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# Association Analysis of KIR/HLA Genotype with Liver Cirrhosis, Hepatocellular Carcinoma, and NUC Freedom in Chronic Hepatitis B Patients

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#### **Research Article**

**Keywords:** hepatitis B virus, liver cirrhosis, hepatocellular carcinoma, nucleot(s)ide analogues, killer immunoglobulin-like receptors, human leukocyte antigen class I

Posted Date: July 23rd, 2021

**DOI:** https://doi.org/10.21203/rs.3.rs-741018/v1

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# Abstract

Natural killer cells are modulated through the binding of killer cell immunoglobulin-like receptors (KIRs) with human leukocyte antigen (HLA) class I ligands. This study investigated the association of KIR/HLA pairs with progression to liver cirrhosis, hepatocellular carcinoma (HCC) development, and nucleot(s)ide (NUC) treatment freedom in hepatitis B virus (HBV) infection. KIR, HLA-Bw, and HLA-C were genotyped in 280 Japanese HBV patients for clinical comparisons. The proportion of the KIR2DS4/HLA-C2 pair was significantly higher in patients with liver cirrhosis (n = 40) than in those without (n = 240) (12.5% vs. 4.2%, odds ratio [OR] 3.29, P = 0.029). The KIR2DS3 positive rate was significantly higher in patients with HCC (n = 39) than in those without (n = 241) (30.8% vs. 14.9%, OR 2.53, P = 0.015). The KIR3DL1/HLA-Bw4 pair rate was significantly lower in the NUC freedom group (n = 20) than in the NUC continue group (n = 114) (25.0% vs. 52.6%, OR 0.30, P = 0.042). In conclusions, this study revealed significant KIR/HLA associations with progression to liver cirrhosis (KIR2DS4/HLA-C2), HCC development (KIR2DS3), and freedom from NUC therapy (KIR3DL1/HLA-Bw4) in HBV patients. KIR/HLA pairs may therefore play a role in HBV patient status.

# Introduction

Hepatitis B virus (HBV) infection is a global health concern, with possible life-threatening liver infection in both acute and chronic disease forms. There are over 250 million estimated HBV carriers in the world, of whom approximately 600,000 die annually from HBV-related liver disease. Chronic HBV infection often leads to liver cirrhosis and eventual hepatocellular carcinoma (HCC) <sup>1–4</sup>. Accordingly, it is the second-leading cause of liver cirrhosis and cancer in Japan after hepatitis C virus (HCV) <sup>5</sup>. In order to prevent disease progression, therapeutic agents including nucleot(s)ide analogues (NUCs) and pegylated interferon are currently available <sup>6–9</sup>. However, it remains difficult to eliminate the covalently closed circular DNA (cccDNA) of HBV in the liver with current standard therapies, supporting a lifelong commitment to HBV treatment with NUCs and careful HCC surveillance.

Natural killer (NK) cells provide rapid responses to viral infection and play a key role in tumor immunosurveillance by directly inducing the death of cancer cells <sup>10</sup>. Accordingly, the functional impairment of NK cells has been associated with chronic disease onset and cancer development. NK cell function is centrally controlled by activating and inhibitory killer immunoglobulin-like receptors (KIRs), which bind to human leukocyte antigen (HLA) class I molecules <sup>11–13</sup>. We earlier demonstrated that KIR/HLA receptor-ligand combinations were associated with chronic liver disease progression and HCC development in HCV-infected patients <sup>14, 15</sup>. However, no reports have addressed such associations in Japanese patients with HBV to date even though a few studies suggested such associations in other ethnicities <sup>16, 17</sup>.

The present study investigated the association of KIR/HLA pairs with disease progression to liver cirrhosis (Study 1), HCC development (Study 2), and freedom from NUCs (Study 3) in patients with chronic HBV infection in the Japanese.

## Results

# **Patient characteristics**

The cohort's characteristics are summarized in Table 1. Median age was 62 years, and 52.5% of subjects were male. Regarding HBV markers, HBsAg-positive and HBeAg-positive patients totaled 78.9% and 14.3%, respectively. Clinically, 240 patients were at the chronic hepatitis stage and 40 patients were at the liver cirrhosis stage. Thirty-nine patients had experienced HCC by the end of 2018. Of the 134 patients (47.9%) with prior or ongoing NUC treatment, 20 patients had achieved freedom from NUCs by the end of 2018.

	Total (n = 280)	Patients with cirrhosis	Patients without cirrhosis	P- value	Patients with HCC	Patients without HCC	P- value
		(n = 40)	(n = 240)		(n = 39)	(n = 241)	
Age, y	62 (49- 71)	72 (64–76)	59 (47-70)	< 0.001	69 (61– 77)	59 (48- 71)	< 0.001
Male, n (%)	147 (52.5)	30 (75.0)	117 (48.8)	0.002	29 (74.4)	118 (49.0)	0.003
AST, U/L	22 (18– 27)	25 (23-30)	21 (17–26)	< 0.001	24 (21- 29)	21 (18- 26)	0.009
ALT, U/L	18 (14– 25)	18 (14–25)	18 (14–25)	0.177	16 (13- 23)	18 (14– 25)	0.340
PLT, ×10 <sup>9</sup> /L	19.3 (15.3– 23.7)	13.5 (9.8– 17.3)	20.2 (16.5– 24.5)	< 0.001	15.0 (11.5- 19.0)	20.1 (16.3- 24.0)	< 0.001
AFP, ng/mL	2.4 (1.8- 4.0)	2.2 (1.7- 7.2)	2.5 (1.8-3.7)	0.705	2.1 (1.7– 12.3)	2.5 (1.8- 3.7)	0.812
DCP, mAU/mL	20.0 (16.0- 24.0)	21.0 (15.8– 30.5)	19.5 (16.0– 23.0)	0.144	21.0 (16.5– 32.5)	19.0 (16.0- 23.0)	0.148
FIB-4	1.6 (1.0- 2.4)	3.2 (2.2– 5.3)	1.4 (1.0-2.1)	< 0.001	2.7 (1.8- 4.5)	1.5 (1.0- 2.2)	< 0.001
APRI	0.29 (0.20- 0.40)	0.51 (0.35– 0.77)	0.27 (0.20- 0.36)	< 0.001	0.40 (0.30- 0.68)	0.27 (0.20- 0.37)	< 0.001
M2BPGi, -/1+/2+	193/71/16	13/15/12	180/56/4	< 0.001	19/13/7	174/58/9	< 0.001
HBsAg positive, n (%)	221 (78.9)	28 (70.0)	193 (80.4)	0.002	33 (84.6)	188 (78.0)	0.348
HBeAg positive, n (%)	40 (14.3)	5 (12.5)	35 (14.6)	0.662	5 (12.8)	35 (14.5)	0.778

Table 1 Demographic and clinical characteristics of patients

Abbreviations: HCC, hepatocellular carcinoma; AST, aspartate aminotransferase; ALT, alanine aminotransferase; PLT, platelet count; AFP, α-fetoprotein; DCP, des-γ-carboxy prothrombin; FIB-4, fibrosis index-4; APRI, aspartate aminotransferase to platelet ratio index; M2BPGi, MAC-2 binding protein glycosylation isomer; HBV, hepatitis B virus; NUC, nucleot(s)ide analogue; CH, chronic hepatitis; LC, liver cirrhosis

	Total (n = 280)	Patients with cirrhosis	Patients without cirrhosis	P- value	Patients with HCC	Patients without HCC	P- value	
		(n = 40)	(n = 240)		(n = 39)	(n = 241)		
HBV DNA, LIU/mL	0.0 (0.0- 2.4)	0 (0.0-0.0)	1.3 (0.0-2.5)	< 0.001	0.0 (0.0- 1.0)	1.3 (0.0- 2.5)	0.001	
NUC treatment, n (%)	134 (47.9)	28 (70.0)	106 (44.2)	0.002	31 (79.5)	103 (42.7)	< 0.001	
NUC free, n (%)	20 (7.1)	0 (0.0)	20 (8.3)	0.014	0 (0.0)	20 (8.3)	0.014	
CH/LC, n	240/40	-	-	-	17/22	223/18	< 0.001	
HCC (+), n (%)	39 (13.9)	22 (55.0)	17 (7.1)	< 0.001	-	-	-	
Abbreviations: HCC, hepatocellular carcinoma; AST, aspartate aminotransferase; ALT, alanine aminotransferase; PLT, platelet count; AFP, α-fetoprotein; DCP, des-γ-carboxy prothrombin; FIB-4, fibrosis index-4; APRI, aspartate aminotransferase to platelet ratio index; M2BPGi, MAC-2 binding protein glycosylation isomer; HBV, hepatitis B virus; NUC, nucleot(s)ide analogue; CH, chronic								

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hepatitis; LC, liver cirrhosis

#### Clinical characteristics and KIR/HLA genotyping of patients with HBV-induced cirrhosis (Study 1)

Age, male frequency, FIB-4, APRI, and M2BPGi 1 + or 2 + were significantly higher in patients at the liver cirrhosis stage, while platelet count and HBV DNA were significantly lower (Table 1). To clarify the impact of KIR/HLA pairs on disease progression to liver cirrhosis, KIR and HLA genes were genotyped and their frequencies were compared between patients with and without cirrhosis. Although no significant differences were detected for any KIR or HLA frequency between the groups, the KIR2DS4/HLA-C2 pair rate was significantly higher in the cirrhosis group than in the non-cirrhosis group (odds ratio [OR] 3.29, P = 0.047) (Table 2).

Genetic factor	Patients with cirrhosis		Patients without cirrhosis		OR	P- value
	(n = 40)		(n = 240)			
	n	%	n	%		
HLA						
HLA-Bw4	26	65.0	137	57.1	1.40	0.347
HLA-Bw6	33	82.5	214	89.2	0.57	0.226
HLA-C1	40	100.0	237	98.8	1.19	0.477
HLA-C2	5	12.5	42	17.5	0.67	0.433
KIR						
KIR2DL1	40	100.0	240	100.0	0.17	-
KIR2DL2	7	17.5	30	12.5	1.48	0.387
KIR2DL3	40	100.0	240	100.0	0.17	-
KIR2DL4	40	100.0	240	100.0	0.17	-
KIR2DL5	19	47.5	100	41.7	1.27	0.490
KIR2DS1	18	45.0	87	36.3	1.44	0.290
KIR2DS2	8	20.0	33	13.8	1.57	0.301
KIR2DS3	6	15.0	42	17.5	0.83	0.698
KIR2DS4	35	87.5	206	85.8	1.16	0.778
KIR2DS5	18	45.0	77	32.1	1.73	0.110
KIR3DL1	39	97.5	219	91.3	3.74	0.174
KIR3DL2	40	100.0	239	99.6	0.51	0.683
KIR3DL3	40	100.0	240	100.0	0.17	-
KIR3DS1	19	47.5	95	39.6	1.38	0.345
KIR/HLA pairs						
KIR2DL1/HLA- C2	5	12.5	42	17.5	0.67	0.433

 Table 2

 Frequency of HLA alleles, KIR genes, and KIR/HLA pairs in patients with and without cirrhosis

Abbreviations: HLA, human leukocyte antigen; KIR, killer immunoglobulin-like receptor; OR, odds ratio

Genetic factor	Patients with cirrhosis		Patients without cirrhosis		OR	P- value		
	(n = 40)		(n = 240)					
KIR2DS1/HLA- C2	2	5.0	12	5.0	1.00	1.000		
KIR2DL2/HLA- C1	7	17.5	30	12.5	1.48	0.387		
KIR2DS2/HLA- C1	8	20.0	33	13.8	1.57	0.301		
KIR2DL3/HLA- C1	40	100.0	237	98.8	1.19	0.477		
KIR2DS4/HLA- C1	35	87.5	203	84.6	1.28	0.632		
KIR2DS4/HLA- C2	5	12.5	10	4.2	3.29	0.047		
KIR3DL1/HLA- Bw4	25	62.5	122	50.8	1.61	0.171		
KIR3DS1/HLA- Bw4	11	27.5	51	21.3	1.41	0.378		
Abbreviations: HLA, human leukocyte antigen; KIR, killer immunoglobulin-like receptor; OR, odds ratio								

#### Clinical characteristics and KIR/HLA genotyping of patients with HBV-induced HCC (Study 2)

Age, male frequency, FIB-4, APRI, and M2BPGi 1 + or 2 + were significantly higher in patients with HCC, whereas platelet count and HBV DNA were significantly lower (Table 1). There were no significant differences in the gene frequencies of HLA-Bw4, HLA-Bw6, HLA-C1, or HLA-C2 in patients with and without HCC. However, the KIR2DS1 and KIR2DS3 positive rates were significantly higher in the HCC group (53.8% vs. 34.9%; OR 2.18, P = 0.023, and 30.8% vs. 14.9%; OR 2.53, P = 0.015, respectively). No significant differences in KIR/HLA proportions were observed between the groups (Table 3).

Genetic factor	Patients with HCC		Patients without HCC		OR	P-value
	(n = 39)		(n = 241)			
	n	%	n	%		
HLA						
HLA-Bw4	25	64.1	138	57.3	1.33	0.422
HLA-Bw6	33	84.6	214	88.8	0.69	0.452
HLA-C1	39	100.0	238	98.8	1.16	0.484
HLA-C2	6	15.4	41	17.0	0.89	0.801
KIR						
KIR2DL1	39	100.0	241	100.0	0.16	
KIR2DL2	8	20.5	29	12.0	1.89	0.147
KIR2DL3	39	100.0	241	100.0	0.16	
KIR2DL4	39	100.0	241	100.0	0.16	
KIR2DL5	21	53.8	98	40.7	1.70	0.122
KIR2DS1	21	53.8	84	34.9	2.18	0.023
KIR2DS2	8	20.5	33	13.7	1.63	0.264
KIR2DS3	12	30.8	36	14.9	2.53	0.015
KIR2DS4	32	82.1	209	86.7	0.70	0.434
KIR2DS5	18	46.2	77	32.0	1.83	0.082
KIR3DL1	35	89.7	223	92.5	0.71	0.548
KIR3DL2	39	100.0	241	100.0	0.16	
KIR3DL3	39	100.0	241	100.0	0.16	
KIR3DS1	21	53.8	93	38.6	1.85	0.072
KIR/HLA pairs						
KIR2DL1/HLA-C2	6	15.4	41	17.0	0.89	0.801
KIR2DS1/HLA-C2	2	5.1	11	4.6	1.13	0.877

Table 3 Frequency of HLA alleles, KIR genes, and KIR/HLA pairs in patients with and without HCC

Abbreviations: HLA, human leukocyte antigen; KIR, killer immunoglobulin-like receptor; HCC, hepatocellular carcinoma; OR, odds ratio

Genetic factor	Patients with HCC		Patients without HCC		OR	P-value		
	(n = 39)		(n = 241)					
KIR2DL2/HLA-C1	8	20.5	29	12.0	1.89	0.147		
KIR2DS2/HLA-C1	8	20.5	33	13.7	1.63	0.264		
KIR2DL3/HLA-C1	39	100.0	238	98.8	0.46	0.484		
KIR2DS4/HLA-C1	32	82.1	206	85.5	0.74	0.578		
KIR2DS4/HLA-C2	5	12.8	40	16.6	0.79	0.551		
KIR3DL1/HLA-Bw4	23	59.0	124	51.5	1.34	0.383		
KIR3DS1/HLA- Bw4	12	30.8	50	20.7	1.72	0.162		
Abbreviations: HLA, human leukocyte antigen; KIR, killer immunoglobulin-like receptor; HCC,								

hepatocellular carcinoma; OR, odds ratio

#### Clinical characteristics and KIR/HLA genotyping of patients receiving NUC treatment (Study 3)

Twenty of the 134 patients having been treated with NUCs achieved freedom from NUC therapy by the end of 2018. In terms of background characteristics, there were no significant differences between NUC free and NUC continue patients apart from lower frequencies of HBsAg and HBeAg positivity, no progression to cirrhosis, and no development of HCC in the NUC free group (Table 4). The HLA-Bw6 positive rate was significantly lower in the NUC free group than in the NUC continue group (60.0% vs. 89.5%, OR 0.18, P = 0.001). The KIR3DL1/HLA-Bw4 pair was also significantly less frequent in the NUC free group (25.0% vs. 52.6%, OR 0.30, P = 0.042) (Table 5).

	Total	Patients achieving NUC freedom	Patients requiring NUC continuation	P- value			
	(n = 134)	(n = 20)	(n = 114)				
Age, y	62 (50-70)	65 (54–71)	61 (50–70)	0.545			
Male, n (%)	77 (57.5)	10 (50.0)	67 (59.3)	0.464			
AST, U/L	22 (18–27)	19 (16–25)	23 (18–27)	0.087			
ALT, U/L	17 (13–25)	17 (12–21)	18 (13–25)	0.399			
PLT, ×10 <sup>9</sup> /L	18.7 (14.4– 22.4)	19.4 (14.8–22.1)	18.6 (14.4–22.7)	0.903			
AFP, ng/mL	2.4 (1.8- 3.7)	2.7 (1.8-3.4)	2.3 (1.8-4.0)	0.622			
DCP, mAU/mL	20.0 (16.5- 24.5)	19.0 (15.8–21.0)	20.0 (17.0-25.0)	0.391			
FIB-4	1.7 (1.1– 2.7)	1.6 (1.1-2.4)	1.7 (1.1–2.7)	0.851			
APRI	0.30 (0.20- 0.43)	0.26 (0.18-0.40)	0.31 (0.21-0.43)	0.321			
M2BPGi, -/1+/2+	87/38/9	13/6/1	74/32/8	0.939			
HBsAg positive, n (%)	117 (87.3)	11 (55.0)	106 (93.0)	< 0.001			
HBeAg positive, n (%)	109 (81.3)	0 (0.0)	25 (21.9)	0.025			
HBV DNA, LIU/mL	0.0 (0.0-1.3)	0 (0.0-1.9)	0.0 (0.0-1.3)	0.158			
CH/LC, n	107/27	20/0	86/28	0.014			
HCC (+), n (%)	31 (23.1)	0 (0.0)	31 (27.2)	0.004			
Abbreviations: NUC, nucleot(s)ide analogue; AST, aspartate aminotransferase; ALT, alanine							

Table 4 Demographic and clinical characteristics of patients receiving NUC treatment

Abbreviations: NUC, nucleot(s)ide analogue; AST, aspartate aminotransferase; ALT, alanine aminotransferase; PLT, platelet count; AFP, α-fetoprotein; DCP, des-γ-carboxy prothrombin; FIB-4, fibrosis index-4; APRI, aspartate aminotransferase to platelet ratio index; M2BPGi, MAC-2 binding protein glycosylation isomer; HBV, hepatitis B virus; CH, chronic hepatitis; LC, liver cirrhosis; HCC, hepatocellular carcinoma

		Table 5		
Frequency	of HLA alleles, KIR	genes, and KIR/HLA	pairs in patients r	receiving NUC treatment

Genetic factor	Patients achieving NUC freedom		Patients requiring NUC continuation		OR	P- value
	(n = 20)		(n = 114)			
	n	%	n	%		
HLA						
HLA-Bw4	9	45.0	65	57.0	0.62	0.319
HLA-Bw6	12	60.0	102	89.5	0.18	0.001
HLA-C1	19	95.0	113	99.1	0.17	0.687
HLA-C2	6	30.0	17	14.9	2.45	0.099
KIR						
KIR2DL1	20	100.0	114	100.0		
KIR2DL2	1	5.0	19	16.7	0.26	0.312
KIR2DL3	20	100.0	114	100.0		
KIR2DL4	20	100.0	114	100.0		
KIR2DL5	7	35.0	49	43.0	0.71	0.504
KIR2DS1	5	25.0	46	40.4	0.49	0.192
KIR2DS2	3	15.0	21	18.4	0.78	0.959
KIR2DS3	2	10.0	23	20.2	0.44	0.444
KIR2DS4	15	75.0	101	88.6	0.39	0.100
KIR2DS5	7	35.0	36	31.6	1.17	0.762
KIR3DL1	16	80.0	106	93.0	0.30	0.061
KIR3DL2	20	100.0	114	100.0		
KIR3DL3	20	100.0	114	100.0		
KIR3DS1	7	35.0	48	42.1	0.74	0.551
KIR/HLA pairs						
KIR2DL1/HLA- C2	6	30.0	17	14.9	2.45	0.099

Abbreviations: HLA, human leukocyte antigen; KIR, killer immunoglobulin-like receptor; NUC, nucleot(s)ide analogue; OR, odds ratio

Genetic factor	Patients achieving NUC freedom		Patients requiring NUC continuation		OR	P- value		
	(n = 20)		(n = 114)					
KIR2DS1/HLA- C2	0	0.0	5	4.4	0.00	0.753		
KIR2DL2/HLA- C1	1	5.0	19	16.7	0.26	0.312		
KIR2DS2/HLA- C1	3	15.0	21	18.4	0.78	0.959		
KIR2DL3/HLA- C1	18	90.0	114	100.0	0.00	0.959		
KIR2DS4/HLA- C1	14	70.0	100	87.7	0.33	0.087		
KIR2DS4/HLA- C2	6	30.0	17	14.9	2.45	0.099		
KIR3DL1/HLA- Bw4	5	25.0	60	52.6	0.30	0.042		
KIR3DS1/HLA- Bw4	5	25.0	30	26.3	0.93	0.902		
Abbreviations: HLA, human leukocyte antigen; KIR, killer immunoglobulin-like receptor; NUC, nucleot(s)ide analogue; OR, odds ratio								

### Discussion

This single-center, cross-sectional study retrospectively examined whether specific KIR/HLA pairs were associated with progression to liver cirrhosis, HCC development, and freedom from NUC treatment in chronic HBV patients. Our results showed that: 1) patients with the KIR2DS4/HLA-C2 pair had a significantly increased risk of liver cirrhosis, 2) patients with KIR2DS3 had a significantly higher risk of HCC, with no remarkable involvement of any KIR/HLA pair, and 3) patients with the KIR3DL1/HLA-Bw4 pair were significantly more likely to achieve freedom from NUCs. These genotype differences might have affected the clinical course of HBV by stimulating or escaping immune surveillance and other intrahepatic inflammatory processes.

Many factors are involved in the progression to liver cirrhosis in HBV patients, such as the viral factors of HBV DNA, HBsAg level, and HBV genotype, the host factors of gender and age, and such environmental factors as alcohol intake <sup>18</sup>. Among those, age is a strong predictor of significant fibrosis progression <sup>18</sup>; indeed, the patients with cirrhosis in the present study were significantly older than those without. Regarding host genetic factors, HLA class II has been widely analyzed and linked to disease progression <sup>19</sup>. KIR and HLA class I combination was reportedly associated with HCC development in Chinese while its combination was not related to liver cirrhosis or HCC development in Gambians <sup>16, 17</sup>. However, no association studies have considered KIR-HLA combinations in the Japanese to date. This investigation identified a significant association of the KIR2DS4/HLA-C2 pair with disease progression to liver cirrhosis. The pair is present in the minority of Japanese, and may stimulate NK cells to produce more inflammation in the liver towards progression to liver cirrhosis. Longitudinal studies with more subjects are needed to confirm the involvement of this KIR/HLA pair in HBV infection.

Regarding host genetic factors for HCC development in HBV patients, a number of candidate genes specifically for HLA class II regions have been investigated by genetic association studies to evaluate their role in HCC susceptibility <sup>19, 20</sup>. A genome-wide association study very recently proposed HLA-A\*33:03 as a susceptibility allele for HCC in HBV <sup>21</sup>. The present investigation on the impact of KIRs and HLA-Bw or HLA-C on HCC development detected no remarkable KIR/HLA pairs, although a significant association of KIR2DS3 with HCC onset was found. The ligand to KIR2DS3 has not been identified to date. However, KIR2DS3 has been related to colorectal cancer <sup>22</sup>, spontaneous HCV clearance failure <sup>23</sup>, and fatal outcome of Ebola virus infection <sup>24</sup>. Moreover, KIR2DS3 was seen to be expressed at low level on the NK cell surface with unknown function <sup>25</sup>, and might therefore represent a genetic marker for a closely related linked gene with biological effects. Further research is required to confirm this hypothesis.

Lastly, HBV patients who receive NUCs are expected to continue treatment indefinitely since there is currently no way to completely eliminate the virus from the liver, with discontinuation sometimes leading to virological relapse and hepatic flares. However, some patients are able to control infection without ongoing treatment. We observed that the KIR3DL1/HLA-Bw4 pair frequency was significantly higher in the NUC continue group despite the KIR3DL1 and HLA-Bw4 expression rates being comparable between the groups. This KIR/HLA pair may be a new biomarker for predicting drug continuation or freedom in HBV patients under NUC treatment. Other ligands to KIR3DL1 include HLA-A23, HLA-A24, and HLA-A32 on the HLA-A locus, which have very recently been reported to exhibit a similar biological function to HLA-Bw4, and have thus been termed HLA-A<sup>Bw4 26</sup>. Associations of the HLA-A genotype with HBV should be addressed.

There are several limitations to this study. First, as the number of patients with liver cirrhosis, HCC, and NUC freedom were too small for a definitive conclusion, a larger validation analysis with more subjects is needed to confirm our results. Second, this cross-sectional study was retrospectively designed; prospective, longitudinal follow-up analysis is required. Third, this investigation analyzed HLA-Bw4/Bw6 and HLA-C1/C2, but not other HLA class I genotypes. Additional classical HLA class I genotypes, such as HLA-A, and non-classical HLA class I genotypes should be addressed in future studies.

In conclusions, the present study revealed significant associations for the KIR2DS4/HLA-C2 pair with progression to liver cirrhosis, KIR2DS3 with HCC development, and the KIR3DL1/HLA-Bw4 pair with freedom from NUC in chronically infected HBV patients. KIR/HLA pairs may therefore play a role in the clinical course of HBV infection. Further study is warranted to establish the clinical validity of these associations.

# **Materials And Methods**

#### Patients

A total of 324 patients of at least 20 years of age who regularly visited Shinshu University Hospital in Matsumoto, Japan, for HBV infection management in the year 2018 (January 1, 2018, to December 31, 2018) were retrospectively targeted. Patients exhibiting other causes of chronic liver disease, such as alcoholic liver disease, non-alcoholic liver disease, primary biliary cholangitis, or autoimmune hepatitis, were not considered. The study participant selection flowchart is depicted in Figure 1. After the exclusion of 44 cases (2 with acute hepatitis B virus infection and 42 without stored DNA or serum), 280 patients with chronic HBV infection were enrolled in the analysis. The racial background of all patients was uniformly Japanese.

Total bilirubin, alanine aminotransferase, and other relevant biochemical tests were performed using standard methods. HBsAg, HBeAb, and MAC-2 binding protein glycosylation isomer (M2BPGi; Sysmex Co., Kobe, Japan) were measured with a HISCL-5000 (Sysmex Co., Kobe, Japan) system using stocked serum. M2BPGi readings of <1.00 COI,  $\geq$ 1.00 COI and <3.00 COI, and  $\geq$ 3.00 COI were judged as negative, 1+, and 2+, respectively.

#### Determination of liver cirrhosis

Patients with liver cirrhosis were judged as those with histologically proven liver cirrhosis and/or characteristic clinical signs of advanced liver disease by imaging studies, including ultrasonography, computed tomography, and magnetic resonance imaging, in the year 2018.

#### Determination of HCC

HCC patients were defined as having HCC in the prior quarter century (i.e., between 1993 and 2018) or complicating HCC in 2018. HCC was diagnosed by imaging characteristics, arterial hypervascularity, and venous or delayed phase washout by contrast-enhanced dynamic computed tomography and/or magnetic resonance imaging when a nodular lesion was detected by ultrasonography or a tumor marker was elevated.

#### **Definition of NUC freedom**

Among the 133 patients with prior or ongoing NUC treatment, 20 patients had successfully discontinued therapy by the end of 2018 and were defined as NUC free.

#### HLA class I and KIR genotyping

Whole-genomic DNA was extracted from whole blood samples from all participants using QuickGene-800 assays (Fujifilm, Tokyo, Japan). HLA-Bw4, HLA-C1, and HLA-C2 genotyping <sup>27</sup> as well as KIR genotyping <sup>28</sup> were performed using the polymerase chain reaction with sequence-specific primers. HLA

and KIR typing were used to stratify patients into groups according to predicted KIR-ligand interactions and binding affinities. The KIR/HLA pairs of interest were KIR2DL1/2DS1/2DS4-HLA-C2, 2DL2/3/2DS2-HLA-C1, and 3DL1/3DS1-HLA-Bw4. All genotyping was blinded to clinical variables.

#### Statistical analysis

Statistical analysis and data visualization were carried out using StatFlex ver. 7.0.11 software (Artech Co., Ltd., Osaka, Japan). Continuous variables were compared using the Mann–Whitney U test. Categorical variables were evaluated by Pearson's chi-squared test, Fisher's exact test, or Yates' continuity correction, as appropriate. A P-value of <0.05 was considered statistically significant.

### Abbreviations

HBV, hepatitis B virus; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; NUC, nucleot(s)ide analogue; cccDNA, covalently closed circular DNA; NK, natural killer; KIR, killer immunoglobulin-like receptor; HLA, human leukocyte antigen; M2BPGi, MAC-2 binding protein glycosylation isomer; OR, odds ratio

### Declarations

#### Acknowledgements

We thank Yuki Akahane and Asami Yamazaki for their technical assistance and Trevor Ralph as the Senior Editor of Impact Language Services for his English editorial assistance.

#### Authors' contributions

SJ is responsible for conceptualization, original draft preparation, formal analysis, data curation, and methodology. MO are responsible for sample analysis. SJ, HK, SW, YY, AS, TY, ET, and TU are responsible for data curation. SJ and TU are responsible for supervision.

#### Funding information

The authors sincerely appreciate the research support provided in part by the Japan Society for the Promotion of Science (JSPS) KAKENHI Grant-in-Aid for Scientific Research (C) (18K08000) (20K08282) and Japan Agency for Medical Research and Development (AMED) (JP21fk0210084).

#### Conflict of interest

All authors have nothing to disclose regarding funding from industries or other conflicts of interest with respect to this manuscript.

#### **Ethical statement**

This investigation was conducted according to the guidelines of the Declaration of Helsinki and approved by the Ethics Committee of Shinshu University School of Medicine (protocol code 302 approved on October 10, 2010, and 527 approved on August 10, 2015). Informed consent was obtained from all participants involved in this study.

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### Figures



#### Figure 1

Study participant selection flowchart Abbreviations: HCC, hepatocellular carcinoma; NUC, nucleot(s)ide analogue