

## ASYMPTOTICS TOWARD THE PLANAR RAREFACTION WAVE FOR VISCOUS CONSERVATION LAW IN TWO SPACE DIMENSIONS

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ABSTRACT. This paper is concerned with the asymptotic behavior of the solution toward the planar rarefaction wave  $r(\frac{x}{t})$  connecting  $u_+$  and  $u_-$  for the scalar viscous conservation law in two space dimensions. We assume that the initial data  $u_0(x, y)$  tends to constant states  $u_\pm$  as  $x \rightarrow \pm\infty$ , respectively. Then, the convergence rate to  $r(\frac{x}{t})$  of the solution  $u(t, x, y)$  is investigated without the smallness conditions of  $|u_+ - u_-|$  and the initial disturbance. The proof is given by elementary  $L^2$ -energy method.

### 1. INTRODUCTION

We consider the Cauchy problem for the scalar viscous conservation law in two space dimensions:

$$(1.1) \quad u_t + f(u)_x + g(u)_y = \mu \Delta u, \quad (t, x, y) \in R_+ \times R^2,$$

$$(1.2) \quad u(0, x, y) = u_0(x, y),$$

where  $f$  and  $g$  are smooth functions, and  $\mu$  is a positive constant. We assume that  $f$  is convex, i.e.,

$$(1.3) \quad f''(u) \geq \alpha > 0 \quad \text{for } u \in R,$$

and that the initial data is asymptotically constant:

$$(1.4) \quad u_0(x, y) \rightarrow u_\pm \quad \text{as } x \rightarrow \pm\infty \quad \text{for any fixed } y \in R,$$

where  $u_\pm$  are constants satisfying  $u_- < u_+$ . The asymptotic behavior as  $t \rightarrow \infty$  of the solution is closely related to that of the Riemann problem for the corresponding hyperbolic conservation law in one space dimension:

$$(1.5) \quad r_t + f(r)_x = 0, \quad (t, x) \in (-1, \infty) \times R,$$

$$(1.6) \quad r(-1, x) = r_0^R(x) \equiv \begin{cases} u_- & \text{for } x < 0, \\ u_+ & \text{for } x > 0. \end{cases}$$

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Received by the editors July 8, 1996 and, in revised form, October 14, 1997.

1991 *Mathematics Subject Classification*. Primary 35L65, 35L67, 76L05.

*Key words and phrases*. Nonlinear stable, viscous conservation law, planar rarefaction wave,  $L^2$ -energy method.

The entropy solution  $r(t, x)$  of (1.5), (1.6) is given by

$$(1.7) \quad r(t, x) = \begin{cases} u_- & \text{for } x < f'(u_-)(t+1), \\ (f')^{-1}\left(\frac{x}{t+1}\right) & \text{for } f'(u_-)(t+1) \leq x \leq f'(u_+)(t+1), \\ u_+ & \text{for } f'(u_+)(t+1) < x. \end{cases}$$

The function  $(t, x, y) \rightarrow r(t, x)$  is called the planar rarefaction wave. In a one dimensional case, the asymptotic behaviors of solutions were originally investigated by Il'in and Oleinik [3]. Harabetian [1] obtained the convergence rate toward the rarefaction wave. Hattori and Nishihara [2] showed more precise behaviors of the solution for the Burgers equation, employing the Hopf-Cole transformation. See also [5], [6], [7], [8], [10].

In a two dimensional case, Xin [9] has first investigated the stability of the planar rarefaction wave. Ito [4] has recently shown the convergence rate toward the planar rarefaction wave. In both papers, the smallness of initial disturbance is essentially assumed. In [4], the rarefaction wave is also assumed to be weak.

Our main purpose in this paper is to show that the solution  $u(t, x, y)$  asymptotically behaves as  $r(t, x)$  with the same rate as that in [4] without smallness conditions, which improves their results.

Denote  $R_+^2 = \{(x, y) \in R^2; x > 0\}$ ,  $R_-^2 = \{(x, y) \in R^2; x < 0\}$  and  $D = (\frac{\partial}{\partial x}, \frac{\partial}{\partial y})$ . Then, our main theorem is as follows.

**Theorem 1.** *Suppose that  $u_0(x, y) - u_{\pm} \in L^2(R_{\pm}^2) \cap L^1(R_{\pm}^2)$  and  $D^\alpha u_0(x, y) \in H^1(R^2)$ ,  $|\alpha| = 1$ . Then the problem (1.1), (1.2) has a unique global solution  $u(t, x, y)$  satisfying*

$$(1.8) \quad \sup_{y \in R} \|u(t, \cdot, y) - r(t, \cdot)\|_{L^2(R_x)} \leq C(1+t)^{-\frac{1}{4}} \log(2+t),$$

where  $C$  is a positive constant depending on  $u_0$ .

Our plan in this paper is as follows. In the next section, we construct a smooth rarefaction wave, which is different from that in [4], and reformulate our problem. In the last two sections, we give the proofs of theorems for the reformulated problems.

## 2. SMOOTH APPROXIMATION AND PRELIMINARIES

We first introduce the function  $\tilde{w}(t, x)$  as a solution to the problem:

$$(2.1) \quad \tilde{w}_t + \tilde{w}\tilde{w}_x = \mu\tilde{w}_{xx}, \quad (t, x) \in (-1, \infty) \times R,$$

$$(2.2) \quad \tilde{w}(-1, x) = \tilde{r}_0^R(x) \equiv f'(r_0^R(x)).$$

The Hopf-Cole transformation gives the information of the properties of  $\tilde{w}$ . Using  $\tilde{w}(t, x)$ , we define "the smooth rarefaction wave"  $w(t, x)$  as

$$(2.3) \quad w(t, x) = (f')^{-1}(\tilde{w}(t, x))|_{t \geq 0}.$$

According to (1.3),  $w(t, x)$  satisfies

$$(2.4) \quad w_t + f(w)_x = \mu w_{xx} + \mu \frac{f'''(w)}{f''(w)} w_x^2, \quad (t, x) \in R_+ \times R,$$

$$(2.5) \quad w(0, x) = w_0(x) \equiv f'(\tilde{w}(0, x)).$$

The properties of the smooth rarefaction wave  $w(t, x)$  are stated in the following lemma. From now on, we denote several constants by  $C$  or  $c$  without confusion.

**Lemma 1** (Hattori and Nishihara [2]). *The smooth rarefaction wave  $w(t, x)$  given by (2.3) satisfies the following properties:*

- (i)  $|w(t, x) - u_{\pm}| \leq C \exp(-c|x|^2)$ ,
- (ii)  $w_x(t, x) > 0$ ,
- (iii)  $\|w_x(t, \cdot)\|_{L^p(R)} \leq (1+t)^{-1+\frac{1}{p}}$ ,  $\|w_{xx}(t, \cdot)\|_{L^p(R)} \leq (1+t)^{-1}$ ,
- (iv)  $\|w(t, \cdot) - r(t, \cdot)\|_{L^p(R)} \leq C(1+t)^{-\frac{p-1}{2p}}$ .

Since there is a “forcing term”  $\frac{f'''(w)}{f''(w)}w_x^2$  in the equation (2.4), we further introduce the smooth rarefaction wave  $U(t, x)$  approximate to  $w$ , which satisfies

$$(2.6) \quad U_t + f(U)_x = U_{xx}, \quad (t, x) \in R_+ \times R,$$

$$(2.7) \quad U(0, x) = U_0(x) \equiv f'(\tilde{w}(0, x)).$$

The monotonicity in  $x$  of  $U(t, x)$  was obtained by Xin [9], which is important in the a priori estimates in §4.

**Lemma 2** (Xin [9]). *Suppose that  $U_0(x)$  is monotonically increasing:*

$$(2.8) \quad \frac{d}{dt}U_0(x) > 0, \quad x \in R.$$

Then, the solution  $U(t, x)$  of (2.6), (2.7) satisfies

$$(2.9) \quad \frac{d}{dx}U(t, x) > 0, \quad (t, x) \in R_+ \times R.$$

Thus, setting

$$\begin{aligned} u(t, x, y) - r(t, x) &= \{w(t, x) - r(t, x)\} + \{U(t, x) - w(t, x)\} + \{u(t, x, y) - U(t, x)\} \\ &\equiv \{w(t, x) - r(t, x)\} + v(t, x) + V(t, x, y), \end{aligned}$$

we have reached two reformulated problems:

$$(2.10) \quad v_t + \{f(w+v) - f(w)\}_x = \mu v_{xx} - \mu \frac{f'''(w)}{f''(w)}w_x^2, \quad (t, x) \in R_+ \times R,$$

$$(2.11) \quad v(0, x) = U_0(x) - w(0, x) \equiv v_0(x),$$

and

$$(2.12) \quad V_t + \{f(U+V) - f(U)\}_x + g(U+V)_y = \mu \Delta V, \quad (t, x, y) \in R_+ \times R^2,$$

$$(2.13) \quad V(0, x, y) = u_0(x, y) - U_0(x) \equiv V_0(x, y).$$

The perturbations  $v$  and  $V$  satisfy the following theorems, respectively.

**Theorem 2** (Decay estimate). *Suppose that  $v_0 \in H^2(R) \cap L^1(R)$ . Then the problem (2.10), (2.11) has a unique global solution  $v(t, x)$  satisfying*

$$v \in C^0([0, \infty); H^2(R)) \cap C^0([0, \infty); L^1(R)),$$

$$v_x \in L^2(0, T; H^2(R)),$$

and

$$(2.14) \quad \|v(t, \cdot)\|_{L^2(R)} \leq C(1+t)^{-\frac{1}{4}} \log(2+t).$$

**Theorem 3** (Decay estimate). *Suppose that  $V_0 \in H^2(R^2) \cap L^1(R^2)$ . Then, the problem (2.12),(2.13) has a unique global solution  $V(t, x, y)$  satisfying*

$$V \in C^0([0, \infty); H^2(R)), \quad \nabla V \in L^2(0, \infty; H^2(R)),$$

and

$$(2.15) \quad \sup_{y \in R} \|V(t, \cdot, y)\|_{L^2(R_x)} \leq C(1+t)^{-\frac{3}{4}}.$$

Theorem 2, Theorem 3 and Lemma 1 (iv) yield the desired estimate (1.8). In the next two sections, we devote ourselves to the proofs of Theorems 2 and 3, respectively.

### 3. DECAY ESTIMATES FOR THE PERTURBATION $v$

We begin with the Cauchy problem

$$(3.1) \quad v_t + \{f(w+v) - f(w)\}_x = \mu v_{xx} - \mu \frac{f'''(w)}{f''(w)} w_x^2, \quad (t, x) \in R_+ \times R,$$

$$(3.2) \quad v(0, x) = U(0, x) - w(0, x) \equiv v_0(x).$$

We shall show that the problem (3.1),(3.2) has a unique global solution in the solution space  $X(0, \infty)$ , where

$$X_M(0, T) = \left\{ \psi \left| \begin{array}{l} \psi \in C^0([0, T]; H^2(R)), \quad \psi_x \in L^2(0, T; H^2(R)) \\ \text{and} \quad \sup_{[0, T]} \|\psi(t, \cdot)\|_{H^2} \leq M \end{array} \right. \right\}.$$

In what follows, we often abbreviate the domain  $R$  of  $H^2(R)$ , etc.

**Proposition 1** (Local existence). *Suppose that  $v_0 \in H^2(R)$ . For any  $M > 0$ , there exists a positive constant  $T_0$  depending on  $M$  such that if  $\|v_0\|_{H^2} \leq M$ , then the problem (3.1), (3.2) has a unique solution  $v(t, x) \in X_{2M}(0, T_0)$ .*

Proposition 1 can be proved in a standard way. So we omit the proof. Next, we show a priori estimates of  $v$ .

**Proposition 2** (A priori estimate). *Suppose that  $v$  is a solution of (3.1),(3.2) in  $X_M(0, T)$  for positive constants  $T$  and  $M$ . Then there exists a positive constant  $C_0$  such that*

$$(3.3) \quad \|v(t)\|_{H^2}^2 + \int_0^t \int_R w_x v^2 dx d\tau + \int_0^t \|v_x(\tau)\|_{H^2}^2 d\tau \leq C_0(\|v_0\|_{H^2}^2 + 1).$$

*Proof.* Multiplying (3.1) by  $v$ , we have

$$(3.4) \quad \frac{1}{2} \frac{d}{dt} \int_R v^2 dx + \int_R v \{f(w+v) - f(w)\}_x dx + \mu \int_R v_x^2 dx = - \int_R v \frac{f'''(w)}{f''(w)} w_x^2 dx.$$

The second term of (3.4) is estimated by the following:

$$(3.5) \quad \begin{aligned} & \int_R v \{f(w+v) - f(w)\}_x dx = - \int_R v_x \{f(w+v) - f(w)\} dx \\ & = \int_R \left[ - \left( \int_w^{w+v} f(y) dy - f(w)v \right)_x + \{f(w+v) - f(w) - f'(w)v\} w_x \right] dx \\ & \geq \frac{\alpha}{2} \int_R w_x v^2 dx. \end{aligned}$$

The right hand side is estimated as follows:

$$\left| \int_R v \frac{f'''(w)}{f''(w)} w_x^2 dx \right| \leq C \int_R w_x^2 |v| dx \leq \frac{\alpha}{4} \int_R w_x |v|^2 dx + C \int_R w_x^3 dx.$$

Integrating (3.4) over  $[0, t]$  and using Lemma 1 (iii), we get

$$(3.6) \quad \|v(t)\|^2 + \int_0^t \int_R w_x v^2 dx d\tau + \int_0^t \|v_x(\tau)\|^2 d\tau \leq C_0(\|v_0\|^2 + 1).$$

Here and later, by  $\|\cdot\|$  we denote the  $L^2$ -norm in  $R$  or  $R^2$  without confusions. Next, we derive the higher order estimates. Multiplying (3.1) by  $(-v_{xx})$ , we have

$$(3.7) \quad \begin{aligned} & \frac{1}{2} \frac{d}{dt} \int_R v_x^2 dx - \int_R v_{xx} \{f(w+v) - f(w)\}_x dx + \mu \int_R v_{xx}^2 dx \\ & = \mu \int_R v_{xx} \frac{f'''(w)}{f''(w)} w_x^2 dx. \end{aligned}$$

The right-hand side is estimated as

$$\left| \int_R v_{xx} \frac{f'''(w)}{f''(w)} w_x^2 dx \right| \leq \frac{1}{4} \|v_{xx}\|^2 + C \|w_x\|_{L^4}^4.$$

The second term of (3.7) is estimated as

$$\left| \int_R v_{xx} \{f(w+v) - f(w)\}_x dx \right| \leq \frac{\mu}{4} \|v_{xx}\|^2 + C \left\{ \|v_x(t)\|^2 + \int_R w_x v^2 dx \right\}.$$

Here, the maximum principle for a parabolic equation has been employed. Hence, we have

$$(3.8) \quad \|v_x(t)\|^2 + \int_0^t \|v_{xx}(\tau)\|^2 d\tau \leq C_0(\|v_0\|_{H^1}^2 + 1).$$

Differentiating (3.1) twice in  $x$ , and multiplying it by  $v_{xxx}$ , we have

$$\begin{aligned} & \frac{1}{2} \frac{d}{dt} \|v_{xxx}(t)\|^2 + \int_R v_{xxx} \{f(w+v) - f(w)\}_{xxx} dx + \mu \|v_{xxx}(t)\|^2 \\ & = -\mu \int_R v_{xxx} \left( \frac{f'''(w)}{f''(w)} w_x^2 \right)_{xx} dx, \end{aligned}$$

which yields

$$(3.9) \quad \|v_{xxx}(t)\|^2 + \int_0^t \|v_{xxx}(\tau)\|^2 d\tau \leq C_0(\|v_0\|_{H^2}^2 + 1).$$

Thus, the proof of Proposition 3 is complete.  $\square$

Combining Proposition 1 with Proposition 2, we obtain the global result.

**Theorem 4** (Global existence). *Suppose that  $v_0(x) \in H^2(R)$ . Then the problem (3.1), (3.2) has a unique global solution  $v(t, x)$  satisfying*

$$v \in C^0([0, \infty); H^2(R)), \quad v_x \in L^2(0, \infty; H^2(R)),$$

and the estimate (3.3).

In order to obtain the decay order of  $v$ , we further assume that  $v_0 \in L^1(R)$ .

**Lemma 3.** *Suppose that  $v_0 \in L^1(\mathbb{R}) \cap H^2(\mathbb{R})$ . Then the solution  $v(t, x)$  also satisfies*

$$(3.10) \quad \|v(t)\|_{L^1} \leq \|v_0\|_{L^1} + C_1 \log(1 + t),$$

where  $C_1$  is a constant depending on  $|u_+ - u_-|$ .

*Proof.* The  $L^1$ -estimate (3.10) of  $v$  can be proved by the same method as that in [4]. So we omit the proof. □

**Theorem 5 (Decay estimate).** *Suppose that  $v_0 \in H^2(\mathbb{R}) \cap L^1(\mathbb{R})$ . Then, for any  $0 < \varepsilon < \frac{1}{2}$ , the solution  $v(t, x)$  of (3.1), (3.2) satisfies*

$$(3.11) \quad \begin{aligned} & (1 + t)^{k+\frac{1}{2}+\varepsilon} \|\partial_x^k v(t)\|^2 \\ & + \int_0^t (1 + \tau)^{k+\frac{1}{2}+\varepsilon} \left( \int_{\mathbb{R}} w_x |\partial_x^k v(\tau)|^2 dx + \|\partial_x^k v_x(\tau)\|^2 \right) d\tau \\ & \leq CI_k (1 + t)^\varepsilon \rho_k(t), \quad k = 0, 1, \end{aligned}$$

$$(3.12) \quad \begin{aligned} & (1 + t)^{2+\varepsilon} \|\partial_x^2 v(t)\|^2 \\ & + \int_0^t (1 + \tau)^{2+\varepsilon} \left( \int_{\mathbb{R}} w_x |\partial_x^2 v(\tau)|^2 dx + \|\partial_x^2 v_x(\tau)\|^2 \right) d\tau \\ & \leq CI_2 (1 + t)^\varepsilon \rho_2(t), \end{aligned}$$

where

$$I_k = (\|v_0\|_{L^1} + \|v_0\|_{H^k} + 1)^2, \quad k = 0, 1, \quad \rho_0 = \log^2(2 + t), \quad \rho_1 = \log^{10}(2 + t),$$

and

$$I_2 = (\|v_0\|_{L^1} + \|v_0\|_{H^2} + 1)^{\frac{70}{3}}, \quad \rho_2 = \log^6(2 + t).$$

**Remark.** *The estimate (3.11) with  $k = 0$  shows (2.14) in Theorem 2.*

*Proof.* The proof is similar to one in Ito [4]. However, the smooth rarefaction wave  $w(t, x)$  in [4] is different from ours and its estimates are done for the linearized equation around  $w(t, x)$ . Hence, we give the outline of the proof.

First, we show (3.11) with  $k = 0$ . From (3.4) and Lemma 1 (iii), we have

$$(3.13) \quad \frac{d}{dt} \|v(t)\|^2 + \int_{\mathbb{R}} w_x v^2 dx + \|v_x(t)\|^2 \leq C(1 + t)^{-2}.$$

Multiplying (3.13) by  $(1 + t)^{\frac{1}{2}+\varepsilon}$ , we have

$$(3.14) \quad \begin{aligned} & \frac{d}{dt} \{ (1 + t)^{\frac{1}{2}+\varepsilon} \|v(t)\|^2 \} + (1 + t)^{\frac{1}{2}+\varepsilon} \left( \int_{\mathbb{R}} w_x v^2 dx + \|v_x(t)\|^2 \right) \\ & \leq C \{ (1 + t)^{-\frac{1}{2}+\varepsilon} \|v(t)\|^2 + (1 + t)^{-\frac{3}{2}+\varepsilon} \}. \end{aligned}$$

By the Gagliardo-Nirenberg inequality

$$(3.15) \quad \|v(t)\|^2 \leq C \|v(t)\|_{L^1(\mathbb{R})}^{\frac{4}{3}} \|v_x(t)\|^{\frac{2}{3}},$$

we obtain

$$\begin{aligned} & \frac{d}{dt} \left\{ (1+t)^{\frac{1}{2}+\varepsilon} \|v(t)\|^2 \right\} + (1+t)^{\frac{1}{2}+\varepsilon} \left( \int_R w_x v^2 dx + \|v_x(t)\|^2 \right) \\ & \leq C \left\{ (1+t)^{-\frac{1}{2}+\varepsilon} \|v(t)\|_{L^1}^{\frac{4}{3}} \|v_x(t)\|^{\frac{2}{3}} + (1+t)^{-\frac{3}{2}+\varepsilon} \right\} \\ & \leq \frac{1}{2} (1+t)^{\frac{1}{2}+\varepsilon} \|v_x(t)\|^2 + C \left\{ (1+t)^{-1+\varepsilon} \|v(t)\|_{L^1}^2 + (1+t)^{-\frac{3}{2}+\varepsilon} \right\} \\ & \leq \frac{1}{2} (1+t)^{\frac{1}{2}+\varepsilon} \|v_x(t)\|^2 + C \left\{ (1+t)^{-1+\varepsilon} (\|v_0\|_{L^1}^2 + C_1 \log^2(1+t)) + (1+t)^{-\frac{3}{2}+\varepsilon} \right\}; \end{aligned}$$

that is,

$$(3.16) \quad \begin{aligned} & \frac{d}{dt} \left\{ (1+t)^{\frac{1}{2}+\varepsilon} \|v(t)\|^2 \right\} + (1+t)^{\frac{1}{2}+\varepsilon} \left( \int_R w_x v^2 dx + \|v_x(t)\|^2 \right) \\ & \leq C \left\{ (1+t)^{-1+\varepsilon} (\|v_0\|_{L^1}^2 + C_1 \log^2(1+t)) + (1+t)^{-\frac{3}{2}+\varepsilon} \right\}. \end{aligned}$$

Integrating (3.16) over  $[0, t]$  in  $t$ , we obtain (3.11) with  $k = 0$ .

Next, we derive (3.11) with  $k = 1$ . From (3.7), we have

$$(3.17) \quad \frac{1}{2} \frac{d}{dt} \|v_x(t)\|^2 - \int_R v_{xx} \{f(w+v) - f(w)\}_x dx + \mu \|v_{xx}(t)\|^2 \leq C(1+t)^{-3}.$$

Here

$$\begin{aligned} & - \int_R v_{xx} \{f(w+v) - f(w)\}_x dx \\ & = \frac{1}{2} \int_R f''(w+v) w_x v_x^2 dx + \int_R \left[ \frac{1}{2} v_x^3 - v_{xx} \{f'(w+v) - f'(w)\} w_x \right] dx. \end{aligned}$$

Hence, due to (1.3), we have

$$(3.18) \quad \begin{aligned} & \frac{d}{dt} \|v_x(t)\|^2 + \alpha \int_R w_x v_x^2 dx + \|v_{xx}(t)\|^2 \\ & \leq C \left\{ \int_R |v_{xx}| |v| |w_x| dx + \int_R |v_x|^3 dx + (1+t)^{-3} \right\} \\ & \leq \frac{1}{2} \|v_{xx}(t)\|^2 + C \left\{ \int_R w_x^2 v^2 dx + \|v_x(t)\|_{L^3}^3 + (1+t)^{-3} \right\}. \end{aligned}$$

Multiplying (3.18) by  $(1+t)^{\frac{3}{2}+\varepsilon}$ , we have

$$(3.19) \quad \begin{aligned} & \frac{d}{dt} \left\{ (1+t)^{\frac{3}{2}+\varepsilon} \|v_x(t)\|^2 \right\} + \alpha (1+t)^{\frac{3}{2}+\varepsilon} \int_R w_x v_x^2 dx + (1+t)^{\frac{3}{2}+\varepsilon} \|v_{xx}(t)\|^2 \\ & \leq C \left\{ (1+t)^{\frac{1}{2}+\varepsilon} \|v_x(t)\|^2 + (1+t)^{\frac{3}{2}+\varepsilon} \int_R w_x^2 v^2 dx \right. \\ & \quad \left. + (1+t)^{\frac{3}{2}+\varepsilon} \|v_x(t)\|_{L^3}^3 + (1+t)^{-\frac{3}{2}+\varepsilon} \right\}. \end{aligned}$$

Noting that

$$\begin{aligned} (1+t)^{\frac{3}{2}+\varepsilon} \int_R w_x^2 v^2 dx & \leq (1+t)^{\frac{3}{2}+\varepsilon} \|w_x(t)\|_{L^\infty} \int_R w_x v^2 dx \\ & \leq C(1+t)^{\frac{1}{2}+\varepsilon} \int_R w_x v^2 dx, \end{aligned}$$

and making use of (3.11) with  $k = 0$  and the Gagliardo-Nirenberg inequality

$$(3.20) \quad \|v_x(t)\|_{L^3(R)}^3 \leq C \|v_{xx}(t)\|_{L^2(R)}^{\frac{7}{4}} \|v(t)\|_{L^2(R)}^{\frac{5}{4}},$$

we obtain

$$\begin{aligned} & (1+t)^{\frac{3}{2}+\varepsilon} \|v_x^2(t)\|^2 + \int_0^t (1+\tau)^{\frac{3}{2}+\varepsilon} \left( \alpha \int_R w_x v_x^2 dx + \|v_{xx}(\tau)\|^2 \right) d\tau \\ & \leq C \left\{ I_0 (1+t)^\varepsilon \rho_0 + \int_0^t (1+\tau)^{\frac{3}{2}+\varepsilon} \|v(\tau)\|_{L^2(R)}^{10} d\tau \right\} \\ & \leq C \left\{ I_0 (1+t)^\varepsilon \rho_0 + \int_0^t (1+\tau)^{\frac{3}{2}+\varepsilon} (I_0 (1+\tau)^{-\frac{1}{2}} \rho_0)^5 d\tau \right\}, \end{aligned}$$

which yields (3.11) with  $k = 1$ . Finally, multiply (3.9) by  $(1+t)^{2+\varepsilon}$  and use (3.11). After several calculations, we can obtain the desired estimate (3.12). Though the details are omitted, we cannot multiply (3.9) by  $(1+t)^{\frac{5}{2}+\varepsilon}$  in our method. Because we have the decay order  $\|w_{xx}(t)\|^2 = O(t^{-2})$ , not  $O(t^{-\frac{5}{2}})$  (cf. Ito [4]).

Thus the proof is complete.  $\square$

#### 4. DECAY ESTIMATES FOR THE PERTURBATION $V$

In this section, we consider the Cauchy problem in two space dimension:

$$(4.1) \quad V_t + \{f(U+V) - f(U)\}_x + g(U+V)_y = \Delta V,$$

$$(4.2) \quad V(0, x, y) = V_0(x, y) \equiv u_0(x, y) - U_0(x).$$

The solution space is

$$\tilde{X}_M(0, T) = \left\{ \psi \left| \begin{array}{l} \psi \in C^0([0, T]; H^2(R^2)), \quad \nabla \psi \in L^2(0, T; H^2(R^2)) \\ \text{and} \quad \sup_{[0, T]} \|\psi(t, \cdot, \cdot)\|_{H^2} \leq M \end{array} \right. \right\},$$

with  $T > 0$ . Then we have

**Proposition 3** (Local existence). *Suppose that  $V_0 \in H^2(R^2)$ . For any  $M > 0$ , there exists a positive constant  $T_0$  depending on  $M$  such that if  $\|V_0\|_{H^2} \leq M$ , then the problem (4.1), (4.2) has a unique solution  $V(t, x, y) \in \tilde{X}_{2M}(0, T_0)$ .*

Proposition 3 can be proved in a standard way. So we omit the proof. Next, we show a priori estimates of  $V$ .

**Proposition 4** (A priori estimate). *Suppose that  $V$  is a solution of (4.1), (4.2) in  $\tilde{X}_M(0, T)$  for positive constants  $T$  and  $M$ . Then there exists a positive constant  $C_1$  depending on  $V_0$  such that*

$$(4.3) \quad \|V(t)\|_{H^2}^2 + \int_0^t \int_{R^2} U_x V^2 dx dy d\tau + \int_0^t \|\nabla V(\tau)\|_{H^2}^2 d\tau \leq C_1 \|V_0\|_{H^2}^2.$$

*Proof.* Multiplying (4.1) by  $V$  and integrating the resultant equation over  $R^2$ , we have

$$(4.4) \quad \begin{aligned} & \frac{1}{2} \frac{d}{dt} \|V(t)\|^2 + \int_{R^2} V \{f(U+V) - f(U)\}_x dx dy \\ & + \int_{R^2} V g(U+V)_y dx dy + \mu \|\nabla V(t)\|^2 = 0. \end{aligned}$$



The second and third terms are, respectively, estimated as follows:

$$\begin{aligned}
 \int_{R^2} V\{f(U+V) - f(U)\}_x dx dy &= - \int_{R^2} V_x\{f(U+V) - f(U)\} dx dy \\
 &= \int_{R^2} \left[ - \left( \int_U^{U+V} f(y) dy - f(U)V \right)_x \right. \\
 &\quad \left. + \{f(U+V) - f(U) - f'(U)V\}U_x \right] dx dy \\
 &\geq \frac{\alpha}{2} \int_{R^2} U_x V^2 dx dy.
 \end{aligned}
 \tag{4.5}$$

Since  $U$  is independent of  $y$ ,

$$\begin{aligned}
 \int_{R^2} Vg(U+V)_y dx dy &= - \int_{R^2} V_y g(U+V) dx dy \\
 &= - \int_{R^2} \partial_y \left( \int_U^{U+V} g(\xi) d\xi \right) dx dy = 0.
 \end{aligned}
 \tag{4.6}$$

Using (4.5) and (4.6), we have the basic estimate

$$\|V(t)\|^2 + \int_0^t \int_{R^2} U_x V^2 dx dy d\tau + \int_0^t \|\nabla V(\tau)\|^2 d\tau \leq C \|V_0\|^2.
 \tag{4.7}$$

The estimates of the derivatives in  $x, y$  of  $V$  can be obtained similarly to those in Proposition 2. We omit the details.  $\square$

The combination of Propositions 3 and 4 gives the global result.

**Theorem 6** (Global existence). *Suppose that  $V_0(x) \in H^2(R^2)$ . Then the problem (4.1), (4.2) has a unique global solution  $V(t, x, y)$  satisfying*

$$V \in C^0([0, \infty); H^2(R)), \quad \nabla V \in L^2(0, \infty; H^2(R)).$$

and the estimate (4.3).

We now show the decay estimates on  $V$ . As in Lemma 3, the following  $L^1$ -estimate plays an important roll.

**Lemma 4** (Ito [4]). *Suppose further, in Theorem 6, that  $V_0 \in L^1(R^2)$ . Then the solution  $V(t, x, y)$  also satisfies*

$$\|V(t)\|_{L^1(R^2)} \leq \|V_0\|_{L^1(R^2)}.
 \tag{4.8}$$

Applying Lemma 4, we have the following theorem.

**Theorem 7** (Decay estimate). *Suppose that  $V_0(x, y) \in H^2(R^2) \cap L^1(R^2)$  and let  $V(t, x, y)$  be the solution of (4.1), (4.2). Then, for any  $\varepsilon > 0$ , there exists a constant  $C > 0$  such that the following decay estimates hold:*

$$\begin{aligned}
 &(1+t)^{1+\varepsilon} \|V(t)\|^2 \\
 &+ \int_0^t (1+\tau)^{1+\varepsilon} \left( \int_{R^2} U_x |V(\tau)|^2 dx dy + \|\nabla V(\tau)\|^2 \right) d\tau \\
 &\leq C(1+t)^\varepsilon (\|V_0\|_{L^1} + \|V_0\|)^2,
 \end{aligned}
 \tag{4.9}$$

$$\begin{aligned}
(4.10) \quad & (1+t)^{\frac{15}{8}+\varepsilon} \|V_x(t)\|^2 \\
& + \int_0^t (1+\tau)^{\frac{15}{8}+\varepsilon} \left( \int_{R^2} U_x |V_x(\tau)|^2 dx dy + \|\nabla V_x(\tau)\|^2 \right) d\tau \\
& \leq C(1+t)^\varepsilon \log^4(2+t) (\|V_0\|_{L^1} + \|V_0\|_{H^1})^2,
\end{aligned}$$

$$\begin{aligned}
(4.11) \quad & (1+t)^{2+\varepsilon} \|V_y(t)\|^2 \\
& + \int_0^t (1+\tau)^{2+\varepsilon} \left( \int_{R^2} U_x |V_y(\tau)|^2 dx dy + \|\nabla V_y(\tau)\|^2 \right) d\tau \\
& \leq C(1+t)^\varepsilon (\|V_0\|_{L^1} + \|V_0\|_{H^1})^2,
\end{aligned}$$

$$\begin{aligned}
(4.12) \quad & (1+t)^{\frac{39}{16}+\varepsilon} \|V_{xx}(t)\|^2 \\
& + \int_0^t (1+\tau)^{\frac{39}{16}+\varepsilon} \left( \int_{R^2} U_x |V_{xx}(\tau)|^2 dx dy + \|\nabla V_{xx}(\tau)\|^2 \right) d\tau \\
& \leq C(1+t)^\varepsilon \log^8(2+t) (\|V_0\|_{L^1} + \|V_0\|_{H^2})^2,
\end{aligned}$$

$$\begin{aligned}
(4.13) \quad & (1+t)^{\frac{23}{8}+\varepsilon} \|V_{xy}(t)\|^2 \\
& + \int_0^t (1+\tau)^{\frac{23}{8}+\varepsilon} \left( \int_{R^2} U_x |V_{xy}(\tau)|^2 dx dy + \|\nabla V_{xy}(\tau)\|^2 \right) d\tau \\
& \leq C(1+t)^\varepsilon \log^8(2+t) (\|V_0\|_{L^1} + \|V_0\|_{H^2})^2,
\end{aligned}$$

$$\begin{aligned}
(4.14) \quad & (1+t)^{3+\varepsilon} \|V_{yy}(t)\|^2 \\
& + \int_0^t (1+\tau)^{3+\varepsilon} \left( \int_{R^2} U_x |V_{yy}(\tau)|^2 dx dy + \|\nabla V_{yy}(\tau)\|^2 \right) d\tau \\
& \leq C(1+t)^\varepsilon (\|V_0\|_{L^1} + \|V_0\|_{H^2})^2.
\end{aligned}$$

**Remark.** From (4.9) and (4.11), the estimate (2.15) in Theorem 3 is obtained as

$$\begin{aligned}
\sup_y \|V(t, \cdot, y)\|^2 & \leq C \|V(t, \cdot, \cdot)\| \|V_y(t, \cdot, \cdot)\| \\
& \leq C(1+t)^{-\frac{1}{2}-1} = C(1+t)^{-\frac{3}{2}}. \quad \square
\end{aligned}$$

*Proof.* From (4.4)–(4.6), we get

$$(4.15) \quad \frac{1}{2} \frac{d}{dt} \|V(t)\|^2 + \frac{1}{2} \alpha \int_{R^2} U_x V^2 dx dy + \mu \|\nabla V(t)\|^2 \leq 0.$$

Multiplying (4.15) by  $2(1+t)^{1+\varepsilon}$ , we have

$$\begin{aligned}
(4.16) \quad & \frac{d}{dt} \{(1+t)^{1+\varepsilon} \|V(t)\|^2\} + (1+t)^{1+\varepsilon} \left( \alpha \int_{R^2} U_x V^2 dx dy + 2 \|\nabla V(t)\|^2 \right) \\
& \leq (1+\varepsilon)(1+t)^\varepsilon \|V(t)\|^2.
\end{aligned}$$

By the Gagliardo-Nirenberg inequality

$$\|V(t)\|_{L^2(R^2)}^2 \leq C \|V(t)\|_{L^1(R^2)} \|\nabla V(t)\|_{L^2(R^2)},$$

we obtain

$$\begin{aligned}
 & \frac{d}{dt} \{(1+t)^{1+\varepsilon} \|V(t)\|^2\} + (1+t)^{1+\varepsilon} \left( \alpha \int_{R^2} U_x V^2 dx dy + 2 \|\nabla V(t)\|^2 \right) \\
 (4.17) \quad & \leq C(1+t)^{\frac{1+\varepsilon}{2}} \|\nabla V(t)\| (1+t)^{\frac{\varepsilon-1}{2}} \|V(t)\|_{L^1(R^2)} \\
 & \leq (1+t)^{1+\varepsilon} \|\nabla V(t)\|^2 + C(1+t)^{\varepsilon-1} \|V(t)\|_{L^1(R^2)}^2 \\
 & \leq (1+t)^{1+\varepsilon} \|\nabla V(t)\|^2 + C(1+t)^{\varepsilon-1} \|V_0\|_{L^1(R^2)}^2.
 \end{aligned}$$

Integrating (4.17) over  $[0, t]$  in  $t$ , we obtain (4.9). Next, we estimate  $V_y$  and  $V_x$ . First, multiplying  $\frac{\partial}{\partial y}(4.1)$  by  $V_y$ , we have

$$\begin{aligned}
 (4.18) \quad & \frac{1}{2} \frac{d}{dt} \|V_y(t)\|^2 + \int_{R^2} V_y \{f(U+V) - f(U)\}_{xy} dx dy \\
 & + \int_{R^2} V_y g(U+V)_{yy} dx dy + \mu \|\nabla V_y(t)\|^2 = 0.
 \end{aligned}$$

The integration by parts gives:

The second and third terms of (4.18)

$$= \frac{1}{2} \int_{R^2} f''(U+V) U_x V_y^2 dx dy + \frac{1}{2} \int_{R^2} \{f''(U+V) V_x V_y^2 + g''(U+V) V_y^3\} dx dy.$$

Hence,

$$\begin{aligned}
 (4.19) \quad & \frac{1}{2} \frac{d}{dt} \|V_y(t)\|^2 + \frac{\alpha}{2} \int_{R^2} U_x V_y^2 dx dy + \mu \|\nabla V_y(t)\|^2 \\
 & \leq C \int_{R^2} (|V_y|^3 + |V_x| |V_y|^2) dx dy.
 \end{aligned}$$

Since

$$\begin{aligned}
 & C \int_{R^2} |V_x| |V_y|^2 dx dy \\
 & \leq C \int_R \sup_{y \in R} |V_x(t, x, y)| \|V_y(t, x, \cdot)\|_{L^2(R_y)}^2 dx \\
 & \leq C \int_R \|V_x(t, x, \cdot)\|_{L^2(R_y)}^{\frac{1}{2}} \|V_{xy}(t, x, \cdot)\|_{L^2(R_y)}^{\frac{1}{2}} \|V_y(t, x, \cdot)\|_{L^2(R_y)}^2 dx \\
 & \leq \frac{\mu}{4} \|V_{xy}(t)\|_{L^2(R^2)}^2 + C \int_R \|V_x(t, x, \cdot)\|_{L^2(R_y)}^{\frac{2}{3}} \|V_y(t, x, \cdot)\|_{L^2(R_y)}^{\frac{8}{3}} dx \\
 & \leq \frac{\mu}{4} \|V_{xy}(t)\|_{L^2(R^2)}^2 \\
 & \quad + C \int_R \|V_x(t, x, \cdot)\|_{L^2(R_y)}^{\frac{2}{3}} \|V(t, x, \cdot)\|_{L^2(R_y)}^{\frac{4}{3}} \|V_{yy}(t, x, \cdot)\|_{L^2(R_y)}^{\frac{4}{3}} dx \\
 & \leq \frac{\mu}{4} \|\nabla V_y(t)\|_{L^2(R^2)}^2 + C \int_R \|V_x(t, x, \cdot)\|_{L^2(R_y)}^2 \|V(t, x, \cdot)\|_{L^2(R_y)}^4 dx \\
 & \leq \frac{\mu}{4} \|\nabla V_y(t)\|_{L^2(R^2)}^2 + C \sup_{x \in R} \|V(t, x, \cdot)\|_{L^2(R_y)}^4 \|V_x(t)\|_{L^2(R^2)}^2 \\
 & \leq \frac{\mu}{4} \|\nabla V_y(t)\|_{L^2(R^2)}^2 + C \|V(t)\|_{L^2(R_y)}^2 \|V_x(t)\|_{L^2(R^2)}^4
 \end{aligned}$$

and

$$C \int_{R^2} |V_y|^3 dx dy \leq \frac{\mu}{4} \|\nabla V_y(t)\|_{L^2(R^2)}^2 + C \|V(t)\|_{L^2(R^2)}^2 \|V_x(t)\|_{L^2(R^2)}^2 \|V_y(t)\|_{L^2(R^2)}^2,$$

we have

$$(4.20) \quad \begin{aligned} & \frac{1}{2} \frac{d}{dt} \|V_y(t)\|^2 + \frac{\alpha}{2} \int_{R^2} U_x V_y^2 dx dy + \frac{\mu}{2} \|\nabla V_y(t)\|^2 \\ & \leq C \|V(t)\|_{L^2(R^2)}^2 \|V_x(t)\|_{L^2(R^2)}^2 (\|V_x(t)\|_{L^2(R^2)}^2 + \|V_y(t)\|_{L^2(R^2)}^2). \end{aligned}$$

Noting that  $\|V(t)\|_{L^2(R^2)}^2 \leq C(1+t)^{-1}$ , we multiply (4.20) by  $(1+t)^{2+\varepsilon}$  and integrating it over  $[0, t]$  to obtain (4.11). Second, multiplying  $\frac{\partial}{\partial x}(4.1)$  by  $V_x$ . Then, after similar calculations to the above, we have

$$(4.21) \quad \begin{aligned} & \frac{1}{2} \frac{d}{dt} \|V_x(t)\|^2 + \frac{\alpha}{2} \int_{R^2} U_x |V_x|^2 dx dy + \frac{\mu}{2} \|\nabla V_x(t)\|^2 \\ & \leq C \|V(t)\|_{L^2(R^2)}^2 \|V_x(t)\|_{L^2(R^2)}^2 (\|V_x(t)\|_{L^2(R^2)}^2 + \|V_y(t)\|_{L^2(R^2)}^2) \\ & \quad + C \|U_x(t)\|_{L^\infty} \int_{R^2} U_x V^2 dx dy. \end{aligned}$$

Since  $\|U_x(t)\|_{L^\infty(R)} \leq \|w_x(t)\|_{L^\infty(R)} + \|v_x(t)\|_{L^\infty(R)} \leq C(1+t)^{-\frac{7}{8}} \log^4(2+t)$  by virtue of Theorem 5, we can multiply (4.21) by  $(1+t)^{\frac{15}{8}+\varepsilon}$ , not  $(1+t)^{2+\varepsilon}$ , to obtain (4.10). The estimates (4.12)–(4.14) for the second derivatives of  $V$  are obtained by more complicated calculations than those for the first derivatives. We omit the details.

Thus the proof of Theorem 7 is complete.  $\square$

#### ACKNOWLEDGMENT

The work of the second author was supported in part by Waseda University Grant for Special Research Project 96A-199.

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