

ATTRIBUTE GRAMMARS
AND
MATHEMATICAL SEMANTICS

by

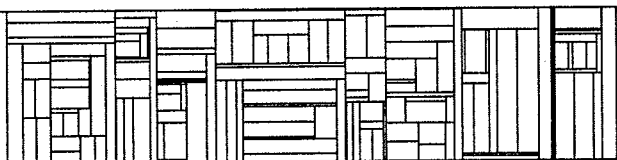
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Attribute Grammars and Mathematical Semantics

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Keyword: mathematical semantics, attribute grammars, compiler properties.

Abstract

Attribute grammars and mathematical semantics are rival language definition methods. We show that any attribute grammar G has a reformulation $MS(G)$ within mathematical semantics. Most attribute grammars have properties that discipline the sets of equations the grammar gives to derivation trees. We list six such properties, and show that for a grammar G with one of these properties both $MS(G)$ and the compiler for G can be simplified. Because these compiler friendly properties are of independent interest, the paper is written in such a way that the first and last sections do not depend on the other sections.

Keywords: mathematical semantics, attribute grammars, compiler properties.

1. Introduction

Attribute grammars [9] give a systematic way of expressing such restrictions on a programming language as: variables must be declared before use, the types of the two sides of an assignment statement must agree. In this paper we show that any attribute grammar can be given an equivalent and elegant formulation within the mathematical semantics of D. Scott and C. Strachey, [16, 17]. This reformulation is of interest because of the widespread acceptance of the advantages of mathematical semantics for the description of real programming languages [1, 2, 5, 12, 13, 18, 19].

Before looking at the details of the reformulation let us look at Knuth's simple example of an attribute grammar BIN for binary notation:

$B \rightarrow 0$	$v[B] = 0$
$B \rightarrow 1$	$v[B] = 2^{c[B]}$
$L \rightarrow B$	$v[L] = v[B], c[B] = c[L], I[L] = 1$
$L_0 \rightarrow L_1 B_2$	$v[L_0] = v[L_1] + v[B_2], c[B_2], c[L_0]$ $c[L_1] = c[L_0] + 1, I[L_0] = I[L_1] + 1$
$N \rightarrow L$	$v[N] = v[L], c[L] = 0$
$N \rightarrow L_1 \cdot L_2$	$v[N] = v[L_1] + v[L_2], c[L_1] = 0,$ $c[L_2] = -I[L_2]$

As explained in [9, p. 131] one can deduce from the grammar that the number 13.25 is the meaning of the expression 1101.01, because the equations given by the grammar can be ordered suitably. This difficulty with the ordering of equations does not arise when the grammar is reformulated within mathematical semantics:

$$\begin{aligned}
 bv[0](c) &= 0 \\
 bv[1](c) &= 2^c \\
 lv[B](c) &= bv[B](c) & ll[B] &= 1 \\
 lv[LB](c) &= lv[L](c+1) + bv[B](c) & ll[LB] &= ll[L] + 1 \\
 nv[L] &= lv[L](0) \\
 nv[L_1.L_2] &= lv[L_1](0) + lv[L_2](c_2) \text{ where } c_2 = -ll[L_2]
 \end{aligned}$$

Here we have the definition of four functions : bv for the synthesized attribute v of the symbol B , lv for the synthesized attribute v of the symbol L , ll for the synthesized attribute l of the symbol L , and nv for the synthesized attribute v of the symbol N . The first two functions have an argument in round brackets for an inherited attribute. All four functions have an argument in square brackets for derivation trees. In all our examples we will have an unambiguous grammar so we can use strings instead of trees for square bracket arguments. The deduction that the number 13.25 is the meaning of the string 1101.01 now becomes :

$$\begin{aligned}
 nv[1101.01] &= lv[1101]0 + lv[01]c_2 \text{ where } c_2 = -ll[01] \\
 &= lv[1101]0 + lv[01](-2) \\
 &= (lv[110]1 + 1) + (lv[0](-1) + 0.25) \\
 &= (12 + 1) + (0 + 0.25) = 13.25
 \end{aligned}$$

This example is too small to justify the claim - the reformulation of an attribute grammar within mathematical semantics is easier to understand because it only uses functions, whereas an attribute grammar uses attributes, functions and equations. The example in section 4 better illustrates the advantages of a reformulation $MS(G)$ within mathematical semantics of an attribute grammar G . There is always an $MS(G)$, equivalent to G (theorem 1); if G is well defined, then $MS(G)$ does not use recursion (theorem 2). Some attribute grammars have properties, that discipline the set of equations the grammar gives to derivation trees. If a grammar G has one of these

properties then the following table shows that both $MS(G)$ and the compiler for G can be simplified.

<u>Property</u>	<u>Compiler Simplification</u>	<u>MS(G) Simplification</u>
unordered	subtrees in arbitrary order	as compiler
ordered	subtrees from left to right	as compiler
reordered	subtrees in fixed order	as compiler
tangled	one pass	no splitting
benign	attributes in fixed order	determinate
well defined	-	no recursion

Because these compiler friendly properties are of independent interest this paper has been written in such a way that those unconcerned with mathematical semantics can omit all but the last section, and scan the earlier sections when they meet undefined notation.

2. Reformulation of an arbitrary attribute grammar.

An attribute structure consists of:

- (1) disjoint sets \mathcal{G} , \underline{A} , \overline{A} ,
- (2) for each X in \mathcal{G} , subsets $\underline{X} \subset \underline{A}$, and $\overline{X} \subset \overline{A}$;
- (3) for each a in $\overline{A} \cup \underline{A}$, a set V_a^0 .

The elements of \mathcal{G} are called symbols, the elements of \underline{A} are called synthesized attributes, and the elements of \overline{A} are called inherited attributes.

For each X in \mathcal{G} we define

$$\begin{aligned} \text{SYN}^0[X], & \quad \text{the Cartesian product of } V_a^0 \text{ for } a \text{ in } \underline{X} \\ \text{INH}^0[X], & \quad \text{the Cartesian product of } V_a^0 \text{ for } a \text{ in } \overline{X}. \end{aligned}$$

By convention $\text{SYN}^0[X]$ ($\text{INH}^0[X]$) has precisely one element if \underline{X} (\overline{X}) is empty.

An attribute grammar consists of:

- (1) a context free grammar $(\mathcal{T}, \mathcal{N}, S, \mathcal{P})$; where the start symbol S does not occur on the right side of a production;
- (2) an attribute structure such that $\mathcal{C} = \mathcal{T} \cup \mathcal{N}$, $\bar{\mathcal{S}}$ is empty, and $\underline{\mathcal{X}}$ is empty for X in \mathcal{T} ;
- (3) for every production $X_{p,0} \rightarrow X_{p,1} \dots X_{p,-1}$ in \mathcal{P} we have a partial function $f_p^o : L_p^o \rightarrow (R_p^o \rightarrow R_p^o)$

$$L_p^o = \text{INH}^o(X_{p,0}) \times \text{SYN}^o(X_{p,1}) \times \text{SYN}^o(X_{p,2}) \times \dots \times \text{SYN}^o(X_{p,-1})$$

$$R_p^o = \text{SYN}^o(X_{p,0}) \times \text{INH}^o(X_{p,1}) \times \text{INH}^o(X_{p,2}) \times \dots \times \text{INH}^o(X_{p,-1})$$

Here and later we avoid a sea of subscripts by using a convention due to B. Rosen in which $X_{p,-1}$, rather than X_{p,n_p-1} , is the last symbol of production p . In practice we usually have a function $q_p^o : L_p^o \rightarrow R_p^o$ such that $f_p^o(l)(r) = q_p^o(l)$ for all l in L_p^o and r in R_p^o , and we say that an attribute grammar is in normal form if we have such a function for each production.

Example

For the attribute grammar BIN we have :

$$\underline{B} = \{v\} \quad \bar{B} = \{c\} \quad \underline{L} = \{v, l\} \quad \bar{L} = \{c\} \quad \underline{N} = \{v\} \quad \bar{N} = \{\}$$

$$\text{SYN}^o(B) = V_v^o \quad \text{SYN}^o(L) = V_v^o \times V_l^o \quad \text{SYN}^o(N) = V_v^o$$

$$\text{INH}^o(B) = V_c^o \quad \text{INH}^o(L) = V_c^o \quad \text{INH}^o(N) = \{\cdot\} .$$

Syntactic rule

Semantic rule

$$B \rightarrow 0 \quad f_a^o : V_c^o \rightarrow (V_v^o \rightarrow V_v^o)$$

$$f_a^o(c[B])(v[B]) = 0$$

$$B \rightarrow 1 \quad f_b^o : V_c^o \rightarrow (V_v^o \rightarrow V_v^o)$$

$$f_b^o(c[B])(v[B]) = 2^{c[B]}$$

$$L \rightarrow B \quad f_c^o : V_c^o \times V_c^o \rightarrow (V_v^o \times V_l^o \times V_c^o \rightarrow V_v^o \times V_l^o \times V_c^o)$$

$$f_c^o(c[L], v[B])(v[L], l[l], c[B]) = (v[B], 1, c[L])$$

$$\begin{aligned}
L_0 \rightarrow L_1 B_2 \quad & f_d^0 : V_C^0 \times V_V^0 \times V_I^0 \times V_V^0 \rightarrow (V_V^0 \times V_I^0 \times V_C^0 \times V_C^0 \rightarrow V_V^0 \times V_I^0 \times V_C^0 \times V_C^0) \\
& f_d^0 (c[L_0], v[L_1], l[L_1], b[B_2]) (v[L_0], l[L_0], c[L_1], c[B_2]) \\
& \quad = (v[L_1] + v[B_2], l[L_1] + 1, c[L_0] + 1, c[L_0]) \\
N \rightarrow L \quad & f_e^0 : V_V^0 \times V_I^0 \rightarrow (V_V^0 \times V_C^0 \rightarrow V_V^0 \times V_C^0) \\
& f_e^0 (v[L], l[L]) (v[N], c[L]) = (v[L], 0) \\
N \rightarrow L_1 \cdot L_2 \quad & f_f^0 : V_V^0 \times V_I^0 \times V_V^0 \times V_I^0 \rightarrow (V_V^0 \times V_C^0 \times V_C^0 \rightarrow V_V^0 \times V_C^0 \times V_C^0) \\
& f_f^0 (v[L_1], l[L_1], v[L_2], l[L_2]) (v[N], c[L_1], c[L_2]) \\
& \quad = (v[L_1] + v[L_2], 0, -l[L_2])
\end{aligned}$$

Note that our reformulation of BIN borrows notation like $v[B]$ for attribute values from the original formulation, and it shows that the attribute grammar is in normal form. The differences between our definition of attribute grammar and that in [9] are minor and inessential, but they pave the way to the lattices and functions of mathematical semantics. For each symbol X in $\mathcal{N} \cup \mathcal{T}$ the productions of the grammar give $\text{DOM}^0(X)$, the set of derivation trees that can be generated from X . As described in [16, 17] one can convert the sets V_a^0 , $\text{SYN}^0(X)$, $\text{INH}^0(X)$, $\text{DOM}(X)$ by adding a bottom element \perp and a top element \top , to lattices V_a , $\text{SYN}(X)$, $\text{INH}(X)$, $\text{DOM}(X)$, and one can form a lattice of continuous functions

$$\text{CONT}(X) = \text{DOM}(X) \rightarrow (\text{INH}(X) \rightarrow \text{SYN}(X)).$$

A reformulation of a grammar in mathematical semantics will define precisely one element of $\text{CONT}(S)$.

Convention

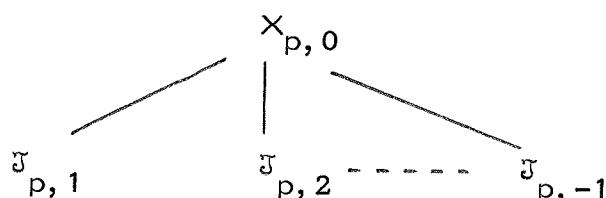
When specifying a function x over $\text{DOM}(X)$, we may do so by a set of equations

$$x[X_{p,1} \cdots X_{p,-1}] = \dots$$

with one equation for each production p with $X_{p,0} = X$.

We use $x[X_{p,1} \cdots X_{p,-1}]$ as a convenient way of writing: the value of x on

a derivation tree of the form



where $\mathfrak{T}_{p,1} \dots \mathfrak{T}_{p,-1}$ are derivation trees with $X_{p,1} \dots X_{p,-1}$ at their roots. Because $\text{DOM}(X)$ is the lattice sum of $\text{DOM}(X_{p,1}) \times \dots \times \text{DOM}(X_{p,-1})$ for p such that $X_{p,0} = X$, our sets of equations do determine functions over $\text{DOM}(X)$. The equation pairs for bv, ll, lv, nv in section 1 determine functions

$$\begin{aligned} bv &: \text{DOM}(B) \rightarrow V_c \rightarrow V_v \\ ll &: \text{DOM}(L) \rightarrow V_l \\ lv &: \text{DOM}(L) \rightarrow V_c \rightarrow V_v \\ nv &: \text{DOM}(N) \rightarrow V_v \end{aligned}$$

When specifying these functions we used the convention: parentheses can be omitted if this does not lead to confusion. This convention usually allows us to omit parentheses around empty sets of arguments.

Definition 1 Let $G = (\mathfrak{T}, \mathfrak{n}, S, \mathfrak{P})$ be an attribute grammar.

An assignment to a derivation tree π of G is a pair of functions (sy, in) from nodes of π to attribute values such that:

$$sy(u) \in \text{SYN}(X_u) \ \& \ in(u) \in \text{INH}(X_u)$$

where X_u is the symbol at node u . The assignment is said to be complete if for all nodes u we have

$$sy(u) \in \text{SYN}^0(X_u) \ \& \ in(u) \in \text{INH}^0(X_u).$$

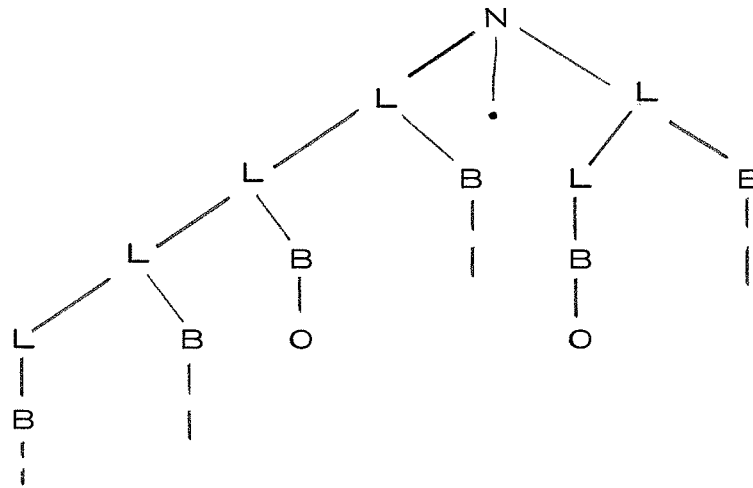
For every complete assignment (sy, in) we can define $\text{Next}(sy, in)$ as the assignment (sy', in') given by

$$\begin{aligned} (*) \quad (sy'(u_0), in'(u_1) \dots in'(u_{-1})) &= \\ &= f_p^0(in(u_0), sy(u_1) \dots sy(u_{-1}))(sy(u_0), in(u_1) \dots in(u_{-1})) \end{aligned}$$

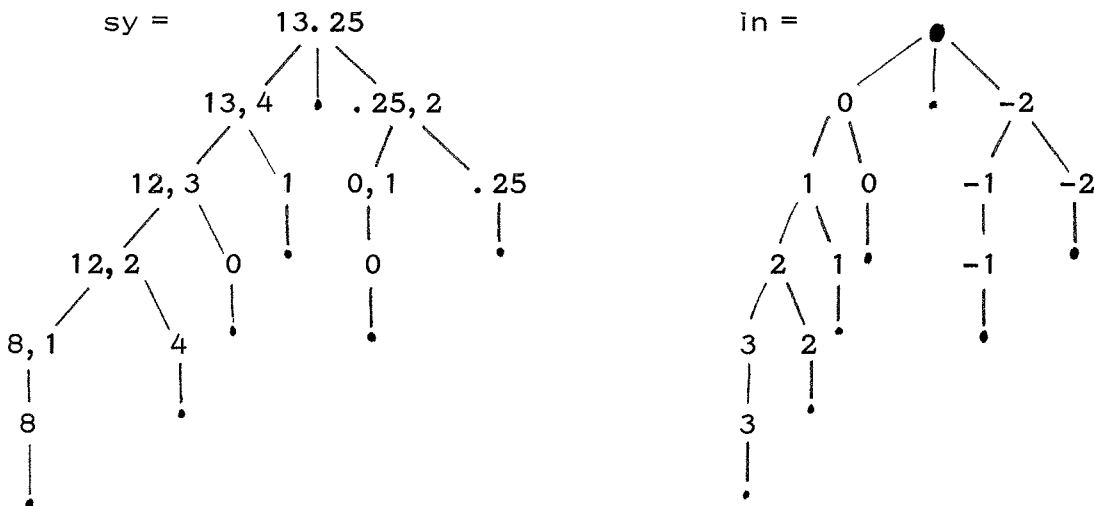
for each application $u_0 \rightarrow u_1 \dots u_{-1}$ of the production
 $X_{p,0} \rightarrow X_{p,1} \dots X_{p,-1}$ in the tree π .

The assignment (sy, in) fits π if $(sy, in) = \text{Next}(sy, in)$. The grammar G assigns w to π if $w = sy(\text{root of } \pi)$ for every complete assignment (sy, in) that fits π .

Example The derivation tree



for the grammar BIN fits the assignment



Def. 1 Ctd.

An assignment τ to a derivation tree π gives a value $\tau(u, \alpha)$ to each attribute α of each node u . We say π has a computation sequence if there is a sequence $(u_1, \alpha_1) \dots (u_n, \alpha_n)$ such that

- (1) each u_j is a node of π ;
- (2) each α_j is an attribute of the symbol at the node u_j ;
- (3) the pair (u, α) occurs in the sequence for each attribute α of each node u ;
- (4) if τ and τ' are complete assignments such that

$$\tau(u_1, \alpha_1) = \tau'(u_1, \alpha_1) \dots \tau(u_{j-1}, \alpha_{j-1}) = \tau'(u_{j-1}, \alpha_{j-1})$$

$$\text{then } \text{Next}(\tau)(u_j, \alpha_j) = \text{Next}(\tau')(u_j, \alpha_j) \neq \perp .$$

Lemma If the derivation tree π has a computation sequence, then there is precisely one complete assignment that fits π .

Proof We define an assignment τ_w by :

if τ is any complete assignment such that

$$\tau(u_1, \alpha_1) = \tau_w(u_1, \alpha_1) \dots \tau(u_{j-1}, \alpha_{j-1}) = \tau_w(u_{j-1}, \alpha_{j-1})$$

$$\text{then } \tau_w(u_j, \alpha_j) = \text{Next}(\tau)(u_j, \alpha_j).$$

A simple induction argument using requirement (4) in the definition of computation sequence gives

$$\tau_w(u_j, \alpha_j) \neq \perp \text{ does not depend on the choice of } \tau$$

This implies that τ_w is a complete assignment.

If we take τ_w as τ in the definition of $\tau_w(u_j, \alpha_j)$, we get $\tau_w(u_j, \alpha_j) = \text{Next}(\tau_w)(u_j, \alpha_j)$ so the complete assignment τ_0 fits the tree π . Suppose τ_1 is a complete assignment that fits π . If we have $\tau_w(u_1, \alpha_1) = \tau_1(u_1, \alpha_1) \dots \tau_w(u_{j-1}, \alpha_{j-1}) = \tau_1(u_{j-1}, \alpha_{j-1})$ requirement (4) gives $\text{Next}(\tau_w)(u_j, \alpha_j) = \text{Next}(\tau_1)(u_j, \alpha_j)$ and $\tau_w(u_j, \alpha_j) = \tau_1(u_j, \alpha_j)$ follows from $\tau_w = \text{Next}(\tau_w) \ \& \ \tau_1 = \text{Next}(\tau_1)$.

We infer that $\tau_w = \tau_1$.

Theorem 1 For any attribute grammar G with start symbol S we can define a function s in $\text{CONT}(S)$ such that for any derivation tree π we have

- (a) if $s[\pi] = w \in \text{SYN}^0(s)$, then G assigns w to π ;
 (b) if π has a computation sequence and G assigns w to π , then $s[\pi] = w$.

Proof

(a) If we extend the functions f_p^0 to continuous functions $f_p : L_p \rightarrow (R_p \rightarrow R_p)$, and we use f_p instead of f_p^0 in the equations (*) in the definition, our function Next becomes a continuous function from assignments to assignments. For any derivation tree π there is a least assignment τ satisfying $\tau = \text{Next}(\tau)$. If (sy, in) is this least assignment and we take $sy(\text{root})$ as the value of $s[\pi]$, then " (sy, in) is less than every assignment that fits π " gives part (a) of our theorem.

(b) Let us agree on the following continuous extension of f_p^0 :

$$f_p(l)(r) = \text{greatest lower bound of } f_p^0(l')(r') \text{ for } l \subset l', r \subset r'$$

and look at the definition of τ_w in the proof of the lemma. Because of the way we have extended f_p^0 we have

$$\tau_w(u_j, \alpha_j) = \text{Next}(\tau)(u_j, \alpha_j)$$

for every assignment satisfying

$$\tau_w(u_1, \alpha_1) = \tau(u_1, \alpha_1) \cdots \tau_w(u_{j-1}, \alpha_{j-1}) = \tau(u_{j-1}, \alpha_{j-1}).$$

Suppose we define $\tau_j = \text{Next}(\tau_{j-1})$ and take τ_0 as the assignment that gives \perp to all attributes of all nodes in a derivation tree.

If we have

$$\tau_w(u_1, \alpha_1) = \tau_{j-1}(u_j, \alpha_j) \cdots \tau_w(u_{j-1}, \alpha_{j-1}) = \tau_{j-1}(u_{j-1}, \alpha_{j-1})$$

we also have $\tau_w(u_j, \alpha_j) = \text{Next}(\tau_{j-1})(u_j, \alpha_j) = \tau_j(u_j, \alpha_j)$.

Since Next is continuous we also have

$$\tau_w(u_1, \alpha_1) = \tau_j(u_1, \alpha_1) \cdots \tau_w(u_{j-1}, \alpha_{j-1}) = \tau_j(u_{j-1}, \alpha_{j-1})$$

and induction gives

$$\tau_w(u_1, \alpha_1) = \tau_1(u_1, \alpha_1) \cdots \tau_w(u_r, \alpha_r) = \tau_r(u_r, \alpha_r)$$

so τ_w agrees with the least assignment $\tau_0 \cup \tau_1 \cup \dots$

If the grammar G assigns w to π , then the lemma ensures that w is the value of the synthesized attributes in the complete assignment τ_w . By definition $s[\pi]$ is the value of these attributes in the least assignment. These two values must be the same.

Comment So far we have only considered assignments to derivation trees with the start symbol of an attribute grammar at their roots. For any derivation tree π with root symbol $X \in N \cup T$ we can extend definition 1 and the proof of theorem 1 to give a function $x[\pi]$ in $\text{INH}(X) \rightarrow \text{SYN}(X)$. These functions are defined by the equations

$$sy_0 = x_{p,0}[X_{p,1} \cdots X_{p,-1}] in_0$$

$$sy_1 = x_{p,1}[X_{p,1}] in_1 \cdots sy_{-1} = x_{p,-1}[X_{p,-1}] in_{-1}$$

$$(sy_0, in_0, \dots, in_{-1}) = f_p(in_0, sy_1 \cdots sy_{-1})(sy_0, in_1 \cdots in_{-1})$$

This was proved in an earlier version of this paper but the details are so similar to those for the independent result in [4] that they are omitted here. In a suitable specification language, the unique function $x_{p,0}$ given by equations is :

$$(**) \quad x_{p,0}[X_{p,1} \cdots X_{p,-1}] in_0 = YH \downarrow 1$$

$$\text{where } H(sy_0, in_1 \cdots in_{-1})$$

$$= f_p(in_0, x_{p,1}[X_{p,1}] in_1, \dots, x_{p,-1}[X_{p,-1}] in_{-1})(sy_0, in_1 \cdots in_{-1})$$

Here Y is the fix point operator, $\downarrow 1$ selects the first component of a list, and we include the trivial functions $x_{p,i}$ for terminal symbols $X_{p,i}$. In practice such trivial functions can be omitted.

Note that $x_{p,0}$ is a member of $\text{CONT}(X_{p,0})$ and s in theorem 1 is the least upper bound in $\text{CONT}(S)$ of the functions $x_{p,0}$ for the productions with $S = X_{p,0}$.

Applying our construction to the grammar BIN gives the somewhat obscure

$$\begin{aligned}
 b[0]c &= YH \downarrow 1 \quad \underline{\text{where}} \quad H(v) = 0 \\
 b[1]c &= YH \downarrow 1 \quad \underline{\text{where}} \quad H(v) = 2^c \\
 l[B]c &= YH \downarrow 1 \quad \underline{\text{where}} \quad H((v, l), in_1) = ((b[B]in_1, l), c) \\
 l[LB]c &= YH \downarrow 1 \quad \underline{\text{where}} \quad H((v, l), in_1, in_2) \\
 &= ((l[L]in_1 \downarrow 1 + b[B]in_2, l[L]in_1 \downarrow 2+1, c+1, c) \\
 n[L] &= YH \downarrow 1 \quad \underline{\text{where}} \quad H(v, in_1) = (l[L]in_1 \downarrow 1, 0) \\
 n[L_1.L_2] &= YH \downarrow 1 \quad \underline{\text{where}} \quad H(v, in_1, in_2) \\
 &= (l[L_1]in_1 \downarrow 1 + l[L_2] \downarrow 1, 0, -l[L_2]in_2 \downarrow 2)
 \end{aligned}$$

Straightforward fixed point elimination gives :

$$\begin{aligned}
 b[0]c &= 0 \\
 b[1]c &= 2^c \\
 l[B]c &= (b[B]c, 1) \\
 l[LB]c &= (v_1 + b[B]c, l_1 + 1) \quad \underline{\text{where}} \quad (v_1, l_1) = l[L](c+1) \\
 n[L] &= l[L]0 \downarrow 1 \\
 n[L_1.L_2] &= v_1 + v_2 \quad \underline{\text{where}} \quad (v_1, l_1) = l[L_1]0 \\
 &\quad \underline{\text{and}} \quad (v_2, l_2) = l[L_2](-l_2)
 \end{aligned}$$

Replacing the last line by :

$$\underline{\text{and}} \quad (v_2, l_2) = YH \quad \text{where} \quad H(v, l) = l[L_2](-l)$$

makes the recursion explicit.

3 Reformulation of a well defined attribute grammar

In this section we show that recursion is not needed when a well defined attribute grammar is reformulated within mathematical semantics.

Definition 2 An attribute grammar $G = (\mathcal{N}, \mathcal{T}, S, \rho)$ is well defined, if the test in [9] shows G is not circular.

Theorem 2 For any well defined attribute grammar G with start symbol S we can define a function s in $\text{CONT}(S)$ such that for any derivation tree π we have

- (a) the specification of s does not use recursion or the fix point operator Y ;
- (b) G assigns w to $\pi \Leftrightarrow s[\pi] = w$;
- (c) $s[\pi] \neq \perp$.

Proof

The algorithm for testing whether an attribute grammar is well defined [9, correction] generates a finite set of directed graphs. These graphs are of three kinds. For each element X in $\mathcal{N} \cup \mathcal{T}$ we have a set of symbol graphs $\text{SYM}(X)$ showing how the synthesized attributes may depend on the inherited attributes of X . For each production $X_{p,0} \rightarrow X_{p,1} \dots X_{p,-1}$ we have:

- (1) a production graph D_p with arrows to the synthesized attributes of $X_{p,0}$ and the inherited attributes of $X_{p,1} \dots X_{p,-1}$ from the zero, one or more attributes they depend upon;
- (2) a set $\text{COMP}(p)$ of composite graphs of the form $D_p[Q(1) \dots Q(-1)]$ where $Q(1) \in \text{SYM}(X_{p,1}) \dots Q(-1) \in \text{SYM}(X_{p,-1})$.

Knuth's test for circularity generates the composite graphs and $\text{SYM}(X)$ for X in \mathcal{N} from the production graphs and $\text{SYM}(X)$ for X in \mathcal{T} . If any composite graph contains a cycle, then our attribute grammar G is not well defined; otherwise these graphs

tell us how to replace (**) in the proof of theorem 1 by a specification with no implicit or explicit recursion. As we shall see in the next section this reformulation is particularly simple if for every p the union of the graphs in $\text{COMP}(p)$ contains no cycle. Even although this simplification is advocated in [4] and almost always possible in practice, we have to treat the general case if we are to prove the theorem. The non-determinism that plagues very general attribute grammars then enters in the form of joins in function lattices. For each symbol X in $\mathcal{N} \cup \mathcal{T}$, for each graph Γ in $\text{SYM}(X)$, and each synthesized attribute α in \underline{X} , we introduce a function

$$\text{symbol}(\Gamma, \alpha) : \text{DOM}(X) \rightarrow W(\Gamma, \alpha) \rightarrow V_{\alpha}$$

where $W(\Gamma, \alpha)$ is the subset of $\text{INH}(X)$ given by the arrows going to the node for α in Γ . If $\alpha_1 \dots \alpha_n$ are all the attributes of the start symbol S , this gives functions

$$\text{symbol}(\Gamma, \alpha_1) : \text{DOM}(S) \rightarrow V_{\alpha_1} \dots \text{symbol}(\Gamma, \alpha_n) : \text{DOM}(S) \rightarrow V_{\alpha_n}$$

for each Γ in $\text{SYM}(S)$. The product of these functions is a member of $\text{CONT}(S)$ and the least upper bound (= join) of these products will be the function s of theorem 1. For each production $X_{p,0} \rightarrow X_{p,1}, \dots, X_{p,-1}$ there are a finite number of ways of choosing graphs $Q(0) Q(1) \dots Q(-1)$ such that $Q(j)$ is in $\text{SYM}(X_{p,j})$ for $j = 0, 1, \dots, -1$;

(***) there is an arc from α to α' in $Q(0)$ if and only if there

is a directed path from $(X_{p_0, \alpha})$ to $(X_{p_0, \alpha'})$ in $D_p [Q(1), \dots, Q(-1)]$.

For each synthesized attribute α in $\underline{X}_{p,0}$ and each such choice of $Q = (Q(0), Q(1), \dots, Q(-1))$ we introduce a function

$$\text{rule}(Q, \alpha) : \text{DOM}(X_{p_0}) \rightarrow W(Q(0), \alpha) \rightarrow V_{\alpha}$$

Because the graph $D_p[Q(1), \dots, Q(-1)]$ contains no cycle, it gives a function, $\text{rule}(Q, \alpha)$, that does not require recursion or the fix point operator Y . Using these functions we complete the definition of s by

$$\text{symbol}(\Gamma, \alpha) = \text{the join of rule}(Q, \alpha) \text{ such that } Q(0) = \Gamma.$$

We have now proved (a) ; if we can show that every derivation tree in a well defined grammar has a computation sequence, theorem 1 and the lemma will give (b) and (c).

There is one and only one way of assigning symbol graphs and composite graphs to nodes of a derivation tree π so that (***) is satisfied - there is a unique choice of symbol graph for each terminal, and, working up the tree, one and only one choice of Q for each application of a production. The composite graphs partially order the attributes at the nodes of the tree, because no composite graph contains a cycle. Let

$$(u_1, \alpha_1) \dots (u_n, \alpha_n)$$

be an embedding of this partially ordered set in a linear order. If τ is a complete assignment to the derivation tree, the value of $\text{Next}(\tau)(u_j, \alpha_j)$ is given by $\text{rule}(Q, \alpha_j)$ for the Q at node u_j and this only depends on

$$\tau(u_1, \alpha_1) \dots \tau(u_{j-1}, \alpha_{j-1}).$$

Our linearly ordered set $(u_1, \alpha_1) \dots (u_n, \alpha_n)$ is a computation sequence.

Comment In a well defined grammar we have :

- (a) every derivation tree has a computation sequence ;
- (b) for each derivation tree π there is precisely one complete assignment that fits π . Our lemma shows (a) implies (b) ; the grammar

$$S \rightarrow 9 \quad f(\text{sy}_0, c, d) = (c, \text{if } d \text{ even then } 1 \text{ else } d, c-1)$$

shows (b) does not imply (a) because it is circular but there is precisely one complete assignment that fits its only derivation tree :

$sy_0 = 1, c = 1, d = 0$. There are grammars satisfying (a) that are not well defined, but they must have useless productions [9].

Example ctd.

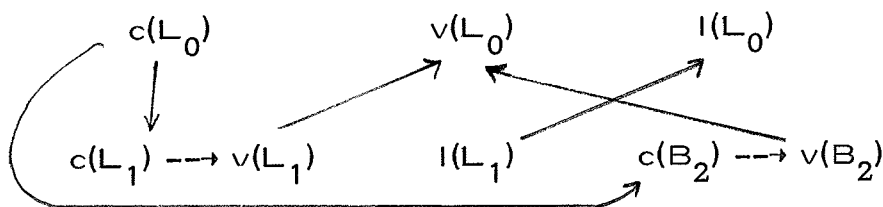
The circularity test for our grammar BIN generates

$$\begin{aligned} \text{SYM}(B) &= (\Gamma_0, \Gamma_1) \text{ where } \Gamma_0 = c \ v, \quad \Gamma_1 = c \rightarrow v \\ \text{SYM}(L) &= (\Gamma_2, \Gamma_3) \text{ where } \Gamma_2 = c \ v \ l, \quad \Gamma_3 = c \rightarrow v \ l. \end{aligned}$$

We see that we must introduce functions

$$\begin{aligned} \text{symbol } (\Gamma_0, v) &: \text{DOM}(B) \rightarrow V_v & \text{symbol } (\Gamma_1, v) &: \text{DOM}(B) \rightarrow V_c \rightarrow V_v \\ \text{symbol } (\Gamma_2, v) &: \text{DOM}(L) \rightarrow V_v & \text{symbol } (\Gamma_3, v) &: \text{DOM}(L) \rightarrow V_c \rightarrow V_v \\ \text{symbol } (\Gamma_2, l) &: \text{DOM}(L) \rightarrow V_l & \text{symbol } (\Gamma_3, l) &: \text{DOM}(L) \rightarrow V_l \end{aligned}$$

The test also generates four graphs in $\text{COMP}(L_0 \rightarrow L_1 B_2)$, the composite graphs given by including or excluding broken arrows in the graph



For the production $L_0 \rightarrow L_1 B_2$ there are four ways of choosing graphs $Q(0) Q(1) Q(2)$ that satisfy (***)

	Q_1	Q_2	Q_3	Q_4
$Q(0)$	Γ_2	Γ_3	Γ_3	Γ_3
$Q(1)$	Γ_2	Γ_2	Γ_3	Γ_3
$Q(2)$	Γ_0	Γ_1	Γ_0	Γ_1

Since L has two synthesized attributes, we have eight rule-functions and four symbol functions

$$\begin{aligned}
 \text{rule } (Q_1, v)[L_1B_2] &= \text{symbol } (\Gamma_2, v)[L_1] + \text{symbol } (\Gamma_0, v)[B_2] \\
 \text{rule } (Q_2, v)[L_1B_2] c &= \text{symbol } (\Gamma_2, v)[L_1] + \text{symbol } (\Gamma_1, v)[B_2] c \\
 \text{rule } (Q_3, v)[L_1B_2] c &= \text{symbol } (\Gamma_3, v)[L_1](c+1) + \text{symbol } (\Gamma_0, v)[B_2] \\
 \text{rule } (Q_4, v)[L_1B_2] c &= \text{symbol } (\Gamma_3, v)[L_1](c+1) + \text{symbol } (\Gamma_1, v)[B_2] c \\
 \text{symbol } (\Gamma_2, v)[L_1B_2] &= \text{rule } (Q_1, v)[L_1B_2] \\
 \text{symbol } (\Gamma_3, v)[L_1B_2] c &= \text{rule } (Q_2, v)[L_1B_2] c \cup \text{rule } (Q_3, v)[L_1B_2] c \\
 &\quad \cup \text{rule } (Q_4, v)[L_1B_2] c \\
 \text{rule } (Q_1, l)[L_1B_2] &= \text{symbol } (\Gamma_2, l)[L_1] + 1 \\
 \text{rule } (Q_2, l)[L_1B_2] &= \text{symbol } (\Gamma_2, l)[L_1] + 1 \\
 \text{rule } (Q_3, l)[L_1B_2] &= \text{symbol } (\Gamma_3, l)[L_1] + 1 \\
 \text{rule } (Q_4, l)[L_1B_2] &= \text{symbol } (\Gamma_3, l)[L_1] + 1 \\
 \text{symbol } (\Gamma_2, l)[L_1B_2] &= \text{rule } (Q_1, l)[L_1B_2] \\
 \text{symbol } (\Gamma_3, l)[L_1B_2] &= \text{rule } (Q_2, l)[L_1B_2] \cup \text{rule } (Q_3, l)[L_1B_2] \\
 &\quad \cup \text{rule } (Q_4, l)[L_1B_2]
 \end{aligned}$$

In the next section we show that the above twelve equations can be replaced by:

$$\begin{aligned}
 \text{symbol } (\Gamma_3, v)[L_1B_2] c &= \text{symbol } (\Gamma_3, v)[L_1] (c+1) \\
 &\quad + \text{symbol } (\Gamma_1, v)[B_2] (c) \\
 \text{symbol } (\Gamma_3, l)[L_1B_2] &= \text{symbol } (\Gamma_3, l)[L_1] + 1
 \end{aligned}$$

clearly these are unsugared versions of our original equations:

$$\begin{aligned}
 \text{lv}[LB] c &= \text{lv}[L](c+1) + \text{lv}[B] c \\
 \text{ll}[LB] &= \text{ll}[L] + 1.
 \end{aligned}$$

4. Other desirable properties

Well defined attribute grammars can have other desirable properties that simplify the task of making a compiler for the language they generate. In this section we introduce six such properties and show how the reformulation within mathematical semantics of an attribute grammar G becomes simpler when G has one of these properties.

Definition 3

Let D_p be the graph introduced in [9] for a production $p : X_{p0} \rightarrow X_{p1} \dots X_{p,-1}$ in an attribute grammar. Let W_p be the subgraph of D_p formed by deleting every arrow from an inherited attribute of X_{p0} and every arrow to a synthesized attribute from an attribute of $X_{p,1} \dots X_{p,-1}$. We say that the production p is:

unordered if W_p is empty;

ordered if each arrow in W_p from an attribute of $X_{p,j}$ to an attribute of $X_{p,k}$ satisfies $0 < j < k$;

reordered if there is a permutation f of $1, 2, \dots, n(p)-1$ such that each arrow in W_p from an attribute of $X_{p,j}$ to an attribute of $X_{p,k}$ satisfies $j \neq 0 \wedge f(j) < f(k)$;

tangled if there are no cycles in the graph $D_p(\text{ALL}(X_{p,1}) \dots \text{ALL}(X_{p,-1}))$ where $\text{ALL}(X)$ is the graph with an arrow from every inherited attribute of X to every synthesized attribute of X ;

benign if there are no cycles in the graph $D_p(\text{SOME}(X_{p,1}) \dots \text{SOME}(X_{p,-1}))$ where $\text{SOME}(X)$ is the union of the graphs in $\text{SYM}(X)$;

well defined if there are no cycles in any of the graphs $D_p(Q(1), \dots, Q(-1))$ for $Q(1) \in \text{SYM}(X_{p,1}) \dots Q(-1) \in \text{SYM}(X_{p,-1})$

Remark

A grammar is not circular if all its productions are well defined. If a production has one of the other properties we have defined, then the order of evaluating the attributes of the symbols on the right side of the production (right symbols) is simplified. For a benign production this order does not depend on the productions used to expand the right symbols. For a tangled production this order can be such that all the inherited attributes of a right symbol occur before any of its synthesized attributes. For an ordered (reordered, unordered) production this order can be such that one can evaluate all attributes of a right symbol $X_{p,i}$ before evaluating any attribute of the next right symbol (the symbol following $X_{p,i}$ in some permutation of the right side of the production, any other right symbol). Clearly these distinctions are significant when designing a compiler for the language given by an attribute grammar.

New example

Consider the attribute grammar CONTRIVED

$$\begin{aligned} \overline{S} &= \{ \} & \overline{U} &= \{\overline{u1}, \overline{u2}\} & \overline{O} &= \{\overline{o}\} & \overline{R} &= \{\overline{r}\} & \overline{T} &= \{\overline{t}\} & \overline{B} &= \{\overline{b1}, \overline{b2}\} & \overline{X} &= \{\overline{x1}, \overline{x2}\} \\ \underline{S} &= \{\underline{s}\} & \underline{U} &= \{\underline{u1}, \underline{u2}\} & \underline{O} &= \{\underline{o}\} & \underline{R} &= \{\underline{r}\} & \underline{I} &= \{\underline{t}\} & \underline{B} &= \{\underline{b}\} & \underline{X} &= \{\underline{x1}, \underline{x2}\} \end{aligned}$$

name	production	semantic rule	production graph
a	$S \rightarrow U$	$f_a(\underline{u1}, \underline{u2})(\underline{s}, \overline{u1}, \overline{u2})$ $= (\underline{u1} + \underline{u2}, \underline{u2}, \underline{u1})$	
b	$U \rightarrow 5$	$f_b(\overline{u1}, \overline{u2})(\underline{u1}, \underline{u2})$ $= (23 \times \overline{u1}, 5)$	
c	$U \rightarrow 7$	$f_c(\overline{u1}, \overline{u2})(\underline{u1}, \underline{u2})$ $= (7, 29 \times \overline{u2})$	
d	$S \rightarrow O$	$f_d(\underline{o})(\underline{s}, \overline{o})$ $= (3 \times \underline{o}, 2)$	
e	$O \rightarrow X$	$f_e(\overline{o}, \underline{x1}, \underline{x2})(\underline{o}, \overline{x1}, \overline{x2})$ $= (\underline{x1} / \underline{x2}, \overline{o}, \overline{o})$	
f	$O \rightarrow RT$	$f_f(\overline{o}, \underline{r}, \underline{t})(\underline{o}, \overline{r}, \overline{t})$ $= (19 \times \underline{t}, 31 \times \overline{o}, 37 \times \underline{r})$	
g	$R \rightarrow TO$	$f_g(\overline{r}, \underline{t}, \underline{o})(\underline{r}, \overline{t}, \overline{o})$ $= (11 \times \underline{t}, 13 \times \underline{o}, 17 \times \underline{r})$	
h	$T \rightarrow BX$	$f_h(\overline{t}, \underline{b}, \underline{x1}, \underline{x2})(\underline{t}, \overline{b1}, \overline{b2}, \overline{x1}, \overline{x2})$ $= (\underline{b} + \underline{x2}, \overline{t}, \overline{x1}, \overline{t}, \overline{b2})$	
k	$B \rightarrow X$	$f_k(\overline{b1}, \overline{b2}, \underline{x1}, \underline{x2})(\underline{b}, \overline{x1}, \overline{x2})$ $= (\overline{b2} - \underline{x2}, \overline{b1}, \underline{x1})$	
l	$X \rightarrow 9$	$f_l(\overline{x1}, \overline{x2})(\underline{x1}, \underline{x2})$ $= (\overline{x1}, \overline{x2})$	

The broken arrows in a production graph D_p are those that are not in W_p . We see that the productions $U \rightarrow 5$, $U \rightarrow 7$, $S \rightarrow O$, $O \rightarrow X$ $X \rightarrow 9$ are unordered, the production $O \rightarrow RT$ is ordered, and the production $R \rightarrow TO$ is reordered.

Theorem 3

- (a) unordered \rightarrow ordered \rightarrow reordered \rightarrow tangled \rightarrow benign \rightarrow well defined.
- (b) the chain of implications in (a) is proper.
- (c) a production $X_{p,0} \rightarrow X_{p,1} \dots X_{p,-1}$ is tangled if and only if the attributes of $X_{p,0}$, $X_{p,1} \dots X_{p,-1}$ can be ordered in such a way that every inherited attribute of $X_{p,i}$ can be evaluated before a synthesized attribute of $X_{p,i}$ is evaluated.

Proof

- (a) The first, second, fourth and fifth implications follow directly from the definitions. For the third implication assume that production $X_{p,0} \rightarrow X_{p,1} \dots X_{p,-1}$ is reordered and $D_p(ALL(X_{p,1}) \dots ALL(X_{p,-1}))$ has a cycle. This cycle cannot pass through an inherited attribute of $X_{p,0}$ because there are no arrows to these attributes; it cannot pass through a synthesized attribute of $X_{p,0}$ because there are no arrows from these attributes in a reordered production; it cannot use an arrow of W_p because f increases along such an arrow and f is constant on the arrows of $ALL(X)$. Since $ALL(X)$ has no cycle, our assumption is absurd.
- (b) Consider the grammar CONTRIVED. The production $O \rightarrow RT$ is ordered, but not unordered; the production $R \rightarrow TO$ is reordered, but not ordered; the production $T \rightarrow BX$ is tangled, but not reordered; the production $B \rightarrow X$ is not tangled.

As the production graph D_1 is the only symbol graph in $SYM(X)$ it is also the graph $SOME(X)$. Since $D_k[D_1]$ has no cycles, the production $B \rightarrow X$ is benign. Now consider the attribute grammar given by the

first three productions of CONTRIVED. The production $S \rightarrow U$ is well defined because there are no cycles in $D_a[D_b]$ and $D_a[D_c]$, but it is not benign because there is a cycle in $D_a[D_b \cup D_c]$.

- (c \rightarrow) If the composite graph $D_p(\text{ALL}(X_{p,1}) \dots \text{ALL}(X_{p,-1}))$ has no cycles, it is the graph of a partial order on the attributes of $X_{p,0}, X_{p,1} \dots X_{p,-1}$. Because any partial order can be embedded in a linear order we can evaluate attributes in an order satisfying:
- (1) if attribute β depends on attribute α .
 - (2) if α is an inherited attribute of $X_{p,j}$ and β is a synthesized attribute of $X_{p,j}$, then α is evaluated before β .
- (c \leftarrow) Assume the attributes of $X_{p,0}, X_{p,1} \dots X_{p,-1}$ can be evaluated in some order satisfying (1) and (2). Consider an edge from attribute α to attribute β in $D_p(\text{ALL}(X_{p,1}) \dots \text{ALL}(X_{p,-1}))$. If the edge is in D_p , α is evaluated before β by (1); if the edge is in $\text{ALL}(X_{p,j})$, α is evaluated before β by (2). Since "is evaluated before" is a linear order, $D_p(\text{ALL}(X_{p,1}) \dots \text{ALL}(X_{p,-1}))$ has no cycles.

Comment An attribute grammar with only ordered productions allows "evaluation in one pass from left to right" [3, 8]. One applies the following recursive algorithm for each application of a production $X_{p,0} \rightarrow X_{p,1} \dots X_{p,-1}$ in a derivation tree:

```

lr_evaluate : begin  fetch inherited attributes of  $X_{p,0}$ ;
                   for  $X := X_{p,1}$  to  $X_{p,-1}$ 
                   do begin Use  $f_p$  to evaluate inherited attributes of  $X$ ;
                               Call lr_evaluate to calculate synthesized
                               attributes of  $X$ ;
                   end
                   Use  $f_p$  to calculate synthesized attributes of  $X_{p,0}$ ;
end;

```

A similar argument shows that one can evaluate an attribute grammar with only tangled productions in one pass, if we allow a pre-evaluation phase in which we either rearrange the derivation tree or add a next sibling pointer to each node in the tree. One applies the following recursive algorithm for each application of a production $X_{p,0} \rightarrow X_{p,1} \dots X_{p,-1}$ in the tree

```

t-evaluate : begin fetch inherited attributes of  $X_{p,0}$  ;
              for  $\alpha :=$  attribute of  $X_{p,0} X_{p,1} \dots X_{p,-1}$ 
                in order given by theorem 3 c
              do if  $\alpha$  is inherited attribute of  $X_{p,j}$  for  $j \neq 0$ 
                or  $\alpha$  is synthesized attribute of  $X_{p,0}$ 
                then Use  $f_p$  to calculate  $\alpha$ 
                else if  $\alpha$  not already calculated
                then Call t-evaluate to calculate
                    all synthesized attributes of the
                     $X_{p,j}$  to which  $\alpha$  belongs
              end.

```

An algorithm for finding the finite number of passes required to evaluate a well defined attribute grammar is given in [15].

Definition 4

An attribute grammar is in normal form if for every production the function

$$f_p : L_p^0 \rightarrow R_p^0 \rightarrow R_p^0$$

satisfies

$$f_p(l)(r) = f_p(l)(r')$$

for any l in L_p^0 and any r, r' in R_p^0 .

Comment For well defined attribute grammars in normal form, many tiresome distinctions disappear.

Theorem 4 (Hanne Riis) If an attribute grammar is in normal form then the production p is reordered \Leftrightarrow production p is tangled.

Proof

Suppose $X_{p,0} \rightarrow X_{p,1} \dots X_{p,-1}$ is a tangled production of an attribute grammar G . If G is in normal form, we can evaluate all attributes of a right symbol $X_{p,i}$ "at the same time", because we can wait until a synthesized attribute is required before evaluating the inherited attributes. To make this argument precise we introduce the relation R by:

$j R k \Leftrightarrow$ there is an arrow in D_p from a synthesized attribute of $X_{p,j}$ to an inherited attribute of $X_{p,k}$

The reflexive transitive closure R^* of this relation is a partial order because $j R^* k, k R^* j, j \neq k$ implies a chain of arrows in D_p that becomes a cycle in $D_p[ALL(X_{p,1}) \dots ALL(X_{p,-1})]$; and this cannot happen when p is a tangled production.

Embed the partial order R^* in a total order and define $f(j)$ as the position of $X_{p,j}$ in this total order. Clearly f is a permutation and $j R k$ implies $f(j) < f(k)$. Because G is in normal form there are no arrows in W_p from either synthesized attributes of $X_{p,0}$ or inherited attributes of $X_{p,1} \dots X_{p,-1}$. If there is an

arrow in W_p from an attribute of $X_{p,j}$ to an attribute of $X_{p,k}$ we must have $j \neq 0$ and jRk . The tangled production p must be reordered ; the converse implication is given by theorem 3.

New example ctd.

As an illustration of the simplifications possible when productions have our "compiler friendly" properties we reformulate our grammar CONTRIVED within mathematical semantics.

Syntactic Rule	Semantic function
$S \rightarrow U$	$s[U] = \underline{u1} + \underline{u2}$ where $(\underline{u1}, \underline{u2}) = u[U](\underline{u2}, \underline{u1})$
$U \rightarrow 5$	$u[5](\overline{u1}, \overline{u2}) = (23 \times \overline{u1}, 5)$
$U \rightarrow 7$	$u[7](\overline{u1}, \overline{u2}) = (7, 29 \times \overline{u2})$
$S \rightarrow O$	$s[O] = 3 \times o[O]2$
$O \rightarrow X$	$o[X](\overline{o}) = \underline{x1} / \underline{x2}$ where $(\underline{x1}, \underline{x2}) = x[X](\overline{o}, \overline{o})$
$O \rightarrow RT$	$o[RT](\overline{o}) = 19 \times \underline{t}$ where $\underline{r} = r[R](31 \times \overline{o})$ and $\underline{t} = t[T](37 \times \underline{r})$
$R \rightarrow TO$	$r[TO](\overline{r}) = 11 \times \underline{t}$ where $\underline{o} = o[O](17 \times \overline{r})$ and $\underline{t} = t[T](13 \times \underline{o})$
$T \rightarrow BX$	$t[BX](\overline{t}) = \underline{b} + \underline{x2}$ where $\underline{b} = b[B](\overline{t}, \overline{t})$ and $(\underline{x1}, \underline{x2}) = x[X](\overline{t}, \overline{t})$
$B \rightarrow X$	$b[X](\overline{b1}, \overline{b2}) = \overline{b2} - \underline{x2}$ where $(\underline{x1}, \underline{x2}) = x[X](\overline{b1}, \underline{x1})$
$X \rightarrow 9$	$x[X](\overline{x1}, \overline{x2}) = (\overline{x1}, \overline{x2})$

The only productions which are not tangled are $S \rightarrow U$ and $B \rightarrow X$. For these two productions and no others we have recursion in the correspond semantic function. Since our grammar is well defined, this recursion can be eliminated by theorem 2. For the non-benign production $S \rightarrow U$, the proof of the theorem suggests replacing the semantic functions for the first three productions by

$$s[U] = sb[U] \cup sc[U]$$

$$sb[U] = \underline{u1} + \underline{u2} \text{ where } \underline{u2} = u2b[U] \\ \text{and } \underline{u1} = u1b[U](\underline{u2})$$

$$sc[U] = \underline{u1} + \underline{u2} \text{ where } \underline{u1} = u1c[U] \\ \text{and } \underline{u2} = u2c[U](\underline{u1})$$

$$u1b[5](\overline{u1}) = 23 \times \overline{u1} \quad u2b[5] = 5$$

$$u1c[7] = 7 \quad u2c[7](\overline{u2}) = 29 \times \overline{u2}$$

The proof of our next theorem shows why the join operator \cup is not needed when removing the recursion in the semantic function for the benign production $B \rightarrow X$:

$$b[X](\overline{b1}, \overline{b2}) = \overline{b2} - \underline{x2} \text{ where } \underline{x1} = \overline{b1} \\ \text{and } \underline{x2} = \underline{x1}$$

Convention We use $MS[G]$ as an abbreviation for : a specification in mathematical semantics of the function s in the proof of theorem 1 for an attribute grammar G .

Determinacy Theorem If all productions in an attribute grammar G are benign, then the join operator \cup need not appear in any of the functions specified by $MS[G]$.

Proof

For each X the set of symbol graphs $SYM(X)$ can be replaced by their union $SOME(X)$. If we make this replacement in the proof of theorem 2 there is one and only one Q satisfying requirement (***) for a production $X_{p,0} \rightarrow X_{p,1} \cdots X_{p,-1}$.

The functions rule (Q, α) that are joined in the definition of symbol (Γ, α) come from different productions with the same left side. Such joins do not appear in an $MS[G]$ specification because of the convention in section 2.

Comment Because our grammar $MS(G)$ works on derivation trees, the implicit joins in the section 2 convention do not destroy determinacy. The convention that $MS(G)$ semantic functions may be specified in terms of one another seems just as harmless. In our statement of theorem 2 we avoided the fix point operator Y used to unravel this mutual recursion.

Splitting Theorem If all productions in an attribute grammar G are tangled, then we can construct an $MS(G)$ such that

- (a) no function specified in $MS(G)$ uses the operators Y and U
- (b) every function specified in $MS(G)$ is in $CONT(X)$ for some X in $N \cup T$.

Proof

(a) : Combine theorem 2 and the Determinacy theorem.

(b) : Consider the $MS(G)$ formulation given by part (a).

It consists of specifications of the functions $Symbol(SOME(X), \alpha)$ for each X in $N \cup T$ and each synthesized attribute α in \underline{X} .

For a tangled production $X_{p,0} \rightarrow X_{p,1} \dots X_{p,-1}$, all inherited attributes of $X_{p,i}$ can be evaluated before any synthesized attributes of $X_{p,i}$.

Thus each function $Symbol(SOME(X), \alpha)$ can be extended from $DOM(X) \rightarrow W(SOME(X), \alpha) \rightarrow V_\alpha$ to

$DOM(X) \rightarrow INH(X) \rightarrow V_\alpha$. Our theorem now follows from the fact that

the lattice product of $DOM(X) \rightarrow INH(X) \rightarrow V_\alpha$ for α in \underline{X} is isomorphic to the lattice $CONT(X) = DOM(X) \rightarrow INH(X) \rightarrow SYN(X)$.

Comment When we removed recursion from the semantic function for the benign production $B \rightarrow X$ in our grammar, the required splitting of $SYN(X)$ was implicit. The general construction would give

$$b[X](\overline{b1}, \overline{b2}) = \overline{b2} - \underline{x2} \quad \text{where } \underline{x1} = x1[X]\overline{b1} \\ \text{and } \underline{x2} = x2[X]\underline{x1}$$

$$x1[9](\overline{x1}) = \overline{x1}$$

$$x2[9](\overline{x2}) = \overline{x2}$$

and minor changes in the specifications for productions $O \rightarrow X$ and $T \rightarrow BX$.

Concluding remarks

The converse of the problem in this paper – forming an attribute grammar from a specification in mathematical semantics – is the subject of [8, 11]. Is there any good reason for basing a compiler generator on attribute grammars, rather than mathematical semantics [14] ? If there is, should one allow for attribute grammars that are well defined but not benign ? Any algorithm for checking that an attribute grammar is well defined is computationally intractable [6, 7]. Chircia and Martin [4] give a pragmatic reason for preferring benign grammars for particular languages ; our determinacy theorem gives a theoretical reason for this preference. The author would like to thank Ole Lehrmann Madsen, Hanne Riis, and Erik Meineche Schmidt for many fruitful discussions on this and the other topics discussed in this paper.

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