



Autism Spectrum Disorder and Particulate Matter Air Pollution before, during, and after Pregnancy: A Nested Case-Control Analysis within the Nurses' Health Study II Cohort

Citation

Raz, Raanan, Andrea L. Roberts, Kristen Lyall, Jaime E. Hart, Allan C. Just, Francine Laden, and Marc G. Weisskopf. 2014. "Autism Spectrum Disorder and Particulate Matter Air Pollution before, during, and after Pregnancy: A Nested Case–Control Analysis within the Nurses' Health Study II Cohort." Environmental Health Perspectives 123 (3): 264-270. doi:10.1289/ehp.1408133. http://dx.doi.org/10.1289/ehp.1408133.

Published Version

doi:10.1289/ehp.1408133

Permanent link

http://nrs.harvard.edu/urn-3:HUL.InstRepos:14351096

Terms of Use

This article was downloaded from Harvard University's DASH repository, and is made available under the terms and conditions applicable to Other Posted Material, as set forth at http://nrs.harvard.edu/urn-3:HUL.InstRepos:dash.current.terms-of-use#LAA

Share Your Story

The Harvard community has made this article openly available. Please share how this access benefits you. <u>Submit a story</u>.

Accessibility

Autism Spectrum Disorder and Particulate Matter Air Pollution before, during, and after Pregnancy: A Nested Case–Control Analysis within the Nurses' Health Study II Cohort

Raanan Raz,¹ Andrea L. Roberts,² Kristen Lyall,^{3,4} Jaime E. Hart,^{1,5} Allan C. Just,¹ Francine Laden,^{1,5,6} and Marc G. Weisskopf^{1,6}

¹Department of Environmental Health, ²Department of Social and Behavioral Sciences, and ³Department of Nutrition, Harvard T.H. Chan School of Public Health, Boston, Massachusetts, USA; ⁴Department of Public Health Sciences, University of California, Davis, Davis, California, USA; ⁵Channing Division of Network Medicine, Brigham and Women's Hospital and Harvard Medical School, Boston, Massachusetts, USA; ⁶Department of Epidemiology, Harvard T.H. Chan School of Public Health, Boston, Massachusetts, USA

BACKGROUND: Autism spectrum disorder (ASD) is a developmental disorder with increasing prevalence worldwide, yet has unclear etiology.

OBJECTIVE: We explored the association between maternal exposure to particulate matter (PM) air pollution and odds of ASD in her child.

METHODS: We conducted a nested case–control study of participants in the Nurses' Health Study II (NHS II), a prospective cohort of 116,430 U.S. female nurses recruited in 1989, followed by biennial mailed questionnaires. Subjects were NHS II participants' children born 1990–2002 with ASD (n=245), and children without ASD (n=1,522) randomly selected using frequency matching for birth years. Diagnosis of ASD was based on maternal report, which was validated against the Autism Diagnostic Interview-Revised in a subset. Monthly averages of PM with diameters $\leq 2.5 ~\mu m$ (PM_{2.5}) and 2.5–10 $~\mu m$ (PM_{10-2.5}) were predicted from a spatiotemporal model for the continental United States and linked to residential addresses.

RESULTS: $PM_{2.5}$ exposure during pregnancy was associated with increased odds of ASD, with an adjusted odds ratio (OR) for ASD per interquartile range (IQR) higher $PM_{2.5}$ (4.42 µg/m³) of 1.57 (95% CI: 1.22, 2.03) among women with the same address before and after pregnancy (160 cases, 986 controls). Associations with $PM_{2.5}$ exposure 9 months before or after the pregnancy were weaker in independent models and null when all three time periods were included, whereas the association with the 9 months of pregnancy remained (OR = 1.63; 95% CI: 1.08, 2.47). The association between ASD and $PM_{2.5}$ was stronger for exposure during the third trimester (OR = 1.42 per IQR increase in $PM_{2.5}$; 95% CI: 1.09, 1.86) than during the first two trimesters (ORs = 1.06 and 1.00) when mutually adjusted. There was little association between $PM_{10-2.5}$ and ASD.

CONCLUSIONS: Higher maternal exposure to $PM_{2.5}$ during pregnancy, particularly the third trimester, was associated with greater odds of a child having ASD.

CITATION: Raz R, Roberts AL, Lyall K, Hart JE, Just AC, Laden F, Weisskopf MG. 2015. Autism spectrum disorder and particulate matter air pollution before, during, and after pregnancy: a nested case–control analysis within the Nurses' Health Study II cohort. Environ Health Perspect 123:264–270; http://dx.doi.org/10.1289/ehp.1408133

Introduction

Autism spectrum disorder (ASD) is a developmental disorder with increasing reported prevalence worldwide (French et al. 2013). Although genetics plays a strong role in ASD, evidence suggests that environmental exposures, particularly *in utero* or during early life, also affect ASD risk (Grønborg et al. 2013; Hallmayer et al. 2011; Quaak et al. 2013). However, no specific environmental toxicant has been consistently associated with increased risk of ASD.

Air pollution contains various toxicants that have been found to be associated with neurotoxicity and adverse effects on the fetus *in utero* (Crump et al. 1998; Grandjean and Landrigan 2006; Rice and Barone 2000; Rodier 1995; Stillerman et al. 2008). Airborne particles are covered with various contaminants, and have been found to penetrate the subcellular environment and induce oxidative stress and mitochondrial damage *in vitro* (Li et al. 2003; MohanKumar et al. 2008). In

rodents, these particles also have been found to stimulate inflammatory cytokine release systemically and in the brain, and alter the neonatal immune system (Hertz-Picciotto et al. 2005, 2008; MohanKumar et al. 2008)—processes that have been implicated in ASD (Depino 2013; Napoli et al. 2013).

Several studies have explored associations of air pollution with ASD, using the U.S. Environmental Protection Agency (EPA) hazardous air pollutant models, distance to freeway, or local models for specific pollutants. These studies suggest increased odds of having a child with ASD with higher exposures to diesel particulate matter (PM) (Roberts et al. 2013; Windham et al. 2006), several metals (Roberts et al. 2013; Windham et al. 2006), criteria pollutants (Becerra et al. 2013; Volk et al. 2013), and some organic materials as well as closer proximity to a freeway (Volk et al. 2011).

Our goal was to explore the association between ASD and exposure to PM during defined time periods before, during, and after pregnancy, within the Nurses' Health Study II (NHS II), a large, well-defined cohort with detailed residential history. This nested case—control study includes participants from across the continental United States, and exposure was linked to monthly data on two size fractions of PM.

Methods

Participants. The study population included offspring of participants in NHS II, a prospective cohort of 116,430 U.S. female nurses 25–43 years of age when recruited in 1989, followed biennially (Solomon et al. 1997). NHS II participants originally were recruited from 14 states in all regions of the continental United States, but they now reside in all 50 states. The study was approved by the Partners Health Care Institutional Review Board and complied with all applicable U.S. regulations; return of completed questionnaires constituted consent to participate.

In 2005, NHS II participants were asked whether any of their children had been diagnosed with autism, Asperger's syndrome, or "other autism spectrum," and 839 women replied affirmatively. In 2007, we initiated a pilot follow-up study, shortly followed by a full-scale follow-up as described previously (Lyall et al. 2012). The follow-up questionnaire included questions about the pregnancy and birth, child's sex, and diagnosis. NHS II protocol allows re-contacting only the nurses who responded to the most recent

Address correspondence to R. Raz, Harvard T.H. Chan School of Public Health, 401 Park Dr., Landmark Center, P.O. Box 15697, Boston, MA 02215 USA. Telephone: (617) 735-5554. E-mail: rraz@hsph.harvard.edu

We acknowledge M. Power, M.-A. Kioumourtzoglou, and R. Seals for discussions that enhanced this study.

The study was supported by a postdoctoral fellowship to R.R. from the Environment and Health Fund (EHF, Israel); National Institutes of Health grants P30 ES000002, R01 ES017017, and UM1 CA176726; U.S. Department of Defense grant W81XWH-08-1-0499; and Autism Speaks Foundation grant 1788.

The authors declare they have no actual or potential competing financial interests.

Received: 15 January 2014; Accepted: 10 December 2014; Advance Publication: 18 December 2014; Final Publication: 1 March 2015.

biennial questionnaire. Thus, this follow-up was attempted with the 756 mothers of ASD cases for whom this was the case. Mothers who reported having more than one child with ASD were directed to report about the youngest one. Controls were selected from among parous women not reporting a child with ASD in 2005. For each case mother, controls were randomly selected from among those women who gave birth to a child in a matching birth year, to yield a total of 3,000 controls. Six hundred thirty-six (84%) mothers of cases and 2,747 (92%) mothers of controls responded; 164 women (including 51 case mothers) declined to participate.

For the current study, only children whose estimated conception month was June 1989 or later were included because nurses' addresses before this month were unknown. Of the 265 children reported to have an ASD diagnosis who met this criterion we excluded 4 for whom ASD was not confirmed by the mother on the follow-up questionnaire, and another 2 with genetic syndromes associated with ASD (n = 1 Down syndrome; n = 1Rett syndrome). The remaining 259 children were classified as ASD cases. There were 1,640 control children who met the conception month criterion. We further excluded participants missing PM data because their addresses could not be geocoded (8 cases and 30 controls), controls who were reported to have ASD on the 2009 questionnaire (n = 9), and children missing data on birth month (6 cases and 79 controls). The final study sample included 245 cases and 1,522 controls born 1990 through 2002. The average (± SD) year of diagnosis of the ASD cases was 1999 ± 3.3. None of these children were reported to have been adopted. Of 188 ASD cases with data on ASD in siblings, 7.4% were reported to have a sibling with ASD. Analyses excluding those 7.4% were similar to analyses including all children and are therefore not reported.

Case validation. ASD diagnosis was validated by telephone administration of the Autism Diagnostic Interview-Revised (ADI-R) (Lord et al. 1994) in a subsample of 50 cases randomly selected from mothers who indicated on our follow-up questionnaire willingness to be contacted (81% of all case mothers). In this sample, 43 children (86%) met full ADI-R criteria for autistic disorder [which is stricter than the broader "autism spectrum disorder" of the current DSM-V (Diagnostic and Statistical Manual of Mental Disorders, 5th Edition) criteria, or other autism spectrum disorders including PDD-NOS (pervasive developmental disorder not otherwise specified) or Asperger syndrome of DSM-IV criteria], defined by meeting cutoff scores in all three domains (social interaction, communication and

language, restricted and repetitive behaviors) and having onset by 3 years of age. The remaining individuals met the onset criterion and communication domain cutoff and missed the autistic disorder cutoff by one point in one domain (n = 5; 10%), or met cutoffs in one or two domains only (n = 2; 4%), thus indicating presence of ASD traits [for further details on scoring of ADI-R, see Lord et al. (1994)]. In addition, Social Responsiveness Scale (SRS) scores (Constantino et al. 2000), obtained for approximately 90% of eligible cases, also indicated accuracy of case ascertainment. Although it is not a clinical diagnostic instrument, the SRS is a widely used measure of social functioning and autistic traits, and has been shown to have excellent validity as compared to ADI-R and ADOS (Autism Diagnostic Observation Schedule) (Constantino et al. 2003). Among our ASD cases, 93% met the SRS cutoff for ASD. In contrast, 93% of controls completing the same measure fell within the normative range. Therefore, both ADI-R and SRS scores support reliable ASD case ascertainment in our population. For all analyses only the maternal reports were used for determination of ASD status.

Exposure assessment. Residential locations of the nurses were determined from the mailing addresses used for the biennial NHS II questionnaire. Monthly ambient exposure predictions of airborne particulate matter with an aerodynamic diameter $\leq 10 \ \mu m \ (PM_{10}) \ and \leq 2.5 \ \mu m \ (PM_{2.5})$ were generated from nationwide expansions of previously validated spatiotemporal models (Yanosky et al. 2008, 2009, 2014). The models use monthly average PM₁₀ and/or PM_{2.5} data from the U.S. EPA's Air Quality System (http://www.epa.gov/ttn/airs/ airsaqs/), a nationwide network of continuous and filter-based monitors, as well as monitoring data from various other sources. The models also incorporated information on several geospatial predictors including distance to road, population density, point sources (e.g., power-generating utilities, waste combustors), elevation, and meteorology. All data were used in generalized additive statistical models (Yanosky et al. 2008) with smoothing terms of space and time to create separate PM prediction surfaces for each month. Because monitoring data on PM_{2.5} are limited before 1999, PM_{2.5} in the period before 1999 was modeled using data on PM₁₀ and visibility data at airports (Yanosky et al. 2009, 2014). PM_{10-2.5} predictions were calculated as the difference between monthly PM₁₀ and PM_{2.5} predictions. These models provide estimates for any geolocation in the conterminous United States by monthly intervals. The models also have been shown to have low bias and high precision: The normalized mean bias factor for $PM_{2.5}$ is -1.6%, and the absolute value of the prediction errors is 1.61. For $PM_{2.5-10}$ these values are -3.2% and 4.18, respectively (Yanosky et al. 2014).

For each child, we estimated exposures to $PM_{2.5}$ and $PM_{10-2.5}$ before, during, and after pregnancy by averaging monthly concentrations for the mother's residential address during the relevant months. The months of pregnancy were determined from the child's birth month and gestational age at birth, as reported by the mother. Exposures to PM during each pregnancy trimester were calculated similarly.

Covariates. The following covariates, all associated with autism in previous studies, were included in multivariable models: child's birth year, birth month, and sex, maternal age at birth, paternal age at birth, and median census tract income in the birth year. Among these variables, only census tract income (1.5%) and paternal age (10.6%) had missing data. We used the missing indicator method for missing data. We conducted sensitivity analyses to evaluate the influence of adjusting for gestational factors (premature birth, birth weight, gestational diabetes, preeclampsia), smoking during pregnancy, state, marital status, median census house value, paternal education, and maternal grandparents' education. All covariate data except for census variables were from maternal self-report.

Statistical analyses. Logistic regression models were used to estimate odds ratios (OR) and 95% confidence intervals (CI) of ASD by PM exposures modeled both using PM quartiles and as continuous variables, in separate models. Exposures to different PM size fractions were examined in separate models, and also together in a single model.

For nurses who moved residence between two questionnaires straddling pregnancy, we did not know the exact date of moving. Therefore, we conducted separate analyses for exposures assigned assuming the nurse was at the earlier address during the whole intervening period (prepregnancy address) or at the later address during the whole period (postpregnancy address). In addition, to reduce misclassification of exposure, we conducted analyses that were limited to those mothers for whom the pre- and postpregnancy addresses were identical [160 cases (65%) and 986 controls (65%), referred to here as "nonmovers"].

To examine temporal specificity of any associations between PM and ASD, we considered the association with PM_{2.5} exposure during the 9 months before pregnancy, the pregnancy period, and the 9 months after birth. These examinations were restricted to nonmovers with complete

Table 1. Study population characteristics by ASD status, Nurses' Health Study II.

	Cases	Controls
Characteristic	(n = 245)	(n = 1,522)
Male sex $[n(\%)]$	209 (85)	793 (52)
Year of birth [median (IQR)]	1993 (5)	1993 (5)
Maternal age at birth (years) (mean ± SD)	34.0 ± 4.0	33.7 ± 3.7
Paternal age at birth (years) (mean ± SD)	36.8 ± 5.3	36.3 ± 4.9
Median census income (\$1,000) [median (IQR)]	63 (26)	61 (27)
Median census house value (\$1,000) [median (IQR)]	144 (108)	136 (98)
Birth weight (lbs) (mean ± SD)	7.1 ± 1.5	7.2 ± 1.3
Husband's/partner's education $[n(\%)]$		
High school	33 (13)	208 (14)
2-year college	45 (18)	218 (14)
4-year college	79 (32)	537 (35)
Graduate school	74 (30)	501 (33)
Missing	14 (6)	58 (4)
Marital status [n (%)]		
Married	186 (76)	1,159 (76)
Never married	51 (21)	269 (18)
Other	8 (3)	94 (6)
Premature birth [n (%)]		
Yes	44 (18)	227 (15)
No	142 (58)	1,137 (75)
Missing	59 (24)	158 (10)
Gestational diabetes [n (%)]		
Yes	17 (7)	87 (6)
No	189 (77)	1,222 (80)
Missing	39 (16)	213 (14)
Preeclampsia [n (%)]		
Yes	13 (5)	43 (3)
No	193 (79)	1,266 (83)
Missing	39 (16)	213 (14)
Smoking during pregnancy [n (%)]		
Yes	22 (9)	50 (3)
No	160 (65)	1,099 (72)
Missing	63 (26)	373 (25)

IQR, Interquartile range.

Table 2. Control population characteristics by pregnancy $PM_{2.5}$ quartile, Nurses' Health Study II (n = 1,522 controls).

		Quartile [μg/m³ (range)]		
	1st	2nd	3rd	4th
Characteristic	(5.24-12.3)	(12.4–14.5)	(14.6-16.7)	(16.7-30.8)
n	397	376	375	374
Male sex $(n(\%)]$	208 (52)	203 (54)	192 (51)	190 (51)
Year of birth [median (IQR)]	1995 (5)	1994 (4)	1993 (4)	1992 (3)
Maternal age at birth (years) (mean ± SD)	34.3 ± 3.8	34.0 ± 3.8	33.5 ± 3.6	32.7 ± 3.6
Paternal age at birth (years) (mean ± SD)	37.4 ± 5.1	36.5 ± 4.9	36.1 ± 5.0	35.2 ± 4.5
Median census income (\$1,000) [median (IQR)]	62 (31)	64 (28)	61 (26)	58 (24)
Median census house value (\$1,000) [median (IQR)]	137 (107)	144 (104)	135 (96)	128 (82)
Birth weight (lbs) (mean ± SD)	7.2 ± 1.3	7.2 ± 1.2	7.1 ± 1.3	7.2 ± 1.3
Premature birth (n (%)]				
Yes	56 (14)	57 (15)	52 (14)	62 (17)
No	298 (75)	282 (75)	275 (73)	282 (75)
Missing	43 (11)	36 (10)	48 (13)	30 (8)
Gestational diabetes (n(%)]				
Yes	18 (5)	27 (7)	21 (6)	21 (6)
No	314 (79)	303 (81)	299 (80)	306 (82)
Missing	65 (16)	46 (12)	55 (15)	47 (13)
Preeclampsia (n (%)]				
Yes	12 (3)	9 (2)	8 (2)	14 (4)
No	320 (81)	321 (85)	312 (83)	313 (84)
Missing	65 (16)	46 (12)	55 (15)	47 (13)
Smoking during pregnancy (n (%)]				
Yes	17 (4)	17 (4)	21 (5)	17 (4)
No	323 (73)	313 (71)	308 (70)	315 (71)
Missing	102 (23)	112 (25)	112 (25)	110 (25)

IQR, interquartile range.

data for all exposure periods, and each time period was considered independently, and then also in a single model that included all three time periods simultaneously. Because of differences in ASD rates by sex and prior suggestions that air pollution effects may be specific to boys, we a priori decided to also examine associations stratified by sex of the child. For simplicity, we did this only among the children whose mothers did not move during pregnancy. We used SAS version 9.3 (SAS Institute Inc., Cary, NC) for data extraction, and R version 3.0.1 (http://www.r-project.org/foundation/) for Linux-gnu for analyses. All analyses were conducted at the 0.05 alpha level.

Results

ASD cases were more likely to be male, to have been exposed to maternal preeclampsia or maternal smoking during gestation, and to be missing data on premature birth compared with controls (Table 1). The median (25th–75th percentile) year of birth for cases and controls was the same: 1993 (1991–1996). As expected given time trends in air pollution, control children born in earlier years were more likely to be in higher PM_{2.5} quartiles. Census income and parental age also decreased slightly, but generally steadily by exposure, whereas there was little clear pattern of difference by exposure for other variables (Table 2).

The average (± SD) levels of PM_{2.5} and PM_{10-2.5} during pregnancy were 14.6 ± 3.3 and $9.9 \pm 4.9 \,\mu g/m^3$, respectively. Although PM_{10-2.5} did not show a clear and consistent association with ASD, PM_{2.5} was associated with ASD regardless of the address used for the PM estimation (Figure 1). Among nonmovers, for whom misclassification of exposure because of an address change is reduced, the OR was 2.06 (95% CI: 1.17, 3.63) in the 4th quartile, compared with the 1st quartile. The results were also similar when analysis was limited to nonmovers and used continuous PM estimates, with an OR of 1.57 (95% CI: 1.22, 2.03) per interquartile range (IQR) increase in PM_{2.5} (4.42 μ g/m³), and little association with $PM_{10-2.5}$ [OR = 1.07 per $PM_{10-2.5}$ IQR (5.15 µg/m³); 95% CI: 0.89, 1.28]. When PM_{2.5} and PM_{10-2.5} were in the same model together, the difference between the two was greater: OR = 1.61 per PM_{2.5} IQR (95% CI: 1.22, 2.12); OR = 0.96 per PM_{10-2.5} IQR (95% CI: 0.79, 1.17). The association with PM_{2.5} among nonmovers was slightly stronger for boys (OR = 1.73; 95% CI: 1.29, 2.31) than for girls (OR = 1.12; 95% CI: 0.59, 2.12), but there were only 23 nonmover girls with ASD (137 nonmover boys), and the interaction p-value was 0.17.

When estimating the association with PM_{2.5} exposure during the 9 months before pregnancy, the pregnancy period, and the 9 months after birth, all restricted to nonmovers with exposure estimates for all three exposure periods, the associations with exposures before or after the pregnancy were lower compared with the association with exposure during pregnancy (Table 3). The partial correlation of PM_{2.5} during pregnancy with PM_{2.5} during the 9 months before or after pregnancy was 0.85 and 0.83, respectively. When we included all three PM_{2.5} exposure periods together in a mutually adjusted model, ASD was significantly associated only with exposure during the pregnancy period (Table 3). This pattern did not change after further restriction to women who did not move during the whole period from 9 months before conception to 9 months after birth (data not shown).

When examining trimester-specific associations in nonmovers, exposure to $PM_{2.5}$ was associated with ASD in all three trimesters, but $PM_{10-2.5}$ was not associated with ASD in any of the trimesters (Figure 2). The highest association with $PM_{2.5}$ was seen in the third trimester (OR = 1.49 per $PM_{2.5}$ IQR; 95% CI: 1.20, 1.85) (Figure 2). In a model with all trimesters mutually adjusted, the only statistically significant association was seen

with PM_{2.5} in the third trimester (OR = 1.42; 95% CI: 1.09, 1.86), whereas exposure during the first and second did not show associations (OR = 1.06; 95% CI: 0.83, 1.35, and OR = 1.00; 95% CI: 0.78, 1.30, respectively). When third-trimester PM_{2.5} and PM_{10-2.5} were in the same model together, the difference between the two was greater: OR = 1.50 per PM_{2.5} IQR (95% CI: 1.19, 1.89); OR = 0.89 per PM_{10-2.5} IQR (95% CI: 0.81, 1.19).

ORs and CIs were comparable in separate analyses excluding premature births, or participants missing data on census tract income, or paternal age (data not shown). Adjusting for PM_{10-2.5} also resulted in comparable estimates for PM_{2.5} (data not shown). Results were also similar in models adjusted for (each in a separate model): gestational variables (premature birth, birth weight, gestational diabetes, preeclampsia), smoking during pregnancy, census tract house value, state, marital status of the nurse, or husband's/partner's education or maternal grandparents' education (data not shown). In addition, models limited to either mothers with white race/ ethnicity (95% of the nurses) or children who had a full-term pregnancy (i.e., excluding premature births and those with missing data on this variable) showed comparable estimates (data not shown).

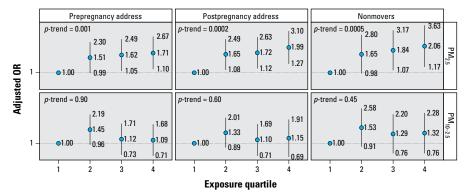


Figure 1. ORs (95% CIs) for ASD by quartile of PM exposure. ORs are adjusted for child sex, year of birth, month of birth, maternal age at birth, paternal age at birth, and census income. There were 245 cases and 1,522 controls in analyses using pre- and postpregnancy addresses. Prepregnancy address is the last known residential address before conception. Postpregnancy address is the first known residential address after birth. Nonmovers are those participants for whom prepregnancy and postpregnancy addresses were the same [cases = 160 (65%), controls = 986 (65%)]. *p*-Trend, *p*-values from models of exposures as continuous variables. The number of cases (including movers) by quartiles from low to high: 45, 66, 66, 68; controls: 397, 376, 375, 374. PM_{2.5} quartile ranges (µg/m³): 5.24–12.3, 12.4–14.5, 14.6–16.7, 16.7–30.8; PM_{10–2.5} quartile ranges (µg/m³): 1.9–6.7, 6.8–8.9, 9–11.9, 12–49.4.

Table 3. ORs (95% CI) for ASD per IQR increase in PM_{2.5} levels in different time periods, nonmovers only.^a

	C)R (95% CI) per 4.40 μg/m ³ P	M _{2.5}
Exposure period	Unadjusted	Adjusted ^b	Mutually adjusted ^c
9 months before conception	1.20 (0.98, 1.47)	1.32 (1.04, 1.69)	0.83 (0.58, 1.19)
Whole pregnancy	1.37 (1.09, 1.71)	1.50 (1.16, 1.94)	1.63 (1.08, 2.47)
9 months after birth	1.19 (0.96, 1.49)	1.29 (1.00, 1.67)	0.96 (0.65, 1.40)

^aRestricted to nonmovers who also have data on all exposure periods (158 cases, 977 controls). ^bAdjusted for child sex, year of birth, month of birth, maternal age at birth, paternal age at birth, census income. ^cMutually adjusted for other two exposure periods, as well as all other covariates listed above.

Discussion

In our nested case-control study of nurses from across the continental United States, ambient PM_{2.5} concentrations during pregnancy were significantly associated with having a child diagnosed with ASD. Importantly, the association we found appeared specific to PM_{2.5} during pregnancy; PM_{2.5} exposure before or after pregnancy showed weaker associations with ASD, and PM_{10-2.5} during pregnancy showed little association with ASD. In a model mutually adjusted for all three exposure periods, only the pregnancy period was associated with ASD. The change in the ORs with mutual adjustment did not appear to be an artifact of collinearity because the precision of the mutually adjusted model was not substantially lower than the single exposure model (e.g., CI widths for an IQR change in PM_{2.5} during pregnancy of 2.3 vs. 1.7, respectively). The 95% CIs were not notably larger in this analysis, suggesting that collinearity was not a significant problem. Moreover, during pregnancy we found the association to be specifically with the third-trimester exposure in models that included exposure in all trimesters together. The specificity of the association to the prenatal period is in line with several other lines of evidence that suggest a prenatal origin of ASD, including data on differences in brain cytoarchitecture in brains of children with ASD (McFadden and Minshew 2013; Stoner et al. 2014) and associations between maternal exposure to teratogens during pregnancy and ASD (Rodier 1995). Our results also suggest an association predominantly in boys, but this finding should be interpreted with caution, given the small number of girls with ASD in our sample.

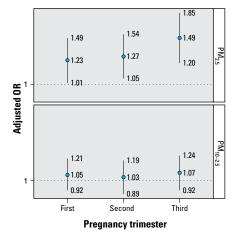


Figure 2. ORs for ASD with exposure to particulate matter during pregnancy trimesters. ORs are adjusted for child sex, year of birth, month of birth, maternal age at birth, paternal age at birth, and census income. The analyses are limited to nonmovers only (i.e., those for whom prepregnancy and postpregnancy addresses were the same). Cases, n = 160, controls n = 986.

These results generally agree with previous studies. A report from the CHildhood Autism Risks from Genetics and the Environment (CHARGE) study among 304 ASD cases and 259 controls, in several areas in California, used residential address history reported by parents to calculate distance to roads as a proxy for traffic-related air pollution exposure and found increased risk for ASD among women who lived in proximity to a freeway (Volk et al. 2011). Further analysis of the CHARGE study group in a subset of 279 cases and 245 controls using data from the U.S. EPA Air Quality System suggested positive associations of ASD with trafficrelated air pollution during pregnancy, and specifically with PM_{2.5} (Volk et al. 2013). ASD was also associated with pregnancy exposure to PM₁₀, and—in contrast to our results—the association with traffic-related air pollution exposure during the first year of life was higher than that found for the exposure during pregnancy. In the CHARGE study, associations were also seen with exposures in the year after birth that were about as strong as exposures during pregnancy. Our findings suggested a weaker association with postpregnancy exposure that was essentially null in models that included exposure during all time periods. In the CHARGE study, however, the pregnancy and postpregnancy exposure periods were not included together in the same regression model.

Another study, from Los Angeles (LA) County, used birth certificate address and ASD cases identified from the Department of Developmental Services in California (Becerra et al. 2013). Using exposure data from the nearest monitoring stations and from a land use regression model (Su et al. 2009), they found a positive association between PM_{2.5} exposure and autism (OR per 4.68 μg/m³ PM_{2.5} = 1.15; 95% CI: 1.06, 1.24 in a model of exposure over the entire pregnancy and also adjusted for ozone levels). There was not a consistent association with PM₁₀. The LA study included many more ASD cases than any of the other studies, so the effect estimate could represent a more stable estimate of the true effects of PM. Alternatively, differences in the composition of PM in the LA area could result in smaller effects. Other differences in study design could also have led to smaller effect sizes in the LA study. The case definition was a primary diagnosis of autistic disorder, the most severe among ASD diagnoses, and the association with PM could be preferentially with milder forms of ASD. Slightly more measurement error from using a nearest monitor exposure assignment approach or addresses from the birth certificate could have biased results toward the null. Smaller associations in that study could also have occurred if there was

under-ascertainment of cases among children of more highly exposed mothers. Lower socioeconomic status has been associated with under-ascertainment in ASD registries such as that used in the LA study (Kalkbrenner et al. 2012). Although estimates were not much different when the sample was stratified by education level, if residual socioeconomic differences were associated with PM_{2.5} exposures (lower socioeconomic status with higher PM_{2.5}) this could lead to bias toward the null because the controls included all birth certificates in the region. The importance of the environment in the development of ASD was recently implicated in a comparison of concordance rates between monozygotic and dizygotic twins that found that the shared environment accounted for 58% (95% CI: 30, 80%) of the broader autism phenotype (Hallmayer et al. 2011). In line with these findings, a comparison of sibling ASD recurrence risk in a different population revealed a much higher rate of ASD recurrence in halfsiblings with the same mother (2.4; 95% CI: 1.4, 4.1) compared with half-siblings with the same father (1.5; 95% CI: 0.7, 3.4) (Grønborg et al. 2013). This finding may be attributed either to maternal factors affecting the in utero environment or to common mitochondrial DNA.

Exposure to high levels of environmental toxicants during pregnancy might interfere with normal in utero processes of brain development, such as neurogenesis, cell proliferation, cell differentiation, and apoptosis (Rice and Barone 2000; Rodier 1995). PM_{2.5} and especially ultrafine particles (< 0.1 µm in diameter) were shown to penetrate the subcellular environment and to induce strong oxidative stress and mitochondrial damage in vitro (Li et al. 2003). These effects were associated with the organic carbon and polycyclic aromatic hydrocarbon contents of the particles (Li et al. 2003). In vivo studies in rodents have also shown that PM2.5 activates the stress axis, involves microglial activation, and causes production of pro-inflammatory cytokines in the brain (MohanKumar et al. 2008). In one study, increased mitochondrial DNA damage, possibly caused by reactive oxygen species, was found to be more common in 67 children with ASD than in 46 typically developing children (Napoli et al. 2013).

PM_{2.5} may alter the development of the neonatal immune system. In a study of 1,397 children in the Czech Republic, gestational exposures to PM_{2.5} and polycyclic aromatic hydrocarbons were associated with reduction in T cells and an increase in B lymphocytes in neonatal cord blood (Hertz-Picciotto et al. 2005). Early activation of the immune system and neuroinflammation have been found to be associated with ASD in humans (Atladóttir et al. 2010; Careaga et al. 2013; Depino

2013; Gadad et al. 2013; Libbey et al. 2005; Patterson 2011) and in animal models of autism (Gadad et al. 2013; Libbey et al. 2005; Patterson 2011), and this has been proposed as a possible mechanism by which environmental toxicants could increase the risk of ASD (Hertz-Picciotto et al. 2008). Furthermore, a recent transcriptomic comparison of postmortem brain tissues of individuals with ASD (n = 19) and controls (n = 17) taken from the Autism Tissue Project, the Harvard Brain Bank, and the MRC London Brain Bank for Neurodegenerative Disease, revealed involvement of genes related to synaptic and neuronal signaling dysfunction, and also microglial and immune dysregulation (Voineagu et al. 2011). The implicated genes related to synaptic and neuronal signaling dysfunction, compared with those related to immune changes, had more overlap with genes identified in genome-wide association studies (Voineagu et al. 2011). This suggests that expression of immunerelated genes in ASD may be driven more by environmental influences than underlying genetic differences.

These processes that could affect neurodevelopment are general in nature, so the question still would remain why there is an association specifically with ASD. However, the ASD phenotype is quite heterogeneous, and ASD can share features with other neurodevelopmental disorders (e.g., intellectual disability). There is some suggestion that exposures to components of air pollution can also affect neurodevelopment more generally (Perera et al. 2009; Suglia et al. 2008). Determining the range of phenotypic profiles associated with maternal PM exposure during pregnancy would be of interest in future studies. Another interesting direction for future research would be to determine whether the association between PM and ASD is different among children who have one or more siblings with ASD.

A limitation of our study is that we did not have the exact dates on which mothers changed addresses. Thirty-five percent of the nurses (both cases and controls) changed their residential address between the last questionnaire before pregnancy and the first questionnaire after delivery. However, we found statistically significant associations with pregnancy PM when the exposure for movers was based either on pre- or postpregnancy address. When we reduced exposure misclassification by analyzing the smaller sample of nonmovers, the association between ASD and PM2.5 was stronger. We also did not have information on how much time the nurses actually spent at their residential addresses, nor did we have information about their work addresses. Error from this source, however, would not affect the estimates of PM at the residential address and so would not create an association with

residential PM levels where an association with PM exposure did not exist. Information was also unavailable on indoor air pollution exposures and sources. In addition, ASD diagnoses in the study were based on parental reporting. As medically trained professionals, however, nurse mothers' reporting of ASD is likely to be reliable, a supposition supported by our validation study using the ADI-R.

Strengths of our study include the wide geographic distribution of the nurses and the nesting within a well-defined cohort, which reduces the likelihood of selection bias. In addition, the specificity of our findings for the pregnancy period places important limitations on possible residual confounding. Specifically, any factor that is not differentially related to PM during pregnancy versus before or after pregnancy is very unlikely to confound our results. Thus, for example, although population density, a choice to take folate supplements during pregnancy, or a host of other potential confounders (Gray et al. 2013; Kalkbrenner et al. 2012) may be related to PM2.5 exposure, they would be expected to be equally related to PM_{2.5} exposure before or after pregnancy as during it. But no association with them were seen in mutually adjusted models. In this way, PM_{2.5} exposure before and after pregnancy (because no association is seen with them in mutually adjusted models) acts as a negative control (Flanders et al. 2011; Lipsitch et al. 2010) and rules out confounding by many even unmeasured—potential confounders. We cannot, however, rule out another pollutant that co-varies with PM_{2.5}. Nor can we determine whether there is a particular component of PM_{2.5} that is responsible for the associations we found. PM_{2.5}, however, is a complex mixture that may be correlated with other air pollution constituents. In the present study we did not have high temporal and spatial resolution data on other air pollution constituents or on specific PM_{2.5} components to determine whether a specific component is associated with autism.

Conclusions

Our findings support the possibility of an effect of maternal exposure to air pollution during pregnancy, and especially during the third trimester, on the development of ASD in her child. The results suggest that air pollution is a modifiable risk factor for autism, and reduced exposure during pregnancy could lead to lower incidence of ASD and reduce the substantial, increasing economic burden of ASD on families and on society (Croen et al. 2006; Leslie and Martin 2007; Mandell et al. 2006; Raz et al. 2013; Shimabukuro et al. 2008). Understanding the biological mechanism that may underlie the association by which PM exposure and ASD could provide important insight to ASD pathogenesis.

REFERENCES

- Atladóttir HO, Thorsen P, Østergaard L, Schendel DE, Lemcke S, Abdallah M, et al. 2010. Maternal infection requiring hospitalization during pregnancy and autism spectrum disorders. J Autism Dev Disord 40:1423–1430; doi:10.1007/s10803-010-1006-y.
- Becerra TA, Wilhelm M, Olsen J, Cockburn M, Ritz B. 2013. Ambient Air Pollution and Autism in Los Angeles County, California. Environ Health Perspect 121:380–386; doi:10.1289/ehp.1205827.
- Careaga M, Hansen RL, Hertz-Piccotto I, Van de Water J, Ashwood P. 2013. Increased anti-phospholipid antibodies in autism spectrum disorders. Mediators Inflamm 2013:935608; doi:10.1155/2013/935608.
- Constantino JN, Davis SA, Todd RD, Schindler MK, Gross MM, Brophy SL, et al. 2003. Validation of a brief quantitative measure of autistic traits: comparison of the social responsiveness scale with the autism diagnostic interview-revised. J Autism Dev Disord 33:427–433.
- Constantino JN, Przybeck T, Friesen D, Todd RD. 2000. Reciprocal social behavior in children with and without pervasive developmental disorders. J Dev Behav Pediatr 21:2–11.
- Croen LA, Najjar DV, Ray GT, Lotspeich L, Bernal P. 2006. A comparison of health care utilization and costs of children with and without autism spectrum disorders in a large group-model health plan. Pediatrics 118:e1203—e1211; doi:10.1542/peds.2006-0127.
- Crump KS, Kjellström T, Shipp AM, Silvers A, Stewart A. 1998. Influence of prenatal mercury exposure upon scholastic and psychological test performance: benchmark analysis of a New Zealand cohort. Risk Anal 18:701–713.
- Depino AM. 2013. Peripheral and central inflammation in autism spectrum disorders. Mol Cell Neurosci 53:69–76; doi:10.1016/j.mcn.2012.10.003.
- Flanders WD, Klein M, Darrow LA, Strickland MJ, Sarnat SE, Sarnat JA, et al. 2011. A method for detection of residual confounding in time-series and other observational studies. Epidemiology 22:59-67; doi:10.1097/EDE.0b013e3181fdcabe.
- French LR, Bertone A, Hyde KL, Fombonne E. 2013. Epidemiology of autism spectrum disorders. In: The Neuroscience of Autism Spectrum Disorders (Buxbaum JD, Hof PR, eds). New York:Academic Press, 3–24.
- Gadad BS, Hewitson L, Young KA, German DC. 2013. Neuropathology and animal models of autism: genetic and environmental factors. Autism Res Treat 2013:731935; doi:10.1155/2013/731935.
- Grandjean P, Landrigan PJ. 2006. Developmental neurotoxicity of industrial chemicals. Lancet 368:2167–78; doi:10.1016/S0140-6736(06)69665-7.
- Gray SC, Edwards SE, Miranda ML. 2013. Race, socioeconomic status, and air pollution exposure in North Carolina. Env Res 126:152-8; doi:10.1016/j. envres.2013.06.005.
- Grønborg TK, Schendel DE, Parner ET. 2013. Recurrence of autism spectrum disorders in full- and halfsiblings and trends over time: a populationbased cohort study. JAMA Pediatr 167:947–953; doi:10.1001/jamapediatrics.2013.2259.
- Hallmayer J, Cleveland S, Torres A, Phillips J, Cohen B, Torigoe T, et al. 2011. Genetic heritability and shared environmental factors among twin pairs with autism. Arch Gen Psychiatry 68:1095–1102; doi:10.1001/ archgenpsychiatry.2011.76.
- Hertz-Picciotto I, Herr CEW, Yap PS, Dostál M, Shumway RH, Ashwood P, et al. 2005. Air pollution and lymphocyte phenotype proportions in cord

- blood. Environ Health Perspect 113:1391-1398; doi:10.1289/ehp.7610.
- Hertz-Picciotto I, Park HY, Dostal M, Kocan A, Trnovec T, Sram R. 2008. Prenatal exposures to persistent and non-persistent organic compounds and effects on immune system development. Basic Clin Pharmacol Toxicol 102:146–154; doi:10.1111/j.1742-7843.2007.00190.x.
- Kalkbrenner AE, Braun JM, Durkin MS, Maenner MJ, Cunniff C, Lee L, et al. 2012. Maternal smoking during pregnancy and the prevalence of autism spectrum disorders, using data from the autism and developmental disabilities monitoring network. Environ Health Perspect 120:1042–1048; doi:10.1289/ ehp.1104556.
- Leslie DL, Martin A. 2007. Health care expenditures associated with autism spectrum disorders. Arch Pediatr Adolesc Med 161:350–355; doi:10.1001/archpedi.161.4.350.
- Li N, Sioutas C, Cho A, Schmitz D, Misra C, Sempf J, et al. 2003. Ultrafine particulate pollutants induce oxidative stress and mitochondrial damage. Environ Health Perspect 111:455–460; doi:10.1289/ehp.6000.
- Libbey JE, Sweeten TL, McMahon WM, Fujinami RS. 2005. Autistic disorder and viral infections. J Neurovirol 11:1–10; doi:10.1080/13550280590900553.
- Lipsitch M, Tchetgen Tchetgen E, Cohen T. 2010. Negative controls: a tool for detecting confounding and bias in observational studies. Epidemiology 21:383–8; doi:10.1097/EDE.0b013e3181d61eeb.
- Lord C, Rutter M, Couteur A. 1994. Autism Diagnostic Interview-Revised: a revised version of a diagnostic interview for caregivers of individuals with possible pervasive developmental disorders. J Autism Dev Disord 24:659–685.
- Lyall K, Pauls DL, Spiegelman D, Santangelo SL, Ascherio A. 2012. Fertility therapies, infertility and autism spectrum disorders in the Nurses' Health Study II. Paediatr Perinat Epidemiol 26:361–372; doi:10.1111/j.1365-3016.2012.01294.x.
- Mandell DS, Cao J, Ittenbach R, Pinto-Martin J. 2006. Medicaid expenditures for children with autistic spectrum disorders: 1994 to 1999. J Autism Dev Disord 36:475–485; doi:10.1007/s10803-006-0088-z.
- McFadden K, Minshew NJ. 2013. Evidence for dysregulation of axonal growth and guidance in the etiology of ASD. Front Hum Neurosci 7:671; doi:10.3389/ fnhum.2013.00671.
- MohanKumar SMJ, Campbell A, Block M, Veronesi B. 2008. Particulate matter, oxidative stress and neurotoxicity. Neurotoxicology 29:479–488; doi:10.1016/j. neuro.2007.12.004.
- Napoli E, Wong S, Giulivi C. 2013. Evidence of reactive oxygen species-mediated damage to mitochondrial DNA in children with typical autism. Mol Autism 4:2; doi:10.1186/2040-2392-4-2.
- Patterson PH. 2011. Maternal infection and immune involvement in autism. Trends Mol Med 17:389–394; doi:10.1016/j.molmed.2011.03.001.
- Perera FP, Li Z, Whyatt R, Hoepner L, Wang S, Camann D, et al. 2009. Prenatal airborne polycyclic aromatic hydrocarbon exposure and child IQ at age 5 years. Pediatrics 124:e195–e202; doi:10.1542/peds.2008-3506.
- Quaak I, Brouns M, Van de Bor M. 2013. The dynamics of autism spectrum disorders: how neurotoxic compounds and neurotransmitters interact. Int J Environ Res Public Health 10:3384–3408; doi:10.3390/ijerph10083384.
- Raz R, Lerner-Geva L, Leon O, Chodick G, Gabis LV. 2013. A survey of out-of-pocket expenditures for children with autism spectrum disorder in Israel. J Autism Dev Disord 43(10):2295–2302; doi:10.1007/ s10803-013-1782-2.
- Rice D, Barone S Jr. 2000. Critical periods of

- vulnerability for the developing nervous system: evidence from humans and animal models. Environ Health Perspect 108:511–533.
- Roberts AL, Kristen L, Hart JE, Laden F, Just AC, Bobb JF, et al. 2013. Perinatal air pollutant exposures and autism spectrum disorder in the children of Nurses' Health Study II participants. Environ Health Perspect 121:978–984; doi:10.1289/ehp.1206187.
- Rodier PM. 1995. Developing brain as a target of toxicity. Environ Health Perspect 103(suppl 6):73–76.
- Shimabukuro TT, Grosse SD, Rice C. 2008. Medical expenditures for children with an autism spectrum disorder in a privately insured population. J Autism Dev Disord 38:546–552; doi:10.1007/s10803-007-0424-y.
- Solomon CG, Willett WC, Carey VJ, Rich-Edwards J, Hunter DJ, Colditz GA, et al. 1997. A prospective study of pregravid determinants of gestational diabetes mellitus. JAMA 278:1078–1083.
- Stillerman KP, Mattison DR, Giudice LC, Woodruff TJ. 2008. Environmental exposures and adverse pregnancy outcomes: a review of the science. Reprod Sci 15:631–650; doi:10.1177/1933719108322436.
- Stoner R, Chow ML, Boyle MP, Sunkin SM, Mouton PR,

- Roy S, et al. 2014. Patches of disorganization in the neocortex of children with autism. N Engl J Med 370:1209–1219; doi:10.1056/NEJMoa1307491.
- Su JG, Jerrett M, Beckerman B, Wilhelm M, Ghosh JK, Ritz B. 2009. Predicting traffic-related air pollution in Los Angeles using a distance decay regression selection strategy. Environ Res 109:657–670; doi:10.1016/j.envres.2009.06.001.
- Suglia SF, Gryparis A, Wright RO, Schwartz J, Wright RJ. 2008. Association of black carbon with cognition among children in a prospective birth cohort study. Am J Epidemiol 167:280–286; doi:10.1093/aie/kwm308.
- Voineagu I, Wang X, Johnston P, Lowe JK, Tian Y, Horvath S. 2011. Transcriptomic analysis of autistic brain reveals convergent molecular pathology. Nature 474:380–384; doi:10.1038/nature10110.
- Volk HE, Hertz-Picciotto I, Delwiche L, Lurmann F, McConnell R. 2011. Residential proximity to freeways and autism in the CHARGE study. Environ Health Perspect 119:873–877; doi:10.1289/ehp.1002835.
- Volk HE, Lurmann F, Penfold B, Hertz-Piccotto I, McConnell R. 2013. Traffic-related air pollution,

- particulate matter, and autism. JAMA Psychiatry 70:71–77; doi:10.1001/jamapsychiatry.2013.266.
- Windham GC, Zhang L, Gunier R, Croen LA, Grether JK. 2006. Autism spectrum disorders in relation to distribution of hazardous air pollutants in the San Francisco Bay Area. Environ Health Perspect 114:1438–1444; doi:10.1289/ehp.9120.
- Yanosky JD, Paciorek CJ, Laden F, Hart JE, Puett RC, Liao D, et al. 2014. Spatio-temporal modeling of particulate air pollution in the conterminous United States using geographic and meteorological predictors. Environ Health 13:63; doi:10.1186/1476-069X-13-63.
- Yanosky JD, Paciorek CJ, Schwartz J, Laden F, Puett R, Suh HH. 2008. Spatio-temporal modeling of chronic PM₁₀ exposure for the Nurses' Health Study. Atmos Env (1994) 42:4047–4062; doi:10.1016/j. atmosenv.2008.01.044.
- Yanosky JD, Paciorek CJ, Suh HH. 2009. Predicting chronic fine and coarse particulate exposures using spatiotemporal models for the Northeastern and Midwestern United States. Environ Health Perspect 117:522–529; doi:10.1289/ehp.11692.