mal growth factor, fibroblast growth factor, or angiotensin II all produce a rise in  $[H_2O_2]$  with a correlation existing between the magnitude and duration of an increase in  $[H_2O_2]$  and the level of tyrosine phosphorylation (10). These latter observations also strengthen the case that in many ways  $H_2O_2$  fulfills the definition of an intracellular second messenger.

VSMCs appear to be unusual in their uptake of extracellular catalase. Certain cells secrete catalase, and the amount of catalase in serum increases in certain disease states (14). Thus, growth of VSMCs might be influenced by extracellular catalase in vivo. Recent epidemiological studies suggest a cardioprotective effect of antioxidants (15). Given that PDGF-induced VSMC migration and proliferation is thought to precipitate early atherogenic changes (16), one mechanism by which dietary antioxidants might protect against cardiovascular events is by a direct effect on  $H_2O_2$ -mediated signal transduction in VSMCs.

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- 17. Primary VSMCs were obtained from rat thoracic aorta by enzymatic digestion as described [J. H. Campbell and G. R. Campbell, Vascular Smooth Muscle in Culture (CRC, Boca Raton, FL., 1987), pp. 15–22] and were stimulated with PDGF-AB (5 ng/ml); DCF fluorescence was measured (6). Images were collected with a Leica Laser confocal scanning microscope, model TCD4. Relative DCF fluorescence was recorded on a scale from 0 to 256. In general, basal fluorescence averaged from 0 to 10 units, whereas

stimulated fluorescence averaged from 60 to 200 units. Although DCF is oxidized by both  $H_2O_2$  and hydroxyl radicals, the lack of fluorescence in PDGF-stimulated catalase-loaded cells suggests that the fluorescent signal after the addition of growth factor is predominantly derived from  $H_2O_2$  (see Fig. 2E).

- 18. VSMCs deprived of serum for 3 days were stimulated with the indicated concentration of H<sub>2</sub>O<sub>2</sub> or PDGF-AB (5 ng/ml) for 20 min, after which cells were harvested and lysed in RIPA buffer [50 mM tris-Cl (pH 7.5), 150 mM NaCl, 1% NP-40, 0.5% sodium deoxycholate, and 0.1% SDS]. Immunoprecipitation was done from 150 mg of unstimulated or stimulated cell lysate with aphosphotyrosine antibody (4G10, UBI). Immunoprecipitated proteins were divided in equal portions, and tyrosine-phosphorylated proteins from 50 mg of lysate were subjected to SDS-polyacrylamide gel electrophoresis (SDS-PAGE) (4 to 20% gels) and transferred to a nitrocellulose filter. When indicated, filters were probed with either an antibody to phosphotyrosine (RC20), a broadly reactive antibody to MAP kinase (erk1-CT, UBI), or an antibody to paxillin (P13520, Transduction Lab). Amounts of MAP kinase protein were detected from total cell lysates (10  $\mu$ g per lane) by erk1-CT. Antibody binding was visualized by enhanced chemiluminescence (Tropix).
- 19. Adenoviral stocks were prepared and titered on 293 cells and used to infect VSMCs as described [R. J. Guzman et al., Proc. Natl. Acad. Sci. U.S.A. 91, 10732 (1994)]. Three days after infection, cells were deprived of serum for 3 days, then triplicate cultures were collected in buffer A [1× phosphate-buffered saline (PBS) (0.0067 M), 10 mM EDTA, 2% Triton X-100, and 0.5% deoxycholic acid] for measurement of enzymatic activity or in RIPA buffer for analysis with antibodies to phosphotyrosine.
- 20. Confluent VSMCs (1 x 10<sup>6</sup> cells), HUVECs [American Type Culture Collection (ATCC), Rockville, MD], and HeLa cells (ATCC) that had been deprived of serum were incubated with beef liver catalase (3000 U/ml, Boehringer Mannheim) for various times. After incubation, cells were washed twice in PBS, trypsinized, and lysed in buffer A. Catalase activity was assayed by the rate of decrease in absorbance at 240 nm by the method described by H. Aebi [*Methods Enzymol.* 105, 121 (1994)].
- 21. Cells incubated with extracellular catalase (3000 U/ml) were collected, and triplicate cultures were exposed to proteinase K (1 mg/ml) in solution for various times. At the indicated time, cells were quickly sedimented

and proteinase K diluted and removed. The cells were subsequently lysed in buffer A. Residual catalase activity was measured as described (20).

- 22. Confluent VSMCs with and without catalase loading were stimulated for 30 min with 10 mM SNP. Cells were subsequently lysed with 6% trichloroacetic acid (TCA). Lysates were neutralized, and equal amounts (2 x 10<sup>5</sup> cells) were used to determine amounts of cGMP by radioimmunoassay (Amersham) according to the manufacturer's recommendation.
- 23. Cells were stimulated with PDGF (5 ng/ml) for 20 min, and MAP kinase proteins were partially purified by phenylsepharose chromatography as described [S. Offermanns, *J. Immunol.* **15**2, 250 (1994)]. Myelin basic protein (MBP) phosphorylation was used as an index of MAP kinase activity, where 100% activity represents the activity derived from PDGF-stimulated cells in the absence of catalase loading.
- 24. Confluent VSMCs were maintained in media without serum for 3 days and then, as indicated, stimulated with PDGF-AB (5 ng/ml). After 18 hours, cells in quadruplicate wells were incubated for 3 hours with [<sup>3</sup>H]thymidine (1 mCi/ml), and TCA-precipitable counts were determined. If VSMCs were stimulated with PDGF in the presence of 0.5% serum, we noted effects on both basal and PDGF-stimulated thymidine incorporation; under serum-free conditions, effects were present only with PDGF stimulation. This was presumably because of the effects of catalase loading on residual growth factors present under 0.5% serum conditions.
- VSMC migration was measured in response to PDGF stimulation in a mini-Boyden chamber as described [S. Biro *et al., Proc. Natl. Acad. Sci. U.S.A.* **90**, 654 (1993)]. In the absence of PDGF stimulation, there was on average 10 VSMCs per high-power field.
- 26. A 200-mM stock of NAC was adjusted to pH 7.4 by NaOH, flash frozen, and stored in portions at -80° for up to 1 month. VSMCs were treated with the indicated amount of NAC for 8 hours before stimulation.
- 27. We acknowledge R. G. Crystal for providing the adenoviral constructs; M. Beaven, Y. F. Zhou, W. S. Kwon, R. Vemuri, and N. Epstein for helpful advice; S. G. Rhee and S. E. Epstein for critical review and helpful comments; and D. Koch for preparation of the manuscript. M.S. was supported by the Howard Hughes Medical Institute as an NIH Research Scholar.

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## Attenuated Shigella as a DNA Delivery Vehicle for DNA-Mediated Immunization

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Direct inoculation of DNA, in the form of purified bacterial plasmids that are unable to replicate in mammalian cells but are able to direct cell synthesis of foreign proteins, is being explored as an approach to vaccine development. Here, a highly attenuated *Shigella* vector invaded mammalian cells and delivered such plasmids into the cytoplasm of cells, and subsequent production of functional foreign protein was measured. Because this *Shigella* vector was designed to deliver DNA to colonic mucosa, the method is a potential basis for oral and other mucosal DNA immunization and gene therapy strategies.

**D**irect DNA-mediated immunization is an exciting new approach to vaccine development (1). We chose to exploit the ability of

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\*To whom correspondence should be addressed. E-mail: dr.\_donata\_sizemore@wrsmtp-ccmail.army.mil †Present address: Merck Research Laboratories, Post Office Box 4, BL3-3, West Point, PA 19486, USA. Shigellae to enter epithelial cells and escape the phagocytic vacuole as a method for directing plasmid DNA to the cytoplasm of the host cell for protein synthesis and processing for antigen presentation (2). To attenuate the Shigella vector, we made a deletion mutation in the asd gene encoding aspartate  $\beta$ -semialdehyde dehydrogenase, an essential enzyme that is required to synthesize the bacterial cell wall constituent diaminopimelic acid (DAP) (3, 4). The resultant 15D construct, a  $\Delta asd$  isolate of *Shigella flexneri* 2a strain 2457T, was able to maintain the eukaryotic expression vector pCMV $\beta$  (5) in the absence of antibioticselective pressure. The plasmid pCMV $\beta$  expresses *Escherichia coli*  $\beta$ -galactosidase under the control of the immediate early promoter and enhancer from the human cytomegalovirus (CMV) in mammalian cells; this permitted easy analysis of mammalian cellmediated gene expression after delivery.

Strain 15D was screened to ensure that the large plasmid that is essential for bacterial invasion of mammalian cells had not been lost during the genetic manipulations. Immunoblots verified that the strain continued to express the invasion-associated IpaB and IpaC polypeptides (6) and thus showed no loss of the invasion plasmid. To confirm earlier observations, we tested 15D and 15D(pCMV $\beta$ ) for the ability to invade cultured baby hamster kidney (BHK) cells with and without DAP supplementation during the 90 min allowed for invasion (7–9). Examination by light microscopy of fixed and stained chamber slides revealed

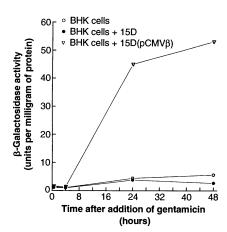


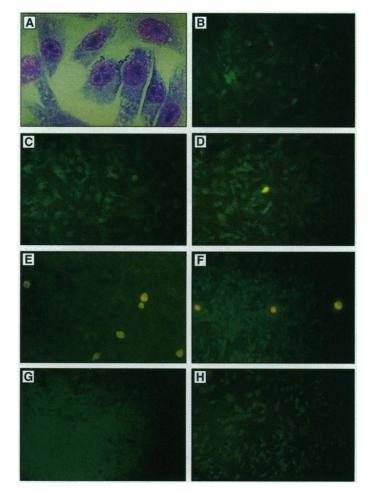
Fig. 1. Strain 15D was used as a carrier to deliver pCMVβ, a mammalian DNA expression plasmid, to BHK cells. β-Galactosidase activity (in units per milligram of protein) was determined for BHK cells alone (O), BHK cells infected with  $3 \times 10^9 15D$  ( $\bullet$ ), and BHK cells infected with  $1 \times 10^9$  15D(pCMV $\beta$ ) ( $\nabla$ ). Determinations of  $\beta$ -galactosidase activity were made on an estimated  $0.5 \times 10^7$  cells. Bacteria were grown as described (8). In this assay, DAP (50 µg/ml) was added to concentrated bacterial suspensions before these suspensions were added to flasks of semiconfluent BHK cells (~1  $\times$ 10<sup>7</sup> cells). At the indicated times, BHK cells were removed by trypsinization and washed in phosphate-buffered saline. A portion of the cell suspension was lysed with a 0.2% Triton X-100 solution, diluted, and plated on TSA Congo red DAP plates to determine the number of viable bacteria. β-Galactosidase activity was measured in the remaining cell extract by a standard biochemical assay (10) [units of  $\beta$ -galactosidase = 380  $\times$ OD<sub>420</sub>/time (in minutes)]. β-Galactosidase activities were standardized to 1 mg of total protein, as determined with a BCA\* protein assay kit (Pierce).

that in the absence of DAP, 15D and  $15D(pCMV\beta)$  entered 13% and 10% of the cultured BHK cells, respectively. By contrast, 33% (15D) and 29% [15D(pCMV\beta)] of the BHK cells contained bacteria when DAP was present during the invasion step. Although both constructs were able to invade BHK cells, the addition of DAP during the invasion step increased the number of BHK cells infected and the number of viable bacteria recovered (9).

To test the ability of 15D to deliver plasmid DNA, we followed intracellular bacterial viability and  $\beta$ -galactosidase activity (Fig. 1) over a 48-hour time course (8, 10). Initially,  $1 \times 10^7$  to  $3 \times 10^7$  viable bacteria of each strain were recovered from monolayers of BHK cells with no detectable  $\beta$ -galactosidase activity in cell extracts. No  $\beta$ -galactosidase activity could be detected in bacterial extracts that were equivalent to the total number of bacteria added. At each

Fig. 2. Intracellular immunostaining to detect expression of β-galactosidase within BHK cells infected with 15D or  $15D(pCMV\beta)$ . (A) A Leukostat-stained BHK monolayer infected with 15D(pCMVβ) 30 min after the addition of gentamicin-containing medium. (B through H) Immunostained infected BHK cells after the addition of gentamicin-conmedium. (B) taining 15D(pCMVβ), 30 min; (C) 15D, 4 hours; (D) 15D(pCMVβ), 4 hours; (E) 15D(pCMVβ), 24 hours; (F) 15D(pCMVβ), 48 hours; (G) 15D, 48 hours; (H) BHK cells alone. Three wells of a four-well chamber slide of BHK cell monolayers infected with 15D or 15D(pCMVβ) were immunostained to detect β-galactosidase expression (8, 10, 11). At the indicated times, washed monolayers were fixed in phosphate-buffered 4% paraformaldehyde for 5 min and then blocked with 3% goat serum (Gibco-BRL) in HBSS for 30 min. BHK cells were assay point, a loss of 1 to 1.5 log units of viable bacteria occurred with no notable difference between strains 15D and 15D(pCMV $\beta$ ). However, at both the 24and 48-hour assay points, increasing units of β-galactosidase activity were readily detected in extracts of BHK cells infected with 15D(pCMV $\beta$ ). The detected  $\beta$ -galactosidase activity did not result from expression within the bacteria because, although no activity was measured at the first two assay points, large numbers of viable bacteria were present. In addition, an isolate of 15D(pCMV $\beta$ ) that did not express IpaB and IpaC (as measured by immunoblotting) was unable to bring about  $\beta$ -galactosidase activity at the 24-hour assay point.

Infected monolayers of BHK cells were immunostained to examine  $\beta$ -galactosidase expression within individual cells (Fig. 2) (8, 11). No intracellular immunostaining was observed in monolayers infected with



then permeabilized for 1 min with HBSS containing 0.1% saponin (Sigma). A monoclonal antibody to  $\beta$ -galactosidase (Sigma) was diluted 1:2000 in HBSS containing 0.1% saponin and applied for 30 min at 37°C in a humidified chamber. Fluorescein isothiocyanate–conjugated secondary antibody to mouse IgG (Fc-specific, Sigma) was diluted 1:32 and applied for 30 min at room temperature. Between each step, chamber slides were washed extensively with HBSS containing 0.1% saponin. A final wash step of HBSS alone was used to close permeabilized cells. Fluorescent images were visualized with a Nikon Microphot with epifluorescence attachment or with an Olympus VAN04-S with fluorescence attachment. Original magnifications, ×312.5 (A); ×62.5 (B through H).

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either strain at the 30-min assay point (Fig. 2B). Only slight intracellular immunostaining was detected at the 4-hour assay point in monolayers infected with  $15D(pCMV\beta)$ (Fig. 2, C and D). By the 24- and 48-hour assay points, positive immunostaining of several cells per field was observed in monolayers infected with  $15D(pCMV\beta)$  (Fig. 2, E and F). Staining throughout the cytoplasm suggested that the plasmid DNA had been released from the bacterium into the cell cytoplasm, leading to transcription and translation by the mammalian cell. Immunostained cells also appeared to be rounded, possibly because of the presence of a large quantity of  $\beta$ -galactosidase protein. As measured by fluorescence-activated cell sorter (FACS) analysis, 1 to 2% of 5000 15D(pCMVβ)-infected BHK cells expressed  $\beta$ -galactosidase at the 24-hour assay point (8, 10).

Visual examination of Leukostat-stained chamber slides of  $15D(pCMV\beta)$ -infected BHK cells indicated that 28% of the cells contained one to five visually intact bacterial cells, with 1.7% containing five bacteria (Table 1). Four hours after gentamicin treatment, 26% of the cells contained visually intact bacteria, with <1% of the cells containing four bacteria. Therefore, invasion with one to five bacteria was required for foreign gene expression. Because pCMV $\beta$  is a 7164-base pair plasmid that occurs in ~500 copies per bacterial cell, each bacterium is estimated to contain ~3.93 × 10<sup>-9</sup> µg of DNA. Thus, intracytoplasmic delivery of no more than 4 × 10<sup>-9</sup> to 20 × 10<sup>-9</sup> µg of DNA by *Shigella* was sufficient for expression of  $\beta$ -galactosidase.

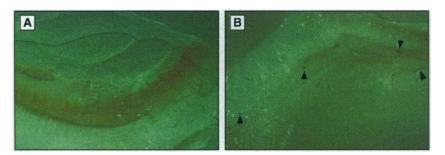
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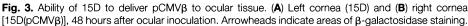
To demonstrate that gene delivery was not restricted to BHK cells, we infected murine P815 cells that express H-2<sup>d</sup> class I major histocompatibility complex (MHC) molecules with 15D(pCMV $\beta$ ). As shown in Table 2, 56.25 units of  $\beta$ -galactosidase activity were detected in lysates from P815 cells infected with 15D(pCMV $\beta$ ). Further experiments will be necessary to determine whether these cells can present *Shigella*delivered DNA-encoded foreign antigens in the context of class I.

Studies of the ability of 15D to deliver plasmid DNA in vivo have begun in two small animal models, the guinea pig keratoconjunctival and murine intranasal models, which are used to study *Shigella* pathogenicity and immunobiology (12, 13). To determine whether 15D could deliver pCMV $\beta$  to the ocular surface of the guinea pig eye, we stained corneas for  $\beta$ -galactosidase activity and visually examined them at various times after inoculation (12). Varying amounts of

**Table 1.** Percentage of BHK cells infected and number of bacteria per BHK cell, as shown by microscopic examination. Chamber slides and bacteria were prepared as described (8). At least 400 BHK cells of each group were examined.

Elapsed time (hours)	BHK cells infected (%) (mean)	Bacteria per infected BHK cell (mean ± SD)	Number of BHK cells containing 1 to 6 bacteria						
			1	2	3	4	5	6	Total
			Strain 1	5D					
0.5	39	1.84 ± 1.2	96	47	14	14	3	3	177
4	36	$1.68 \pm 0.94$	106	36	13	5	0	1	161
24	3.7	1	17	-	-	_	_	_	17
48	2.2	1	10	-	-	-	_	-	10
		Str	ain 15D(p	CMVB)					
0.5	28	$1.35 \pm 0.72$	76	29	7	5	2	0	119
4	26	$1.40 \pm 0.74$	95	16	4	1	0	0	116
24	3.3	1	14	1	-	-	-	_	15
48	3.8	1	18	-	1	_	-	-	19





staining were observed in the outer region of the cornea near the sclera of the right eyes that received  $15D(pCMV\beta)$ , except those from day 8, in which staining was detected in only one of three corneas. Several areas typical of the staining observed in corneas that received  $15D(pCMV\beta)$  are shown in Fig. 3B. No apparent endogenous  $\beta$ -galactosidase activity was detected in eyes inoculated with 15D. Histology experiments will be needed to examine in greater detail the percentage of cells and cell type(s) invaded by 15D(pCMV $\beta$ ) and those staining positive for  $\beta$ -galactosidase. In an initial experiment, spleen cells from intranasally inoculated BALB/c mice showed a moderate proliferative response to  $\beta$ -galactosidase protein (2.5  $\mu$ g/ml) (13, 14). The stimulation index (14) was 3.6 when the inoculum was supplemented with DAP compared with 2.1 in the absence of DAP. Although preliminary, these experiments indicate that bacteria can be used to deliver plasmid DNA in vivo.

Our method for delivering functional DNA inside cells need not be restricted to Shigella because the invasion genes used by Shigella can be inserted into other bacteria such as E. coli (15). Likewise, other bacteria such as Listeria are able to invade cells and break out of the phagocytic vacuole into the cytoplasm (16). Although we have no formal proof that such a release of bacteria from the phagocytic vacuole into the cell cytoplasm is essential for DNA delivery, preliminary experiments with Salmonella typhimurium, which reaches the cytoplasm only with difficulty, suggest that this organism is not an efficient DNA delivery vehicle (17).

Any bacterial DNA delivery system will need to strike a balance between cell inva-

Table 2. <sub>β</sub>-Galactosidase activity in P815 cells after infection with 15D(pCMVB). Bacteria used to infect P815 cells were grown as described (8). After addition of the bacterial cultures containing DAP to the nonadherent P815 cells cultured in six-well plates, the plate was spun at 500g for 5 min. Bacteria and P815 cells were allowed to interact for 90 min. The cells were then extensively washed with DMEM and resuspended in DMEM containing gentamicin (100 µg/ml) for a 1-hour incubation at 37°C in the presence of 5% CO2. The cells were again extensively washed and resuspended in DMEM containing gentamicin (20  $\mu$ g/ml) for overnight culture at 37°C in the presence of 5% CO22. B-Galactosidase activity and protein concentrations were determined at 24 hours as described (8, 10).

Source	β-Galactosidase (units per milligram of protein)		
P815 cells	3.04		
P815 cells + 15D	5.62		
P815 cells + 15D(pCMVβ)	56.25		

sion (with its subsequent reactogenicity) and efficiency of delivery. In the case of Shigella, the genes responsible for invasion also cause invasion and apoptosis of macrophages, followed by inflammation (18). We constructed a Shigella strain that was completely unable to divide in the absence of DAP. Determination of the safety of this strain awaits human trials. Preliminary experiments with a guinea pig keratoconjunctivitis challenge model indicate that a twodose immunization regimen followed by a challenge with virulent Shigella 3 weeks later gave 100% protection (12, 14). These results demonstrate that this highly attenuated strain, which is capable of DNA delivery, functions well in vivo.

The bacterial DNA delivery system described here has several advantages for certain applications. Delivery of DNA-encoded antigens to the mucosal immune system should permit mucosal immunization simultaneously with multiple antigens that (i) can be directed for class I presentation, class II presentation, or both; (ii) can stimulate T helper cells ( $T_H1$  or  $T_H2$ ); and (iii) can be secreted while maintaining the proper folding and conformational epitopes for immunoglobulin A (IgA) and immunoglobulin G (IgG) antibody production. Diseases that may be especially responsive to this approach include diarrheal diseases such as rotavirus, gastrointestinal diseases such as the ulcercausing Helicobacter pylori, and sexually transmitted disease agents such as human immunodeficiency virus, Neisseria gonorrhoeae, and human papilloma virus. Suppression of autoimmunity through manipulation of immune tolerance mechanisms in the gut has been demonstrated (19), and if such a technique proves to be generally applicable, it should also be amenable to our approach.

Perhaps the greatest advantage of bacterial delivery of DNA for vaccination and for potential gene therapy and replacement is the ease and acceptability of oral and other forms of mucosal delivery. Likewise, because no DNA purification is required for this type of DNA vaccination (which is essentially a live, attenuated bacterial vector), vaccines can be produced for the cost of fermentation, lyophilization, and packaging. Therefore, this type of vaccination may represent, at least in part, a solution to the costs and difficulties inherent in the production and development of current vaccines.

Aside from the practical applications of bacterial DNA delivery, the relatively efficient ability of *Shigella* to transfer functional DNA containing a eukaryotic promoter into mammalian cells leads to speculation concerning the potential role of such a mechanism in evolution. Plasmids for vaccine use are designed so as to minimize the possibility of chromosomal integration, but in nature this may not be the case.

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- 8. The general assay used for these studies was adapted from those described previously for Shigella plaque analysis (6, 7). A single Congo red-binding positive colony (denoting the expression of plasmidencoded Shigella virulence determinants) of each strain was used to inoculate overnight LB broth cultures containing DAP (50 µg/ml) (for 15D) or DAP plus ampicillin (250 μg/ml) [for 15D(pCMVβ)]. Overnight cultures were diluted 1:50 and grown to approximately mid-log phase in the presence of DAP. For chamber slide assays (Nunc) and 24-well plate assays, 200 µl of washed bacteria in Hanks' balanced solution (HBSS) with or without DAP (50 µg/ ml) was added to three wells of semiconfluent BHK cells (1  $\times$  10<sup>5</sup>) at  $\sim$  100:1. For flask assays, bacteria were grown as described, except that mid-log phase cultures were concentrated 10-fold and 2 m of the bacterial solution was added to a flask of BHK cells ( $\sim 1 \times 10^7$ ). In all assays, bacteria were allowed to interact with the BHK cells in this minimal volume for 90 min at 37°C in the presence of 5% CO<sub>2</sub> Nonadherent bacteria were removed by extensive washes with HBSS. Extracellular bacteria were then

killed by the addition of Dulbecco's modified Eagle's medium (DMEM) with 10% heat-inactivated fetal bovine serum (BioWhittaker) and gentamicin (50 µg/ml). For plating assays, cells were lysed with a 0.2% Triton X-100 solution and appropriate dilutions were plated on tryptic soy agar (TSA) Congo red DAP plates for determination of viable bacterial counts. At the indicated times, the chamber slides were extensively washed, fixed, and immunostained or stained with a Leukostat set (Fisher) before light or fluorescent microscopy. An Instat statistical program (Graphpad, San Diego, CA) was used to calculate means, SDs, and SEs. All data presented are representative of experiments performed two or more times.

- 9. The ability of a  $\Delta$ asd derivative of *S. flexneri* 2a strain 2457T to invade mammalian cells in culture was assayed to examine the requirement of DAP during the adherence and invasion step. Bacterial solutions with or without DAP were allowed to interact with BHK cells for 90 min, washed extensively, and then treated with gentamicin-containing media for 30 min before plating. In the absence of DAP, 1070 ± 404 (15D) and 1095 ± 332 [15D(pCMV\beta)] viable bacteria were recovered, versus 8.2 × 10<sup>4</sup> ± 1 × 10<sup>4</sup> (15D) and 8.6 × 10<sup>4</sup> ± 3.5 × 10<sup>4</sup> [15D(pCMV\beta]] when DAP was present [mean ± SE; P = 0.024 (Mann-Whitney test)].
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