addition of an external amine (e.g. n-hexylamine) to an iminolipid vesicle suspension (e.g. of 3a) in water, each mixture was allowed to equilibrate (2 h) before quenching with NaBH₃CN (12 h incubation required for complete reduction). HPLC/MS analysis after addition of 1 eq. n-hexylamine 2e to 3a showed the amount of reduced methyliminolipid 3a significantly decreased and the reduced hexyliminolipid 3e was the major component. Similarly the addition of 1 eq. nhexylamine 2e to n-propyliminolipid 3b also gave reduced hexyliminolipid 3e as the major component, although to a lesser extent. Reversing the amine addition sequence, for example adding 2b to 3e, gave a similar final ratio of reduced iminolipids 3b:3e (see ESI).

Quantifying the effect of substituent structure on iminolipid selfassembly into vesicle bilayers.

After these promising indications, an *in situ* method was sought that did not require "fixing" of the equilibrating mixture by reduction. Given that the ¹H NMR spectra of **1** and **3e** in D_2O were both sharp enough to allow accurate integration of key resonances, NMR spectroscopy may allow the equilibrium concentrations of all exchanging species to be measured.

Adding one equivalent of an amine to a reference iminolipid sets up an exchanging mixture with the equilibrium position defined by K_{rel} (Figure 1a), a constant that is related to the individual equilibria for the formation of each imine from **1**. The reference iminolipid used to define K_{rel} was the benzylamine adduct of lipid **1**, benzyliminolipid **3p**. The key ¹H NMR resonances of iminolipid 3p, such as the aromatic protons and methylene adjacent to the imine, were in different regions to the corresponding resonances of alkylamine adducts, such as 3e and 3a (Figure 4). The clear separation between resonances in these mixtures allows quantification of component concentrations and thereby K_{rel} values for different amines. The effect of iminolipid selfassembly into bilayers on amine/iminolipid equilibria can then be determined by comparing the K_{rel} values in D₂O with the K_{rel} values for the same mixture in CDCl₃ (Figure 4b), a solvent in which there was no measureable self-assembly.

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Figure 4. ¹H NMR spectroscopic analysis of amine/iminolipid exchange. a) Structures of exchanging components benzyliminolipid **3p**, hexylamine **2e**, hexyliminolipid **3e** and benzylamine **2p** with key ¹H environments labelled. b, c) Assignment of resonances of the exchanging mixture in b) CDCl₃; c) D₂O. Key: *ArH* = aromatic CH, br = broad signal.

The relative exchange constants (K_{rel}) with benzyliminolipid **3p** were calculated for a library of eighteen amines in D₂O and CDCl₃ by integrating the peaks for the two iminolipids and the two amines. In D₂O the intensity of these peaks was calibrated to a hydrophilic (non-interfering) standard, CH₃OH, of known concentration (14 mM, Figure 4). In CDCl₃, peak intensity was calibrated to the imine resonance of **3p** at ca. 7.8 ppm (integration = 1). The uncertainties in these measurements for each amine were estimated by measuring K_{rel} for at least three different samples, and reverse additions (e.g. **2p** to **3e**) were used to verify the values obtained (see ESI).

The relative exchange constants obtained (K_{rel}) in CDCl₃ are similar, and largely fall between 1.39 and 1.58 (Figure 5). The exceptions are a more stable adduct for the least sterically hindered amine, methylamine **2a** (K_{rel} = 2.92), which contrasts with less stable adducts for the most hindered amine, cyclohexylamine **2n** (K_{rel} = 0.55), and the reference amine benzylamine **2p** (K_{rel} = 1). However in D₂O, a different pattern emerges. Methylamine now provides one of the least stable iminolipids in water ($K_{rel} = 0.13$), whereas benzylamine_is_one of the most stable ($K_{rel} = 1$), clearly^Dfidicating^C that²Selfassembly of these lipids into bilayers has a pronounced effect on the equilibrium constant. In general K_{rel} increased as the alkyl portion of the primary amines increased in size, which is consistent with increasing hydrophobicity facilitating amine partitioning into bilayers formed by the iminolipids. Ordering the K_{rel} values according to the calculated partition coefficient (clogP) illustrates this trend (Figure 5).



Figure 5: (a) Relative exchange constants (K_{rel}) obtained for a series of amines **2a-2r** added to benzyl iminolipid in CDCl₃ (light gray bars) and D₂O (pD 10.5, dark gray bars), ordered by hydrophobicity. Each K_{rel} is the average of three measurements and estimated errors are shown. § Spectra in D₂O too broad to integrate. (b) Correlation of the effect of self-assembly, ratio of K_{rel} in D₂O and K_{rel} in CDCl₃ (filled black circles) with clogP values (filled gray circles) for amines **2a-2r**. Anomalous values for amines with aromatic groups are indicated with red arrows.

However some iminolipids do not fit this trend well, suggesting contributions from steric and other non-covalent interactions within bilayers. For example, 2,2-dimethylpropylamine **2j** gave a more stable iminolipid (K_{rel} = 0.85) than might be anticipated from the clogP value for this amine, whereas the longer and more lipophilic homologue, 3,3-dimethylbutylamine **2l**, gave a less stable iminolipid in water than might be expected (K_{rel} = 0.10). Benzylamine **2p** and naphthylmethylamine **2q**, are outliers that have K_{rel} values a third lower than the other primary amines in chloroform, but

ARTICLE

ARTICLE

2-3 times higher in D₂O. Increasing the aromatic area further by adding pyrenemethylamine (**2r**) gave ¹H NMR spectra in D₂O that were too broad to integrate, perhaps indicating even stronger self-assembly. These observations suggest attractive π - π interactions between nearest-neighbor iminolipids within bilayers provides an extra driving force for self-assembly, and hints that aromatic iminolipids could be used to disperse π -rich nanostructures in water.

Iminolipids as exfoliating agents for the production of twodimensional dispersions.

To explore how π - π recognition by self-assembled iminolipids could be exploited in nanoscience, preliminary experiments were carried out to assess the use of pyrenyliminolipid $\mathbf{3r}$ as an exfoliating agent for the production of aqueous dispersions of graphene by liquid-phase exfoliation (LPE) of graphite.²⁴ Sulfonated pyrenes are often used to create aqueous dispersions of graphene, with the graphene flakes bearing an anionic charge.^{25,26} Since pyrenyl lipid **3r** has a DDAB motif with pyrene appended in the hydrophobic region, this compound may be well suited to the formation of mono- or multilayers on hydrophobic surfaces, providing these surfaces with a cationic charge. Although no evidence of hydrolysis was observed for suspensions of pyrene lipid 3r in water over a period of several days, many technological applications of graphene dispersions require stability for a period greater than several weeks. Therefore a hydrolytically stable variant of 3r, hydrazone 3s, was also assessed for LPE.

Liquid-phase exfoliation of graphite was performed with pyrenyliminolipids 3r and 3s, each formed by simply mixing lipid 1 and the appropriate amine, under the same conditions used for sulfonated pyrenes previously.²⁷ Lipids 3r, 3s and DDAB (0.5 mg/mL lipid, pyrene concentrations of 0.8 mM and 0.7 mM for 3r and 3s respectively) were sonicated in water for 72 h with graphite (3 mg/mL) using a bath sonicator (600 W). The resultant dispersions were centrifuged at 3500 rpm (903 G) for 20 min, then the supernatant collected and analyzed. UV-visible spectroscopy showed that both iminolipids exfoliated graphite well, producing very dark dispersions containing 0.2 - 0.3 mg/mL of graphene (Figure 6), comparable to the performance of sulfonated pyrenes.²⁸ Iminolipid **3r** was similarly shown to be able to exfoliate bulk hexagonal boron nitride (h-BN) under the same conditions (see the ESI). Analysis of the graphene suspension stabilized by 3s by atomic force microscopy (AFM) showed a maximum flake size of ~200 nm; the number of graphene layers in the flakes could not be reliably estimated as the adsorbed lipids will change the nominal layer thickness. In contrast, DDAB was 10-fold less effective at exfoliating graphene, showing the importance of the pyrene moieties in mediating the interaction with the surface.²⁸ The graphene dispersions produced by **3r** and **3s** also showed good stability (> 8 months with no aggregation).



Figure 6: a) Chemical structure of pyrenyliminolipids **3r** and **3s** b) Graphene dispersions prepared using DDAB (left), iminolipid **3r** (middle) and iminolipid **3s** (right). c) Graphene concentration as a function of iminolipid used (data represents mean ± SD for n = 5). d) $5 \times 5 \ \mu m$ AFM scan of graphene flakes, stabilized using iminolipid **3s**, drop cast onto a silicon substrate.

Conclusions

A self-assembling aldehyde lipid analogous to DDAB has been created and shown to provide access to a library of vesicleforming iminolipids. This methodology allows high-throughput synthesis of tail-functionalized surfactants that are tailor-made to interact with specific nanomaterials, providing the nanomaterials with cationic hydrophilic coatings that facilitate dispersion in water. For example, surfactants terminated with catechol hydrazides could bind to metal oxide nanoparticles,²⁹ surfactants terminated with thiols could adsorb onto gold nanoparticles or surfactants terminated with aromatic groups could coat other carbon surfaces like single walled carbon nanotubes (SWNTs).³⁰ The delivery of nanomaterials or large biopolymers into cells might be facilitated by these coatings, with payload complexation to designed iminolipids providing lipoplexes that are able to enter cells in the same manner as DDAB-coated siRNA/DNA lipoplexes transfecting cells.

Quantification of amine/iminolipid interchange showed that the ability to partition into the hydrophobic region of bilayers in vesicular nanostructures favors imine formation for the most hydrophobic amines. However lipid shape and

Journal Name

Journal Name

functionality modulates this trend, with evidence that amines bearing aromatic moieties give more stable self-assembled structures in D₂O, an effect that was attributed to interlipid π - π interactions.

The ability of iminolipids with an aromatic terminus to form π - π interactions was exploited to exfoliate graphite and produce aqueous graphene dispersions. The efficiency of exfoliation obtained with pyreneiminolipids is comparable to that obtained by sulfonated pyrenes under the same experimental conditions. The dispersions are stable over several months and could have several potential applications. For example the cationized graphene flakes may co-assemble with anionic pyrenesulfonate stabilized h-BN flakes to form self-assembled heterostructures comprising alternate layers of conductive and insulating two-dimensional materials on a substrate, device structures that should have applications in printed electronics.³¹ Furthermore as the iminolipids used to exfoliate these materials are exchangeable, there is also the potential to efficiently exfoliate other 2D materials by exchanging the pyrene group for a moiety optimized for binding to the surface of the desired 2D material.

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Page 8 of 8

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