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## At the centre: influenza A virus ribonucleoproteins

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### Abstract

Influenza A viral ribonucleoprotein (vRNP) complexes comprise the eight genomic negative-sense RNAs, each bound to multiple copies of viral nucleoprotein and a trimeric viral polymerase complex. The influenza virus life cycle centres on the vRNPs, which in turn, rely on host cellular processes to perform functions necessary for the successful completion of the virus life cycle. Here, we review our current knowledge about vRNP trafficking within host cells and the function of these complexes in the context of the virus life cycle, highlighting how structure contributes to function and the critical interactions with host cell pathways, as well as the information gaps that remain. An improved understanding of how vRNPs use host cell pathways is essential to identify mechanisms of virus pathogenicity, host adaptation, and ultimately, new targets for antiviral intervention.

### INTRODUCTION

Influenza A virus (IAV) is an important human viral pathogen, responsible for seasonal epidemics that cause substantial human morbidity and mortality and considerable financial burden worldwide every year<sup>1–3</sup>. While vaccines are available to prevent infections in humans, the haemagglutinin (HA) proteins of circulating viruses rapidly acquire mutations (i.e., ‘antigenic drift’), which prevent their recognition by the host immune system and necessitate vaccine strain replacement every 1–3 years. Antiviral compounds are available for prophylaxis and therapeutic treatment of severe IAV infections, but antiviral resistance is a continuing problem<sup>4</sup>. IAV pandemics can occur when viruses that contain HA or neuraminidase (NA) proteins for which most humans lack pre-existing immunity are

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transmitted to humans from reservoir species, including birds and pigs. Because pre-existing immunity does not exist at the time of their emergence, pandemic viruses typically cause higher rates of infection and mortality among humans. The dangers posed by both seasonal and pandemic IAVs demand a complete understanding of how these viruses replicate, transmit and cause disease, so that effective countermeasures can be developed.

At the end of virus replication, viral genetic material is packaged and released from infected cells so that additional cells or hosts can be infected. The IAV genome consists of eight unique segments of negative-sense viral RNA (vRNA), each associated with multiple nucleoprotein (NP) molecules and a single, trimeric polymerase complex comprising the PB2, PB1 and PA proteins. Collectively, each subunit of vRNA-NP-polymerase is referred to as a viral ribonucleoprotein (vRNP) complex. Following IAV entry into cells, vRNPs are released from endosomes and then transported into the nucleus, where they serve as templates for genome transcription and replication; after progeny vRNPs are generated, they are transported out of the nucleus and to the plasma membrane for incorporation into newly forming virions (for a comprehensive review of the IAV life cycle, readers are referred to<sup>5-7</sup>; also, see Box 1 for an overview of vRNP trafficking and function in infected cells).

Due to IAV's limited genetic coding capacity (8 genome segments may encode up to 14 known viral proteins), it depends on host proteins and pathways to mediate vRNP trafficking and to promote vRNP functions at all stages of the virus life cycle. Here, we review our current understanding of vRNP trafficking and functions in infected cells, emphasizing how vRNPs interface with host cell components and how vRNP structure is related to its functions. In addition, we highlight questions that remain unanswered. Because of space limitations, discussion of how the eight unique vRNPs are selectively assembled into infectious viruses, the interactions of vRNPs with the host innate immune system, and the contribution of vRNP components to host species adaptation are not discussed at length (for a review of these activities, readers are referred to<sup>6-8</sup>; also, the selective packaging of influenza vRNP segments is summarized in Box 2). Given the centrality of vRNPs to every aspect of the IAV life cycle, a clearer understanding of their fundamental roles during infection has enormous potential for revealing mechanisms of pathogenicity, providing a basis for understanding host adaptation, and for developing new methods to prevent or treat IAV disease.

## **vRNP STRUCTURE**

Within the vRNP complex, NP binds stoichiometrically to vRNA (approximately one NP molecule per 24 nucleotides) with high affinity and without sequence specificity – via the phosphate backbone of RNA polymers – leaving RNA bases accessible for pairing or genome duplication<sup>9-11</sup>. Higher order double-stranded RNA structures appear to be present along the length of the vRNP, because RNA within vRNPs is partially sensitive to RNase V1 digestion<sup>12</sup>. The genomic ends of the vRNA do not associate with NP; rather, they fold back and base-pair to form a double-stranded structure that is bound by the viral polymerase complex<sup>13,14</sup>. In contrast, internal vRNA bound by NP forms a flexible, helical filament closed by a loop at the pole opposite to the genomic ends<sup>11</sup>. Two recent studies refined the structural models of the influenza vRNP complexes – derived either in native form from

purified virions or from cells transfected with plasmids encoding the vRNP components – by using advanced electron microscopic analyses<sup>15,16</sup>. These studies unambiguously demonstrated that the vRNP internal region comprises a twisted, anti-parallel double helix of vRNA-NP complexes that is maintained by interactions between NP subunits. The same structures are detected within influenza virions<sup>15</sup>. A schematic representation of the vRNP structure is shown in Figure 1.

## **vRNP TRAFFICKING INTO THE NUCLEUS**

Influenza vRNP complexes within incoming virions are released from endosomes by the coordinated functions of the viral HA molecule and the M2 proton channel (Step 1 of Box 1). HA-mediated fusion between the viral and endosomal membranes provides an opening through which matrix-dissociated vRNPs can enter the cytoplasm. Meanwhile, M2 mediates vRNP dissociation from the virion matrix, which predominantly contains M1 protein, by acidifying the virion interior; this is necessary to make vRNPs competent for nuclear import<sup>17,18</sup>. Cytoplasmic vRNPs that have been dissociated from the M1 protein traffic into the nucleus by using the classical importin  $\alpha/\beta$ 1 (IMP $\alpha/\beta$ 1)-dependent nuclear import pathway (Step 2 of Box 1). In this section, we describe the basic components of the IMP $\alpha/\beta$ 1 pathway, the evidence that links it to IAV vRNP nuclear import, and the interactions between the vRNPs and IMP $\alpha/\beta$ 1 pathway components. A model for vRNP nuclear import is provided in Figure 2.

### **IMP $\alpha/\beta$ 1-mediated nuclear import**

Proteins of less than 40 kDa can passively diffuse through the nuclear pore complex (NPC), whereas larger molecules and protein complexes, including vRNPs, must be transported by an active, energy-dependent process involving the recognition of nuclear localization signals (NLSs) in cargo proteins (reviewed in<sup>19</sup>). The classical pathway of cellular protein nuclear import is mediated by importin  $\alpha$  (IMP $\alpha$ ) adaptor proteins, which typically recognize basic (i.e., R/K-rich) NLSs, and in turn are recognized by the IMP $\beta$  transport receptor. Subsequently, the ternary IMP $\alpha$ -IMP $\beta$ -cargo complex traverses the NPC, and once inside the nucleus, IMP $\beta$  is dissociated by the activated form of the Ran GTPase (Ran-GTP), and IMP $\alpha$ -cargo complexes are released into the nucleoplasm. An additional dissociation step involving the cellular CAS protein is required to liberate IMP $\alpha$  from the cargo molecules<sup>20</sup>. Following cargo release, IMP $\alpha$  and IMP $\beta$  are individually recycled back to the cytoplasm.

### **vRNPs and the IMP $\alpha/\beta$ 1 pathway**

By using NP trafficking to the nucleus as a proxy for vRNP nuclear import at very early times post-infection, Martin and Helenius established that influenza vRNPs gain access to the nucleus via the NPC<sup>21</sup>. Studies with microinjected vRNPs further suggested that vRNPs use an active nuclear import process<sup>22</sup>. Additional studies with digitonin-permeabilized cells revealed that influenza vRNA docks at the NPC only in the presence of IMP $\alpha$ , IMP $\beta$ , and the viral NP, and further, that vRNA accumulation in the nucleus requires the Ran-GTPase<sup>23</sup>. Most recently, a small molecule inhibitor of the IMP $\beta$ -Ran GTPase interaction (importazole) was shown to impair vRNP nuclear transport<sup>24</sup>. These studies provide clear

evidence that influenza vRNPs rely on the classical nuclear import pathway to enter the nucleus early in the virus life cycle.

Given that the classical nuclear import pathway mediates cargo transfer through recognition of NLS motifs, it is reasonable to predict that one or more of the vRNP protein components bears the NLS (or NLSs) required for vRNP nuclear import. All four vRNP protein components are reported to contain NLS motifs, and enter the nucleus either alone (NP and PB2) or in a complex (PB1 and PA)<sup>25–31</sup>. However, while each virion-associated vRNP includes only a single trimeric polymerase complex, multiple NP subunits are present, which could provide numerous NLS motifs to promote nuclear import.

The NP protein encodes a non-classical (i.e., *not* R/K-rich) NLS ('ncNLS') within its first 13 amino acids<sup>28,29</sup>, which binds to IMP $\alpha$  isoforms (IMP $\alpha$ 1 and IMP $\alpha$ 5)<sup>29</sup>, and is essential for both vRNP nuclear import in digitonin-permeabilized cells and for influenza virus replication<sup>32</sup>. NP also binds to IMP $\alpha$ 3 and IMP $\alpha$ 7<sup>33</sup>, although interactions with these isoforms are less well characterized. In both of the recently solved structural models of the influenza vRNP<sup>15,16</sup>, the ncNLS motif is surface exposed, suggesting that it is available for interaction with IMP $\alpha$  adaptor proteins in vRNP complexes. Consistent with this observation, ultrastructural analysis of the surface availability of the ncNLS on purified vRNPs revealed multiple, periodically exposed motifs along the vRNP filament<sup>34</sup>. A second, classical, bipartite NLS (bNLS) has been identified in NP<sup>30</sup>, although its contribution to vRNP nuclear import has been questioned<sup>32</sup>. The bNLS was found not to be surface exposed on one of the vRNP structural models<sup>16</sup>, and experimental assessment suggested only limited surface availability on vRNP structures<sup>34</sup> supporting, at most, a limited role in vRNP nuclear import. Nonetheless, this substantial collection of evidence implicates NP as the vRNP component responsible for IMP $\alpha$  binding and vRNP nuclear import in early IAV infection, and indicates that the ncNLS is most likely the dominant NLS motif.

### Open questions

Several interesting questions remain regarding vRNP trafficking into the nucleus during the early stage of IAV infection:

First, while multiple NP ncNLS motifs are exposed on the surface of incoming vRNPs, it is not clear whether multiple IMP $\alpha$ / $\beta$ 1 complexes are associated with transporting vRNPs. In addition, because Ran-GTP is required to release vRNP complexes from docking at the nuclear membrane, it is presumed that IMP $\beta$  is dissociated from vRNP transport complexes as they enter the nucleus; however, whether IMP $\alpha$  is also dissociated remains to be determined. Some evidence suggests that IMP $\alpha$  can promote genome transcription and/or replication processes in addition to its activities in vRNP nuclear import (see below). Thus, if vRNPs recruit numerous IMP $\alpha$  proteins in the cytoplasm and maintain their association following nuclear import, this could provide an efficient means of rapidly establishing genome transcription. The potential contribution of the PB2 protein, which binds to multiple IMP $\alpha$  isoforms<sup>33,35</sup>, also should be examined more closely.

Second, it is not clear whether vRNPs are transported into the nucleus as individual components, as bundles of eight vRNP complexes, or as partially disrupted bundles

containing some subset of the eight vRNPs. A recent study using single molecule sensitivity fluorescence *in situ* hybridization (smFISH) analysis to assess the distribution of two vRNA segments within the first hour of IAV infection suggested that these two vRNAs were only separated from each other after nuclear entry<sup>24</sup>. While these data are compelling, additional studies are needed to clarify whether more than two vRNPs can undergo nuclear import together, and if so, how this impacts the vRNP nuclear import mechanism.

## GENERATION AND ASSEMBLY OF PROGENY vRNPs

Once in the nucleus, the primary roles of vRNPs are to transcribe viral mRNAs for the production of viral proteins (Step 3 of Box 1) and to replicate full-length complementary genomic RNA (cRNA) for amplification of vRNA and generation of progeny vRNPs (Step 4 of Box 1). In the following sections, we describe how vRNPs achieve genome transcription and replication and how host factors contribute to these processes. A model of primary transcription and genome replication is provided in Figure 3.

### Primary genome transcription

After nuclear import, influenza vRNPs are transcribed to produce viral mRNAs ('primary transcription') in a process that is independent of *de novo* viral protein synthesis<sup>36</sup>. Primary transcription is primer-dependent, and the primers used for this purpose, namely 7-methylguanosine-capped pre-mRNAs with 10–13 associated nucleotides, are obtained through 'cap-snatching' of cellular mRNAs by the viral polymerase complex<sup>37–39</sup>. Once the caps are acquired, transcripts are generated by replication from a vRNP template, by use of the native polymerase complex of the vRNP (i.e., *in cis*)<sup>40</sup>, and poly-A tails are produced by reiterative stuttering and copying of the poly-U sequence motif at the conserved 5' end of the vRNA<sup>41,42</sup>. With the recent availability of the structural model of vRNPs isolated from cells, a more specific, albeit speculative, model for primary transcription has been described<sup>16</sup>. Specifically, prior to initiation of primary transcription, vRNA termini are base-paired and located adjacent to the PB1 active site. Then, after cap-snatching by the PB2 and PA proteins, the 3' end of the vRNA is released from base-pairing and transferred into the PB1 active site. Transcription proceeds as the PA C-terminal domain feeds the vRNA strand to PB1, and after the full-length gene has been transcribed, the 5' terminus remains associated with PB1 to facilitate polymerase stuttering and production of the poly-A tail.

### Viral mRNA processing, transport, and translation

After primary transcription, viral mRNAs are exported to the cytoplasm and translated by cellular ribosomes. Subsequently, the protein components of the vRNP complex are imported into the nucleus where progeny vRNP complexes are assembled. Because the processes associated with viral mRNA post-transcriptional modifications (e.g., splicing), viral mRNA transport, and viral protein translation are not directly relevant to the function, production, or trafficking of vRNPs (aside from generating the raw components), they will not be described further here. Additional information can be found in other review articles<sup>43–45</sup>.

## Genome replication and RNP assembly

The replication of full-length genomic cRNA from vRNP complexes (and similarly, replication of full-length genomic vRNA from cRNA-containing RNP complexes [cRNP]) contrasts strikingly with primary transcription. Genome replication requires newly translated NP and polymerase complex proteins to protect genomic RNA from degradation<sup>46</sup>; likely proceeds in the absence of an RNA primer due to the presence of 5' triphosphates at the 5' ends of both vRNA and cRNA<sup>43</sup>; and most interestingly, can be initiated by soluble polymerase complexes in *trans* (i.e., *not* by the polymerase complex that is resident to the RNP complex)<sup>40,47</sup>. Support for the *trans* genome replication model is provided by electron microscopy analyses of RNPs purified from cells, which showed multiple branched RNP-like structures emanating from the full-length parental RNPs<sup>16</sup>. Because the junction of these branches can be stained with antibodies that recognize the PB2 protein<sup>16</sup>, it is likely that they represent the activity of non-resident polymerase complexes in the process of generating progeny RNPs. Recently described RNP structural models further suggest that for genome copying to occur in *trans*, inter-strand connections between NP molecules, which form the minor groove of the RNP helical structure, may need to be locally disrupted<sup>15</sup> (although definitive evidence for this is currently lacking); and that RNA packaging into RNP complexes is initiated by polymerase complex binding to the 5' terminus of the nascent RNA molecule (by a polymerase complex different from the transcribing polymerase(s)), which protects the RNA and also initiates the sequential addition of NP oligomers in the 5' → 3' direction<sup>15,16</sup>. How polymerase complexes, NP oligomers, and the genomic RNA cooperate to achieve the final helical RNP structure remains an open question.

## Host factors in genome transcription, replication and RNP assembly

Numerous host factors have been shown to influence genome transcription, replication and RNP assembly, but in general, no unifying themes tie these host factors together. Some (including RanBP5<sup>48</sup>, HSP70<sup>49</sup>, and HSP90<sup>50,51</sup>) appear to indirectly promote influenza genome replication by enhancing the nuclear import and assembly of trimeric polymerase complexes, and therefore, will not be considered further here. Others with established and direct roles in regulating RNP transcription, replication and/or vRNP assembly are discussed below.

Host factors involved in primary transcription of viral mRNAs include the RNA polymerase II (Pol II) complex and SFPQ. The viral polymerase complex interacts with actively transcribing Pol II<sup>52</sup>, presumably to acquire cellular mRNA caps through cap-snatching. However, the PB2 and PA proteins also promote Pol II degradation, an ability that is associated with increased IAV pathogenicity in mice<sup>53</sup>. SFPQ is a multi-functional splicing factor that associates with several vRNP components<sup>54,55</sup> and promotes poly-adenylation of viral mRNA transcripts<sup>54</sup>.

Progeny vRNP generation is promoted by the MCM helicase complex, UAP56, Tat-SF1 and FMR1. Five of the six components of the MCM complex, which is involved in cellular DNA replication, associate with the PA protein in isolation and with vRNPs in infected cells<sup>56</sup>. The MCM complex promotes production of full-length viral RNA products, possibly by

stabilizing interactions between nascent RNA and the viral polymerase complex<sup>56</sup>. Both UAP56, an RNA helicase, and the transcription-splicing coupling factor Tat-SF1 interact with NP alone but not NP associated with viral RNA, and both stimulate genomic RNA synthesis by promoting the formation of NP-RNA complexes<sup>57–59</sup>. The FMR1 protein is an RNA-binding protein that is required for efficient IAV replication in cells and mice<sup>60</sup>. FMR1 expression stimulates the production of all viral RNA species, transiently interacts with vRNP complexes, and stimulates interactions between NP and polymerase complex proteins in the presence of vRNA, suggesting involvement in vRNP assembly<sup>60</sup>.

Other host factors, including hCLE and IMP $\alpha$  isoforms, have established roles in enhancing polymerase activity, but the specific mechanism(s) are not well-clarified. hCLE, a positive modulator of Pol II activity<sup>61</sup>, interacts with several vRNP components, and its knockdown leads to impaired virus replication, decreasing both negative and positive polarity viral RNA, through an unknown mechanism<sup>62</sup>. The IMP $\alpha$ 1 and IMP $\alpha$ 7 cellular nuclear import receptors positively regulate viral polymerase activity in mammalian, but not avian, cells independently of nuclear import functions<sup>63,64</sup>. Although the specific mechanism is unknown, viruses expressing PB2 proteins that possess a mammalian adapting amino acid (lysine at residue 627), which significantly increases the replicative ability of the viral polymerase complex in mammalian, but not avian, cells<sup>65</sup>, exhibit impaired polymerase activity when IMP $\alpha$ 1 or IMP $\alpha$ 7 protein levels are reduced by use of RNA interference (RNAi), with no effect on the nuclear localization of the PB2 or NP proteins<sup>63</sup>. A similar reduction in polymerase activity was not observed for the avian PB2 variant (glutamic acid at residue 627)<sup>63</sup>, suggesting that IMP $\alpha$  proteins play an important role in IAV adaptation to different hosts. An additional study clearly demonstrated that IMP $\alpha$ 7 contributes to adaptation of avian viruses in mammals<sup>33</sup>. Another mammalian adapting PB2 mutation (asparagine at residue 701, '701N') indirectly enhances IAV polymerase activity in mammalian cells by increasing PB2 binding to IMP $\alpha$ 1, thereby increasing PB2 nuclear import<sup>35</sup>. However, whether PB2 proteins containing the 701N mutation have impaired polymerase activity relative to their avian counterpart (i.e., proteins with aspartic acid at residue 701) in mammalian cells with reduced Imp $\alpha$ 1 or Imp $\alpha$ 7 expression is unknown.

### Open questions

Although we have made substantial progress in understanding primary transcription and genome replication from vRNPs, one area that remains largely unexplored is the specific contribution of host factors, particularly in light of observations that vRNP components are strongly implicated in IAV adaptation to mammalian hosts<sup>8,66–69</sup>. Several recent studies have revealed an abundance of interactions between host proteins and components of the vRNP complex<sup>70–72</sup>, and it will be interesting to fully establish how these factors contribute to the role of vRNPs in the virus life cycle. Another question is whether vRNPs associate with a specific nuclear site for primary transcription and genome replication activities. Given that vRNP targeting to a specific site could increase the likelihood of encountering host factors that are important for transcription and replication, this is a worthwhile avenue for future research.

## NUCLEAR EXPORT OF PROGENY vRNPs

In the late phase of infection, progeny vRNPs must be transported from the nucleus to plasma membrane sites of new virus formation. The first step in this process is nuclear export (Step 5 of Box 1). IAVs use the cellular CRM1-dependent nuclear export pathway to mediate transport of vRNPs from the nucleus to the cytoplasm. In the following sections, we describe the basic components of the CRM1 pathway, how IAV usurps this pathway to preferentially export vRNPs, the interactions between vRNPs and CRM1 pathway components, and how cellular kinase signaling may contribute to this process. The synthesis of these observations is summarized by the model of vRNP nuclear export shown in Figure 4.

### The CRM1 nuclear export pathway

The cellular CRM1 protein is a nuclear export receptor that recognizes cargo proteins bearing leucine-rich nuclear export signals (NESs). CRM1 binds NES motifs in cargo proteins in the nucleus, and in association with the GTP-loaded Ran GTPase, transports cargo across the NPC. The Ran GTPase activating protein (RanGAP), which is tethered to the cytosolic face of the NPC, hydrolyzes Ran-GTP to Ran-GDP, and this facilitates complex dissociation and cargo release into the cytoplasm. Subsequently, Ran-GDP is transported back into the nucleus, and its GDP is exchanged for GTP by the chromatin-associated Ran guanylnucleotide exchange factor (Ran-GEF), RCC1, to enable another round of cargo protein nuclear export.

### vRNPs and the CRM1 export machinery

Influenza vRNPs are retained in the nucleus of infected cells in the presence of leptomycin B (LMB; a specific CRM1 inhibitor)<sup>73–75</sup>, indicating that the CRM1 nuclear export pathway is essential for vRNP nuclear export. Interestingly, LMB treatment leads to vRNP accumulation at the nuclear periphery<sup>73</sup>, suggesting that this localization pattern represents an intermediate step in vRNP nuclear export, preceding vRNP passage through the NPC. A subset of nuclear vRNPs is tightly bound to chromatin at the nuclear periphery of infected cells<sup>76,77</sup>, and the amount of vRNPs in this region increases after LMB treatment<sup>77</sup>. These observations imply that vRNP association with chromatin could be the intermediate nuclear export step originally identified by examining vRNP localization after LMB treatment. Theoretically, chromatin association would position vRNPs in close proximity to RCC1, the Ran-GEF, which normally associates with chromatin; in support of this prediction, RCC1 and vRNPs form complexes in infected cells<sup>77</sup>. CRM1 is also recruited to chromatin during influenza virus infection, and compared to uninfected cells, complexes of CRM1-Ran-RCC1 are increased<sup>77</sup>. Given that CRM1-mediated nuclear export of cellular cargo decreases after infection despite the increased potential for CRM1 pathway activity<sup>77</sup>, it seems likely that IAV induces alterations to the host cell that result in sequestration of the CRM1 pathway machinery on chromatin at the nuclear periphery to exclude cellular cargo and provide preferential access to vRNPs.

Among the vRNP complex proteins, only NP possesses a known NES. However, infected cells that lack M1 or NEP cannot export NP from the nucleus<sup>17,76</sup>, indicating that these



proteins are necessary for the vRNP nuclear export process. Evidence linking M1 and NEP to vRNP nuclear export follows:

The M1 protein is directly implicated in the regulation of vRNP nuclear export, because cells microinjected with anti-M1 antibodies exhibited NP confinement to the nucleus during infection<sup>17</sup>, and because exogenous M1 expression in infected cells with impaired “late” gene expression (“late” genes include HA, M1, M2 and NA, and exhibit delayed expression relative to the NP gene; their expression can be impaired with the H7 kinase inhibitor<sup>78</sup>) rescued the ability of vRNPs to accumulate in the cytoplasm<sup>76</sup>. An NES motif has been reported for the M1 protein, and although mutation of this motif reduces the efficiency of vRNP nuclear export during infection, the same NES is not sensitive to LMB, and thus, likely does not interact with CRM1<sup>79</sup>. This indicates that the M1 NES motif is not directly responsible for linking vRNPs to the CRM1 export pathway. Yet, M1 and vRNPs must interact to promote vRNP nuclear export<sup>80</sup>, so it is possible that M1 serves as an intermediary between vRNPs and an additional CRM1 association factor. Alternatively, because vRNPs are tightly associated with chromatin<sup>76,77</sup> and M1 has also been associated with chromatin proteins<sup>77,81</sup>, another (not necessarily exclusive) possibility is that M1 binding is required to release vRNPs from chromatin to enable nuclear export progression. In addition to promoting vRNP nuclear export, the association between M1 and vRNPs prevents nuclear re-import of vRNPs<sup>82</sup>, potentially because M1 shields vRNP NLS motifs from recognition by nuclear import adaptor proteins; however, associations between M1 and cytoplasmic vRNPs are not well-established, so the precise mechanism of M1-impaired vRNP nuclear re-import requires further investigation.

The influenza NEP protein (or NS2) also plays an important role in vRNP nuclear export. Similarly to M1, when anti-NEP antibodies were microinjected into the nucleus of infected cells, NP was retained in the nucleus, suggesting that vRNP nuclear export was impaired when NEP protein functions were blocked<sup>83</sup>. Further, infectious influenza viruses lacking NEP expression could not be recovered by reverse genetics, indicating that NEP is essential to the IAV life cycle. This was attributed to a defect in vRNP nuclear export because NP was restricted to the nucleus in cells infected with the recovered, NEP-deficient virus-like particles<sup>84</sup>. NEP interacts directly with CRM1 through two N-terminal hydrophobic NES motifs, which are both sensitive to LMB and sufficient to transfer nuclear export activity to nuclear constrained proteins<sup>83–85</sup>. Mutation of either NES motif in isolation does not ablate NEP binding to CRM1<sup>84,85</sup>, while mutation of both motifs in parallel results in a nearly complete loss of the NEP-CRM1 interaction<sup>85</sup>. Mutations in NES1 inhibit virus recovery by reverse genetics and strongly impede vRNP nuclear export<sup>84</sup>; whereas mutations in NES2 do not abolish virus recovery but severely impair viral growth kinetics and reduce the rate of vRNP nuclear export in infected cells<sup>85</sup>. Although these observations provide strong evidence of a role for NEP in vRNP nuclear export, no direct interaction between full-length NEP and the vRNP complex has been established<sup>83,86–89</sup>. However, since NEP can interact directly with the M1 protein<sup>86,90</sup>, which in turn interacts with vRNPs<sup>80</sup>, a ‘daisy-chain model’ for influenza vRNP nuclear export has been proposed, whereby NEP acts as an adaptor between the CRM1 nuclear export pathway and M1-vRNP complexes (Figure 4). Recent data suggests that a more refined model – in which NEP simultaneously interacts with M1, the PB1 subunit of the vRNP-associated polymerase complex, and the CRM1

nuclear export receptor<sup>89</sup> – may be necessary to explain the mechanism of vRNP nuclear export; further work is needed to clarify this process. Additionally, whether NEP remains associated with vRNP complexes that have been transported to the cytoplasm is currently unknown.

The NP protein can undergo nuclear export in the absence of other viral proteins<sup>28,82</sup> and encodes residues involved in nuclear export within its N-terminal 38 amino acids<sup>28</sup>. A recent study suggested that other NP NES motifs may exist<sup>91</sup>. In addition, NP binds directly to CRM1 *in vitro*<sup>74</sup>. These observations imply that the NP protein could mediate vRNP nuclear export through a direct interaction with CRM1; however, the contribution of specific NP NES motifs to vRNP nuclear export has never been established in the context of infected cells or with isolated vRNP complexes. Accordingly, the role of NP in vRNP nuclear export remains unclear.

### Cellular kinases and vRNP nuclear export

Cellular protein kinase activity may impact vRNP nuclear export. Specifically, vRNP nuclear export is impaired in infected cells treated with a protein kinase C inhibitor<sup>76</sup>, a Raf/MEK/ERK MAPK inhibitor<sup>92</sup>, a receptor tyrosine kinase inhibitor<sup>93</sup>, and a serum- and glucocorticoid-regulated kinase 1 inhibitor<sup>94</sup>, implying that phosphorylation of viral and/or cellular factors is required for this process. Interestingly, vRNP nuclear export can be enhanced by viral HA-mediated activation of the cellular Raf/MEK/ERK MAPK signaling pathway, which occurs when HA is expressed at the plasma membrane<sup>95,96</sup>. This suggests an indirect role for HA in promoting vRNP nuclear export. In addition, because HA is abundant in virus particles, and vRNPs must ‘meet’ HA at plasma membrane sites to form progeny viruses, HA-mediated Raf/MEK/ERK induction could serve as a signal to activate vRNP nuclear export when the budding sites are ‘ready’ (i.e., when HA is present). Although specific phosphorylation events and the kinases involved in promoting vRNP nuclear export have not yet been defined, it is notable that viral proteins with direct roles in the vRNP nuclear export process (i.e., NP, M1, and NEP) all exist as phosphoproteins in infected cells<sup>97-101</sup>.

### Open questions

While considerable effort has been applied towards a better understanding of the vRNP nuclear export mechanism, questions still remain:

First, the recent identification of chromatin targeting as a mechanism to facilitate vRNP access to the nuclear export machinery is interesting, and opens new avenues for research. It will be important to determine how this sequestration occurs and the extent to which it affects host nucleocytoplasmic trafficking.

Second, while the ‘daisy chain’ hypothesis can explain how influenza vRNPs are transported to the cytoplasm, tangible evidence to support complex formation in infected cells is lacking, despite being a requirement to validate this proposed mechanism. Further, it is unknown whether vRNP complexes are exported from the nucleus as individual vRNP components or as complexes of multiple vRNPs, although a few studies seem to support the former possibility<sup>24,102</sup>. Because this information could provide insights into the mechanism of

incorporation of the full set of eight unique vRNPs into the assembling virions, further clarification of the nature of the transport complex is necessary.

Third, although many reports indicate that phosphorylation of some viral or cellular target(s) may be vital for vRNP nuclear export, essentially no data are available to explain this phenomenon. Additional studies are needed to identify the viral or cellular target(s) involved.

## **vRNP TRANSPORT THROUGH THE CYTOPLASM**

Nuclear export constitutes only part of the vRNP's trek to the IAV budding site. vRNPs must also navigate through a dense matrix of cytoplasmic structures to reach the plasma membrane (Step 6 of Box 1). Combined with the observation that IAV progeny viruses bud from discrete plasma membrane sites<sup>103</sup>, it is logical to predict that vRNP cytoplasmic transport is organized rather than random. Indeed, a recent focus on this phase of the IAV life cycle has revealed that vRNPs are transported on microtubule networks, in association with Rab11-positive recycling endosomes.

### **Cytoskeletal networks**

Three types of cytoskeletal filaments are found in distinct networks in eukaryotic cells: actin microfilaments, microtubules, and intermediate filaments. All three contribute to the structure, stability, and integrity of the cell, but cargo-mediated transport occurs only along microfilaments and microtubules. Myosin motors transport cargo on actin filaments, which are concentrated directly under the plasma membrane. Alternatively, kinesin and dynein motors transport cargo on microtubules, which are nucleated at the microtubule organizing center (MTOC), and typically emanate from the centre of the cell to its periphery.

### **Microtubules and vRNPs**

Shortly after nuclear export, vRNPs accumulate near the MTOC<sup>104,105</sup>, located immediately adjacent to the nucleus. Later, they are aligned with microtubule networks *en route* to the plasma membrane<sup>104,106</sup>. While these observations are suggestive of the use of microtubules in vRNP cytoplasmic transport, several lines of evidence provide more direct support for this concept: live cell imaging studies with fluorescently tagged vRNP components demonstrate vRNP movement along microtubule tracks<sup>106</sup>, which is characterized by intermittent, saltatory motions<sup>105-107</sup> (this type of motion is well-established for microtubule-mediated cargo<sup>108,109</sup>); cells treated with microtubule depolymerizing agents exhibit altered vRNP distribution and reduced microtubule-like movement<sup>104,105,107</sup>; and IAV growth is reduced in cells treated with a microtubule-depolymerizing agent<sup>104</sup>. Overall, these findings strongly implicate microtubule networks in vRNP cytoplasmic transport, although no studies have yet addressed whether a specific motor complex is involved.

### **Rab11 and vRNPs**

Membrane-bound vesicles are a common microtubule cargo in eukaryotic cells. Under normal physiological conditions, early and late endosomes and lysosomes are transported predominantly inward, towards the centre of the cell, whereas secretory vesicles derived

from the Golgi complex and vesicles of the recycling endosome system are transported outward, towards the cell periphery. Both vRNA and vRNPs co-localize extensively with Rab11-positive recycling endosomes in infected cells<sup>24,105–107,110</sup> – initially concentrated at the MTOC immediately after nuclear export, and later localized throughout the cytoplasm and near the plasma membrane – suggesting that vRNPs ‘piggy-back’ on Rab11 vesicles for transport through the cytoplasm. At the end of the IAV life cycle, vRNPs appear to transfer from Rab11-positive vesicles to the plasma membrane<sup>110</sup>, suggesting that a mechanism exists to extract vRNPs from Rab11 vesicle surfaces for incorporation into budding virions. The lack of Rab11 detection in influenza virus particles<sup>111</sup> reinforces this premise.

In support of a specific role for Rab11 in mediating vRNP cytoplasmic transport (as opposed to ‘guilt by co-localization’), both Rab11 protein knockdown and exogenous overexpression of a dominant-negative Rab11 mutant protein impaired vRNP association with Rab11-positive vesicles, disrupted vRNP accumulation at the plasma membrane, and sharply reduced infectious progeny virus output<sup>106,110</sup>. In infected cells, Rab11 forms a complex with all vRNP protein components and with vRNA, but when complexes are treated with RNase, only the association with the polymerase complex proteins (PB2, PB1 and PA) is maintained<sup>106</sup>. Further, direct binding is observed only between Rab11 and the PB2 protein of the polymerase complex<sup>105</sup>. Because a single polymerase trimer is associated with each vRNP complex – localized with the base-paired genomic ends – these observations predict that the Rab11 GTPase recruits vRNPs to the recycling endosome membrane in a head-to-tail orientation, with polymerase-bound genomic ends attached to the membrane and the looped ends facing away from the membrane. In addition to providing a convenient mechanism for cytoplasmic transport, Rab11 vesicles may function as a platform for concentrating vRNPs (thereby reducing their diffusion) prior to virus assembly and budding, which may promote interactions necessary for creating supra-molecular complexes of vRNPs. However, this hypothesis has not been experimentally tested.

### Other host factors and vRNP cytoplasmic transport

Several additional host proteins have been ascribed potential functions in the cytoplasmic transport of vRNP complexes. Two components of host ribonucleoprotein complexes that regulate translation of cellular mRNAs – YB-1 and STAU1 – are associated with influenza vRNPs during late-stage infection<sup>112,113</sup>. The YB-1 protein translocates to the nucleus in infected cells, where it localizes with vRNA and later can be found in complexes with  $\alpha$ -tubulin, Rab11, and vRNP components in the cytoplasm<sup>112</sup>, suggesting that it undergoes nuclear export in complex with vRNPs. YB-1 overexpression also stimulates the production of infectious progeny viruses in a Rab11-dependent manner<sup>112</sup>. On the basis of these observations, it has been suggested that YB-1 facilitates the delivery of vRNPs to Rab11 endosomes at the MTOC following nuclear export, but direct evidence in support of this hypothesis is lacking. The role of STAU1 is less clear, although this factor is thought to promote a late aspect of the influenza virus life cycle because STAU1 knockdown via RNAi does not impair viral protein expression or vRNP nuclear export<sup>113</sup>. The HIV rev binding protein (HRB), which is a host endosomal protein<sup>114,115</sup> and an NEP interaction partner<sup>83</sup>, is essential for efficient influenza virus replication, and can be visualized in association with influenza vRNPs at the MTOC after vRNP nuclear export<sup>116</sup>. RNAi-mediated HRB

knockdown results in the retention of vRNPs in the perinuclear region, suggesting that HRB plays a role in vRNP transport from the MTOC to the plasma membrane.

### **Viral proteins and vRNP recruitment to the budding site**

As noted above, the Rab11 protein does not appear to be incorporated into budding virus particles, implying that another mechanism exists for vRNP recruitment at the budding site (Step 7 of Box 1). Several reports have suggested that the M2 protein is required for this process<sup>117–119</sup>, and that it occurs through a direct interaction between M1 and the M2 cytoplasmic tail<sup>119</sup>. As already noted, the M1 protein is required for vRNP nuclear export<sup>17,76</sup> and prevents vRNP nuclear re-import in late-stage infection, suggesting that M1 remains associated with vRNPs that have been transported to the cytoplasm. However, M1 association with vRNPs on Rab11 vesicles has not been demonstrated. Nonetheless, these observations suggest that M1-coated vRNPs are recruited by the M2-M1 interaction once Rab11 has delivered vRNPs to the plasma membrane.

### **Open questions**

Recent data have unambiguously implicated microtubules and Rab11 in vRNP transport through the cytoplasm. Yet, how the vRNPs are recruited to the Rab11 vesicles, how these vesicles are attached to microtubules, and the signals that promote Rab11 vesicle trafficking to the cellular periphery remain open questions.

## **PERSPECTIVE AND FUTURE DIRECTIONS**

The multi-dimensional trafficking and functions of vRNP complexes are essential to the IAV life cycle and, although complex, merit the considerable effort that has been applied towards their improved understanding. However, much remains to be learned, and additional work is required to elucidate the full spectrum of vRNP activities in infected cells. Key open questions have already been described throughout this review. Below, we elaborate on the most important of these questions, emphasizing crucial experimental approaches that are required for generating the most comprehensive model of vRNP function during IAV infection.

A significant and unsolved problem in IAV biology is the mechanism by which a complete set of eight vRNP segments is selected and assembled for incorporation into progeny viruses. This process is likely to involve aspects of vRNP structure; the accessibility of vRNAs within vRNP structures, which could allow for interactions with other vRNAs, proteins or cellular RNA species; inter-segment interactions between unique vRNPs (driven either by vRNA-vRNA or protein-based associations) (see Box 2 for additional details); and intracellular trafficking mechanisms that promote interactions between vRNPs. A more advanced understanding of these processes will require the use of cutting-edge technologies in structural analysis (e.g., crystallization of native RNP structures), high-resolution electron microscopy, innovative light microscopy approaches (e.g., super-resolution methods in combination with live cell imaging and/or smFISH), and the application of these technologies to realistic models of IAV infection (e.g., primary cells or tissue explants). Increased knowledge about the mechanism of vRNP assembly will provide insights into how

IAVs undergo reassortment (and cause pandemics), and further, may open novel channels for antiviral development (e.g., molecules that interfere with vRNP-vRNP interactions). Thus, resolving the mechanism of vRNP assembly should be pursued in earnest.

Another major gap in knowledge is the lack of a comprehensive understanding of the sizeable network of host proteins that interact with the IAV polymerase complex and/or vRNPs, and how this network contributes to vRNP activities. An even greater paucity of information exists for how avian and mammalian vRNP components differentially interact with this host protein network, and whether putative differences could impact inter-species virus transmission. The continued use of RNAi and other mechanisms to interfere with expression or activity of specific host factors within this network will be essential for defining a particular factor's role in regulating vRNP functions, and when possible, studies should be extended into animal models of IAV disease, including knockout mice. In addition, computational strategies could be more effectively harnessed in combination with available and new high throughput datasets (e.g., protein-protein interaction data, genome-wide knockdown studies and 'OMICS' analyses of infected cells or tissues) to identify host factor candidates with the strongest regulatory influence over the virus life cycle and/or inter-species transmission.

As we have already described, there is a critical need for development of novel antiviral strategies for treatment of severe influenza virus disease in humans. Currently, two classes of antiviral drugs are available: adamantanes, which impair viral M2 ion channel activity, and neuraminidase inhibitors, such as oseltamivir and zanamivir. However, because of the remarkable propensity of influenza viruses to adapt under selective pressure, use of these compounds has resulted in the widespread emergence of virus strains that are resistant to one or both inhibitor classes<sup>4</sup>. An alternative antiviral development strategy, targeting an essential host factor (rather than a viral protein), may reduce the emergence of resistant variants because it may be difficult for the virus to bypass the use of such a factor. Since vRNPs are central to the IAV life cycle and depend on host factors for their functions, knowledge gained from a deeper understanding of vRNP-host machinery interactions could yield unique strategies for host-targeted antiviral development, and therefore, this knowledge must be further expanded.

Altogether, the combination of extensive previous work and future efforts aimed at clarifying mechanisms that regulate vRNP functions in IAV-infected cells will not only improve our basic scientific knowledge, but may also reveal novel mechanisms of IAV pathogenicity and adaptation to mammalian hosts, and further, could lead to identification of novel druggable targets (viral or cellular) that might be exploited to prevent or treat IAV disease.

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vRNP interactions occur within virions, and that vRNPs are incorporated into progeny virus particles in a specific pattern.

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### ONLINE SUMMARY

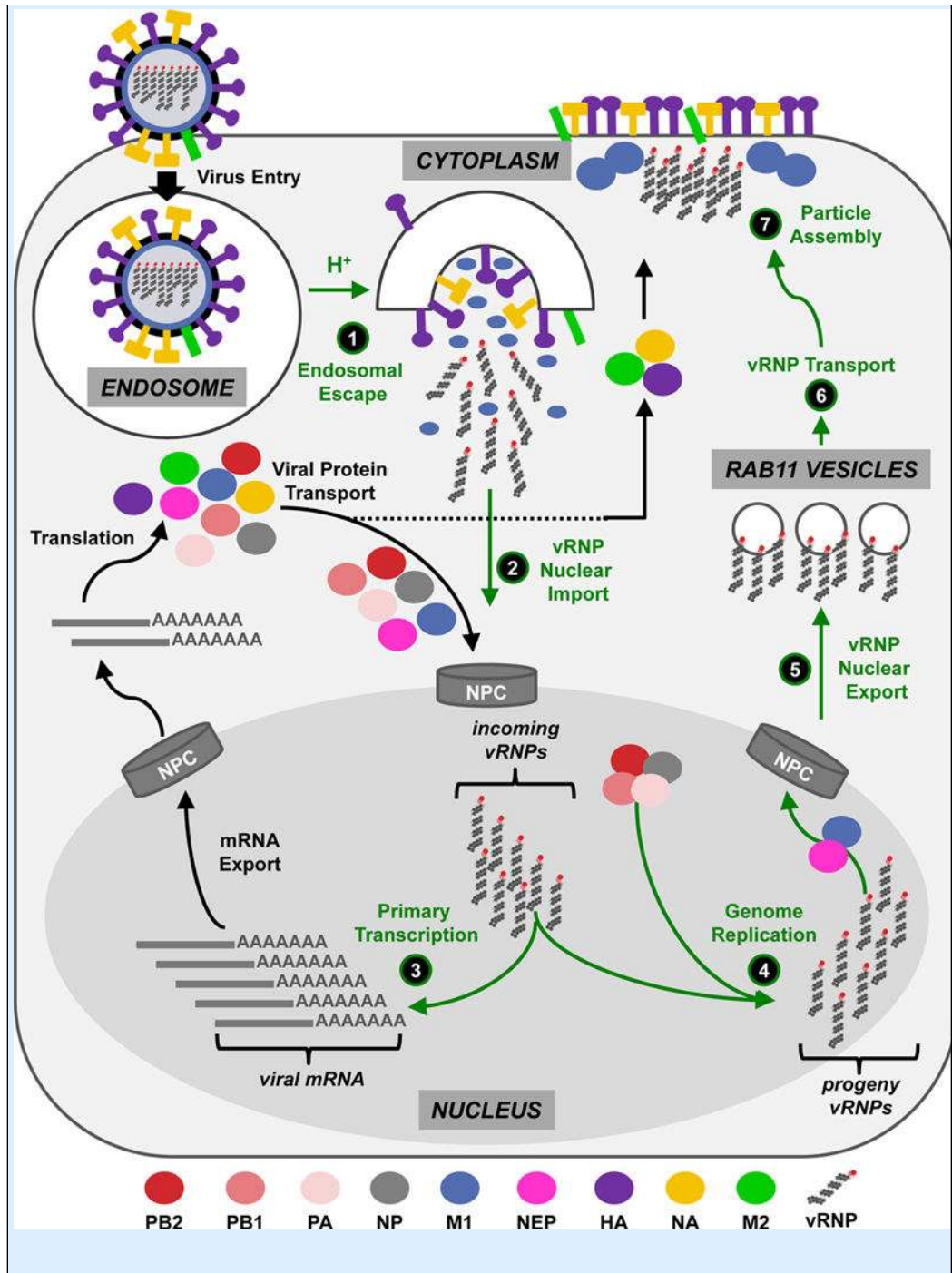
This paper describes the trafficking and functions of influenza A virus (IAV) viral ribonucleoproteins (vRNPs), the genetic material of IAV, within the host cell. We emphasize how vRNPs interact with and depend on host factors and pathways, how vRNP structure contributes to its function and the key open questions that still need to be solved.

- The structure of vRNPs in their native form is described.
- The mechanism of vRNP nuclear import is explained, including a discussion of how vRNP components interact with the cellular importin  $\alpha/\beta$ 1 nuclear import pathway.
- Primary genome transcription and genome replication is described, focusing on how host factors contribute to these processes.
- vRNP nuclear export, which is mediated by the cellular CRM1 nuclear export pathway, is illustrated.
- Recent work implicating the cellular Rab11 vesicle transport system and microtubules in vRNP cytoplasmic transport in late stage infection is described.
- We highlight important open questions and suggest methods that could be used to address these gaps in knowledge.



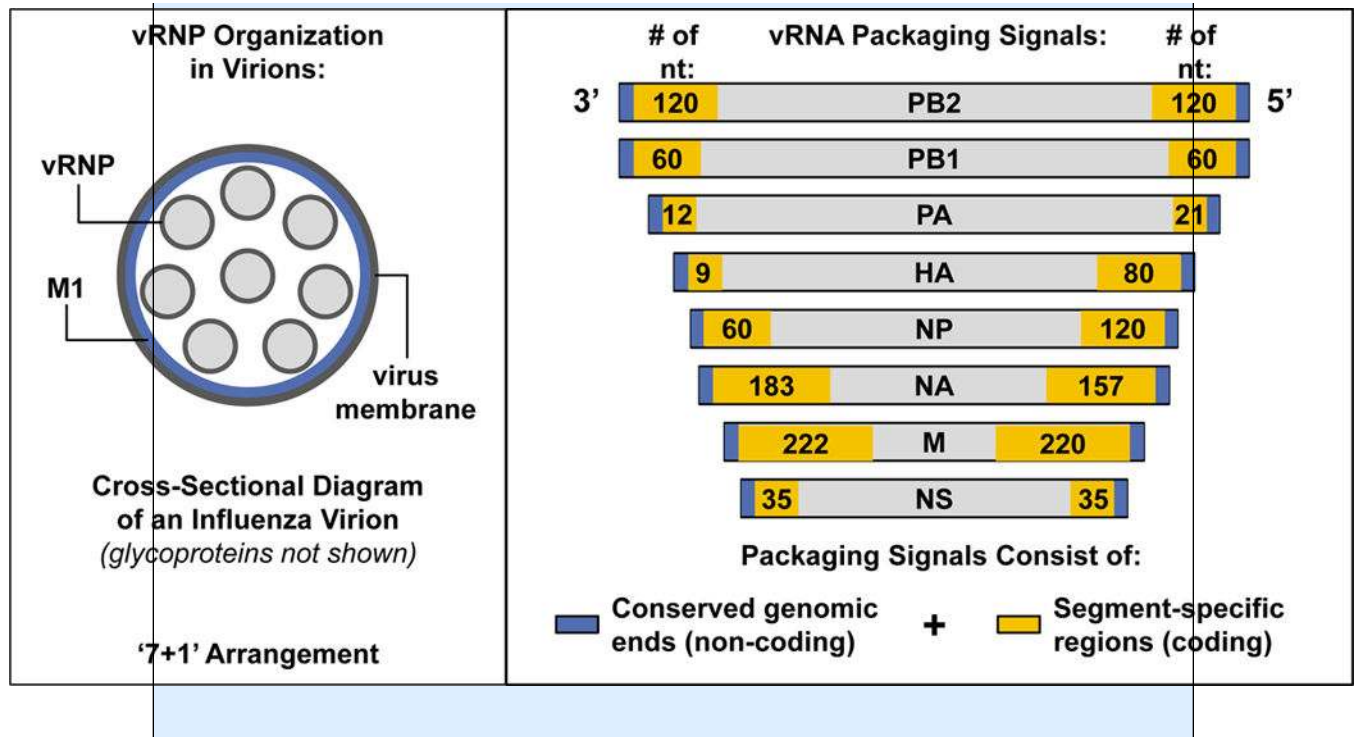
**Box 1****vRNPs in the IAV Life Cycle**

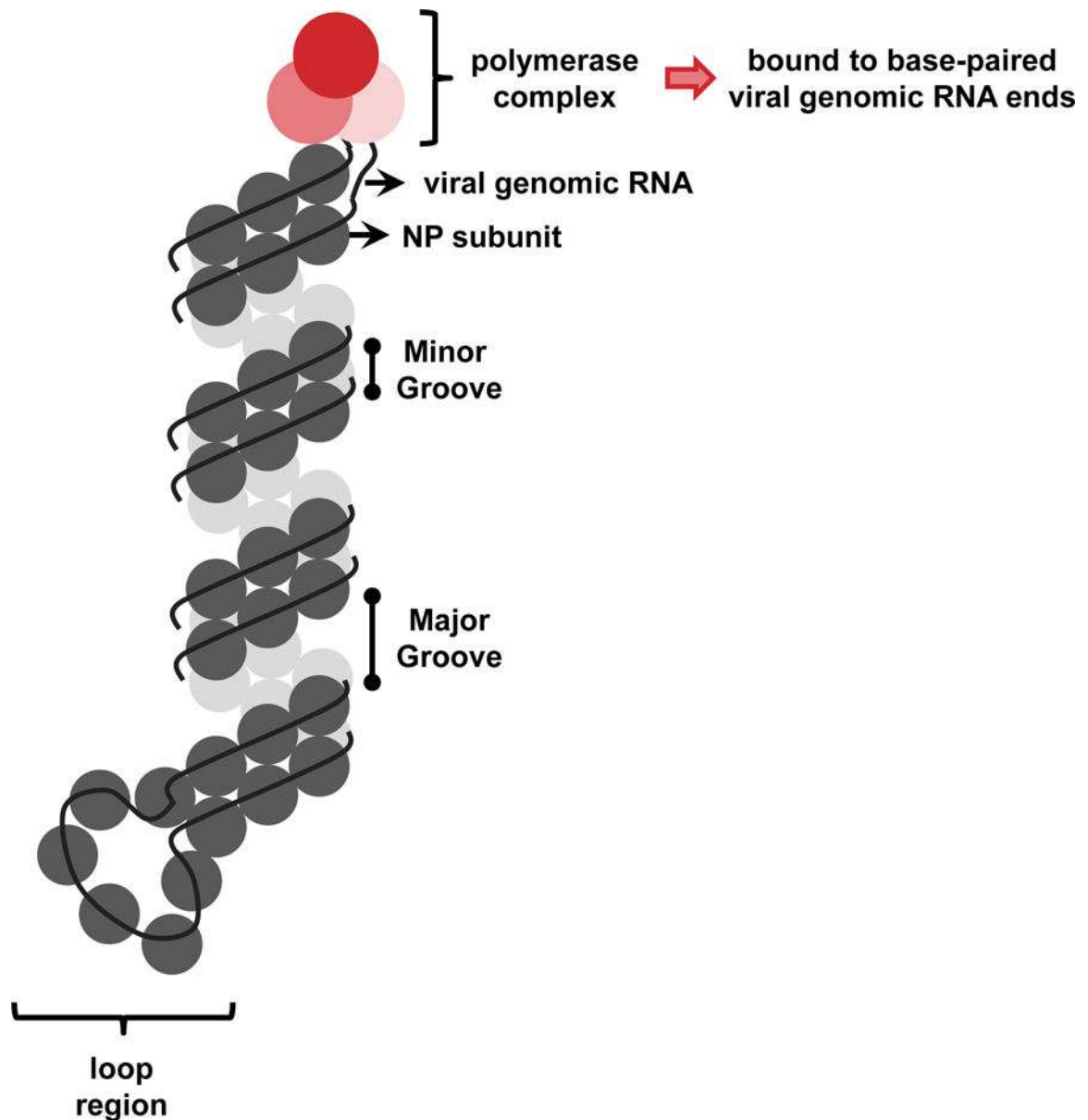
**(Upper Left)** IAVs enter cells predominantly through endocytosis after the viral HA protein (present on the virion surface) binds to host receptor molecules at the plasma membrane. **(1)** Following internalization, endosomal acidification activates HA conformational changes, which leads to fusion between the virion and endosomal membranes, providing the virus genome (i.e., vRNPs) with a portal of access to the cytoplasm. Concurrently, the viral M2 ion channel promotes acidification of the virion interior, which dissociates the M1 matrix protein from the viral genome. **(2)** vRNPs that are released from endosomes are transported into the nucleus, and **(3)** primary transcription results in the production of viral mRNAs, which are exported to the cytoplasm and translated into proteins by cellular ribosomes. Newly translated viral proteins are transported to the nucleus (PB2, PB1, PA, NP, M1 and NEP) or the plasma membrane (HA, NA and M2). **(4)** Upon the translation and nuclear entry of PB2, PB1, PA and NP, genome replication (i.e., the production of progeny vRNPs) ensues. **(5)** Progeny vRNPs are then exported to the cytoplasm with the assistance of the M1 and NEP proteins. **(6)** Subsequently, newly exported vRNPs are trafficked to the plasma membrane on Rab11 vesicles, and **(7)** vRNPs are incorporated into progeny virus particles containing HA, NA, M2 and M1. Virus release from the plasma membrane is mediated by the activities of at least two virion surface proteins, M2 and NA: M2 promotes scission of budding viruses from the plasma membrane, while NA prevents virus aggregation at the cell surface. Accessory viral proteins that modulate the host response but do not contribute directly to the events illustrated in **Steps 1–7** (e.g., NS1, PB1-F2, PA-X) are not included in the diagram. “NPC”, nuclear pore complex.



**Box 2****Selective Packaging of Influenza vRNP Segments**

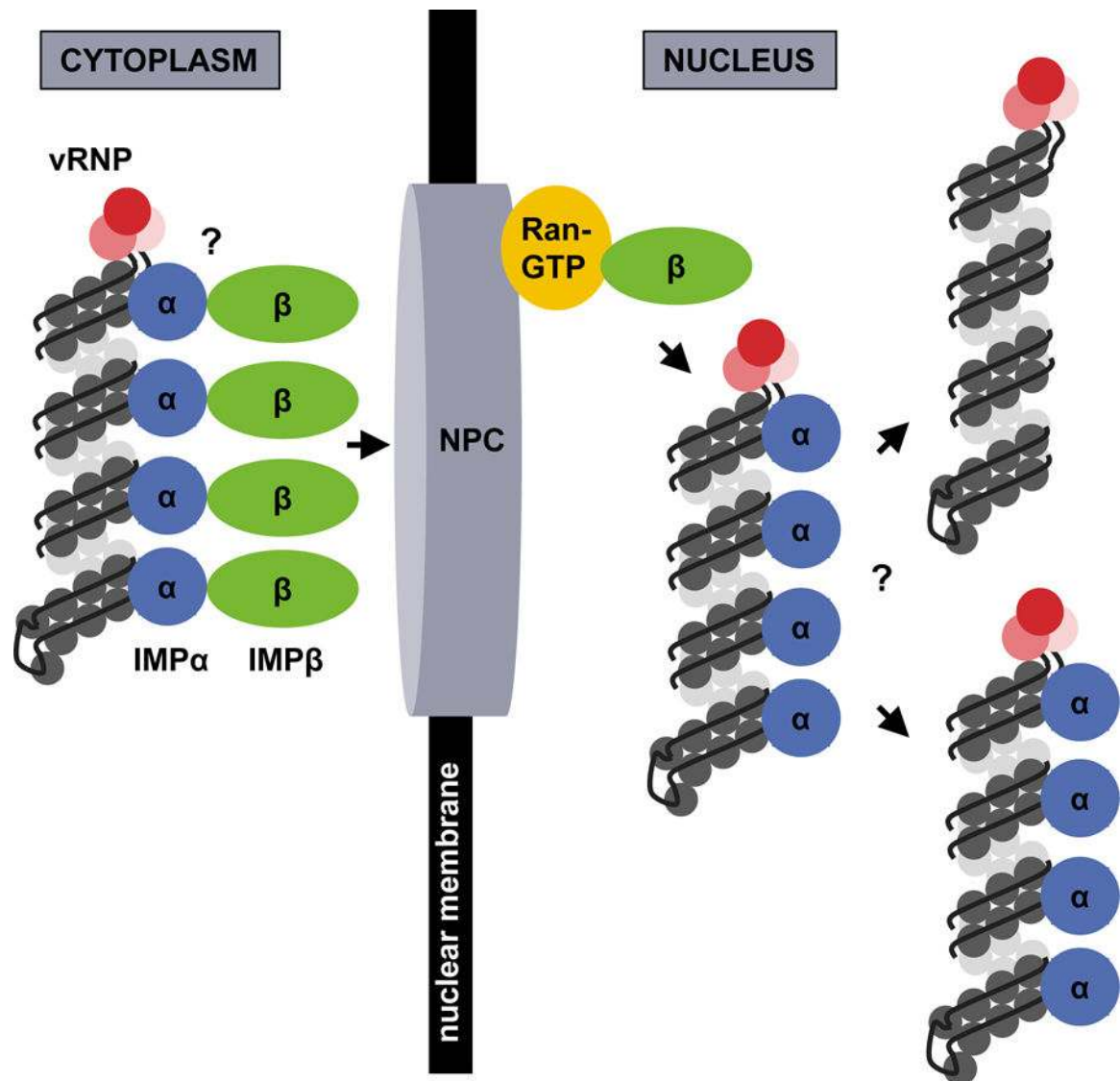
In order to preserve progeny virus infectivity, all eight unique vRNP segments – representing each influenza virus gene – must be incorporated into a budding influenza virion. Historically, two mechanisms were proposed to explain how this could occur: either packaging proceeds by a *selective* process, in which only one copy of each vRNP segment is selected from a mixture of all vRNPs for incorporation into a single virion; or alternatively, *random* packaging occurs, in which vRNP incorporation is arbitrary and any subset of any of the eight vRNP segments could be packaged together. The *selective* packaging model predicts that only eight vRNP segments would be present in most (or all) influenza virions released from infected cells. In contrast, the *random* packaging model requires the incorporation of >8 vRNP segments into each virion to maintain infectivity rates consistent with experimental observations. An elegant electron microscopy study, performed by Noda, et al.<sup>120</sup>, provided compelling evidence in support of the *selective* packaging model, establishing that the vast majority of influenza virions contain only eight vRNP segments, arranged in a ‘7 + 1’ formation (i.e., one central vRNP with 7 other vRNPs forming a circle around it) (a schematic representation of this arrangement is depicted in the **left panel**), and that the individual segments within virions differ in length in a manner consistent with differences in gene segment sizes. A more recent study by Chou et al.<sup>121</sup>, validated the observations made by Noda, et al. by using single molecule sensitivity fluorescence *in situ* hybridization (smFISH) with probes specific for viral genomic RNA. Although the mechanism governing how sets of eight vRNPs are selected from the cellular milieu for incorporation into virions is not well-clarified, each vRNA contains a packaging signal encompassing terminal genomic regions (which are conserved across all vRNA segments) and internal coding regions (which are unique to each segment)<sup>122–130</sup> (packaging signals of each of the eight IAV vRNP segments, identified through deletion mapping studies in reporter constructs, are shown in the **right panel**; the lengths of the 3′ and 5′ coding regions [in nucleotides, nt] that are required for efficient packaging of each segment is indicated by the numbers in the gold boxes). How these packaging signals mediate selective incorporation of their cognate vRNP remains an open question. However, the recent derivation of the three-dimensional structure of vRNP sets within IAV particles suggests that intra-virion vRNP-vRNP and vRNA-vRNA interactions may occur, and that sets of vRNPs are incorporated into virus particles in a specific pattern<sup>131</sup> (not shown in the figure panels). Other recent evidence supports the possibility of direct RNA-RNA interactions between IAV vRNAs<sup>132–136</sup>.





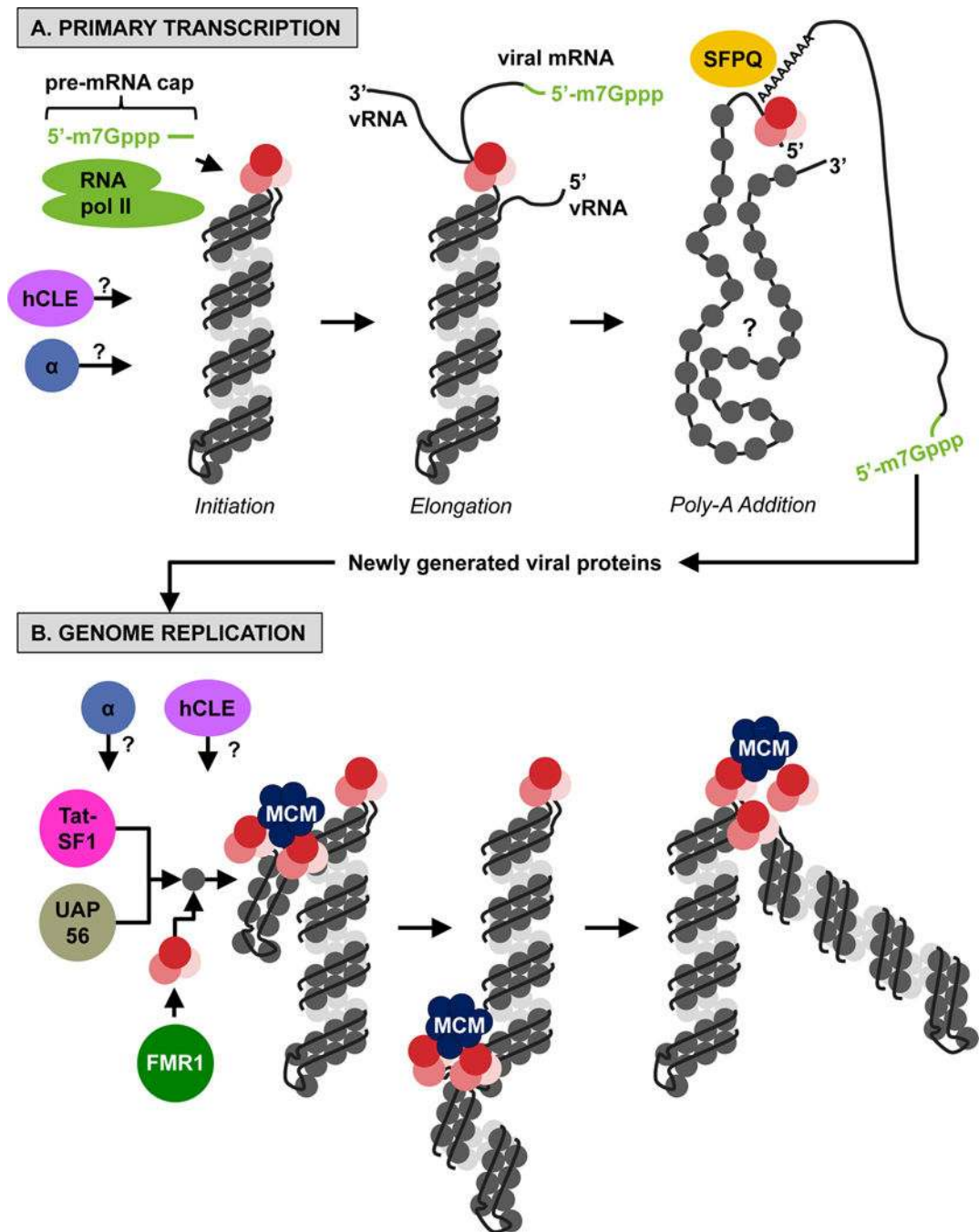
**Figure 1. Influenza vRNP complex**

Each influenza vRNP consists of one single-stranded, negative-sense genomic RNA associated with multiple NP monomers and a single trimeric polymerase complex (composed of PB2, PB1, and PA). The 5' and 3' vRNA ends are complementary and base pair to form a double-stranded structure, which is bound by the polymerase complex at one end of the vRNP filament. The internal vRNA region is organized into an anti-parallel double helix, whose formation is driven by contacts between NP monomers (i.e., the 'minor' groove), and a loop can be observed at the end of the filament opposite to that bound by the polymerase complex.



**Figure 2. Model for vRNP nuclear import**

Uncoated, cytoplasmic vRNPs with exposed NP NLS motifs associate with IMP $\alpha$ , which in turn associates with IMP $\beta$ . The entire complex docks at the NPC and is transported into the nucleus, where Ran-GTP binds IMP $\beta$  and facilitates vRNP release into the nucleoplasm to initiate transcription and replication. Whether multiple IMP $\alpha$  and IMP $\beta$  molecules associate with each vRNP is unknown, as is the fate of the vRNP-associated IMP $\alpha$  once the vRNP cargo is released into the nucleoplasm.



**Figure 3. Model for genome transcription and replication**

(A, *Primary Transcription*) *Initiation*: Following nuclear entry, vRNPs that were associated with incoming viruses transcribe viral mRNAs *in cis* using the resident polymerase complex bound to the double-stranded genomic ends and cellular pre-mRNA caps obtained by cap-snatching from cellular RNA polymerase II. *Elongation*: Then, the vRNA is threaded through (and copied by) the viral polymerase complex. *Poly-A Addition*: When the 5' end of the vRNA is reached, it is held by the polymerase to promote the generation of the poly-A tail in conjunction with the cellular SFPQ protein. (B, *Genome Replication*) After new viral

proteins are translated by the cellular machinery, soluble polymerases mediate genome replication in *trans*, promoted by the activity of the cellular MCM complex and the Tat-SF1 and UAP56 cellular proteins. The FMR1 protein stimulates the assembly of polymerase complexes and NP in the presence of vRNA. The specific contributions of hCLE and IMP $\alpha$  (represented as ' $\alpha$ ' in both the upper and lower panels) are currently unknown.

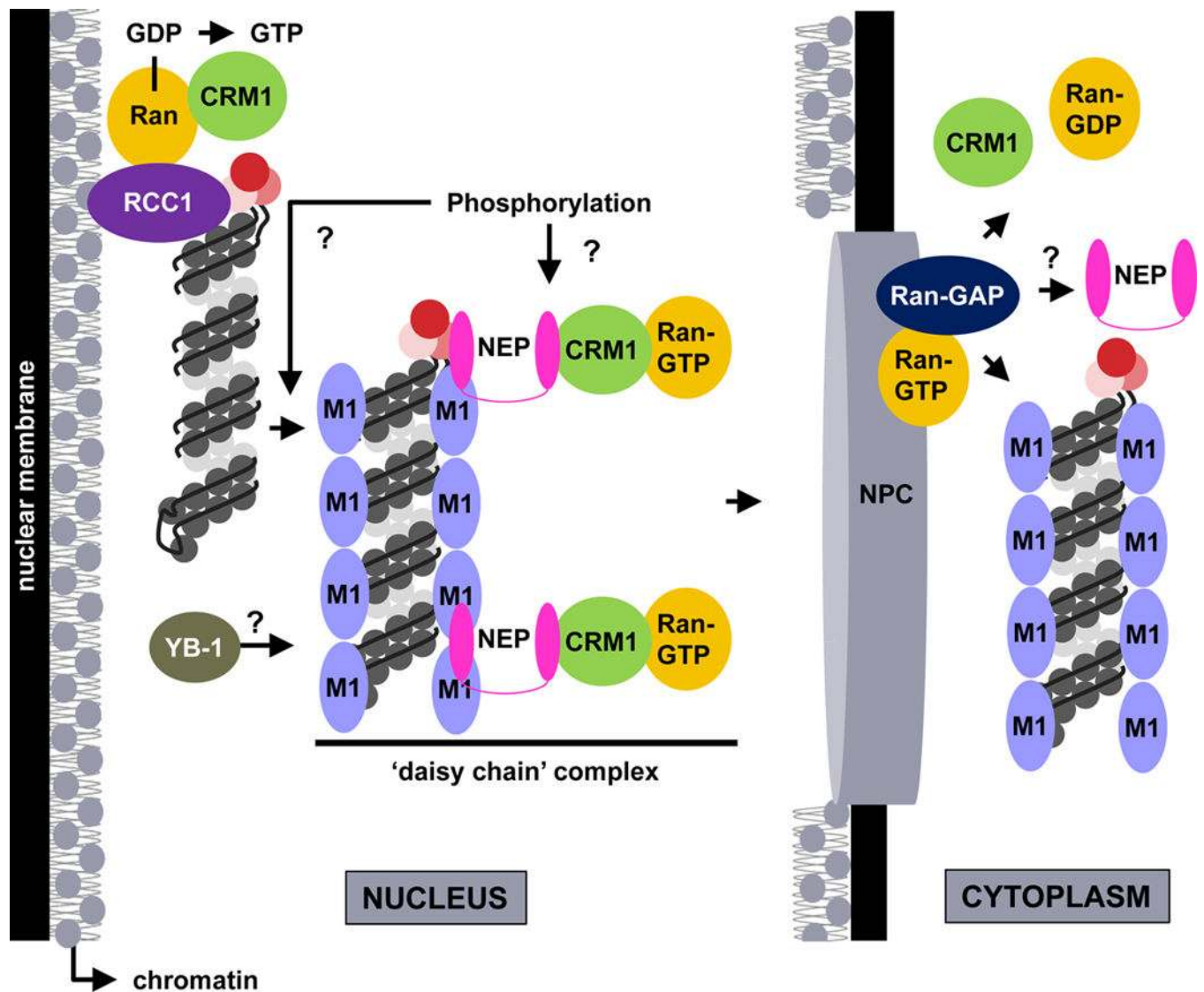
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**Figure 4. Model for vRNP nuclear export**

Late in infection, vRNPs localize to the chromatin at the nuclear periphery and associate with components of the CRM1 nuclear export pathway (e.g., RCC1). After a signal that may include phosphorylation of an unknown target protein, mediated by signal transduction cascades activated by HA at the plasma membrane, the vRNPs are released from the chromatin. The vRNPs are subsequently exported from the nucleus by means of a 'daisy chain' complex, through which the viral NEP protein acts as an adaptor between vRNP-M1 and CRM1-Ran-GTP. The cellular YB-1 protein may be co-transported with vRNPs. At the cytoplasmic side of the NPC, Ran-GTP hydrolysis releases transport factors and vRNPs into the cytoplasm, where vRNPs remain associated with M1. Whether NEP remains associated with the cytoplasmic vRNPs remains unclear.