

# Attribute-based Authenticated Key Exchange<sup>\*</sup>

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**Abstract.** We introduce the concept of attribute-based authenticated key exchange (AB-AKE) within the framework of ciphertext policy attribute-based systems. A notion of AKE-security for AB-AKE is presented based on the security models for group key exchange protocols and also taking into account the security requirements generally considered in the ciphertext policy attribute-based setting.

We also extend the paradigm of hybrid encryption to the ciphertext policy attribute-based encryption schemes. A new primitive called encapsulation policy attribute-based key encapsulation mechanism (EP-AB-KEM) is introduced and a notion of chosen ciphertext security is defined for EP-AB-KEMs. We propose an EP-AB-KEM from an existing attribute-based encryption scheme and show that it achieves chosen ciphertext security in the generic group and random oracle models.

We present a generic one-round AB-AKE protocol that satisfies our AKE-security notion. The protocol is generically constructed from any EP-AB-KEM that satisfies chosen ciphertext security. Instantiating the generic AB-AKE protocol with our EP-AB-KEM will result in a concrete one-round AB-AKE protocol also secure in the generic group and random oracle models.

**Keywords.** Attribute-based Key Exchange, Attribute-based KEM, Group Key Exchange

## 1 Introduction

In a distributed collaborative system, it is often convenient for the members to communicate with the others in the system using attributes that describe their roles or responsibilities. These attributes are highly desirable if the members join/leave the system dynamically. Consider an Internet forum where the members are organized into user groups based on the members' skills or privileges. It is a natural requirement that the members of a user group should be able to establish secure communication with the other members belonging to particular user groups. The communication in these forums is generally carried out through initiating a thread or by posting messages within an existing thread. To enable authentic and confidential communication, the forum administrator may specify an access policy with the user groups being attributes. Obviously, only the members of the forum whose attributes (e.g. membership to user groups) satisfy the policy should be able to have read and/or write access to the thread.

In the above scenario, the members do not necessarily have to know the identity of the other members with whom they want to communicate. In fact, the administrator may be requested not to disclose the identity of a member to the others for privacy reasons. Any member whose attributes satisfy the policy specified by the administrator should be able to participate in the communication. Note that the communication can naturally be among a group of more than two members, since the defined policy may be satisfied by attributes of more than two members. Hence, an authenticated group key exchange protocol that facilitates attributes usage can be employed in this setting. We call such a protocol, an attribute-based authenticated key exchange (AB-AKE) protocol. Once a session key among the willing participants has been established via the key exchange protocol, it can be used for establishing secure communication among the participants.

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We can further envisage applications for AB-AKE in interactive chat rooms and also in organizations with strict hierarchy like the military. In interactive chat rooms, each room may be associated with a policy defined with a set of interests being the attributes. Any member whose interests satisfy the policy of a chat room can have read and/or write access to it. Similarly, a policy over ranks (e.g., Sergeant, Lieutenant, Major, Colonel etc.) as attributes can be specified for the units in the military by another unit at a higher level in the hierarchy. All the units whose attributes satisfy the policy can establish secure communication among themselves through an AB-AKE protocol.

ATTRIBUTE-BASED ENCRYPTION. Sahai and Waters [29] introduced the concept of attribute based encryption (ABE) as an extension to ID-based encryption [7], in which a set of descriptive attributes is regarded as an identity. Goyal *et al.* [22] further extended the idea of ABE and introduced two variants: key policy attribute based encryption (KP-ABE) and ciphertext policy attribute based encryption (CP-ABE). In a KP-ABE system, the private key of a party is associated with an access policy defined over a set of attributes while the ciphertext is associated with a set of attributes. A ciphertext can be decrypted by a party if the attributes associated with the ciphertext satisfy the policy associated the user's private key. A CP-ABE system can be seen as a complementary form to KP-ABE system, wherein the private key is associated with a set of attributes, while a policy defined over a set of attributes is attached to the ciphertext. A ciphertext can be decrypted by a party if the attributes associated with its private key satisfy the ciphertext's policy.

## 1.1 Contributions

In this paper, we introduce the concept of AB-AKE. We assume that each member willing to participate in an AB-AKE protocol is issued a private key for a set of attributes that he/she possesses. Our modelling of AB-AKE follows the framework of CP-ABE in that the attributes are associated with the private keys. We assume that the members are given an access policy which their attributes have to satisfy for them to participate in the protocol. Alternatively, a common policy may be negotiated by the group members themselves. The protocol takes the access policy as input and computes messages for the other parties. Similar to the CP-ABE systems, we may assume that the policy is attached to the protocol messages in an AB-AKE protocol, although this assumption is not necessary since each member knows the policy at the outset of the protocol. A member whose attributes satisfy the given policy can compute the session key from the incoming messages and (if exists) its own contribution.

While a complementary flavour of AB-AKE can be conceptualized based on KP-ABE systems, we do not explore this direction in this work. For the type of applications that we have discussed earlier, AB-AKE protocols based on CP-ABE systems suit well. AB-AKE can be seen as an extension of group key exchange (GKE) [11, 26, 25] with the additional expressiveness provided by the ciphertext-policy attribute-based systems. We define a notion of authenticated key exchange security (AKE-security) for AB-AKE by adapting a corresponding notion for GKE to the attribute-based setting. The property of collusion resistance considered by attribute-based systems [22, 4, 32] is naturally embedded into our AKE-security notion.

We then propose a generic one-round AB-AKE protocol that satisfies our AKE-security notion. The protocol is based on a type of attribute-based key encapsulation mechanism (KEM) that we call *encapsulation policy attribute-based KEM* (EP-AB-KEM). In an EP-AB-KEM, the attributes are associated to the private key of a party and access policy is attached to the encapsulation. We

define a notion of chosen ciphertext security for EP-AB-KEM based on a corresponding notion considered for CP-ABE schemes.

Our AB-AKE protocol is generic in the sense that it can be instantiated using any EP-AB-KEM that satisfies chosen ciphertext security. We propose a chosen-ciphertext secure EP-AB-KEM based on the CP-ABE scheme of Bethencourt *et al.* [4] and using the generic technique of Boneh *et al.* [9]. While we apply the technique of Boneh *et al.* to the chosen plaintext secure EP-AB-KEM implicit in Bethencourt *et al.*'s scheme, we also make some non-trivial changes to adapt it to the attribute-based setting. The proposed EP-AB-KEM is then proven secure in the generic group and random oracle models. Incidentally, we are the first to model and construct EP-AB-KEMs, which are of independent interest.

Finally, an AB-AKE protocol satisfying our AKE-security provides implicit authentication that is similar to the corresponding notion considered for normal key exchange protocols. Particularly, our AKE-security notion ensures each protocol participant that no other party apart from parties who satisfy the given policy can possibly learn the value of the session key. Note that an EP-AB-KEM cannot achieve this property since it does not provide any sender authentication. Consequently, the receivers in EP-AB-KEM whose attributes satisfy the policy have no way of knowing whether the sender actually satisfies the same policy or not. For example, if we use an EP-AB-KEM in a user group, any one can post a message that is encrypted with the symmetric of the EP-AB-KEM. Alternatively, if the message is encrypted with a session key derived from an AB-AKE protocol the readers will get the assurance that only someone with valid attribute set has posted the message.

Our generic construction of AB-AKE can be seen as an extension of the protocols of Boyd *et al.* [10] and Gorantla *et al.* [19] to the attribute-based setting. One disadvantage of our protocol is that it cannot provide forward secrecy. However, for some of the applications that we have discussed, forward secrecy may not be necessary. For example, in an Internet forum the administrator may like to moderate the content posted in the user groups or in the military a unit at a higher rank would like to monitor the communication among the units at the same or a lower rank. In such scenarios, an AB-AKE protocol without forward secrecy will be useful since any party with the right attribute set will be able to recover the session key and consequently the messages encrypted with it. Nevertheless, forward secrecy is generally a highly desirable property for key exchange protocols. Hence, we also sketch constructions of AB-AKE protocols that can achieve forward secrecy.

## 1.2 Related Work

The concept of fuzzy secret handshake proposed by Ateniese *et al.* [1] seems closely related to our modelling of AB-AKE. However, there are a few important differences: In AB-AKE, we allow policies specified by the members to be very expressive consisting of several threshold gates, while fuzzy secret handshake only considers a single threshold gate. In a (fuzzy) secret handshake protocol, if a member do not satisfy the attributes specified by another member, the attributes of none of the members can be learned by the other member. On the other hand, in an AB-AKE protocol, if a member does not satisfy the policy specified by the other members, the members do not know anything about the attributes of the other members except what can be inferred by the policies attached to the protocol messages. Although both the properties look similar, we emphasize that an AB-AKE protocol would not hide the affiliation of the members even if the protocol was not successful [23]. Note that the property of “affiliation hiding” is the main requirement for (fuzzy)

secret handshakes. Finally, the fuzzy secret handshake protocol of Ateniese *et al.* considers only two party setting, while our protocol naturally operates in a group setting.

In independent work, Steinwandt and Corona [31] proposed a two-round attribute-based group key exchange protocol that achieves forward secrecy. Their protocol uses the GKE protocol of Bohli *et al.* [6] as the base protocol and replaces the public key signature scheme in Bohli *et al.* with an attribute-based signcryption scheme to authenticate the protocol messages. Recently, Birkett and Stebila [5] introduced the concept of predicate-based key exchange which encompasses key policy attribute-based key exchange. However, their security model considers key exchange between only two parties.

### 1.3 Organization

Section 2 presents a security model for EP-AB-KEM and also proposes a chosen ciphertext secure EP-AB-KEM. We first define a security model for AB-AKE in Section 3 and then present a generic one round AB-AKE protocol based on EP-AB-KEM. In Appendix 5, we outline how to construct AB-AKE protocols with forward secrecy. Appendices A, B and C contain preliminaries, proof of the proposed EP-AB-KEM and proof of the generic AB-AKE protocol respectively. We describe the hybrid CP-ABE construction and prove its security in Appendix D.

## 2 Encapsulation Policy Attribute-based KEM

We first give a formal definition of security for EP-AB-KEM. As in the earlier attribute-based systems [22, 4], we review the definition of an access structure and use it in the security model. Later, we present a concrete EP-AB-KEM based on the CP-ABE scheme of Bethencourt *et al.* [4].

**Definition 1** (Access Structure [2]). Let  $\{U_1, \dots, U_n\}$  be a set of parties. A collection  $\mathbb{A} \subseteq 2^{\{U_1, \dots, U_n\}}$  is monotone if  $\forall B, C : \text{if } B \in \mathbb{A} \text{ and } B \subseteq C \text{ then } C \in \mathbb{A}$ . An access structure (respectively, monotone access structure) is a collection (respectively, monotone collection)  $\mathbb{A}$  of non-empty subsets of  $\{U_1, \dots, U_n\}$ , i.e.,  $\mathbb{A} \subseteq 2^{\{U_1, \dots, U_n\}} \setminus \{\emptyset\}$ . The sets in  $\mathbb{A}$  are called authorized sets, and the sets not in  $\mathbb{A}$  are called the unauthorized sets.

In our EP-AB-KEM and later in the protocol, each party is assumed to possess a set of attributes. A policy over a set of attributes is specified through an access structure  $\mathbb{A}$ . Hence,  $\mathbb{A}$  contains the authorized sets of attributes i.e.,  $\mathbb{A} \subseteq 2^{\{S_1, \dots, S_n\}} \setminus \{\emptyset\}$  for a given set of attributes  $\{S_1, \dots, S_n\}$ . As in the CP-ABE of Bethencourt *et al.*, we consider only monotonic access structures. In the rest of the paper, by an access structure we mean a monotonic one.

A EP-AB-KEM consists of five polynomial-time algorithms:

**Setup:** takes the security parameter  $k$  and the attribute universe description  $\mathbb{U}$  as inputs. The public parameters  $PK$  and the master key  $MK$  are the outputs.

**Encapsulation:** takes as input the public parameters  $PK$  and an access structure  $\mathbb{A}$  over the attribute universe  $\mathbb{U}$ . It outputs an encapsulation  $C$  and a symmetric key  $K$  such that only a user with attributes satisfying  $\mathbb{A}$  can recover  $K$  from  $C$ . Similar to the CP-ABE schemes, we assume that the encapsulation implicitly contains  $\mathbb{A}$ .

**KeyGen:** takes as input the master key  $MK$ , the public parameters  $PK$  and a set of attributes  $S$  of a user that give a description of the user's private key. The output is the user's private key  $SK$ .

**Decapsulation:** takes as input the public parameters  $PK$ , an encapsulation  $C$  which contains an access structure  $\mathbb{A}$  and a private key  $SK$  corresponding to a set of attributes  $S$ . The algorithm outputs either a symmetric key  $K$  or  $\perp$ .

We also define an optional delegation algorithm, which allows a user with attribute sets  $S$  and a corresponding secret key  $SK$  to derive a secret key for another set of attributes  $\tilde{S}$  such that  $\tilde{S} \subseteq S$ .

**Delegate:** takes as input the public parameters  $PK$ , a secret key  $SK$  corresponding to a set of attributes  $S$  and a set  $\tilde{S} \subseteq S$ . It outputs a secret key  $\tilde{SK}$  for the attribute set  $\tilde{S}$ .

For an EP-AB-KEM to be considered valid, it is required that for any key  $SK$  corresponding to an attribute set  $S$ , if  $S$  satisfies  $\mathbb{A}$  and if  $(K, C) \leftarrow \text{Encapsulation}(PK, \mathbb{A})$ , then  $\text{Decapsulation}(PK, C, SK) = K$ .

## 2.1 Security Model

Bethencourt *et al.* [4] defined a notion of indistinguishability under chosen plaintext attack (IND-CPA) for CP-ABE schemes. In this section, we adapt their notion and extend it to define a notion of indistinguishability under chosen ciphertext attacks (IND-CCA) for EP-AB-KEM. The security notion is formally defined as follows.

**Definition 2.** An EP-AB-KEM is IND-CCA secure if the advantage of any probabilistic polynomial time adversary  $\mathcal{A}^{\text{cca}}$  in the following game is negligible in the security parameter  $k$ .

**Setup:** The challenger runs the Setup algorithm and returns  $PK$  to  $\mathcal{A}^{\text{cca}}$ .

**Phase 1:**  $\mathcal{A}^{\text{cca}}$  issues Extract and Decap queries as follows:

**Extract:** This query can be issued multiple times with sets of attributes  $S_1, \dots, S_{q_1}$  as input.

The challenger returns a private key corresponding to each input attribute set. We do not require the input attribute sets to be distinct.

**Decap:** This query is issued with an encapsulation  $C$  and an attribute set  $S$  as inputs. Note that  $C$  implicitly contains an access structure  $\mathbb{A}$  defined over the attribute universe  $\mathbb{U}$ . The challenger executes the Decapsulation algorithm on  $C$  using a private key corresponding to  $S$  and returns the output of Decapsulation to  $\mathcal{A}^{\text{cca}}$ .

**Challenge:** At the end of **Phase 1**,  $\mathcal{A}^{\text{cca}}$  gives an access structure  $\mathbb{A}^*$  defined over  $\mathbb{U}$  to the challenger. The challenger first chooses a bit  $b$ . It then runs the Encapsulation algorithm with  $\mathbb{A}^*$  as input and generates a symmetric key–encapsulation pair  $(K_1, C^*)$ . It then sets  $K_0$  to be a random key drawn from the probability distribution of the symmetric key. The tuple  $(K_b, C^*)$  is returned to  $\mathcal{A}^{\text{cca}}$  as the challenge. A trivial restriction on the adversary’s choice of  $\mathbb{A}^*$  is that none of the attributes sets  $S_1, \dots, S_{q_1}$  passed as input to Extract queries in **Phase 1** should satisfy  $\mathbb{A}^*$ .

**Phase 2:**  $\mathcal{A}^{\text{cca}}$  is allowed to execute in the same way as in **Phase 1** with the following restrictions:

- (1) none of the attribute sets  $S_{q_1+1}, \dots, S_q$  passed as input to Extract queries in **Phase 2** satisfy  $\mathbb{A}^*$  and
- (2) a Decap query with  $C^*$  as input in combination with an attribute set  $S^*$  that satisfies  $\mathbb{A}^*$  is not allowed.

**Guess:** The goal of  $\mathcal{A}^{\text{cca}}$  is to guess whether the key  $K_b$  is encapsulated within  $C^*$  or not.  $\mathcal{A}^{\text{cca}}$  finally outputs a guess bit  $b'$ . It wins the game if  $b' = b$ . The advantage of  $\mathcal{A}^{\text{cca}}$  is given as  $\text{Adv}_{\mathcal{A}^{\text{cca}}} = |2 \cdot \Pr[b' = b] - 1|$ .

Existing security notions for CP-ABE schemes also consider the weaker *selective model* where  $\mathcal{A}^{\text{cca}}$  declares the challenge access structure  $\mathbb{A}^*$  before the **Setup** phase. Similarly, a corresponding model for EP-AB-KEMs can be defined.

Similar to earlier CP-ABE schemes [4, 13, 32], we have not explicitly modelled the delegation mechanism in the security model for EP-AB-KEMs. However, we require that for a given set of attributes, a secret key output by the **Delegate** algorithm will have identical distribution to the one output by the **KeyGen** algorithm. In particular, the **Decapsulation** algorithm using a private key  $SK$  should work in the same way irrespective of  $SK$  being an output of **KeyGen** or **Delegate**. Our security model for EP-AB-KEMs suffices in the presence of an adversary who may obtain delegated private keys since such queries can be simulated using **Extract** queries.

*Remark 1.* In Definition 2,  $\mathcal{A}^{\text{cca}}$  is allowed to issue multiple **Extract** queries with attribute sets as input such that none of the individual sets  $S_i$  satisfy the challenge access structure  $\mathbb{A}^*$ . Hence, similar to earlier definitions of attribute-based encryption schemes, our definition also takes care of collusion resistance. An EP-AB-KEM satisfying the above definition ensures that from the private keys of  $S_i$ 's,  $\mathcal{A}^{\text{cca}}$  cannot construct a private key corresponding to another attribute set  $S^*$  such that  $S^*$  satisfies  $\mathbb{A}^*$ .

**HYBRID CP-ABE.** An EP-AB-KEM satisfying the above IND-CCA security notion can be combined with any IND-CCA secure data encapsulation mechanism to construct an IND-CCA secure CP-ABE scheme [14, 15]. We describe the hybrid construction and prove its security in Appendix D.

## 2.2 A Chosen Ciphertext Secure EP-AB-KEM

Bethencourt *et al.* [4] first proposed a construction of a CP-ABE scheme. Their scheme was shown IND-CPA secure assuming generic group and random oracle models. Later, many CP-ABE schemes [21, 13, 32] have been proposed and shown IND-CPA secure without assuming generic group or random oracle models, but analyzed only in the selective model of security. Recently, Lewko *et al.* [27] proposed a fully secure CP-ABE scheme in the standard model using composite order bilinear groups.

We now construct an IND-CCA secure EP-AB-KEM based on the CP-ABE scheme of Bethencourt *et al.*. The idea is to enhance the security of the IND-CPA secure EP-AB-KEM that is implicit in Bethencourt *et al.*'s CP-ABE scheme. For this purpose, the techniques of Fujisaki and Okamoto [18, 17] and Canetti *et al.* (CHK) [12] can be applied in the random oracle and standard models respectively. As remarked by Bethencourt *et al.*, IND-CCA security for CP-ABE (and correspondingly for EP-AB-KEM) schemes can be achieved by a straightforward application of the Fujisaki-Okamoto technique.

Bethencourt *et al.* also suggested that the delegation mechanism of their CP-ABE scheme can be leveraged to achieve IND-CCA security using the CHK transform. However, we observe that applying the CHK transform to CP-ABE schemes (similarly to EP-AB-KEMs) is slightly more involved. Specifically, contrary to the approach followed by KP-ABE schemes, IND-CCA security for CP-ABE schemes cannot be achieved by directly leveraging the delegation mechanism. We later discuss why this is so and then present an IND-CCA secure EP-AB-KEM by making a few changes to the **Setup** and **Encapsulation** algorithms derived from Bethencourt *et al.*'s CP-ABE scheme. Although the CHK technique can be used to achieve IND-CCA security in the standard

model, our EP-AB-KEM will only be secure assuming generic groups and random oracles since the base CP-ABE scheme also assumes the same. Finally, we choose the scheme of Bethencourt *et al.* because it is secure in the fully adaptive model (i.e., non-selective model). In Remark 3, we discuss the necessity of an EP-AB-KEM to be secure in the adaptive model for constructing AB-AKE protocols.

The IND-CCA secure scheme first generates a one-time key pair  $(sk, vk)$  for a signature scheme with the condition that the verification key is of the same length as the length of an attribute in the attribute universe  $\mathbb{U}$ . Let  $\mathbb{A}$  be the access structure given as input to the EP-AB-KEM. We now construct a more restrictive access structure  $\mathbb{A}' = \mathbb{A} \text{ AND } vk$  and execute the CPA-secure EP-AB-KEM under  $\mathbb{A}'$ . The resulting encapsulation is then signed using the one-time signing key  $sk$ . The encapsulation of the CCA-secure EP-AB-KEM contains the encapsulation generated by the underlying CPA-secure EP-AB-KEM, the signature generated on it and the verification key  $vk$ . The recipient first checks the signature using  $vk$  and then executes the CPA-secure KEM's decapsulation algorithm under  $\mathbb{A}'$  to extract the symmetric key.

While the above informal description of our construction directly follows the CHK technique, the tricky part in the context of EP-AB-KEM (or CP-ABE) is to empower the recipient with a private key corresponding to the attributes that satisfy the modified access structure  $\mathbb{A}'$ . The recipient may already possess attributes that satisfy  $\mathbb{A}$ . However, since the verification key  $vk$  is one-time and chosen randomly for each execution of EP-AB-KEM, the recipient cannot be issued with a private key that can decrypt messages encrypted under  $\mathbb{A}' = \mathbb{A} \text{ AND } vk$ . This problem cannot be addressed by the delegation mechanism in an EP-AB-KEM (or CP-ABE) scheme since it can be used to derive private key corresponding to an attribute set  $S'$  from the one corresponding to  $S$  only if  $S' \subseteq S$ . But, we have an additional attribute in the form of  $vk$ . Note that this is not a problem in the KP-ABE system since it naturally allows a party with a private key corresponding to an access structure  $\mathbb{A}$  to derive private keys corresponding to access structures that are more restrictive than  $\mathbb{A}$ .

To address the above problem, we make modifications to the **Setup** and **Encapsulation** algorithms derived from the CP-ABE scheme of Bethencourt *et al.* [4]. Our EP-AB-KEM now enables a recipient with private key for attributes that satisfy  $\mathbb{A}$  to decapsulate an encapsulation created under  $\mathbb{A}'$ , irrespective of the choice of  $vk$  by the sender. As in the CP-ABE scheme of Bethencourt *et al.*, an access structure  $\mathbb{A}$  is represented in the form of an access tree  $\mathcal{T}$ .

**Access Tree.** Let  $\mathcal{T}$  be a tree representing an access structure. Each interior node of  $\mathcal{T}$  represents a threshold gate, while each leaf node is described by an attribute. Let  $num_x$  be the number of children of a node  $x$  and let  $k_x$  be its threshold value. We have  $0 \leq k_x \leq num_x$ . A threshold gate associated to an internal node with threshold value  $k_x$  outputs **true** if at least  $k_x$  of its children output **true**. If the threshold gate represented by an interior node is an AND gate then  $k_x = num_x$  and if the gate is OR,  $k_x = 1$ . The threshold value for each leaf node  $x$  is defined to be  $k_x = 1$ . The parent of a node  $x$  in the tree  $\mathcal{T}$  is denoted by the function  $\text{parent}(x)$ , while the attribute of a leaf node  $x$  is denoted by  $\text{att}(x)$ . The children of each interior node are numbered from 1 to  $num_x$ . The function  $\text{index}(x)$  returns such a number associated with a node  $x$ . We assume that the index values are uniquely assigned in an arbitrary manner for a given access structure.

**Satisfying an access tree.** Let  $r$  be the root of an access tree  $\mathcal{T}$ . The subtree of  $\mathcal{T}$  rooted at a node  $x$  is denoted by  $\mathcal{T}_x$ . If a set of attributes  $\gamma$  satisfy the access tree  $\mathcal{T}_x$ , it is denoted as  $\mathcal{T}_x(\gamma) = 1$ . The function  $\mathcal{T}_x(\gamma)$  is computed recursively as follows: If  $x$  is an interior node, for each

children  $x'$  of  $x$ ,  $\mathcal{T}_{x'}(\gamma)$  is evaluated.  $\mathcal{T}_x(\gamma)$  returns 1 if and only if at least  $k_x$  children of  $x$  return 1. If  $x$  is a leaf node,  $\mathcal{T}_x(\gamma)$  returns 1 if and only if  $\text{att}(x) \in \gamma$ .

Let  $\mathbb{G}_0$  and  $\mathbb{G}_1$  be two multiplicative groups of prime order  $p$  and  $g$  be an arbitrary generator of  $\mathbb{G}_0$ . Let  $e : \mathbb{G}_0 \times \mathbb{G}_0 \rightarrow \mathbb{G}_1$  be an admissible bilinear map as defined in Section A.1. The Lagrange's coefficient  $\Delta_{i,S}$  for  $i \in \mathbb{Z}_p$  and a set  $S$  of elements in  $\mathbb{Z}_p$  is defined as:  $\Delta_{i,S} = \prod_{j \in S, j \neq i} \frac{x-j}{i-j}$ .

**Setup( $k$ ).** It chooses the groups  $\mathbb{G}_0$ ,  $\mathbb{G}_1$  and defines a bilinear map  $e : \mathbb{G}_0 \times \mathbb{G}_0 \rightarrow \mathbb{G}_1$ . It also selects  $\alpha, \beta_1, \beta_2 \in \mathbb{Z}_p$  such that  $\beta_1 \neq \beta_2$ ,  $\beta_1 \neq 0$  and  $\beta_2 \neq 0$ . The public key is

$$PK = \left( \mathbb{G}_0, \mathbb{G}_1, e, g, h_1 = g^{\beta_1}, f_1 = g^{1/\beta_1}, h_2 = g^{\beta_2}, f_2 = g^{1/\beta_2}, e(g, g)^\alpha \right).$$

The master key  $MK$  is  $(\beta_1, \beta_2, g^\alpha)$ .

**Encapsulation( $PK, \mathcal{T}$ ).** This algorithm generates an encapsulation and a symmetric key under the access tree  $\mathcal{T}$  using the public key  $PK$ . It first executes the **KeyGen** algorithm of the signature scheme (ref. Section A.2) and obtains a one-time key pair  $(sk, vk)$ . Let  $\mathbb{A}$  be the access structure represented by  $\mathcal{T}$ . The algorithm now constructs a new access tree  $\mathcal{T}'$  for the access structure  $(\mathbb{A} \text{ AND } vk)$  as follows: Let  $R$  be the root node of  $\mathcal{T}$ . The root node  $R'$  of the new tree  $\mathcal{T}'$  is set as the AND gate with  $\mathcal{T}$  as its subtree and the verification key  $vk$  as a leaf node attached to  $R'$ .

The algorithm now generates a polynomial  $q_x$  for each node  $x$  in the tree  $\mathcal{T}'$  in a top-down approach as follows: Starting from the root node  $R'$ , for each node  $x$  in the tree set the degree  $d_x$  of the polynomial associated with  $x$  to be  $k_x - 1$  i.e., the degree of the polynomial is one less than the threshold value associated with the node  $x$ . The algorithm starts from the root node and first chooses a random  $s \in \mathbb{Z}_p$ . Then it chooses  $d_{R'}$  other points randomly to define the polynomial  $q(R')$ . For any node  $x$  other than the root, it sets  $q_x(0) = q_{\text{parent}(x)}(\text{index}(x))$  and chooses  $d_x$  other points randomly to define the polynomial  $q(x)$ .

Let  $Y$  be the set of leaf nodes in the subtree  $\mathcal{T}$  rooted at  $R$ . The only other leaf node in the tree  $\mathcal{T}'$  is the one that describes the verification key  $vk$ . The algorithm proceeds as follows:

1.  $K = e(g, g)^{\alpha s}$ .
2.  $C_1 = h_1^s$ .
3.  $\forall y \in Y : C_y = g^{q_y(0)}, C'_y = H(\text{att}(y))^{q_y(0)}$ .
4.  $C_{vk} = h_2^{q_{vk}(0)}, C'_{vk} = H(vk)^{q_{vk}(0)}$ .
5. Let  $\mathcal{C} = (\mathcal{T}', C_1, C_y, C'_y, C_{vk}, C'_{vk}), \forall y \in Y$ . Compute a signature  $\sigma = \text{Sig}_{sk}(\mathcal{C})$ .

The final encapsulation  $C = (C, vk, \sigma)$ .

**KeyGen( $MK, PK, S$ ).** It chooses  $r, r_{vk} \in \mathbb{Z}_p$  and  $r_j \in \mathbb{Z}_p$  for each  $j \in S$ . The private key is computed as:

$$SK = (D = g^{(\alpha+r)/\beta_1}, E = g^{r/\beta_2}, \forall j \in S : D_j = g^r \cdot H(j)^{r_j}, D'_j = g^{r_j}).$$

**Delegate( $SK, PK, \tilde{S}$ ).** It takes as input a secret key  $SK$  corresponding to a set of attributes  $S$  and another set  $\tilde{S} \subseteq S$ . The key  $SK$  is of the form  $SK = (D, E, \forall j \in S : D_j, D'_j)$ . The algorithm chooses  $\tilde{r}$  and  $\tilde{r}_k \forall k \in \tilde{S}$ . The new key for  $\tilde{S}$  is generated as:

$$\tilde{SK} = (\tilde{D} = D f_1^{\tilde{r}}, \tilde{E} = E f_2^{\tilde{r}}, \forall k \in \tilde{S} : \tilde{D}_k = D_k g^{\tilde{r}} H(k)^{\tilde{r}_k}, \tilde{D}'_k = D'_k g^{\tilde{r}_k}).$$



Decapsulation( $SK, PK, C$ ). Upon receiving an encapsulation  $C$ , the decryptor first parses the access tree  $\mathcal{T}'$ . It then extracts the subtree  $\mathcal{T}$  rooted at  $R$  from  $\mathcal{T}'$ . Note that this can be easily done since the node that describes the verification key as an attribute can be identified with the help of the verification key  $vk$  sent in the encapsulation. The algorithm first verifies the signature  $\sigma$  on  $C$  using the verification key  $vk$ . If the verification succeeds, it proceeds as follows:

$$\begin{aligned}
F_{vk} &= \frac{e(C_{vk}, H(vk) \cdot g^{r/\beta_2})}{e(C'_{vk}, h_2)} = \frac{e(C_{vk}, g^{r/\beta_2}) \cdot e(C_{vk}, H(vk))}{e(C'_{vk}, h_2)} \\
&= \frac{e(h_2^{q_{vk}(0)}, g^{r/\beta_2}) \cdot e(h_2^{q_{vk}(0)}, H(vk))}{e(H(vk)^{q_{vk}(0)}, h_2)} \\
&= e(g^{\beta_2 \cdot q_{vk}(0)}, g^{r/\beta_2}) = e(g, g)^{r q_{vk}(0)}.
\end{aligned} \tag{1}$$

A recursive algorithm  $\text{DecryptNode}(\mathcal{C}, SK, x)$  that takes as input  $\mathcal{C}$ , a private key  $SK$  associated with a set of attributes  $S$  and a node  $x$  from the subtree  $\mathcal{T}$  is then executed as below:

If  $x$  is a leaf node, then let  $i = \text{att}(x)$ . If  $i \notin S$ , then  $\text{DecryptNode}(\mathcal{C}, SK, x) = \perp$ . Otherwise it is defined as follows:

$$\text{DecryptNode}(\mathcal{C}, SK, x) = \frac{e(D_i, C_x)}{e(D'_i, C'_x)} = \frac{e(g^r \cdot H(i)^{r_i}, g^{q_x(0)})}{e(g^{r_i}, H(i)^{q_x(0)})} = e(g, g)^{r q_x(0)}.$$

If  $x$  is an interior node then  $\text{DecryptNode}(\mathcal{C}, SK, x)$  proceeds as follows: For all nodes  $z$  that are children of  $x$ , the algorithm  $\text{DecryptNode}(\mathcal{C}, sk, z)$  is called. The output is stored as  $F_z$ . Let  $S_x$  be an arbitrary  $k_x$ -sized set of child nodes  $z$  such that  $F_z \neq \perp$ . If no such set exists, the function returns  $\perp$ . Otherwise, the decapsulation algorithm proceeds as follows:

$$\begin{aligned}
F_x &= \prod_{z \in S_x} F_z^{\Delta_{i, S'_x}(0)}, \text{ where } i = \text{index}(z), S'_x = \{\text{index}(z) : z \in S_x\} \\
&= \prod_{z \in S_x} (e(g, g)^{r \cdot q_z(0)})^{\Delta_{i, S'_x}(0)} \\
&= \prod_{z \in S_x} (e(g, g)^{r \cdot q_{\text{parent}(z)}(\text{index}(z))})^{\Delta_{i, S'_x}(0)} \\
&= \prod_{z \in S_x} (e(g, g)^{r \cdot q_x(i)})^{\Delta_{i, S'_x}(0)} \\
&= (e(g, g))^{r \cdot q_x(0)}.
\end{aligned}$$

Finally, the decapsulation algorithm calls the  $\text{DecryptNode}$  algorithm on the node  $R$ , which is the root of the subtree  $\mathcal{T}$ . If  $\mathcal{T}$  is satisfied by the attribute set  $S$ , then we have  $F_R = \text{DecryptNode}(\mathcal{C}, SK, R) = e(g, g)^{r \cdot q_R(0)}$ . We now compute  $F_{R'}$  from  $F_{vk}$  and  $F_R$  using polynomial interpolation as follows:

$$\begin{aligned}
F_{R'} &= \prod_{x \in \{R, vk\}} F_x^{\Delta_{\text{index}(x), \{R, vk\}}} \\
&= e(g, g)^{r \cdot q_{R'}(0)} \\
&= e(g, g)^{r s}.
\end{aligned}$$

Let  $A = e(g, g)^{rs}$ . The symmetric key is recovered as

$$\frac{e(C_1, D)}{A} = \frac{e(h_1^s, g^{(\alpha+r)\beta_1})}{e(g, g)^{rs}} = \frac{e(g, g)^{s(\alpha+r)}}{e(g, g)^{rs}} = e(g, g)^{\alpha s} = K. \quad (2)$$

Note that in Equation 1, we implicitly verify that the one-time verification key has not been replaced. If  $vk$  was replaced the symmetric key computed in Equation 2 would be  $\perp$ . Alternatively, the verification check can be done explicitly at the cost of an additional pairing operation. In Appendix B, we show that the proposed EP-AB-KEM is IND-CCA secure in the generic group and random oracle models.

### 3 Attribute-based Authenticated Key Exchange

An AB-AKE protocol consists of three polynomial-time algorithms: **Setup**, **KeyGen** and **KeyExchange**. The **Setup** and **KeyGen** algorithms are identical to those defined for EP-AB-KEM in Section 2. Each party in the AB-AKE protocol executes the **KeyExchange** algorithm which initially takes as input the master public key  $PK$ , an access structure  $\mathbb{A}$  and a private key for a set of attributes  $S$ . If  $S$  satisfies  $\mathbb{A}$ , **KeyExchange** proceeds as per specification and may generate outgoing messages and also accept incoming messages from other parties as inputs. The output of **KeyExchange** is either a session key  $\kappa$  or  $\perp$ .

**Communication Model.** Let  $\mathcal{U} = \{U_1, \dots, U_n\}$  be a set of  $n$  users. The protocol may be executed among any subset  $\tilde{U} \subseteq \mathcal{U}$  of size  $\tilde{n} \geq 2$ . We assume that each user has a set of descriptive attributes. Let  $SK_i$  be the private key corresponding to an attribute set  $S_i$  of user  $U_i$ . We assume that an access structure  $\mathbb{A}$  is given as input to all the users. Note that this  $\mathbb{A}$  may be specified by a higher level protocol. Alternatively, the users can run an interactive protocol to negotiate a common access structure  $\mathbb{A}$ . We also assume that all the users execute the protocol honestly. If a user  $U_i$  wants to establish a session key with respect to an access structure  $\mathbb{A}$ , it first checks whether its attribute set  $S_i$  satisfies  $\mathbb{A}$  or not i.e., checks if  $S_i \in \mathbb{A}$ .  $U_i$  proceeds with the protocol execution only if  $S_i$  satisfies  $\mathbb{A}$ . Thus, any user  $U_j$  with attribute set  $S_j$  that satisfies  $\mathbb{A}$  is a potential participant in the key exchange protocol. The set of parties whose individual attributes satisfy  $\mathbb{A}$  can compute a common session key.

An AB-AKE protocol  $\pi$  executed among  $\tilde{n} \leq n$  users is modelled as a collection of  $\tilde{n}$  programs running at the  $\tilde{n}$  parties. Each instance of  $\pi$  within a party is defined as a session and each party may have multiple such sessions running concurrently. Let  $\pi_i^j$  be the  $j$ -th run of the protocol  $\pi$  at party  $U_i \in \tilde{U}$ . Each protocol instance at a party is identified by a unique session ID. We assume that the session ID is derived during the run of the protocol. The session ID of an instance  $\pi_i^j$  is denoted by  $\text{sid}_i^j$ . An instance  $\pi_i^j$  enters an *accepted* state when it computes a session key  $sk_i^j$ . Note that an instance may terminate without ever entering into an accepted state. The information of whether an instance has terminated with acceptance or without acceptance is assumed to be public.

Note that there may be more than one party whose attributes satisfy  $\mathbb{A}$ , hence we consider a group setting for AB-AKE. We define partnership in AB-AKE protocol as follows: A set of  $\tilde{n}$  instances at  $\tilde{n}$  different parties  $\tilde{U} \subseteq \mathcal{U}$  are called partners if

1. they all have the same session ID; **and**

2. the attributes of each  $U_i \in \tilde{\mathcal{U}}$  satisfy  $\mathbb{A}$ .

An AB-AKE protocol is called *correct* if the instances at the parties in  $\tilde{\mathcal{U}}$  are partnered and output identical session keys in the presence of a passive adversary.

**Adversarial Model.** The communication network is assumed to be fully controlled by the adversary, which schedules and mediates the sessions among all the parties. The adversary is allowed to insert, delete or modify the protocol messages. We also assume that it is the adversary that may select the protocol participants from the set  $\mathcal{U}$ . While the adversary may not know the attribute set that a user possesses, it can initiate an instance of the AB-AKE protocol with an access structure of its choice. In addition to controlling the message transmission, the adversary is allowed to ask the following queries.

- **Send**( $\pi_i^j, m$ ) sends a message  $m$  to the instance  $\pi_i^j$ . If the message is  $\mathbb{A}$ , the instance  $\pi_i^j$  is initiated with the access structure  $\mathbb{A}$ . Otherwise, the message is processed as per the protocol specification. The response of  $\pi_i^j$  to any **Send** query is returned to the adversary.
- **RevealKey**( $\pi_i^j$ ) If  $\pi_i^j$  has accepted, the adversary is given the session key  $sk_i^j$  established at  $\pi_i^j$ .
- **Corrupt**( $S_i$ ) This query returns a private key  $SK_i$  corresponding to the attribute set  $S_i$ .
- **Test**( $\pi_i^j$ ) A random bit  $b$  is secretly chosen. If  $b = 1$ , the adversary is given  $sk_i^j$  established at  $\pi_i^j$ . Otherwise, a random value chosen from the session key probability distribution is given. Note that a **Test** query is allowed only on an accepted instance.

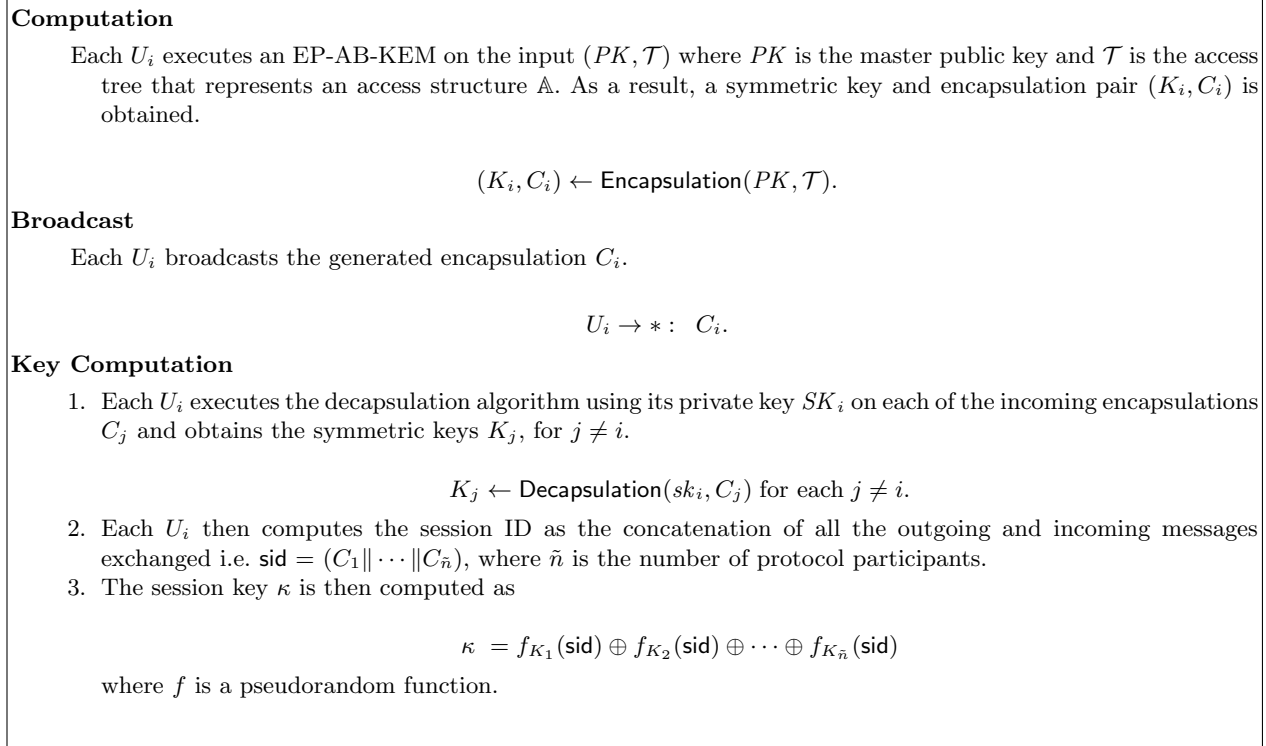
**Definition 3** (Freshness). Let  $\mathbb{A}$  be the access structure for an instance  $\pi_i^j$ .  $\pi_i^j$  is called **fresh** if the following conditions hold: (1) the instance  $\pi_i^j$  or any of its partners has not been asked a **RevealKey** query **and** (2) there has not been a **Corrupt** query on an input  $S_i$  such that  $S_i$  satisfies  $\mathbb{A}$ .

**Definition 4** (AKE-security). An adversary  $\mathcal{A}_{\text{ake}}$  against the AKE-security notion is allowed to make **Send**, **RevealKey** and **Corrupt** queries in Stage 1.  $\mathcal{A}_{\text{ake}}$  makes a **Test** query to an instance  $\pi_i^j$  at the end of Stage 1 and is given a challenge key  $K_b$  as described above. It can continue asking queries in Stage 2. Finally,  $\mathcal{A}_{\text{ake}}$  outputs a bit  $b'$  and wins the AKE-security game if (1)  $b' = b$  **and** (2) the **Test** instance  $\pi_i^j$  remains **fresh** till the end of  $\mathcal{A}_{\text{ake}}$ 's execution. Let  $\text{Succ}_{\mathcal{A}_{\text{ake}}}$  be the event that  $\mathcal{A}_{\text{ake}}$  wins the AKE-security game. The advantage of  $\mathcal{A}_{\text{ake}}$  in winning this game is  $\text{Adv}_{\mathcal{A}_{\text{ake}}} = |2 \cdot \Pr[\text{Succ}_{\mathcal{A}_{\text{ake}}}] - 1|$ . A protocol is called AKE-secure if  $\text{Adv}_{\mathcal{A}_{\text{ake}}}$  is negligible in the security parameter  $k$  for any polynomial time  $\mathcal{A}_{\text{ake}}$ .

*Remark 2.* By allowing the adversary to reveal the private keys corresponding to attribute sets which individually do not satisfy the given access structure  $\mathbb{A}^*$  in the test session, our definition naturally considers collusion resistance. In other words, any number of parties whose individual attribute sets do not satisfy  $\mathbb{A}^*$  may collude among themselves and try to violate the AKE-security of the protocol. An AB-AKE protocol satisfying our AKE-security notion will still remain secure against such collusion attacks.

## 4 A Generic One-round AB-AKE Protocol

We now present a simple generic AB-AKE protocol based on IND-CCA secure EP-AB-KEM. Informally, each party executes an EP-AB-KEM in parallel and combines the symmetric key it has



**Fig. 1.** A Generic One-round AB-AKE Protocol

generated with the symmetric keys extracted from the incoming messages to establish a common session key. Our construction is an extension of the one-round protocols of Boyd *et al.* [10] and Gorantla *et al.* [19] to the attribute-based setting. Figure 1 presents our generic one-round AB-AKE protocol.

At the beginning of the protocol each party is given an access structure  $\mathbb{A}$  represented via an access tree  $\mathcal{T}$ . The protocol uses an EP-AB-KEM scheme (Setup, Encapsulation, KeyGen, Decapsulation). Each  $U_i$  is issued a private key  $SK_i$  corresponding to the attributes set  $S_i$  that it possesses. Each party  $U_i$  who has attribute set  $S_i$  satisfying the access structure  $\mathbb{A}$  runs the Encapsulation algorithm and obtains a symmetric key-encapsulation pair  $(K_i, C_i)$ . The parties broadcast the encapsulations to the other parties. Upon receiving the encapsulations, each party runs the Decapsulation algorithm using the its private key on each of the incoming encapsulations and extracts the symmetric keys. The number of protocol participants  $\tilde{n}$  can be derived based on the number of input messages received within a prescribed time period. The session key is finally computed by each party from the symmetric key that it has generated and all the symmetric keys decapsulated from the incoming encapsulations.

A pseudo-random function  $f$  is applied to derive the session key. We assume that the symmetric key output by the Decapsulation algorithm can be directly used as a seed for  $f$ . Otherwise, we will have to extract and then expand the randomness from the output of the Decapsulation algorithm as done by Boyd *et al.* [10].

**Theorem 1.** *The AB-AKE protocol in Fig. 1 is AKE-secure as per Definition 4 assuming that the underlying EP-AB-KEM is IND-CCA secure. The advantage of  $\mathcal{A}_{\text{ake}}$  is*

$$Adv_{\mathcal{A}_{ake}} \leq \tilde{n} \cdot \frac{q_s^2}{|C|} + q_s \cdot (\tilde{n} \cdot Adv_{\mathcal{A}^{prf}} + Adv_{\mathcal{A}^{cca}})$$

where  $\tilde{n}$  is the number of parties in the protocol,  $q_s$  is the number of sessions  $\mathcal{A}_{ake}$  is allowed to activate,  $|C|$  is the size of the ciphertext space,  $Adv_{\mathcal{A}^{cca}}$  is the advantage of a polynomial adversary  $\mathcal{A}^{cca}$  against the IND-CCA security of the underlying EP-AB-KEM and  $Adv_{\mathcal{A}^{prf}}$  is the advantage of a polynomial adversary  $\mathcal{A}^{prf}$  against the pseudorandomness of the pseudorandom function  $f$ .

The proof of the above theorem is given in Appendix C.

**Concrete Instantiation.** From the EP-AB-KEM proposed in Section 2.2, a concrete AB-AKE protocol can be directly realized. It follows from the security of the EP-AB-KEM and the generic AB-AKE protocol that the instantiated protocol is AKE-secure in the generic group and the random oracle models.

## 5 Extensions

The security model in Section 3 is concerned only about the basic notion of AKE-security without forward secrecy. Forward secrecy is one of the most important security properties for key exchange protocols since it limits the damage of long-term key exposure. A key exchange protocol with forward secrecy ensures that even if the long-term key of a party is exposed, all the past session keys established using that long-term key will remain uncompromised.

Forward secrecy seems to be more important in the case of AB-AKE protocols than in the case of normal public key based key exchange protocols. To see why, let us assume that the adversary obtains the private key of a user  $U_i$  who possesses a set of attributes  $S_i$ . If an AB-AKE protocol does not achieve forward secrecy, then the adversary can compromise all the protocol sessions which have been established with access structures that can be satisfied by  $S_i$ . Note that the party  $U_i$  does not even have to participate in any of these sessions. We now define a notion of freshness that takes forward secrecy into account.

### 5.1 AKE-security with Forward Secrecy

**Definition 5** (FS-Freshness). Let  $\mathbb{A}$  be the access structure for an instance  $\pi_i^j$ .  $\pi_i^j$  is called **fs-fresh** if the following conditions hold: (1) the instance  $\pi_i^j$  or any of its partners has not been asked a **RevealKey** query **and** (2) there has not been a **Corrupt** query on an input  $S_i$  before  $\pi_i^j$  or its partner instances have terminated, such that  $S_i$  satisfies  $\mathbb{A}$ .

Definition 5 can be coupled with the AKE-security notion in Definition 4 to arrive at AKE-security notion with forward secrecy for AB-AKE protocols.

### 5.2 Constructing AB-AKE Protocols with Forward Secrecy

Our one-round AB-AKE protocol can be modified to achieve AKE-security with forward secrecy for two-party and three-party settings using known techniques. For a two-party AB-AKE protocol with forward secrecy, one can use the technique of Boyd *et al.* [10] where ephemeral Diffie-Hellman public keys are appended with the encapsulations. Similarly, for a three-party AB-AKE protocol

with forward secrecy, the protocol of Joux [24] can be executed in the same round with our EP-AB-KEM based protocol. The session keys in both the protocols will include the ephemeral Diffie-Hellman key components which ensure forward secrecy. However, the protocols will achieve *weak forward secrecy*, wherein the adversary has to remain passive during protocol execution. The security of the resulting two-party and three-party AB-AKE protocols will depend on the hardness of the computational Diffie-Hellman and bilinear Diffie-Hellman problems respectively along with the security of the underlying AB-AKE protocol (the security of the latter has been proven already).

Constructing AB-AKE protocols in the more general group setting needs more than one round. The compiler of Katz and Yung (KY) [26] turns an unauthenticated group key exchange protocol into an authenticated one. The compiler uses a public key based signature as an “authenticator” for this purpose. One may adapt the KY compiler to the attribute-based setting by replacing the normal public key based signature with an attribute-based signature [28]. The resulting compiler can then be applied to the two-round unauthenticated Burmester and Desmedt (BD) protocol [11] to achieve a three-round AB-AKE protocol with forward secrecy. Since the session key established by the BD protocol is ephemeral it achieves forward secrecy, whereas the attribute-based KY compiler provides authentication. Although the attribute-based version of the KY compiler can be constructed with necessary changes to the KY compiler, it may not be straightforward. We leave this construction for future work.

## 6 Conclusion

We have initiated the concept of AB-AKE in the ciphertext-policy attribute-based system. Our modelling of AB-AKE assumes that each party has a set of attributes and a corresponding private key. A policy is defined (or negotiated) for each execution of the protocol and the parties satisfying the policy can establish a common shared key by executing the protocol. In the security model for AB-AKE, we have considered only outsider adversaries. Our security model can be extended by considering insider attackers who try to impersonate other protocol participants [25].

We have also introduced the concept of EP-AB-KEM. We then proposed a one-round generic AB-AKE protocol based on IND-CCA secure EP-AB-KEMs. For concrete instantiation of this protocol, we have presented an EP-AB-KEM and shown it secure under the IND-CCA notion in the generic group and random oracle models. As a consequence, a concrete AB-AKE protocol based on this EP-AB-KEM would also be secure in the generic group and random oracle models.

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## A Preliminaries

### A.1 Bilinear Pairing

Let  $\mathbb{G}_0$  and  $\mathbb{G}_1$  be two multiplicative groups of prime order  $p$ . Let  $g$  be an arbitrary of  $\mathbb{G}_0$ . The pairing  $e : \mathbb{G}_0 \times \mathbb{G}_0 \rightarrow \mathbb{G}_1$  is called an admissible bilinear map if it has the following properties:

**Bilinearity:**  $\forall u, v \in \mathbb{G}_0$  and  $a, b \in \mathbb{Z}_p$ , we have  $e(u^a, v^b) = e(u, v)^{ab}$ .

**Non-degeneracy:**  $e(g, g) \neq 1$ .

**Computable:** There exists an efficient algorithm to compute  $e(g, g)$ .

### A.2 Strong Existential Unforgeability

A signature scheme  $\Sigma$  consists of three polynomial time algorithms: **SigKeyGen**, **Sign** and **Verify**. The probabilistic algorithm **SigKeyGen** generates a signing-verification key pair  $(sk, vk)$ . **Sign** is also a probabilistic algorithm that produces a signature  $\sigma$  on an input message  $m$  using the signing key  $sk$ . **Verify** is a deterministic algorithm that takes a tuple  $(m, \sigma, vk)$  as input and outputs a boolean value. If  $\sigma$  is a valid signature on  $m$  under  $vk$ , **Verify** returns 1. Otherwise 0 is returned.

A signature is said to be strongly existentially unforgeable against chosen message attacks (sUF-CMA) if there exists no probabilistic polynomial time adversary  $\mathcal{A}^{\text{cma}}$  that has non-negligible success probability in the security game below:

**Setup:** The challenger runs the **SigKeyGen** algorithm to generate a key pair  $(sk, vk)$  and passes the verification key  $vk$  on to  $\mathcal{A}^{\text{cma}}$ .

**Sign Queries:** This query is asked by  $\mathcal{A}^{\text{cma}}$  with a message  $m$  as input. The challenger runs the **Sign** algorithm with signing key  $sk$  and returns the signature  $\sigma$  to  $\mathcal{A}^{\text{cma}}$ .  $\mathcal{A}^{\text{cma}}$  is allowed to issue multiple **Sign** queries in an adaptive manner.

**Forgery:** The adversary outputs a tuple  $(m^*, \sigma^*)$ . It wins the sUF-CMA security game if (1)  $\sigma^*$  is a valid signature on the message  $m^*$  under  $vk$  and (2)  $(m^*, \sigma^*)$  has not been an output of any of the **Sign** queries issued earlier.

## B Security Proof of EP-AB-KEM

We prove the security of our EP-AB-KEM in the generic group and random oracle models. Intuitively, our security proof implies that if there are any weaknesses in our EP-AB-KEM, they will only have come from exploiting specific mathematical structures of the underlying groups or the cryptographic hash functions used in the instantiation. Our proof closely follows the proof of the CP-ABE scheme of Bethencourt *et al.* [4].



**The Generic Group Model [8]** . We consider two random encodings  $\psi_0, \psi_1$  of the additive group  $\mathbb{F}_p$  i.e., injective maps  $\psi_0, \psi_1 : \mathbb{F}_p \rightarrow \{0, 1\}^m$ , where  $m > 3 \log(p)$ . We write  $\mathbb{G}_0 = \{\psi_0(x) | x \in \mathbb{F}_p\}$  and  $\mathbb{G}_1 = \{\psi_1(x) | x \in \mathbb{F}_p\}$ . We are given oracles to compute the group operations in both the groups and also a non-degenerate bilinear map  $e : \mathbb{G}_0 \times \mathbb{G}_0 \rightarrow \mathbb{G}_1$ . The identity elements in the groups can be accessed by the queries  $\psi_0(0)$  and  $\psi_1(0)$ , while the generators by  $\psi_0(1)$  and  $\psi_1(1)$ . We denote  $\psi_0(1), \psi_0(x)$  and  $\psi_1(y)$  by  $g, g^x$  and  $e(g, g)^y$  respectively.

We are also given access to a random oracle to represent the hash function  $H : \{0, 1\}^* \rightarrow \mathbb{G}_0$ .

**Theorem 2.** *Let  $\psi_0, \psi_1, \mathbb{G}_0$  and  $\mathbb{G}_1$  be defined as above. For any  $\mathcal{A}^{\text{cca}}$ , let  $q$  be the total number of group elements it receives from the oracles and during its interaction with the IND-CCA security game of the EP-AB-KEM. Let  $\text{Adv}_{\mathcal{A}^{\text{cma}}}$  be the advantage of a polynomial time adversary  $\mathcal{A}^{\text{cma}}$  against the sUF-CMA notion of the signature scheme  $\Sigma$ . We have the advantage of  $\mathcal{A}^{\text{cca}}$  as  $\max\{\text{Adv}_{\mathcal{A}^{\text{cma}}}, O(q^2/p)\}$ .*

*Proof.* Note that in the Challenge phase of the EP-AB-KEM security game, the adversary has to distinguish between real symmetric key and a value randomly chosen from symmetric key probability distribution i.e., with respect to our scheme the adversary has to distinguish between  $e(g, g)^{\alpha s}$  and  $e(g, g)^\theta$  for a randomly chosen  $\theta \in \mathbb{F}_p$ .

At the setup time, the simulation chooses  $\alpha, \beta_1, \beta_2$  at random from  $\mathbb{F}_p$ . If  $\beta_1 = \beta_2, \beta_1 = 0$  or  $\beta_2 = 0$  the setup is aborted just as it would be in the actual construction. The public parameters  $h_1 = g^{\beta_1}, h_2 = g^{\beta_2}, f_1 = g^{\frac{1}{\beta_1}}, f_2 = g^{\frac{1}{\beta_2}}$  and  $e(g, g)^\alpha$  are sent to the adversary. The answers to the queries asked by  $\mathcal{A}^{\text{cca}}$  as part of the EP-AB-KEM security game are simulated as below:

**H-queries:** The simulation maintains a list for the random oracle  $H$  with the input and response as entries. When a query is issued to the random oracle with input  $i$ , the simulation first checks if there is an entry for  $i$  in the list. If there exists an entry, it returns the previously returned response. Otherwise a new random value  $t_i$  is chosen from  $\mathbb{F}_p$  and the value  $g^{t_i}$  is returned. The values  $(t_i, g^{t_i})$  are stored along with the input  $i$ . The queries with input  $vk$  are answered in the same way.

**Extract queries:** When the  $\mathcal{A}^{\text{cca}}$  makes  $j$ -th key generation query on a set of attributes  $S_j$ , a new random value  $r^{(j)} \in \mathbb{F}_p$  and for each  $i \in S_j$  new random value  $r_i^{(j)} \in \mathbb{F}_p$  are chosen. The simulator then generates a private key corresponding to  $S_j$  as in the scheme. It computes  $D = g^{(\alpha + r^{(j)})/\beta_1}, E = g^{r^{(j)}/\beta_2}$  and for each  $i \in S_j, D_i = g^{r^{(j)}} \cdot H(i)^{r_i^{(j)}}$  and  $D'_i = g^{r_i^{(j)}}$ . The private key is passed onto  $\mathcal{A}^{\text{cca}}$ .

**Decap queries:** When  $\mathcal{A}^{\text{cca}}$  asks for a decapsulation query on an input encapsulation  $C$ , the simulation first parses the access tree  $\mathcal{T}'$  from  $C$ . It then extracts the verification key  $vk$  and the subtree  $\mathcal{T}$  from  $\mathcal{T}'$ . The simulation first verifies the signature on the encapsulation using  $vk$  and if it is valid proceeds with decapsulation as follows: It computes  $F_{vk}$  and  $F_x$  for each leaf node and interior node in  $\mathcal{T}$  as specified in the decapsulation algorithm. Note that this can be performed using appropriate queries to  $\psi_0, \psi_1$  and the random oracle  $H$ . Finally,  $F_{R'}$  is computed and the symmetric key  $K$  recovered. Note that as in the decapsulation algorithm if  $vk$  was replaced, the simulation would set  $K$  to  $\perp$ . Finally,  $K$  is returned.

In the **Challenge** phase,  $\mathcal{A}^{\text{cca}}$  outputs a challenge access structure  $\mathcal{T}^*$ . Let  $Y^*$  denote the set of leaf nodes in  $\mathcal{T}^*$ . The simulation does the following: It generates a one-time key pair  $(sk^*, vk^*)$  and constructs an access tree  $\mathcal{T}^{*'}$  from  $\mathcal{T}^*$  and  $vk^*$ . It then chooses  $s \in \mathbb{F}_p$ . It then computes the

shares  $\lambda_i = q_i(0)$  for all  $i \in Y^*$  and  $\lambda_{vk^*} = q_{vk^*}(0)$  as described in the scheme. The choice of  $\lambda_i$ 's can be perfectly simulated by choosing  $l$  random values  $\mu_1, \dots, \mu_l$  uniformly at random from  $\mathbb{F}_p$  for some value  $l$  and then letting  $\lambda_i$  fixed as a public linear combination of  $\mu_1, \dots, \mu_l$  and  $s$ . Later in proof, we will think of  $\lambda_i$  as such linear combination of these independent random variables.

Finally, the simulation chooses a random  $\theta \in \mathbb{F}_p$  and constructs the challenge symmetric key and encapsulation as follows:  $K^* = e(g, g)^\theta$  and  $C_1^* = h_1^s$ . For each relevant attribute  $i$ ,  $C_i^* = g^{\lambda_i}$ ,  $C_i'^* = g^{t_i \lambda_i}$ . For the verification key  $vk^*$ ,  $C_{vk^*} = h_2^{\lambda_{vk^*}}$ ,  $C_{vk^*}' = g^{t_{vk^*} \lambda_{vk^*}}$ . Let  $\mathcal{C}^* = (\mathcal{T}^*, C^*, C_i^*, C_i'^*, C_{vk^*}, C_{vk^*}')$ . It then computes a signature  $\sigma^*$  on  $\mathcal{C}^*$  using the one-time secret key  $sk^*$ . The encapsulation values  $(\mathcal{C}^*, vk^*, \sigma^*)$  are sent to  $\mathcal{A}^{\text{cca}}$ .

Following the generic proof of Boneh *et al.* [9], we divide the proof into the following two cases:

**Case 1:** Let **Forge** be the event that  $\mathcal{A}^{\text{cca}}$  submits a decapsulation query with input  $(\mathcal{C}, vk, \sigma)$  that is different from the challenge encapsulation given to it but with  $vk = vk^*$ . We now show that  $\Pr[\text{Forge}]$  is negligible.

With the simulation of  $\mathcal{A}^{\text{cca}}$ 's queries as described above we now construct a forger  $\mathcal{A}^{\text{cma}}$  against the signature scheme. We assume that  $\mathcal{A}^{\text{cma}}$  is given the challenge verification key  $vk^*$  at the beginning of the experiment. As described above, the public parameters are generated and answers to  $\mathcal{A}^{\text{cca}}$ 's queries are simulated. If  $\mathcal{A}^{\text{cca}}$  outputs a query  $(\mathcal{C}, vk^*, \sigma)$  even before the **Challenge** phase, then  $\mathcal{F}$  outputs  $(\mathcal{C}, \sigma)$  as its forgery and stops. Let  $(\mathcal{C}^*, vk^*, \sigma^*)$  be the challenge encapsulation given to  $\mathcal{A}^{\text{cca}}$ . If  $\mathcal{A}^{\text{cca}}$  submits a valid encapsulation  $(\mathcal{C}, vk^*, \sigma)$  in a decapsulation query, as per the EP-AB-KEM security game we must have  $(\mathcal{C}, \sigma) \neq (\mathcal{C}^*, \sigma^*)$ . In this case  $\mathcal{A}^{\text{cma}}$  submits  $(\mathcal{C}, \sigma)$  as its forgery. Hence, the success probability of  $\mathcal{A}^{\text{cma}}$  is at least  $\Pr[\text{Forge}]$ . Since, the one-time signature scheme is assumed to be strongly unforgeable,  $\Pr[\text{Forge}] \leq \text{Adv}_{\mathcal{A}^{\text{cma}}}$  must be negligible. Note that in this case (i.e., when **Forge** occurs),  $\mathcal{A}^{\text{cca}}$ 's view would have been identical even if we had set  $\theta = \alpha s$ .

**Case 2:** In this case, we assume that the event **Forge** does not occur. We now show that decapsulation queries with an input verification key  $vk \neq vk^*$  does not give  $\mathcal{A}^{\text{cca}}$  any advantage. Note that since we have assumed that **Forge** does not occur, a decapsulation query with input  $vk = vk^*$  must contain an invalid signature. For such a query  $\mathcal{A}^{\text{cca}}$  is returned  $\perp$ . The rest of the proof below deals with **Case 2**.

When  $\mathcal{A}^{\text{cca}}$  makes a query to the group oracles, we may condition on the event that (1)  $\mathcal{A}^{\text{cca}}$  provides as input only the values it received from the simulation or intermediate values it obtained as response from the oracles and (2) there are  $p$  distinct values in the ranges of both  $\psi_0$  and  $\psi_1$ . This event happen with the overwhelming probability of  $1 - O(q/p^2)$ , where  $q$  is the upper bound on the number of queries that can be made during the simulation. We may even keep track of the algebraic expressions being called for from the oracles as long as ‘‘accidental collisions’’ do not occur. Specifically, we can think of an oracle query as being a rational function  $\nu = \eta/\xi$  in the variables  $\theta, \alpha, \beta_1, \beta_2, s, t_i$ 's,  $r^{(j)}$ 's,  $r_i^{(j)}$ 's and  $\mu_k$ 's. An accidental collision would be when for queries corresponding to any two distinct formal rational functions  $\eta/\xi \neq \eta'/\xi'$ , we have that the values of  $\eta/\xi$  and  $\eta'/\xi'$  coincide due to random choices of these independent variables' values.

We now condition that no such accidental collisions occur in either  $\mathbb{G}_0$  or  $\mathbb{G}_1$ . For any pair of distinct queries  $\eta/\xi$  and  $\eta'/\xi'$  within a group, a collision occurs only if the non-zero polynomial  $\eta/\xi - \eta'/\xi'$  evaluates to zero. The total degree of this polynomial in our case is at most 5 (a constant). By Schwartz-Zippel lemma [30, 33], the probability of this event is  $O(1/p)$ . By a union bound, the

probability that any such collision happens in our simulation is at most  $O(q^2/p)$ . Hence, we can condition on no such collision happening and still maintain  $1 - O(q^2/p)$  of the probability mass.

We now consider what the adversary's view would have been, if we had set  $\theta = \alpha s$ . In this part of **Case 2** of the proof, subject to the above conditioning, we show that the adversary's view would have been identically distributed. Since we are in the generic group model, where each group element's representation is uniformly and independently chosen, the only way that adversary's view can differ in the case  $\theta = \alpha s$  is if there are two queries  $\nu$  and  $\nu'$  into  $\mathbb{G}_1$  such that  $\nu \neq \nu'$  but  $\nu|_{\theta=\alpha s} = \nu'|_{\theta=\alpha s}$ . Since  $\theta$  only occurs as  $e(g, g)^\theta$  in  $\mathbb{G}_1$ , the only dependence  $\nu$  or  $\nu'$  can have on  $\theta$  is by having some additive terms of the form  $\gamma\theta$  for some constant  $\gamma$ . Therefore we must have  $\nu - \nu' = \gamma\alpha s - \gamma\theta$  for some constant  $\gamma \neq 0$ . We can then artificially add the query  $\nu - \nu' + \gamma\theta = \gamma\alpha s$  to the adversary's queries. We will now show that based on the information given to the adversary it can never construct a query for  $e(g, g)^{\gamma\alpha s}$ .

$t_i$	$\lambda_i$	$\lambda_i t_i$	$r^{(j)} + t_i r_i^{(j)}$
$r_i^{(j)}$	$t_i t_{i'}$	$\lambda_i t_{i'}$	$t_i t_{i'} \lambda_{i'}$
$t_i(r^{(j)} + t_{i'} r_{i'}^{(j)})$	$t_i r_{i'}^{(j)}$	$\alpha + r^{(j)}$	$s$
$\alpha s + r^{(j)} s$	$r^{(j)}$	$\lambda_i \lambda_{i'}$	$t_i \lambda_i \lambda_{i'}$
$\lambda_{i'}(r^{(j)} + t_i r_i^{(j)})$	$\lambda_{i'} r_i^{(j)}$	$t_i t_{i'} \lambda_i \lambda_{i'}$	$t_i \lambda_i (r^{(j)} + t_{i'} r_{i'}^{(j)})$
$t_i \lambda_i r_{i'}^{(j)}$	$(r^{(j)} + t_i r_i^{(j)})(r^{(j')} + t_{i'} r_{i'}^{(j')})$	$(r^{(j)} + t_i r_i^{(j)}) r_{i'}^{(j')}$	$r_i^{(j)} r_{i'}^{(j')}$
$st_{vk} ; \lambda_{vk}$	$t_{vk} t_i$	$t_{vk} t_{vk'}$	$t_{vk} \lambda_i$
$t_{vk} t_i \lambda_i$	$t_{vk} t_{vk'} \lambda_{vk'}$	$t_{vk} (r^{(j)} + t_i r_i^{(j)})$	$t_{vk} r_i^{(j)}$
$t_{vk} \lambda_{vk}$	$t_{vk} \lambda_{vk'} \lambda_{vk'}$	$t_{vk} \lambda_{vk} t_i$	$t_{vk} \lambda_{vk} \lambda_i$
$t_{vk} t_i \lambda_{vk} \lambda_i$	$t_{vk} \lambda_{vk} (r^{(j)} + t_i r_i^{(j)})$	$t_{vk} \lambda_{vk} r_i^{(j)}$	$r^{(j)} \lambda_{vk}$

**Table 1.** Possible query types into  $\mathbb{G}_1$  from the adversary

Table 1 enumerates all the possible query types into  $\mathbb{G}_1$  by means of the bilinear map and the group elements given to the adversary except for those that contain  $\beta_1$  or  $\beta_2$  in every monomial as they will not be relevant for constructing a query involving the term  $\alpha s$ . In the table, the variables  $i$  and  $i'$  are possible attribute strings,  $j$  and  $j'$  are indices of secret key queries made by the adversary and  $vk$  and  $vk'$  are the verification keys generated by **KeyGen** algorithm of the signature scheme. Note that all the possible queries are given in terms of  $\lambda_i$ 's, not  $\mu_k$ 's. It can be checked that the query terms in the table can be formed by the adversary from the information available to it. In addition to the polynomials in the table, the adversary also has access to 1 and  $\alpha$ . The adversary can query for arbitrary linear combination of these terms. We will now show that no such combination can produce a polynomial of the form  $\gamma\alpha s$  for some constant  $\gamma \neq 0$ .

In Table 1 the only term that contains  $\alpha s$  is  $\alpha s + r^{(j)} s$ , which can be formed by pairing  $s\beta_1$  with  $\alpha + r^{(j)}/\beta_1$ . By such queries, the adversary could create a polynomial of the form  $\gamma\alpha s + \sum_{j \in T} \gamma_j s r^{(j)}$  for some set  $T$  and constants  $\gamma, \gamma_j \neq 0$ . To obtain a query polynomial of the form  $\gamma\alpha s$  the adversary must add other linear combinations in order to cancel the terms of the form  $\sum_{j \in T} \gamma_j s r^{(j)}$ . From the table, the only other terms that the adversary has access to that could involve terms of the form  $s r^{(j)}$  are obtained by pairing  $r^{(j)} + t_i r_i^{(j)}$  with some  $\lambda_{i'}$  and also by pairing  $\beta_2 \lambda_{vk}$  with  $r^{(j)}/\beta_2$ . This is so since,  $\lambda_{i'}$  and  $\lambda_{vk}$  terms are public linear combinations of  $\mu_1, \dots, \mu_l$  and  $s$ . The adversary can create a query polynomial of the form:

$$\gamma\alpha s + \sum_{j \in T} \left( \gamma_j sr^{(j)} + \sum_{(i, i', vk) \in T_j'} \lambda_{i'} r^{(j)} + \lambda_{i'} t_i r_i^{(j)} + \lambda_{vk} r^{(j)} \right) + \text{other terms.}$$

We now complete the proof with the following case analysis that shows that any of the adversary's query polynomials cannot be of the form  $\gamma\alpha s$ .

**Case 2a:** In this case, let us assume that there exists some  $j \in T$  such that the set of secret shares  $L_j = \{\lambda_{i'}, \lambda_{vk} : \exists i : (i, i', vk) \in T_j'\}$  do not allow for reconstruction of  $s$ . If this is the case, then the term  $sr^{(j)}$  will not be cancelled and hence the adversary's query cannot be of the form  $\gamma\alpha s$ .

**Case 2b:** Now we assume that for all  $j \in T$ , the set of secret shares  $L_j = \{\lambda_{i'}, \lambda_{vk} : \exists i : (i, i', vk) \in T_j'\}$  do allow for the reconstruction of the secret  $s$ . Fix any  $j \in T$ . Consider the set of attributes  $S_j$  that belongs to the  $j$ -th Extract query from the adversary. By the restriction that no requested key should pass the challenge access structure and by the properties of the secret sharing scheme, the set of shares  $L'_j = \{\lambda_i : i \in S_j\}$  cannot reconstruct  $s$ . Thus, there must exist at least one share  $\lambda_{i'}$  in  $L_j$  such that  $\lambda_{i'}$  is linearly dependent of  $L'_j$  when written in terms of  $s$  and  $\mu_1, \dots, \mu_l$ . Thus for some  $i \in S_j$ , there must be a term of the form  $\lambda_{i'} t_i r_i^{(j)}$  in the adversary's queries. However, it is evident from Table 1 that the adversary has no access to a term of this form. Hence, none of the queries can be of the form  $\gamma\alpha s$ .

□

## C Security Proof of the Generic AB-AKE Protocol

*Proof.* We prove the theorem in a sequence of games. Let  $S_i$  be the event that  $\mathcal{A}_{\text{ake}}$  wins the AKE-security game in Game  $i$ .

**Game 0.** This is the original AKE-security game as per Definition 4. We have

$$\text{Adv}_{\mathcal{A}_{\text{ake}}} = |2 \cdot \Pr[S_0] - 1|. \quad (3)$$

**Game 1.** This game is the same as the previous one except that if two different sessions at user  $U_i$  output identical message  $C_i$ , then the game aborts. Let Repeat be such an event. As there are  $\tilde{n}$  users in the protocol, we have

$$|\Pr[S_0] - \Pr[S_1]| \leq \tilde{n} \cdot \Pr[\text{Repeat}]. \quad (4)$$

As the adversary is allowed to activate at most  $q_s$  number of sessions, we have

$$\Pr[\text{Repeat}] \leq \frac{q_s^2}{|C|}. \quad (5)$$

**Game 2.** This is the same as the previous game except that a value  $t \xleftarrow{R} [1, q_s]$  is chosen. If the Test query does not occur in the  $t$ -th session the game aborts and outputs a random value. Let  $E_2$  be the event that the guess is correct.

$$\Pr[S_2] = \Pr[S_2|E_2] \Pr[E_2] + \Pr[S_2|\neg E_2] \Pr[\neg E_2] = \Pr[S_1] \frac{1}{q_s} + \frac{1}{2} \left(1 - \frac{1}{q_s}\right). \quad (6)$$

**Game 3.** This is identical to the previous game except that the output of each  $f_{K_i}$  for  $1 \leq i \leq \tilde{n}$  is replaced by a random value chosen uniformly from  $\{0, 1\}^k$ . We have,

$$|\Pr[S_2] - \Pr[S_3]| \leq \tilde{n} \cdot Adv_{\mathcal{A}^{\text{perf}}}. \quad (7)$$

**Game 4.** This game is identical to the previous game except that the queries asked of  $\mathcal{A}_{\text{ake}}$  are now answered by  $\mathcal{A}^{\text{cca}}$ , an adversary against the IND-CCA security of the underlying EP-AB-KEM as follows:  $\mathcal{A}^{\text{cca}}$  forwards the public parameters that it received from its challenger to  $\mathcal{A}_{\text{ake}}$ . Note that if we allow  $\mathcal{A}_{\text{ake}}$  to choose the access structure in the Test session,  $\mathcal{A}_{\text{ake}}$  chooses  $\mathbb{A}^*$  and sends it to  $\mathcal{A}^{\text{cca}}$  at the beginning of the Test session. Otherwise,  $\mathcal{A}^{\text{cca}}$  itself may choose  $\mathbb{A}^*$ . Once  $\mathcal{A}_{\text{ake}}$  chooses the Test session,  $\mathcal{A}^{\text{cca}}$  gives the challenge access structure  $\mathbb{A}^*$  to its challenger. The EP-AB-KEM challenger returns  $(K_b, C^*)$  to  $\mathcal{A}^{\text{cca}}$  as described in Definition 2. The goal of  $\mathcal{A}^{\text{cca}}$  is to output whether  $K_b$  is encapsulated within  $C^*$  or not.  $\mathcal{A}^{\text{cca}}$  finally chooses a user  $U_i^*$  whose attributes  $S_i^*$  satisfy the challenge access structure  $\mathbb{A}^*$ . With these choices,  $\mathcal{A}^{\text{cca}}$  now starts simulating answers to the queries of  $\mathcal{A}_{\text{ake}}$  as below. Note that we explain only the simulation done in the test session. The queries issued in all the other sessions can be trivially answered by  $\mathcal{A}^{\text{cca}}$ , since it is allowed to extract private keys corresponding to attributes that satisfy all the access structures except  $\mathbb{A}^*$ .

**Send** $(\pi_i^t, m)$ : If  $m$  contains only  $\mathbb{A}^*$ , as per the protocol it has to initiate the test session at  $U_i$ .

If  $U_i = U_i^*$ ,  $\mathcal{A}^{\text{cca}}$  returns the challenge encapsulation  $C^*$  as the outgoing message from the instance  $\pi_i^t$ . Otherwise,  $\mathcal{A}^{\text{cca}}$  runs the Encapsulation algorithm on behalf of  $U_i$  and obtains the pair  $(K_i, C_i)$ . It keeps  $K_i$  with itself and returns  $C_i$  as the outgoing message.

On the other hand, if the message contains an encapsulation  $C_i$ ,  $\mathcal{A}^{\text{cca}}$  proceeds as follows:

1. If  $U_i = U_i^*$ , it issues a Decap query to its challenger with  $C_i$  and the attributes of  $U_i^*$  as input. If the challenger returns a key  $K_i$ ,  $\mathcal{A}^{\text{cca}}$  stores  $K_i$  and accepts the session. Otherwise, the session is rejected. Note that if  $U_i = U_i^*$ , then  $C_i$  cannot be equal to  $C_i^*$  conditioning on the event Repeat in Game 1.
2. If  $U_i \neq U_i^*$ ,  $\mathcal{A}^{\text{cca}}$  first checks if  $C_i = C_i^*$ . If it matches  $\mathcal{A}^{\text{cca}}$  accepts the session. Otherwise, as described above it issues Decap query to its challenger with  $C_i$  and the attributes of  $U_i^*$  as input. Note that the attributes of  $U_i^*$  satisfy the access structure  $\mathbb{A}^*$  embedded in  $C_i$ . If the challenger returns a key  $K_i$ ,  $\mathcal{A}^{\text{cca}}$  stores  $K_i$  and accepts the session. Otherwise,  $\mathcal{A}^{\text{cca}}$  rejects the session.

**RevealKey** $(\pi_i^j)$ : Note that a RevealKey query on the test session is not allowed. In all other sessions  $\mathcal{A}^{\text{cca}}$  can answer this query by simply asking Decap query on all the encapsulations exchanged in that session. Since  $\mathcal{A}^{\text{cca}}$  is also allowed to extract private keys corresponding to attributes that do not satisfy  $\mathbb{A}^*$ , it can trivially answer the RevealKey queries of all the sessions other than the test session.

**Corrupt** $(S_i)$ : If  $S_i$  do not satisfy  $\mathbb{A}^*$ , then  $\mathcal{A}^{\text{cca}}$  can trivially answer this query using the Extract query available to it as part of the IND-CCA security game of the EP-AB-KEM.

**Test** $(\pi_i^t)$ :  $\mathcal{A}^{\text{cca}}$  now embeds the challenge key  $K_b$  into the response to  $\mathcal{A}_{\text{ake}}$ . It computes the challenge key  $\kappa^* = f_{K_1}(\text{sid}) \oplus \dots \oplus f_{K_b}(\text{sid}) \oplus \dots \oplus f_{K_n}(\text{sid})$ . Note that, as described in the simulation of Send queries above, all the symmetric other than  $K_b$  are either generated by  $\mathcal{A}^{\text{cca}}$  or obtained from its challenger via Decap queries. The key  $\kappa^*$  is returned to  $\mathcal{A}_{\text{ake}}$ .

Since the simulation by  $\mathcal{A}^{\text{cca}}$  for  $\mathcal{A}_{\text{ake}}$  is perfect without any aborts, Game 3 and Game 4 are indistinguishable. We have  $\Pr[S_4] = \Pr[S_3]$ .

Let  $b'$  be the output of  $\mathcal{A}_{ake}$ .  $\mathcal{A}^{cca}$  simply passes this bit onto its challenger. This game is essentially  $\mathcal{A}_{ake}$  playing IND-CCA security game against the EP-AB-KEM's challenger.  $\mathcal{A}^{cca}$  succeeds whenever  $\mathcal{A}_{ake}$  does so. Hence, the advantage of  $\mathcal{A}^{cca}$  is at least the same as that of  $\mathcal{A}_{ake}$ . We have

$$|2 \cdot \Pr[S_4] - 1| \leq Adv_{\mathcal{A}^{cca}}. \quad (8)$$

From Equations 3 to 8, we have the claimed advantage for  $\mathcal{A}_{ake}$ . □

*Remark 3.* From Game 4 of the above proof, it is evident that  $\mathcal{A}^{cca}$  obtains the challenge access structure  $\mathbb{A}^*$  only at the initiation of the Test session. However,  $\mathcal{A}^{cca}$  has to answer the queries asked by  $\mathcal{A}_{ake}$  on sessions established prior to the Test session for which  $\mathcal{A}^{cca}$  has to interact with its challenger. As in the selective security model for EP-AB-KEM, if  $\mathcal{A}^{cca}$  commits to an access structure at the start of its game, it cannot simulate answers to all the queries asked by  $\mathcal{A}_{ake}$ . Hence, we need an IND-CCA secure EP-AB-KEM secure in the fully adaptive model for our generic construction of AB-AKE protocols.

## D Ciphertext Policy Attribute-based Hybrid Encryption

We now extend the paradigm of hybrid encryption to CP-ABE. We show that an IND-CCA secure EP-AB-KEM when combined with any IND-CCA secure DEM will result in an IND-CCA secure CP-ABE scheme. The hybrid CP-ABE scheme will have the usual efficiency advantages that a hybrid encryption scheme has over a direct public key encryption scheme.

While our proof may seem straightforward, note that it has not previously been formally established. Combining a KEM and a DEM to achieve a secure hybrid encryption scheme is not always trivial, for example, as in the case of certificateless KEMs [3]. Moreover, Gorantla *et al.* [20] described a notion of security for signcryption KEMs which can be useful in establishing a relationship with key exchange protocols, but cannot be used in combination with any DEM for the purpose of hybrid signcryption. Hence, it is necessary to validate the combination of any KEM and DEM.

We show our result only for fully adaptive CCA-secure hybrid CP-ABE schemes. Our proof can be easily extended to other flavours of security i.e., notions that consider CPA-secure and/or selective-policy CP-ABE schemes.

### D.1 CCA Security for CP-ABE Schemes

A CP-ABE scheme consists of four polynomial time algorithms: Setup, Encrypt, KeyGen, Decrypt. An optional Delegate algorithm may also exist. The access structure  $\mathbb{A}$  and the set of parties are as defined in Section 2.

**Setup**( $k, \mathbb{U}$ ) This algorithm takes the security parameter  $k$  and the attribute universe description  $\mathbb{U}$  as inputs. The public parameters  $PK$  and the master key  $MK$  are the outputs.

**Encrypt**( $PK, M, \mathbb{A}$ ) This algorithm takes as input the public parameters  $PK$ , a message  $M$  and an access structure  $\mathbb{A}$  over the attribute universe  $\mathbb{U}$ . This algorithm encrypts  $M$  and produces a ciphertext  $CT$  such that only a user that possesses a set of attributes satisfying  $\mathbb{A}$  will be able to decrypt the message. We assume that the ciphertext implicitly contains  $\mathbb{A}$ .

**KeyGen**( $MK, PK, S$ ) This algorithm takes as input the master key  $MK$ , the public parameters  $PK$  and a set of attributes  $S$ . The output is a private key  $SK$  corresponding to the attribute set  $S$ .

**Decrypt**( $PK, CT, SK$ ) takes as input the public parameters  $PK$ , a ciphertext  $CT$  which contains an access structure  $\mathbb{A}$  and a private key  $SK$  corresponding to a set of attributes  $S$ . The algorithm returns either a message  $M$  or  $\perp$ .

For a CP-ABE scheme to be considered valid, it is required that for any message  $M$  from the message space, for any key  $SK$  corresponding to an attribute set  $S$ , if  $S$  satisfies  $\mathbb{A}$  and if  $CT \leftarrow \text{Encrypt}(PK, M, \mathbb{A})$ , then  $\text{Decrypt}(PK, CT, SK) = M$ .

Bethencourt *et al.* [4] defined the notion of fully adaptive CPA security for CP-ABE schemes. An analogous model with selective security has been defined by Goyal *et al.* [21]. As remarked by Waters [32], the CPA security model of Bethencourt *et al.* could be easily extended to model CCA security. We now describe IND-CCA security notion for CP-ABE schemes.

**Definition 6.** A CP-ABE is IND-CCA secure if the advantage of any PPT adversary  $\mathcal{A}^{\text{cca}}$  in the following game is negligible in the security parameter  $k$ .

**Setup:** The challenger runs the **Setup** algorithm and returns the public parameters  $PK$  to  $\mathcal{A}^{\text{cca}}$ .

**Phase 1:**  $\mathcal{A}^{\text{cca}}$  issues **Extract** and **Decap** queries as follows:

**Extract:** This query can be issued multiple times with sets of attributes  $S_1, \dots, S_{q_1}$  as input.

The challenger returns a private key corresponding to each input attribute set. We do not require the input attribute sets to be distinct.

**Decrypt:** This query is issued with a ciphertext  $CT$  and an attribute set  $S$  as inputs. Note that  $CT$  implicitly contains an access structure  $\mathbb{A}$  defined over the attribute universe  $\mathbb{U}$ . The challenger executes the **Decrypt** algorithm on  $CT$  using a private key corresponding to  $S$  and returns the output of **Decrypt** to  $\mathcal{A}^{\text{cca}}$ .

**Challenge:** At the end of **Phase 1**,  $\mathcal{A}^{\text{cca}}$  gives an access structure  $\mathbb{A}^*$  defined over  $\mathbb{U}$  and two plaintexts  $m_0$  and  $m_1$  of same length to the challenger. The challenger first chooses a bit  $b$ . It then runs the **Encrypt** algorithm with  $\mathbb{A}^*$  and  $m_b$  as input and generates a ciphertext  $CT^*$ . The challenge ciphertext  $CT^*$  is given to  $\mathcal{A}^{\text{cca}}$ . A trivial restriction on the adversary's choice of  $\mathbb{A}^*$  is that none of the attributes sets  $S_1, \dots, S_{q_1}$  passed as input to **Extract** queries in **Phase 1** should satisfy  $\mathbb{A}^*$ .

**Phase 2:**  $\mathcal{A}^{\text{cca}}$  is allowed to execute in the same way as in **Phase 1** with the following restrictions:

- (1) none of the attribute sets  $S_{q_1+1}, \dots, S_q$  passed as input to **Extract** queries in **Phase 2** satisfy  $\mathbb{A}^*$  and
- (2) a **Decrypt** query with  $CT^*$  as input in combination with an attribute set  $S^*$  that satisfies  $\mathbb{A}^*$  is not allowed.

**Guess:** The goal of  $\mathcal{A}^{\text{cca}}$  is to guess which of the messages  $m_0$  or  $m_1$  is encrypted is encrypted within  $CT^*$ .  $\mathcal{A}^{\text{cca}}$  finally outputs a guess bit  $b'$ . It wins the game if  $b' = b$ . The advantage of  $\mathcal{A}^{\text{cca}}$  is given as  $\text{Adv}_{\mathcal{A}^{\text{cca}}} = |2 \cdot \Pr[b' = b] - 1|$ .

## D.2 CCA Security for DEM

The security requirements considered for a DEM are the same as those for a symmetric encryption scheme [14, 16]. We first describe the syntax for DEMs and then the notion of IND-CCA security considered for them.

A DEM consists of a pair of deterministic algorithms:

ENC takes as input a message  $m$  of arbitrary length and a symmetric key  $K$  of some predetermined length. The output is a ciphertext  $C$  which is computed on  $m$  using  $K$ .

DEC takes as input a ciphertext  $C$  and a symmetric key  $K$  of some pre-determined length and outputs either a message  $m$  or an error symbol  $\perp$ .

A DEM must satisfy the following soundness property: for all  $K \in \{0, 1\}^k$ , and for any message  $m \in \{0, 1\}^*$ , we have  $\text{DEC}(K, \text{ENC}(K, m)) = m$ .

The IND-CCA security model for DEMs has been defined as follows:

1. The challenger generates a key  $K$ . The description of the DEM is given to the adversary, while  $K$  is kept secret.
2. The adversary is allowed to run until it submits two messages  $m_0$  and  $m_1$  of the same length to the challenger. The challenger selects a random bit  $\theta \in \{0, 1\}$  and encrypts  $m_\theta$  using  $K$ . The resulting ciphertext  $C^*$  is returned to the adversary.
3. The adversary is given access to a decryption oracle only after it receives the challenge ciphertext. It may query issues decryption queries on any input  $C \neq C^*$ . The oracle returns  $m \leftarrow \text{DEC}(K, C)$  to the adversary.
4. Finally, the adversary outputs a bit  $\theta'$  and wins the game if  $\theta' = \theta$ . The advantage of the adversary is defined as  $|2 \cdot \Pr[\theta' = \theta] - 1|$ .

Cramer and Shoup [14] presented an IND-CCA construction of a DEM using symmetric key techniques.

### D.3 Hybrid CP-ABE

Let  $(\text{Setup}, \text{Encapsulation}, \text{KeyGen}, \text{Decapsulation})$  and  $(\text{ENC}, \text{DEC})$  be the given EP-AB-KEM and DEM respectively. We assume that the length of keys generated by the EP-AB-KEM is the same as the length of the keys used by the DEM. Following Cramer and Shoup [14], a hybrid CP-ABE  $(\text{Setup}, \text{Encrypt}, \text{KeyGen}, \text{Decrypt})$  can be constructed as follows:

**Setup and KeyGen:** The Setup and KeyGen algorithms of the hybrid CP-ABE will remain the same as the corresponding algorithms of the underlying EP-AB-KEM scheme.

**Encrypt( $PK, M, \mathbb{A}$ ):** This algorithm first runs the encapsulation algorithm of the EP-AB-KEM and obtains a symmetric key-encapsulation pair i.e.,  $(K, C_1) \leftarrow \text{Encapsulation}(PK, \mathbb{A})$ . It then runs the encryption algorithm of the DEM on the input message using the key  $K$  i.e.,  $C_2 \leftarrow \text{ENC}(K, M)$ . It returns  $CT = (C_1, C_2)$  as the ciphertext.

**Decrypt( $PK, CT, SK$ ):** It first parses the ciphertext  $CT$  as  $(C_1, C_2)$  and returns  $\perp$  if there is any failure in parsing. Otherwise, it first executes the decapsulation algorithm of the EP-AB-KEM to compute a symmetric key i.e.,  $K \leftarrow \text{Decapsulation}(SK, PK, C_1)$ . If Decapsulation rejects, it outputs  $\perp$ . Finally, it executes the decryption algorithm of the DEM with  $C_2$  as input using  $K$  and extracts the message i.e.,  $M \leftarrow \text{DEC}(K, C_2)$ .

We now restate the theorem of Cramer and Shoup [14] in the context of hybrid CP-ABE as follows.

**Theorem 3.** *If EP-AB-KEM and DEM are IND-CCA secure then so is the hybrid CP-ABE scheme. The advantage of a PPT adversary  $\mathcal{A}^{\text{cpabe}}$  against the IND-CCA security of the hybrid CP-ABE scheme is given as*



$$Adv_{\mathcal{A}^{\text{cpabe}}} \leq 2 \cdot (Adv_{\mathcal{A}^{\text{kem}}} + Adv_{\mathcal{A}^{\text{dem}}})$$

where  $Adv_{\mathcal{A}^{\text{kem}}}$  is the advantage of a PPT adversary  $\mathcal{A}^{\text{kem}}$  against the IND-CCA security of the underlying EP-AB-KEM and  $Adv_{\mathcal{A}^{\text{dem}}}$  is the advantage of a PPT adversary  $\mathcal{A}^{\text{dem}}$  against the IND-CCA security of the underlying DEM.

*Proof.* The proof is given in a sequence of games. Let  $S_i$  be the event that the adversary against the hybrid CP-ABE scheme wins in Game  $i$ .

**Game 0.** This is the original IND-CCA game defined for the CP-ABE scheme. We have

$$Adv_{\mathcal{A}^{\text{cpabe}}} = |2 \cdot \Pr[S_0] - 1|. \quad (9)$$

**Game 1.** This game is identical to the previous game with the following changes: During the **Challenge** phase, when  $\mathcal{A}^{\text{cpabe}}$  outputs a challenge access structure  $\mathbb{A}^*$  and a pair of messages  $m_0$  and  $m_1$ , the challenge ciphertext  $(C_1^*, C_2^*)$  is computed as follows and is returned to  $\mathcal{A}^{\text{cpabe}}$ :

- $b \xleftarrow{R} \{0, 1\}$ .
- $(K, C_1^*) \leftarrow \text{Encapsulation}(PK, \mathbb{A}^*)$ .
- $K^* \xleftarrow{R} \{0, 1\}^k$ .
- $C_2^* \leftarrow \text{ENC}(K^*, M_b)$ .

For any decryption query with input  $(S, (C_1^*, C_2^*))$ , where  $C_2 \neq C_2^*$  and for  $S$  satisfying the challenge access structure  $\mathbb{A}^*$ , then return  $\text{DEC}(K^*, C_2)$  as the response.

We now describe an adversary  $\mathcal{A}^{\text{kem}}$  against the IND-CCA security of the EP-AB-KEM which can simulate this game for  $\mathcal{A}^{\text{cpabe}}$ .  $\mathcal{A}^{\text{kem}}$  forwards the public parameters to  $\mathcal{A}^{\text{cpabe}}$ . Note that  $\mathcal{A}^{\text{kem}}$  can answer any key extraction query of  $\mathcal{A}^{\text{cpabe}}$ , by forwarding it as its own Extract query to its challenger. Similarly, the Decrypt queries of  $\mathcal{A}^{\text{cpabe}}$  are answered using its access to the Decap oracle. Specifically, on any Decrypt query with input  $(S, (C_1, C_2))$ ,  $\mathcal{A}^{\text{kem}}$  first queries its Decap oracle with input  $(S, C_1)$  and obtains a symmetric key  $K$ . If  $K = \perp$ , it returns  $\perp$ . Otherwise, it then executes the DEC algorithm of the DEM with  $C_2$  as input using the  $K$  and extracts the message i.e.,  $M \leftarrow \text{DEC}(K, C_2)$ .

During the **Challenge** phase, when  $\mathcal{A}^{\text{cpabe}}$  outputs  $(\mathbb{A}^*, m_0, m_1)$ ,  $\mathcal{A}^{\text{kem}}$  forwards  $\mathbb{A}^*$  to its challenger. Let  $(K^*, C_1^*)$  be the challenge given to it.  $\mathcal{A}^{\text{kem}}$  now selects a random bit  $\theta \xleftarrow{R} \{0, 1\}$  and computes  $C_2^* \leftarrow \text{ENC}(K^*, M_\theta)$ . The challenge ciphertext  $(C_1^*, C_2^*)$  is returned to  $\mathcal{A}^{\text{cpabe}}$ .

All the queries in **Phase 2** are answered as before, except that when a decryption query of the form  $(S, (C_1^*, C_2^*))$ , where  $C_2 \neq C_2^*$  and for  $S$  satisfying the challenge access structure  $\mathbb{A}^*$  is given it returns  $\text{DEC}(K^*, C_2)$  as the response.

Finally,  $\mathcal{A}^{\text{cpabe}}$  outputs a bit  $\theta'$ . If  $\theta' = \theta$ , it outputs 1, otherwise outputs 0. Thus  $\mathcal{A}^{\text{kem}}$  wins whenever  $\mathcal{A}^{\text{cpabe}}$  does. We have

$$|\Pr[S_0] - \Pr[S_1]| \leq Adv_{\mathcal{A}^{\text{kem}}}. \quad (10)$$

Note that this game is essentially  $\mathcal{A}^{\text{cpabe}}$  playing the IND-CCA game against the DEM. This is so particularly since the ciphertext component  $C_2^*$  is generated using a random symmetric key  $K^*$ . We have

$$|2 \cdot \Pr[S_1] - 1| = Adv_{\mathcal{A}^{\text{dem}}}. \quad (11)$$

By combining the Equations 9 to 11, we have the claimed advantage for  $\mathcal{A}^{\text{cpabe}}$ .  $\square$