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# Association of mitochondrial DNA copy number with cardiometabolic diseases in a large cross-sectional study of multiple ancestries

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1 **Abstract**

2 **Aims**

3 We tested the hypothesis that mitochondrial DNA copy number (CN) is  
4 associated with cardiometabolic disease (CMD) traits.

5 **Methods and results**

6 We determined the cross-sectional association of mtDNA CN measured in  
7 whole blood with several CMD traits in 65,996 individuals (mean age 60, 54%  
8 women, and 79% European descent). Cohort- and ancestry/ethnicity-specific  
9 association analysis was performed adjusting for trait- and cohort-specific  
10 covariates. Age was slightly positively associated with age (0.03 s.d. / 10 years  
11 (95% CI=0.01, 0.05)) before 65 years, while every 10 years older age was  
12 associated with 0.14 s.d. lower level of mtDNA CN after 65 years (95% CI=  
13 -0.18, -0.10). In meta-analysis without adjustment for white blood cell (WBC)  
14 and differential count in participants of European descent (N=52,491), low  
15 mtDNA CN was associated with increased odds of obesity (OR with 95%  
16 CI=1.13 (1.11, 1.16), P=3.3e-30) and hypertension (OR=1.05 (1.03, 1.08),  
17 P=4.0e-07). Further adjusting for WBC and differential count in the same  
18 participants of European descent (N=44,035), associations became  
19 non-significant (P>0.05) for hypertension, attenuated for obesity (OR<sub>without cell</sub>  
20 count=1.15 (1.12, 1.18) versus OR<sub>cell count</sub>=1.06 (1.03, 1.08)) but strengthened for  
21 hyperlipidemia (OR<sub>without cell counts</sub> =1.03 (1.00, 1.06) versus OR<sub>cell counts</sub> =1.06

1 (1.03, 1.09)). The magnitude and directionality of most associations were  
2 consistent between participants of European descent and other  
3 ethnicity/ancestry origins.

4 Conclusion:

5 Low levels of mtDNA CN in peripheral blood were associated with an  
6 increased risk of CMD diseases.

7

8 Key words: mitochondrial DNA copy number, cardiometabolic disease, whole  
9 genome sequencing

## 1 Translational Perspective

2 The mitochondrial genome (mtDNA) is represented at variable copy number  
3 (CN) in human cells and plays essential roles in cellular metabolism. We  
4 determined the cross-sectional association of mtDNA CN measured in whole  
5 blood with several cardiometabolic traits in 65,996 individuals (mean age 60,  
6 54% women, and 79% participants of European descent). Low mtDNA CN  
7 levels were significantly associated with an increased risk of obesity and  
8 hyperlipidemia after accounting for clinical covariates and blood cell counts.  
9 The magnitude and directionality of associations were consistent between  
10 participants of European descent and other ancestries/ethnicities.  
11 Understanding the role of mtDNA CN in cardiometabolic will provide insight  
12 into the pathobiology underlying cardiometabolic diseases.

13

## 1 Introduction

2 Mitochondria convert dietary calories to molecular energy through oxidative  
3 phosphorylation (OXPHOS).<sup>1</sup> In addition, mitochondria play essential roles in  
4 cellular differentiation, proliferation, reprogramming, and aging.<sup>2-7</sup> Mitochondria  
5 contain their own genome (mtDNA) which is a circular, double-stranded DNA  
6 molecule of 16.6 kb. mtDNA encodes 13 key OXPHOS proteins, 22 transfer  
7 RNAs (tRNAs), and two ribosomal RNAs (rRNAs)<sup>1</sup>. Multiple copies of mtDNA  
8 are present per mitochondrion, and cells contain up to 7000 mitochondria per  
9 cell.<sup>8</sup> The mtDNA copy number (mtDNA CN) correlates with cellular ATP  
10 generating capacity and metabolic status,<sup>9</sup> and therefore, varies greatly across  
11 tissue and cell types depending on cellular energy demand.<sup>1,10,11</sup>

12 Several previous studies have demonstrated that mtDNA CN is lower in  
13 older individuals and this decrease is associated with a general decline in  
14 health.<sup>12-14</sup> Low mtDNA content was also associated with higher fasting blood  
15 glucose (FBG), hemoglobin A1c (HbA1c), and lipid levels including high  
16 density lipoprotein (HDL), low density lipoprotein (LDL), triglycerides (TRIG),  
17 and total cholesterol (TC) in both diabetic patients and controls.<sup>15</sup> In addition,  
18 mtDNA content was inversely related to BMI and fat accumulation in 94  
19 healthy young individuals (mean age 30 years).<sup>16</sup> A more recent study in two  
20 independent cohorts of women of European origin (n=2,278, mean age 30  
21 years; and n=2,872, mean age 69 years), however, failed to detect significant

1 associations between mtDNA CN and CMD risk factors including systolic  
2 blood pressure (SBP), diastolic blood pressure (DBP), HDL, LDL, TRIG, and  
3 glucose levels.<sup>17</sup>

4         Given inconsistent findings in previous studies and the central role of  
5 mtDNA in metabolism, we set out to investigate the association between  
6 mtDNA CN and several CMD risk factors in eight US cohorts representing  
7 participants of different ethnicity/ancestry origins with whole genome  
8 sequencing (WGS) and extensive cardiometabolic phenotyping. We also  
9 included individuals with Whole Exome Sequencing (WES) from the UK  
10 Biobank for validation. We performed cohort- and ancestry-specific association  
11 analysis between mtDNA CN and several CMD phenotypes. We also  
12 performed meta-analyses separately in participants of European descent and  
13 African Americans, and also combining all ancestry groups.

## 14 Methods

### 15 **Study participants**

16 Several cohorts from the NHLBI's Trans-Omics for Precision Medicine  
17 (TOPMed) program contained a small number of duplicated participants. We  
18 removed one copy of the duplicated participants and this study included  
19 26,890 individuals with WGS in the TOPMed program (67.4% women; age  
20 range of 20-100 years; 45.4% European Americans, 32.6% African Americans,  
21 19.6% Hispanic/Latino Americans and 2.4% Chinese Americans)



1 **(Supplemental Table 1)**. Additionally, we included 39,106 participants of  
2 White British from the UK Biobank with WES (54% women; 40-75 years) for  
3 validation **(Supplemental Table 1)**. All study participants provided written  
4 informed consent for genetic studies. The protocols for WGS and WES were  
5 approved by the institutional review boards (IRB) of the participating institutions  
6 **(Supplemental Materials)**.

### 7 **mtDNA copy number estimation**

8 *mtDNA CN estimation in WGS*: whole blood derived DNA was used for WGS  
9 through TOPMed sequencing centers. The average coverage was ~39x  
10 across samples. The program *fastMitoCalc* of the software package  
11 *mitoAnalyzer* was used to estimate mtDNA copy number across TOPMed  
12 participants.<sup>13</sup> The average mtDNA CN per cell was estimated as twice the  
13 ratio of average coverage of mtDNA to average coverage of the nuclear DNA  
14 (nDNA). The coverage was defined as the number of reads that were mapped  
15 to a given nucleotide in the reconstructed sequence.<sup>13</sup>

16 *mtDNA CN estimation in UK BioBank*: whole blood derived DNA was used for  
17 WES in UK BioBank. mtDNA CN estimates were generated by customized  
18 regression with specific terms in Perl and R software using Exome SPB CRAM  
19 files (version Jul 2018) downloaded from the UK BioBank data repository  
20 **(Supplemental Materials)**.

1 *mtDNA CN estimation in ARIC using other methods*: mtDNA CN estimation  
2 from low-pass WGS was calculated as the ratio of mitochondrial reads to the  
3 number of total aligned reads (**Supplemental Materials**). mtDNA CN  
4 estimated from the Affymetrix Genome-Wide Human SNP Array 6.0 was  
5 calculated using Genvisis 15 software package (**Supplemental Materials**).  
6 The participants whose mtDNA CN were estimated from low-pass WGS and  
7 Affymetrix Genome-Wide Human SNP Array 6.0 were independent to each  
8 other and were independent to those with TOPMed WGS in the ARIC cohort,  
9 as previously described<sup>18</sup> (**Supplemental Materials**).

## 10 **Cardiometabolic disease phenotypes**

11 Metabolic disease phenotypes were mapped to the examinations when blood  
12 was drawn for DNA extraction for mtDNA CN estimates. Our primary analysis  
13 focused on four CMD phenotypes, obesity, hypertension (HTN), type 2  
14 diabetes (T2D), and hyperlipidemia. Obesity was defined as body mass index  
15 (BMI)  $\geq 30$  (kg/m<sup>2</sup>). T2D was defined as fasting blood glucose  $\geq 126$  mg/dL or  
16 currently receiving glucose-lowering or diabetes medication (s). Hypertension  
17 (HTN) was defined as systolic blood pressure (SBP)  $\geq 140$  mmHg, or diastolic  
18 blood pressure (DBP)  $\geq 90$  mmHg, or use of antihypertensive medication(s) for  
19 blood pressure control. Hyperlipidemia was defined as fasting total cholesterol  
20 (TC)  $\geq 200$  mg/dL or triglyceride (TRIG)  $\geq 150$  mg/dL, or use of any lipid-lowering  
21 medication.

1 We also analyzed the association of mtDNA CN with continuous  
2 cardiometabolic traits: BMI, SBP, DBP, FBG, HDL cholesterol, LDL  
3 cholesterol, and TRIG levels. In the analysis of FBG, we excluded individuals  
4 with diabetes, defined as glucose value  $\geq 126$  mg/dL and/or taking  
5 glucose-lowering or diabetes medications.<sup>19</sup> SBP and DBP values (mmHg)  
6 were derived from the averages of two measurements. We added 15 mmHg  
7 and 10 mmHg to SBP and DBP, respectively, for individuals taking any BP  
8 lowering medications.<sup>20</sup> The total cholesterol (TC) measurements were divided  
9 by 0.8 for individuals using lipid treatment medications.<sup>21</sup> LDL (mg/dL) was  
10 calculated as  $(TC - HDL - TRIG/5)$  in individuals with TRIG  $< 400$  mg/dL using  
11 imputed TC values.<sup>21</sup> In analyses of FBG and lipid levels, we excluded  
12 individuals whose fasting status was not established. TRIG, LDL and HDL  
13 values were log-transformed to approximate normality. Other continuous  
14 outcome variables were not transformed.

15 Metabolic syndrome is a collection of risk factors that increase the risk for  
16 cardiovascular disease (CVD).<sup>22</sup> We analyzed the presence of metabolic  
17 syndrome variable in relation to mtDNA CN. An individual was classified as  
18 having metabolic syndrome (0/1) if he/she had three of the five following  
19 conditions:<sup>22</sup> 1) obesity – waist circumference  $> 40$  inches in men and  $> 35$   
20 inches in women; 2) hyperglycemia – fasting glucose  $\geq 100$  mg/dL or currently  
21 receiving glucose-lowering or diabetes medication; 3) dyslipidemia –

1 triglyceride  $\geq 150$  mg/dL or on lipid-lowering treatment; 4) dyslipidemia – High  
2 density lipoprotein cholesterol  $< 40$  mg/dL in men or  $< 50$  mg/dL in women or on  
3 lipid-lowering treatment; and 5) hypertension – 130 mmHg systolic or  $> 85$   
4 mmHg diastolic or the current use of antihypertensive medication (s). Of note,  
5 the thresholds in defining metabolic syndrome are different from those for  
6 individual disease phenotypes in our primary analysis. Waist circumference  
7 was not measured in approximately a third of the FHS participants. Because  
8 BMI is the most common measure of overall obesity,<sup>23</sup> to increase the sample  
9 size we used BMI  $\geq 30$  to define obesity in FHS participants with missing waist  
10 circumference values.

## 11 **Statistical analyses**

12 In all analyses, we used mtDNA CN as the primary independent variable. To  
13 identify confounders and covariates in association analyses, we first examined  
14 whether mtDNA CN levels were associated with ‘blood collection year’ (the  
15 year when blood was drawn, as a surrogate of batch effects for blood-derived  
16 DNA samples) in all participating cohorts. White blood cell (WBC) count and  
17 blood differential count were previously reported to be associated with mtDNA  
18 CN.<sup>18,24</sup> Therefore, we investigated whether mtDNA CN was associated with  
19 total WBC count, blood differential count, and platelet count in cohorts that  
20 measured or imputed<sup>25,26</sup> these variables (**Supplemental Table 2**). We further

1 examined mtDNA CN in relation to age and sex after adjusting for blood  
2 collection year (**Supplemental Figure 1**).

3       Based on observing significant associations of mtDNA CN in relation to  
4 'blood collection year', age and sex, we generated mtDNA CN residuals for  
5 downstream analyses by regressing mtDNA CN on age, age squared, sex and  
6 blood collection year (as a factored variable) in each cohort. The residuals  
7 were standardized to a mean of zero and standard deviation (s.d.) of one, and  
8 used as the main predictor in all regression models. In the primary analysis, we  
9 used logistic regression (for unrelated individuals) and mixed effects logistic  
10 regression model (related individuals) to analyze binary outcomes (i.e.,  
11 obesity, HTN) in relation to mtDNA CN residuals. Because age, sex and BMI  
12 are important confounders or covariates for cardiometabolic traits, we further  
13 adjusted for sex and age as covariates in the analysis of obesity, and adjusted  
14 for sex, age, age-squared (only for HTN) and BMI as covariates in the analysis  
15 of T2D, hyperlipidemia, and HTN. We used linear effects models to analyze  
16 continuous outcome variables, adjusting for the same set of covariates as for  
17 the respective binary outcomes. For cohorts with family structure, we  
18 accounted for maternal lineage as random effects in linear or logistic mixed  
19 models. A maternal lineage was defined to include a founder woman with all of  
20 her children, and all grandchildren from daughters of the founder woman.<sup>27</sup>

1 We performed the discovery meta-analysis in European American  
2 participants in TOPMed with fixed effects ( $P_Q > 0.01$ ) or random effects  
3 ( $P_Q \leq 0.01$ ) inverse variance method and performed validation analyses using  
4 participants of White British in UK Biobank. We further compared  
5 meta-analysis results in participants of European descent to those from other  
6 racial/ethnic origins in TOPMed cohorts. Finally, we performed  
7 inverse-variance meta-analysis to combine results from TOPMed and UK  
8 Biobank. The primary results included associations of mtDNA CN with the four  
9 disease outcomes. We used  $p=0.01$  for significance to account for multiple  
10 testing for primary results, and used  $p=0.05/9 \sim 0.006$  for significance in  
11 analysis of continuous outcomes.

12 Measured and/or imputed WBC variables were available in a subset  
13 participants in TOPMed and in all participants in UK Biobank. We compared  
14 associations between mtDNA CN and individual outcomes in the same  
15 participants with and without WBC count, differential count and platelet count  
16 as additional covariates.

17 We further investigated whether sex or age modified the association  
18 between mtDNA CN and outcome variables, adjusting for the same set of  
19 covariates described in primary analyses. We included an interaction term  
20 between mtDNA CN and sex/age in association analyses. We also performed  
21 stratified analyses between mtDNA CN and CMD traits in participants less

1 than 65 years old and participants 65 years or above (see **Supplemental**  
2 **methods** for details). The statistical software R (version 3.6.0) was used for all  
3 statistical analyses.

## 4 Results

### 5 **Characteristics of Study Participants**

6 The current study included 13,385 European Americans, 8,012 African  
7 Americans, 601 Chinese Americans, and 4,892 Hispanic/Latino Americans  
8 from the TOPMed program as well as 39,106 White British from the UK  
9 Biobank. On average, 55% of study participants were women, and the  
10 participants' mean age was 60 years (range 20 to 100 year; **Supplemental**  
11 **Table 1**). We observed moderate to high heterogeneity in distributions of age,  
12 sex, and cardiometabolic phenotypes across cohorts and ancestries. For  
13 example, the age range was 20 to 100 years in the Framingham Heart Study  
14 (FHS) (mean age 60 years, 40% participants  $\geq 65$  years). In contrast, all  
15 participants in the Cardiovascular Health Study (CHS) were older than 65 year  
16 of age (mean age 74). HTN, obesity, T2D, and hyperlipidemia were more  
17 prevalent in African Americans than participants of other ethnic and racial  
18 groups (**Supplemental Table 1**)

### 19 **A threshold effect between age and mtDNA CN**

20 Because low mtDNA CN was associated with older age and reported to be  
21 associated with increased cardiometabolic disease risk,<sup>15-17,28</sup> we reported

1 beta estimates as the change in an outcome variable in response to 1 s.d.  
2 lower mtDNA CN in all of analyses. We observed that, on average, age was  
3 associated with a slightly higher level of mtDNA (0.032 s.d. / 10 years (95%  
4 CI= 0.013, 0.052), P=0.0014) of mtDNA CN from 20s to 65 years. We  
5 observed a threshold effect of age on mtDNA CN, and every 10 years older  
6 age was associated with 0.14 s.d. lower level of mtDNA CN after 65 years (95%  
7 CI= -0.18, -0.10), P=1.82e-13) (**Figure 2**). The relationship between mtDNA  
8 CN and age appeared to be similar in men and women. Women had higher  
9 mtDNA CN than men (beta=0.23, 95% CI= (0.20, 0.26), P=7.4e-60) as noted  
10 previously.<sup>13,24</sup> The threshold effect between age and mtDNA CN was slightly  
11 attenuated after adjusting for WBC counts (**Supplemental Figure 2**).

## 12 **Discovery meta-analysis in European Americans participants**

13 We performed the discovery meta-analysis in European American participants  
14 in TOPMed (N =13,385). We found that 1 s.d. decrease in mtDNA CN was  
15 significantly associated with 1.08-fold odds of obesity (95% CI= (1.03, 1.13),  
16 P=5.0e-04), 1.07-fold odds of hypertension (95% CI= (1.03, 1.12), P=1.4e-03),  
17 1.16-fold odds of metabolic syndrome (95% CI= (1.06, 1.27), P=1.8e-03). We  
18 also found that 1 s.d. decrease in mtDNA CN was nominally (0.01<P<0.05)  
19 associated with 1.18-fold odds of diabetes (95%= (1.01, 1.37), P=0.041). For  
20 continuous traits, 1 s.d. decrease in mtDNA CN was significantly associated  
21 with 0.033 unit (95% CI= (0.023, 0.041), P=1.7e-04) increase in TRIG and



1 nominally associated with 0.38 mmHg (95% CI= (0.021, 0.73), P=0.038)  
2 increase in SBP and 0.22 kg/m<sup>2</sup> (95% CI= (0.074, 0.25), P=0.028) increase in  
3 BMI. mtDNA CN was not significantly associated with DBP, HDL, LDL, or  
4 fasting glucose (P>0.05) in the discovery meta-analysis (**Table 1, Figures 3**  
5 **and 4**)

### 6 **Bidirectional validation and meta-analysis with participants of European** 7 **descent between TOPMed and UK Biobank**

8 Most of the significant associations in meta-analysis of the discovery phase  
9 were validated in the UK Biobank (**Table 1**). Compared to those in the  
10 discovery meta-analysis, the UK Biobank data yielded larger effect sizes for  
11 associations of several traits (**Supplemental Figure 3**). For example, 1 s.d.  
12 decrease in mtDNA CN was associated with 1.15-fold (95% CI= (1.12, 1.18))  
13 odds of obesity in the UK Biobank versus 1.08-fold (95% CI= 1.03-1.13) in the  
14 TOPMed EA-specific meta-analysis. Additionally, 1 s.d. unit decrease in  
15 mtDNA CN was associated with 0.32 kg/m<sup>2</sup> increase in BMI in UK Biobank (p =  
16 9.1e-42) compared to 0.22 kg/m<sup>2</sup> increase in BMI (p=0.028) in the  
17 meta-analysis of TOPMed EA participants. DBP and FBG were not significant  
18 in the discovery TOPMed EA meta-analysis (beta=0.02, 95% CI= (-0.37, 0.41),  
19 P= 0.92 for DBP; beta=0.067, 95% CI= (-0.11, 0.25), P=0.47 for FBG) while  
20 significant in UK Biobank (beta=0.19 , 95% CI= (0.086, 0.30) with P=0.00038  
21 for DBP; beta=0.20, 95% CI = (0.093, 0.30) with P=2.0e-4 for FBG) (**Table 1**).

1 We performed meta-analysis of all participants of European descent in  
2 TOPMed and UK Biobank (n=52,491) using fixed effects inverse-variance  
3 method to combine results (**Table 1**). One s.d. increase in mtDNA CN was  
4 significantly associated with an increase of odds of obesity (OR=1.13, 95% CI=  
5 (1.11, 1.16), P=3.3e-30), hypertension (OR=1.05, 95% CI= (1.03, 1.08),  
6 P=4.0e-07), metabolic syndrome (OR=1.13, 95% CI= (1.11, 1.16), P=9.7e-27),  
7 and nominally associated with diabetes (OR=1.05, 95% CI= (1.00, 1.10),  
8 P=0.051), hyperlipidemia (OR=1.03, 95% CI= (1.00, 1.05), P=0.023). For  
9 continuous phenotypes, 1 s.d. decrease in mtDNA CN was significantly  
10 associated with 0.15 mmHg increase in DBP (95% CI=(0.056, 0.25),  
11 P=1.9e-03), 0.42 mmHg increase in SBP (95% CI= (0.26, 0.59), P=6.0e-07),  
12 0.32 kg/m<sup>2</sup> increase in BMI (95% CI=(0.27, 0.36), P=2.5e-41), 0.16 mg/dL  
13 increase in fasting glucose (95% CI= (0.069, 0.25), P=5.4E-04), and 0.025 unit  
14 increase in TRIG (95% CI= (0.021, 0.029), P=8.3e-30).

15 mtDNA CN estimated from low-pass WGS and Affymetrix Genome-Wide  
16 Human SNP Array 6.0 gave rise to consistent associations for most of the  
17 CMD traits compared to that from WGS (**Supplemental Table 7**).

### 18 **Comparison of results from the European descent group with those from** 19 **other racial/ethnic groups**

20 The directionality of associations of mtDNA CN with CMD traits was consistent  
21 in African Americans (N=8,012), Hispanic/Latino Americans (N=4,892) and

1 Asian Americans (N=601) compared to that in participants of European  
2 descent for most of the phenotypes (**Table 1, Figure 5 and Supplemental**  
3 **Table 3, 4, Supplemental Figure 4, 5**). In the meta-analysis of AA participants,  
4 1 s.d. decrease in mtDNA CN was significantly associated with 1.14-fold odds  
5 of diabetes (95%CI= (1.06, 1.22), P=2.5e-04) and a 0.68 mmHg increase in  
6 SBP (95% CI= (0.21, 1.15), P=4.0e-03). In Asian-only TOPMed participants  
7 (n=601), 1 s.d. decrease in mtDNA CN was significantly associated with  
8 1.43-fold odds of hyperlipidemia (95% CI= (1.19, 1.72), P=0.00014). In  
9 Hispanic-only TOPMed participants (n=4,900), One s.d. decrease in mtDNA  
10 CN was significantly associated with an increase of odds of diabetes (OR=1.16,  
11 95%CI= (1.06, 1.26), P=0.0012), and significantly associated with 0.019 unit  
12 decrease in LDL (95 % CI= (-0.029, -0.0079), P=6.6e-04) and 0.028 unit  
13 increase in TRIG (95% CI= (0.010, 0.046), P=0.0020). (**Supplemental Table**  
14 **4**). Results of meta-analysis in pooled-samples are included in **Supplemental**  
15 **Table 5**.

#### 16 **Accounting for WBC and blood differential count as additional covariates**

17 WBC count and blood differential count were available in a subset of  
18 participants in TOPMed (n=12,345) and in participants in the UK Biobank  
19 (n=39,021). mtDNA CN was inversely associated with the total WBC count and  
20 two blood cell differentials, neutrophil and monocyte. In contrast, mtDNA CN  
21 was positively associated with lymphocyte and platelet (**Supplemental Table**

1   **2).** Because the WBC count is associated with mtDNA CN and it is an indicator  
2 of a systemic subclinical inflammation state that accompanies CMD risk  
3 factors,<sup>29-33 34-36</sup> we compared results between models with and without the  
4 total WBC count and blood differentials as additional covariates in the same  
5 participants. Directionality remained the same for all associations except for  
6 HDL after adjusting for WBC count and blood differential count in participants  
7 of European descent (**Supplemental Table 6, Supplemental Figure 6, 7**).  
8 Comparison of regression coefficients with and without adjusting for WBC  
9 count and differential in participants of other ethnicity and ancestry origins  
10 were available in **Supplemental Figure 8, 9**. Non-lipid CMD traits and TRIG  
11 attenuated their associations with mtDNA CN after adjusting for WBC cell  
12 counts and differentials. For example, the association of mtDNA CN with  
13 obesity was attenuated:  $OR_{\text{without cell counts}}=1.15$  (1.12, 1.18),  $P=7.7e-31$  versus  
14  $OR_{\text{cell counts}}=1.06$  (1.03, 1.08),  $P=2.5e-06$ . In contrast, hyperlipidemia and LDL  
15 were not significantly associated with mtDNA CN before adjusting for cell  
16 counts ( $P>0.05$ ) and became significant after adjusting for cell counts and  
17 blood differential count ( $OR=1.06$  (1.03, 1.09),  $P=3.9e-05$  for hyperlipidemia  
18 and  $\beta=0.012$  (0.0096, 0.015),  $P=7.8e-18$  for LDL) (**Supplemental Table 6**).

### 19   **Interaction analyses**

20 We did not find statistically significant interactions between sex and mtDNA  
21 CN, or between age and mtDNA CN with respect to the phenotypes tested

1 when including interaction terms in the models (**Supplemental Table 8**). Due  
2 to the threshold effect between age and mtDNA CN, we further performed  
3 association analyses in younger (<65 years) and older (≥65 years) participants.  
4 It appeared that the effect sizes were larger in younger individuals compared  
5 to older individuals for a number of traits although the directionality remained  
6 to be the same for these traits (**Supplemental Materials, Supplemental**  
7 **Table 9** and **Supplemental Figure 10**).

## 8 **Discussion**

9 We demonstrated that low levels of mtDNA CN in peripheral blood were  
10 associated with an increased risk of CMD diseases in 65,996 individuals  
11 representing multiple ethnicity and ancestry origins. Consistent effect  
12 estimates were obtained from the discovery meta-analysis and the validation  
13 analysis in UK Biobank participants with adjustment for traditional clinical  
14 covariates as well as adjustment for WBC and blood differential count,  
15 demonstrating the robustness of our findings. These findings further suggests  
16 that altered levels of mitochondrial energy production may be involved in the  
17 development of a cluster of conditions that increase the risk of CVD.

18 A longitudinal study reported that a 1 s.d. decrease in mtDNA CN was  
19 associated with 1.29-fold and 1.11-fold increased risk of developing CHD and  
20 stroke, respectively.<sup>37</sup> Cardiometabolic factors are known risk factors for the  
21 development of CVD. This study, however, discovered only modest

1 associations of mtDNA CN with several cardiometabolic (CMD) traits (odds  
2 ratio=1.04 to 1.16 across individual CMD traits and the composite metabolic  
3 syndrome), indicating that factors other than CMD may drive or mediate  
4 associations between mtDNA CN and cardiovascular outcomes. The  
5 mechanisms underlying mitochondrial dysfunction and CVD are largely  
6 unknown. One mechanism by which mtDNA CN may influence CVD is through  
7 the regulation of nuclear DNA methylation and gene expression. A mouse  
8 hybrid nuclear DNA and mtDNA system was previously developed, i.e., a  
9 hybrid mouse containing nuclear DNA from control mouse strain A and a  
10 specific mtDNA from control mouse strain B.<sup>38,39</sup> Using this model, two studies  
11 provided direct evidence that different mtDNA background influences DNA  
12 methylation, gene expression, and cellular adaptive response.<sup>38,39</sup> We recently  
13 assessed the relationship between mtDNA-CN and nuclear DNA methylation  
14 in up to 5,000 African American and European American study participants.  
15 Several validated mtDNA-associated CpGs were also known to be associated  
16 with coronary heart disease and CVD.<sup>40</sup> Functional assessment demonstrated  
17 that modification of mtDNA CN levels resulted in changes in DNA methylation  
18 at specific CpGs and expression levels of nearby genes through knockout of  
19 the mitochondrial transcription factor, the protein that regulates mtDNA  
20 replication in CRISPR-Cas9.<sup>40</sup> These results provided evidence that mtDNA  
21 CN may impact cardiovascular health through DNA methylation and differential  
22 expression of specific genes that may impact cell signaling. Further studies are

1 needed to investigate whether DNA methylation and gene expression mediate  
2 the effects of mtDNA CN on cardiovascular risks.

3 WBC count is a blood biomarker of systemic inflammation. It has been  
4 increasingly recognized that chronic low-grade inflammatory state  
5 accompanies CMD risk.<sup>29</sup> Previous studies reported that WBC count is  
6 associated with increasing risk of obesity,<sup>30,31</sup> diabetes,<sup>29,32,33</sup>  
7 hypertension,<sup>34-36</sup> while heterogeneous relationships with lipid levels.<sup>41</sup> This  
8 study and previous studies also showed that a high WBC count was  
9 associated with low mtDNA CN.<sup>42-47</sup> Therefore, the connections between  
10 mtDNA CN, inflammation, and metabolic disease phenotypes are complex.  
11 These findings indicates that mtDNA CN and WBC count may interplay for  
12 CMD risk. Further studies are warranted to investigate underlying molecular  
13 mechanisms to establish a potential causal pathway among mtDNA CN,  
14 inflammation and CMD risk.

15 Most previous studies reported a tendency toward low mtDNA CN with  
16 advancing age,<sup>12,13,18,24,48,49</sup> The current cross-sectional study, using a large  
17 sample size with a wide age range, demonstrated that mtDNA CN increases  
18 slightly from young adult (age 20 to 29) to late middle age (65 years old), and  
19 decreases in individuals older than 65 years. Most of the previous studies  
20 included participants with a limited age range, that is, the majority of study  
21 participants were young, middle-aged, or older individuals.<sup>12,49,50</sup> In addition,

1 post of these previous studies only investigated the linear relationship between  
2 mtDNA CN and age.<sup>12,13,18</sup> Using a large sample size, this study discovered a  
3 threshold effect of age on mtDNA CN. However, this study only investigated  
4 age-mtDNA CN association cross-sectionally. The intra-individual mtDNA CN  
5 variability with advancing age needs to be explored in a longitudinal setting in  
6 future studies.

### 7 **Strengths and Limitations**

8 This study includes a large sample size of men and women of multiple ethnicity  
9 and ancestry origins across a wide age range, thus enables us to investigate  
10 the relationships of mtDNA CN with CMD phenotypes throughout the entire  
11 adult life span. In addition, we performed careful phenotype harmonization and  
12 examined several potential confounding variables of mtDNA CN in association  
13 analysis with metabolic traits. Furthermore, we included association analyses  
14 of CMD traits with mtDNA CN estimated from several technologies further  
15 demonstrated the utility of mtDNA CN estimated from these different  
16 technologies in association analysis. Despite the multiple strengths in this  
17 study, several limitations should be noted. mtDNA CN was estimated using  
18 DNA derived from whole blood, which is not necessarily the relevant tissues  
19 with respect to cardiometabolic (e.g., cardiac muscle, skeletal muscle, adipose  
20 tissue) and aging-related (e.g., brain) disease phenotypes. Nevertheless,  
21 peripheral blood is easily accessible, changes in mtDNA in whole blood should  
22 reflect metabolic health across multiple systems. A recently study found that



1 blood-derived mtDNA CN is associated with gene expression across multiple  
2 tissues and is predictive for incident neurodegenerative disease, which  
3 provides evidence supporting the hypothesis that changes in mtDNA in whole  
4 blood may reflect metabolic health across multiple systems.<sup>51</sup> Second, though  
5 we accounted for confounders and known batch effects in mtDNA CN and  
6 harmonized metabolic traits, we still observed moderate to high heterogeneity  
7 in the association coefficients in meta-analysis of most of the phenotypes in  
8 both ancestry-specific analyses and across ancestries. Different distributions  
9 of age, sex, and phenotypes across study cohorts may partially explain the  
10 heterogeneity in these associations. Unobserved confounding factors, such as  
11 experiment conditions for blood drawing, DNA extraction, and storage may  
12 also have contributed to heterogeneity. Finally, we were not able to determine  
13 causal relationships between mtDNA CN and metabolic traits due to the  
14 cross-sectional nature of the study. Future studies with mtDNA CN and CMD  
15 traits at two time points will provide further insight into associations of  
16 aging-related mtDNA CN change with CMD traits.

17

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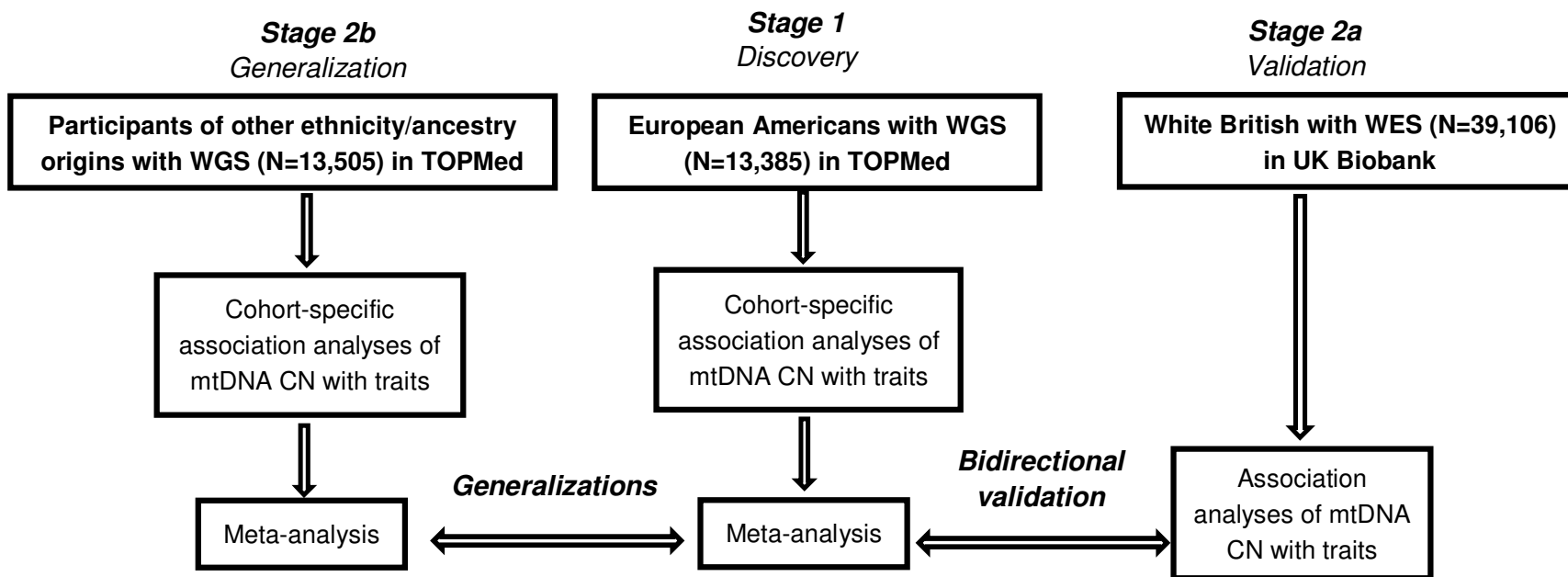
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**Table 1. Cross-sectional association and meta-analysis of mtDNA CN with metabolic disease phenotypes.**

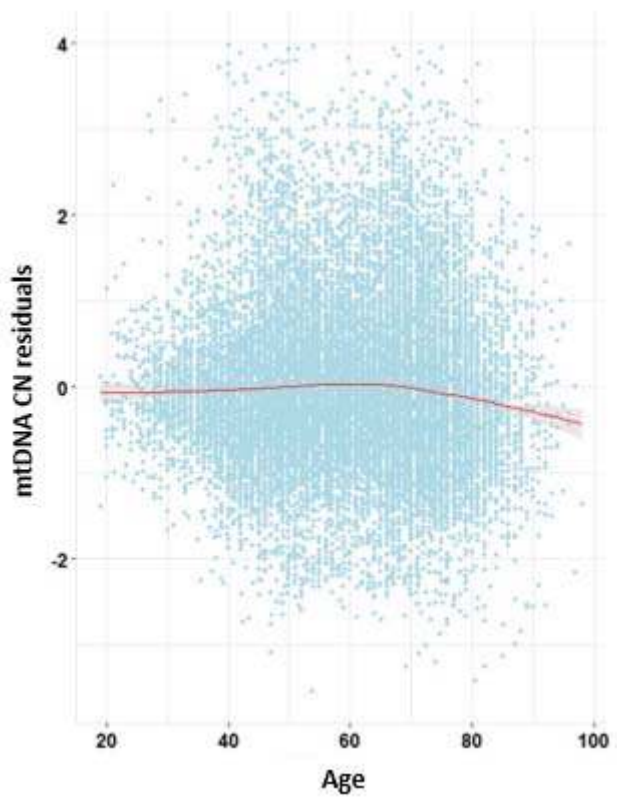
	Traits	Discovery (European Americans) (N=13,385)			Replication (White British) (N=39,106)			Meta-analysis (N=52,491)		
		OR	95% CI	P-value	OR	95% CI	P-value	OR	95% CI	P-value
Binary outcomes	Obese	1.08	1.03-1.13	5.0e-04	1.15	1.12-1.18	1.6e-30	1.13	1.11-1.16	3.3e-30
	HTN	1.07	1.03-1.12	1.4e-03	1.04	1.02-1.07	0.00023	1.05	1.03-1.08	4.0e-07
	Diabetes	1.18	1.01-1.37	0.041	1.04	0.99-1.10	0.10	1.05	1.00-1.10	0.051
	Hyperlipids	1.04	0.99-1.08	0.11	1.03	1.00-1.06	0.024	1.03	1.00-1.05	0.023
	MetS	1.16	1.06-1.27	1.8e-03	1.13	1.10-1.15	1.1e-24	1.13	1.11-1.16	9.7e-27
		Beta	SE	P-value	Beta	SE	P-value	Beta	SE	P-value
Continuous outcomes	BMI	0.22*	0.10*	0.028*	0.32	0.023	9.1e-42	0.31	0.023	2.5e-41
	DBP	0.02*	0.20*	0.92*	0.19	0.054	0.00038	0.15	0.049	1.9e-03
	SBP	0.38	0.19	0.038	0.42	0.094	7.2e-06	0.42	0.085	6.0e-07
	FBG	0.067	0.092	0.47	0.20	0.052	2.0e-04	0.16	0.046	5.4e-04
	HDL	-0.0097*	0.0099*	0.33*	-0.099	0.069	0.16	-0.012	0.0098	0.21
	LDL	-0.008*	0.0073*	0.27*	-0.0040	0.0014	0.0045	-0.0027	0.0014	0.059
	TRIG	0.033	0.0088	1.7e-04	0.023	0.0025	8.6e-21	0.025	0.0022	8.3e-30

The beta estimates are in units of metabolic traits corresponding to one s.d. lower mtDNA-CN. Association analysis of mtDNA CN with metabolic traits was performed in cohorts of European Americans in TOPMed and also in White British participants in UK Biobank. Meta-analysis with fixed or random effects inverse variance method was used to summarize the results in European descent in TOPMed and White British in UK Biobank. DBP, diastolic blood pressure; SBP, systolic blood pressure; BMI, body mass index; FBG, fasting blood glucose; HDL, high density lipoprotein; Trig, triglyceride. Obese, obesity; HTN, hypertension; Diabetes, Diabetes; MetS, metabolic syndrome. Asterisk (\*) denotes inverse variance random effect meta-analysis.

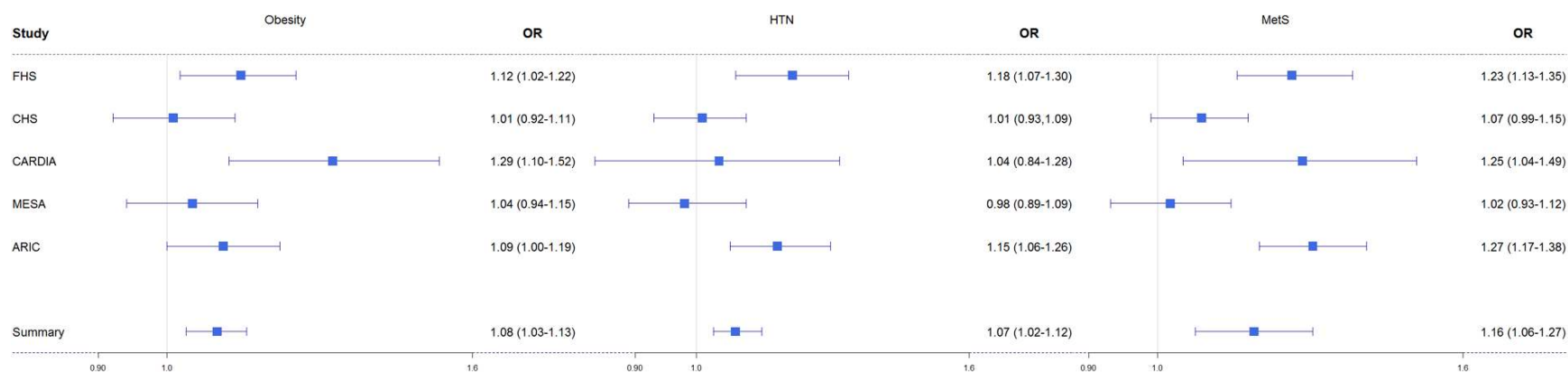


**Figure 1. Study design.** Association analysis of mtDNA CN with metabolic traits was performed in cohorts of European Americans (N=13,385), African Americans (N=8,012), Chinese Americans (N=601) and Hispanic and Latino Americans (N=4,892) in TOPMed and in White British participants of the UK Biobank (N=39,106). Meta-analysis using fixed or random effects inverse variance method was used to summarize the results in European Americans and African Americans in TOPMed. Primary analysis included age, age<sup>2</sup> (blood pressure traits), sex, BMI (not in BMI traits) and batch effects. Second analysis included covariates in primary analysis and imputed/measured white blood cell count and blood differentials. Interaction analysis was performed to investigate whether age and sex modified the relationship between mtDNA CN and cardiometabolic disease traits.

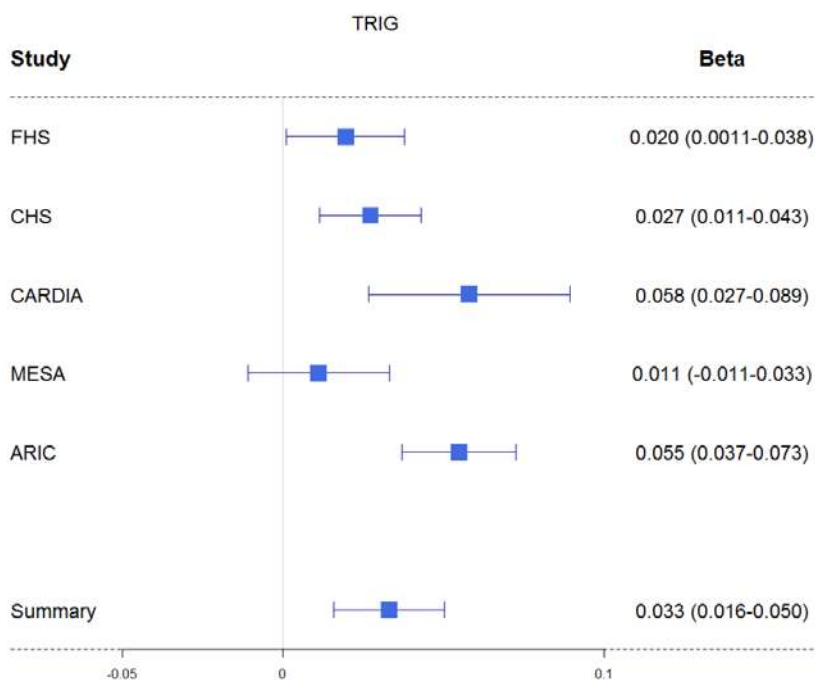




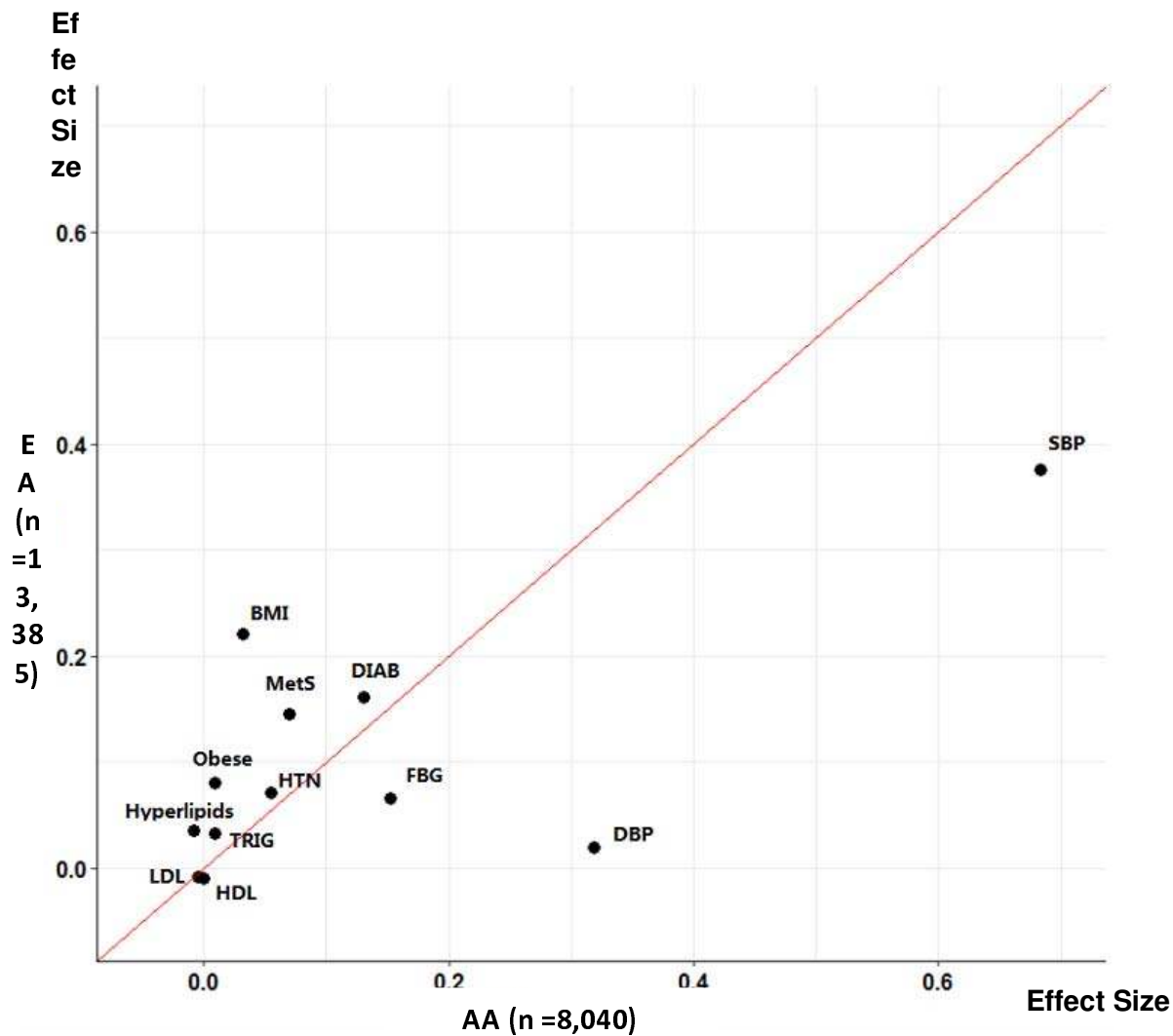
**Figure 2. The relationship of mtDNA CN with age in TOPMed participants.** Scatterplots of mtDNA CN residuals versus age. Cohort specific mtDNA CN residuals were obtained by regressing mtDNA CN on batch information.



**Figure 3. Association and meta-analyses of mtDNA CN with obesity and hypertension (HTN) and metabolic syndrome (MetS) in European Americans (N=13,385) in TOPMed.** Fixed effects inverse variance method was used to summarize the results. The odds ratio (OR) corresponds to one s.d. decrease in mtDNA-CN. Covariates included age, sex, BMI (for HTN and MetS) and batch variables.



**Figure 4. Association and meta-analyses of mtDNA CN with triglyceride in European Americans (N=13,385) in TOPMed.** Fixed effects inverse variance method was used to summarize the results. The effect size estimates are in units of metabolic traits corresponding to one s.d. decrease in mtDNA-CN. TRIG, triglyceride. Covariates included age, sex, BMI (for HTN and MetS) and batch variables.



**Figure 5.** Comparison of beta of metabolic traits in the European Americans and American Americans in TOPMed. DBP, diastolic blood pressure; SBP, systolic blood pressure; BMI, body mass index; FBG, fasting blood glucose; HDL, high density lipoprotein; LDL, low density lipoprotein, TRIG, triglyceride. TC, total cholesterol; Obese, obesity; HTN, hypertension; Diabetes, Diabetes; Hyperlipids, hyperlipidemia; MetS, metabolic syndrome.