



# Autoantibodies in neurological disease

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**Abstract** | The realization that autoantibodies can contribute to dysfunction of the brain has brought about a paradigm shift in neurological diseases over the past decade, offering up important novel diagnostic and therapeutic opportunities. Detection of specific autoantibodies to neuronal or glial targets has resulted in a better understanding of central nervous system autoimmunity and in the reclassification of some diseases previously thought to result from infectious, ‘idiopathic’ or psychogenic causes. The most prominent examples, such as aquaporin 4 autoantibodies in neuromyelitis optica or NMDAR autoantibodies in encephalitis, have stimulated an entire field of clinical and experimental studies on disease mechanisms and immunological abnormalities. Also, these findings inspired the search for additional autoantibodies, which has been very successful to date and has not yet reached its peak. This Review summarizes this rapid development at a point in time where preclinical studies have started delivering fundamental new data for mechanistic understanding, where new technologies are being introduced into this field, and — most importantly — where the first specifically tailored immunotherapeutic approaches are emerging.

## Neuromyelitis optica

(NMO). An autoimmune inflammatory disorder predominantly affecting the optic nerves and spinal cord, caused by autoantibodies to the water channel aquaporin 4 (AQP4).

## Neuropil

A dense unmyelinated fibre network of neuronal processes in the brain, containing synapses, axons and dendrites, and making up large parts of the brain’s grey matter.

Our increased understanding of the roles of autoantibodies in neurological disease has markedly changed clinical practice in neurology and psychiatry over the past decade. Autoantibodies have enabled the reclassification of diseases; for example, the discovery of antibodies targeting the water channel aquaporin 4 (AQP4) in patients with neuromyelitis optica (NMO) allowed NMO to be distinguished from multiple sclerosis<sup>1</sup>. The most obvious change to clinical practice from the pre-antibody era has been seen in patients with antibodies to brain neuropil, such as those seen in anti-*N*-methyl-*D*-aspartate receptor (NMDAR) encephalitis<sup>2</sup>. Previously, the often young patients presenting with new-onset psychosis, hyperkinesia, amnesia or vegetative dysfunction were often suspected of having drug-induced psychosis, malignant catatonia, encephalitis of unknown aetiology or encephalitis lethargica. However, we now routinely determine antibodies to the NMDAR to verify NMDAR encephalitis, which has become the most common autoimmune encephalitis. Most importantly, the ongoing discovery of antibodies targeting the surface of neuronal or glial cells has brought about important diagnostic and therapeutic opportunities, and in addition to antipsychotic medication, tranquilizers and psychotherapy, patients today receive immediate immunotherapy including B cell depletion and antibody removal<sup>3,4</sup>.

More than 25 new types of autoantibody have been described recently in patients with neurological disease. Several of these are turning out to be directly pathogenic and, thus, represent new clinical entities. Antibody discoveries are still ongoing, with fundamental implications

for our understanding of autoimmune diseases and for clinical decision-making. This Review therefore provides an overview of the relevant autoantibodies and their associated clinical syndromes, discusses the known and suspected factors leading to such humoral autoimmunity, describes the mechanisms of how antibodies cause disease and reviews evolving concepts in antibody-selective immunotherapy. The Review specifically mentions the expansion of antibody-mediated pathology into unexpected areas, such as neurodegeneration and brain development, where humoral autoimmunity may contribute in a subtle way to symptoms of memory, cognition and psychiatric dysfunction.

Growing interest in antibody-mediated neurological disease not only stems from the diagnostic and therapeutic implications. The availability of monospecific disease-defining target epitopes offers major opportunities to immunologists and neuroscientists, using these specific entities as models for understanding more general mechanisms of autoimmunity. The past decade of important clinical observations should now be followed by a decade of thorough basic science, addressing the emerging questions regarding immune checkpoint dysregulation, antibody pathogenicity, the homeostatic brain antibody repertoire and target-specific immunotherapy.

## Autoantibodies in neurological diseases

### *The expanding autoantibody spectrum*

Antibody-associated neurological diseases are characterized by a wide range of clinical symptoms, including memory impairment, behavioural abnormalities, seizures,

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**NMDAR encephalitis**  
The most common autoimmune encephalitis. Inflammation in the brain is caused by autoantibodies to the *N*-methyl-D-aspartate receptor (NMDAR) and can lead to psychosis, amnesia, epileptic seizures, abnormal movements and reduced levels of consciousness.

psychosis, movement disorders or vegetative dysfunction. Traditionally, over the past decade, antibodies in neurological diseases were referred to as malignancy-associated onconeural antibodies, that is, those binding to nuclear or cytoplasmic proteins such as Hu, Ma and Yo<sup>5</sup>. These neurological conditions are primarily mediated by cytotoxic T cells. By contrast, the present review focuses on the antibodies that are directly pathogenic after binding to conformational surface-expressed central

nervous system (CNS) antigens, such as NMDARs<sup>6</sup>,  $\gamma$ -aminobutyric acid (GABA) receptors<sup>7,8</sup>,  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptors<sup>9</sup>, metabotropic glutamate receptor type 5 (mGluR5)<sup>10</sup>, immunoglobulin-like cell adhesion molecule 5 (IgLON5)<sup>11</sup>, myelin oligodendrocyte glycoprotein (MOG)<sup>12</sup>, leucine-rich glioma-inactivated protein 1 (LGI1)<sup>13–15</sup> or CASPR2 (also known as CNTNAP2)<sup>16</sup> (TABLE 1).

**Table 1 | Surface protein-targeting CNS autoantibodies underlying antibody-mediated neurological diseases**

Antibody target	Discovery	Clinical syndromes/symptoms	Antibody pathogenicity	Disease models	Tumour association	Refs
AQP4	2005	NMOSD	Complement fixation, ADCC	Numerous: active immunization, passive transfer	–	1
NMDAR	2007	Encephalitis, psychosis, amnesia, behavioural abnormalities, seizures, dysautonomia	NMDAR internalization, NMDAR/EphB2R disruption	Passive transfer (i.th.), active immunization (holoreceptors)	Ovarian teratomas (20–40%)	2
LGI1	2010	Limbic encephalitis (seizures, cognitive impairment), faciobrachial dystonic seizures, neuromyotonia	Disruption of LGI1 with ADAM22/23 interaction	Passive transfer (i.th.)	<10% thymomas	184,185
CASPR2	2010	LGI1-like, neuromyotonia and Morvan's syndrome, neuropathic pain	Synaptic changes in gephyrin clusters	Passive transfer (i.p.)	<10% (but 40% thymomas in Morvan's syndrome)	184
Glycine receptor	2008	Encephalomyelitis, rigidity, myoclonus, seizures, stiff person syndrome	Receptor internalization, glycinergic currents abolished	Passive transfer (i.p.)	<10%, often thymomas, lymphoma	186
GABA <sub>A</sub> receptor	2014	Seizures, status epilepticus, psychosis	Synaptic GABA <sub>A</sub> receptors reduced	Passive transfer (i.th.)	<20%, thymomas, NHL, SCLC	7,45
GABA <sub>B</sub> receptor	2010	Limbic encephalitis, seizures, memory loss	Blocking the function of GABA <sub>B</sub> receptors	Passive transfer (i.th.)	~50%, often SCLC	8
AMPA receptor	2009	Limbic encephalitis, seizures, memory loss	AMPA receptor internalization	Passive transfer (i.th.)	~50%, SCLC, breast/ovarian cancer	93
mGluR5	2011	Confusion, psychosis, memory loss, limbic encephalitis	Neuronal membrane mGluR5 reduced	–	Often Hodgkin lymphoma	187
DPPX	2013	Confusion, hallucinations, prodromal diarrhoea, memory loss, hyperexcitability	Neuronal membrane DPPX/Kv4.2 reduced	–	<10%, lymphoma	188
D2R	2012	Parkinsonism, chorea, psychosis, dystonia	D2R internalization	–	–	189
IgLON5	2014	Parasomnia, sleep apnoea, cognitive impairment, gait abnormalities	Neuronal IgLON5 expression reduced	–	–	11
Neurexin 3 $\alpha$	2016	Clinical overlap with NMDAR encephalitis	Neuronal membrane neurexin 3 $\alpha$ reduced	–	–	98
mGluR1	2000	Cerebellar ataxia	Purkinje cell activity reduced	Passive transfer (i.th.)	Hodgkin lymphoma	116
MOG	2009	Optic neuritis, myelitis, ADEM	Altered axonal protein expression	Passive transfer (i.th.)	–	106
<b>Potential transient exposure to surface (during synaptic vesicle exocytosis)</b>						
Amphiphysin	1993	Stiff person syndrome, encephalomyelitis	Synaptic vesicle endocytosis disrupted	Passive transfer (systemic, i.th.)	>90%, breast cancer, SCLC	190
Septin 5	2018	Cerebellar syndrome, oscillopsia	–	–	–	30
Synapsin	2015	Limbic encephalitis, in multiple neuropsychiatric disorders	Intracellular synapsin aggregation, reduction of synaptic vesicles	–	–	191

ADCC, antibody-dependent cellular cytotoxicity; ADEM, acute disseminated encephalomyelitis; AMPA,  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid; AQP4, aquaporin 4; CNS, central nervous system; DPPX, dipeptidyl-peptidase-like protein 6; D2R, dopamine 2 receptor; GABA,  $\gamma$ -aminobutyric acid; IgLON5, immunoglobulin-like cell adhesion molecule 5; i.p., intraperitoneal; i.th., intrathecal; LGI1, leucine-rich glioma-inactivated 1; mGluR5, metabotropic glutamate receptor 5; MOG, myelin oligodendrocyte glycoprotein; NHL, non-Hodgkin lymphoma; NMDAR, *N*-methyl-D-aspartate receptor; NMOSD, neuromyelitis optica spectrum disorder; SCLC, small cell lung cancer.

Box 1 | Intrathecal antibody production

Although the majority of anti-neuronal antibodies are produced peripherally in the bone marrow, additional intrathecal immunoglobulin synthesis is a characteristic feature of most autoimmune encephalitides. Neuroimmunologists use different approaches to describe the antibody distribution between peripheral compartments and the central nervous system.

Serum to cerebrospinal fluid (CSF) ratio

The absolute antibody titres are almost always higher in serum than in CSF<sup>192</sup>, in particular in those antibody-mediated neurological diseases where CSF detection is not always performed, such as with myelin oligodendrocyte glycoprotein (MOG), leucine-rich glioma-inactivated 1 (LGI1) or aquaporin 4 (AQP4) antibodies. Very high serum to CSF ratios suggest that the majority of intrathecal antibodies result from IgG diffusion from serum into CSF.

Antibody index

The antibody-specific antibody index is calculated as the ratio between the CSF/serum quotient for a given IgG antibody (for example, *N*-methyl-D-aspartate receptor (NMDAR) antibody) and the CSF/serum quotient for total IgG. Values >4 are considered evidence of intrathecal antibody-specific IgG synthesis<sup>193</sup> and can be >700 in NMDAR encephalitis<sup>194</sup>. Intrathecal synthesis is also present in cases where no antibody is detected in blood, for example in ~15% of NMDAR encephalitis<sup>195</sup>.

Detection of antibody-producing cells

Direct proof for intrathecal IgG synthesis is the detection of antibody-specific antibody-producing cells in the CSF. The high resolution of single-cell cloning approaches even allows detection of antibody-specific antibody-producing cells in the CSF of patients with negative CSF antibody titres, for example shown for LGI1 encephalitis<sup>14</sup>, where 10% of patients have LGI1 antibodies in serum only, detected with clinical routine assays<sup>196</sup>.

The presence of antibodies targeting cell surface-expressed molecules in cerebrospinal fluid (CSF) or serum generally indicates certain clinical syndromes (BOX 1). A major step was the discovery that NMO, a relapsing disease with inflammatory demyelinating lesions in the spinal cord and optic nerve, is characterized by autoantibodies to AQP4 (REF.<sup>1</sup>). Shortly thereafter, the discovery of NMDAR-targeting antibodies that cause multistage encephalitis often manifesting with psychosis<sup>2,6</sup> demonstrated together with further antibodies that autoimmune encephalitides are at least as common as infectious encephalitides in northern hemisphere countries<sup>17,18</sup>. Many additional autoimmune encephalitides were subsequently described in the past decade, which were shown to differ with respect to the type of antibody, clinical syndrome and mode of antibody-mediated pathogenicity, as well as in other respects (TABLE 1). Some antibodies strongly indicate particular clinical presentations, such as new-onset psychosis, fever and movement disorder in young patients with NMDAR encephalitis<sup>19</sup> or faciobrachial dystonic seizures and hyponatraemia in older men with LGI1-specific antibodies<sup>20</sup>. Although this Review largely ignores acetylcholine receptor (AChR) autoantibodies in patients with myasthenia gravis because of their peripheral action at the neuromuscular junction, findings from functional antibody assessments<sup>21</sup> and animal models<sup>22</sup> as well as from treatment trials<sup>23</sup> in myasthenia gravis have suggested important research questions for the more recently identified antibody-mediated neurological diseases.

Interestingly, this new field has also reached veterinary medicine with obvious overlap, likely related to highly conserved receptor epitopes and similar mechanisms of immune function (and dysfunction) across species<sup>24</sup>.

For example, cats with LGI1-specific autoantibodies show complex orofacial movements<sup>25</sup> reminiscent of human patients with faciobrachial dystonic seizures<sup>20</sup>. Glial fibrillary acidic protein (GFAP)-binding antibodies can be detected in dogs with necrotizing meningoencephalitis<sup>26</sup>, a lethal canine equivalent to the human GFAP antibody-associated autoimmune meningoencephalitis<sup>27</sup>. Knut, the famous polar bear from the Berlin Zoo, drowned in 2011 following seizures due to the first known animal case of NMDAR encephalitis<sup>28</sup>; this raised public awareness of this new disease group in both humans and other mammals. Indeed, a high prevalence of NMDAR autoantibodies has since been seen in other mammals<sup>29</sup>. Time (and ongoing research) will tell whether these ‘natural’ autoimmune animal models can be helpful to better understand and treat human disease. For example, the finding of complement deposition in cats with LGI1 autoantibodies<sup>25</sup> may instruct the consideration of complement-depleting therapy also in human patients with LGI1 encephalitis.

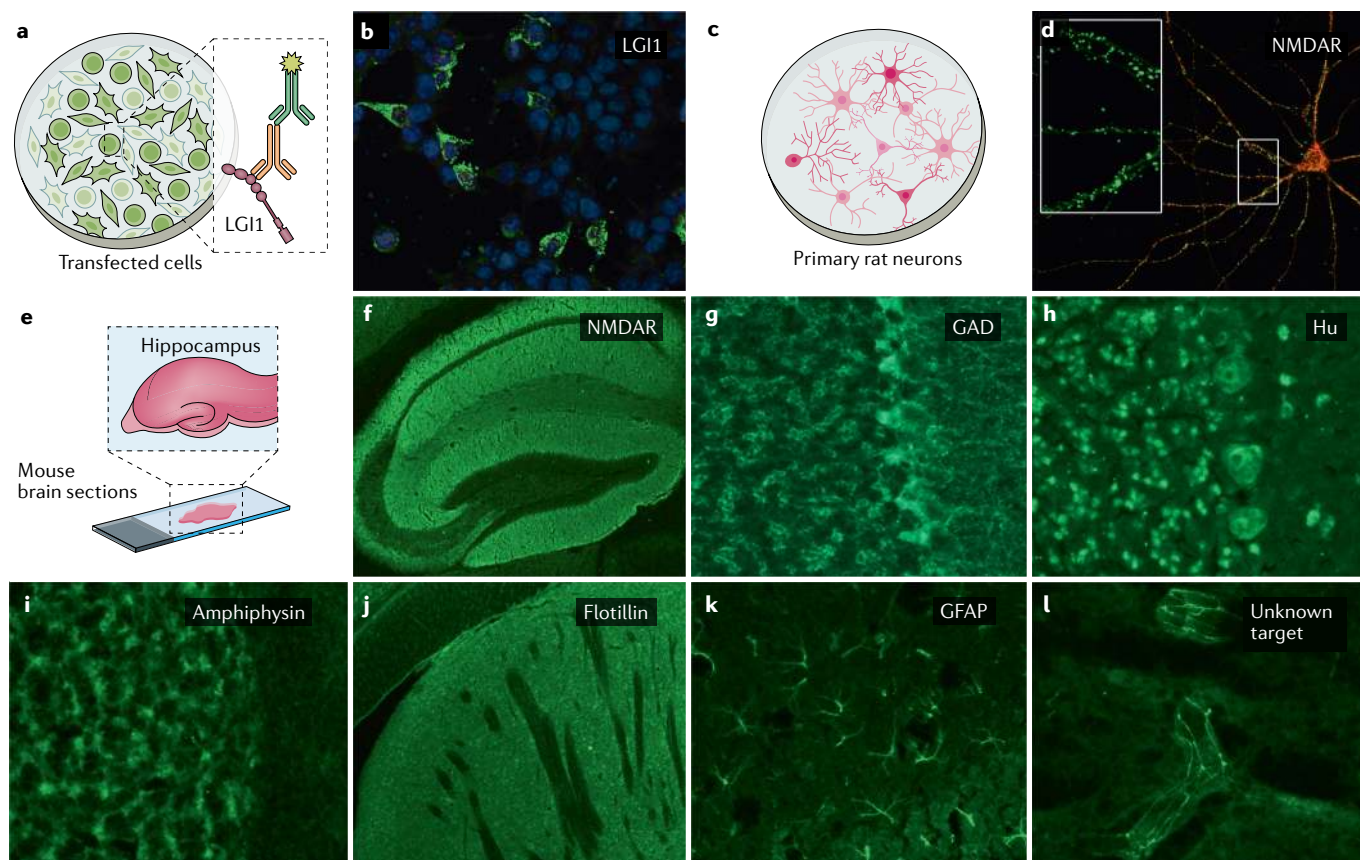
Discoveries with clinical implications

It is likely that more autoantibodies that are yet to be discovered are involved in neurological disease. The past 2–3 years alone have resulted in the identification of numerous novel targets, such as ATP1A3, CPT1C, flotillin 1 and flotillin 2, NBCE1 (also known as SLC4A4), RGS8, syntaxin 1B (STX1B), ROCK2, GIURD2 (also known as GRID2), PDE10A, AP3B2, neurochondrin, drebrin (DBN1) or septin 5 (REFS<sup>30–37</sup>). Most of these newly identified antigens are intracellular epitopes and often point to paraneoplastic neurological syndromes. Thus, the clinical relevance of these antibodies relates to their role as disease biomarkers rather than causing or aggravating disease. Although the recently discovered meningoencephalomyelitis-associated GFAP antibody also targets an intracellular protein, it stands out because of its relative commonness and frequent response to immunotherapy<sup>27,38</sup>. Discovery of these novel antigens was mostly done with immunoprecipitation and mass spectrometry, but advanced strategies are increasingly involved, such as phage display for the characterization of Kelch-like protein 11 (KLHL11) antibodies<sup>39</sup>.

Many new antibodies were immediately implemented in clinical algorithms owing to their high diagnostic yield and therapeutic implications. For most individual antibodies, the fixed cell-based assay has become the gold standard and is commercially available for clinical routine. For this assay, HEK293 cells are transfected to express the antigen of interest in the native conformation including mammalian post-translational modifications, and antibody binding is visualized with fluorescently labelled secondary antibodies (FIG. 1a,b). In research laboratories, anti-neuronal autoantibodies can be detected via binding to cultured primary rodent neurons (FIG. 1c,d). Alternatively, indirect immunofluorescence on rodent or monkey brain sections (‘tissue-based assay’) can indicate novel autoantibodies. In particular, the use of unfixed murine sections can detect autoreactivity to surface-expressed antigens, and the staining patterns and subcellular localization sometimes suggest the yet to be defined targets, some of which might soon become part of the clinical diagnostic panel (FIG. 1e–l).

**Faciobrachial dystonic seizures**  
Characteristic abnormal involuntary movements associated with leucine-rich glioma-inactivated protein 1 (LGI1) autoantibodies, typically as frequent, brief stereotyped seizures predominantly affecting one arm and the ipsilateral face.

**Paraneoplastic neurological syndromes**  
Diverse neurological abnormalities in the context of cancer, caused by cytotoxic T cells (and to a lesser degree by antibodies) cross-reacting with antigens in the nervous system that are ectopically expressed in the tumour.



**Fig. 1 | Diagnosing autoantibodies in neurological disease. a,b** | Cell-based assays show high sensitivity for defined autoantigens. Antigens of interest (here leucine-rich glioma-inactivated 1 (LGI1)) are expressed in HEK293 cells, which are fixed, incubated with a patient sample containing autoantibodies (orange) and visualized with fluorescently labelled secondary antibodies (green). **c,d** | Using the same labelling technique, live neurons can be incubated with a patient sample for detection of autoantibodies. Enlarged insert: synaptic clusters of bound *N*-methyl-D-aspartate

receptor (NMDAR) autoantibodies (green). **e-l** | Tissue-based assays using rodent brain sections detect autoantibody binding to a large variety of target epitopes on neurons, glia cells and endothelium. Examples include autoantibodies to NMDAR (parts **e,f**), GAD (part **g**), Hu protein (part **h**), amphiphysin (part **i**), flotillin (part **j**), glial fibrillary acidic protein (GFAP) (part **k**) and an as yet undefined antigen on catecholaminergic fibres around brain arteries in a patient with immunotherapy-responsive dementia (part **l**).

### Reasons for autoantibody development Defects in the immune checkpoints

The risk of developing anti-neuronal humoral autoimmunity relates to dysregulated central and peripheral negative selection of B cells, with potentially dysregulated mechanisms of tolerance including clonal deletion, B cell receptor (BCR) editing and anergy<sup>40,41</sup>. As an early step, immature B cells undergo these processes when their surface-expressed IgM recognizes a self-antigen in the bone marrow<sup>42</sup> (FIG. 2a,b). In patients with neuromyelitis optica spectrum disorder (NMOSD), elevated frequencies of autoreactive B cells that have recently emigrated from the bone marrow indicate dysfunctional central B cell tolerance<sup>43</sup>. Peripheral tolerance checkpoints, including those that occur during B cell maturation in the spleen and following antigen-mediated activation to enter the germinal centre, are only partly understood<sup>44</sup>. B cells clonally expand in the germinal centre, class switch, undergo affinity maturation and become memory B cells or plasma cells (FIG. 2h).

In patients with GABA<sub>A</sub> receptor encephalitis<sup>45,46</sup>, NMOSD<sup>43</sup> or LGI1 encephalitis<sup>14</sup>, memory B cells and

plasma cells show extensive somatic hypermutation, in line with the general evidence that germinal centres are a central site of autoreactive B cell activation<sup>47</sup>. By contrast, antibodies in patients with NMDAR encephalitis are frequently unmutated, pointing to disease-specific alterations<sup>48–50</sup>. Although ‘back-mutation’ of NMDAR autoantibodies to germline sequences preserved binding to the antigen<sup>49</sup>, reverting LGI1 and AQP4 antibodies to the germ line demonstrated loss of binding<sup>14,43</sup>. These findings suggest that somatic hypermutations are required and bring in *de novo* autoreactivity against LGI1 and AQP4 from non-autoreactive precursor B cells. Thus, post-germinal centre checkpoints, including apoptosis or BCR editing, may be dysfunctional in these patients. Although back-mutated AQP4 antibodies lost binding to AQP4, they were commonly polyreactive/self-reactive (non-AQP4), suggesting that they derived from the accumulating pool of autoreactive mature naive B cells resulting from defective tolerance. Ongoing work will have to clarify whether reverted LGI1 (or further neurological) autoantibodies show similar polyreactivity that would point to impaired B cell tolerance checkpoints.

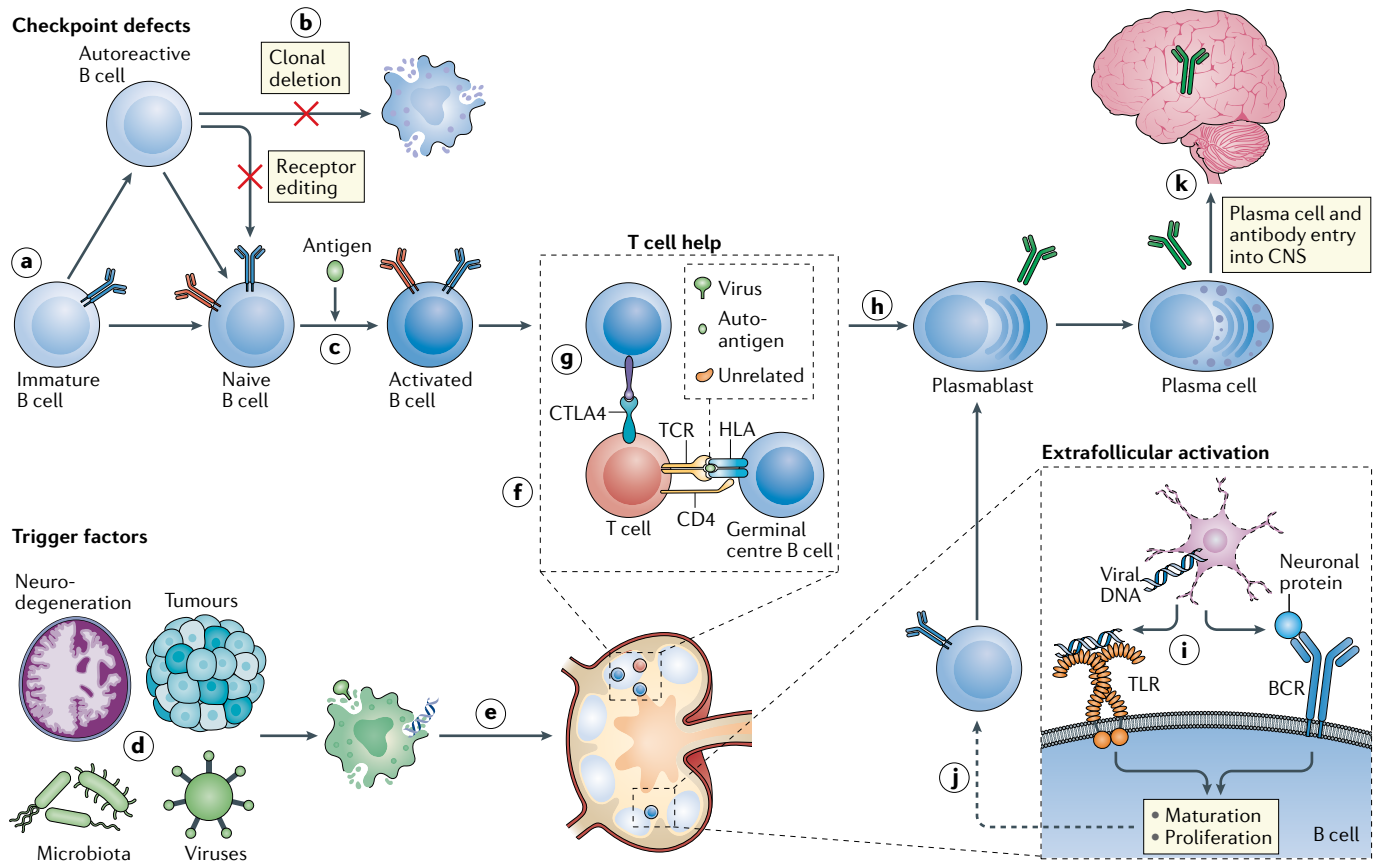
It is unclear whether already established genetic B cell checkpoint defects leading to primary immunodeficiency disorders can also underlie humoral anti-neuronal autoimmunity, given the — somewhat counterintuitive — overlap with autoimmunity<sup>51</sup>. Selected clinical cases point to a possible link between immune-mediated limbic encephalitis and immune deficiency<sup>52</sup>, but systematic research is needed to support a robust connection.

Finally, antibody-mediated neurological disease can occur as a rare adverse event during tumour therapy with anti-CTLA4 and anti-PD1/anti-PDL1 checkpoint inhibitors. Through the unleashing of T cells and stimulation of autoantibody production, checkpoint inhibitors can induce neurological autoimmunity (FIG. 2g), often with antibodies to Ma2, Hu, Yo, GABA<sub>b</sub> receptor or NMDAR<sup>53</sup>. Early administration of high-dose steroids or immunosuppression can lead to marked improvement. Symptoms can resemble typical paraneoplastic neurological disorders, making it difficult for clinicians to distinguish whether they result from the tumour or the checkpoint inhibitor treatment<sup>5</sup>.

**Tumour-associated and post-viral antibodies**

Ectopic expression of neuronal antigens in malignant tumours can trigger an antitumour immune response cross-reacting with the cognate CNS protein, often leading to devastating paraneoplastic neurological syndromes<sup>5,54</sup>. The most common forms include subacute sensory neuronopathy, paraneoplastic cerebellar degeneration and limbic encephalitis, and typical underlying malignancies are small cell lung cancer, breast cancer and ovarian cancer. The disease is mainly caused by cytotoxic T cells with consequent permanent tissue destruction. In a very similar (‘facultatively paraneoplastic’) way, antibody-mediated neurological disease can also arise from underlying tumours (FIG. 2d–f). A common association is NMDAR-expressing ovarian teratomas in 20–40% of women with NMDAR encephalitis<sup>6,55</sup>. Teratomas contained dense B cell and T cell infiltrates and dysplastic neurons expressing NMDARs, indicating peripheral initiation of the immune process<sup>56,57</sup>.

Viral infections are another reproducible trigger of antibody-mediated encephalitis. First observations



**Fig. 2 | Development of autoantibodies in neurological disease.** **a–c** | Emerging data suggest defective B cell tolerance checkpoints in several antibody-mediated neurological diseases, increasing autoreactive immature B cells (part **a**) that are not removed (part **b**) but can be activated and enter germinal centres (part **c**). **d, e** | Several established or suspected triggers related to tissue injury or infection (part **d**) lead to transport of autoantigen to lymph nodes (part **e**). **f** | Germinal centres form after stimulation of autoreactive B cells with their antigen together with T cell help. T cells might be activated by the same autoantigen, a viral antigen or yet to be determined unrelated antigens. **g** | Enhanced T cell activation by

immune checkpoint inhibitors is a recent trigger for antibody-mediated neurological disease. **h** | Affinity-matured and class-switched B cells become plasmablasts and secrete large amounts of autoantibodies. **i, j** | In a possible alternative route, autoreactive extrafollicular B cells recognize neuronal autoantigens via their B cell receptor (BCR) and are simultaneously stimulated via virus-induced B cell-intrinsic Toll-like receptor (TLR) activation, leading to maturation even without T cell help. **k** | In many autoantibody-mediated neurological diseases, plasma cells migrate into the brain and release large amounts of autoantibodies. CNS, central nervous system; TCR, T cell receptor.

## Box 2 | Genetic contribution to antibody-mediated diseases

Many autoimmune diseases show variably strong associations with human leukocyte antigen (HLA) haplotypes, suggesting an important genetic contribution<sup>197</sup>. First studies have shown that around 90% of patients with leucine-rich glioma-inactivated 1 (LGI1) antibodies are positive for HLA-DRB1\*07:01 (usually with DQB1\*02:02 and DRB4)<sup>198,199</sup>, whereas patients with CASPR2 antibodies have a clear over-representation of HLA-DRB1\*11:01 (REF.<sup>199</sup>). Immunoglobulin-like cell adhesion molecule 5 (IgLON5) antibodies strongly correlate with HLA-DRB1\*10:01–DQB1\*05:01, and patients show subtle clinical differences depending on the carrier status<sup>148</sup>. Patients with aquaporin 4 (AQP4) antibody-positive neuromyelitis optica had an association with DRB1\*03:01 (REF.<sup>200</sup>) or with DRB1\*16:02 in Japan<sup>201</sup>. *N*-Methyl-D-aspartate receptor (NMDAR) antibodies had a weak association with HLA-B\*07:02 in a European population<sup>198</sup> and with DRB1\*16:02 in a Chinese population<sup>202</sup>, potentially related to a less strong HLA association than the IgG4-mediated LGI1 and IgLON5 diseases. The genetic associations suggest an important role for restricted HLA-mediated T cell–B cell interactions underlying autoimmunity in patients with antibody-mediated diseases, who commonly have additional autoimmune diseases. Relationships between different clinical pictures and HLA profiles, the role of different ethnic origins and the contribution of environmental factors require further investigations with larger cohorts, but can eventually result in a better understanding of pathogenesis and the individual risk for antibody-mediated neurological diseases.

of frequent NMDAR autoantibodies in patients with herpes simplex encephalitis (HSE) led to the hypothesis of autoimmune-mediated clinical symptoms after HSE<sup>58</sup>. Prospective studies confirmed this hypothesis and determined a frequency of almost one-third of patients with HSE developing NMDAR encephalitis thereafter<sup>59,60</sup>. Experimental mice inoculated intranasally with HSV-1 developed serum NMDAR antibodies<sup>61</sup>. Autoimmunity can also involve autoantibodies to GABA<sub>A</sub>, AMPA, dopamine D2 receptors and as yet undefined antigens<sup>62</sup>, and underlying viruses seem to encompass many groups, such as Epstein–Barr virus, varicella-zoster virus, human herpesvirus 6, HIV, hepatitis viruses and Japanese encephalitis B virus. This suggests that virus-induced autoantibody generation could be a broad mechanism of pathology in autoimmune neurological disease<sup>63</sup>. It also includes the recent COVID-19 pandemic, where severely ill patients with neurological symptoms can have CSF autoantibodies to neuronal and astrocytic epitopes in the absence of SARS-CoV-2 in the brain<sup>64</sup>, but more investigation is needed to prove a causal relationship.

Several mechanisms may contribute to the loss of B cell tolerance and generation of autoantibodies to synaptic antigens in the context of viral infections, such as co-engagement of the BCR together with co-stimulatory signals from pathogen-associated molecular patterns. A possible scenario is the release of brain-restricted ‘neo-antigens’ (for example, NMDAR protein) after virus-induced tissue destruction and apoptosis (FIG. 2d,e). Virus molecules or debris antigens from necrotic tissue (such as nucleic acids) are co-presented to NMDAR antibody-specific B cells in local lymph nodes (FIG. 2i) and induce B cell-intrinsic Toll-like receptor (TLR) signalling, which together with BCR ligation may allow escape from tolerance<sup>44,65,66</sup> (FIG. 2j). Alternatively, B cells may simultaneously capture NMDAR protein and virus. Presenting viral proteins to virus-specific T cells could stimulate T cell help with consecutive B cell proliferation, class switch and antibody production (FIG. 2f),

as shown for MOG-specific B cells and influenza antigen, linking infection and autoimmunity<sup>67</sup>.

A role for molecular mimicry between viral and self-antigens has not been confirmed in antibody-mediated encephalitis, unlike in other autoimmune diseases<sup>68</sup>. It is also as yet unclear whether genetic susceptibility contributes to the risk of virus-induced autoimmune encephalitis, for example, via B cell-intrinsic TLR signalling, similar to inborn errors of innate immunity as genetic risk factors for HSE<sup>69</sup> and against the background of predominant HLA haplotypes in autoimmune encephalitis (BOX 2).

**Defective tolerance or naturally occurring autoantibodies?**

In most autoimmune diseases, non-autoreactive B cell precursors transform into B cells producing autoreactive antibodies through massive somatic hypermutation<sup>70</sup>. Therefore, it was all the more surprising that the first studies of CSF-derived NMDAR autoantibodies showed few or no hypermutations in the memory B cell and plasma cell pool<sup>48,50</sup>, but were of high affinity and already pathogenic in the germline configuration<sup>49</sup>. On the one hand, this antibody property in NMDAR encephalitis is reminiscent of autoimmune regulator (AIRE) deficiency, where high-affinity unmutated autoreactive antibodies are present already in the naive B cell compartment<sup>71</sup>. On the other hand — although speculative and requiring further research — germline antibodies may be comparable with ‘naturally occurring autoantibodies’, which are innate-like unmutated antibodies that serve as a first line of antibody-mediated defence independent of T cells and an adaptive immune response<sup>72</sup>.

There are several potential advantages for retaining strongly self-reactive antibodies in the repertoire. One hypothetical possibility is that there could be an important physiological role of naturally occurring antibodies to NMDAR; for instance, they may facilitate the clearance of NMDAR protein released from apoptotic neurons. This may be limited to a certain window, for example, during brain development or neurodegeneration. Such a functional role was recently suggested by the finding that NMDAR autoantibodies may act as endogenous NMDAR antagonists and reduce stress-induced depression and anxiety<sup>29</sup>; however, these findings await independent confirmation.

Alternatively, anti-neuronal natural antibodies might exert beneficial effects via cross-reactivity with abundant pathogens or tumour cells. The common association with certain viruses and ovarian teratomas in NMDAR encephalitis may support this hypothesis, which has been conceptually shown for other antibodies, such as self-reactive germline antibodies produced by IGHV4-34 B cells that bind both commensal bacteria and autoantigens<sup>73</sup>. Molecular mimicry between (as yet undefined) pathogens and NMDAR protein may lead to the maintenance of NMDAR-reactive B cells in the repertoire in an anergic state. In this way, such pathogens cannot escape from antibody responses as anergic B cells could be reactivated by undergoing clonal redemption, that is, mutating to increase their affinity for the foreign antigen by reducing self-recognition<sup>74</sup>.

### Role of T cells

Information on how T cells regulate autoantibody-producing B cells in antibody-mediated neurological diseases is scarce. The strong HLA association in some diseases (such as LGI1 or IgLON5) supports T cell-dependent pathways (BOX 2). Indeed, emerging data from patients with NMOSD suggest that the same antigen, AQP4, is recognized by T helper cells and by B cells<sup>75</sup>. T cell responses to AQP4 seem tightly regulated by central and peripheral tolerance, suggesting dysfunctional thymic deletion of autoreactive T cells may occur in NMO<sup>76</sup>. Injection of encephalitogenic AQP4-specific T cells into rats led to their CNS infiltration, indicating a role of AQP4-specific T cells for NMO<sup>77</sup>. The observation that the antibody response in NMDAR encephalitis requires continuous repopulation from germinal centre reactions<sup>56</sup> indicates the need for T cell help. T cell–B cell interaction may not take place in germinal centres in the lymph nodes or spleen but at extrafollicular sites, potentially also in ectopic lymph node-like follicles in the brain or ovarian teratomas<sup>78</sup>. On the other hand, the low number of NMDAR autoantibody hypermutations<sup>48,50</sup> may indicate extrafollicular B cell activation with T cell-independent B cell activation pathways, for example, via TLR engagement (BOX 3).

In contrast to NMOSD, the search for NMDAR-specific T helper cells in NMDAR encephalitis led to the unexpected observation that their number is significantly reduced and they were functionally altered, questioning the concept that NMDAR-specific T cells contribute to disease<sup>79</sup>. It is unclear, however, whether non-NMDAR-specific T cells are involved. One possibility is the above-described simultaneous capture of NMDAR and viral protein by B cells, which then receive help from virus-specific T cells. In another possible scenario, B cells internalize multimolecular complexes via the membrane-bound NMDAR antibody (BCR), and process and present non-NMDAR peptides to T helper cells. In this way, functionally complexed but structurally unrelated antigens (FIG. 2f) might contribute to NMDAR autoantibody generation, similar to findings in coeliac disease where exogenous T cell antigens (gluten) result in specific antibodies to the autoantigen transglutaminase 2 (TG2) by involvement of gluten–TG2 complexes after T cell help<sup>80</sup>. There, self-tolerance to TG2 is regulated by the absence of T cell help and not by B cell negative selection<sup>81</sup>.

#### Box 3 | Key open questions regarding antibody-mediated neurological disease

- What are the molecularly defined mechanisms of central tolerance dysfunction in antibody-mediated neurological diseases?
- What are the antigens of the as yet unspecified autoantibodies increasingly detected in the cerebrospinal fluid of patients with encephalitis or dementia?
- What is the exact role of T cells in antibody-mediated neurological diseases?
- Can selective central nervous system autoantibodies confer beneficial effects, such as reducing inflammation or supporting neuronal repair?
- What is the effect of maternally transferred autoantibodies in humans, how many further antibodies will be relevant and how strong is the long-term effect?
- Can ‘brain antibody-omics’ identify disease clusters and ‘smouldering’ humoral autoimmunity that is responsible for brain homeostasis and slowly progressing neurodegeneration?

T cells might further play a role in antibody-mediated neurological diseases by connecting the microbiome to humoral immune responses. T cells from NMOSD cross-reacted with a *Clostridium perfringens* ABC transporter<sup>75</sup>, and *C. perfringens* was enriched in the gut microbiota of patients with NMOSD<sup>82</sup>. No overabundance of certain bacterial taxa was observed in NMDAR encephalitis, indicating disease-specific effects<sup>83</sup>.

### Pathogenicity of autoantibodies

#### Principal disease mechanisms

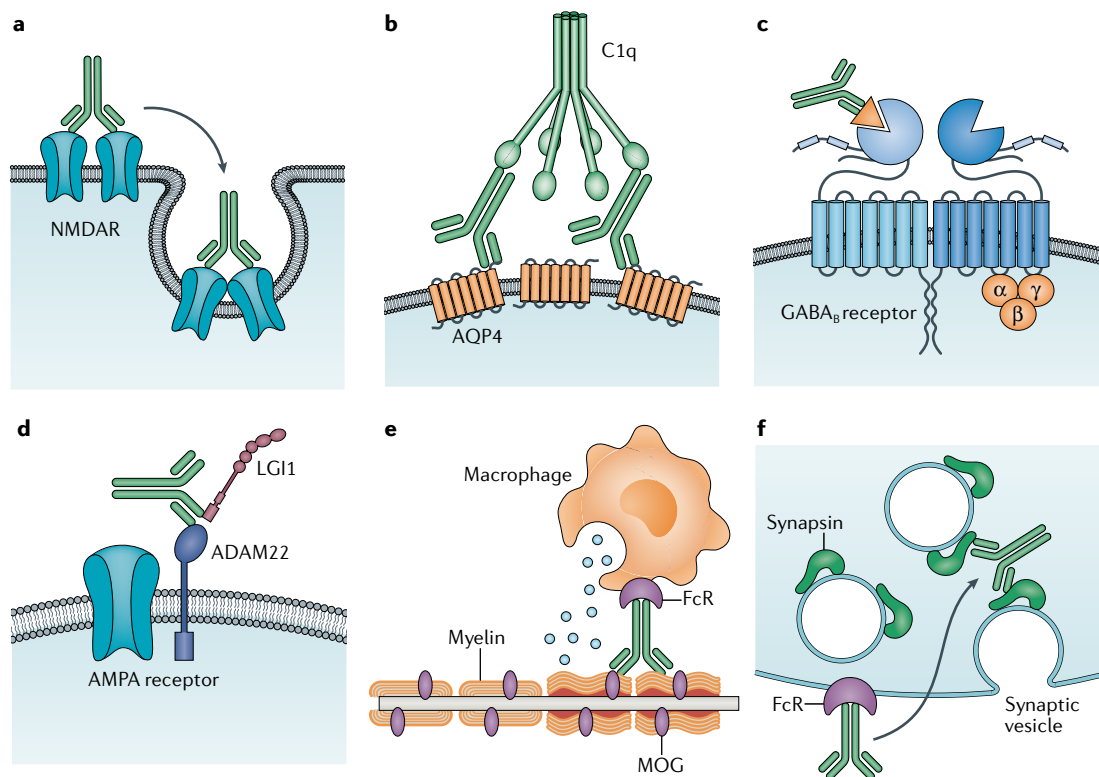
It has become clear that the clinical symptoms seen in patients with antibody-mediated neurological diseases not only relate to the distribution of the antibody's target protein. The variable clinical pictures associated with the same antibody suggest multiple contributing factors, which are being actively investigated. These include biophysical antibody properties, such as affinity or glycosylation patterns. Also, the local anatomical enrichment of B cells in the brain (BOX 1) may explain clinical differences, given that plasma cells can secrete thousands of antibody molecules per second, potentially resulting in high local gradients<sup>84</sup>. Several established pathogenic antibody mechanisms help explain the multifaceted clinical phenotype and will instruct novel treatment strategies, as discussed below.

An important advancement in the research of neurological autoantibody-mediated effects has been the single-cell assessment of humoral autoimmunity with the development of recombinant monoclonal human disease-specific autoantibodies, which are currently becoming an essential experimental component<sup>85</sup>. Monoclonal antibodies allow for the exact dosing of experimental conditions, the analysis of immunological mechanisms at single-cell level, exclusion of effects from other antibodies present in human samples, epitope mapping via crystallography, antibody engineering for improved microscopy and the development of novel therapies<sup>13,14,48–50,86–89</sup>.

#### Cross-linking and target receptor internalization

Cross-linking and target receptor internalization is common for antibodies to ionotropic ion channels. NMDAR autoantibodies led to rapid reduction of neuronal surface NMDARs owing to internalization<sup>90,91</sup> (FIG. 3a). In addition, single-molecule microscopy techniques demonstrated that disruption of NMDAR–EphB2 interactions led to loss of synaptic NMDAR localization<sup>92</sup>. Synaptic AMPA receptor clusters decreased after incubation with AMPA receptor antibodies, leading to reduction of AMPA receptor-mediated miniature excitatory post-synaptic currents<sup>93,94</sup>. Antibody-mediated internalization of glycine receptors disrupted glycinergic neurotransmission<sup>95,96</sup>, and GABA<sub>A</sub> receptor antibodies reduced the synaptic and extra-synaptic density of GABA<sub>A</sub> receptors<sup>97</sup>. Similarly, neurexin 3α antibodies caused reduction of neurexin 3α levels and the total number of synapses in primary neurons<sup>98</sup>, and patient-derived antibodies decreased surface-expressed IgLON5 leading to cytoskeletal changes in hippocampal neurons<sup>99,100</sup>.

**Complement activation.** Complement activation seems to be restricted to a few autoimmune encephalitides<sup>101</sup>. AQP4 antibodies activated the complement cascade by



**Fig. 3 | Different disease mechanisms by pathogenic autoantibodies.** **a** | *N*-methyl-D-aspartate receptor (NMDAR) autoantibodies lead to receptor cross-linking, internalization and degradation, thus reducing the number of NMDARs on the neuronal surface. **b** | Aquaporin 4 (AQP4) autoantibodies bind to clustered AQP4 and induce complement-dependent cytotoxicity. **c** | Binding of GABA<sub>B</sub> receptor autoantibodies directly block receptor signalling. **d** | Leucine-rich glioma-inactivated 1 (LGI1) autoantibodies induce neuronal dysfunction by interrupting the trans-synaptic binding of LGI1 to its postsynaptic receptor ADAM22 (and likewise ADAM23 at the presynaptic site; not shown). **e** | Myelin oligodendrocyte glycoprotein (MOG) autoantibodies target the myelin sheath of axons and induce FcR-mediated antibody-dependent cellular cytotoxicity. **f** | Selected autoantibodies, such as to synapsin, are internalized via neuronal FcRs and lead to target inactivation intracellularly. AMPA,  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid; GABA,  $\gamma$ -aminobutyric acid.

binding to AQP4 assembled in orthogonal arrays of particles and multivalent interaction of organized antibody clusters with the initial complement component C1q (REF.<sup>102</sup>) (FIG. 3b). Clinical effects of complement inhibition strongly support the role for pathogenesis<sup>103</sup>.

**Stimulatory or inhibitory effects on receptors.** Receptor stimulation or inhibition can mediate rapid antibody effects. GABA<sub>B</sub> receptor antibodies did not change the surface expression of synaptic receptors but blocked the function of the receptor<sup>8</sup> (FIG. 3c). Direct antagonistic actions on glycine receptors contribute also to the pathogenic mechanism of GlyR autoantibodies<sup>95</sup>. Patient samples containing DPPX antibodies caused an increase in action potential firing in preparations of gut nerve plexus<sup>104</sup>.

**Disrupted protein–protein interaction.** Antibody-mediated disruption of protein–protein interaction can interfere with normal neuronal function. LGI1 antibodies efficiently blocked the interaction of LGI1 with its receptors ADAM22 and ADAM23, disrupting the trans-synaptic ADAM22–ADAM23 protein complex with presynaptic and postsynaptic implications<sup>14,15</sup> (FIG. 3d). LGI1 (and CASRPR2) antibodies are frequently of the IgG4 class, that is, they do

not activate complement but block enzymatic activity or protein–protein interactions<sup>105</sup>.

**Antibody-dependent cellular cytotoxicity.** Antibody-dependent cellular cytotoxicity results from IgG-Fc binding to Fc $\gamma$ Rs on phagocytes and natural killer cells, which leads to degranulation and destruction of the antibody-bound target structure (FIG. 3e). MOG antibody-containing sera induced natural killer cell activation to surface-expressed MOG<sup>106</sup>. Also, pathological effects of AQP4 antibodies were eliminated by removing antibody-dependent cellular cytotoxicity effector function<sup>107</sup>.

**Blood–brain barrier integrity.** The integrity of the blood–brain barrier can be reduced in antibody-mediated neurological disease. Patients with NMO have antibodies in their serum that target GRP78 (glucose-regulated protein 78), which is expressed on brain microvascular endothelial cells, and they show decreased claudin 5 expression, which facilitates the transit of AQP4-specific IgG into the brain parenchyma and brain dysfunction<sup>108</sup>. Similar effects were observed with GRP78 autoantibodies in Lambert–Eaton myasthenic syndrome, suggesting that antibody-mediated disruption of the

#### Blood–brain barrier

The border between blood vessels and brain parenchyma, composed of a dense network of endothelial cells, pericytes and astrocytes. The intact blood–brain barrier prevents soluble molecules, such as (auto)antibodies, entering the brain.



blood–brain barrier may represent a broader principle of pathology<sup>109</sup>. It is tempting to speculate that GRP78 antibodies — which are common in patients with cancer<sup>110</sup> — may help drive the cognitive deficits seen in patients with cancer who have serum NMDAR autoantibodies of different isotypes<sup>111</sup>, and these autoantibodies may also be involved in other antibody-mediated diseases.

**Antibody uptake into neurons.** Only recently was the uptake of antibodies by neurons recognized as a mechanism to induce neuronal dysfunction with as yet limited experimental confirmation. Intrathecally injected amphiphysin-specific antibodies induced changes of vesicle-associated proteins in presynaptic nerve terminals<sup>112</sup>, which may relate to the transient extracellular exposure of amphiphysin during synaptic vesicle recycling<sup>113</sup>. Synapsin-specific antibodies inactivated their target after internalization by clathrin-dependent endocytosis into primary neurons<sup>114</sup> (FIG. 3f). FcRs are highly expressed in neurons and could similarly internalize tau-specific antibodies in a mouse model of Alzheimer disease<sup>115</sup>.

#### **Informative animal models**

Animal models of antibody-mediated neurological diseases are being developed in parallel with clinical discoveries. Passive immunization is ideal for analysing the pathogenic effects of a given autoantibody, including molecular synaptic changes and behavioural abnormalities. By contrast, active immunization models are needed to explain early steps in the immunological cascade and the role of other immune cells, and for preclinical testing of B cell-targeting immunotherapies.

Starting with first attempts using a single injection of CSF or purified serum IgG from patients, various passive transfer models have become available. Administration of mGluR1 antibodies into the subarachnoid space of mice resulted in transient ataxia<sup>116</sup>. In NMO, AQP4-IgG was initially administered into experimental autoimmune encephalomyelitis animals, leading to spinal cord inflammation and complement deposition, but little demyelination<sup>87</sup>. Passive immunization of AQP4 autoantibodies in rodents was also combined with complement injection or was targeted into the spinal cord, optic nerve or retina, resulting in better recapitulation of disease<sup>117</sup>. Passive intrathecal transfer of CSF or monoclonal antibodies from patients with NMDAR encephalitis resulted in decreased NMDAR density in the hippocampus, hypofunction in NMDAR-mediated synaptic transmission, behavioural changes compatible with human disease and a low threshold for seizures<sup>118–120</sup>. Abnormalities of memory and behaviour were reversible and paralleled normalization of synaptic NMDARs, and no complement deposition was detected. Transfer of human AMPA receptor autoantibodies into mice impaired long-term synaptic plasticity and affected learning and memory<sup>9</sup>. Intrathecal transfer of amphiphysin antibodies led to decreased presynaptic inhibition due to disturbed GABAergic inhibition<sup>113</sup>.

Further refined models used recombinant monoclonal human autoantibodies, allowing intrathecal administration of defined autoantibody concentrations<sup>85</sup>. A human NMDAR-specific monoclonal antibody decreased synaptic density and caused reversible

memory impairment<sup>50</sup>. Intraperitoneally injected hybridoma-derived monoclonal human NMDAR antibodies generated from the blood of a patient with NMDAR encephalitis resulted in increased wheel running activity<sup>121</sup> and intraperitoneal injection of a monoclonal AQP4 antibody caused CNS pathology<sup>122</sup>. Similarly, intrathecal administration of monoclonal human GABA<sub>A</sub> receptor antibodies resulted in severe catatonia and epileptic seizures in mice, paralleled by characteristic electrophysiological changes<sup>45</sup>.

Active immunization models are much scarcer. Immunization with conformationally stabilized NMDAR tetramers induced murine encephalitis mimicking core behavioural and pathologic aspects of the disease, such as seizures, behavioural changes and immune cell infiltration into the hippocampus<sup>123</sup>. Both antibody-producing cells releasing NMDAR autoantibodies and T cells were essential<sup>123</sup>. AQP4 antibody production was induced with active immunization in Lewis rats using AQP4 mimotopes, which mimic parts of the extracellular loops of AQP4 (REF.<sup>124</sup>). Some work was also done with T cell transfer models in which highly encephalitogenic AQP4<sub>268–285</sub>-specific T cells infiltrated the CNS and reproduced some clinical features of NMO<sup>77</sup>. Despite obvious progress in the development of informative animal models, further refinements are needed as most models cover only a portion of the clinical phenotype or disease mechanisms.

#### **Fetal brain development**

Pathogenic anti-neuronal autoantibodies may be highly relevant also for the developing brain during pregnancy, when the blood–brain barrier is not fully developed. Antibodies crossing into the brain may cause a range of neurodevelopmental abnormalities and neuropsychiatric diseases, such as autism and attention deficit hyperactivity disorder<sup>125</sup>. An example of materno-fetal autoantibody transfer is arthrogryposis multiplex congenita, a severe neuromuscular developmental disorder due to antibodies to the fetal AChR. Milder forms present with AChR inactivation syndrome<sup>126</sup>.

Based on data generated in mice, other groups found impaired cortical development due to antibodies to NR2B (also known as GRIN2B or GRIN2B) in murine models of maternal lupus<sup>127</sup> or developmental delay and behavioural abnormalities in the murine offspring after gestational treatment with human IgG from mothers who had a child with autism spectrum disorder (ASD)<sup>128</sup>. Another well-characterized anti-neuronal antibody, CASPR2, was identified in the mother of a child with autism and caused cortical plate thinning and an ASD-like phenotype in murine offspring<sup>129</sup>, similar to what is seen in genetic models of CASPR2 deficiency<sup>130</sup>. Similar synaptic and behavioural abnormalities in the offspring were seen after materno-fetal transfer of purified IgG from patients with CASPR2 antibodies<sup>131</sup>.

Given the high prevalence of NRI–NMDAR autoantibodies in blood samples of controls<sup>132</sup> and the developmental deficits induced by transient NMDAR blockage<sup>133</sup>, we recently analysed the effect of human NRI autoantibodies for brain development in a pregnant mouse model<sup>134</sup>. Indeed, monoclonal human NMDAR

autoantibodies administered to pregnant dams resulted in massive enrichment in the fetal brain, impaired neurodevelopmental reflexes and electrophysiological changes in the offspring. Behavioural abnormalities included hyperactivity, lower anxiety and impaired sensorimotor gating, and reduced brain volumes persisted into adulthood<sup>134</sup>. In support, assessment of women with NMDAR encephalitis during pregnancy and short-term follow-up of the babies demonstrated >50% preterm deliveries and occurrence of child death<sup>135</sup>. In a related pregnancy model, injection of NMDAR antibody-containing human IgG similarly resulted in profound synaptic, cortical and behavioural abnormalities, which, however, reversed during adulthood<sup>136</sup>.

The possibility that transient antibody exposure during brain development might lead to lifelong psychiatric morbidity has broad medical and ethical implications. Of importance, low or even sub-threshold titres of maternal autoantibodies (that is, those that appear negative in routine autoantibody assays) may accumulate in the fetal brain to levels sufficient for permanent synaptic dysfunction<sup>134</sup>. Although not yet supported by case series, materno-fetal antibody transfer and consequent neuropsychiatric disease might be preventable by immunotherapy. In addition, it would be an entirely new concept in psychiatry and neurology to treat healthy persons (asymptomatic pregnant mothers) in a preventive attempt for their children, which might be facilitated by the ongoing development of antibody-specific treatments.

### Autoantibodies in neurodegenerative diseases

#### Link between autoantibodies and neurodegeneration

Several well-established autoantibodies that define acute encephalopathies can occur in patients with slowly progressing cognitive decline or movement disorders mimicking classical neurodegenerative diseases. For example, encephalopathy caused by LGII antibodies can resemble the characteristic clinical picture of Alzheimer disease<sup>137</sup>, and antibodies specific for voltage-gated potassium channels can lead to suspected frontotemporal dementia<sup>138</sup> or unspecified 'reversible dementia'<sup>139</sup>. GFAP-specific antibodies have suspected involvement in Parkinson disease<sup>140</sup>, GABA<sub>B</sub> receptor-specific antibodies may cause symptoms mimicking amyotrophic lateral sclerosis (ALS)<sup>141</sup> and several cell surface-binding autoantibodies have been shown to phenocopy Creutzfeldt–Jakob disease<sup>142</sup>. In this first category, the symptoms are caused by autoantibodies, but the unusual clinical presentation mimicking a neurodegenerative disease regularly leads to delayed diagnosis and incorrect treatments.

The second category comprises autoantibodies in established, pathology-proven neurodegenerative diseases, which may develop secondary to neurodegeneration. The antibody's role awaits scientific clarification as to whether they are mere bystanders of a degenerative process or whether their pathogenic function can shape the disease. For example, in ALS there is a relatively strong inflammatory response including antibody deposition<sup>143</sup>, considered to contribute to disease progression and to additionally drive neurodegeneration<sup>144</sup>.

Mice deficient in the most common ALS-associated gene, C9orf72, show a strong autoimmune phenotype with increased B cell activation<sup>145,146</sup>. Also, patients with ALS can have well-characterized autoantibodies, such as to LRP4, which bind to neuronal surfaces<sup>147</sup>.

The third category is even more intriguing as the traditional border between autoimmunity and neurodegenerative diseases becomes blurry. Patients with IgLON5-specific autoantibodies suffer from a sleep disorder with abnormal movements and cognitive decline<sup>11</sup>. It was considered an autoimmune disease, given cytoskeletal changes and reduced expression of IgLON5 after experimental antibody incubation and clinical improvement with immunotherapy<sup>99,148,149</sup>. However, neuropathological studies revealed deposition of hyperphosphorylated tau protein characteristic for neurodegeneration, indicative of a new 'tauopathy'<sup>11</sup>. The example suggests a continuum between autoimmune encephalitis and autoimmune dementia, stimulating the fascinating — yet still controversial — idea that some autoantibodies may be primary drivers of neurodegeneration and that, vice versa, selective immunotherapy can delay, halt or even prevent a neurodegenerative disease. Along these lines, the potential causative role of autoantibodies after cerebral ischaemia for the development of post-stroke dementia<sup>150</sup> and the role of synaptic autoantibodies for cognitive impairment in patients with cancer awaits confirmation<sup>111</sup>.

#### Antibodies associated with neurodegenerative diseases

Recently, more and more autoantibodies to proteins involved in neurodegeneration have been isolated from the pool of naturally occurring antibodies in healthy subjects, with these studies aiming for the identification of antibodies that modify turnover of target proteins or inhibit fibre aggregation. The human  $\beta$ -amyloid antibody with potent clearing capabilities, aducanumab, showed promise in early clinical trials<sup>151</sup>. Although phase III trials were stopped prematurely<sup>152</sup>, the company intends to seek regulatory approval based on data reanalysis. The reduction of high-affinity antibodies to  $\alpha$ -synuclein in Parkinson disease in one study suggested a beneficial role for clearance of toxic proteins<sup>153</sup>. The inherent potential of this antibody source for the development of new treatments explains ongoing activity, such as the isolation of autoantibodies to hyperphosphorylated tau<sup>154</sup>, ALS-related misfolded SOD1 (REF.<sup>155</sup>) and  $\alpha$ -synuclein and/or  $\beta$ -amyloid<sup>156</sup>.

The common finding of low-level naturally occurring antibodies targeting neurodegenerative proteins suggest an interesting new concept, which may also be true for synaptic autoantigens. 'Smouldering' low-level antibodies might continuously modify synaptic proteins, prune ion channel expression or prevent protein aggregation. Although clearly pathogenic at the molecular level, such antibodies might not cause clinically detectable effects in isolation. For example, antibodies to synapsin or prion protein can modify their targets, but are detectable in a broader spectrum of seemingly unlinked clinical conditions as well as in some healthy controls<sup>114,157</sup>. Thus, in neurodegenerative diseases, humoral autoimmunity

#### Post-stroke dementia

Largely unexplained progressive cognitive decline affecting 30% of stroke survivors and being an important health issue.

A potential relation to anti-neuronal autoantibodies is under investigation.

may not be exclusively present or absent but, rather, subtly alter the progress of protein aggregation, misfolding and degeneration.

#### **A risk factor modulating brain disease?**

Given the above-mentioned effects of anti-neuronal autoantibodies on synaptic function and the possibility of smouldering humoral autoimmunity, then the prevalence of antibodies, their titres and duration in the CSF, certain antibody combinations and pathogenicity would together add a measurable risk to the development of neurological disease, reminiscent of a polygenic risk score or a metabolic profile. This hypothesis is supported by emerging concepts that disease is often not conferred by a single autoantibody but, rather, by a broader repertoire, such as demonstrated for GPCR-specific autoantibodies<sup>158</sup>. Individual serum autoantibody signatures can be stable over time regarding the number of reactivities and antigen specificity<sup>159</sup>.

It is highly appealing to work towards and confirm similar profiles for the autoantibody repertoire in the CSF. The antibody composition will have long-term influence on brain function and, vice versa, being influenced itself by the history of immunological events. Our current approach of cloning and determining specificity of single B cells and plasma cells in the CSF of patients with antibody-mediated neurological disease, patients with 'classical' neurodegenerative disorders and healthy subjects might generate distinct clusters of disease or brain state ('brain antibody-omics'). Together with anticipated technical innovations for the identification of 'difficult' 3D conformational epitopes, antibody profiling in neurodegenerative diseases might yield novel exciting developments regarding biomarkers, mechanistic pathological understanding and treatment targets.

#### **Emerging immunotherapy concepts**

##### **A powerful armamentarium for antibody reduction**

Immunotherapies in antibody-mediated neurological diseases are widely used (FIG. 4a) and must be rapidly administered to prevent persisting deficits, in particular of memory and behaviour<sup>160</sup>. Common first-line regimes consist of pulsed intravenous methylprednisolone plus therapeutic apheresis or intravenous immunoglobulins<sup>55</sup>. In particular, depleting anti-CD20 monoclonal antibodies, such as rituximab<sup>161,162</sup>, have become the mainstay in many neuroimmunological departments, and further anti-CD20 monoclonals are available, such as ofatumumab, ublituximab, obinutuzumab and rituximab's humanized version ocrelizumab<sup>163</sup>. Despite its efficacy, discontinuation may lead to relapses, indicating that B cell depletion cannot restore early immune checkpoints and that autoreactive B cells reoccur<sup>164</sup>.

The most recent developments include several promising immunotherapies for NMOSD. Three powerful studies have demonstrated clinical benefit with complement inactivation using eculizumab<sup>103</sup>, IL-6R inhibition using satralizumab<sup>165</sup> and B cell depletion with the anti-CD19 antibody inebilizumab<sup>166</sup>. The proteasome inhibitor bortezomib that induces plasma cell apoptosis showed beneficial effects in NMOSD and NMDAR encephalitis<sup>167,168</sup> and provided the rationale

for a currently recruiting multicentre placebo-controlled double-blinded clinical trial of bortezomib in patients with autoimmune encephalitis<sup>169</sup>. In a patient with refractory CASPR2 encephalitis and a patient with refractory NMDAR encephalitis, targeting CD38 with daratumumab resulted in a profound decline in autoantibody titres, suggesting another new option to deplete plasma cells<sup>170,171</sup>.

Numerous further immunotherapy approaches are in more experimental phases of the pipeline, such as inactivation of autoantibodies with bacterial enzymes that either cleave or enzymatically deglycosylate immunoglobulins<sup>172,173</sup>. Also, inhibition of the neonatal Fc receptor (FcRn) with efgartigimod or rozanolixizumab reduced IgG concentrations in phase II trials in patients with myasthenia gravis<sup>174,175</sup>. Experiences from rheumatological diseases might also translate into antibody-mediated neurological diseases, such as early work with a CD40L-targeting non-antibody protein that inhibited human B cell activation and plasma cell differentiation<sup>176</sup> or with anti-CD19/anti-CD20 chimeric antigen receptor (CAR) T cells<sup>177</sup>. Finally, the promising safety and efficacy data from autologous stem cell transplantation in multiple sclerosis<sup>178</sup> may inspire similar studies in carefully selected patients with neurological disease.

#### **Highly selective novel immunotherapies**

Although efficient immunotherapies have become available for antibody-mediated neurological disease, they are usually not selective for the isolated depletion of disease-driving antibodies, thus having relevant side effects from global immunosuppression. An exciting new development aims for genetically engineered antibody-specific immunotherapy sparing beneficial antibodies. Related to the concept of CAR T cells for haematological malignancies, chimeric autoantibody receptor (CAAR) T cells were recently introduced to target autoimmune B cells in the blistering skin disease pemphigus vulgaris<sup>179</sup>. CAAR T cells expressed the skin autoantigen desmoglein 3 as the extracellular domain instead of a CAR antibody fragment and depleted antigen-specific autoreactive B cells in vitro and in vivo<sup>179</sup>. Similarly, CAAR T cells expressing domains of the coagulation factor VIII termed BAR (B cell antibody receptor) specifically depleted neutralizing antibody-producing B cells in haemophilic mice<sup>180</sup>. It is obvious that this strategy should be developed also in neurological conditions, such as we do for NMDAR autoantibodies (FIG. 4b). CAAR T cells may persist and prevent recurrence of pathogenic B cells, ensuring long-term remission, but safety issues have to be answered before initiation of clinical trials, such as 'safety switches' inducing apoptosis.

Other experimental antibody-selective immunotherapies include 'aquaporin', an engineered high-affinity monoclonal AQP4 antibody without CDC/antibody-dependent cellular cytotoxicity effector functions, sterically blocking binding of lower-affinity polyclonal serum IgG<sup>181</sup> (FIG. 4c). An entirely different approach depleted antigen-specific long-lived plasma cells in a murine model secreting ovalbumin (OVA) antibodies using an anti-CD138 antibody conjugated

#### **'Brain antibody-omics'**

A term coined by the author that underlies the (yet to be proven) hypothesis that autoantibodies are present also in the healthy brain, and that their composition and fluctuations can contribute to several brain diseases including dementia.

#### **Conformational epitopes**

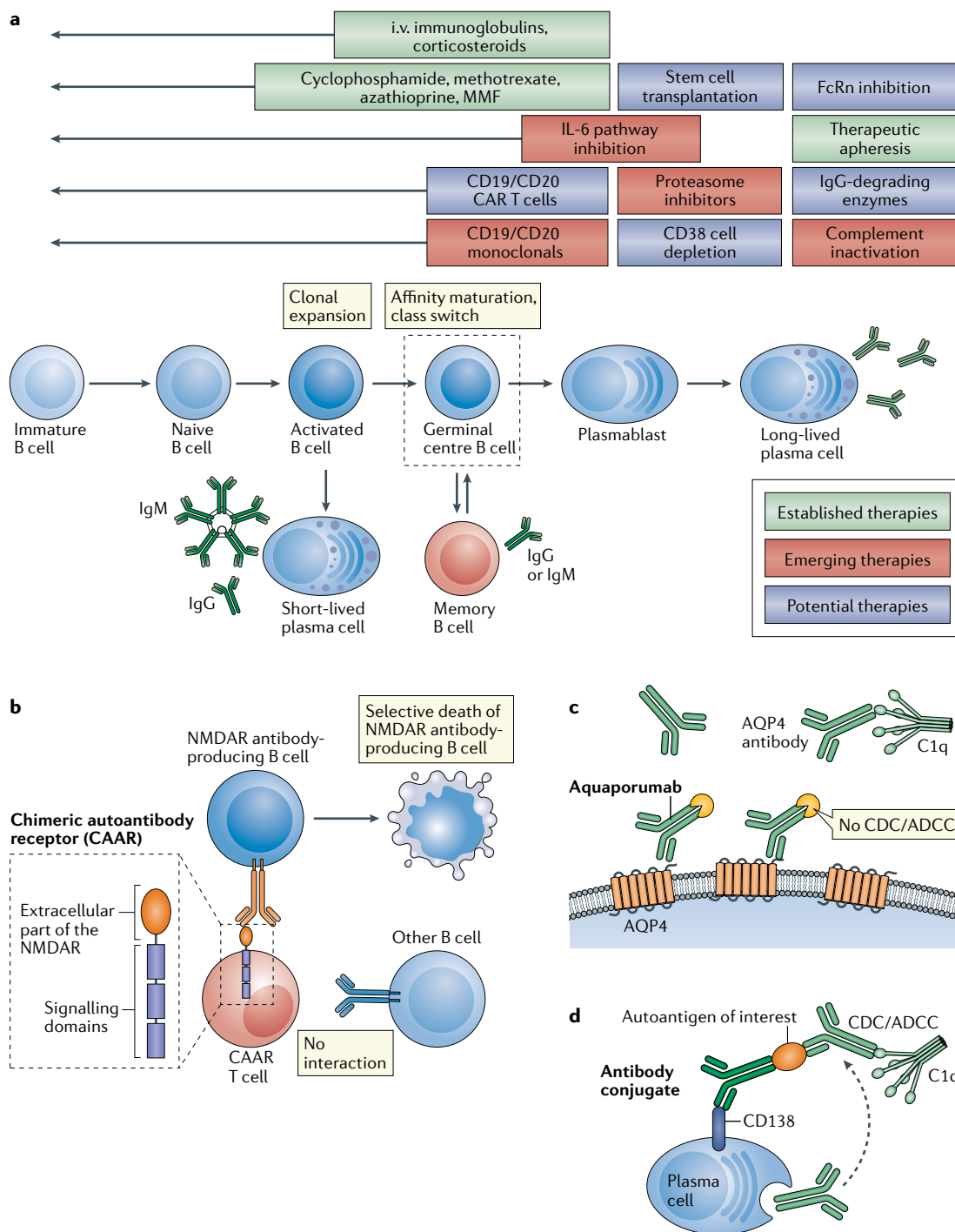
Structures composed of different parts of a protein, in contrast to linear epitopes composed of continuous amino acids in a line. Many pathogenic anti-neuronal autoantibodies bind only conformational epitopes.

#### **Therapeutic apheresis**

Extracorporeal elimination of antibodies in autoimmune diseases, either with plasma exchange (blood plasma is removed and replaced) or immunoabsorption (antibodies removed with an adsorber column and blood reinfused).

with OVA<sup>182</sup> (FIG. 4d). If successful also with a human autoantigen, the principle could be amenable to diverse autoantigens. In the remote future, restoring immune

tolerance via antigen-specific ‘tolerization’ might be an innovative approach to suppress antibody-mediated neurological diseases<sup>183</sup>.



**Fig. 4 | Innovative immunotherapies in neurological antibody-mediated diseases. a** | A large variety of treatment approaches are currently available (green, red) or in clinical development (blue), focusing on different targets along the B cell lineage. **b** | An exciting new route is the development of highly antibody-selective immunotherapies. Chimeric autoantibody receptor (CAAR) T cells are engineered to detect and deplete B cells monospecific for a given autoantibody, such as *N*-methyl-D-aspartate receptor (NMDAR) autoantibodies, via extracellular presentation of the target autoantigen. **c** | The non-pathogenic aquaporin 4 (AQP4)-selective antibody ‘aquaporumab’ lacks complement-dependent cytotoxicity (CDC)/antibody-dependent cellular cytotoxicity (ADCC) effector functions and can outcompete pathogenic AQP4 patient antibodies. **d** | In an experimental approach using anti-CD138 antibodies conjugated to an autoantigen, binding of pathogenic autoantibodies may induce CDC/ADCC-mediated depletion of long-lived plasma cells. CAR, chimeric antigen receptor; FcRn, neonatal Fc receptor; i.v., intravenous.

**Conclusion**

Antibody-mediated neurological diseases are a rapidly growing group of variable clinical entities with multifaceted manifestation and often profound response to treatment. The underlying autoantibodies directly confer pathogenicity by targeting single ion channels or receptors responsible for brain function. The diverse mechanisms of disease include antibody-mediated receptor internalization, complement activation, disrupted protein–protein interaction and signalling. The far-reaching clinical and scientific implications relate to emerging evidence that humoral autoimmunity participates in a much larger spectrum of neurological diseases than previously thought, ranging from encephalitis and psychosis to movement disorders, neurodegenerative diseases and neurodevelopmental abnormalities

during pregnancy. Future research should now clarify the underlying molecular mechanisms of both neurological pathology and immunological dysfunction, including the role of incomplete B cell checkpoints, altered BCR repertoires, B cell homing, T cell help, affinity maturation and the homeostatic antibody repertoire in the brain. New technologies will have to be included, such as adaptive immune receptor repertoire sequencing or high-throughput identification of conformational antigen epitopes. Given the defined monospecific targets, antibody-mediated neurological diseases might be the perfect models for innovative future antigen-specific treatments that could avoid the serious adverse effects seen with chronic immunosuppressive agents.

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**Competing interests**

The author declares no competing interests.

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