# ASSOCIATION BETWEEN NIGHT/AFTER-HOURS SURGERY AND MORTALITY: A SYSTEMATIC REVIEW AND META-ANALYSIS

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1 **ABSTRACT** 

Background: The association between night/after-hours surgery and patients' mortality
is unclear.

Methods: The protocol of this systematic review was registered in PROSPERO (CRD42019128534). We searched Medline, Pubmed and EMBASE from inception until August 29 2019 for studies examining an association between timing of surgical procedures (time of anaesthesia induction or surgery start) and mortality (within 30 day or in-hospital) in adult patients. Studies reporting patients' mortality after surgery performed during the weekend only were excluded. All analyses were done using the random-effects model.

Results: We included 40 observational studies (36 retrospective and 4 prospective) that 1 12 examined a total of 2,957,065 patients. Twenty-eight studies were judged of good quality and 12 of poor quality according to Newcastle-Ottawa score (NOS), due to lack 13 14 of adequate comparability between study groups. Primary analysis from adjusted 15 estimates demonstrated as association between night/after-hours surgery and a higher risk of mortality (odds ratio [OR] 1.16, 95% confidence interval [CI] 1.06 to 1.28, p= 16 0.002; studies=18; I<sup>2</sup>=67%) based on low certainty evidence. Analysis from unadjusted 17 18 estimates demonstrated a consistent association (OR 1.47, 95% CI 1.19-1.83; p=0.0005; studies=38, I<sup>2</sup>=97%; low certainty). The number of centers per study had no 19 20 credible subgroup effect on the association between the time of surgery and mortality. We were unable to evaluate the subgroup effect of urgency of surgery due to high 21 22 heterogeneity.

Conclusions: Night/after-hours surgery may be associated with a higher risk of
 mortality. Patients' and surgical characteristics seem not to completely explain this
 finding. However, the certainty of the evidence was low.

26 **Keywords:** anaesthesia; surgery; nighttime; patient safety; perioperative

## 1 INTRODUCTION

Over 320 million surgical procedures are performed annually in response to global 2 health requirements <sup>1</sup>. Recent estimates suggest that approximately 50 million people 3 suffer peri-operative complications annually, leading to more than 1.5 million deaths<sup>2</sup>. 4 The occurrence of peri-operative complications is multifactorial, and the outcome of 5 these complications is related to the interaction between both patient-related and 6 patient-independent factors<sup>3</sup>. Patient-related factors include the individual comorbidities 7 and baseline clinical condition of the patient at the time of surgery. Patient-independent 8 9 factors include the characteristics of the specific hospital and perioperative environment (i.e. infrastructure, organization, culture of safety) as well as human-factors. Among 10 patient-independent factors, the timing of surgery has been extensively studied in 1 several surgical settings with regards to its impact on patients' outcomes. 12

Urgent surgery is traditionally provided at all times, regardless of office hours, for 13 urgent surgical cases. Scheduling of elective surgery at night or after-hours in an 14 15 attempt to shorten surgical waiting time and reduce overcrowding of daytime operating rooms is a more recent practice <sup>4</sup>. Fatigue may worsen both individual physician and 16 team performance <sup>56</sup> potentially leading to the occurrence of medical errors. Yet fatigue 17 is a common phenomenon among healthcare workers working at night/after-hours <sup>789</sup> 18 <sup>10</sup>. The availability of a sufficient number of skilled medical practitioners is also lower at 19 20 night or after-hours. As a result there may be less adherence to best practices resulting in lower quality perioperative care at these times. 21

Despite the presence of these and additional factors which seemingly predispose to poorer surgical outcomes in cases performed at night or after-hours, the literature remains divided regarding the association between the timing of surgery and mortality rates. We therefore conducted a systematic review and meta-analysis with the aim of finding in adult patients undergoing elective or nonelective surgery whether

- interventions performed during night or after-hours compared with daytime were
   associated with an increased risk of 30-day or in-hospital mortality.
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#### 4 **METHODS**

5 The protocol of this systematic review was prospectively registered in PROSPERO 6 (CRD42019128534).

Data sources and searches: we performed a comprehensive search of Pubmed, Medline and EMBASE from inception until August 29<sup>th</sup> 2019. We searched for studies that referred to patients undergoing surgery using the key words "surgery" OR "anesthesia" OR "anaesthesia" AND "night" OR "nighttime" OR "out-of-hour" OR "afterhour" OR "off-hour". We restricted the search to studies of humans in the English language but did not apply any a-priori quality restrictions. The full search strategy is described in the **Supplementary appendix A**.

14 Eligible studies (randomized and nonrandomized) reported on adult patients 15 undergoing surgical procedures (elective, urgent or emergency) regardless of specialty, 16 and provided data on mortality, comparing procedures performed during nighttime/afterhours versus daytime. The time of surgery was defined as either the time of 17 18 anaesthesia induction or the time of surgery initiation, as reported by the authors. We 19 used the definitions of the authors for night/after-hours surgery, provided this category 20 included cases that began after 4pm and included hours after 8pm. In studies comparing more than two groups, the data were re-classified into two groups 21 ('night/after-hours' vs. 'day'). This was performed through discussion of the paper 22 23 among the authors in order to achieve consensus regarding the best mode of division and only for raw data of deaths and total number of patients per group. We excluded 24 case series, studies that did not describe surgical procedures, and those that included 25 26 only paediatric patients. Studies reporting patients' mortality after surgery performed

during the weekend only were excluded. We also excluded any study that did not report
 mortality until after hospital discharge.

3 We performed screening in two stages; first, two authors (AC, GM) independently screened all titles and abstracts to select potentially eligible studies. In the initial 4 screening we excluded conference proceedings, case reports and case series. We also 5 searched the reference list ('snowballing method') of all studies selected for full text 6 7 review (by authors AC, GM, GI) to identify additional eligible studies. Studies selected for full review were included if two of the reviewers (MI, GI) agreed on their eligibility. 8 9 Data extraction was performed in duplicate (AC, MI) and using a standard data extraction form. Discrepancies at any stage were adjudicated by two other authors (SE, 10 AG). We contacted the corresponding authors of any study with guestions regarding 1 eligibility or data presentation. Supplementary appendix B, Fig. S1 describes the 12 inclusion/exclusion process of the papers according to PRISMA<sup>11</sup>. 13

#### 14 Outcomes and data abstraction

ι5 The primary outcome was mortality, within 30 days of surgery, or in-hospital if the former was unavailable as the longest relevant timepoint. In case of multiple time points, 16 we used the closest to day 30. We abstracted mortality estimates and confidence 17 18 intervals (CIs) or standard errors from the multivariable models of included studies that ۱9 reported adjusted effect estimates for relevant covariates (e.g. patients' severity, surgical characteristics)<sup>12</sup>. If more than one multivariable model was presented in a 20 study, we selected the adjusted estimate from the model that included the greatest 21 number of covariates. The number of events (deaths) and the number of variables 22 included in the model was captured <sup>13</sup>. Studies in which the ratio of covariates per 23 events included in the model provided was too low (i.e. less than 1:10) were excluded 24 <sup>13</sup>. We also abstracted the unadjusted number of deaths and total number of patients in 25

the included studies in the two study groups ('night/after-hours' vs. day'). Finally, we
 abstracted data from propensity score matching analyses if reported.

3 We collected study data including patient and surgery characteristics as well as the definitions used by the authors to describe the times of surgery using a standardized 4 case report form. The types of surgery were classified as follows: 1) Major abdominal-5 urological-vascular; 2) Cholecystectomy-appendectomy; 3) Bone-joint-spine-trauma; 4) 6 7 Cardiac: 5) Transplantation or 6) Mixed (if more than one type of surgery was included in the cohort). For the classification in "elective" and "nonelective" we used the 8 9 definitions and information provided by the authors; for studies including both elective 10 and nonelective surgeries without proving separated data, we used a cut-off of at least 60% of the total number of surgical procedures to categorise such studies. 1

We report the detailed methods and results of this systematic review and metaanalysis according to the MOOSE checklist for Meta-analysis of observational Studies in **Supplementary appendix C**<sup>14</sup>.

#### 15 Risk of bias and certainty assessment

Two authors (YH, AC) independently assessed the methodological quality of the 16 studies using the Newcastle-Ottawa Scale (NOS) <sup>12 15</sup>. This scale has three main ١7 18 domains and assigns one point of each subset of assessment criteria within the ۱9 selection and exposure domains. Studies can obtain up to two points within the 20 comparability domain. A "good" quality score required three or four stars in selection, one or two stars in comparability, and two or three stars in outcomes. A "fair" quality 21 score required two stars in selection, one or two stars in comparability, and two or three 22 23 stars in outcomes. A "poor" quality score reflected no or one star(s) in selection, or no stars in comparability, or no or one star(s) in outcomes. We assessed certainty in 24 overall effect estimates using Grading of Recommendations Assessment, Development 25 and Evaluation (GRADE) methods <sup>16</sup>. 26

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## Statistical analysis

In accordance with Cochrane guidance, we used the generic inverse variance method to pool adjusted estimates and standard errors from included studies reporting odds ratios (ORs) and 95% Cls from multivariable models <sup>12</sup>. The ORs were transformed to natural log, and standard errors (SEs) were calculated from the 95% Cls using standard formulas <sup>12</sup>. The results are reported as ORs with 95% Cl. We used the DerSimonian and Laird method to analyze mortality from raw data (events and totals for each group) <sup>17</sup>.

All the p-values were two tailed and considered significant if <.05. We assessed for statistical heterogeneity (i.e. chance variation between studies) using the nonparametric X<sup>2</sup> (Cochran Q) test, the I-squared statistic and visual inspection of the forest plots. Heterogeneity was considered likely if Q>df (degrees of freedom) and considered confirmed if P ≤0.10. This p-value was adopted to adjust for possible under-powering due to low event rates. We performed all analyses using a random-effects model (DerSimonian and Laird) <sup>17</sup>.

We performed sensitivity analyses using unadjusted data (events and totals) and data from propensity score matched populations. We stratified studies according to the type of surgery subgroups in the primary and sensitivity analyses. Formal subgroup analyses were performed according to the number of centres (single vs. multi-centre in included studies) and according to the urgency of surgery (elective vs. urgent/emergency). All the analyses were performed by AC and MI with input from SE and BR, using Revman 5.3.

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## 24 **RESULTS**

## 25 Characteristics of included studies

Of 5731 citations, 274 were selected for full text review and 40 observational 1 studies proved eligible (see **Supplementary appendix B, Fig. S1**) <sup>4 18-56</sup>. These studies 2 included 2,957,065 patients. Thirty-six studies were retrospective, one was a post-hoc 3 analysis and one was a secondary analysis of a prospective study. Only two studies 4 were defined as prospective. Fourteen studies were multi-centre while 26 were single 5 centre. We classified five studies as relating to major abdominal-urological-vascular 6 7 surgery, five to cholecystectomy-appendectomy, eleven to bone-joint-spine-trauma surgery, six to cardiac surgery, five to transplant surgery and eight as mixed. Table 1 8 9 presents the included studies, their designs, their patient and surgical characteristics 10 and their definitions for night/after-hours.

1 Risk of bias

Twenty-eight studies were judged of good quality and 12 of poor quality
 (Supplementary appendix B, Table S1). The main reason for downgrading was the
 lack of adequate comparability between groups.

## 15 **Primary analysis: Mortality (within 30-day or in-hospital)**

#### 16 Analysis from adjusted estimates

Twenty studies reported adjusted analyses. However, only eighteen of the studies were included. Two studies were excluded because their event:covariates ratio was below the accepted threshold for the model provided <sup>26 57</sup>. One study reported adjusted analyses for emergency and nonemergency subgroups separately <sup>35</sup>.

Among the eighteen studies, six related to bone-joint-spine-trauma surgery, four to cardiac surgery, two to major-abdominal-urological-vascular surgery, two to transplant surgery and four described a mixed surgical cohort. All of the studies were of good quality according to NOS (**Supplementary appendix B – Table S1**) and had the highest scores in the within-study "comparability" item. The confounders adjusted for in each study are listed in **Supplementary appendix B – Table S2**. Briefly, almost all the

studies adjusted for patient characteristics, comorbidities and the severity of the surgical
 condition.

Overall, surgery performed at night/after-hours was associated with a higher adjusted risk of mortality than surgery performed during the day (OR 1.16, 95% CI 1.06 to 1.28, p= 0.002; l<sup>2</sup>=67%; **Fig. 1**) based on low certainty evidence (**Table 2**). The adjusted association between the timing of surgery and mortality stratified by the type of surgery is shown in **Fig. 1**.

- 8 Sensitivity analysis
- 9 Analysis from unadjusted data

Thirty-eight studies reported unadjusted data, including 322,228 patients (10,300 deaths) in the night/after-hours group and 2,605,760 patients (13,293 deaths) in the daytime group. The mixed surgery group contributed for 82.6% of the total number of patients included in this analysis, followed by major abdominal-urological-vascular (7.6%), bone-joint-spine-trauma (5.3%), transplant (3.8%), cardiac (0.5%) and cholecystectomy-appendectomy (0.2%).

Overall, surgery performed during night/after-hours was associated with higher unadjusted mortality than surgery performed during the day (OR 1.47, 95% CI 1.19 to 1.83, p=0.0005;  $I^2$ =97%; low certainty) (**Fig. 2**). The unadjusted association between the timing of surgery and mortality stratified by the type of surgery is shown in **Fig. 2**.

20 Analysis using data from propensity score matched groups

Five studies reported data from propensity score matching analysis, for a total of 130,541 patients in the night/after-hours (134 deaths) and 1,415,453 patients in the day group (81 deaths). The list of variables used in the propensity score matching analysis is included in **Supplementary appendix B – Table S3.** Most patients included in this analysis were from studies relating mixed surgery. Using data from propensity score analyses we found no significant association between surgery performed at night/after-

1 hours and mortality but the confidence interval was very wide (OR 2.83, 95% CI 0.64-

2 12.52; p=0.17; l<sup>2</sup>=95%; n studies=5 - **Supplementary appendix B – Fig. S2**).

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# Subgroup analyses

4 Number of centres

Fig. S3 in the Supplementary appendix B showed the results of the analyses from adjusted estimates reported in multi-centre (OR 1.22, 95% CI 1.05-1.42; p=0.009; n studies=10) and single centre studies (OR 1.26, 95% CI 0.99-1.60; p=0.007; n studies=8). There was no credible subgroup effect based on multi-centre versus single centre (P=0.84) on the adjusted association, suggesting that the number of centres per study does not modify the association of night/after-hours surgery on mortality.

## 1 Urgency of surgery

Fig. S4 in the Supplementary appendix B shows the forest plot from adjusted estimates reported in studies included in the elective and nonelective subgroups. We were unable to make assumptions on the subgroup effect of urgency of surgery due to both high clinical and statistical heterogeneity.

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## 17 DISCUSSION

18 In this study data from nearly 3 million patients suggest that surgery performed at ۱9 night/after-hours is associated with a higher postoperative risk of death than surgery 20 performed during the day. This effect was consistent in both adjusted and unadjusted analysis and across subgroups of interest. The adjusted odds of death were 16% higher 21 in nighttime/after hour surgery than in daytime surgery (true population effect between 22 6% and 28%). Neither the number of centers per study nor the urgency of surgery had a 23 credible subgroup effect on this association. This finding carries important implications 24 25 for both scheduling of surgery and peri-operative risk management.

To the best of our knowledge this is the largest and most updated systematic 1 2 review and meta-analysis evaluating the association between the timing of surgery and mortality. A previous meta-analysis on this topic included only 10 studies examining the 3 outcome of mortality, and a much smaller number patients (n=165,409)<sup>58</sup>. Although 4 they also found increased mortality among patients undergoing surgery after-hours as 5 compared to daytime (non-event: OR=0.71, 95% CI=0.55–0.91, p=0.008), their analysis 6 7 did not examine adjusted risk estimates of death, or the risk associated with specific 8 types of surgery.

9 We decided to group night and after-hours surgery together as this is how most 10 studies reported surgical timing and it is reasonable to assume that similar factors may 1 lead to a decrease in the quality of surgical care at these times (e.g. fatigue, number 12 and skill of the treating healthcare practitioners, availability of equipment and services). Although surgery performed during the weekend shares several features to that 13 14 performed during the night, a decision was made to exclude studies reporting the ι5 outcomes of weekend surgery only in order to reduce the heterogeneity of the reviewed 16 intervention and because fatigue is less likely to affect outcomes during weekends.

While only one of the studies included in this analysis specifically included healthcare practitioner fatigue as a variable of interest <sup>45</sup> (concluding that acute care surgeons have similar outcomes in fatigued or rested state), several studies unrelated to our topic have demonstrated the effect of fatigue on healthcare workers performance <sup>7-10 59</sup>.

In a national survey among anaesthesiologists in New Zealand (70% response rate) 80% disclosed that they had made a medical error due to fatigue <sup>60</sup>. Sixty percent of respondents of a national survey among trainees in anaesthesia in the UK (59% response rate) believe that fatigue impairs their ability to do their job <sup>9</sup>. Working at night seems the most common cause of fatigue <sup>9</sup>. The implementation of several measures

has been suggested to ameliorate fatigue and its effect on performance. These can be applied at both personal and organizational levels. Examples include improving education on rest, sleep and circadian rhythm, improving water and calorie intake during night shifts, enforcement of strategic breaks and micro-naps, shared decision making, the use of checklists and the availability of on- and post-shift rest facilities <sup>7 9 61</sup>. However, whether implementation of these strategies actually improves patient outcomes remains unknown.

The strength of the association between performance of surgery during night/after-8 9 hours surgery and mortality may differ according to the type of surgery. This could be 10 explained by the large differences in the number of included patients and the rate of events reported across the different types of surgery It remains to be seen whether 1 12 additional adjustment for the type of surgery and additional comorbidities may have affected the outcome. For some types of surgery, such as transplantation, the surgical 13 14 setting often remains unchanged at all hours of the day and night. Regarding the effect 15 according to study centres, it may well be that specific centres that have chosen to study this issue are a-priori more aware of the possibility of an increased risk and have 16 therefore already implemented institutional safety measures <sup>62</sup>. 17

#### 18 Strengths and Limitations

This study has a number of strengths. These include protocol preregistration, a comprehensive search, a large number of included studies, both adjusted and unadjusted analysis careful risk of bias assessment and GRADE application to assess certainty of evidence <sup>12 16</sup>.

The main limitation is the lack of randomized controlled studies addressing this question. As no randomised trials were identified, we only included non-randomised studies. As a result, residual confounding remains an important concern. To address this, we considered only the outcome of mortality as it is less prone to bias and is

described most homogeneously across studies. We used adjusted estimates and 1 2 standard error from studies that employed different statistical models and this may have contributed to heterogeneity in pooled estimate of effect. High clinical heterogeneity 3 across included studies is another limitation. Indeed, a high degree of statistical 4 heterogeneity was encountered in all analyses. The definition of night/after-hours varied 5 among the studies included. This difference was most notable in the hour used to define 6 7 the start of the "day" and in that defining the cut-off between day- and after-hours and nighttime as no consensus exists in the literature about the definition of "after-hours" or 8 "night" surgery. Data on "human factors" (e.g. practitioner age, experience, hours on 9 10 duty, wellbeing, fatigue) were largely lacking in included studies. We were therefore 1 unable to directly evaluate the relation between these factors and mortality. This should 12 be seen as a limitation of available evidence rather than of our review and further research on night/after-hours perioperative care should focus on these potentially 13 14 modifiable factors. The results of the subgroup analysis basing on urgency of surgery 15 should be considered with caution due to high heterogeneity and because three studies <sup>25,32,52</sup> included in the adjusted analysis reported on a cohort composed of both elective 16 17 and nonelective surgeries, in various proportions. We used the ratio of events per 18 covariates included in the models to valuate the possibility of inclusion in the adjusted analysis but there is no single correct solution to this conundrum<sup>13</sup>. Large part of this ۱9 review population came from one study <sup>52</sup>. However, excluding this study from the 20 adjusted analysis did not alter conclusions (OR 1.09, 95% CI 1.01-1.19; p=0.04; 21  $I^2$ =54%). Lastly, we decided not to create a funnel plot to assess potential publication 22 bias due to the high degree of heterogeneity <sup>17</sup>. 23

## 24 CONCLUSIONS

Surgery performed during night/after-hours seems associated with a higher adjusted
risk of death than surgery performed during the day, but the certainty of the evidence

- supporting this finding is low. The patient and surgical characteristics that we have
   studied do not completely explain this finding. Further research should focus on human
   and logistic factors that could potentially modify this association.
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## 7 Figure legend

- Fig. 1 Forest plot from adjusted estimates and standard error (primary analysis) for
   the outcome of mortality.
- I-V: generic inverse variance method; SE: standard error; Yaghoubian 2010a refers to
   reference n. 54. Kelz 2009E refers to the emergency and NE to the nonemergency
   subgroups of the reference n. 35.
- Fig. 2 Forrest plot from unadjusted raw data for the outcome of mortality.
- M-H: Mantel-Haentsel method; Yaghoubian 2010a refers to reference n. 54;
  Yaghoubian 2010b refers to reference n. 55.

## 16 Authors' Contributions

- AC, CG, AG, SE conceived the content of this study. AC, MI, GM, GB, GI, YH, CG performed the systematic review and/or extracted the data and/or the assessment of quality of the study. AC, MI performed the analysis with input from BR and SE. AG, YH, GB, GI, CG, AG, BR, SE gave important intellectual content for the interpretation of the data. AC, MI, BR, SE wrote the manuscript. All authors' read and approved the final version of the manuscript.
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- None.

- 1 **Declaration of interest**
- 2 The authors declare that they have no conflict of interest.
- 3 Appendix A: Search strategy
- 4 **Appendix B:** Supplementary data including PRISMA flow chart of inclusion-exclusion
- 5 process, risk of bias table, subgroup and sensitivity analyses
- 6 Appendix C: MOOSE checklist

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