

REGULAR ARTICLE

## Assessment of continuous pain in newborns admitted to NICUs in 18 European countries

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### ABSTRACT

**Aim:** Continuous pain occurs routinely, even after invasive procedures, or inflammation and surgery, but clinical practices associated with assessments of continuous pain remain unknown.

**Methods:** A prospective cohort study in 243 neonatal intensive care units (NICUs) from 18 European countries recorded the frequency of pain assessments, use of mechanical ventilation, sedation, analgesia or neuromuscular blockade for each neonate for up to 28 days after NICU admission.

**Results:** Only 2113 of 6648 (31.8%) of neonates received assessments of continuous pain, occurring variably among tracheal ventilation (TrV, 46.0%), noninvasive ventilation (NiV, 35.0%) and no ventilation (NoV, 20.1%) groups ( $p < 0.001$ ). Daily assessments for continuous pain occurred in only 10.4% of all neonates (TrV: 14.0%, NiV: 10.7%, NoV: 7.6%;  $p < 0.001$ ). More frequent assessments of continuous pain occurred in NICUs with pain guidelines, nursing champions and surgical admissions (all  $p < 0.01$ ), and for newborns <32 weeks gestational age, those requiring ventilation, or opioids, sedatives-hypnotics, general anaesthetics (O–SH–GA) (all  $p < 0.001$ ), or surgery ( $p = 0.028$ ). Use of O–SH–GA drugs increased the odds for pain assessment in the TrV (OR:1.60,  $p < 0.001$ ) and NiV groups (OR:1.40,  $p < 0.001$ ).

**Conclusion:** Assessments of continuous pain occurred in less than one-third of NICU admissions and daily in only 10% of neonates. NICU clinical practices should consider including routine assessments of continuous pain in newborns.

### Abbreviations

C.I., Confidence intervals; CRIB, Clinical Risk Index for Babies; EDIN, Echelle Douleur Inconfort Nouveau-né; EUROPAIN, European-pain-audit-in-neonates; GEE, Generalised estimating equations; IQR, Interquartile range; IUGR, Intrauterine growth retardation; NA, Not applicable; NICUs, Neonatal intensive care units; NiV, Noninvasive ventilation; NoV, No ventilation, that is breathing spontaneously; N-PASS, Neonatal Pain, Agitation and Sedation Scale; NPIs, National Principal Investigators; OR, Odds ratio; O–SH–GA, Opioids, sedative hypnotics or general anaesthetics; PCA, Postconceptual age; QIC, Quasi-likelihood under the Independence model Criterion; SD, Standard deviation; STROBE, Strengthening the reporting of observational studies in epidemiology; TrV, Tracheal ventilation.

### Key notes

- Neonatal pain assessments have previously focused on acute pain associated with skin-breaking procedures, but the importance of assessing continuous pain remains unknown.
- Assessments of continuous pain varied 0–100% in neonatal intensive care units (NICUs), occurring daily in 10.4% of all neonates and at least once during their NICU stay in 31.8% neonates.
- Neonatal pain research, clinical guidelines and bedside practices should also focus on assessments of continuous pain in addition to the assessments for procedural pain.

### INTRODUCTION

All newborns experience acute episodic pain or prolonged, continuous pain during admission to neonatal intensive care units (NICUs) (1,2). Untreated neonatal pain prolongs human suffering and is often associated with short-term and long-term physical, behavioural, or cognitive sequelae (3,4). Conversely, some analgesic drugs can prolong mechanical ventilation (5), delay feedings (6) or impair brain growth and development (7–9). Pain needs to be assessed before treatment, but neonatal pain assessments are time- and labour-intensive and difficult to implement in routine NICU care (10–12).

Bedside nurses make global pain assessments or apply validated pain assessment tools before treating a newborn's pain or discomfort (13,14), but NICU workloads may not allow bedside nurses to assess pain regularly. Most neonatal pain scales were designed to measure acute pain from skin-breaking procedures; these scales may not be clinically relevant for measuring continuous pain (15). Assessments of the continuous pain that follows invasive procedures, or inflammation and surgery, may enhance the quality of pain management, avoid untreated pain vs. unnecessary analgesia, prevent under- or overdosing of analgesics, or development of drug tolerance (16–18).

Continuous pain may be defined as pain lasting beyond the initial episode that causes tissue injury (19), mucosal stimulation (20) or inflammation (4,21). Attempts to define chronic or continuous pain in newborns have not led to consistent or clinically useful definitions (16,17). Identifying continuous pain is important because it may interfere with infant growth, prolong hospitalisation, alter subsequent pain perception and impair cognitive and behavioural development (4,17). Few methods, however, were designed to assess continuous pain (15,22–24) and the application of assessment methods designed using acute pain models to clinical assessments of continuous pain remains controversial (25).

We hypothesized that continuous pain is not assessed routinely during NICU care, but may be assessed more frequently among neonates receiving mechanical ventilation than in neonates breathing spontaneously. Our objectives were to study the frequency of bedside assessments for continuous pain as well as the individual and institutional factors determining the use of these assessments in routine NICU care. We report assessments of continuous pain in 6648 neonates studied in 243 NICUs from 18 European countries.

### METHODS

#### Study design

European-pain-audit-in-neonates (EUROPAIN) was a prospective observational study of clinical practices related to sedation/analgesia and was designed using STROBE guidelines (26). The website ([www.europainsurvey.eu](http://www.europainsurvey.eu)) stored multilingual study materials, instructive videos on completing online questionnaires, documents, progress reports and the complete study protocol (<http://www.europainsurvey.eu/europain-survey-protocol/>). Website links connected authorised users to secure servers (hosted by Voozahoo®; Epiconcept, Paris, France) for data entry into standardised questionnaires.

#### Participating centres

NICU nurses or physicians volunteered as National Principal Investigators (NPIs); each NPI invited participation of all NICUs in their country and provided data on national pain guidelines for neonates. Level III NICUs initiating and performing the full period of mechanical ventilation were eligible for participation; NICUs unable to provide the full range of Level III care were not eligible. A study nurse, data quality manager and physician coordinator were appointed for each unit, providing information on NICU characteristics and local sedation/analgesia protocols. NICUs were queried about the presence of nurses or physicians with specialised knowledge and/or commitment to neonatal pain management; these clinicians were labelled as physician or nurse pain champions.

#### Data collection

During prespecified enrolment periods, all NICU admissions up to 44 weeks postconceptual age were included. Demographic data, modes of ventilation, use of continuous or intermittent sedation/analgesia or neuromuscular blockers, and assessments of continuous pain for each neonate were collected prospectively during the first 28 days of NICU admission, or until death, or hospital discharge. NICUs were specifically asked to record pain assessments performed with pain tools designed for measuring prolonged, continuous pain; two examples of these scales were given on the data collection sheets [e.g. Echelle Douleur Inconfort Nouveau-né (EDIN) scale, COMFORT scale] and NICU staff could record any other pain scales they used for continuous pain. Data collection occurred for one month in all participating NICUs; enrolment periods were staggered such that less than forty (40) NICUs enrolled

patients concomitantly, allowing the coordinating centre to closely monitor data collection at each site. Subject recruitment was authenticated via the NICU admissions logbook. As the study focus was continuous pain (not procedural pain), we specifically collected data on pain assessments carried out with continuous pain tools, recorded which assessment tools were used, and the number of assessments per day. Newborns were included in the pain-assessed group if at least one assessment of continuous pain occurred during their entire NICU stay. NICUs were included in the pain-assessed group if any assessments of continuous pain were recorded from that unit.

### Data quality assurance

A centralised team in Paris monitored completeness and relevance of the data collection. Missing or incongruous data were reported to unit coordinators and locally double-checked. The monitoring team randomly selected 10% of subjects (minimum five patients) and the local data quality manager completely double-checked all these patients. If 1% or more errors occurred, data from another 10% subjects were double-checked; if 1% error rates persisted, all data entries from that NICU were double-checked.

### Regulatory compliance

Study protocols and data collection were first approved by the regulatory bodies for Protection of Human Subjects, Data Protection, and Health Research Data Management in France and then approved by similar committees in each country and at some participating sites. Information sheets were given to parents to explain the de-identified data collection, and they were free to decline their child's participation. In some countries (e.g. Norway), parents were required to give consent for participation. The study was registered at ClinicalTrials.gov (#NCT01694745).

### Sample size

Sample size calculations were based on sedation/analgesia practices (27). We anticipated the participation of at least 15 countries and planned to make comparisons between all countries. We used a chi-square power analysis to calculate the sample size. We expected small differences in sedation or analgesia practices between countries, estimating an effect size ( $W$ ) of 0.1 for calculations. NCSS-PASS® (version 2008; Kaysville, UT, USA) showed that a sample size of 2303 neonates would achieve 90% power to detect an effect size of 0.1 with 14 degrees of freedom (15 centres), using a chi-square test with an  $\alpha$ -error of 0.05. Estimating a small effect size and requiring 90% power ensured adequate sample size, thus minimising  $\beta$ -error.

### Data analyses

Data analyses used SPSS® v17 (Chicago, IL, USA) for descriptive and multivariable analyses. We used a generalised estimating equation (GEE) multivariable model (28) with country or site as the clustering unit. In all neonates, and separately, in ventilated neonates, the clinical factors

correlated with NICU pain assessments ( $p \leq 0.05$ ) were included in GEE models. The GEE model fit was assessed by the quasi-likelihood under the independence model criterion (QIC) (29). Results of GEE models are presented as point-estimate odds ratios (OR) with two-sided 95% confidence intervals (C.I.). To assess associations between pain assessments and use of opioids, sedative hypnotics or general anaesthetics (O-SH-GA), we analysed data from patient-days with or without O-SH-GA using Mantel-Haenszel chi-square tests, where modes of ventilation (TrV, NiV) by day were the strata. Two-tailed  $p$ -values of 0.05 or less were deemed significant.

## RESULTS

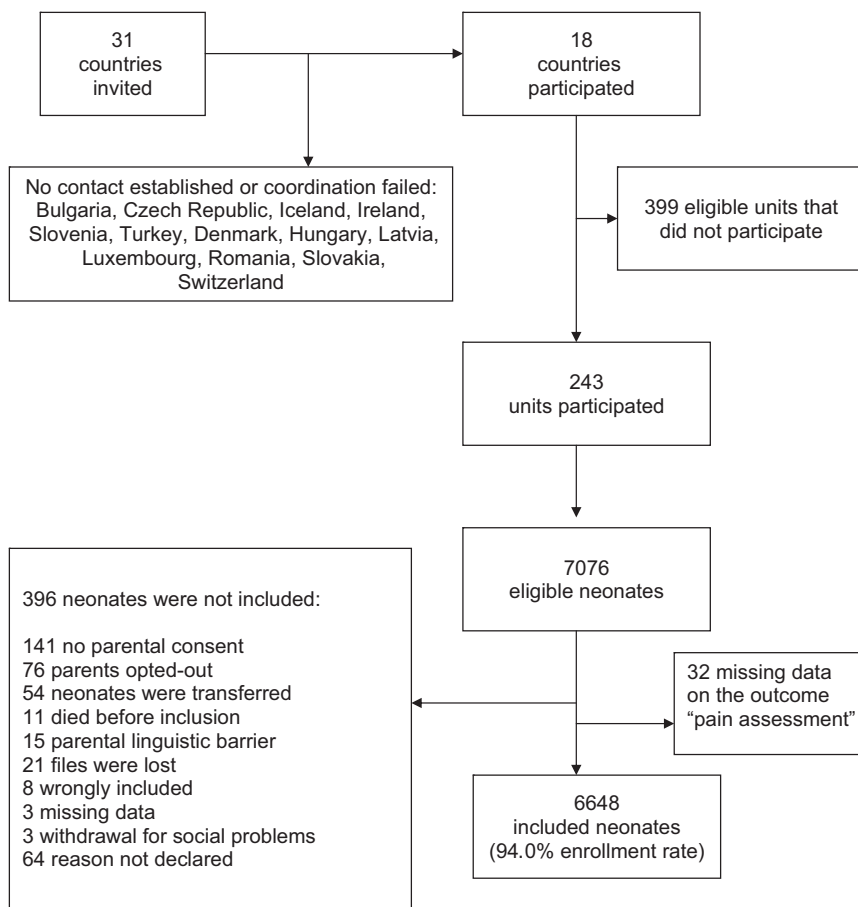
### Study population

From October 1, 2012, to June 30, 2013, we enrolled 6648 neonates eligible for this study (Fig. 1). Highest levels of ventilation during the study period classified patients into tracheal ventilation (TrV,  $n = 2138$ ), noninvasive ventilation (NiV,  $n = 1493$ ) and spontaneous ventilation groups (NoV,  $n = 3017$ ); patient characteristics are listed in Table 1 and their distribution among participating countries is listed in Table S1 (Supporting Information). Guidelines for neonatal pain management were available from six countries (33%) and locally, from 182 NICUs (75%). The mean (S.D.) period of study participation was 11.9 (9.7) calendar days. Using data from 78 740 patient-days of observation, units reported continuous pain assessment in 2838 neonates. Further detailed analyses showed that 725 neonates had pain assessed only using procedural pain tools and were thus excluded from this analysis.

### Clinical practices

Only 2113 of 6648 neonates (31.8%) received assessments of continuous pain at least once during their NICU stay, with 2 (1–4) (median [IQR]) pain assessments per day (Table 2). Continuous pain assessments occurred in the NICU for 984 of 2138 (46.0%) newborns in the TrV, 523 of 1493 (35.0%) NiV and 606 of 3017 (20.1%) NoV groups ( $p < 0.001$ ) (Table 1). To correct for the variable numbers of subjects enrolled from each country, we weighted the pain assessment rates by the number of enrolled newborns per 10 000 births in that country (Table S1). The weighted pain assessment rates were 36.0% for all infants, 46.6% for TrV, 41.6% for NiV and 22.3% for NoV groups, showing substantially similar findings.

Daily pain assessments occurred only in 10.4% (689/6648) patients, including 14% TrV patients (300/2138), 10.7% NiV patients (160/1493) and 7.6% NoV patients (229/3017;  $p < 0.001$ ). Assessments of continuous pain occurred commonly in French (100%), Dutch (80%) or Belgian (75%) NICUs, but did not occur in five countries. Across participating countries, pain assessments for continuous pain ranged from 6% to 90% in the TrV group, from 0% to 87% in the NiV group and from 0% to 84% in the NoV group (Table 2). On average, individual newborns received 0.8 to 6.7 pain assessments per day (Table 2).



**Figure 1** Flow chart of the countries invited, the participating NICUs ('units'), patients assessed and those enrolled in this study. All neonates with pain assessment outcome data were included in our analyses; missing data were not imputed.

Moreover, many different tools were used to assess continuous pain; the EDIN scale was used most frequently (1199/2113, 56.7%); other scores used commonly included the COMFORTneo behaviour scale (19.7%), the N-PASS (Neonatal Pain, Agitation and Sedation Scale; 13.2%), and the COMFORT scale (10.1%) (Table S2).

### NICU characteristics

NICU characteristics increasing the assessment of continuous pain included the availability of local guidelines, physician or nurse champions, pain consult services, number of surgical admissions and ventilator-days per year (univariable analyses; Table 3). Multivariable GEE models using country as a cluster showed that local pain assessment guidelines (OR: 3.96), nurse pain champions (OR: 2.54) and surgical admissions (OR: 1.01) prompted greater use of continuous pain assessments (all  $p < 0.01$ ).

### Newborn characteristics

In univariable analyses, patient characteristics influencing pain assessments in all neonates were as follows: gestational age, birthweight, outborn status, age at admission, Clinical Risk Index for Babies scores (CRIB), one-minute and five-minute Apgar scores, intubation at admission, surgical

condition, respiratory distress syndrome, ventilation status, use of O-SH-GA drugs and hospital length of stay (Table 4). Multivariable modelling using NICUs as cluster showed higher odds of pain assessments associated with prematurity (24–29 weeks OR: 1.92; 30–32 weeks OR: 2.11, both  $p < 0.001$ ), intubation at admission (OR: 1.97,  $p < 0.001$ ), need for surgery (OR: 2.14,  $p = 0.028$ ), noninvasive ventilation (OR: 1.88,  $p < 0.001$ ) and use of O-SH-GA drugs (OR: 1.99,  $p < 0.001$ ), but lower odds with inborn status (OR: 0.67,  $p = 0.023$ ) and higher CRIB scores (OR: 0.95,  $p = 0.013$ ) (Table 4). More frequent pain assessments occurred specifically on those patient-days associated with TrV (42.3% vs. 25.5%,  $p < 0.001$ ) or the use of O-SH-GA drugs (45.6% vs. 26.4%,  $p < 0.001$ ) (Fig. 2).

Among tracheally ventilated newborns, patient characteristics influencing continuous pain assessments in univariable analyses included outborn status, CRIB scores, five-minute Apgar scores, intubation at admission, surgical condition, respiratory distress syndrome, use of O-SH-GA and duration of mechanical ventilation. Multivariable GEE modelling showed that the odds of pain assessments increased with intubation at admission (OR: 2.00,  $p < 0.001$ ) and O-SH-GA use (OR: 1.45,  $p = 0.008$ ), but decreased with higher CRIB scores (OR: 0.93,  $p = 0.001$ ) (Table 5).

**Table 1** Baseline characteristics and rates of continuous pain assessment

	Total n = 6648	Tracheal ventilation n = 2138	Noninvasive ventilation n = 1493	Spontaneous ventilation n = 3017	p Value*
<b>Patient characteristics</b>					
Gestational age (weeks, mean $\pm$ SD)	35.0 $\pm$ 4.6	32.7 $\pm$ 5.2	33.8 $\pm$ 3.8	37.3 $\pm$ 3.1	<0.001
24–29, number (%)	1045 (15.7)	775 (36.3)	214 (14.3)	56 (1.9)	<0.001 <sup>†</sup>
30–32, number (%)	1011 (15.2)	360 (16.8)	451 (30.2)	200 (6.6)	–
33–36, number (%)	1853 (27.9)	389 (18.2)	486 (32.6)	978 (32.4)	–
37–42, number (%)	2737 (41.2)	613 (28.7)	342 (22.9)	1782 (59.1)	–
Birthweight (grams) Mean $\pm$ SD	2385 $\pm$ 1008	1950 $\pm$ 1035	2133 $\pm$ 892	2817 $\pm$ 856	<0.001
Male, number (%)	3753 (56.5)	1257 (58.8)	841 (56.3)	1655 (54.9)	0.087
Inborn, number (%)	5340 (80.3)	1457 (68.1)	1306 (87.5)	2577 (85.4)	<0.001
Type of delivery – number (%)					
Vaginal	3051 (46.0)	877 (41.3)	569 (38.1)	1605 (53.3)	<0.001
Caesarean	3577 (54.0)	1247 (58.7)	922 (61.8)	1408 (46.7)	–
Age at admission (hr, median (IQR))	1.0 (0.3–12.1)	0.8 (0.3–8.3)	0.5 (0.2–1.7)	3.0 (0.4–26.8)	<0.001
CRIB score <sup>‡</sup> (median (IQR))	0 (0–2)	2 (1–5)	0 (0–1)	0 (0–0)	<0.001
Five-minute Apgar Score (mean $\pm$ SD)	8.4 $\pm$ 1.9	7.4 $\pm$ 2.4	8.5 $\pm$ 1.4	9.0 $\pm$ 1.3	<0.001
Intubated at admission, number (%)	1372 (20.6)	1372 (64.2)	NA	NA	NA
Died during study, number (%)	210 (3.2)	200 (9.4)	3 (0.2)	7 (0.2)	<0.001
NICU length of stay (days) <sup>§</sup> (median (IQR))	8 (3–20)	14 (6–28)	11 (5–26)	5 (3–11)	<0.001
Pain assessments, number (%) <sup>¶</sup>	2113 (31.8)	984 (46.0)	523 (35.0)	606 (20.1)	<0.001

NICU = Neonatal intensive care unit; NA = Not applicable; CRIB = Clinical Risk Index for Babies.

\*Comparisons of the three types of ventilation were made with chi-square (Fisher's exact test when required), ANOVA or Kruskal–Wallis test.

<sup>†</sup>Chi-square for distributions in all strata of gestational ages within the three ventilation groups.

<sup>‡</sup>The CRIB score is a measure of illness severity, based on clinical data from the first 12 hours after birth (range: 0–23, higher scores indicate great risk of mortality).

<sup>§</sup>Data collection was stopped on day 28 of hospital stay; 1036 (15.6%) of 6647 neonates were hospitalised for longer than 28 days. Discharge data were missing for one patient.

<sup>¶</sup>Only assessments carried out with continuous, ongoing pain scales were included.

### Are pain assessments associated with sedation/analgesia in ventilated newborns?

In the TrV group, of the 1287 neonates who received O-SH-GA drugs, 660 (51.3%) had at least one pain assessment during their NICU stay. We analysed 33 625 patient-days in this group to test for associations between assessments of continuous pain and the use of O-SH-GA drugs. During TrV, pain assessment rates on patient-days with and without O-SH-GA use were, respectively, 46.0% vs. 34.7% ( $p < 0.001$ ), with O-SH-GA use prompting 1.60-fold greater odds of pain assessments (95% C.I. 1.48–1.73,  $p < 0.001$ ). When TrV group neonates were not receiving mechanical ventilation, pain assessment rates on patient-days with and without O-SH-GA use were, respectively, 41.7% vs. 24.7% ( $p < 0.001$ ), showing 2.18-fold greater odds of pain assessments (95% C.I. 1.91–2.48,  $p < 0.001$ ) with O-SH-GA use. In the TrV group, 1287 neonates who received continuous O-SH-GA drugs; 518 (40.2%) had pain assessments on *the day of* starting O-SH-GA and another 100 (7.8%) had pain assessments on the day *after* starting O-SH-GA drugs.

On analysing 21 130 patient-days in the NiV group, rates of bedside pain assessments on patient-days with and without O-SH-GA were, respectively, 36.8% vs. 29.9% ( $p = 0.024$ ) while receiving NiV, and 19.6% vs. 13.9% while not receiving NiV ( $p = 0.092$ ). The odds of continuous pain

assessments were 1.40-fold greater (95% C.I. 1.10–1.78) on patient-days associated with O-SH-GA use.

## DISCUSSION

### Statement of principal findings

We report the first international, prospective observational study investigating neonatal pain assessment practices in European NICUs. Neonatal pain guidelines recommend routine pain assessments scheduled every 4–6 hours each day (30,31), but only 10% of neonates received daily assessments of continuous pain. More than two-thirds of all neonates and more than half of tracheally ventilated neonates received no assessments of continuous pain during their entire NICU stay! This reveals a significant gap between recommended (30,31) and bedside practices for neonatal pain assessment. Pain assessments varied from 0% to 100% across the three ventilation groups, the 243 NICUs, and the 18 countries; therefore, we identified the individual and institutional characteristics associated with pain assessments. We used GEE methods for multivariable analyses to incorporate binary and continuous data, weighted and nonweighted observations, as well as more complex interactions between the variables in our database (32). NICUs with local pain management guidelines, nursing champions, and increased surgical admissions

**Table 2** Frequency of continuous pain assessments in participating countries and by ventilation group

Country	Centres performing pain assessment* Number (%)	Number of pain assessments per neonate per day <sup>†</sup> Mean (SD)	Neonates with at least one pain assessment during NICU stay, number (%)				p Value <sup>‡</sup>
			All neonates n = 6648	Tracheal ventilation n = 2138	Noninvasive ventilation n = 1493	Spontaneous ventilation n = 3017	
Austria	1/4 (25.0)	0.8 (0.7)	31/73 (42.5)	8/22 (36.4)	20/32 (62.5)	3/19 (15.8)	0.004
Belgium	3/4 (75.0)	1.3 (1.4)	29/128 (22.7)	16/37 (43.2)	9/51 (17.6)	4/40 (10.0)	0.001
Cyprus	0/1 (0.0)	0	0/84 (0.0)	0	0	0	–
Estonia	0/2 (0.0)	0	0/22 (0.0)	0	0	0	–
Finland	1/6 (16.7)	2.3 (0.8)	18/201 (9.0)	3/52 (5.8)	5/45 (11.1)	10/104 (9.6)	0.619
France	34/34 (100.0)	2.6 (1.8)	779/885 (88.0)	445/493 (90.3)	192/222 (86.5)	142/170 (83.5)	0.047
Germany	1/4 (25.0)	0.8 (0.8)	2/126 (1.6)	2/29 (6.9)	0/17 (0.0)	0/80 (0.0)	0.033
Greece	0/13 (0.0)	0	0/455 (0.0)	0	0	0	–
Italy	20/28 (71.4)	1.4 (1.4)	236/422 (55.9)	93/131 (71.0)	84/150 (56.0)	59/141 (41.8)	<0.001
Lithuania	0/1 (0.0)	0	0/45 (0.0)	0	0	0	–
Malta	0/1 (0.0)	0	0/28 (0.0)	0	0	0	–
The Netherlands	4/5 (80.0)	1.6 (0.8)	166/208 (79.8)	56/69 (81.2)	55/68 (80.9)	55/71 (77.5)	0.832
Norway	3/16 (18.8)	1.1 (0.9)	29/334 (8.7)	3/35 (8.6)	7/94 (7.4)	19/205 (9.3)	0.874
Poland	2/8 (25.0)	2.7 (1.3)	30/83 (36.1)	11/50 (22.0)	14/25 (56.0)	5/8 (62.5)	0.004
Portugal	10/14 (71.4)	3.1 (2.6)	140/236 (59.3)	36/55 (65.5)	29/54 (53.7)	75/127 (59.1)	0.457
Spain	5/30 (16.7)	1.8 (1.3)	28/468 (6.0)	14/202 (6.9)	8/149 (5.4)	6/117 (5.1)	0.750
Sweden	2/6 (33.3)	6.7 (3.9)	27/160 (16.9)	21/38 (55.3)	4/47 (8.5)	2/75 (2.7)	<0.001
United Kingdom	30/66 (45.5)	5.7 (6.0)	598/2690 (22.2)	276/713 (38.7)	96/438 (21.9)	226/1539 (14.7)	<0.001
Total	116/243 (47.7)	3.3 (3.9)	2113/6648 (31.8)	984/2138 (46.0%)	523/1493 (35.0)	606/3017 (20.1)	<0.001

\*At least in one neonate.

<sup>†</sup>In neonates who had at least 1 assessment for continuous pain during their entire NICU stay.<sup>‡</sup>Comparisons of three types of ventilation using chi-square tests.

performed assessments of continuous pain more frequently. Pain assessments also occurred more frequently among newborns <32 weeks gestational age, those requiring surgery, mechanical ventilation, use of opioids (morphine, fentanyl, sufentanil), sedatives-hypnotics (midazolam, lorazepam, barbiturates) or general anaesthetics (ketamine, propofol) in the NICU. Assessments of continuous pain in ventilated neonates were more likely on the patient-days associated with use of opioids, sedatives/hypnotics or general anaesthetics (Fig. 2).

### Strengths and weaknesses of this study

Assessments of continuous pain were associated with greater severity of illness, because they occurred more frequently in newborns with extreme prematurity, those requiring intubation at admission, or surgical interventions, or tracheal and noninvasive ventilation during their NICU stay. Neonates with higher CRIB scores, however, had less frequent pain assessments. This discrepancy may occur because the CRIB score was designed to measure risk of mortality from clinical factors at the time of NICU admission (33), and it does not reflect severity of illness during the entire NICU stay.

Pain assessments occurred more frequently following use of O-SH-GA drugs among all neonates, tracheally ventilated neonates and noninvasively ventilated neonates. Pain assessments were also more likely on the patient-days when these drugs were used (Fig. 2). Even among newborns receiving continuous infusions of O-SH-GA drugs,

however, only 48% had assessments of continuous pain on the same day or the day after starting these drugs. To limit the data collection burden on participating NICUs, the timing of pain assessments or drug administration was not recorded; therefore, our data do not permit more detailed analyses of the relationships between pain assessments and therapeutic decision-making.

Another limitation could be that participating NICUs do not represent national practices in each country. Because of differences in the number of participating NICUs (and subjects) across the different countries (Table S1), we performed sensitivity analyses to weight the pain assessment results with the proportion of neonates enrolled per 10 000 live births in each country. The results of these analyses were substantially unchanged, thus suggesting external validity for the European countries participating in this study. While we acknowledge this limitation, other than mandatory data collection (often with suspect data quality), we had no practical options to overcome this limitation. Level III NICUs with relatively high patient volumes participated in all countries, not only representing a snapshot of the most advanced practices in each country, but also allowing us to sample on average about 0.15% of all births per year (Table S1).

A putative 'Hawthorne effect' (34) could have altered pain assessment practices during study enrolment, but this would be difficult to maintain during 24/7 data collection over a one-month period. Another limitation is that these results were based on documentation of bedside pain assessments.

**Table 3** NICU characteristics associated with assessments of continuous pain

	All centres				
	Univariable analysis*			Multivariable analysis <sup>†</sup>	
	No pain assessment (n = 110) n (%) <sup>‡</sup>	Pain assessment (n = 93) n (%) <sup>‡</sup>	Chi-square or Mann–Whitney U-test	Odds ratio (95% CI)	p Value
Local neonatal pain assessment guidelines in 2012					
No (n = 80)	61 (76.3)	19 (23.8)	<0.001	1 <sup>§</sup>	<0.001
Yes (n = 123)	49 (39.8)	74 (60.2)		3.96 (2.11–7.46)	
Local neonatal pain/sedation treatment guidelines in 2012					
No (n = 50)	37 (74.0)	13 (26.0)	0.001	Not Included <sup>¶</sup>	
Yes (n = 153)	73 (47.7)	80 (52.3)			
Physician pain champion**					
No (n = 127)	83 (65.4)	44 (34.6)	<0.001	Not Included <sup>††</sup>	
Yes (n = 76)	27 (35.5)	49 (64.5)			
Nurse pain champion**					
No (n = 107)	72 (67.3)	35 (32.7)	<0.001	1 <sup>§</sup>	0.009
Yes (n = 96)	38 (39.6)	58 (60.4)		2.54 (1.27–5.11)	
Hospital pain management team or consult service					
No (n = 71)	50 (70.4)	21 (29.6)	0.001	1 <sup>§</sup>	0.510
Yes (n = 132)	60 (45.5)	72 (54.5)		1.36 (0.55–3.38)	
Parents allowed 24 hours a day					
No (n = 41)	22 (53.7)	19 (46.3)	0.939	Not Included <sup>‡‡</sup>	
Yes (n = 162)	88 (54.3)	74 (45.7)			
Number of beds in the unit (n = 203)	20 (14–29) <sup>§§</sup>	18 (13–26) <sup>§§</sup>	0.352	Not Included <sup>‡‡</sup>	
Number of medical admissions per year (n = 203)	453 (300–631) <sup>§§</sup>	384 (277–600) <sup>§§</sup>	0.192	Not Included <sup>‡‡</sup>	
Number of surgical admissions per year (n = 203)	0 (0–30) <sup>§§</sup>	20 (0–69) <sup>§§</sup>	<0.001	1.01 (1.00–1.02)	0.007
Number of ventilator-days per year (n = 203)	312 (115–701) <sup>§§</sup>	672 (216–1515) <sup>§§</sup>	<0.001	1.00 (1.00–1.00)	0.178

NICU = Neonatal intensive care unit.

\*Univariate analysis data are for the 203 centres that were included in the multivariable analysis. Other centres were eliminated because of missing data.

<sup>†</sup>Generalised estimation equation model with country as the cluster.

<sup>‡</sup>Unless indicated otherwise.

<sup>§</sup>Reference category.

<sup>¶</sup>Not included in the model because this variable was highly correlated with local neonatal pain assessment guidelines.

\*\*Locally designated.

<sup>††</sup>Not included in the model because this variable was highly correlated with the presence of a nurse pain champion.

<sup>‡‡</sup>Not included in the model because this variable was not significant in univariate analysis.

<sup>§§</sup>Median (IQR).

NICU nurses may rigorously record the use of pain medications, whereas pain assessments and nonpharmacological interventions may be recorded less rigorously. Many NICUs require the regular charting of bedside pain scores every 4–6 hours with the patient's vital signs. Data collection occurred from any existing record, including patient notes, nursing flowsheet at bedside or other sources. We believe that all pain assessments occurring at the bedside were recorded in our data collection.

Despite the aforementioned limitations, this is the largest study to date, using prospective data collection, robust data quality assurance, enrolling 94% of all eligible neonates, accounting for all nonenrolled neonates, while overcoming the language, cultural and research regulatory barriers in 18 countries. Thus, it represents the most comprehensive glimpse into the current bedside pain assessment practices in NICUs.

### Strengths and weaknesses in relation to other studies

NICU nurses are primarily responsible for bedside pain assessments in neonates although some have questioned the utility (35) and validity (36) of these assessments. An alternative approach calls for using pain scores for research studies and pain detection for clinical care (37), but it still does not address the need for assessing continuous pain. Well-known weaknesses in the current paradigm for neonatal pain assessments include their subjectivity, low inter-rater reliability and other concerns (10,15,18,38–40). Sedatives and neuromuscular blockers may also mask the behavioural signs of continuous pain (27). Despite the weaknesses and caveats of neonatal pain assessment tools, we posit that routine assessments of continuous pain will improve individualised pain management (18,41).

Of the currently available pain assessment tools, only the EDIN (22), COMFORTneo (24), ALPS-Neo (Astrid

**Table 4** Patient characteristics associated with assessments of continuous pain in all neonates

	Univariable analysis*		Multivariable analysis†	
	Pain assessment not performed n = 4354	Pain assessment performed‡ n = 1949	p Value§	Odds ratio (95% CI) p Value
Sex				
Male, number (%), n = 3559	2445 (68.7)	1114 (31.3)	0.449	Not Included¶
Female, number (%), n = 2739	1906 (69.6)	833 (30.4)		
Gestational age (weeks)				
37–42, number (%), n = 2599	1951 (75.1)	648 (24.9)	<0.001	1**
33–36, number (%), n = 1771	1305 (73.7)	466 (26.3)		1.10 (0.94–1.30) 0.232
30–32, number (%), n = 967	559 (57.8)	408 (42.2)		2.11 (1.60–2.80) <0.001
24–29, number (%), n = 966	539 (55.8)	427 (44.2)		1.92 (1.39–2.64) <0.001
Birthweight (grams), n = 6302; Mean (SD)	2505 (991)	2151 (996)	<0.001	Not Included††
IUGR				
No, number (%), n = 5204	3619 (69.5)	1585 (30.5)	0.083	Not Included¶
Yes, number (%), n = 1093	731 (66.9)	362 (33.1)		
Inborn				
No, number (%), n = 1137	674 (59.3)	463 (40.7)	<0.001	1** 0.023
Yes, number (%), n = 5166	3680 (71.2)	1486 (28.8)		0.67 (0.48–0.95)
Age at admission (hours)				
>168, number (%), n = 459	289 (63.0)	170 (37.0)	<0.001	1**
73–168, number (%), n = 244	192 (78.7)	52 (21.3)		0.73 (0.48–1.11) 0.143
25–72, number (%), n = 472	345 (73.1)	127 (26.9)		1.09 (0.83–1.43) 0.533
7–24, number (%), n = 709	518 (73.1)	191 (26.9)		0.96 (0.66–1.39) 0.820
<7, number (%), n = 4419	3010 (68.1)	1409 (31.9)		1.22 (0.91–1.63) 0.182
CRIB score‡‡, n = 6303; Median (IQR)	0 (0–1)	1 (0–2)	<0.001	0.95 (0.92–0.99) 0.013
Apgar Score at one minute, n = 6284; Median (IQR)	8 (6–9)	7 (5–9)	<0.001	Not Included§§
APGAR at five minutes, n = 6303; Median (IQR)	9 (8–10)	9 (7–10)	0.002	1.03 (0.99–1.08) 0.103
Already or immediately intubated at admission				
No, number (%), n = 5038	3711 (73.7)	1327 (26.3)	<0.001	1** <0.001
Yes, number (%), n = 1265	643 (50.8)	622 (49.2)		1.97 (1.52–2.56)
Surgery				
No, number (%), n = 6192	4306 (69.5)	1886 (30.5)	<0.001	1** 0.028
Yes, number (%), n = 111	48 (43.2)	63 (56.8)		2.14 (1.09–4.22)
Respiratory distress syndrome				
No, number (%), n = 4424	3147 (71.1)	1277 (28.9)	<0.001	1** 0.031
Yes, number (%), n = 1879	1207 (64.2)	672 (35.8)		0.77 (0.61–0.98)
Respiratory support¶¶				
Spontaneous ventilation, number (%), n = 2862	2302 (80.4)	560 (19.6)	<0.001	1**
Noninvasive ventilation, number (%), n = 1448	949 (65.5)	499 (34.5)		1.88 (1.41–2.51) <0.001
Tracheal ventilation, number (%), n = 1993	1103 (55.3)	890 (44.7)		1.29 (0.97–1.70) 0.079
O–SH–GA drugs (continuous and/or bolus)				
No, number (%), n = 4503	3381 (75.1)	1122 (24.9)	<0.001	1** <0.001
Yes, number (%), n = 1800	973 (54.1)	827 (45.9)		1.99 (1.55–2.54)
Length of NICU stay (days)***, n = 6303; Median (IQR)	8 (3–18)	9 (4–24)	<0.001	0.99 (0.97–1.00) 0.074

NICU = Neonatal intensive care unit; O–SH–GA = Opioids, sedatives/hypnotics, or general anaesthetics; IUGR = Intrauterine growth retardation; CRIB = Clinical Risk Index for Babies; CI = Confidence interval; SD = Standard deviation; IQR = Interquartile range.

\*Univariable analysis data for 6303 neonates that were included in the multivariable analysis.

†Generalised estimating equations model with NICU as cluster.

‡At least one continuous pain assessment during the entire NICU stay.

§Comparisons were made with chi-square tests (Fisher's exact test when required), *t*-tests or Mann–Whitney tests as appropriate.

¶Not included in the model because this variable was not significant in univariate analysis.

\*\*Reference category.

††Not included in the model because this variable was highly correlated with gestational age.

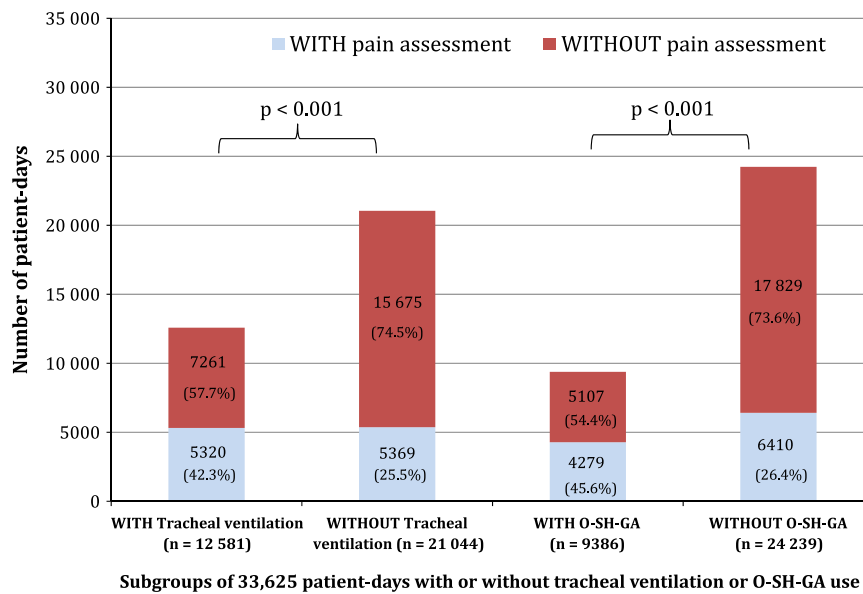
‡‡Odds ratio per point increase in CRIB score. The CRIB score is a measure of illness severity in neonates. It consists of six items collected in the first 12 hours after birth (range: 0–23, higher scores indicate great clinical risk of mortality).

§§Not included in the model because this variable was highly correlated with Apgar scores at five minutes.

¶¶Patients were classified in three groups according to the highest level of ventilation they received during the study period.

\*\*\*Data collection was stopped on day 28 of hospital stay; 989 (15.7%) of 6303 neonates were hospitalised for longer than 28 days.





**Figure 2** Frequency of assessments of continuous pain in the tracheal ventilation (TrV) group on patient-days with or without TrV, or with or without the use of O-SH-GA drugs. Data from all ventilated neonates (n = 2138) were analysed for the 33 625 patient-days of observation. p Values are based on chi-square tests.

Lindgren's Children's Hospital Pain Scale) (23) and the Neonatal Pain, Agitation and Sedation Scale (N-PASS) (42) were designed to assess continuous pain. To develop the EDIN scale, a panel of experts assessed video recordings of neonates with prolonged pain (e.g. necrotising enterocolitis, postoperative pain) for behavioural indicators of pain (facial activity, body movements, quality of sleep, quality of contact with nurses, consolability). It showed acceptable inter-rater reliability, high internal consistency and significant differences between painful and nonpainful conditions, suggesting preliminary construct validity (22). van Dijk et al. adapted COMFORT scale to develop the COMFORTneo scale for newborns with prolonged pain, which appeared to be a promising tool but requires additional studies to support its validity and clinical utility (24). Similarly, Lundqvist et al. adapted the ALPS-1 scale to develop the ALPS-Neo using five behavioural parameters, including facial expressions, breathing pattern, limb muscle tone, hand/foot activity and level of activity to assess continuous pain in neonates (23). They reported acceptable reliability and face validity, although this tool also requires further validation (23). The N-PASS was designed to assess pain and sedation in neonates with postoperative pain or mechanical ventilation and showed adequate inter-rater reliability, convergent and discriminate validity (42), and clinical utility (43). It was later applied to acute pain with similar results (44). Despite the availability of these scales, continuous pain lacks a consistent definition.

Pillai-Riddell et al. (16) interviewed experienced clinicians to define chronic pain in infancy. Their qualitative analysis suggested that inability to settle, social withdrawal, constant grimacing, tense body, hypo- or hyper-reactivity to acute pain, dysregulated sleep or feeding patterns could serve as potential indicators for chronic pain. Secondary analyses

from the NEOPAIN trial suggested that facial expressions of pain, high activity levels, poor response to handling and poor ventilator synchronicity were most frequently associated with continuous pain in preterm newborns  $\leq 32$  weeks of gestation (15). Although both studies found some overlap with EDIN parameters, they were not used to develop novel assessment tools for persistent pain in neonates.

Although the PIPP and CRIES scales have been tested in settings of postoperative pain, their construct validity as tools to assess continuous pain remains unproven (25,45). Our finding of infrequent assessments of continuous pain is not surprising in the context of few assessment tools available and relative lack of across-the-board validity data for these methods (18,41). Furthermore, the validity of these scales when translated into the different languages spoken in the participating countries has not been established.

### Meaning of the study

Infrequent and highly variable assessments of continuous pain in newborns may contribute to analgesic complications (46), oversedation (47) or tolerance/withdrawal (48). Given the limitations and controversies reviewed above, the variability noted in this study is not unexpected and mirrors similar findings in adult patients (49). Our data show that local NICU guidelines and local nurse champions substantially increased the odds for pain assessment, whereas the availability of pain consult services did not (Table 3). All NICUs should develop standardised approaches for neonatal pain and identify experienced nurses to lead this effort. Most NICUs had local neonatal pain guidelines, but only a third of the participating countries had national guidelines. Policy-makers at the European Medicines Agency and/or European professional societies should consider developing neonatal pain guidelines for NICUs in all European countries.

**Table 5** Patient characteristics associated with assessments of continuous pain assessments in tracheally ventilated newborns

	Univariable analysis*			Multivariable analysis†	
	Pain assessment not performed n = 1104	Pain assessment performed‡ n = 890	p Value§	Odds ratio (95% CI)	p Value
Sex					
Male, number (%), n = 1174	642 (54.7)	532 (45.3)	0.428	Not Included¶	
Female, number (%), n = 818	462 (56.5)	356 (43.5)			
Gestational age (weeks)					
37–42, number (%), n = 571	322 (56.4)	249 (43.6)	0.077	Not Included¶	
33–36, number (%), n = 372	224 (60.2)	148 (39.8)			
30–32, number (%), n = 338	186 (55.0)	152 (45.0)			
24–29, number (%), n = 712	371 (52.1)	341 (47.9)			
Birthweight (grams), n = 1994; Mean (SD)	2002 (1042)	1920 (1022)	0.079	Not Included¶	
IUGR					
No, number (%), n = 1669	925 (55.4)	744 (44.6)	0.962	Not Included¶	
Yes, number (%), n = 322	178 (55.3)	144 (44.7)			
Inborn					
No, number (%), n = 588	284 (48.3)	304 (51.7)	<0.001	1**	0.297
Yes, number (%), n = 1406	820 (58.3)	586 (41.7)		0.83 (0.59–1.18)	
Age at admission (hours)					
>168, number (%), n = 172	84 (48.8)	88 (51.2)	0.064	Not Included¶	
73–168, number (%), n = 45	27 (60.0)	18 (40.0)			
25–72, number (%), n = 88	42 (47.7)	46 (52.3)			
7–24, number (%), n = 214	109 (50.9)	105 (49.1)			
<7, number (%), n = 1475	842 (57.1)	633 (42.9)			
CRIB score††, n = 1994; Mean (SD)	3.4 (3.7)	3.0 (3.1)	0.015	0.93 (0.90–0.97)	0.001
APGAR at one minute, n = 1989; Median (IQR)	6 (4–8)	6 (3–8)	0.431	Not Included¶	
APGAR at five minutes, n = 1994; Median (IQR)	8 (6–9)	8 (6–9)	0.020	1.04 (0.99–1.09)	0.119
Already or immediately intubated at admission					
No, number (%), n = 729	461 (63.2)	268 (36.8)	0.001	1**	<0.001
Yes, number (%), n = 1265	643 (50.8)	622 (49.2)		2.00 (1.55–2.58)	
Surgery					
No, number (%), n = 1910	1069 (56.0)	841 (44.0)	0.010	1**	0.256
Yes, number (%), n = 84	35 (41.7)	49 (58.3)		1.53 (0.73–3.18)	
Respiratory distress syndrome					
No, number (%), n = 1020	538 (52.7)	482 (47.3)	0.016	1**	0.155
Yes, number (%), n = 974	566 (58.1)	408 (41.9)		0.83 (0.64–1.07)	
O-SH-GA drugs (continuous and/or bolus)					
No, number (%), n = 438	264 (60.3)	174 (39.7)	0.019	1**	0.008
Yes, number (%), n = 1556	840 (54.0)	716 (46.0)		1.45 (1.10–1.92)	
Length of stay in NICU (days)†††, n = 1994; Median (IQR)	15 (6–28)	14 (6–28)	0.590	Not Included¶	
Total duration of mechanical ventilation (hours), n = 1994; Median (IQR)	37 (11–112)	61 (20–142)	<0.001	1.00 (1.00–1.00)	0.001
Number of ventilator-free days§§, n = 1994; Median (IQR)	23 (8–26)	23 (14–26)	0.777	Not Included¶	

NICU = Neonatal intensive care unit; O-SH-GA = Opioids, sedatives/hypnotics or general anaesthetics; IUGR = Intrauterine growth retardation; CRIB = Clinical Risk Index for Babies; CI = Confidence interval; SD = Standard deviation; IQR = Interquartile range.

\*Univariable analysis data for the 1994 neonates that were included in the multivariable analysis.

†Generalised estimation equation model with NICU as cluster.

‡At least one assessment of continuous pain during the NICU stay.

§Comparisons were made with chi-square tests (Fisher's exact test when required), *t*-tests or Mann-Whitney tests as appropriate.

¶Not included in the model because this variable was not significant in the univariable analysis.

\*\*Reference category.

††Odds ratio per point increase in CRIB score. The CRIB score is a measure of illness severity in neonates. It consists of six items collected in the first 12 hours after birth (range: 0–23, higher scores indicate great clinical risk of mortality).

†††Data collection was stopped on day 28 of hospital stay: 566 (28.4%) of 1994 neonates were hospitalised for longer than 28 days.

§§Ventilator-free days were defined as the number of calendar days from the time of tracheal extubation to day 28 after NICU admission. If a neonate was reintubated and subsequently extubated before day 28, ventilator-free days were counted from the end of the last period of tracheal intubation. If a neonate was still receiving tracheal ventilation on day 28 or had died before day 28, then 0 ventilator-free days were noted. For neonates discharged before day 28 of admission, ventilator-free days were zero if the neonate was still intubated at discharge (transfer) and ventilator-free days were counted from the time of tracheal extubation to day 28 after NICU admission if the neonate was already extubated at discharge.

### Unanswered questions and future research

Neonatal pain research has been focused on the acute episodic pain associated with skin-breaking procedures (4,11,12,36,37,50). We suggest the need for a paradigm shift in neonatal pain research, paying greater attention to prolonged or continuous pain in newborns. First, we need to reach consensus on the taxonomy and definitions of various pain terms applied to neonates. Achieving consensus on these terms may lead to developing newer assessment tools, examining the validity and clinical utility of currently available and novel methods, and using these methods to determine the need for, and the efficacy of therapeutic approaches treating continuous pain in neonates. Neurophysiological approaches (51) such as near-infrared spectroscopy, electroencephalography or functional MRI can display pain-induced activity in the brain (2,52), whereas skin conductance, heart rate variability or pupillometry can detect autonomic activity in neonates (41,53). If these approaches lead to reliable and clinically useful pain measures, they may allow an independent validation of observer-dependent pain assessment scales for both episodic and continuous pain.

Recent guidelines from American Academy of Pediatrics state that validated pain assessment tools should be used consistently to initiate and monitor the effectiveness of analgesic interventions (30). Reliable and objective measures of continuous pain in newborns must be defined, developed, extensively validated and used regularly at the bedside, to improve the safety and efficacy of analgesics or other therapies used for treating neonatal pain. By avoiding the acute *and* long-term effects of both unrelieved pain and unnecessary analgesia in newborns, we can optimise sedation/analgesia, improve clinical outcomes and reduce pain-related suffering in newborns.

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### COMPETING INTEREST STATEMENT

All authors have completed the ICMJE uniform disclosure form and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in

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## SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article:

**Table S1** Numbers of participating NICUs, patients enrolled, and ventilation groups by country.

**Table S2** Numbers of neonates with assessments of prolonged pain by country.