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- 2 atmospheric ammonia in a Chinese megacity
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- 19 ABSTRACT Ammonia (NH<sub>3</sub>) is the predominant alkaline gas in the atmosphere
- 20 contributing to formation of fine particles a leading environmental cause of increased
- 21 morbidity and mortality worldwide. Prior findings suggest that NH<sub>3</sub> in the urban
- 22 atmosphere derives from a complex mixture of agricultural (mainly livestock production
- 23 and fertilizer application) and non-agricultural (e.g., urban waste, fossil fuel-related
- 24 emissions) sources; however, a citywide holistic assessment is hitherto lacking. Here
- 25 we show that NH<sub>3</sub> from non-agricultural sources rivals agricultural NH<sub>3</sub> source
- 26 contributions in the Shanghai urban atmosphere. We base our conclusion on four
- 27 independent approaches: (i) a full-year operation of a passive NH<sub>3</sub> monitoring network

at 14 locations covering urban, suburban, and rural landscapes; (ii) modelmeasurement comparison of hourly NH<sub>3</sub> concentrations at a pair of urban and rural
supersites; (iii) source-specific NH<sub>3</sub> measurements from emission sources; and (iv)
localized isotopic signatures of NH<sub>3</sub> sources integrated in a Bayesian isotope mixing
model to make isotope-based source apportionment estimates of ambient NH<sub>3</sub>. Results
indicate that non-agricultural sources and agricultural sources are both important
contributors to NH<sub>3</sub> in the urban atmosphere. These findings highlight opportunities to
limit NH<sub>3</sub> emissions from non-agricultural sources to help curb PM<sub>2.5</sub> pollution in urban
China.

# 1 Introduction

Atmospheric ammonia (NH<sub>3</sub>) is the predominant alkaline gas in the atmosphere and actively involved in atmospheric chemistry. In reactions with sulphuric acid and nitric acid, formed via the oxidation of  $SO_2$  and  $NO_x$ , respectively, NH<sub>3</sub> contributes to the formation of NH<sub>4</sub><sup>+</sup> salts, which typically make up from 20 to 80% of atmospheric

- 42 particulate matter with an aerodynamic diameter less than 2.5 micrometers (PM<sub>2.5</sub>).<sup>1-5</sup>
- This fine particle formation has led to huge health and economic costs. 6-10
- There is an increasing importance of NH<sub>3</sub> emissions relative to SO<sub>2</sub> and NO<sub>x</sub>
- worldwide due to relatively slow reduction of NH<sub>3</sub> emissons. 11-17 Over 90% of NH<sub>3</sub>
- 46 emissions in China, the United States and many European countries result from
- agriculture, mainly including livestock production and NH<sub>3</sub>-based fertilizer application;<sup>6</sup>,
- 48 <sup>13, 15, 18-22</sup> thus, agricultural NH<sub>3</sub> emissions are often blamed for high levels of
- 49 ammonium-containing PM<sub>2.5</sub>.<sup>1, 6, 7, 23, 24</sup> However, in urban areas where agricultural
- 50 activities are mostly absent, a growing body of evidence suggests that non-agricultural
- 51 activities like wastewater treatment,<sup>25</sup> coal combustion,<sup>26</sup> solid garbage,<sup>27</sup> vehicular
- exhaust,<sup>28</sup> and urban green space<sup>29</sup> also contribute to NH<sub>3</sub> emissions.<sup>30</sup> For example,
- large vehicular NH<sub>3</sub> emissions from noble metal-based three-way catalysts (TWCs)
- 54 have been detected in chassis dynamometer vehicle experiments, road tunnel tests,
- and ambient air measurements dating back to the 1980s.<sup>31-42</sup> Nevertheless, Yao et al.<sup>43</sup>
- and Teng et al.<sup>29</sup> suggest that vehicular NH<sub>3</sub> emissions can be neglected and proposed

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urban green spaces as the dominant contributor to urban atmospheric NH<sub>3</sub> in North

America and Northern China. There remains a long-standing and on-going controversy regarding the relative contribution of agricultural and non-agricultural NH<sub>3</sub> emissions in

the urban atmosphere.44-46

In China, while there have been no long-term and nationwide NH<sub>3</sub> monitoring studies like the U.S. passive Ammonia Monitoring Network (AMoN, http://nadp.sws.uiuc.edu/amon) affiliated with the National Atmospheric Deposition Program (NADP),<sup>47-49</sup> numerous researchers have measured NH<sub>4</sub><sup>+</sup> concentrations in wet deposition (i.e., precipitation) for more than 30 years.<sup>50, 51</sup> The data show that the annual flux of NH<sub>4</sub><sup>+</sup> in wet deposition in China has increased in conjunction with the growth in animal production and fertilizer application. 17, 50, 52, 53 Further, China's recent economic boom has been coupled with accelerated urbanization.<sup>54, 55</sup> In 1978 less than 20% of Chinese residents lived in cities. The population of its cities has quintupled over the past 40 years, reaching 813 million or nearly 60% of the total population.<sup>56</sup> At present, there are three super-regions or city clusters in China: the Pearl River Delta (PRD), next to

72 Hong Kong; the Yangtze River Delta (YRD), which surrounds Shanghai; and Jing-jin-ji (J<sup>3</sup>), centered on Beijing.<sup>57</sup> In particular, the YRD region is arguably the most concentrated 73 set of adjacent urban conurbations in the world.<sup>58</sup> Huge cities place huge demands on 74 resource consumption and associated non-agricultural NH<sub>3</sub> emissions.<sup>44</sup> For example, 75 the region has continuously experienced double-digit growth in auto sales since 2009.36 76 77 The expanding motor vehicle population in its cities, in turn, is reshaping the urban atmospheric composition.<sup>59, 60</sup> Meanwhile, the vast rural areas of the YRD region are 78 79 dominated by fluvial plains with fertile soil, and abundant production of rice and tea.<sup>22</sup> According to Huang et al., 22 livestock production, N-fertilizer application, and non-80 81 agricultural sources (including sewage treatment, waste landfills, and human discharge) 82 in the YRD region in 2007 comprise 48%, 40%, and 12% of the total 459 kt NH<sub>3</sub> emissions, 83 respectively. The interplay of agricultural and non-agricultural NH<sub>3</sub> emissions in the region provides an ideal study area to investigate their impact on ambient NH3 concentrations 84 85 over time.

Taking Shanghai as an example, the present study aims to systematically elucidate the role of non-agricultural NH<sub>3</sub> emissions contributing to ambient NH<sub>3</sub> in the urban atmosphere through (1) investigating the spatial and temporal variability of NH<sub>3</sub> concentrations across various land use categories, (2) interpreting the consistency or discrepancy of NH<sub>3</sub> concentrations between field measurements and chemical transport model simulations, and (3) using stable isotopes as a tool to quantify source category contributions to ambient NH<sub>3</sub> concentrations in the rural and urban atmospheres.

### 2 Materials and methods

### 2.1 Site description

The Yangtze River Delta or YRD region encompasses the nation's largest population center, Shanghai, and major agricultural fields in eastern China. In order to obtain information regarding the spatial and temporal variability of NH<sub>3</sub> concentrations in Shanghai, we established a regional monitoring network of fourteen sites covering urban (FD, HK, YP, HP, PT, JA, LW, XH, and PD), suburban (ZJ and CJ), and rural (DH, SY and CM) landscapes (Fig. 1). Of particular importance are PD and DH, which

also serve as supersites intended to represent urban and rural settings, respectively. In Shanghai, all ten state-control stations (SCS) of China's Ministry of Environmental Protection were utilized. The advantages of selecting these SCS sites include (i) their deliberate locations away from point and local sources of pollution, such as transportation corridors, agricultural fields, livestock operations, and industrial emissions; (ii) they have well-trained staff with long-term employment to sustain continuous measurements; and (iii) they are equipped with refrigerators so that the collected samples can be guickly stored to prevent potential contamination or sample degradation. More detailed site descriptions can be found elsewhere. 36, 61 The meteorology in Shanghai is typical of a subtropical monsoon system with four distinct seasons. A summary of the average meteorological conditions can be found in SI Fig. S1.

# 2.2 Field sampling

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In order to obtain the spatial distributions of NH<sub>3</sub> concentrations over the Shanghai region, from May 2014 to June 2015, weekly Ogawa PSDs (passive sampling devices,

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Ogawa, FL, USA) were deployed at each site (from March 2017 to March 2018 for CM and SY sites) under the protection of an opaque shelter for collecting ambient NH<sub>3</sub>. Between June and August of 2014, two Ogawa PSDs were deployed for monthly collection at the urban PD site and the rural DH site for N isotopic analysis of NH<sub>3</sub>. The Ogawa PSD consists of a solid cylindrical polymeric body (2 cm diameter, 3 cm long) housing a citric acid-coated glass fiber disk at each end as a duplicate to trap NH<sub>3</sub>.<sup>48</sup> All PSD components (including filters) were purchased from Ogawa USA, and sampling procedures provided by the manufacturer (http://www.ogawausa.com) were strictly followed throughout the campaign. After exposure, the filters were transferred with tweezers into plastic vials (15 mL) and stored at -18 °C immediately. The samples were delivered to the analytical laboratory monthly. The average relative percent difference between duplicate Ogawa PSD samples was 5.5%. In order to relate temporal variations of NH<sub>3</sub> concentrations to potential NH<sub>3</sub> sources, the PD (urban) and DH (rural) sites were equipped with a Monitor for AeRosols and

Gases (MARGA, Applikon B.V., NL), allowing continuous characterization of the

inorganic components of PM<sub>2.5</sub> (NH<sub>4</sub>+, NO<sub>3</sub>-, SO<sub>4</sub><sup>2</sup>-, Cl-, Na+, K+, Ca<sup>2</sup>+, Mg<sup>2</sup>+) and water-soluble gases (NH<sub>3</sub>, SO<sub>2</sub>, HCl, HONO and HNO<sub>3</sub>) at hourly resolution.<sup>62</sup> This effort builds upon our earlier effort<sup>36</sup> to look at the influence of on-road traffic on ambient NH<sub>3</sub> variability with different meteorology at the PD site. Details of the MARGA instrument and its performance can be found elsewhere.<sup>36</sup> To complement the information obtained from the MARGA monitoring campaign, additional measurements of tailpipe-emitted NH<sub>3</sub> from 19 different vehicles equipped with three-way catalytic converters were carried out in Nanjing, a megacity in the western Yangtze River Delta region, during April 2016, following a method described elsewhere<sup>63</sup> and briefly summarized in SI Text S1.

# 2.3 Laboratory analysis

NH<sub>4</sub><sup>+</sup> concentrations in the H<sub>2</sub>SO<sub>4</sub> absorbing solutions were measured using a

Dionex<sup>™</sup> ICS-5000<sup>+</sup> system (Thermo Fisher Scientific, Sunnyvale, USA) at the clean
laboratory (class 1000) of Yale-NUIST Center on Atmospheric Environment. The IC

system was equipped with an automated sampler (AS-DV). NH<sub>4</sub><sup>+</sup> in solutions was

measured using an IonPac CG12A guard column and CS12A separation column with

an aqueous methanesulfonic acid (MSA, 30 mM L<sup>-1</sup>) eluent at a flow rate of 1 mL min<sup>-1</sup>.

For the Ogawa passive samples, each filter pad was soaked in 8 mL deionized water (18 M $\Omega$ ·cm) in a 15 mL vial for 30 min with occasional shaking. Concentrations of NH $_4$ + in extracts were analyzed using an ion chromatography system (883 Basic IC plus, Metrohm Co., Switzerland) equipped with a Metrosep C4/4.0 cation column. The eluent was 1.0 mmol L-1 HNO $_3$  + 1.0 mmol L-1 2,6-pyridine dicarboxylic acid. The detection limit for NH $_4$ + was 2.8  $\mu$ g L-1, corresponding to an ambient NH $_3$  concentration of 0.1 ppb for a seven-day sample.

For isotopic analysis, a robust and quantitative chemical method was used to determine  $\delta^{15}\text{N-NH}_4^+$  based on the isotopic analysis of nitrous oxide (N<sub>2</sub>O),<sup>64</sup> as detailed and successfully applied in our previous studies.<sup>61, 65</sup> One of the advantages of this method is that it is more suitable for low volume samples including those with low nitrogen concentration. The standard deviation of  $\delta^{15}\text{N}$  measurements determined from the replicates is less than 0.3‰.

### 2.4 Ammonia modeling

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The Community Multiscale Air Quality (CMAQ, v5.0.1) chemical transport model was used to simulate hourly NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup> concentrations in Shanghai with a 12 × 12 km<sup>2</sup> grid resolution.66 Meteorological inputs were generated with the Weather Research and Forecasting (WRF v3.6.1) model and the National Centers for Environmental Prediction FNL Operational Model Global Tropospheric Analyses. The tropospheric analyses dataset was used to provide initial and boundary conditions. A multi-resolution emission inventory for China developed by Tsinghua University (http://www.meicmodel.org) was used to define monthly anthropogenic emissions from China. Anthropogenic emissions in 2012 including NH<sub>3</sub>, SO<sub>2</sub>, NO<sub>x</sub>, volatile organic compounds, and PM were re-gridded to the model grids. Open biomass burning emissions were generated from the Fire INventory from NCAR, which is based on satellite observations.<sup>66</sup> Dust and sea salt emissions were generated online during the CMAQ simulations. Biogenic emissions were generated using the Model for Emissions of Gases and Aerosols from Nature (v2.1). 66 The model configurations of CMAQ and WRF are similar to those utilized in a previous nationwide study.66

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# 2.5 Bayesian mixing model

Isotopic mixing models allow us to estimate the proportional contributions of multiple sources (emission sources of NH<sub>3</sub> in this study) within a mixture (the ambient NH<sub>3</sub> in this study).<sup>67</sup> By explicitly reflecting the uncertainties associated with multiple sources, isotope fractionation, and isotopic signatures, the application of Bayesian methods to stable isotope mixing models is able to generate robust probability estimates of source proportions, being more appropriate in natural systems than simple linear mixing models. 68, 69 Here a novel Bayesian methodology for analyzing mixing models implemented in the software package SIAR (Stable Isotope Analysis in R)<sup>70</sup> was used to resolve multiple NH<sub>3</sub> source categories by generating potential solutions of source apportionment as true probability distributions. The generation of such source contribution probability distributions is helpful in estimating likely ranges of source contributions when the system solution is under-constrained (i.e., the number of sources exceeds the number of different isotope system tracers + 1). The SIAR package is available to download from the packages section of the Comprehensive R Archive

Network site (CRAN) - http://cran.r-project.org/, and has been widely applied in a number of fields.<sup>71-75</sup> Model frame and computing methods are detailed in SI Text S2. A comprehensive pool of isotopic source signatures of NH<sub>3</sub> (IS\_NH<sub>3</sub>) has been established in our previous work<sup>65</sup> with the exception of "NH<sub>3</sub> slip from coal-fired power plant". These IS\_NH<sub>3</sub> are typically found to lie between -50% and -10%, with occasional overlap between signatures from different source types. 65, 77 The NH<sub>3</sub> emissions were defined by four distinct source categories (Table 1): livestock breeding (-29.1 ± 1.7%), N-fertilizer application (-50.0 ± 1.8%; urea application), combustionrelated sources (-14.0 ± 2.7%; on-road traffic, NH<sub>3</sub> slip from coal-fired power plants), and urban waste volatilized sources (-37.8 ± 3.6%; wastewater treatment, municipal solid waste, and human excreta).

# 2.6 Ancillary information

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Hourly meteorological parameters (MSO Weather Sensor, MetOne Instruments, USA; including wind direction, wind speed, relative humidity or RH, and temperature or 7) in Shanghai were provided by the Shanghai Meteorological Bureau. Bivariate polar plots

(BPP) were used to demonstrate how NH<sub>3</sub> concentrations vary with wind direction and wind speed in polar coordinates, an effective diagnostic technique for discriminating different source regions.<sup>78-81</sup> For creating BBPs, the open-source software "openair" in R was used.<sup>79</sup>

### 3 Results and discussion

3.1 Spatially-revolved sampling reveals urban areas as a hot spot of atmospheric NH<sub>3</sub>

A total of 702 duplicate passive samples were collected in this study. The passive sampling sites are divided into three types: urban (461 samples), suburban (108 samples), and rural (133 samples), based on local land use and economic activities. Weekly variations of atmospheric NH $_3$  concentrations at each observation site, and annual and seasonal average NH $_3$  concentrations (mean  $\pm$  1  $\sigma$ ) among different sites and site categories are plotted in Fig. 2 and Fig. 3, respectively. The observations from the Ogawa passive samplers are mainly used to illustrate spatial distributions rather than temporal variations of NH $_3$ , due to their relatively coarse time resolution.

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Taking the results of all weekly samples as a whole, atmospheric NH<sub>3</sub> concentrations in Shanghai range from 1.2 to 23.1 ppb, with a mean ( $\pm$  1 $\sigma$ ) and median value of 7.3 ( $\pm$ 3.1) and 6.8 ppb, respectively. Domestically, the annual average NH<sub>3</sub> concentrations in northern China (e.g., Beijing (23.5  $\pm$  18.0 ppb)<sup>82</sup> and Xi'an (18.6 ppb on average)<sup>83</sup>) are much higher than our observations in Shanghai (Table 2). This can be partly explained by a higher soil pH in the North China Plain and the Guanzhong Plain where Beijing and Xi'an are located, respectively,84 which promotes loss of NH<sub>3</sub>.85 Instead, the Yangtze River Delta region (including Shanghai) is dominated by acid soils of paddy fields.<sup>86</sup> Internationally, the average NH<sub>3</sub> level we measured in Shanghai is generally similar to observations in developed cities like Seoul in S. Korea<sup>87</sup> and Houston in the U.S.,<sup>88</sup> but much lower than in some cities in developing countries. This is particularly true when comparing with cities in South Asia (e.g., Delhi in India;89 Table 2), where there is a lack of basic sanitation facilities (e.g., public flush toilets), and significant animal populations (such as cows) coexist with people in urban areas. 90 The high NH<sub>3</sub> concentrations measured at surface sites in South Asia are consistent with the spatial patterns determined from recent satellite remote sensing observations. 91, 92 It is worth noting that

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from measurements in the Shanghai Jinshan chemical industry park (Fig. 2), Wang et al.  $^{93}$  showed a much higher NH<sub>3</sub> concentration (17.6  $\pm$  9.5 ppb) with abrupt concentration changes on an hourly basis, a result of the strong influence of variable industrial emissions in the vicinity.

NH<sub>3</sub> levels were found to exhibit modest gradients across the study region, with mean NH<sub>3</sub> concentrations ranging from 4.8 (CM rural site) to 9.7 ppb (HP urban site) (Fig. 2 and Fig. 3c). As discussed above, on a regional scale, NH<sub>3</sub> is mainly emitted from animal housing, manure storage, and land-spread manure, and to a smaller extent from mineral fertilizer application. The emission strengths of these sources are primarily determined by the activity of microbes, which is highly dependent on temperature.<sup>94</sup> Hence, rural areas with strong agricultural sources, are expected to experience increased emissions in summertime. Indeed, in our study, the average NH<sub>3</sub> concentrations in summer are higher than in other seasons for each land use category (Fig. 3b) and site (Fig. 3d), signifying the importance of volatilized NH<sub>3</sub> sources in the region (see discussion later). Somewhat surprisingly, however, the lowest average

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ambient NH<sub>3</sub> concentrations are found at rural sites such as CM (4.8 ± 2.6 ppb) and SY (6.3 ± 4.1 ppb), which are in active agricultural areas (Fig. 3c). Although the average  $NH_3$  concentration at the rural DH site (7.4 ± 4.1 ppb) is higher than 7 of the other 13 sites (Fig. 3c), the overall average NH<sub>3</sub> concentration observed at urban sites (7.8 ± 2.9 ppb) is significantly higher than at suburban (6.8  $\pm$  3.1 ppb,  $\rho$  < 0.01) and rural (6.2  $\pm$ 3.8 ppb, p < 0.01) sites (Fig. 3a). In fact, urban enrichment of NH<sub>3</sub> in Shanghai is not unique. In Table 2 we compile previous studies in which urban NH<sub>3</sub> concentrations are comparable with or higher than suburban and rural NH<sub>3</sub> concentrations. In brief, our results demonstrate that urban areas, without agricultural activities, can also be an important source of NH<sub>3</sub> emissions.

Temperature is the key driver of NH<sub>3</sub> emissions from volatility-driven sources; observations of NH<sub>3</sub> volatilization by Sommer et al.<sup>98</sup> found that NH<sub>3</sub> emissions after 6 h of surface applied cattle slurry were exponentially related to temperature ( $\ell^2 > 0.80$ ). As shown in Fig. 2 and Fig. 3d, the average NH<sub>3</sub> concentrations are higher in summer and lower in winter. This is particularly true at rural sites, consistent with dominant,

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temperature-sensitive emission of NH<sub>3</sub> from agricultural sources like livestock waste and fertilizer application. There are also other temperature-sensitive sources in urban areas like wastewater, household garbage, golf turf, and human excreta; the latter two are often overlooked but important NH<sub>3</sub> sources in urban China. 44, 99 Although still recognized as a luxury sport by most Chinese people, golf is increasingly popular.<sup>44</sup> In contrast to Western industrialized countries, golf courses in China tend to operate in urban areas, which are closer to the affluent consumer. 44 Also different from other developed countries, human excreta in urban China is typically first stored in a threegrille septic tank beneath the building.61 After a series of anaerobic decomposition processes, a substantial amount of odors (including NH<sub>3</sub>) will be generated and emitted through a ceiling duct.61

From a climate perspective, differences in temperature and other meteorological parameters (e.g., precipitation, wind speed, planetary boundary layer) over the Shanghai region are minor.<sup>36</sup> Interestingly, the lowest NH<sub>3</sub> concentrations at urban Shanghai sites were not observed in the winter, while the NH<sub>3</sub> difference between

- summer and winter is much lower at urban sites than at rural sites in our dataset (Fig.
- 282 3). These observations suggest that there may be some other temperature-independent
- 283 NH<sub>3</sub> sources present in urban areas.

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3.2 Significant influences of non-agricultural NH<sub>3</sub> emissions in the urban atmosphere

The analysis of weekly NH<sub>3</sub> samples collected from our network of sites spanning

various land use categories indicates that the enhancement of atmospheric NH<sub>3</sub> at

urban sites reflects a mix of agricultural and non-agricultural NH<sub>3</sub> emissions. To further

explore and compare the influences of various NH<sub>3</sub> sources on ambient NH<sub>3</sub> in urban

and rural atmospheres, we can examine the year-round, hourly observations of NH<sub>3</sub> at

the urban PD and rural DH sites (Fig. 1). By combining hourly concentration, wind

speed, and wind direction measurements, bivariate polar plots (BPP) can be

constructed to identify source regions of near-ground pollutants like NH<sub>3</sub>, an approach

that has proven to be a more suitable tool than back trajectory-based methods.<sup>78, 80, 81</sup>

As illustrated in Fig. 4a, there are large temporal variations in NH<sub>3</sub> concentrations at

the urban PD and rural DH site, with their hourly NH<sub>3</sub> concentrations ranging from 0.1 to

36.4  $\mu$ g m<sup>-3</sup> (mean  $\pm 1\sigma = 5.9 \pm 4.5 \,\mu$ g m<sup>-3</sup>; median = 4.8  $\mu$ g m<sup>-3</sup>; n = 7897; 90.1% data

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availability) and 0.1 to 33.0  $\mu$ g m<sup>-3</sup> (mean  $\pm 1\sigma = 6.6 \pm 4.1 \,\mu$ g m<sup>-3</sup>; median = 5.9  $\mu$ g m<sup>-3</sup>; n = 8204; 93.7% data availability), respectively. The NH<sub>3</sub> concentration spikes at both sites are concentrated in summer (June, July, and August), and their smoothed trends are generally consistent with the variation of temperature. These findings suggest that volatilized NH<sub>3</sub> emissions are a regionally important NH<sub>3</sub> source in Shanghai. 302 Also included in Fig. 4 are, to help further identify specific sources, the diurnal profiles 303 of NH<sub>3</sub> and temperature at DH and PD. At the rural DH site, diurnal variations of NH<sub>3</sub> 304 concentrations are highly correlated with temperature ( $r^2 = 0.98$ , p < 0.01; Fig. 4b), 305 indicating the predominant role of volatilization-related NH<sub>3</sub> sources in rural areas. In 306 eastern China (including Shanghai), agricultural sources (livestock feeding and N-307 fertilizer application) make up nearly 90% of the total NH<sub>3</sub> emissions.<sup>22</sup> Indeed, in Fig. 5a, the BPP analysis shows that high NH<sub>3</sub> concentrations at DH are associated with air flows from the southwest and the southeast but infrequently from the northwest. This can be explained by the large lake Dianshanhu in the northwest, which has negligible NH<sub>3</sub> emission potential.<sup>44, 45</sup> The south and east side of the lake is covered by intensive cultivation areas, with modern agriculture facilities. 61 The areas to the southeast of the 312

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sampling site have been described as the "backyard garden" of Shanghai, renowned for its idyllic scene, and are a regional hot spot of agricultural NH<sub>3</sub> emissions.<sup>22, 61</sup> At the urban PD site, however, distinctly different pictures of the diurnal profiles of NH<sub>3</sub> and temperature are observed (see Fig. 4c and 4d), suggesting a complex mix of NH<sub>3</sub> source contributions. Specifically, there is no correlation between NH<sub>3</sub> concentration and temperature on a diurnal basis (Fig. 4d). The average concentrations of NH<sub>3</sub> show a well-marked bimodal pattern, which is generally similar to the diurnal evolution of urban traffic flow in Shanghai. 17 Previous observations have also shown coincident enhancements of NH<sub>3</sub> and carbon monoxide (CO) in the Shanghai urban atmosphere.<sup>36</sup> Following a stable period of NH<sub>3</sub> concentrations between 22:00 and 5:00 (5.7  $\pm$  0.1  $\mu$ g m<sup>-3</sup>), the maximum NH<sub>3</sub> concentration occurs in the morning rush hour (7.0 µg m<sup>-3</sup>, 10:00), 22% higher than the overnight level. In Fig. 5b, the Shanghai metropolitan area to the southwest and the suburban Pudong District to the southeast are indicated as two prominent NH<sub>3</sub> source regions. The metropolitan area is densely populated with intense traffic, representing an important source region of non-agricultural NH<sub>3</sub> emissions (including vehicles). The suburban Pudong District, for long stretches, serves as the

primary animal feeding operation region in Eastern China, where almost all livestock farms are focused on hog rearing.<sup>61</sup>

To further examine the NH<sub>3</sub> emissions potential from vehicles, we measured NH<sub>3</sub>

concentrations emitted from tailpipe exhaust of 19 different vehicles equipped with TWCs. The average NH<sub>3</sub> concentration of the total 57 samples (10.2 ppm) is four orders of magnitude higher than the ambient NH<sub>3</sub> concentrations. Considering the huge automobile inventory in Shanghai (nearly 3.3 million in 2015),<sup>36</sup> our study strongly suggests that on-road traffic is an important NH<sub>3</sub> source in the urban atmosphere.

3.3 NH<sub>3</sub> from non-agricultural rival agricultural emissions in the urban atmosphere

Figure 6 compares model simulations and measurements of hourly NH<sub>3</sub> concentration at the rural DH and urban PD sites. The average measured and predicted NH<sub>3</sub> concentrations at DH are similar, although the variability in the model predictions is much larger than the observations, perhaps reflecting the coarse time resolution of the emission inventory used. It is noteworthy that the average NH<sub>3</sub> concentration at the rural DH site is accurate without any non-agricultural NH<sub>3</sub> emissions being included in the

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model, consistent with our conclusion above that agricultural activities are the predominant NH<sub>3</sub> source in rural areas. At the urban PD site, the simulation with only agricultural NH<sub>3</sub> emissions yields an average predicted NH<sub>3</sub> concentration (3.6 µg m<sup>-3</sup>) that is 47% lower than the average measured concentration (6.7 µg m<sup>-3</sup>), suggesting that (non-simulated) emissions from non-agricultural activities are important contributors to urban NH<sub>3</sub>. Although other factors could contribute to under-prediction of urban NH<sub>3</sub> (e.g., incorrectly modeled transport from rural agricultural sources or overestimation of the rate of dry deposition of NH<sub>3</sub> emitted by agricultural sources), past studies suggest that ambient NH<sub>3</sub> concentrations most strongly depend on NH<sub>3</sub> emissions rather than atmospheric processes, 100, 101 suggesting that ignoring non-agricultural NH<sub>3</sub> emissions is likely one of the most important reasons for the low concentration model bias at PD. A quantitative and accurate assessment of NH<sub>3</sub> sources in the urban atmosphere is difficult to obtain solely using the approach described above. Below we demonstrate the complementary use of N isotopes to better constrain NH<sub>3</sub> source contributions at the PD site. Although there is generally not a compelling need to differentiate agricultural vs. non-agricultural emissions contributions in rural areas, the relative contributions of N-

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fertilizer application and livestock feeding are certainly of interest and isotopic signatures are also used to constrain these source contributions at the rural DH site. Isotope-based source apportionment of atmospheric NH<sub>3</sub> requires a well-established pool of NH<sub>3</sub> isotopic source signatures ( $\delta^{15}$ N-NH<sub>3</sub>) to allow a separation of different sources. From a total of 44 NH<sub>3</sub> source samples in our previous study, 65 we have established a pool of isotopic signatures for the major NH<sub>3</sub> emission sources in Eastern China (Table 1). The NH<sub>3</sub> concentrations and  $\delta^{15}$ N values of these samples ranged from 33 to 6211 µg m<sup>-3</sup> and -52.0 to -9.6‰, respectively. Recently, NH<sub>3</sub> slip from coal-fired power plants equipped with selective catalytic reduction (SCR) technology was reported as an important source of NH<sub>3</sub>; thus, its isotopic signature, as reported by Felix et al.<sup>76</sup>, is also considered in this study. Table 1 shows that these NH<sub>3</sub> sources can be clearly classified into four categories by specific isotope signatures: NH<sub>3</sub> emitted from combustion-related sources has relatively high  $\delta^{15}N$  values, allowing them to be distinguished from NH<sub>3</sub> emitted from volatilization processes. The  $\delta^{15}$ N values (mean ± 1σ) of the Shanghai urban PD site environmental samples collected in July and August

of 2015 were -31.72  $\pm$  3.36% (ranging from -36.01% to -25.40%, n = 10), close to the

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376  $\delta^{15}$ N-NH<sub>3</sub> values observed in Beijing (-34.0% to -27.2%, n = 4; a period without strict air 377 quality control measures)<sup>65</sup> and higher than at the rural DH site (-41.03%, -36.53%), 378 suggesting a stronger influence of combustion-related sources in the urban atmosphere. 379 At the rural DH site, our earlier analysis demonstrated that rural NH<sub>3</sub> concentrations can be solely attributed to agricultural NH<sub>3</sub> emissions, i.e., livestock breeding (LB) and 380 381 fertilizer application (FA). Therefore, the isotopic signatures of two sources, i.e., LB and 382 FA, are used as input into the SIAR Bayesian mixing model. The results suggest that on 383 average, LB and FA contribute 51.9% and 48.1% to the measured NH<sub>3</sub> concentrations, 384 respectively (not shown). From the perspective of the emissions inventory, the NH<sub>3</sub> 385 emissions from LB and FA contribute 48% and 40% to the total in Eastern China, respectively,<sup>22</sup> in general agreement with our results. 386 387 At the PD urban site with its more complex NH<sub>3</sub> sources, normal distributions and

variation ranges (within 5 and 95 percentiles) of the relative contribution fractions of

each source to the ambient NH<sub>3</sub> concentrations were estimated and are depicted in Fig.

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7. As a reminder, the availability of only a single isotopic tracer vs. four hypothesized source types, means that there is no unique solution for the system; 102, 103 however, we can identify all possible sets of source contributions that reproduce the observed isotopic signature. The utility of this analysis will depend, to a large extent, on how narrow the source contribution ranges are for each source. In our analysis, fossil fuelrelated sources (FF) and fertilizer application (FA) have relatively low variation ranges (Fig. 7), indicating that they are better constrained than livestock breeding (LB; -31.7% to -27.1%) and urban waste volatilized (UW; -41.9% to -29.9%) sources. This is because the isotopic signatures of LB and UW are distributed in the middle of the source pool, where their contributions to the  $\delta^{15}N$  values of the ambient NH<sub>3</sub> (-36.01%) to -25.40%) are less well constrained. The pie chart in Fig. 7 illustrates the overall mean contribution proportions.. While estimates of the mean values are inherently uncertain, 102 the four source contribution distribution estimates strongly suggest that all four source types make substantial contributions to the NH<sub>3</sub> concentrations measured at the urban PD site. Further, this isotopic analysis lends further confidence to our earlier conclusion from the WRF-CMAQ model vs. observations comparison that nonagricultural sources rival agricultural sources in terms of contributing to ambient  $NH_3$  in the urban atmosphere.

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Fossil fuel-related sources are identified as an important contributor to ambient NH<sub>3</sub> concentrations at PD. Although NH<sub>3</sub> emissions from coal and biomass burning are observed, <sup>26, 30</sup> they are not comparable with the magnitude of vehicular NH<sub>3</sub> emissions and NH<sub>3</sub> slip from SCR-equipped coal-fired power plant (CFPP).<sup>30, 37</sup> Recently, a fiveyear plan was introduced in China to slash coal consumption from CFPP and household sectors.<sup>77</sup> For example, in 2016, all CFPPs in Beijing were replaced with gas-fired power plants to cut pollution. 77 The replacement by the four gas-fired power plants will help cut emissions by 10000 tons of SO<sub>2</sub> and 19000 tons of NO annually.<sup>77</sup> Although NH<sub>3</sub> slip is a common issue with SCR technology used in CFPP for the removal of NO, the mass concentration of NH<sub>3</sub> (typically 3-5 mg NH<sub>3</sub> m<sup>-3</sup>) in flue gases is two or three orders of magnitude smaller than that of NO<sub>x</sub>.77 Therefore, we suspect that the share of NH<sub>3</sub> emissions from SCR-equipped CFPP in urban areas is relatively small and will decrease continuously in China. In the US, it is estimated that 5% of the national NH<sub>3</sub>

emissions are derived from motor vehicles, while this figure is estimated at 12% for the UK, with almost all the remaining NH<sub>3</sub> coming from agricultural processes. <sup>45</sup> In China, all new light-duty vehicles were required to install TWC since 2009. <sup>44</sup> In Table S1, we have provided direct evidence that TWC-equiped vehicles are an important urban source of NH<sub>3</sub>. Thus expanding vehicular NH<sub>3</sub> emissions in urban China can be expected. Indeed, the average contribution of fossil fuel-related sources derived from the Bayesian isotopic mixing model (28.6%) is close to the share of on-road traffic (22.3%) we estimated above based on NH<sub>3</sub> concentration analysis at PD. This suggests that fossil fuel-derived NH<sub>3</sub> concentrations in urban Shanghai are primarily emitted from on-road traffic.

# 4 Implications and outlook

The present study outlines a framework to integrate NH<sub>3</sub> concentration measurements, atmospheric transport modeling, and isotope-based source apportionment to address a long-standing and ongoing controversy regarding sources of NH<sub>3</sub> in the urban atmosphere. We validate the feasibility of this approach by

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application to the Yangtze River Delta region, with a focus on the megacity of Shanghai. Results from a Shanghai passive NH<sub>3</sub> monitoring network (14 locations) reveal a broadly homogeneous distribution of NH<sub>3</sub> concentrations throughout the region and pinpoint urban areas as a hot spot of NH<sub>3</sub>. The acquired data also provide a baseline toward tracking future NH<sub>3</sub> emissions changes. The year-round online measurements of NH<sub>3</sub> at an urban and rural site, and a comparison against concentrations simulated by the WRF-CMAQ chemical transport model, demonstrate that NH<sub>3</sub> in the rural atmosphere can be attributed to emissions from agricultural sources, while there is a significant contribution from non-agricultural NH<sub>3</sub> emissions, particularly vehicular NH<sub>3</sub> emissions, in the urban atmosphere. Isotope-based source apportionment of NH<sub>3</sub> in the urban atmosphere further indicates that non-agricultural NH<sub>3</sub> emissions, missing from the current emission inventory, could well rival agricultural NH<sub>3</sub> emissions in terms of contributing to ambient NH<sub>3</sub>.

Given the central role of NH<sub>3</sub> in the formation of secondary inorganic aerosols and resulting haze, our results are of critical importance for China as it seeks to curb its

severe PM<sub>2.5</sub> pollution. Additional useful investigative steps could include: (1) sensitivity analyses with the WRF-CMAQ model to further diagnose the importance of non-agricultural NH<sub>3</sub> emissions through developing a gridded non-agricultural NH<sub>3</sub> emissions inventory with high time resolution; (2) collecting NH<sub>3</sub> and aerosol NH<sub>4</sub>+ for simultaneously determining the mass concentrations and isotopic compositions at high time resolution; and (3) improving the pool of isotopic source signatures of NH<sub>3</sub> from fuel-related sources.

### ASSOCIATED CONTENT

### Supporting Information.

The following files are available free of charge (PDF). Figure S1. A summary of the average monthly temperature and precipitation in Shanghai. Text S1. Details regarding the method used to collect vehicle-emitted NH<sub>3</sub>. Text S2. Model frame and computing methods of the SIAR (Stable Isotope Analysis in R).

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### 468 Notes

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The authors declare that they have no conflict of interest.

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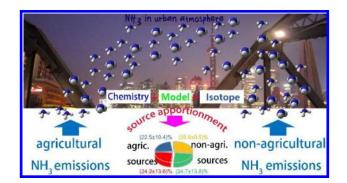
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737 TOC art figure (8 cm\*4.24 cm)

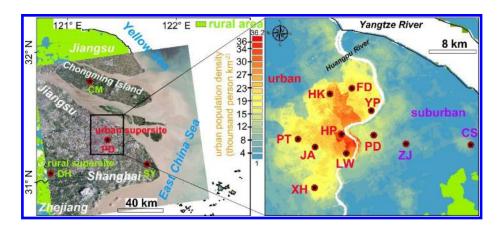


Figure 1. Shanghai passive ammonia monitoring network. The natural-

color satellite image in the left panel shows the urban area of Shanghai in 2016, along with its major island Chongming. The right panel presents the population density in Shanghai, which was retrieved from a newly released high-resolution (100 m × 100 m per pixel) population map of China in 2010 (worldpop.org.uk).

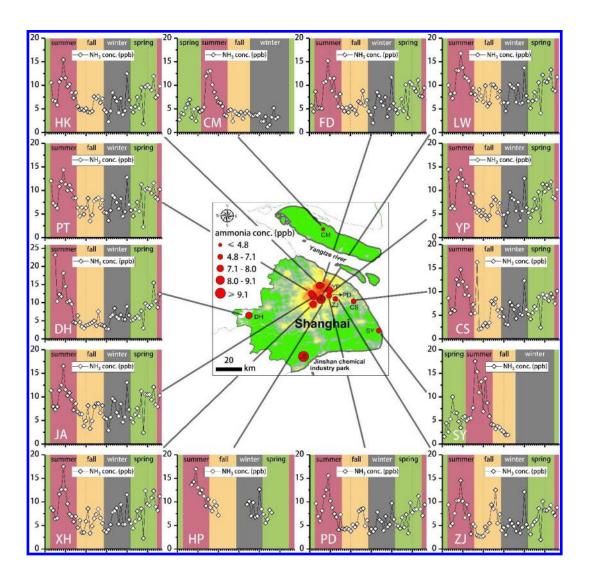


Figure 2. Sample-specific and group-averaged mixing ratios of ambient NH<sub>3</sub> measured with Ogawa passive samplers at fourteen surface locations in Shanghai. Excepting the green color in the map (indicating rural areas), the color scheme is population density with the scale the same as that in Fig. 1 (retrieved from worldpop.org.uk).

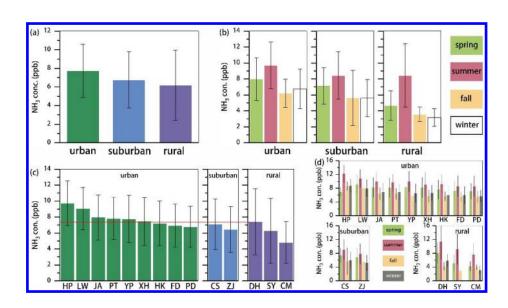
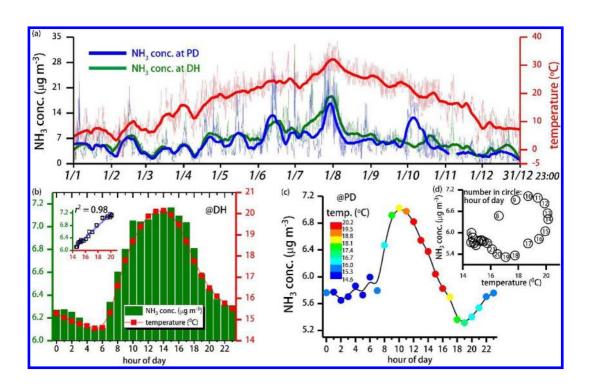


Figure 3. Comparison of the ambient  $NH_3$  concentrations (mean  $\pm 1\sigma$ ) among (a)

different site types (urban/suburban/rural), (b) different seasons

(spring/summer/fall/winter) within a specific site type, (c) different individual sites, and

(d) different seasons (spring/summer/fall/winter) within a specific site.



780 Figure 4. (a) Hourly variations of temperature (red) in Shanghai and NH<sub>3</sub> 781 concentrations at the PD urban site (blue) and DH rural site (green), along with 500-782 point Savitzky-Golay smoothed records from 1 January to 31 December 2015. (b) 783 Diurnal variation of NH<sub>3</sub> concentration and temperature and their correlation at DH rural 784 site in 2015. (c) Diurnal variation of NH<sub>3</sub> concentration (colored by temperature) at the 785 urban PD site in 2015. (d) Scatter plot of diurnal temperature and NH<sub>3</sub> concentration at 786 the urban PD site in 2015. 787 788 789 790

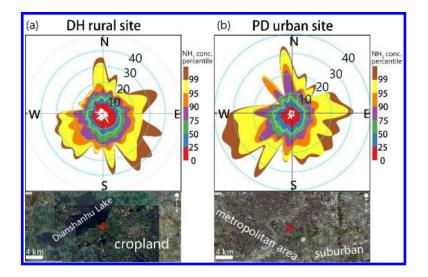


Figure 5. Bivariate polar plots (BPP) of the percentiles of NH<sub>3</sub> concentrations at (a)

rural DH site and (b) urban PD site. The natural-color satellite images below are the

land use maps corresponding to each site.

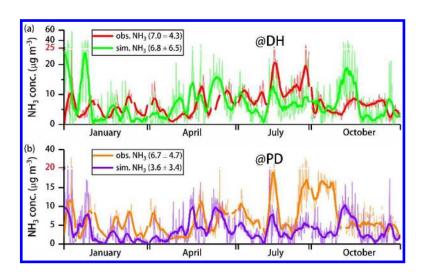


Figure 6. Comparison of hourly observed and simulated  $\ensuremath{\mathsf{NH}}_3$  concentrations at (a) DH

rural site and (b) PD urban site.

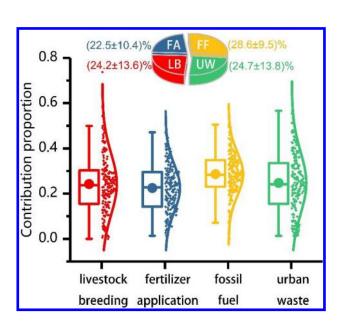


Figure 7. Isotope-based source apportionment of atmospheric NH<sub>3</sub> at PD urban site with the normal distribution and variation range (within 5 and 95 percentiles). **Table 1**. Mass concentrations and isotopic signatures ( $\delta^{15}$ N) of major NH<sub>3</sub> sources.

Category	sub-category	NH <sub>3</sub> (µg m <sup>-3</sup> )	$\delta^{15}$ N-NH $_3$ (‰)	N	reference
livestock breeding (LB)	pig breeding	462.2 to 1502.8	-31.7 to -27.1	7	65
N-fertilizer application (FA)	urea	165.6 to 623.7	-52.0 to -47.6	5	65
urban waste (UW)	solid waste	271.2 to 542.4	-37.6 to -29.9	8	65
	wastewater	127.2 to 258.5	-41.9 to -39.2	8	65
	human excreta	3238.0 to 6211.0	-39.6 to -37.3	8	61
fossil fuel-related (FF)	vehicle (road tunnel)	33.2 to 87.4	-17.8 to -9.6	8	65
	power plant (NH <sub>3</sub> slip)	not available	-14.6, -11.3	2	76

Table 2. Comparison of atmospheric  $NH_3$  concentrations (in ppb) between urban and

## suburban/rural areas in different regions.

location	period	average NH <sub>3</sub> concentration		reference
	•	urban	suburban/rural	_
Shanghai, CN	2014.5-2015.6	7.8	6.8/6.2	this study
Xi'an, CN	2006.4-2007.4	18.6	20.3	83
Beijing, CN	2007.1-2010.7	22.8	10.2	82
Hong Kong, CN	2003.10- 2006.5	10.2	0.2	95
Delhi, IN	2012.10- 2013.9	52.8	65.6	90
Rome, IT	2001.5-2002.3	5.3	3.5	96
Toronto, CA	2003.7-2011.9	2.3-3.0	0.1-4	97