3	Attributing Minds to Triangles: Kinematics and
4	Observer-Animator Kinematic Similarity predict Mental
5	State Attribution in the Animations Task
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27 ABSTRACT

The ability to ascribe mental states, such as beliefs or desires to oneself and other individuals forms an integral part of everyday social interaction. One task that has been extensively used to test mental state attribution in a variety of clinical populations is the animations task, where participants are asked to infer mental states from short videos of interacting triangles. In this task, individuals with clinical conditions such as autism spectrum disorders typically offer fewer and less appropriate mental state descriptions than controls, however little is currently known about why they show these difficulties. Previous studies have hinted at the similarity between an observer's and the triangles' movements as a key factor for the successful interpretation of these animations. In this study we present a novel adaptation of the animations task, suitable to track and compare animation generator and -observer kinematics. Using this task and a population-derived stimulus database, we demonstrate that an animation's kinematics and kinematic similarity between observer and generator are integral for the correct identification of that animation. Our results shed light on why some clinical populations show difficulties in this task and highlight the role of participants' own movement and specific perceptual properties of the stimuli.

52 Introduction

Seminal work by Heider and Simmel¹ demonstrated that humans readily attribute 53 mental states to two triangles moving around a rectangular enclosure. Since their inception in 54 1944 such "animations tasks" (also referred to as Frith-Happé Animations² and Social 55 Attribution Task³) have grown dramatically in popularity and have been used in a wide variety 56 of clinical populations, including autism spectrum disorder (ASD)^{2,4}, Schizophrenia⁵, 57 antisocial personality disorder⁶, Huntington's disease⁷ and Tourette's syndrome⁸. Though 58 59 animations tasks have been scored and administered in a number of ways (Some studies count the number of mental state terms used to describe the movements of the triangles^{2,4}, other 60 studies have asked participants to rate the type of interaction or the mental state word depicted 61 in the animations 9,10) it is generally agreed that "poor performance" indicates a problem with 62 63 identifying the triangles as mentalistic agents and ascribing appropriate mental states to them. We refer to these processes here as 'mental state attribution'. 64

Though mental state attribution has been found to be atypical across a range of clinical populations, little is known about *why* some individuals struggle to attribute appropriate mental states to the triangles. One explanation is that individuals who struggle with the animations task would exhibit atypicalities in other tests of mental state attribution because of a deficit in the ability to attribute minds and ascribe appropriate mental states. However, animations tasks tend to be more sensitive to mental state attribution difficulties compared to other tests, as shown by Abell et al.².

A recent study highlights that kinematic similarities between the triangles' movements and the participant's own movements may influence performance on the animations task⁹. Edey and colleagues asked autistic ('condition-first' terminology is used in line with the majority preference expressed in a survey of the autistic community¹¹) and non-autistic participants to complete the animations task, and also to produce their own animations using 77 triangles that could be moved around an enclosure with magnetic levers. The authors found 78 that animations produced by autistic individuals were more jerky (i.e. exhibited greater changes 79 in acceleration and deceleration) than those produced by non-autistic individuals. Furthermore, 80 whereas non-autistic participants could readily attribute mental states to animations created by 81 other non-autistic participants, they had difficulties attributing mental states to the jerky 82 animations that had been produced by the autistic participants. The authors proposed that 83 movement similarity significantly contributes to performance in the animations task: that is, 84 non-autistic individuals were better able to correctly identify animations created by other non-85 autistic participants because the movement kinematics in the videos were similar to the 86 kinematics that they themselves would use to move the triangles. Conversely, autistic 87 participants in in Edey's study did not show improved performance when rating their own 88 group's relative to the control group's animations. The authors concluded that the increased 89 variability in jerk present within this group lead to a reduced number of animations sufficiently 90 similar to facilitate mentalizing performance in their autistic participants.

91 The proposal that movement similarity may affect performance in the animations task 92 is bolstered by recent empirical work showing that observers more accurately estimate a human 93 actor's underlying intentions when the kinematics of the actor's movements closely 94 approximate the observer's own movement kinematics¹². Furthermore, a role for movement 95 similarity in mental state attribution is in line with theoretical accounts suggesting that 96 inferences about others' actions are facilitated by mapping visual representations of others' 97 actions onto our own visual/motoric representations of the same actions¹³⁻¹⁶. The movement 98 similarity hypothesis would propose that mental state attribution difficulties in classic 99 animations tasks may, at least in part, be explained by differences between the way the triangles 100 are animated and the way an observer would move the triangles if required to create their own 101 animation. This raises the possibility that clinical groups might exhibit accurate mental state attribution for animations where kinematics are matched to a participant's own movement kinematics. To better understand why some individuals struggle to attribute appropriate mental states in the animations task, the first aim of the current study was to test the hypothesis that a significant amount of variance in performance in the animations task would be accounted for by the kinematic jerkiness of the animation and the *similarity* between the kinematics of the animation and a participant's own movements.

108 Kinematic jerk and movement similarity are not the only factors which plausibly 109 influence performance on the animations task. Previous studies have highlighted potential roles 110 for stimulus features including the rotation of, and distance between, the triangles¹⁷, and the 111 shape of the triangles' trajectories¹⁸. For instance, Roux et al. documented highly 112 distinguishable trajectory paths for random, goal-directed and mental state animations, thus 113 suggesting that trajectory path may be an important cue in mental state attribution. 114 Correspondingly, the second aim of the current study was to explore the extent to which a range 115 of other stimulus features, including trajectory shape, influence the ease with which 116 participants correctly attribute a mental state to an animation. By doing so, we shed light on a multiplicity of factors which may explain why some clinical groups find the animations task 117 118 so challenging.

119 For this latter analysis we made use of the fact that, similar to a sound wave, a triangle's trajectory comprises a complex wave and thus can be decomposed with Fourier transform and 120 represented as spectral density in different frequency bands¹⁹. In other words, Fourier transform 121 122 can be used to characterize the shape of a trajectory. For example, a trajectory which approximately follows an elliptical orbit oscillates in speed and curvature twice during every 123 124 full rotation and consequently would be characterized by high spectral density in a band centered around an angular frequency of two. Adapting a method developed by Huh & 125 Sejnowski we explored whether there are particular angular frequency bands which 126

differentiate mocking, seducing, surprising, following and fighting animations and whetherspectral density in these bands was predictive of accuracy.

129 Currently available animation task datasets are not suitable to test our hypotheses for 130 two reasons: First, having been created by experimenters or graphic designers, the stimuli in these tasks typically represent a narrow range of kinematics and thus lack the variation 131 132 necessary for quantifying the contribution of kinematics and other stimulus features to 133 performance. Second, tasks to date offer no option to track animator (or observer) kinematics 134 at sufficient sampling rates to reliably make inferences about the role of movement similarity. 135 Here we created a novel animations database (available upon request) by asking 51 members 136 of the general population to animate two triangles to depict mental- (mocking, seducing, 137 surprising) and non-mental- (following, fighting) state interactions on a 133 Hz touch screen 138 device. Subsequently an independent sample of 37 members of the general population watched 139 a selection of videos from our new database. To ensure that participants were exposed to a 140 wide range of kinematics they watched 8 exemplars, for each word, ranging from slow to fast 141 speed. Participants rated the extent to which each animation depicted the words mocking, 142 seducing, surprising, following and fighting, in addition to also creating their own animation 143 for each word (Fig. 1). In a three-step analysis procedure, we first used Bayesian mixed effects 144 models to test our hypotheses that kinematic jerk and the similarity in kinematics between 145 observer and animator are significant predictors of the accuracy of mental state attributions 146 (confirmatory analysis). In a second step, we used Fast Fourier Transform (FFT) combined 147 with bootstrapped F-tests to investigate whether mocking, seducing, surprising, following and 148 fighting animations could be reliably distinguished according to the profile of spectral density 149 across a range of frequency bands (exploratory analysis 1). Finally, we employed random 150 forest analysis to determine the relative contribution to accuracy of a multiplicity of factors 151 including speed, acceleration, jerk, the amount of simultaneous movement of both triangles,

- 152 the relative distance between triangles, triangles' average rotation and the magnitude of 153 spectral density in the frequency bands identified in the second analysis step (exploratory 154 analysis 2).
- 155
- 156 **Figure 1**
- 157 (A) Schematic depiction of three successive trials in the animations task. (B) Example
- 158 *trajectory of an animation stimulus.*



Note. (A) 37 participants watched videos from the database and rated the extent to which each video depicted mocking, seducing, surprising, following, or fighting. (B) Each participant used a touchscreen device to create their own triangles animations. For each animation (both observed and generated by participants) we calculated *jerk* as the mean of the third order non-null derivative of the raw positional data across all frames, movement similarity was calculated as the difference in mean jerk between an animation stimulus and the participant's own animation of the same word (*jerk difference*). Depicted is an example of a *following* animation (one triangle's trajectory).

166

168 **Results**

169 Accuracy for each trial was calculated by subtracting the mean rating for all non-target 170 words from the rating for the target word (e.g., the target word was *seducing* on trials where the participant watched a video wherein the original animator had attempted to depict the 171 172 triangles seducing each other). Consequently, a high, positive accuracy score for a seducing 173 animation indicates that an observer rated this animation as depicting seducing to a higher 174 extent than mocking, surprising, following or fighting. For a comparison of mean accuracy scores for each word category see Supplementary Materials. For each video that participants 175 176 observed and for each animation that they created themselves, mean jerk magnitude (hereafter: *jerk*) was obtained by taking the third order non-null derivatives of the raw positional data and 177 178 calculating the mean across all frames in the video. Movement similarity was calculated as the 179 difference in mean jerk between an animation stimulus and the participant's own animation of the same word (hereafter: *jerk difference*), where lower difference values indicate higher 180 181 movement similarity (see Methods: Data Analysis and Processing).

182

183 Mental state animations are rated less accurately than non-mental state animations

184 The distinction between mental state and non-mental state, and the individual words to depict these two conditions, are equivalent to the Theory of Mind and Goal-Directed conditions 185 used in the original paradigm by Abell et al.², and have since been widely used across the 186 literature^{4,9,10}. A Bayesian linear mixed effects model with the maximal random effects 187 structure allowed by the design²⁰ (random intercepts for *animation ID* (unique identifier for 188 189 each animation) and subject ID; random slopes for all fixed effects varying by animation ID 190 and subject ID) was fitted to jerk, jerk difference (lower values reflect higher movement 191 similarity) and the dummy-coded factor mental state (mental state [seducing, surprising, 192 mocking] versus non-mental state [following, fighting]) as well as their three-way interaction.

For all relevant model parameters, we report expected values (E_{μ}) under the posterior distribution and their 95% credible intervals $(CrIs)^{21}$, as well as the posterior probability that an effect is different to zero $(P(E_{\mu} < 0) / P(E_{\mu} > 0))$. In line with Franke & Roettger²², if a hypothesis states that an effect $E_{\mu} \neq 0$ (e.g. effect of movement similarity on accuracy), we conclude there is compelling evidence for this effect if zero is not included in the 95% CrI of E_{μ} and if the posterior probability $P(E_{\mu} \neq 0)$ is close to 1.

The model indicated that accuracy was higher in non-mental state videos relative to mental state videos ($E\mu_{non-mental} = 2.54$, CrI= [1.81, 3.28]), with the posterior probability that the effect is larger than zero being P($E\mu_{non-mental} > 0$) = 1 (see Fig. 2 for prior and posterior distributions of all estimated parameters).

203

204 Jerk affects performance differently for mental- and non-mental state animations

205 In line with our hypothesis, accuracy was associated with mean jerk, furthermore jerk 206 interacted with mental state: For mental state animations, lower mean jerk was associated with higher accuracy ($E\mu_{jerk,mental} = -1.03$, CrI = [-1.52, -0.53]), whereas in non-mental state 207 animations higher mean jerk led to higher accuracy scores ($E\mu_{jerk,non-mental} = 1.65$, CrI = 208 209 [0.88, 2.41]). Thus, while mental state animations with mean jerk values higher than 1 standard 210 deviation (SD) above the mean were rated 1.03 points less accurately, in non-mental state 211 animations higher jerk values increased accuracy by 1.65 points. Since the posterior probabilities for both effects (P($E\mu_{jerk,non-mental} > 0$), P($E\mu_{jerk,mental} < 0$)) were in fact 1, 212 213 we conclude that, given our model and the data, there is compelling evidence in favor of our 214 hypothesis that an animations' jerk impacts mental state attribution performance in the 215 animations task. To probe whether such effects varied as a function of the word depicted in the video, we conducted separate exploratory models for non-mental state and mental state 216 217 animations for which we included word category (non-mental state: following, fighting; mental

218 **Figure 2**



219 *Prior and posterior probabilities of model parameters predicting accuracy*



state: mocking, seducing, surprising) as a predictor in addition to jerk and jerk difference. These models revealed that, for non-mental state animations there was a strong negative effect of jerk for fighting, but not following, animations ($E\mu_{jerk,fighting} = 1.88$, CrI = [0.67, 3.11], $P(E\mu_{jerk,fighting} > 0) = 1$; $E\mu_{jerk,following} = 0.30$, CrI = [-0.30, 1.05]). For mental state animations, the overall negative effect of jerk was driven by a tendency towards a negative effect of jerk on accuracy in mocking and surprising animations ($E\mu_{jerk,mocking} =$ 229 -0.58, CrI = [-1.56, 0.40]; $E\mu_{jerk,surprising}$ = -0.94, CrI = [-2.69, 0.76]). There was no effect 230 of jerk in seducing animations ($E\mu_{jerk,seducing}$ = 0.26, CrI = [-1.40, 1.85]).

231

Higher observer-animator similarity in jerk is associated with higher accuracy only in mental-state animations

234 In line with our hypothesis, accuracy was also associated with jerk difference, 235 furthermore jerk difference interacted with mental state such that it was a significant predictor 236 for mental, but not non-mental, state videos. That is, for non-mental state animations the mean 237 of all posterior coefficients for jerk difference was centered near zero ($E\mu_{ierkDiff.non-mental}$ 238 = 0.25, CrI = [-0.27, 0.76]). In contrast, for mental state animations the credible interval of jerk difference did not include zero ($E\mu_{jerkDiff,mental} = -0.38$, CrI = [-0.72, -0.03]) and the 239 estimated probability of this effect being below zero (P ($E\mu_{jerkDiff,mental} < 0$)) was 0.98. 240 241 Thus, jerk difference had a negative effect on accuracy for mental state animations only. 242 Consequently, in mental state animations, higher movement similarity was associated with 243 higher accuracy. To probe whether such effects varied as a function of word category we 244 conducted an exploratory mixed model which included the word categories mocking, seducing and surprising. This model revealed that jerk difference affected performance only in mocking 245 animations ($E\mu_{jerkDiff,mocking}$ = -0.70, CrI = [-1.22, -0.18]; P ($E\mu_{jerkDiff,mocking}$ < 0) = 0.99; 246 $E\mu_{jerkDiff,seducing} = 0.98$, CrI = [-0.49, 2.46]; $E\mu_{jerkDiff,surprising} = 0.63$, CrI = [-0.29, 1.52]). 247

248

A combination of ten kinematic and spatial variables best predicts accuracy in the animations task

To investigate whether different triangle trajectories can reliably distinguish between the five target words (i.e., mocking, seducing, surprising, following, fighting) we used FFT to decompose the triangles' trajectories and represent them as an amplitude spectral density profile across a range of angular frequencies. To test for differences, between the five target words, in spectral density across the angular frequency range, bootstrapped F-tests (with 1000 boots) were performed (see **Methods: Data Analysis and Processing**). This analysis revealed nine significant clusters, defined as clusters of difference that occurred in less than 5% of comparisons with resampled distributions (see Figure 3A).

259

260 To examine whether spectral density in these nine frequency clusters was predictive of 261 accuracy we used the maxima and minima of each significant cluster as bin edges and 262 calculated the angular frequency spectral density (AFSD) as the area under the curve between the bin edges (cluster bin edges: 0.21 - 1.49, 1.61 - 2.39, 2.64 - 2.87, 3.04 - 3.40, 3.91 - 4.27, 263 264 4.79-5.19, 6.19-6.68, 7.6-7.93, 8.75-10). The relative contribution to accuracy of AFSD in bins 265 1-9 was assessed, alongside mental-state, speed, acceleration magnitude (hereafter: 266 acceleration), jerk, simultaneous movement, relative distance and mean rotation, by means of a random forest model²³ using the *Boruta*²⁴ wrapper algorithm (version 7.7.0). Boruta trains a 267 268 random forest regression model on all variables as well as their permuted copies - so called 269 "shadow features" - and classes a variable as *important* when its permutation importance is 270 significantly higher than the highest permutation importance of a shadow feature (for more 271 details see Methods: Exploratory analysis). Note that because this analysis technique does 272 not account for random effects, values corresponding to the same animation were averaged 273 across participants, this permits indices such as jerk and acceleration which are features of a 274 particular animation but excludes jerk difference which depends on the relation between an 275 animation and an individual participant.

276 Out of all 16 variables tested, 10 were confirmed *important*, two were confirmed 277 *unimportant*, and four were classed as *tentative* on the basis that their permutation importance

278 was not significantly different from the maximal importance of a shadow feature (see Fig 4). 279 Fig 4 illustrates that the important role of mental-state and jerk in predicting accuracy is confirmed by the random forest model, with mean importances of 16.0 and 7.82 respectively. 280 281 However, the model identifies a third variable as even more important than jerk: mean rotation 282 (mean importance = 11.78). In addition, an animation's acceleration and speed, AFSD in bins 283 1, 6, 9 and 8, as well as the amount of simultaneous movement of both triangles notably 284 contribute to explaining performance in the animations task (mean importances: acceleration 285 = 7.91; speed = 4.70; AFSD-bin 1 = 7.03, AFSD-bin 6 = 6.37, AFSD-bin 9 = 5.04, AFSD-bin 286 8 = 3.89; simultaneous movement = 4.74). A final model of all 10 important variables predicting accuracy was evaluated by training a random forest on a subset of 70% of the data 287 288 (training set) and using it to predict the remaining 30% (test set). The regression model of the 289 training set predicting the test set was highly significant (p < .001) and indicated that the 290 selected variables explained 37% of accuracy values.

291 We subsequently conducted post hoc random forests separately for mental state- and 292 non-mental state animations. These post hoc analyses revealed that, in mental state animations, 293 five factors were predictive of accuracy, with jerk and acceleration being the most prominent 294 predictors, followed by speed, which was ranked third (see Supplementary Fig 2). In addition, 295 AFSD in bin 6 and simultaneous movement were classed as important in predicting accuracy. 296 In non-mental state animations, a total of eight predictors were identified as important 297 variables, with mean rotation being ranked highest by a considerable margin. In addition to 298 mean rotation, a combination of AFSD in bins 1, 6, 7 and 9, and acceleration, jerk and speed 299 were identified as important features of non-mental state animations.





Note. A) Solid colored lines represent spectral density as a function of angular frequency per word (=AFSD), the corresponding shaded areas represent 1 SEM (standard error of the mean) below and above the mean values. Yellow bars on x-axis represent clusters where AFSD significantly differs between mocking, seducing, surprising, following and fighting. Clusters that are predictive of accuracy are highlighted in yellow. Note that the lowest angular frequency derived from the data varied between 0.02 and 0.09, resulting in extrapolated values for some participants. For this reason, the first cluster of difference ranging from 0.02 to 0.09 was considered not representative of actual movements and disregarded. B) Post-hoc comparisons of AFSD.

302 Figure 4



303 Random forest variable importances

Note. Variable importances of all 16 features entered into the Boruta random forest, displayed as boxplots. Box
 edges denote the interquartile range (IQR) between first and third quartile; whiskers denote 1.5 * IQR distance
 from box edges; circles represent outliers outside of 1.5 * IQR above and below box edges. Box color denotes
 decision: Green = confirmed, yellow = tentative, red = rejected; grey = meta-attributes shadowMin, shadowMax
 and shadowMean (minimum, maximum and mean variable importance attained by a shadow feature).

310 **Discussion**

To better understand why some clinical groups find the animations task so challenging, this study evaluated the relative contribution of jerk, jerk similarity and other stimulus characteristics to mental state attribution performance. Our results confirm our hypothesis that kinematic jerk and movement similarity are predictors of the accuracy of mental state attribution. In addition, we highlight that stimulus features including the shape of the triangles' 316 trajectories and the amount of rotation of the triangles can also affect the ease with which 317 participants are able to appropriately label the target states.

318 In the first part of our three-step analysis, we found that mental state was the primary 319 predictor of animations task performance. Mental state videos were strongly associated with 320 lower accuracy, correspondingly non-metal state videos were rated more accurately. The 321 observation that our healthy participants performed worse when interpreting mental state 322 animations is inconsistent with previous findings. In Abell et al.'s and other studies, non-323 autistic adult participants performed equally well on non-mental state and mental state animations^{2,4,25}. It is possible that our findings illustrate a true difference in difficulty between 324 325 mental and non-mental state attribution that is revealed only when participants are presented 326 with a wide range of animation stimuli from a population-derived database. This difference may have been overlooked because previous studies employed animations created by a single 327 328 graphic designer, or small group of experimenters and thus lack variation. However, this 329 possibility demands empirical testing. Indeed, a direct comparison between our paradigm and 330 previous studies is not possible due to task related differences (e.g., in indices of performance, 331 and number of words animated per condition).

332 In this first analysis step it was further revealed that the triangles' mean jerk in an 333 animation plays a substantial role in interpreting that animation. For mental state attributions 334 jerk was *negatively* predictive of accuracy, whereas for non-mental state animations jerk was 335 *positively* predictive of accuracy. Post hoc analyses revealed that this latter result was primarily 336 driven by fighting animations, and that the former was most notable with respect to mocking 337 and surprising animations (though caution is advised since credible intervals of coefficient estimates did not exclude zero). In previous work, Edey and colleagues⁹ observed that non-338 339 autistic participants were more accurate in their mental state attributions for animations 340 generated by non-autistic participants compared to those generated by autistic participants.

They also observed that animations generated by autistic participants were more jerky compared to those generated by controls. However, in Edey et al.'s study there were a number of additional dimensions along which the two groups' animations may have varied, making it impossible to know whether the autistic participants' animations were difficult to interpret *because of* the jerky kinematics. Our results show that jerk meaningfully contributes to the accuracy of mental state attributions, thus our data supports the conclusion that jerk is highly likely to be one of the driving factors in the group differences observed by Edey et al.

348 Our results also highlight kinematic similarity as a potential driving factor of the differences observed by Edey et al.⁹. That is, we observed a positive relationship between 349 350 kinematic similarity and accuracy. Post hoc analyses revealed that evidence of this relationship 351 was particularly compelling in the case of mocking animations: The more closely a mocking animation's mean jerk approximated the participant's own jerk when animating the same word 352 353 category, the more accurately that animation was rated. We speculate that Edey et al.'s non-354 autistic participants performed poorly when attributing mental states to animations produced 355 by autistic individuals not only because these animations were jerky, but also because the 356 kinematics of the animations were *dissimilar* from the way in which the observer would have 357 produced the same animation.

358 The second aim of the current study was to explore the extent to which a range of other 359 stimulus features, including trajectory shape, influence mental state attribution accuracy. To 360 quantify trajectory shape we used FFT to decompose trajectories into spectral density in 361 angular frequency bins. Animation identity could be differentiated by AFSD in nine bins and random forest analyses confirmed that four of these bins - bins 1, 6, 8 and 9 corresponding to 362 363 angular frequencies 0.2-1.5, 4.8-5.2, 7.6-7.9, 8.8-10 - were 'important' predictors of mental 364 state attribution accuracy. Relative to the other words, following animations had the highest 365 AFSD in the angular frequency range 0.2-1.5 (bin 1; Fig. 3). A high amount of AFSD in this 366 range indicates a trajectory characterized by complex doodle-like movements (See 367 Supplementary Fig. 3) with low angular-frequency oscillation in speed and curvature. Thus, 368 one may speculate that animations which are most easily identifiable as 'following' comprise 369 doodle-like triangle trajectories, with between 0.2 and 1.5 curvature oscillations per 2π radians. In the angular frequency range 4.8-5.2 (bin 6), surprising animations had highest AFSD relative 370 371 to the other words (See Fig. 3). This angular frequency range centers around the pure-frequency 372 trajectory of a pentagon and thus is reflective of movements with around five speed-curvature 373 oscillations per 2π radians. Whilst our stimuli did not necessarily contain trajectories in the 374 shape of actual pentagons, high AFSD in bin 6 reflects curves and speed-curvature patterns 375 similar to those required to produce a closed-form pentagon. Finally, relative to the other words, both surprising and fighting had high AFSD in angular frequency ranges 7.6-7.9 (bin 376 8) and 8.8-10 (bin 9). A high amount of AFSD in these ranges indicates trajectories 377 378 characterized by octagonal (bin 8) and decagonal shapes (See Fig. 4) with 8-10 speed-curvature 379 oscillations per rotation. Together these results clearly illustrate that trajectory shape comprises 380 an important cue with respect to the identity of the word that is depicted in an animation. At 381 present one can only speculate about why some shapes (e.g., pentagons) are more indicative of 382 particular mental/non-mental states (e.g., surprising).

383 For the third step in our three-part analysis, we employed random forests to ascertain 384 the relative contribution to accuracy of a range of stimulus features. The random forest methodology was chosen for its robustness against (multi-)collinearity and suitability for 385 evaluating contributions of a large number of variables with limited data points²⁶. Our random 386 387 forest analysis confirmed ten features as important predictors of accuracy. In order of relative 388 importance these are: mental state, mean rotation, acceleration, jerk, trajectory shape (AFSD 389 in bins 1, 6, 8, 9), simultaneous movement of the triangles and speed. Post hoc analyses (see 390 Fig 3B) revealed that with respect to mental state attribution specifically, five of these features 391 were of confirmed importance: jerk, acceleration, speed, AFSD-bin 6 and simultaneous 392 movement. There was one feature which was uniquely important for mental state accuracy: 393 The amount of simultaneous movement of blue and red triangles. By decomposing the 394 animations task into features which predict accuracy, this random forest analysis deepens 395 understanding of individual differences in animations task performance and raises testable 396 empirical hypotheses for further research. For example, our analysis illustrates that 397 simultaneous movement of the triangles is a stimulus feature which predicts mental state 398 attribution accuracy. This observation raises the possibility that poor performance on the 399 animations task in some clinical groups may be related to differences in processing this 400 stimulus feature. That is, processing the simultaneous movement of the triangles requires 401 distributed attention to two objects simultaneously. It may be that individuals with some 402 clinical conditions exhibit a deficit in the perception of global relative to local motion stimuli 403 (e.g., autism²⁷) making it more difficult for them to process the simultaneous movement of two 404 triangles. Here we show that this perceptual processing style would impact selectively on the 405 accuracy of mental-, not non-mental-, state attributions.

406 Furthermore, our random forest analysis also raises interesting questions for further 407 study. Since the random forest technique does not account for random effects, values 408 corresponding to the same animation had to be averaged across participants, meaning that only 409 features of a particular animation (e.g., jerk, speed) could be included and indices such as 410 movement similarity, which depend on the *relation between* an animation and an individual 411 participant were excluded. Future experiments are therefore required to investigate whether, 412 similar to the jerk similarity effect we observed, there are also 'similarity effects' with respect 413 to features such as simultaneous movement and trajectory shape. One may hypothesize that 414 participants should be better able to infer mental states from animations which follow 415 trajectories that are similar to the shapes they would produce themselves. Such an analysis has the potential to provide a clearer mechanistic understanding of atypical animations task performance in clinical groups. For example, given differences in upper limb- and fine motor control²⁸⁻³¹ autistic people may produce different trajectory shapes when creating their own animations. It remains to be seen whether apparent mentalizing deficits in autism are ameliorated when autistic people are provided with stimuli which match closely to features of their own movement including trajectory shape as well as kinematics.

422 The present findings highlight particular kinematic- and trajectory features as being 423 critical for mental state attribution in the context of the animations task. This raises the 424 possibility that individual differences in mentalizing may be related to individual differences in the perceptual processing of kinematics and trajectory information. Our findings further 425 426 show that kinematic similarity between observer and animator facilitates mental state 427 attribution. Consequently, individuals with certain clinical conditions might find the 428 animations task particularly difficult due to differences in perceptual processing and/or reduced 429 movement similarity. Our data paves the way for studies which empirically test whether 430 mentalizing deficits in clinical populations persist when participants are provided with stimuli 431 which closely match features (including kinematics, trajectory shape and amount of 432 simultaneous movement) of their own movements.

433

434 Methods

435 **Building the animotions database**

436 Animotion Online Task

We created a browser-based application that enables us to record and replay participants' animations in the style of Heider & Simmel's original movies¹ while capturing the triangles' positions at 133Hz. For this purpose, we adapted a web application developed by Gordon & Roemmele (*The Heider-Simmel Interactive Theatre*³², https://hsit.ict.usc.edu/) to fit 441 our task design and allow instantaneous calculation of mean speed, acceleration and jerk 442 (change in acceleration), thus enabling the selection of stimuli according to predefined criteria 443 for replay. Gordon's web application employs scalable vector graphics (SVG) objects that allow simultaneous translation and rotation of each object with input from a single finger per 444 object. To ensure object motion follows the direction of movement of the finger, and to 445 446 suppress sporadic rotations (which can occur if dragging is initiated too close to the object center), object motion is suppressed until the pointer is dragged sufficiently far away from the 447 448 center point (see https://asgordon.github.io/rotodrag-js/ for a more detailed description of the 449 library used for this application).

450

451 Participants

452 We asked 51 healthy volunteers (46 females, mean (M) [SD] age = 20.23 [2.71] 453 years, range 18-34 years) to animate two triangles in order to depict three mental state 454 (mocking, seducing, surprising) and two non-mental state (following, fighting) words. 455 Participants were recruited from the University of Birmingham research participation 456 scheme, gave written informed consent and received either course credit or money (£8 per 457 hour) for their participation. All experimental procedures were conducted in line with the WMA declaration of Helsinki³³ and approved by the University of Birmingham Research 458 Ethics Committee (ERN 16-0281AP5). 459

460

461 *Procedure*

462 Data was collected at the University of Birmingham. Individuals were seated in front
463 of a WACOM Cintiq 22 HD touch screen, tilted at an angle of approximately 30 degrees
464 upon the desk. They were presented with the starting frame, comprising a black rectangular
465 enclosure and two equally sized equilateral triangles (one red and one blue) on a white

466 background (see Supplementary Figure 4). In a 45-second-long practice phase, participants 467 familiarized themselves with how to use their finger movements in order to navigate the 468 triangles around the screen. Participants were subsequently instructed to 'represent certain 469 words by moving the triangles around the screen', assured they could move the triangles in 470 any way they saw fit and encouraged to use their index fingers on both the left and right hand 471 to move the triangles simultaneously (for a complete transcript of task instructions see 472 Supplementary Materials). A dictionary was provided in case participants did not know the 473 word in question. No further explanations were given.

Following instructions, participants were presented with the first word and a 30-secondlong presentation of the stationary starting frame, allowing participants to plan their subsequent animation of that word. Finally, individuals were given 45 seconds to animate the given word. This process was repeated for the total of five words (mocking, seducing, surprising, following, fighting) and on each trial participants were given the option to discard and repeat their animations if they were unhappy with the result. Only the final animations were analyzed.

480

481 Stimulus Selection

482 Our procedure resulted in a total of 255 animations (51 for each word), recorded at a 483 frame rate of 133 frames / second. Animations were then visually inspected for sufficient length 484 and movement coverage of more than two quadrants of the screen. 53 animations failed these 485 quality control checks. The final stimulus set comprised 202 animations (42 mocking, 38 486 seducing, 36 surprising, 44 following, 42 fighting).

487

488

489 Ratings Collection

490 Participants

491 Thirty-seven healthy volunteers (31 females, M [SD] age = 21.30 [2.68] years, range = 492 18-32 years) were recruited from the University of Birmingham Research Participation Scheme 493 and gave written informed consent to participate in this study. Post-hoc power calculations al.³⁴ 494 based online application by Judd on an et (https://jakewestfall.shinyapps.io/two factor power/) confirmed that this study had 91.2 % 495 496 power to find an effect of size Cohen's d(d) = 0.4 for the main hypothesis (1). An a priori 497 power analysis of the complete study was not performed due to the lack of applications 498 available to estimate effect sizes for the present analyses (a mixed effects model with more 499 than one fixed effect). Participants received either course credit or money (£8 per hour) for 500 their participation. None of the participants had previously taken part in stimulus development.

501

502 Task

503 The Ratings Collection phase comprised two tasks. First, all participants carried out a 504 production task, where they created one 45-second-long animation for each of the five target 505 words mocking, seducing, surprising, following and fighting, as described above. Following 506 this, participants completed a **perception task**, where they viewed 40 animations from the full 507 stimulus set and rated the extent to which the animations depicted each of the target words 508 (mocking, seducing, surprising, following, fighting). Participants viewed eight exemplars of 509 each of the five target words, presented in random order. The eight animations were selected 510 from the stimulus pool (N = 202, see **Building the animotions database**) such that the mean 511 speed of the triangles represented one of eight percentiles of the speed frequency distribution for a word (see Figure 5). Thus, for each word, each participant viewed a selection of 512 513 animations such that they were exposed to the full range of kinematic variation in the 514 population used to create the stimulus pool.

Finally, after watching each animation, participants were asked to rate on a visual analogue scale ranging from one to ten the extent to which they perceived the video to display the target word (e.g., mocking) and each of the four non-target words (e.g., seducing, surprising, following and fighting). The whole process of creating five and viewing and rating 40 45- second animations lasted between 40 and 50 minutes. Task order was fixed (production 520

521 **Figure 5**



522 *Example of stimulus selection method.*



task then perception task) to allow participants' animations to be unaffected by the animations they would see in the perception task. Due to the upper limit on the WACOM monitor refresh rate, videos were created with a 133 Hz sampling rate and displayed at 60Hz.

532

533 **Procedure**

Individuals sat in front of the WACOM Cintiq 22 HD touch screen, tilted at 30 degrees, and first completed a practice phase in which they familiarized themselves with moving the triangles around the screen. They were then instructed that they would first create an animation for each of the five words themselves (instructions were the same as in **Building the animotions database**; see Supplementary Materials) and subsequently would view and rate animations which had been created by other people. Participants then completed the production and perception tasks as described above.

541

542 Data Analysis and Processing

543 All data was processed in MATLAB R2020a³⁵ and analyzed in R³⁶. Code required to 544 reproduce data analysis and figures for this study will be freely available under 545 (<u>https://osf.io/pqn4u/</u>).

546

547 Accuracy Ratings

Accuracy for each trial was calculated by subtracting the mean rating for all non-target words from the rating for the target word. Thus, a positive score indicates that the target word was rated higher than all non-target words, with higher accuracy scores reflecting better discrimination between target and non-target words. See Appendix 1 for further analysis of accuracy scores.

554 Spatial and Kinematic Predictors

All variables were calculated from positional data derived from the center points of the blue and red triangles. All steps of data processing mentioned below were performed on both the animations created by participants (= production data) and the animations from the full stimulus set used as perception task stimuli (= perception data).

559

560 Stimulus Kinematics

Instantaneous speed, acceleration magnitude and jerk magnitude were obtained by taking the first-, second- and third order non-null derivatives of the raw positional data, respectively (see [1], [2] and [3], where x and y represent x- and y positions of red and blue triangles in the cartesian coordinate system, v, a, and j denote instantaneous velocity, acceleration and jerk, respectively, and t denotes time).

566

$$\vec{v} = \sqrt{(x_{t-1} - x_t)^2 + (y_{t-1} - y_t)^2}$$
[1]

$$\vec{a} = \frac{d\vec{v}}{d\vec{t}}$$
[2]

$$\vec{j} = \frac{d\vec{a}}{d\vec{t}}$$
[3]

567

568 As the 'diff' function in MATLAB amplifies the signal noise, which compounds

for higher derivatives, we employed a smooth differential filter to undertake each step of differentiation (filter adopted from Huh & Sejnowski, 2015). The Euclidean norms of the x and y vectors of velocity, acceleration and jerk were then calculated to give speed, acceleration magnitude and jerk magnitude. That is, speed is calculated as the distance in pixels moved from one frame to the next. Acceleration magnitude comprises the change in speed from one frame to the next, and jerk magnitude comprises the change in acceleration. Mean speed, mean 575 acceleration magnitude and mean jerk magnitude were then calculated by taking the mean 576 across red and blue values, respectively. Lastly, kinematic values were converted from units of 577 pixels/frame to mm/s.

- 578
- 579 Observer-Animator Kinematic Similarity

In order to measure the kinematic similarity between participants' and stimulus kinematics, absolute observer-animator jerk difference was calculated by first subtracting the mean jerk of each video a person rated from their own jerk values when animating the same word, and then taking the absolute magnitude of those values. Lower jerk difference values indicate *higher* observer-animator kinematic similarity.

585

586 Angular Frequency Spectral Density (AFSD)

587 For the purpose of quantifying animation trajectories, we adapted a method developed 588 by Huh & Sejnowski (2015). Huh and Sejnowski have shown that the two-thirds power law 589 varies according to shape trajectory, such that the gradient of the relationship between angular speed and curvature (in the Frenet-Serret frame^{37,38}) is a function of the shape's angular 590 591 frequency. Angular frequency here is defined as the number of curvature oscillations within one full tracing (360° or 2π radians) of a closed-form shape. We extended the method to derive 592 593 the angular frequencies of arbitrary trajectories (i.e., not closed-form shapes) from the 594 frequencies of speed oscillations within every 2π radians of a triangle's angular displacement in the Frenet-Serret frame. 595

596 First, absolute instantaneous curvature k was calculated (angular speed divided by 597 speed). This enables the calculation of Frenet-Serret speed v. Periodicity in v, in every 2π 598 radians, allows the determination of angular frequencies present in the triangles' movement. 599 Asymmetrical FFT was employed on log v, which returned the amplitude spectral density of 600 all angular frequencies present for each triangle in each animation. Angular Frequency values 601 returned by the FFT were then interpolated to obtain uniformly sampled values at 1001 points. 602 Because the FFT assumes an infinite signal, when addressing a finite sample such as the log 603 angular speed here, the first and last values of each sample must be continuous to avoid 604 artefacts in the FFT results. We addressed this and any general drift in the signal (e.g., from 605 participants generally slowing their movements due to fatigue) by removing a second order 606 polynominal trend. The area under the amplitude spectral density curve was normalized to 607 allow like to like comparison between differing lengths of red and blue triangle movement 608 within and across participants. Across red and blue triangles' trajectories a weighted mean was 609 then taken by multiplying each AFSD value with a factor reflecting the proportion of curved 610 movement available for a triangle before averaging. See Figure 6 for an example of an 611 amplitude spectrum and the related trajectory path.

612

613 Further Spatial Variables

614 A variety of other variables were created to further quantify spatial aspects potentially 615 affecting inferences from the animations. First, simultaneous movement was calculated as the 616 proportion of all frames where both red and blue triangles' speed was greater than zero (as seen 617 in [4]), reflecting simultaneous movement of both triangles in a video. Furthermore, relative 618 distance - the average distance between red and blue triangles - was quantified by taking the 619 mean of the square root of the absolute distances between the triangles' x and y coordinates, 620 respectively (see [5]). Finally, mean rotation reflects the average rotation of blue and red 621 triangles around their own axis, measured in angle degrees and weighted by proportion of 622 movement present across all frames for each color ([6]).

623

625 Figure 6



626 Example of trajectory shape and related angular frequency spectrum

Note. (A) Example of angular frequency spectrum for following animation. (B) Related trajectory (of one of two
628 triangles). Trajectory colors indicate speed (pixel/frame).
629

$$\frac{\sum(speed_{red} \& speed_{blue} > 0.01)}{\sum all \ frames}$$
[4]

$$\underline{x}\left(\sqrt{\left(abs(x_{red} - x_{blue})\right)^2 + \left(abs(y_{red} - y_{blue})\right)^2}\right)$$
[5]

$$\frac{\left(\underline{x}\left(abs(r_{blue\ t-1} - r_{blue\ t})\right)\right) + \left(\underline{x}\left(abs(r_{red\ t-1} - r_{red\ t})\right)\right)}{2}$$
[6]

633 Statistical analysis

634 Data Analysis Overview

This study investigates the role of a large number of different predictor variables in explaining accuracy in the animations task. For two of these variables we present specific hypotheses (jerk, jerk difference); in addition, we wanted to investigate the role of a larger set of variables on an exploratory basis. For this reason, analyses were conducted in two stages: First, in a confirmatory stage, the roles of jerk and jerk difference were examined using Bayesian mixed models. Second, in an exploratory stage, a random forest model was performed, investigating the relative contribution of all predictor variables together.

642

643 Data Cleaning and Transformations

For all analyses, variables that were not normally distributed were either log- or squareroot transformed to approximate normal distribution. Any outliers, as defined by values exceeding three scaled absolute deviations from the median, were replaced with the respective lower and upper threshold values. Finally, all predictor variables were z-scored.

648

649 *Confirmatory analysis*

A Bayesian linear mixed effects model was fitted in R using the brms package³⁹ to 650 evaluate the relative contribution of jerk and jerk difference to accuracy as a function of word 651 category, as well as their three-way interaction. A maximal²⁰ random effects structure was 652 653 defined, allowing the intercept, the predictors of interest and their interactions to vary by participants (subject ID) and items (animation ID). Jerk and jerk difference were entered as 654 655 covariates and word category was entered as dummy coded factor. We used brms default priors 656 for the intercept and the standard deviation of the likelihood function as well as weakly 657 informative priors (following a normal distribution centered at 0 and SD = 10) for all regression coefficients. Each model was run for four sampling chains with 5000 iterations each (including
1000 warmup iterations). There was no indication of convergence issues for any of the models
(all Rhat values = 1.00, no divergent transitions).

661

662 Exploratory analysis I

663 Bootstrapped F-tests were performed to test for differences, between the five target 664 words, in the presence of angular frequencies at each of the 1001 points on the amplitude 665 spectrum. Bootstrapping amplitude spectrum values 1000 times revealed nine significant 666 clusters, defined as clusters of difference that occurred in less than 5% of comparisons with 667 resampled distributions (see Fig. 3A). The maxima and minima of each significant cluster were 668 then used as bin edges for calculating the amplitude spectral density as the area under the curve 669 within those nine bins, for both red and blue triangles' trajectories in each animation (cluster bin edges: 0.21 - 1.49, 1.61 - 2.39, 2.64 - 2.87, 3.04 - 3.40, 3.91 - 4.27, 4.79-5.19, 6.19-6.68, 670 7.6-7.93, 8.75-10). Finally, the weighted mean (weighted by amount of curved movement 671 672 present in a triangle's full trajectory) was taken across red and blue triangles' spectral density 673 values to form a single value of mean AFSD for each of nine bins for each animation. The final 674 spectral density values are reflective of the relative proportion of curved movement available 675 in a video in each of the nine areas of interest. Thus, a video that had high spectral density in 676 bin 3 would be dominated by shapes with angular frequencies between 2.64 and 2.87. That is, 677 relative to all other animations, the triangles in this video would be predominately moving with 678 a speed and acceleration profile that lies between that of elliptical- and triangle trajectories.

679

680 Exploratory analysis II

Relative variable importance of 16 variables in predicting accuracy was assessed using
 random forest models²³ and the feature selection wrapper algorithm *Boruta*²⁴. Random forests

683 are ensembles of decision trees, where each tree is grown from a pre-specified subset of 684 bootstrapped samples and where, for each tree, only a randomly selected subset of variables are considered as splitting variables. Boruta makes use of the *ranger* package⁴⁰ to train a 685 686 random forest regression model on all variables as well as their permuted copies - so called "shadow features". First, normalized permutation importance (scaled by standard error, see²³) 687 688 of all features is assessed. Permutation importance of a given variable is the reduction in 689 prediction accuracy (mean decrease in accuracy, MDA) of the model when this variable is 690 randomly permuted. A variable is then classed as important when the Z-score of their 691 importance measure is significantly higher than the highest importance Z-score achieved by a 692 shadow feature. Overall performance of the model was evaluated by fitting a random forest 693 with the ranger package with 500 trees and 10 random variables per tree.

Due to the known correlational structure within the data and the present lack of random forest models which can account for random effects, this analysis was performed items-based. For this purpose, for every variable, values corresponding to the same item were averaged across subjects, resulting in a total of 202 data points. Note that, due to the reliance on betweensubject variance of variables relating to own-stimulus kinematic difference, these variables were excluded from this analysis.

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864 Author contributions statement:

- 865 B.S., S.S. and J.C. conceived the experiments, B.S. and S.S. conducted the experiments, D.F.
- and D.H. contributed analysis tools. A.G. and J.v.d.B. contributed the code for the online
- task. B.S. analysed the results. B.S. and J.C. wrote the manuscript.

868

869 Additional Information:870

871 The authors declare no competing interests.