



**QUEEN'S
UNIVERSITY
BELFAST**

Association of Sleep-Disordered Breathing With Cognitive Function and Risk of Cognitive Impairment: A Systematic Review Meta-analysis

Leng, Y., McEvoy, C., Allen, I., & Yaffe, K. (2017). Association of Sleep-Disordered Breathing With Cognitive Function and Risk of Cognitive Impairment: A Systematic Review Meta-analysis. *JAMA neurology*, 1-9. <https://doi.org/10.1001/jamaneurol.2017.2180>

Published in:
JAMA neurology

Document Version:
Publisher's PDF, also known as Version of record

Queen's University Belfast - Research Portal:
[Link to publication record in Queen's University Belfast Research Portal](#)

Publisher rights
© 2017 American Medical Association. This work is made available online in accordance with the publisher's policies. Please refer to any applicable terms of use of the publisher.

General rights
Copyright for the publications made accessible via the Queen's University Belfast Research Portal is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy
The Research Portal is Queen's institutional repository that provides access to Queen's research output. Every effort has been made to ensure that content in the Research Portal does not infringe any person's rights, or applicable UK laws. If you discover content in the Research Portal that you believe breaches copyright or violates any law, please contact openaccess@qub.ac.uk.

Association of Sleep-Disordered Breathing With Cognitive Function and Risk of Cognitive Impairment

A Systematic Review Meta-analysis

Yue Leng, PhD; Claire T. McEvoy, PhD; Isabel E. Allen, PhD; Kristine Yaffe, MD

 Supplemental content

IMPORTANCE Growing evidence suggests an association between sleep-disordered breathing (SDB) and cognitive decline in elderly persons. However, results from population-based studies have been conflicting, possibly owing to different methods to assess SDB or cognitive domains, making it difficult to draw conclusions on this association.

OBJECTIVE To provide a quantitative synthesis of population-based studies on the relationship between SDB and risk of cognitive impairment.

DATA SOURCES PubMed, EMBASE, and PsychINFO were systematically searched to identify peer-reviewed articles published in English before January 2017 that reported on the association between SDB and cognitive function.

STUDY SELECTION We included cross-sectional and prospective studies with at least 200 participants with a mean participant age of 40 years or older.

DATA EXTRACTION AND SYNTHESIS Data were extracted independently by 2 investigators. We extracted and pooled adjusted risk ratios from prospective studies and standard mean differences from cross-sectional studies, using random-effect models. This meta-analysis followed the PRISMA guidelines and also adhered to the MOOSE guidelines.

MAIN OUTCOMES AND MEASURES Cognitive outcomes were based on standard tests or diagnosis of cognitive impairment. Sleep-disordered breathing was ascertained by apnea-hypopnea index or clinical diagnosis.

RESULTS We included 14 studies, 6 of which were prospective, covering a total of 4 288 419 men and women. Pooled analysis of the 6 prospective studies indicated that those with SDB were 26% (risk ratio, 1.26; 95% CI, 1.05-1.50) more likely to develop cognitive impairment, with no evidence of publication bias but significant heterogeneity between studies. After removing 1 study that introduced significant heterogeneity, the pooled risk ratio was 1.35 (95% CI, 1.11-1.65). Pooled analysis of the 7 cross-sectional studies suggested that those with SDB had slightly worse executive function (standard mean difference, -0.05 ; 95% CI, -0.09 to 0.00), with no evidence of heterogeneity or publication bias. Sleep-disordered breathing was not associated with global cognition or memory.

CONCLUSIONS AND RELEVANCE Sleep-disordered breathing is associated with an increased risk of cognitive impairment and a small worsening in executive function. Further studies are required to determine the mechanisms linking these common conditions and whether treatment of SDB might reduce risk of cognitive impairment.

JAMA Neurol. doi:10.1001/jamaneurol.2017.2180
Published online August 28, 2017.

Author Affiliations: Department of Psychiatry, University of California, San Francisco (Leng, McEvoy); School of Medicine, Dentistry, and Biomedical Sciences, Queen's University, Belfast, United Kingdom (McEvoy); Department of Epidemiology and Biostatistics, University of California, San Francisco (Allen); Department of Psychiatry, University of California, San Francisco (Yaffe); Department of Neurology, University of California, San Francisco (Yaffe); Department of Epidemiology, University of California, San Francisco (Yaffe); San Francisco VA Medical Center, San Francisco, California (Yaffe).

Corresponding Author: Yue Leng, PhD, Department of Psychiatry, University of California, San Francisco, 4150 Clement St, San Francisco, CA 94121 (yue.leng@ucsf.edu).

Sleep-disordered breathing (SDB) is a very common but treatable condition in older adults. There has been growing interest in the relationship between SDB and adverse health consequences, including hypertension, diabetes, and cardiovascular diseases.¹⁻⁴ While the association between SDB and health outcomes remains controversial, especially in older populations,⁵⁻⁸ recent evidence has suggested a link between SDB and cognitive decline in elderly persons.⁹⁻¹¹ Notably, most early studies have examined the association between SDB and cognition in clinical populations, eg, among patients at sleep clinics.¹²⁻¹⁴ These studies usually consist of individuals with relatively severe SDB and were limited by small sample sizes and failure to account for confounding factors.

Over the past few years, an increasing number of population-based studies have been conducted on SDB and cognitive impairment.¹⁵⁻¹⁷ These community-dwelling samples often include individuals with milder SDB, as opposed to those examined in case-control studies. Some of these studies suggested that SDB was associated with increased risk of dementia or impairment across different cognitive domains,¹⁸⁻²⁰ while others found no association.^{17,21} Owing to different study designs and methods to assess SDB, it is difficult to draw conclusions on the consistency of the associations. Moreover, because each study has reported on specific domains using different scales, it is unclear if SDB has differential effects on cognitive domains. Therefore, a meta-analytic approach is particularly useful for synthesizing these studies and elucidating pooled estimates for the effects of SDB on risk of cognitive impairment as well as effects across different cognitive domains. Given the high prevalence of cognitive impairment in elderly persons and its significant consequences,^{22,23} it is critical to explore the role of SDB as a modifiable risk factor.

Methods

Search Strategy and Study Selection

We searched for articles published before January 2017 using electronic databases, including PubMed, EMBASE, and PsychINFO, and hand searched the reference lists of identified articles. Studies were identified using the search terms “(sleep-disordered breathing OR sleep apnea OR obstructive sleep apnea) AND (cognition OR cognitive function OR cognitive decline OR dementia OR Alzheimer’s OR cognitive impairment).” The search was restricted to articles published in English. Studies were included if they (1) were original articles published in a peer-reviewed journal; (2) used a cross-sectional or prospective cohort design; (3) were conducted in population-based samples ($N \geq 200$; mean age ≥ 40 years); (4) defined SDB by apnea-hypopnea index (AHI) or clinical diagnosis by *International Classification of Diseases, Ninth Revision (ICD-9)* codes; (5) incorporated outcomes on Alzheimer disease (AD), dementia risk, or cognitive impairment, as defined by validated cognitive tests; (6) reported effect estimates appropriate for the pooled analysis of effect sizes (eg, odds ratios [ORs], hazard ratios, mean differences, or standardized mean differences [SMDs] in cognitive test scores) or

Key Points

Question What are the effects of sleep-disordered breathing (SDB) on cognitive function and risk of cognitive impairment?

Findings In this systematic review meta-analysis that included more than 4 million participants, those with SDB were 26% more likely to develop cognitive impairment than those without SDB. They also had slightly worse performance in executive function but not in global cognition or memory.

Meaning Sleep-disordered breathing may be an important modifiable risk factor for dementia and other cognitive impairment; future studies are needed to examine if treatment of SDB might reduce risk of cognitive impairment.

other types of estimates (eg, correlation or regression coefficient) that could be converted to the above forms; and (7) presented results adjusted for covariates (at least by age, sex, and education). Studies were excluded if they (1) were case reports, abstracts, reviews, or meta-analyses; (2) were conducted in clinical populations; (3) used case-control design; (4) used self-reported SDB; or (5) reported the prevalence of SDB rather than studying SDB as a risk factor.

Data Extraction & Cognitive Outcomes

The eligibility of the studies to be included in the analysis was determined and data were extracted independently by 2 investigators (Y.L. and C.T.M.). If multiple articles were published from the same cohort reporting on the same cognitive outcomes, we included only the one with the most complete details; if multiple articles from the same cohort had different study designs or if they reported on different cognitive outcomes, we included each of these articles separately in the analysis. Differences in data extraction between the extractors were resolved by consensus discussion and consultation with a third investigator (K.Y.).

Because most prospective studies reported the OR or hazard ratio for cognitive impairment,^{15,18,24-26} risk ratio estimates were extracted from these studies. To quantify the association between SDB and different cognitive domains, we extracted the adjusted cognitive test scores from the cross-sectional studies.^{17,27-30} We also obtained adjusted estimates from Nikodemova et al³¹ and Hrubos-Strøm et al³² by contacting the study authors. To be included in the pooled analysis, a cognitive domain has to be represented by more than 3 studies; thus, we focused on 3 cognitive domains: global function, delayed memory (unless the study only assessed immediate memory), and executive function. These domains are also particularly important in the context of aging and development of AD. The cognitive tests included from each study are summarized in **Table 1** and **Table 2**.

Definition of SDB

We included studies that used AHI or *ICD-9* codes to define SDB. Apnea-hypopnea index is the average number of apnea and hypopnea events per hour of sleep. In general, hypopnea is defined as a discernible reduction in the airflow followed by at least a 4% reduction in oxyhemoglobin saturation (AHI4%), at least

Table 1. Summary of Prospective Studies on Sleep-Disordered Breathing and Risk of Cognitive Impairment

Source	Total No. (% Men)	Cohort; Country	Age (Baseline), y Mean (SD), 61.3 (5.0)	Definition of Sleep Apnea AHI4% (<5/5-14.9/15-29.9/≥30)	Cognitive Outcome Decline in global cognition (average of scores in DWR, Word Fluency, and DSST)	Adjusted Variables Age, sex, field center, education level, alcohol intake, smoking, physical activity, presence of APOE4, BMI, CRP level, and CVD comorbidities	NOS Score
Lutsey et al, ¹⁷ 2016	966 (48.6)	ARIC; United States	Mean (SD), 61.3 (5.0)	AHI4% (<5/5-14.9/15-29.9/≥30)	Decline in global cognition (average of scores in DWR, Word Fluency, and DSST)	Age, sex, field center, education level, alcohol intake, smoking, physical activity, presence of APOE4, BMI, CRP level, and CVD comorbidities	8
Blackwell et al, ¹⁵ 2015	2636 (100)	MrOS; United States	Mean (SD), 76.0 (5.3)	AHI3% (<15/15-30/≥30)	Decline in global cognition (3MS score)	Age, site, race/ethnicity, BMI, education level, depressive symptoms, CVD comorbidities, Parkinson disease, IADL, benzodiazepine use, antidepressant use, self-reported health, physical activity, alcohol intake, and smoking	8
Martin et al, ²⁵ 2015	559 (39.7)	Synapse; France	Mean (SD), 66.9 (0.9)	AHI3% (<15/15-30/≥30)	Decline in attention (Trail Making Test A, Stroop Color-Word Test, and WAIS-III)	Age, sex, education level, follow-up length, BMI, ESS score, CVD comorbidities, anxiety, and depression	9
Yaffe et al, ²⁶ 2015	200 000 (100)	VA health medical record; United States	≥55	Clinical diagnosis	Risk of dementia	Age, CVD comorbidities, obesity, depression, income, and education level	9
Chang et al, ²⁴ 2013	8484 (59.3)	Longitudinal health insurance database; Taiwan	≥40	Clinical diagnosis	Risk of dementia	Age, sex, CVD comorbidities, urbanization level, and income	9
Yaffe et al, ¹⁸ 2011	298 (0)	SOF; United States	Mean (SD), 82.3 (3.2)	AHI3% (<15/15-30/≥30)	Risk of mild cognitive impairment or dementia	Age, race/ethnicity, BMI, education level, smoking, CVD comorbidities, antidepressant use, benzodiazepine use, non-benzodiazepine, and anxiolytics use	9

Abbreviations: 3MS, Modified Mini-Mental State Examination; AHI, apnea-hypopnea index; ARIC, Atherosclerosis Risk in Communities Study; BMI, body mass index; CRP, C-reactive protein; CVD, cardiovascular diseases; DWR, delayed word recall; DSST, Digit-Symbol Substitution test; ESS, Excessive Sleepiness Scale; IADL, instrumental

a 3% reduction (AHI3%), or an event-related arousal (AHI3a). Because there was a mixed use of these definitions in the included studies, we first presented narrative description of the definitions, and for the main analysis, we pooled the estimates by AHI less than 15 and 15 or greater to be consistent with the most commonly used cutoff³³ and to provide a most generalizable categorization. For studies that have further broken down these 2 categories,^{17,25,27-29,32} we calculated a weighted mean for AHI less than 15 and 15 or greater. For other studies^{16,29,30} that did not provide sufficient information for analysis by this cutoff, we contacted study authors or used other literature to obtain necessary information for the calculation.

Statistical Analysis

We pooled risk ratios to summarize the prospective relationship between SDB and cognitive impairment. One prospective study¹⁷ reported the changes in z scores of 3 cognitive tests that were strongly associated with AD or vascular dementia; we converted the mean z scores of these tests into a single risk ratio using the online meta-analysis calculator (David B. Wilson, PhD; <http://www.campbellcollaboration.org/escal/html/EffectSizeCalculator-OR5.php>).

For cross-sectional studies, we calculated SMD in cognitive test scores by SDB status. In cases where a higher score indicated worse cognition, we multiplied the score by -1 so that high scores indicated better performance across all tests. One study³⁴ only reported the OR, and this was converted to Cohen d using the formula $SMD = \log(OR) \times \sqrt{3} / \pi$. For one study²⁹ where neither the SDs nor the 95% CIs were reported, we used the SD of the same test from another previous study.²¹ To estimate the pooled SMD for each cognitive domain, we first calculated a summary Hedges g estimate for each domain from each individual source study. This step ensures that multiple relevant tests within each source study were all considered in the analysis without being overrepresented in the pooled analysis. All effect estimates were then pooled using a weighted random-effects model.

We tested between-study heterogeneity using I² statistics³⁵ and used Egger test and funnel plot asymmetry to evaluate publication bias.³⁶ All tests were 2-tailed. Sensitivity analysis was conducted by excluding the studies that introduced significant heterogeneity to the analysis on each cognitive outcome. The quality of the included studies was evaluated independently by 2 investigators (Y.L. and C.T.M.) using the Newcastle-Ottawa Quality Assessment Scale.³⁷ Our study adheres to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines³⁸ and the Meta-analysis of Observational Studies in Epidemiology (MOOSE) checklist.³⁹ All statistical analysis was performed using Review Manager Software version 5 (Cochrane Collaboration). Significance was set at P < .05.

Results

Literature Search and Study Characteristics

We identified a total of 3527 articles, including 1347 articles from PubMed, 359 from PsychINFO, and 1821 from EMBASE.

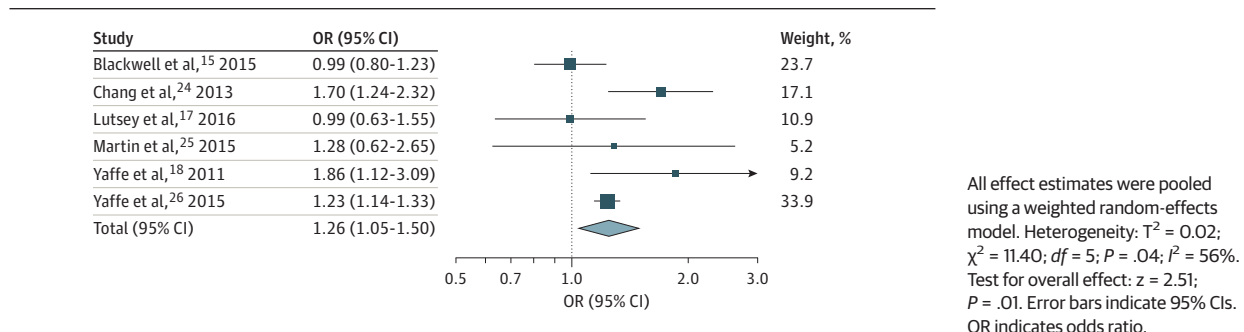
Table 2. Summary of Cross-sectional Studies on Sleep-Disordered Breathing and Performance in Different Cognitive Domains

Source	Total No. (% Men)	Cohort; Country	Age, y	Sleep Apnea	Global Function	Memory	Executive Function	Adjusted Variables	NOS Score
Lutsey et al, ¹⁷ 2016 ^a	966 (48.6)	ARIC; United States	Mean (SD), 61.3 (5.0)	AHI4% (<5/5-14-9/15-29.9/≥30)	MMSE	DWR/logical memory test	Word fluency/DSST/Trail Making Test B	Age, sex, field center, education level, alcohol intake, smoking, physical activity, presence of APOE4, BMI, CRP, and CVD comorbidities	8
Ramos et al, ¹⁶ 2015	8059 (37.6)	Hispanic/Latino population; United States	45-74	AHI3% (continuous)	B-SEVLT	SEVLT-recall	Word fluency/DSST	Age, sex, education level, CVD comorbidities, depressive symptoms, anxiety, smoking, BMI, and field center	6
Dlugaj et al, ²⁸ 2014	1793 (51.3)	Heinz Nixdorf Recall Study; Germany	Mean (SD), 63.8 (7.5)	AHI (<15/15-29/≥30)	NA	Verbal memory	Problem solving/speed of processing	Age, sex, and education level	6
Nikodemova et al, ³¹ 2013	755 (59.1)	Wisconsin Sleep Cohort Study; United States	Mean, 53.9	AHI4% (<5/5-14/≥15)	NA	AVLT	Trail Making Test B/symbol digit modalities test/COWT	Age, sex, education level, and BMI	6
Hrubos-Strøm et al, ³² 2012	290 (55.9)	Akershus Sleep Apnea Project; Norway	Mean (SD), 48.2 (11.2)	AHI4% (<15/≥15)	NA	AVLT ^b	Stroop test	Age, sex, and education level	6
Blackwell et al, ²⁷ 2011	2909 (100)	MROS; United States	Mean (SD), 76 (6)	AHI3% (<5/5-14/15-29/≥30)	3MS	NA	Trail Making Test B	Age, race/ethnicity, clinic, BMI, IADL, CVD comorbidities, antidepressant use, benzodiazepine use, depression, education level, alcohol use, smoking, physical activity, and self-reported health	7
Spira et al, ²⁰ 2008	448 (0)	SOF; United States	Mean (SD), 82.8 (3.4)	AHI3% (<30/≥30)	MMSE	NA	Trail Making Test B	Age, education level, and SSRI use	6
Sharafkhaneh et al, ³⁴ 2005	4060 504 (83.9)	VA health medical record; United States	Mean (SD), 59.0 (15.5)	Clinical diagnosis	Dementia prevalence	NA	NA	Age, sex, and race/ethnicity	6
Foley et al, ²⁹ 2003	718 (100)	Honolulu-Asia Aging study; United States	79-97	AHI4% (<5/5-14/15-29/≥30)	MMSE	NA	NA	Age, education level, and marital status	6

Abbreviations: AHI, apnea-hypopnea index; ARIC, Atherosclerosis Risk in Communities Study; AVLT, Auditory Verbal Listening Test; BMI, body mass index; B-SEVLT, Brief-Spanish English Verbal Learning Test; COWT, Controlled Oral Word Test; CRP, C-reactive protein; CVD, cardiovascular diseases; DWR, delayed word recall; DSST, Digit Symbol Substitution test; IADL, instrumental activities of daily living; MMSE, Mini-Mental State Examination; MROS, Osteoporotic Fractures in Men Study; NA, not applicable; NOS, Newcastle-Ottawa Quality Scale; SOF, Study of Osteoporotic Fractures; SSRI, selective serotonin reuptake inhibitor.

^a The cross-sectional analysis from this prospective study is assessed.

^b Immediate memory; all other memory tests were based on delayed recall.

Figure 1. Forest Plot of Prospective Studies on Association Between Sleep-Disordered Breathing and Risk of Cognitive Impairment

After screening titles and abstracts, we selected 134 articles for further evaluation, and after applying the inclusion and exclusion criteria, we retrieved 24 full-text articles for detailed review. After excluding studies with insufficient data for the meta-analysis, our analytic sample included 14 studies^{15-18,24-33} with 4 288 419 participants from 5 different countries (10 studies from the United States and 1 each from France, Germany, Norway, and Taiwan) (eFigure in the Supplement). Table 1 and Table 2 summarize the characteristics and Newcastle-Ottawa Quality Assessment Scale quality scores of included studies. Two studies of different designs were published from the Study of Osteoporotic Fractures,^{18,30} which recruited women only, and another 2 from the Osteoporotic Fractures in Men,^{15,27} focusing on men only. Moreover, 2 studies were based on the US Veterans Affairs health medical records and included primarily men.^{26,33} Three studies used clinically diagnosed SDB,^{24,26,33} while the rest presented results by AHI cutoffs^{15,17,18,21,25,27-32} or by continuous scores.¹⁶ Four studies^{17,29,31,32} used AHI4% for the definition of SDB, 6 studies^{15,16,18,25,27,30} used AHI3%, and 1 study²⁸ did not specify the definition of AHI.

Prospective Studies of SDB and Risk of Cognitive Impairment

Pooled analysis of the 6 prospective studies (including 212 943 participants) indicated that those with SDB were 26% (risk ratio, 1.26; 95% CI, 1.05-1.50) more likely to develop cognitive impairment, defined by clinically relevant cognitive decline^{15,17,25} or risk of dementia,^{18,24,26} with no evidence of publication bias but significant between-study heterogeneity (Figure 1). The 3 prospective studies that showed positive findings all examined risk of mild cognitive impairment (MCI) or dementia as the outcome,^{18,24,26} and 2 of these used ICD-9 codes to define SDB.^{24,26} Sensitivity analysis shows that after removing the study by Blackwell et al¹⁵ from this pooled analysis, the heterogeneity disappeared (risk ratio, 1.35; 95% CI, 1.11-1.65).

Cross-sectional Studies

Global Cognition

Pooled analysis of 6 cross-sectional studies (including 4 073 604 participants) suggested that SDB was not significantly associated with global cognition (SMD, -0.02 ; 95% CI, -0.07 to 0.04) (Figure 2A). There was no evidence of publication bias but significant heterogeneity between studies. Af-

ter removing the study by Sharafkhaneh et al,³³ the heterogeneity disappeared (SMD, 0.01 ; 95% CI, -0.04 to 0.05).

Executive Function

Pooled analysis of 7 cross-sectional studies (including 15 220 participants) indicated that those with SDB had worse executive function compared with those without (SMD, -0.05 ; 95% CI, -0.09 to 0.00) (Figure 2B). There was no evidence of heterogeneity or publication bias.

Memory

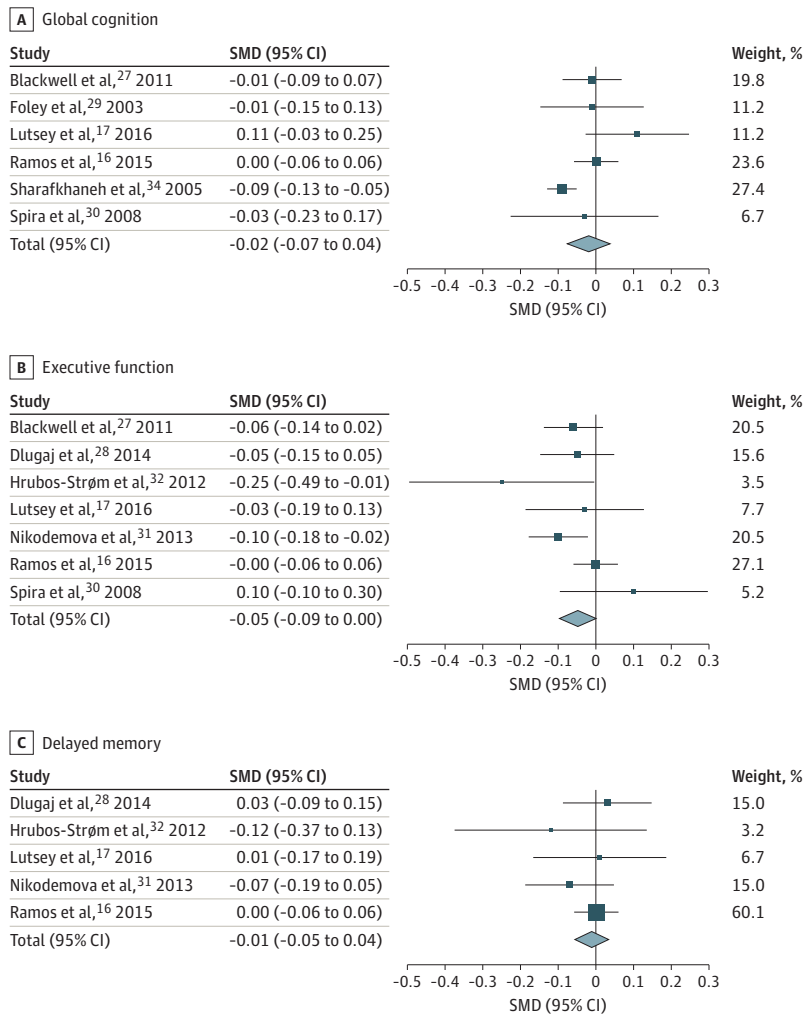
Figure 2C shows the relationship between SDB and memory. One study only examined immediate memory,³² while the rest assessed delayed recall.^{16,17,28,31} Pooled analysis of 5 cross-sectional studies (including 11 863 participants) indicated an SMD of -0.01 (95% CI, -0.05 to 0.04) in memory score between those with and without SDB. There was no evidence of heterogeneity or publication bias.

Discussion

To our knowledge, this study is the first to provide a comprehensive systematic review and quantitative synthesis of population-based studies on SDB and cognitive function and to obtain pooled estimates of the effects of SDB on both the risk of cognitive impairment and on different domains of cognitive function. Our pooled analysis of more than 4 million adults showed that those with SDB were 26% (risk ratio, 1.26; 95% CI, 1.05-1.50) more likely to develop cognitive impairment and had slightly worse performance in executive function but not on global cognition or memory. Although some between-study heterogeneity was found, sensitivity analysis confirmed these associations, and there was no evidence of publication bias.

Our findings provide evidence that SDB may be an important modifiable risk factor for cognitive impairment in elderly persons. Notably, a 2017 study⁴⁰ suggested that SDB might lead to early but possibly modifiable AD biomarker changes. Our results are also supported by a 2016 meta-analysis of case-control studies,⁴¹ which suggested that patients with AD were 5-fold more likely to have SDB compared with cognitively intact individuals of similar age. In our meta-analysis, the 3 prospective studies^{18,24,26} that examined the risk

Figure 2. Forest Plot of Cross-sectional Studies on Association Between Sleep-Disordered Breathing and Cognitive Domains



All effect estimates were pooled using a weighted random-effects model. Error bars indicate 95% CIs. SMD indicates standard mean difference. A, Forest plot of association between sleep-disordered breathing and global cognition. Heterogeneity: $T^2 = 0.00$; $\chi^2 = 13.35$; $df = 5$; $P = .02$; $I^2 = 63\%$. Test for overall effect: $z = 0.59$; $P = .56$. B, Forest plot of association between sleep-disordered breathing and executive function. Heterogeneity: $T^2 = 0.00$; $\chi^2 = 9.00$; $df = 6$; $P = .17$; $I^2 = 33\%$. Test for overall effect: $z = 1.92$; $P = .05$. C, Forest plot of association between sleep-disordered breathing and delayed memory. Heterogeneity: $T^2 = 0.00$; $\chi^2 = 2.34$; $df = 4$; $P = .67$; $I^2 = 0\%$. Test for overall effect: $z = 0.37$; $P = .71$.

of MCI or dementia as the outcomes showed significant associations while the other 3 studies^{15,17,25} that did not find any association all defined cognitive impairment as a significant cognitive decline. This could be owing to the differences in these cognitive outcomes, given that the severity of cognitive impairment indicated by even a significant decline in cognitive scores might not be comparable with that of clinically diagnosed MCI or dementia. It is also possible that SDB might be particularly important through the course of conversion to MCI or dementia. However, to our knowledge, the underlying cause of this observation has yet to be determined. Furthermore, studies that found significant results mostly used clinical diagnosis of SDB rather than an AHI of 15 or greater.^{24,26} This could be because the more severe cases are more likely to be detected and less likely to be misclassified or because there exist mechanistic pathways through which severe SDB could particularly impair cognition. Sensitivity analysis showed similar results after removing the study¹⁵ that introduced significant heterogeneity to the pooled analysis of prospective studies. This heterogeneity might be because of spe-

cific characteristics of the study sample (all older men)¹⁵ or relatively short follow-up length compared with other similar studies.^{17,25}

The pooled analysis of cross-sectional studies suggests that there was a modest association between SDB and worse executive function but not with global cognition or memory. This is in line with 2 previous meta-analyses of clinical studies,^{42,43} which indicated an effect of SDB on executive function, vigilance, and psychomotor speed, while mixed findings have been reported on the effects of SDB on memory.⁴²⁻⁴⁴ Notably, the included studies used cognitive tests that varied in the difficulty of administration. Moreover, not all studies examined the same cognitive domains, and even those that studied similar domains might have used different measures of that domain. This is particularly an issue for executive function, where certain components might be more vulnerable to the negative effects of SDB. Therefore, these findings should be interpreted with caution.

Several mechanisms have been proposed for the association between SDB and neurocognitive decline, including

hypoxemia, daytime sleepiness, sleep fragmentation, and oxidative stress.^{45,46} To date, it remains controversial which is the most likely mechanism, especially in the absence of well-designed interventional studies to help disentangle the causal pathways. Notably, there has been growing attention on the important role that hypoxemia might play in the relationship between SDB and cognition.^{18,45-47} Findings from the HypnoLaus study,²⁰ the Sleep Heart Health Study,⁴⁸ the Apnea Positive Pressure Long-term Efficacy Study,⁴⁹ and the Study of Osteoporotic Fractures¹⁴ all suggest that degree of hypoxemia or oxygen desaturation rather than sleep fragmentation might affect cognitive performance in middle-aged and elderly persons. Regular intermittent hypoxia may cause vascular dysfunction, kill neurons, and impair the blood-brain barrier, leading to long-term disruption of the brain's microenvironment and synaptic plasticity.^{10,50} A few studies have suggested that measures of oxygen desaturation are associated with cognitive impairment.^{15,18,27,30} Because measures of oxygen desaturation were only reported in 2 studies included in this meta-analysis, we could not calculate pooled estimates for these measures. Future studies with consideration of different indices of SDB might provide more insights into the mechanisms.

Two included studies indicated that the effects of SDB on cognition were more pronounced in APOE4 carriers.^{30,31} While the exact mechanisms for the interaction between SDB and APOE4 on cognition are unclear, the presence of APOE4 genotype is believed to increase cellular vulnerability to oxidative damage and promote neuroinflammation.^{51,52} More studies are needed to investigate the combined effects of APOE4 and SDB on cognition and to help understand potential mechanisms of their interaction.

Limitations

A few factors limit the interpretation of our results. First, the limited amount of empirical data that is appropriate for inclusion in the meta-analysis has led to a few problems. For example, prospective studies that examined "clinically significant" cognitive decline and MCI or dementia were analyzed in the same model, despite the differences between these outcomes. However, sensitivity analysis showed similar results after removing the heterogeneity from the analysis. We focused on summarizing cross-sectional evidence on 3 broad cognitive domains owing to a limited number of studies that examined detailed components of the cognitive domain and the variations in the cognitive tests administered. Although some evidence was found on the relationship between SDB and executive function, the clinical relevance of these findings is unclear, given the modest effect sizes. Future studies should use comprehensive neuropsychological test batteries, and a greater number of studies is needed to examine the effects of SDB on

specific cognitive abilities more carefully. The scarce information from existing studies has also limited our ability to perform subgroup analysis, eg, by age. Given the potential differences in SDB phenotypes among younger vs older populations,^{5,53,54} our analysis on the mixture of middle-aged and older populations might have led to underestimation of the overall association, and we were unable to differentiate the cognitive effects of SDB in different age groups. Besides, to control for confounding, we included only the most adjusted model within each study. However, the level of adjustment in each study was different, and many studies have failed to consider potentially important confounders, such as body mass index. Therefore, residual confounding remains a possibility. Finally, we used a clinical diagnosis or an AHI of 15 or greater to define SDB in the pooled analysis, as this is the cutoff used by most previous studies.³³ This could have introduced misclassification that dilutes the association, especially given that the 3 different methods of scoring hypopneas could yield significantly different AHI values.⁵⁵ Because there were not enough studies for meta-analysis if we were to perform the analysis separately for AHI3%, AHI3a, and AHI4%, we chose to use 1 cutoff in the analysis but have presented these methods narratively. This approach has also limited our ability to examine the association between the severity of SDB and cognitive outcomes. It is possible that while no association was found between certain cognitive domains and the dichotomized AHI, more severe SDB or other indicators of hypoxemia might be associated with significantly worse cognition. Future epidemiologic studies should consider continuous measures of AHI to minimize the possibility of misclassification and to reveal more information about the severity of SDB.

Conclusions

In summary, this meta-analysis of population-based studies suggests that individuals with SDB are 26% more likely to develop cognitive impairment. Given the high prevalence of both cognitive impairment and SDB, these findings could have significant clinical implications. Identification of SDB in elderly persons might help to predict future risk of cognitive impairment. Clinicians should closely follow patients who experience significant levels of SDB for the occurrence of cognitive dysfunction and might consider administering full neuropsychological batteries in some instances. This is potentially important for the early detection of dementia. Future studies are required to examine whether treatment of SDB could benefit cognition and to explore underlying mechanisms. Ultimately, this might open up new opportunities for the prevention of cognitive decline and dementia in elderly persons.

ARTICLE INFORMATION

Accepted for Publication: June 15, 2017.

Published Online: August 28, 2017.

doi:10.1001/jamaneurol.2017.2180

Author Contributions: Dr Leng had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Study concept and design: Leng, Yaffe.

Acquisition, analysis, or interpretation of data: All authors.

Drafting of the manuscript: Leng, Allen, Yaffe.

Critical revision of the manuscript for important intellectual content: Leng, McEvoy, Yaffe.

Statistical analysis: All authors.

Administrative, technical, or material support: Yaffe. Study supervision: Yaffe.

Conflict of Interest Disclosures: None reported.

Additional Contributions: We are grateful for the advice and comments provided by Allison Kaup, PhD (University of California, San Francisco), on the revision of the manuscript. We also thank Paul Peppard, PhD (University of Wisconsin, Madison), Harald Hrubos-Strøm, PhD (Aarhus University Hospital, Aarhus, Denmark), Alberto Ramos, MD (University of Miami Miller School of Medicine, Miami, Florida), and Hector Gonzalez, PhD (Michigan State University, East Lansing), for providing information for the meta-analysis. None of the contributors were compensated for their contribution.

REFERENCES

- Nieto FJ, Young TB, Lind BK, et al. Association of sleep-disordered breathing, sleep apnea, and hypertension in a large community-based study: Sleep Heart Health Study. *JAMA*. 2000;283(14):1829-1836.
- Young T, Peppard PE, Gottlieb DJ. Epidemiology of obstructive sleep apnea: a population health perspective. *Am J Respir Crit Care Med*. 2002;165(9):1217-1239.
- Kasai T, Floras JS, Bradley TD. Sleep apnea and cardiovascular disease: a bidirectional relationship. *Circulation*. 2012;126(12):1495-1510.
- Rajan P, Greenberg H. Obstructive sleep apnea as a risk factor for type 2 diabetes mellitus. *Nat Sci Sleep*. 2015;7:113-125.
- Haas DC, Foster GL, Nieto FJ, et al. Age-dependent associations between sleep-disordered breathing and hypertension: importance of discriminating between systolic/diastolic hypertension and isolated systolic hypertension in the Sleep Heart Health Study. *Circulation*. 2005;111(5):614-621.
- Lavie P, Lavie L. Unexpected survival advantage in elderly people with moderate sleep apnoea. *J Sleep Res*. 2009;18(4):397-403.
- Kendzierska T, Mollayeva T, Gershon AS, Leung RS, Hawker G, Tomlinson G. Untreated obstructive sleep apnea and the risk for serious long-term adverse outcomes: a systematic review. *Sleep Med Rev*. 2014;18(1):49-59.
- Gottlieb DJ, Yenokyan G, Newman AB, et al. Prospective study of obstructive sleep apnea and incident coronary heart disease and heart failure: the Sleep Heart Health Study. *Circulation*. 2010;122(4):352-360.
- Ayalon L, Ancoli-Israel S, Drummond SP. Obstructive sleep apnea and age: a double insult to brain function? *Am J Respir Crit Care Med*. 2010;182(3):413-419.
- Zimmerman ME, Aloia MS. Sleep-disordered breathing and cognition in older adults. *Curr Neurol Neurosci Rep*. 2012;12(5):537-546.
- Bliwise DL. Sleep apnea, APOE4 and Alzheimer's disease 20 years and counting? *J Psychosom Res*. 2002;53(1):539-546.
- Saunamäki T, Himanen SL, Polo O, Jehkonen M. Executive dysfunction in patients with obstructive sleep apnea syndrome. *Eur Neurol*. 2009;62(4):237-242.
- Naëgelé B, Launois SH, Mazza S, Feuerstein C, Pépin JL, Lévy P. Which memory processes are affected in patients with obstructive sleep apnea? an evaluation of 3 types of memory. *Sleep*. 2006;29(4):533-544.
- Ju G, Yoon IY, Lee SD, Kim TH, Choe JY, Kim KW. Effects of sleep apnea syndrome on delayed memory and executive function in elderly adults. *J Am Geriatr Soc*. 2012;60(6):1099-1103.
- Blackwell T, Yaffe K, Laffan A, et al; Osteoporotic Fractures in Men Study Group. Associations between sleep-disordered breathing, nocturnal hypoxemia, and subsequent cognitive decline in older community-dwelling men: the Osteoporotic Fractures in Men Sleep Study. *J Am Geriatr Soc*. 2015;63(3):453-461.
- Ramos AR, Tarraf W, Rundek T, et al. Obstructive sleep apnea and neurocognitive function in a Hispanic/Latino population. *Neurology*. 2015;84(4):391-398.
- Lutsey PL, Bengtson LG, Punjabi NM, et al. Obstructive sleep apnea and 15-year cognitive decline: the Atherosclerosis Risk in Communities (ARIC) Study. *Sleep*. 2016;39(2):309-316.
- Yaffe K, Laffan AM, Harrison SL, et al. Sleep-disordered breathing, hypoxia, and risk of mild cognitive impairment and dementia in older women. *JAMA*. 2011;306(6):613-619.
- Kim H, Dinges DF, Young T. Sleep-disordered breathing and psychomotor vigilance in a community-based sample. *Sleep*. 2007;30(10):1309-1316.
- Haba-Rubio J, Marti-Soler H, Tobback N, et al. Sleep characteristics and cognitive impairment in the general population: the HypnoLaus study. *Neurology*. 2017;88(5):463-469.
- Sforza E, Roche F, Thomas-Anterion C, et al. Cognitive function and sleep related breathing disorders in a healthy elderly population: the SYNAPSE study. *Sleep*. 2010;33(4):515-521.
- Lopez OL, Jagust WJ, DeKosky ST, et al. Prevalence and classification of mild cognitive impairment in the Cardiovascular Health Study Cognition Study: part 1. *Arch Neurol*. 2003;60(10):1385-1389.
- Evans DA, Funkenstein HH, Albert MS, et al. Prevalence of Alzheimer's disease in a community population of older persons: higher than previously reported. *JAMA*. 1989;262(18):2551-2556.
- Chang WP, Liu ME, Chang WC, et al. Sleep apnea and the risk of dementia: a population-based 5-year follow-up study in Taiwan. *PLoS One*. 2013;8(10):e78655.
- Martin MS, Sforza E, Roche F, Barthélémy JC, Thomas-Anterion C; PROOF study group. Sleep breathing disorders and cognitive function in the elderly: an 8-year follow-up study: the PROOF-synapse cohort. *Sleep*. 2015;38(2):179-187.
- Yaffe K, Nettiksimmons J, Yesavage J, Byers A. Sleep quality and risk of dementia among older male veterans. *Am J Geriatr Psychiatry*. 2015;23(6):651-654.
- Blackwell T, Yaffe K, Ancoli-Israel S, et al; Osteoporotic Fractures in Men Study Group. Associations between sleep architecture and sleep-disordered breathing and cognition in older community-dwelling men: the Osteoporotic Fractures in Men Sleep Study. *J Am Geriatr Soc*. 2011;59(12):2217-2225.
- Dlugaj M, Weinreich G, Weimar C, et al; Heinz Nixdorf Recall Study Investigative Group. Sleep-disordered breathing, sleep quality, and mild cognitive impairment in the general population. *J Alzheimers Dis*. 2014;41(2):479-497.
- Foley DJ, Masaki K, White L, Larkin EK, Monjan A, Redline S. Sleep-disordered breathing and cognitive impairment in elderly Japanese-American men. *Sleep*. 2003;26(5):596-599.
- Spira AP, Blackwell T, Stone KL, et al. Sleep-disordered breathing and cognition in older women. *J Am Geriatr Soc*. 2008;56(1):45-50.
- Nikodemova M, Finn L, Mignot E, Salzieder N, Peppard PE. Association of sleep disordered breathing and cognitive deficit in APOE ε4 carriers. *Sleep*. 2013;36(6):873-880.
- Hrubos-Strøm H, Nordhus IH, Einvik G, et al. Obstructive sleep apnea, verbal memory, and executive function in a community-based high-risk population identified by the Berlin Questionnaire Akershus Sleep Apnea Project. *Sleep Breath*. 2012;16(1):223-231.
- Sleep-related breathing disorders in adults: recommendations for syndrome definition and measurement techniques in clinical research: the Report of an American Academy of Sleep Medicine Task Force. *Sleep*. 1999;22(5):667-689.
- Sharafkhaneh A, Giray N, Richardson P, Young T, Hirshkowitz M. Association of psychiatric disorders and sleep apnea in a large cohort. *Sleep*. 2005;28(11):1405-1411.
- Higgins JP, Thompson SG, Deeks JJ, Altman DG. Measuring inconsistency in meta-analyses. *BMJ*. 2003;327(7414):557-560.
- Egger M, Davey Smith G, Schneider M, Minder C. Bias in meta-analysis detected by a simple, graphical test. *BMJ*. 1997;315(7109):629-634.
- Wells GA, Shea B, O'Connell D, et al. The Newcastle-Ottawa Scale (NOS) for assessing the quality if nonrandomized studies in meta-analyses. http://www.ohri.ca/programs/clinical_epidemiology/oxford.htm. Accessed January 9, 2017.
- Moher D, Liberati A, Tetzlaff J, Altman DG; PRISMA Group. Preferred reporting items for systematic reviews and meta-analyses: the PRISMA statement. *PLoS Med*. 2009;6(7):e1000097.
- Stroup DF, Berlin JA, Morton SC, et al. Meta-analysis of observational studies in epidemiology: a proposal for reporting. Meta-analysis Of Observational Studies in Epidemiology (MOOSE) group. *JAMA*. 2000;283(15):2008-2012.
- Liguori C, Mercuri NB, Izzi F, et al. Obstructive sleep apnea is associated with early but possibly modifiable Alzheimer's disease biomarkers changes. *Sleep*. 2017;40(5).
- Emamian F, Khazaie H, Tahmasian M, et al. The association between obstructive sleep apnea and Alzheimer's disease: a meta-analysis perspective. *Front Aging Neurosci*. 2016;8:78.
- Beebe DW, Groesz L, Wells C, Nichols A, McGee K. The neuropsychological effects of

obstructive sleep apnea: a meta-analysis of norm-referenced and case-controlled data. *Sleep*. 2003;26(3):298-307.

43. Stranks EK, Crowe SF. The cognitive effects of obstructive sleep apnea: an updated meta-analysis. *Arch Clin Neuropsychol*. 2016;31(2):186-193.
44. Aloia MS, Arnedt JT, Davis JD, Riggs RL, Byrd D. Neuropsychological sequelae of obstructive sleep apnea-hypopnea syndrome: a critical review. *J Int Neuropsychol Soc*. 2004;10(5):772-785.
45. Engleman H, Joffe D. Neuropsychological function in obstructive sleep apnoea. *Sleep Med Rev*. 1999;3(1):59-78.
46. Lim DC, Veasey SC. Neural injury in sleep apnea. *Curr Neurol Neurosci Rep*. 2010;10(1):47-52.
47. Auerbach S, Yaffe K. The link between sleep-disordered breathing and cognition in the elderly: new opportunities? *Neurology*. 2017;88(5):424-425.
48. Quan SF, Wright R, Baldwin CM, et al. Obstructive sleep apnea-hypopnea and neurocognitive functioning in the Sleep Heart Health Study. *Sleep Med*. 2006;7(6):498-507.
49. Quan SF, Chan CS, Dement WC, et al. The association between obstructive sleep apnea and neurocognitive performance: the Apnea Positive Pressure Long-term Efficacy Study (APPLES). *Sleep*. 2011;34(3):303-314B.
50. Lim DC, Pack AI. Obstructive sleep apnea and cognitive impairment: addressing the blood-brain barrier. *Sleep Med Rev*. 2014;18(1):35-48.
51. Nunomura A, Castellani RJ, Zhu X, Moreira PI, Perry G, Smith MA. Involvement of oxidative stress in Alzheimer disease. *J Neuropathol Exp Neurol*. 2006;65(7):631-641.
52. Fazekas F, Enzinger C, Ropele S, Schmidt H, Schmidt R, Strasser-Fuchs S. The impact of our genes: consequences of the apolipoprotein E polymorphism in Alzheimer disease and multiple sclerosis. *J Neurol Sci*. 2006;245(1-2):35-39.
53. McMillan A, Morrell MJ. Sleep disordered breathing at the extremes of age: the elderly. *Breathe (Sheff)*. 2016;12(1):50-60.
54. Bliwise DL. Epidemiology of age-dependence in sleep disordered breathing (Sdb) in old age: the Bay Area Sleep Cohort (Basc). *Sleep Med Clin*. 2009;4(1):57-64.
55. Ho V, Crainiceanu CM, Punjabi NM, Redline S, Gottlieb DJ. Calibration model for apnea-hypopnea indices: impact of alternative criteria for hypopneas. *Sleep*. 2015;38(12):1887-1892.