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## Aspiration-Induced lung injury

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### Abstract

**Objective**—Aspiration of oropharyngeal or gastric contents into the lower respiratory tract is a common event in critically ill patients, and can lead to pneumonia or pneumonitis. Aspiration pneumonia is the leading cause of pneumonia in the intensive care unit and is one of the leading risk factors for acute lung injury and acute respiratory distress syndromes. Despite its frequency, it remains largely a disease of exclusion, characterized by ill defined infiltrates on the chest radiograph and hypoxia. An accurate ability to diagnose aspiration is paramount as different modalities of therapy, if applied early and selectively, could change the course of the disease. This article reviews definitions, diagnosis, epidemiology, pathophysiology, including animal models of aspiration-induced lung injury, and evidence-based clinical management. Additionally, a review of current and potential biomarkers which have been tested clinically in humans is provided.

**Data Sources**—Data were obtained from a PubMed search of the medical literature. PubMed “related articles” search strategies were employed.

**Summary and Conclusions**—Aspiration in the intensive care unit is a clinically relevant problem requiring expertise and awareness. A definitive diagnosis of aspiration pneumonitis or pneumonia is challenging to make. Advances in specific biomarker profiles and prediction models may enhance the diagnosis and prognosis of clinical aspiration syndromes. Evidence-based management is supportive, including mechanical ventilation, bronchoscopy for particulate aspiration, consideration of empiric antibiotics for pneumonia treatment, and lower respiratory tract sampling to define pathogenic bacteria that are causative.

### Keywords

Aspiration; aspiration pneumonitis; aspiration pneumonia; acute lung injury; acute respiratory distress syndrome; intensive care unit

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Aspiration induced lung injury is often under diagnosed in the clinical setting in the care of the critically ill and accounts for a significant proportion of acute pulmonary dysfunction(1). Moreover, it is recognized as an independent risk factor for subsequent development of pneumonia or acute lung injury or acute respiratory distress syndrome (ALI/ARDS). Despite the clinical importance of gastric aspiration-induced lung injury, the underlying mechanisms responsible for progression to severe inflammation and ALI/ARDS are not fully understood. This concise review focuses on various clinical aspects of this form of acute lung injury in order to provide a guide for the bedside clinician in the intensive care unit. The lack of well-

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designed, randomized clinical studies on this subject has led to a number of generalizations and clinical paradigms that are clearly misleading. In this regard, the guidelines that are based on existing data and consensus statements are provided.

Additionally, since a major emphasis of our laboratory has been to elucidate mechanisms and identify distinct characteristics of the inflammation in gastric aspiration lung injury in animal models, we will include this new information to supplement these guidelines in this review. Particular studies highlighted in coverage include research in rodent models (rats, mice) on how mild acid-induced aspiration injury can be greatly exacerbated if gastric food particles are also present (2–7). The potential for using statistical predictive modeling (8) to “diagnose” different forms of gastric aspiration (acid, gastric particles, and a combination of the two) based on inflammatory mediator and biomarker profiles, is also discussed. Definition, epidemiology, diagnosis and the basic guidelines for clinical management of gastric aspiration-induced lung injury are provided.

## 1. Definitions

Aspiration is defined as the inhalation of foreign material into the airways beyond the vocal cords(1,9). The content of the aspirate is variable and may comprise secretions, blood, bacteria, liquids and food particles. Aspiration may be silent (or unwitnessed) or witnessed. Additionally, aspiration could involve repeated episodes of micro-aspiration that rarely cause acute symptoms(9). Aspiration is different from regurgitation where the reflux of gastric contents into the oropharynx or the esophagus is not associated with entry into the lungs.

Aspiration events can also be categorized as aspiration pneumonitis (chemical pneumonitis) or aspiration pneumonia (infectious process secondary to an aspiration event), though the differentiation between these two processes can be very difficult(1). The precise timing and nature of the aspiration of bacteria is currently not well understood.

## 2. Incidence and Epidemiology

Gastric aspiration is a recognized complication of general anesthesia occurring in 1 of every 2–3 thousand anesthetics (1,10–12). Gastric aspiration also frequently occurs in trauma or ICU patients with altered states of consciousness (e.g., head trauma, alcohol or drug-induced alterations in sensorium, cerebrovascular accidents). The inhalation of low pH gastric fluid and/or particulate food material leads to an initial pneumonitis that may or may not become complicated by subsequent bacterial pneumonia (1,10,13). Unwitnessed gastric aspiration is thought to be potentially important in many unexplained cases of perioperative pulmonary dysfunction. However, a majority of gastric aspiration pneumonitis cases can be difficult to diagnose and is frequently mistaken for bacterial pneumonia leading to inappropriate treatment (1,14,15). The most challenging task for medical practitioners, is the ability to differentiate between the two most common presentations of the aspiration syndromes: the inflammatory lung injury with aspiration pneumonitis and the bacterial aspiration pneumonia.

The true incidence of aspiration induced lung injury is difficult to estimate considering that most aspiration events are silent or unwitnessed. In a prospective study of critically ill, Methany and colleagues, using BAL levels of pepsin as a surrogate marker of aspiration, estimated that at least one aspiration event occurred in 88.9% of patients(16).

The severity of lung injury following gastric aspiration ranges from a mild, subclinical pneumonitis to a progressive respiratory failure with significant morbidity and mortality. Gastric aspiration is a major direct cause of ALI and the more severe ARDS (1,10,17,18).

ALI/ARDS typically involves a sudden, severe pulmonary inflammation and alveolar-capillary permeability injury that includes proteinaceous edema, hypoxemia, loss of lung compliance, and is also frequently associated with multi-organ system failure (18,19). Approximately a third of patients with aspiration pneumonitis develop a more severe, protracted course associated with ALI/ARDS (12,13,20–22). The incidence of ALI/ARDS has been variably reported to be 50,000–150,000 cases per year in the United States, with high associated mortality and morbidity (17,23–29). An analysis by Goss et al (27) has estimated the incidence of clinical ALI in the United States as 22–64 cases per 100,000 persons per year. In addition to severe acute respiratory failure, ALI/ARDS can also progress to a later “fibroproliferative” phase of disease that involves chronic lung injury with tissue remodeling and the initiation of fibrosis (18). It has been hypothesized that one of the pathogenic mechanisms responsible for many cases of idiopathic pulmonary fibrosis is repeated chronic micro-aspiration. Aside from the substantial medical consequences, the economic cost of ALI/ARDS is significant, with estimates of 3.5 – 6 billion dollars and ~ \$4,150 per patient per day in the U.S. (29). Mortality from ARDS has decreased somewhat since the initial description of this syndrome in 1967(30), but remains unacceptably high at 30 to 40% despite sophisticated medical intensive care (17,23–26,29). ALI/ARDS associated with aspiration pneumonitis carries a 30% mortality, and accounts for up to 20% of all deaths attributable to anesthesia (31–33).

### 3. Risk Factors

Aspiration is a frequently reported event in patients with altered levels of consciousness such as patients undergoing general anesthesia (11), elderly and nursing home residents (14,15), people with gastro-intestinal (GI) and esophageal abnormalities (34), as well as patients with neurologic trauma and neuro-muscular diseases (35). The clinical manifestation of an aspiration event falls within a wide spectrum ranging from subclinical manifestations such as dry cough or dysphonia to a fulminant life threatening failure such as ARDS. The pathogenic process can be further complicated by superimposed secondary infection, lung abscess, airway obstruction, exogenous lipoid pneumonia, and progression into chronic interstitial fibrosis (1). The distinction between the two entities is important because while bacterial aspiration pneumonia needs to be treated with antibiotics, treatment of aspiration pneumonitis is supportive (1,15). Patients at greatest risk for aspiration-associated ALI/ARDS in the peri-operative period include those with reduced glottic competency due to extreme age, esophageal neuromuscular disease, endotracheal tube intubation, or impaired consciousness from ethanol intoxication, anesthesia, head trauma, or cerebrovascular accidents (1,10). Patients with low gastric pH levels, decreased gastro-esophageal sphincter tone, or increased gastric pressure are also susceptible, with additional predisposing conditions ranging from sepsis to pregnancy to diet. A complete list of potential risk factors is enumerated in Table 1.

### 4. Pathogenesis of different forms of gastric aspiration: What have we learned from animal models?

#### A. Acid aspiration

The acid component of gastric aspirates is frequently modeled by intratracheal instillation of hydrochloric acid (HCl) in animal models. Lung injury in adult rats following the aspiration of dilute hydrochloric acid (ACID = normal saline (NS) plus HCl at a final pH of 1.25) is characterized by a bi-phasic response comprising an early insult that is characterized by stimulation of capsaicin-sensitive neurons and direct caustic actions of low pH on airway epithelium followed by an acute neutrophilic inflammatory response at 4–6 hr (36). These pathogenic mechanisms lead to the loss of pulmonary microvascular integrity and

extravasation of fluid and protein into the airways and alveoli (36,37). In addition to mechanically increasing the work of breathing by increasing airway resistance and inhibiting the diffusion of oxygen, edema fluid contains plasma proteins and other substances that can directly interfere with the function of alveolar surfactant [for a comprehensive review of pulmonary surfactant activity and dysfunction in lung injury see (38)]. One indication of the importance of surfactant dysfunction in the pathophysiology of acid aspiration pneumonitis is the finding in rats (39) that treatment with exogenous surfactant improves pulmonary function only after inhibitory plasma proteins are removed by lavage. Neutrophils are the inflammatory cellular response following aspiration of low pH gastric contents. Additionally a number of local (pulmonary lavage) and systemic (blood) inflammatory mediators have been demonstrated in acid-induced lung injury (4,5,7,40–44). Levels of the proximal proinflammatory cytokine, tumor necrosis factor (TNF)- $\alpha$ , are elevated following gastric aspiration (4,44,45), and concentrations of several important chemotactic cytokines (i.e., chemokines) in BAL are also increased. This includes elevated levels of the neutrophil chemotactic chemokine interleukin (IL)-8 in rabbits, or the rodent homologs macrophage inflammatory protein - 2 (MIP-2) and cytokine-induced neutrophil chemoattractant - 1 (CINC-1) in rats, during acid-induced lung injury (5,7,43). TNF- $\alpha$  and MIP-2 are required for the pathogenesis of acute pulmonary injury in rats given ACID (HCl, pH 1.25) (4,7). In addition, increases in leukocyte-derived oxidants and proteinases have been demonstrated in acid-induced lung injury (3,40,46). Eicosanoids also play a role in acute acid-induced pulmonary injury, and act in conjunction with cytokines to promote neutrophil infiltration and activation (41). Activation of complement is additionally known to be important in the systemic response following acid aspiration (47).

### **B. Gastric food particle-induced lung injury**

Small non-acidified gastric particles (SNAP) for animal studies can be prepared from the stomach contents of rodents by a combination of washing in normal saline, filtration through gauze, autoclaving, and centrifugation (e.g., (2,5,48)). The typical distribution of particle sizes in preparations of SNAP is bimodal, with diameter peaks at approximately 4.5 and 13  $\mu\text{m}$  (average diameter <10  $\mu\text{m}$ ) (2). Following tracheal instillation of SNAP in rats, acute neutrophilic inflammation can be detected at 4–6 hr (2), but there is no initial edema as is observed in ACID. A monocytic response peaks at about 48 hr post-aspiration, at which time lung tissue exhibits signs of early granuloma formation. TNF- $\alpha$ , MIP-2, and CINC-1 are among the acute inflammatory mediators elevated in lavage from rats following the aspiration of SNAP (4,5,7). Monocyte chemoattractant protein - 1 (MCP-1) is also elevated in rats following SNAP aspiration (5) and the importance of this mediator has been documented in several aspects of the innate pulmonary inflammatory response including the development of granulomas (e.g., (49–53)). MCP-1 is produced by multiple cell types in lung injury, including pulmonary vascular endothelial cells and alveolar type II epithelial cells (e.g., (52,53)).

### **C. Combined acid/gastric food particle (CASP) aspiration lung injury**

One emphasis of aspiration-related research noted earlier has been on animal models that involve two-hit insults (based on the contents of the aspirates themselves or additional stresses i.e., hyperoxia, bacterial seeding, lung contusion, or anesthesia). This work has shown that multiple insults can generate substantially more than additive severe pulmonary pathology than the sum of the individual component insults alone (3–5,54–59). In terms of two-hit gastric aspirates, attention has been focused on a combination of acid plus small non-acidified gastric particles (CASP = ACID + SNAP). In both the rats and mice, the severity of lung injury from CASP aspiration is more severe than for either SNAP or ACID alone (4,5,56,58). Levels of albumin in BAL, which are indicative of loss of alveolar-capillary membrane integrity, are significantly higher in rats given CASP compared to

ACID or SNAP (Figure 1A). Arterial oxygenation is also dramatically reduced following intratracheal administration of CASP, with PaO<sub>2</sub>/FiO<sub>2</sub> ratios meeting the criteria for clinical ARDS in the 24 hr period following aspiration (Figure 1B) (5). Increased lung injury based on BAL albumin levels following CASP aspiration in rodents is synergistic (greater than additive) compared to the individual component injuries of ACID or SNAP alone.

Data on leukocyte influx and cytokine/chemokine production demonstrate an over-exuberant proinflammatory response following the instillation of CASP compared to ACID or SNAP alone (4–7,48). Numbers of neutrophils (PMN's) are dramatically increased in BAL from rodents given CASP compared to ACID or SNAP alone, and remain high in the airspaces for 48 hr post-aspiration indicating continuing leukocytic infiltration (5,6). The degree of synergistic inflammation in CASP depends on the time delay between the administration of the first component injury (ACID) and the administration of the second (SNAP). The window of time for a synergistic pro-inflammatory lung injury exists for at least 8 hr following ACID, but if SNAP is administered at 24 hr following ACID the severity of acute lung injury is decreased and the inflammatory response is suppressed compared to SNAP alone. Standard conditions for studies of CASP aspiration in our laboratory have involved either simultaneous instillation of ACID with SNAP, or SNAP following ACID within 4 hr (4–7,48).

A broad spectrum of cytokines and chemokines have been studied to examine different patterns of inflammatory lung injury in the three forms of aspiration, and examples from rat studies are given in Figure 1 (5). Levels of the CXC chemokine CINC-1 (homolog of IL-8) in BAL were increased at both 4 and 6 hr in rats given CASP, and were higher than found in animals receiving SNAP or ACID (Figure 2). BAL levels of the monotactic chemokine MCP-1 were also elevated following CASP injury compared to SNAP or ACID at 6 hr, and continued to increase at 24 hr. Levels of the modulatory cytokine IL-10 in BAL were increased at 6 hr following either CASP or SNAP, but only rats that received CASP had increased IL-10 at 24 hr. IL-10 levels were the single best predictor of lung injury severity based on albumin concentrations in BAL across all forms of aspiration studied (ACID, SNAP, CASP) at both 6 and 24 hr (5). A statistical correlation model that incorporated both IL-10 and MCP-1 levels improved the prediction of the severity of lung injury at both time points.

These cytokines have been extensively studied in the context of ALI/ARDS. However, to date there is no available data regarding the nature and pattern of cytokines that are elaborated specifically in the BAL or serum of patients with aspiration syndromes.

## 5. Diagnosis of gastric aspiration induced lung injury

### a. Clinical assessment

Aspiration may be completely asymptomatic or present with dramatic signs and symptoms that include wheezing, shortness of breath, cyanosis, hypotension and hypoxia(1,9). A witnessed aspiration event is evident with the documentation of food particles or oral contents in the trachea-bronchial tree(9). For instance, in patients, who have sustained significant trauma, the aspirate may be made of blood and saliva(60). These patients may subsequently deteriorate into ALI/ARDS or develop superimposed bacterial infection. The timing of the bacterial infection whether related to the initial aspiration event or progressive migration of the bacteria into the distal bronchial tree, is a subject of intense controversy (1,55).

Unwitnessed gastric aspiration is one of the most difficult entities to diagnose. There are no gold standards for diagnosis of aspiration induced lung injury. Often times it is a disease of

exclusion, where other etiologies of hypoxia such as pulmonary edema, pulmonary embolism or community or hospital acquired bacterial pneumonia have been ruled out. Aspiration pneumonitis is diagnosed by a combination of hypoxia with a chest infiltrate that typically involves the dependant portions of the lungs. The portions of the lung affected may depend on the position in which the patient aspirates. Initially, the respiratory distress is much more severe than the radiographic changes would indicate, and many times the radiographic picture becomes more pronounced as the hypoxia and the clinical symptoms resolve. However it is the differentiation of aspiration pneumonitis and aspiration pneumonia that poses the greatest challenge to the intensivist.

### **b. Specific Biomarkers**

Numerous biomarkers have been studied in the context of aspiration-induced lung injury in humans and animals(61). Additionally, similar markers have been investigated in the context of other lung injuries such as community acquired pneumonia, hospital acquired pneumonia and sepsis, though not examined in human subjects with aspiration pneumonitis. A detailed discussion of these biomarkers is outside the scope of this review. Table 2 lists specific and potential biomarkers for aspiration that have been studied in humans and specific data pertaining to animal studies have been excluded.

### **c. Biomarker Profiles and Prediction models**

In addition to correlation analyses relating cytokine levels to lung injury severity, a complementary hierarchical cluster analysis was performed to summarize the degree of relationship among different cytokines and inflammatory cells in BAL at 6 and 24 hr post aspiration (5). Because of the complexity and interactions of different facets of the inflammatory response, correlational analyses involving cytokine and chemokine levels as noted here provide information that are suggestive rather than definitive. Nonetheless, these analyses support the potential of utilizing information on local or systemic inflammatory mediators to better understand mechanisms and pathways that contribute to different forms of aspiration lung injury(62). Moreover, the existence of differences in inflammatory mediator responses in rats to various forms of aspiration (ACID, SNAP, CASP) suggests that it may ultimately be feasible to identify specific inflammatory mediator “signatures” to enhance the diagnosis and prognosis of clinical aspiration syndromes as described later(62).

## **6. Management Principles**

Even though aspiration is considered a common event in the critically ill, the clinical consequences can vary. For the purpose of future discussion, it is assumed that aspiration pneumonitis and aspiration pneumonia are different clinical scenarios though it is often difficult to separate the two entities. A proposed algorithm based on existing evidence and common practice patterns is highlighted in figure 2. It is additionally emphasized that the management principles adopted are based more on symptomatology and progression of the disease rather than the strict differentiation between aspiration pneumonitis and pneumonia.

### **Aspiration Pneumonitis**

Most aspirates in the clinical scenarios are liquid in nature. It is the composition of the aspirate that determines the extent and progression of the injury on the pulmonary parenchyma. The course of pneumonitis can be broadly differentiated into 2 clinical phases. Phase 1 involves intense coughing or bronchospasm that occur immediately following the aspiration event where as the second phase characterized by the onset of inflammation in the pulmonary occurs over the next 4–6 hrs(36).

Although there are limited data from randomized clinical trials regarding definitive therapy for aspiration events, there are certain guidelines that can be followed in managing these patients. As it is impossible to enumerate all the therapeutic maneuvers that have been tested, only a few are discussed in detail.

**a. Positioning and aggressive pulmonary care**—Following a witnessed aspiration event, the patient should be positioned so that further aspiration of gastric contents is significantly reduced. In an awake patient, this is best achieved by turning the head laterally and suctioning the oral and pharyngeal cavity(63). The patient's bed can also be raised by 45 degrees with the head up. The decision to intubate the patient is based on general neurological status, degree of hypoxia, and hemodynamic stability of the patient. Additionally, patients with large volume particulate aspiration may require intubation, to facilitate future bronchoscopy (63,64). Nebulized bronchodilators may be administered for bronchospasm. In view of the recent aspiration event, attempts to institute non-invasive ventilation should be avoided. Mechanical ventilation should be continued ascribing to the current standards of lung protective strategy. Maneuvers to prevent recurrent aspiration episodes with gastric decompression such as placement of naso-gastric tubes and connecting gastrostomy tubes to suction or gravity drainage, should also be instituted.

**b. Role of bronchoscopy**—Aspirated material is frequently liquid in nature and disperses rapidly. Hence routine bronchoscopy with lavage is not indicated. However, in the event that the aspirate is predominantly particulate in nature with clear radiographic evidence of lobar collapse or major atelectasis, a therapeutic bronchoscopy is helpful(64). The other major advantage of bronchoscopy is the sampling of the lower respiratory tract. The quantitative bacteriology obtained from the BAL samples can not only guide definitive therapy and de-escalation of antibiotics but also can result in discontinuation of antibiotics if cultures do not show significant bacterial growth(65).

**c. Role of empiric antibiotics**—Aspiration is characterized in the early phase as an acute pneumonitis. This inflammatory episode is often characterized by fever and leukocytosis. Though antibiotics are not necessary in the treatment of pneumonitis(1), it is often difficult to distinguish aspiration pneumonitis from pneumonia. Additionally, bacteria from colonization of the stomach due to the common use of proton pump inhibitors or from the oropharynx may be aspirated with the gastric contents. Although there are no randomized trials on this subject, it is worthwhile to consider the following facts. In the context of treating ventilator associated Pneumonia (VAP), a short course of antibiotics has not been shown to have adverse effects as long as the antibiotics are deescalated or discontinued based on quantitative microbiology. Moreover, a recent survey suggested that a vast majority of intensivists were prescribing antibiotics in patients with suspected aspiration events(66). A rational strategy would be to start antibiotics appropriate to cover VAP (depending on the duration of stay in the ICU) and de-escalate the antibiotic use based on appropriate definitive, quantitative cultures (usually within 72 hrs). It is emphasized that it is equally important to eliminate the antibiotics if the culture results do not show significant bacterial growth or contamination of the aspirated material. Antibiotics should also be considered in patients who have documented aspiration secondary to small bowel obstruction or in patients with colonization of gastric contents(1). It has been noted that anaerobic coverage was routinely instituted in the past for aspiration-induced lung injury. However, to date, available data do not indicate that anaerobic bacteria are a concern (67,68).

**d. Role of Steroids**—Based on available data, steroid administration cannot be recommended for aspiration events. Though a single study showed early radiologic

resolution of infiltrates following administration of steroids(69), subsequently, two large multicenter randomized studies have failed to show any benefit(70,71). Moreover, at least one study reported a higher incidence of gram negative pneumonia in the patient arm that received steroids(72).

**Aspiration Pneumonia:** Aspiration induced lung injury is a clear risk factor for development of pneumonia (16,55). Whether the aspiration of bacteria occurs at the initial time period or is a result of altered host-bacterial interaction, is currently not well understood. Available evidence indicates that the bacteriology of aspiration pneumonia is not different from that of hospital or ventilator acquired pneumonia(16,73). Once the diagnosis of aspiration pneumonia is definitively established, early administration of antibiotics is strongly recommended(74). These may represent patients with persistent leukocytosis, fever, and infiltrates 48hr following the initial aspiration event. Additionally, identification of pathogenic bacteria in significant amount ( $>10^4$  CFU/ml in most ICU), either by protected brush specimen or BALs is usually confirmatory for a diagnosis of aspiration pneumonia (65). The choice of antibiotics may vary based on the local ecology of the ICU. However, certain broad principles can be instituted (75). It is appropriate to initiate early, empiric, broad spectrum antibiotics with activity against Gram-negative bacteria (75). Routine use of antibiotics with anaerobic coverage is not needed unless there is evidence of severe periodontal disease, necrotizing pneumonia, or lung abscess visualized in a CT scan (67,68). The duration of the antibiotics is similar to what is described for VAP and although this subject is of intense debate, the recommended duration should be based on the patients clinical response to antibiotics and may extend from 3–13 days(75,76).

## 7. Future Directions

Despite major advances in understanding the pathophysiology of aspiration-induced lung injury in small animal models, there remains a significant gap in diagnosing unwitnessed gastric aspiration events, as well as the ability to predict the likelihood of progression of the pulmonary insult to ALI/ARDS. One of the major problems in this regard is the absence of distinct diagnostic or prognostic signatures to diagnose this entity. With the development of microarray technology, there have been a number of studies that have focused on the identification of single or multiple gene products as biomarkers for diagnosis or prognosis. Recently the emerging field of whole body or organ genomics has provided a methodology to develop classification models such as those applied in the field of acute lung injury (77). The ability to do so in combination with animal and well-designed human studies in the near future, will likely result in the development of biomarkers that are useful in identifying the etiology and determining the potential pulmonary injury severity of aspiration events.

## 8. Conclusions

Aspiration induced lung injury is a frequent problem that particularly affects the critically ill and is often misdiagnosed, or a disease of exclusion. Patients following an aspiration event can present with a reversible non-infectious chemical pneumonitis (aspiration pneumonitis) or aspiration-related bacterial pneumonia (aspiration pneumonia), either of which could progress to ALI/ARDS. Animal studies have confirmed that a combination of acid and particulate matter aspirate results in severe, progressive lung injury compared to the individual gastric components of acid or particulate matter individually. Being able to distinguish the different aspiration syndromes could have significant prognostic and therapeutic implications. However, the basic mechanistic understanding of aspiration-induced lung injury from small animal studies has yet to produce clinically valid distinct “signatures” of aspiration pneumonitis versus bacterial aspiration pneumonia. Investigations examining individual biomarkers in aspiration have come up with a number of shortcomings



and the search for the clinically useful biomarker(s) continues. Finally, analysis of cytokines profiles has shown promise in animal models, but its relevance in clinical decision-making needs to be validated in well designed clinical studies.

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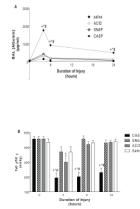
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**Figure 1. Relative severity of acute pulmonary injury in rats instilled with different gastric aspirates**

The severity of lung injury in rats was measured as a function of time after tracheal instillation of ACID, SNAP, CASP, or normal saline (NS). Lung injury was assessed by ELISA measurements of albumin levels in bronchoalveolar lavage (BAL) (Panel A), and by the ratio of the arterial partial pressure of oxygen (PaO<sub>2</sub>) to the fraction of inspired oxygen (FiO<sub>2</sub>) (Panel B). Rats breathed 98% O<sub>2</sub> for a 15 min period prior to measurements of arterial oxygenation in order to emphasize the relative severity of intrapulmonary shunting. Data are Mean ± SEM for n= 9–2. Statistical symbols are: p<0.0001 compared to NS (+), ACID (\*) and SNAP (#). Adapted from (5).



**Figure 2. Proposed Algorithm for suspected or witnessed aspiration event**

An algorithm, based on the available evidence to date, is presented. For practical purposes and based on current practice patterns, it is difficult to differentiate aspiration pneumonitis from aspiration pneumonia. The indication to perform endotracheal intubation and mechanical ventilation is not different from other clinical scenarios. Additionally the institution of the type of antibiotics should be based on local ecology of the ICU and should follow the practice guidelines (74).

**Table 1****Risk Factors for Aspiration-Induced Lung Injury**

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<b>a.</b>	Depressed level of consciousness
	Sedation
	Alcohol intoxication
	Traumatic brain injury
	Encephalopathy
	Seizure disorder
<b>b.</b>	Impaired /depressed gag reflex
	Presence of naso-gastric or endotracheal intubation
	Bulbar paralysis
<b>c.</b>	GI disorders
	Esophageal motility disorders
	Gastro-esophageal reflux
	Gastroparesis
	Bowel obstruction/ileus
<b>d.</b>	Drugs
	Anticholinergics
	Adrenergic agents
	Nitrates
	Phosphodiesterase inhibitors
	Calcium channel blockers
<b>e.</b>	Others
	Obesity
	Labor

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**Table 2**

## Biomarkers of Gastric Aspiration

Biomarker	Summary of Clinical Data	Advantages	Disadvantages
<b>BAL pepsin</b>	Elevated in ICU population with subsequent pneumonia(16). Linked to broncho-pulmonary dyplasia in lung transplant patients with increased pepsin. (78) Never compared to gold standards of witnessed gastric aspiration	Easy to perform	Can be detected for a very short time No standardization for positive result
<b>Lipid laden macrophage</b>	Has been linked with gastric aspiration in a number of studies; Shown to be semi-quantitative(79–83)	Semi-quantitative	Non specific
<b>Soluble-TREM-1</b>	Single study reported higher levels in aspiration vs. non aspiration patient groups(84)	Standardized measurement available for serum	Non specific; Increased in trauma, infectious pneumonia(85,86)
<b>c-Reactive Protein</b>	Elevated in aspiration pneumonitis and pneumonia but cannot be used to distinguish them(15)	Easy to measure	Non specific
<b>Procalcitonin</b>	Tested positive in aspiration patients vs. non aspirated group(87) N-terminal procalcitonin elevated in aspiration patients(88)	Widely available and easy to use	Lack of sensitivity Non specific – increased to much higher levels in infections
<b>Receptor for advanced Glycation end product (RAGE)</b>	Elevated in sepsis and ALI/ARDS patients compared to normal(89) ; Marker of Type I alveolar cell injury?(90) Not tested in aspiration	Specific marker of lung injury	Not tested in aspiration
<b>Exhaled breath condensates Leukotriene B4 concentration</b>	Shown to be higher in children with community acquired pneumonia compared to healthy controls(91)	Non invasive	Difficult to collect and analyze; Never tested in aspiration
<b>Carbamoyl phosphate synthase -1</b>	Increased in healthy volunteers after LPS infusion(92)	Early and quick response in 4–5 hr following LPS	Not tested in aspiration
<b>Endothelin-1 or precursors</b>	Elevated in community acquired pneumonia(93); correlated with severity of injury and mortality	Levels predicted mortality	Not tested in aspiration
<b>Copeptin</b>	Elevated in patients with lower respiratory tract infection and correlated with severity of disease(94,95); Correlated with SIRS in noncardiac surgery patients(96)	Levels related to severity of illness	Not tested in aspiration