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1 **Review of Autophagy-related Approaches for Improving Nutrient Use Efficiency and**  
2 **Crop Yield Protection**

3 Tamar Avin-Wittenberg<sup>1</sup>, Frantisek Baluška<sup>2</sup>, Peter V. Bozhkov<sup>3</sup>, Pernilla H. Elander<sup>3</sup>,  
4 Alisdair R. Fernie<sup>4</sup>, Gad Galili<sup>5</sup>, Ammar Hassan<sup>2</sup>, Daniel Hofius<sup>6</sup>, Erika Isono<sup>7</sup>, Romain  
5 Le Bars<sup>8</sup>, Céline Masclaux-Daubresse<sup>9</sup>, Elena A. Minina<sup>2</sup>, Hadas Peled-Zehavi<sup>5</sup>, Núria  
6 Sánchez-Coll<sup>10</sup>, Luisa M. Sandalio<sup>11</sup>, Béatrice Satiat-Jeunemaitre<sup>8</sup>, Agnieszka Sirko<sup>12</sup>,  
7 Pilar S. Testillano<sup>13</sup>, Henri Batoko<sup>14¶</sup>

8

9 <sup>1</sup>Department of Plant and Environmental Sciences, Alexander Silberman Institute of Life  
10 Sciences, Hebrew University of Jerusalem, Givat Ram, Jerusalem 9190401, Israel

11 <sup>2</sup>Institute of Cellular and Molecular Botany, University of Bonn, Kirschallee 1  
12 D-53115 Bonn, Germany

13 <sup>3</sup>Department of Molecular Sciences, Uppsala BioCenter, Swedish University of  
14 Agricultural Sciences and Linnean Center for Plant Biology, PO Box 7015, SE-75007  
15 Uppsala, Sweden

16 <sup>4</sup>Max-Planck-Institute of Molecular Plant Physiology, Am Mühlenberg 1, 14476  
17 Potsdam-Golm, Germany

18 <sup>5</sup>Department of Plant and Environmental Sciences, Weizmann Institute of Science,  
19 Rehovot 76100 Israel

20 <sup>6</sup>Department of Plant Biology, Uppsala BioCenter, Swedish University of Agricultural  
21 Sciences and Linnean Center of Plant Biology, SE-75007 Uppsala, Sweden

22 <sup>7</sup>Department of Biology, University of Konstanz, Universitätsstrasse 10, 78464 Konstanz,  
23 Germany

24 <sup>8</sup>Cell Biology Pôle Imagerie-Gif, Institute of Integrative Biology of the Cell (I2BC), CEA,  
25 CNRS, Univ. Paris-Sud, Université Paris-Saclay, 91 198, Gif-sur-Yvette, France.

26 <sup>9</sup>INRA-AgroParisTech, Institut Jean-Pierre Bourgin, UMR1318, ERL CNRS 3559, Saclay  
27 Plant Sciences, Versailles, France

28 <sup>10</sup>Centre for Research in Agricultural Genomics (CSIC-IRTA-UAB-UB), Bellaterra-  
29 Cerdanyola del Valles 08193, Catalonia, Spain

30 <sup>11</sup>Departamento de Bioquímica, Biología Celular y Molecular de Plantas Experimental del  
31 Zaidín, CSIC, 18008 Granada, Spain

32 <sup>12</sup>Institute of Biochemistry and Biophysics Polish Academy of Sciences, ul. Pawinskiego  
33 5A, 02-106 Warsaw, Poland

34 <sup>13</sup>Pollen Biotechnology of Crop Plants group, Centro de Investigaciones Biológicas,  
35 Biological Research Centre (CIB), C.S.I.C., Ramiro de Maeztu, 9. 28040 Madrid, Spain

36 <sup>14</sup>Université catholique de Louvain, Institute of Life Sciences, Croix du Sud 4, L7.07.14,  
37 1348 Louvain-la-Neuve, Belgium

38 <sup>†</sup>Corresponding author: henri.batoko@uclouvain.be

39

## 40 **Abstract**

41 Autophagy is a eukaryotic catabolic pathway essential for growth and development. In  
42 plants, it is activated in response to environmental cues or developmental stimuli. However,  
43 in contrast to other eukaryotic systems, we know comparatively little mechanistically,  
44 regarding the regulation of this important and complex pathway, or the full complement of  
45 the molecular players involved in it. In the framework of the COST (European Cooperation  
46 in Science and Technology) action TRANSAUTOPHAGY (2016-2020), we decided to  
47 review our current knowledge of autophagy responses in vascular plants, with emphasis on  
48 knowledge gaps. We also assess here the potential of translating the acquired knowledge  
49 to improve crop plant growth and development in a context of growing societal and  
50 environmental challenges for agriculture in the near future.

51

## 52 **Introduction**

53 During the life span of a eukaryotic cell, a catabolic pathway known as autophagy degrades  
54 dysfunctional or unnecessary cellular components as a way of recycling macromolecules'  
55 building blocks and ensuring cellular homeostasis (Klionsky *et al.*, 2016). In essence,  
56 autophagy consists in the translocation of cytoplasmic components (cargo) into the vacuole  
57 (yeast and plant) or the lysosome (animal) and their subsequent degradation (Li and  
58 Vierstra, 2012). In plants, autophagy is a central regulator of fitness, longevity and

59 fecundity, as well as a major housekeeping mechanism underpinning plant tolerance to  
60 various biotic and abiotic stresses (Minina *et al.*, 2018). Plant cells decrease their  
61 dependency on external sources of nutrients by recycling their contents *via* autophagy  
62 (Guiboileau *et al.*, 2013; Minina *et al.*, 2013b). Furthermore, autophagy increases viability  
63 of cells under stress conditions by a quick removal of damaged macromolecules and  
64 organelles (Bassham *et al.*, 2006; Li and Vierstra, 2012; Michaeli *et al.*, 2016), modulation  
65 of immune response and targeting of virulence factors or entire pathogens (Hafren *et al.*,  
66 2017; Haxim *et al.*, 2017; Lenz *et al.*, 2011). Thus, autophagy defines important  
67 agricultural traits, i.e. tolerance to macro-nutrient depletion, drought, heat, oxidative and  
68 salt stress, as well as immune response to pathogen infection. Although most of the  
69 research so far has been performed in the model plant *Arabidopsis thaliana*, the  
70 involvement of autophagy in a variety of agricultural traits generates great interest in the  
71 development of tools for efficient modulation of autophagy in plants. In this manuscript,  
72 we will review the current knowledge regarding autophagy in plants, its functional  
73 mechanisms and physiological roles and highlight possible uses for autophagy  
74 manipulation as potential enhancers of plant yield and tolerance.

75

## 76 **Types of autophagy in plants**

77 Autophagy can be generically distinguished into microautophagy and macroautophagy  
78 (Galluzzi *et al.*, 2017). Other variants of autophagy such as chaperone-mediated autophagy  
79 (CMA) (Kaushik and Cuervo, 2012), secretory autophagy (Ponpuak *et al.*, 2015) in  
80 mammalian cells, and cytoplasm-to-vacuole transport (CVT) in yeast (Reggiori *et al.*,  
81 2004) are cell type-specific, and have not been described so far in plant cells. Both  
82 microautophagy and macroautophagy can be selective or non-selective in plants.  
83 Microautophagy is characterized by a direct invagination of the tonoplast (vacuolar  
84 membrane) to take up the cellular components to be degraded. A well-described example  
85 in plants is the functional accumulation of anthocyanins through microautophagy-derived  
86 inclusion bodies in the plant vacuole (Chanoca *et al.*, 2015). Anthocyanins are a diverse  
87 family of flavonoid pigments synthesized in the cytoplasm, stored in the vacuole, acting as  
88 antioxidants and involved in plant tissues responses to environmental cues. These pigments

89 are stored in the vacuole as densely packed 3-10  $\mu\text{m}$  vesicles generated through a  
90 microautophagy process (Chanoca *et al.*, 2015). The molecular mechanisms of membrane  
91 dynamics driving microautophagy are not well understood in plants, but seem not to require  
92 any of the gene products involved in macroautophagy. Macroautophagy (hereafter  
93 autophagy) is characterized by the *de novo* formation of a double membrane organelle, the  
94 autophagosome, wrapping defined cytoplasmic components for degradation. The  
95 initiation, elongation, maturation and fusion of the autophagosome with the vacuole is  
96 marshalled by a conserved set of proteins encoded by autophagy-related (*ATG*) genes  
97 (Tsukada and Ohsumi, 1993). Notably, plant-specific autophagic pathways as defined by  
98 the cargo type do exist. A well-described example is chlorophagy or the autophagic  
99 degradation of whole chloroplasts (up to 5-10  $\mu\text{m}$  in size, mean volume of 20  $\mu\text{m}^3$ )  
100 damaged by UV light (Izumi *et al.*, 2017). The molecular mechanism and the complement  
101 of ATG proteins involved in the formation of these uncommonly large autophagosomes  
102 may be specific to the plant kingdom.

103

#### 104 **Core autophagy complexes and their regulation in plants**

105 Autophagy is a tightly regulated cellular response, which can be activated rapidly and  
106 transiently in eukaryotic cells. The formation of the autophagosome is a complex, dynamic,  
107 and stepwise process resulting in the engulfment of cytoplasmic material and its  
108 translocation to the vacuole. The molecular machinery that executes and regulates  
109 autophagy was first characterized in yeast (Tsukada and Ohsumi, 1993). About half of the  
110 more than 36 *ATG* genes characterized to date encode core autophagy proteins, and appear  
111 to be well conserved in most studied multicellular organisms, including plants (Galluzzi *et*  
112 *al.*, 2017; Klionsky *et al.*, 2016). Autophagy is a powerful catabolic process that needs to  
113 be quickly fine-tuned to fit the temporary requirements of cells under variable conditions.  
114 In animal cells, autophagy seems to oscillate with astonishingly high frequency (Nazio *et*  
115 *al.*, 2016). To prevent unwanted autophagic activity, most of the ATG proteins are  
116 synthesized in an inactive form and require activation by post-translational modification  
117 and recruitment into complexes (Ohsumi, 2014). Activation of autophagy is regulated by  
118 sensors of cellular nutrient state (Liu and Bassham, 2010) and stress (Minina *et al.*, 2013a;  
119 Wang *et al.*, 2015a; Yang *et al.*, 2016). The pre-autophagosomal membrane or phagophore

120 is initiated in response to a given internal or external cellular stimulus, then elongates and  
121 enwraps the cytoplasmic cargo. The closed phagophore matures into an autophagosome,  
122 and then fuses with the vacuole/lysosome. Each of the mentioned steps is under the control  
123 of specific autophagy complexes made of core autophagy proteins, whose assembly,  
124 subsequent subcellular localization and activity are directly or indirectly regulated by stress  
125 signaling pathways. Four main complexes are known to be required for autophagosome  
126 initiation and formation, namely the ATG1 complex, the VPS34 complex, the ATG9  
127 complex, and the ATG8 conjugation systems (Figure 1).

128 The ATG1 complex is thought to be essential in transmitting stress signals to the site where  
129 the autophagosome will be formed, most likely at an organelle contact site involving the  
130 endoplasmic reticulum (ER) (Antonioli *et al.*, 2017; He and Klionsky, 2009; Nascimbeni  
131 *et al.*, 2017). In yeast and mammalian cells, the ATG1 complex is a trimeric heterocomplex  
132 made of a catalytic subunit (ATG1/ULK, a serine/threonine kinase), regulatory subunits  
133 (ATG13 and ATG101), and scaffold subunits (ATG11 or ATG17 in yeast, and  
134 FIP200/RB1CC1 RB1 inducible coiled-coil 1 in animals) (Galluzzi *et al.*, 2017). The  
135 structure, function and regulation of the ATG1 complex are not well understood in plants.  
136 The Arabidopsis genome, for example, encodes three full-length ATG1 proteins (ATG1a,  
137 locus AT3G61960; ATG1b, locus AT3G53960; ATG1c, locus AT2G37840), and a C-  
138 terminus truncated ATG1 variant called ATG1t (locus AT1G49180) (Suttangkakul *et al.*,  
139 2011), whose function is not yet clear. The Arabidopsis genome also encodes two  
140 functional ATG13 homologues, ATG13a and ATG13b, and a single ATG101  
141 (Suttangkakul *et al.*, 2011). Intriguingly, no functional or structural homologue of  
142 ATG17/FIP200 has been found yet in deciphered plant genomes. A potentially bifunctional  
143 protein containing structural domains related to yeast ATG11 and ATG17 is present in  
144 plants. In Arabidopsis, this protein was dubbed an ATG11 homologue since it is required  
145 for selective degradation of mitochondria *via* autophagy (Li *et al.*, 2014). However,  
146 whether the plant ATG11-related protein acts as a scaffold protein within a *bona fide* ATG1  
147 complex remains to be clarified. If the plant ATG11-related protein functions only in  
148 selective autophagy, the plant scaffold protein required for non-selective autophagy is still  
149 to be identified.

150 The clustering and activation of the ATG1 complex at the phagophore initiates the  
151 recruitment of other autophagy complexes and in particular the class III VPS34 complex.  
152 The class III VPS34 complex involved in autophagy contains the catalytic subunit  
153 PI3kinase (PI3K), the regulatory subunits ATG6/Beclin-1 and ATG14, and the scaffold  
154 subunit VPS15. As compared to other multicellular organisms, plants have the peculiarity  
155 of expressing a single and essential PI3K of the class III type. The structure of the VPS34  
156 complex involved in autophagy is not known in plants. Remarkably, ATG14 is absent in  
157 the plant lineage. Yeast ATG14 and its functional homologues in other eukaryotic systems  
158 only share resemblance at their N-terminal coil-coiled domain (~200 first amino acids),  
159 whereas the C-terminus of these proteins appearing highly divergent (Itakura *et al.*, 2008).  
160 ATG14 is known to determine the localization of the VPS34 complex, and to be required  
161 for both basal and induced autophagy in yeast and animals (Diao *et al.*, 2015; Fan *et al.*,  
162 2011). Phosphorylation of ATG14 by the ATG1 kinase activates the catalytic activity of  
163 PI3K, which catalyzes the production of the membrane lipid PI3P (phosphatidylinositol-3-  
164 phosphate) essential for phagophore initiation and expansion (Baskaran *et al.*, 2014).  
165 Whether a functional counterpart of ATG14 exists in plants awaits experimental evidence.

166 The phagophore initiation and expansion requires input of specific lipids and proteins. The  
167 membrane source of these materials is still under debate, but they are more likely channeled  
168 to the site of autophagosome formation through ATG9-containing vesicles (Abada and  
169 Elazar, 2014; Karanasios *et al.*, 2016). ATG9 is the only transmembrane protein among all  
170 known ATG proteins (Reggiori *et al.*, 2004). The heterodimer complex ATG2-ATG18  
171 regulates the polytopic ATG9 vesicle-mediated cycling and tethering to and from the  
172 growing phagophore. Although plants seem to encode single ATG9 and ATG2  
173 homologues, a diversified multigenic family encodes the PI3P-binding ATG18-related  
174 proteins (up to 8 in Arabidopsis as compared to 1 in yeast and 4 in mammals). The resting  
175 cellular localization of ATG9 and the full complement of its interacting partners during  
176 autophagy-dependent membrane dynamics are not yet understood in plants.

177 The phagophore membrane expansion also requires the recruitment of lipidated ATG8-  
178 related protein. Soluble ubiquitin-like ATG8 becomes membrane-anchored through  
179 conjugation to the membrane lipid PE (phosphatidylethanolamine). This modification  
180 occurs through an ubiquitylation-like cascade regulated by the protease ATG4, the E1

181 activating enzyme ATG7, the E2 conjugating enzyme ATG3, and the E3 ligase complex  
182 comprising ATG5/ATG12/ATG16 (Pengo *et al.*, 2017; Sanchez-Wandelmer *et al.*, 2017).  
183 Apart from *bona fide* ATG16, whose plant orthologue has not yet been characterized, all  
184 the other components of this cascade are expressed and active in plants. ATG8-related  
185 members are relatively more diversified in plants, with some C-terminally truncated  
186 isoforms that are unique to plants (Bassham *et al.*, 2006; Li *et al.*, 2016a).

187 Autophagy is regulated at many steps through post-translational modification (PTM) such  
188 as ubiquitylation, phosphorylation, acetylation, glycosylation and lipidation of ATG  
189 proteins. Many ATG proteins in other organisms were shown to undergo complex and  
190 multi-layered regulation through PTM. ATG proteins can be differently modified at  
191 multiple sites whereby one type of PTM can depend on another modification. An example  
192 is the phosphorylation-dependent ubiquitylation that leads to degradation of target  
193 proteins (Lin *et al.*, 2002). By acting as a degradation signal, ubiquitylation not only  
194 regulate cargo of selective autophagy, but also the autophagy machinery itself. The  
195 abundance of mammalian ATG1/ULK1, ATG6/VPS30/beclin-1 (BECN1) and ATG12, for  
196 example, were reported to be regulated by ubiquitylation. ULK1 controls the autophagic  
197 flux together with ATG13 and is ubiquitylated by the E3 ligase NEDD4L (Nazio *et al.*,  
198 2016) making NEDD4L a negative regulator of autophagy. BECN1, a positive regulator of  
199 autophagy induction, is a target of multiple E3 ligases (Shi and Kehrl, 2010; Xia *et al.*,  
200 2013; Xu *et al.*, 2014). By removing the ubiquitin chains, deubiquitylating enzymes such  
201 as USP10, USP13, USP14 and USP19 can counteract the E3 ligase activity and rescue  
202 BECN1 from degradation, and thus act as positive regulators of autophagy (Jin *et al.*, 2016;  
203 Liu *et al.*, 2011; Xu *et al.*, 2016). These recent studies reveal molecular details of a tight  
204 regulation of autophagic activities through PTM. Since not all of these regulators are  
205 conserved in plants, whether and how PTM regulates plant ATG proteins awaits intensive  
206 future studies.

207 Maturation and fusion of the autophagosome with the lytic compartment involves vectorial  
208 movement of the matured autophagosome toward the vacuole in plants, and specific  
209 tethering of the autophagosome to the tonoplast. F-actin nucleating and branching ARP2/3  
210 complex was shown in yeast to be associated to the autophagosome (Reggiori *et al.*, 2005).  
211 In mammalian cells, WASP homolog associated with actin, membranes and microtubules



212 (WHAMM), WAS protein family homolog (WASH) and junction-mediating and  
213 regulatory protein (JMY) were reported to regulate autophagy (Coutts and La Thangue,  
214 2015; Kast *et al.*, 2015; King *et al.*, 2013; Xia *et al.*, 2013; Zavodszky *et al.*, 2014). In plants,  
215 only the WASP family verprolin (WAVE) homologous complex has been shown to be  
216 involved in autophagosome movement within the cytoplasm (Wang *et al.*, 2016a). One of  
217 the WAVE subunits, NAP1, changes its localization from the cytoplasm to ER membrane  
218 under mechanical stress (Wang *et al.*, 2016a). This localization change triggers the ARP2/3  
219 dependent F-actin nucleation on the phagophore, which is important for its expansion and  
220 ultimately for the maturation of the autophagosome (Wang *et al.*, 2017a; Wang *et al.*,  
221 2016a). Loss-of-function *nap1* mutant Arabidopsis seedlings (lacking a functional WAVE  
222 complex) form less autophagosomes and are more sensitive to salt and nitrogen-deficiency  
223 stresses (Wang *et al.*, 2017a; Wang *et al.*, 2016a).

224

## 225 **Selective autophagy in plants**

226 Autophagy was initially considered a bulk, non-selective process. It later became evident  
227 that autophagy selectively degrades diverse cellular cargoes under various conditions  
228 (Anding and Baehrecke, 2017; Li and Vierstra, 2012; Michaeli *et al.*, 2016; Veljanovski  
229 and Batoko, 2014; Yang and Bassham, 2015). Selective autophagy typically utilizes cargo  
230 receptors that directly or indirectly bind specific cargo, and tether it to the forming  
231 autophagosome through interaction with core autophagy proteins (mainly ATG8) (Farre  
232 and Subramani, 2016; Kellner *et al.*, 2017; Zaffagnini and Martens, 2016). In mammals,  
233 multiple cargo receptors were identified, including p62/ SQSTM1 and NBR1 that were  
234 implicated in the selective autophagy of protein aggregates and organelles (Anding and  
235 Baehrecke, 2017; Zaffagnini and Martens, 2016). p62 and NBR1 bind both ubiquitin and  
236 the mammalian ATG8 homologue, LC3, thus docking ubiquitinated substrates to the  
237 autophagosome. Arabidopsis NBR1 and its tobacco homologue JOKA2 are functional  
238 hybrids of mammalian p62 and NBR1, capable of binding ATG8 and ubiquitin. Both were  
239 shown to play a role in nutrient deficiency and abiotic stress tolerance (Hafren *et al.*, 2017;  
240 Svenning *et al.*, 2011; Zhou *et al.*, 2013; Zhou *et al.*, 2014a; Zientara-Rytter *et al.*, 2011).

241 A fascinating cross talk between the major cellular degradation pathways, autophagy and

242 the proteasome, was uncovered with the discovery of both non-selective (starvation-  
243 induced) and selective autophagy mediated degradation of the 26S proteasome in  
244 Arabidopsis (Marshall *et al.*, 2015). While the proteasome subunit RPN10 was previously  
245 shown to facilitate the recognition of ubiquitinated targets, Marshall *et al.* found that it can  
246 also bind ATG8 (Marshall *et al.*, 2015). They further demonstrated that RPN10 is needed  
247 for inhibition-induced selective degradation of inactive 26S proteasome complexes  
248 (proteaphagy), suggesting a role for RPN10 as an autophagy cargo receptor. Intriguingly,  
249 in a recent study, mammalian p62 was shown to mediate selective starvation-induced  
250 autophagosomal uptake of proteasomes (Cohen-Kaplan *et al.*, 2016; Marshall *et al.*, 2016).  
251 Whether NBR1 or other plant cargo receptors have similar functions awaits further  
252 research.

253 Recent studies have demonstrated the selective degradation of peroxisomes by autophagy  
254 (Pexophagy). Peroxisomes are highly dynamic organelles, housing oxidative metabolic  
255 pathways, such as photorespiration and fatty acid  $\beta$ -oxidation, produce reactive oxygen  
256 species and contain important antioxidative components (Sandalio and Romero-Puertas,  
257 2015). During seedling establishment, in a light-dependent manner, there is a functional  
258 transition from glyoxysomes, peroxisomes present in seeds and harboring the glyoxylate  
259 cycle and  $\beta$ -oxidation, to leaf type peroxisomes, containing photorespiration enzymes.  
260 Recent evidence shows that pexophagy takes place during this metabolic remodeling  
261 combined with peroxisomal protease LON2 activity (Young and Bartel, 2016). Pexophagy  
262 also mediates the turnover of peroxisomes damaged by  $H_2O_2$  accumulation in old tissues,  
263 , under favorable and stress conditions, regulating the quality and number of peroxisomes  
264 (Shibata *et al.*, 2014; Shibata *et al.*, 2013). Pexophagy occurs at a higher rate in green  
265 tissues and appears to be more marked than other types of selective autophagy due to the  
266 highly oxidative peroxisomal metabolism (Yoshimoto *et al.*, 2014). Pexophagy is aided by  
267 autophagy receptors, although the plant autophagy receptor/adaptor protein linking ATG8  
268 to damaged peroxisomes has not been identified. Some evidence, though controversial,  
269 suggests the involvement of NBR1 (Zhou *et al.*, 2013). Recently, 9 peroxines (PEXs,  
270 peroxisomal membrane proteins) have been identified as possible ATG8 binding proteins,  
271 two of which, AtPEX6 and AtPEX10, were shown to interact with ATG8 by bimolecular  
272 fluorescence complementation (BiFC) (Xie *et al.*, 2016). The signal involved in triggering

273 pexophagy has not yet been identified, although oxidized catalase has, controversially,  
274 been proposed as a possible candidate (Shibata *et al.*, 2013).

275 Chloroplasts represent an interesting case study for selective autophagy in plants, as they  
276 have unique turnover demands due to the photosynthetic electron chain and its oxidative  
277 byproducts. In addition, chloroplasts are the major nitrogen reservoir in mesophyll cells  
278 and thus important for nutrient recycling (Ishida *et al.*, 2014). Early studies suggested that  
279 the vacuole plays a role in chloroplast recycling (Minamikawa *et al.*, 2001; Wittenbach *et*  
280 *al.*, 1982) and autophagy-related and -unrelated pathways were later implicated in the  
281 degradation of chloroplast components (chlorophagy) (Ishida *et al.*, 2014; Izumi *et al.*,  
282 2017; Martinez *et al.*, 2008; Michaeli *et al.*, 2014; Wang and Blumwald, 2014; Wang *et*  
283 *al.*, 2013; Xie *et al.*, 2016). Two types of vesicles, Rubisco containing bodies (RCBs) and  
284 ATG8-interacting protein1 (ATI1) bodies, were shown to participate in chlorophagy and  
285 are induced during senescence and abiotic stresses (Chiba *et al.*, 2003; Dong and Chen,  
286 2013; Honig *et al.*, 2012; Ishida *et al.*, 2008; Michaeli *et al.*, 2014; Wada *et al.*, 2009;  
287 Yamane *et al.*, 2012). Interestingly, autophagy is also involved in the remobilization of  
288 transitory starch from chloroplasts to vacuoles via Small Starch Granules like structures  
289 (SSGLs) (Wang *et al.*, 2013). RCBs were characterized in Arabidopsis, tobacco, wheat and  
290 rice (Chiba *et al.*, 2003; Ishida *et al.*, 2008; Izumi *et al.*, 2015; Ono *et al.*, 2013; Prins *et*  
291 *al.*, 2008; Wada *et al.*, 2009). They were shown to deliver Rubisco and other stromal  
292 proteins to the vacuole, though their mode of cargo recognition is not known (Chiba *et al.*,  
293 2003). ATI1 is a plant specific ATG8-binding protein localized in the ER and chloroplasts  
294 (Honig *et al.*, 2012; Michaeli *et al.*, 2014). In Arabidopsis, ATI1-labeled vesicles (ATI1-  
295 bodies) were shown to deliver plastid-targeted GFP to the vacuole. ATI1 can bind both  
296 stromal and membrane-bound chloroplast proteins, suggesting that the cargo of ATI1-  
297 bodies differ from that of RCBs (Michaeli *et al.*, 2014). Another difference is that RCBs  
298 are associated with chloroplast stromules, while ATI1 bodies initiate inside the chloroplast.  
299 In addition, the release of RCBs for the chloroplast is dependent on the ATG machinery ,  
300 while ATI1 bodies bud from it in an ATG-independent manner, though their delivery to  
301 the vacuole requires active autophagy machinery (Ishida *et al.*, 2014; Michaeli *et al.*, 2014).  
302 Interestingly, two ESCRT-III subunit paralogs, were implicated in the delivery of RCBs to  
303 the vacuole, suggesting a cross talk between chlorophagy and endomembrane trafficking

304 events (Spitzer *et al.*, 2015)(Kalinowska and Isono, JXB review 2017, accepted for this  
305 issue). Another chlorophagy pathway involves the vacuolar delivery of entire shrunken  
306 chloroplasts (Wada *et al.*, 2009). This pathway is induced upon UV-B or high light  
307 treatments (Izumi *et al.*, 2017). Information regarding selective autophagy of other types  
308 of plastids is still limited, but there is evidence for RCB-like and entire plastid autophagy  
309 in roots of Arabidopsis and rice (Izumi *et al.*, 2015; Nakayama *et al.*, 2012).

310 Mitophagy, the selective degradation of mitochondria by autophagy, was only recently  
311 identified in plants with the characterization of an Arabidopsis ATG11-related protein.  
312 Similarly to yeast, the Arabidopsis ATG11-related protein participates in the selective  
313 clearance of mitochondria. Lack of ATG11-related protein in mutant Arabidopsis plant  
314 resulted in mitochondria accumulation (Li *et al.*, 2014). However, a plant homolog to the  
315 yeast ATG32, which recruits ATG11 to damaged mitochondria has not been identified  
316 (Anding and Baehrecke, 2017; Li *et al.*, 2014). Plants also lack homologues of animal  
317 mitophagy receptors such as the BCL2 interacting protein (BNIP) family members. ER-  
318 phagy (reticulophagy), the selective degradation of ER by autophagy, is induced by ER  
319 stress resulting from accumulation of unfolded or misfolded proteins in the ER, similarly  
320 to yeast and mammals (Anding and Baehrecke, 2017; Dikic, 2017; Liu *et al.*, 2012; Yang  
321 *et al.*, 2016). This process requires the ER stress sensor IRE1b, but the downstream factors  
322 remain unknown (Liu *et al.*, 2012). In Arabidopsis, as in other organisms, ribophagy, the  
323 autophagic degradation of rRNA, requires the nonspecific T2 endoribonuclease RNS2  
324 (Bassham and MacIntosh, 2017; Floyd *et al.*, 2015; Floyd *et al.*, 2017; Hillwig *et al.*, 2011).  
325 A differential role was suggested for ATG5 and ATG9 in this process, but the exact  
326 mechanism of the selection of rRNA for degradation is still unknown (Floyd *et al.*, 2015)

327

## 328 **Methods of monitoring and manipulating autophagy in plants**

329 Monitoring autophagy in various systems has been previously described (Klionsky *et al.*,  
330 2016). However, plant systems pose unique challenges requiring special modification.  
331 Here we summarize some of the methods commonly used to assess and modulate  
332 autophagy in plants, adding to some other excellent reviews on the topic (Bassham, 2015).

### 333 ***Monitoring autophagy in plants by biochemical analysis***

334 Assessing the formation and degradation of autophagosomes can be performed using  
335 western blot analysis. Two main approaches exist for this analysis: (i) ATG8 lipidation  
336 assay and (ii) free GFP release assay from expressed GFP-ATG8 chimera.  
337 ATG8 is incorporated to the growing phagophore membranes through a C-terminal post-  
338 translational modification (processing followed by lipidation). Assessing the rate of ATG8  
339 lipidation can be used as a measure of autophagosome formation. The lipidated and non-  
340 lipidated forms of ATG8 can be separated by SDS-PAGE in presence of 6 M urea followed  
341 by western blotting (Chung *et al.*, 2009; Thompson *et al.*, 2005). Expression of GFP-ATG8  
342 can be used to visualize autophagosomes using confocal microscopy, as discussed later in  
343 this section. In addition, it is also possible to monitor the release of free GFP after  
344 proteolysis of GFP-ATG8 in the vacuole. The level of free GFP released from ATG8  
345 indicates the relative rate of autophagosome degradation and can be used as a measure of  
346 autophagic flux (Li *et al.*, 2015; Slavikova *et al.*, 2008). GFP-ATG8 degradation in the  
347 vacuole is drastically reduced by Concanamycin A (ConcA) treatment. ConcA increases  
348 the pH of the vacuolar lumen by inhibiting the activity of the vacuolar H<sup>+</sup>-ATPase.  
349 Therefore, ConcA treatment can result in autophagosomal bodies accumulating in the  
350 vacuole, hence reducing the proteolysis of expressed GFP-ATG8.

### 351 ***Imaging approaches to study plant autophagy***

352 Live imaging of autophagosomes in plants requires both specific reporters and an adequate  
353 light microscope (LM) configuration. Multiple organic dyes such as LysoTracker (Liu *et al.*  
354 *et al.*, 2005) and Monodansylcadaverin (MDC) (Contento *et al.*, 2005), have been used to  
355 label autophagosomes, based on the presumed acidity of the autophagic interior. However,  
356 their selectivity for autophagic compartments is still questionable (Klionsky *et al.*, 2016;  
357 Mizushima, 2004). Fluorescently-tagged ATG proteins are more frequently used as  
358 autophagosome markers, allowing a specific identification of autophagosomes at different  
359 stages of their maturation (Le Bars *et al.*, 2014; Suttangkakul *et al.*, 2011).

360 Tracking autophagosome formation and dynamics within plant cells may be complicated  
361 because : i) the lifetime of the process is very short, ii) ATG proteins are only transiently  
362 associated with the autophagosomal membranes, iii) low expression levels of potential  
363 marker proteins and their high dynamics in certain cell types. To circumvent these

364 limitations, one possibility is to use a light microscope equipped with highly sensitive  
365 detectors and image acquisition at a high frame rate. These conditions are met using  
366 confocal laser scanning microscopes with a resonant scanner, or a spinning disk  
367 microscope whose high-speed acquisition rate can also contribute in lowering the  
368 phototoxic effect of the imaging process (Figure 2a).

369 It is worth mentioning that mechanical stress could arise from tissue preparation and  
370 mounting between the microscope slide and coverslip conducive of autophagy induction  
371 (Wang *et al.*, 2016a). Having a spacer between the coverslip and the slide and performing  
372 the microscopic observations immediately following mounting can alleviate these  
373 unwanted artefacts in the experimental design. Rootchip (Grossmann *et al.*, 2011) can be  
374 a good strategy to allow long-term observation of Arabidopsis roots without affecting  
375 autophagy.

376 Higher resolution autophagic structures can be visualized with transmission electron  
377 microscopy (TEM) (Figure 2b-c). Correlative light and electron microscopy (CLEM)  
378 protocols, allowing LM and TEM observations of the same sample can be used to combine  
379 the localization of ATG proteins with light microscopy and the identification of the labelled  
380 membranes with TEM (Marion *et al.*, 2017).

381 Indirect (using anti-GFP antibodies) (Figure 2b) or direct (using specific antibodies against  
382 plant ATG8) (Chung *et al.*, 2010) TEM immunogold labelling of ATG8 can provide  
383 ultrastructural details of ATG8 membrane-bound structures including autophagosomes. A  
384 convenient and feasible processing method for ATG8 immunogold labeling is freeze-  
385 substitution (FS) followed by cryoembedding in an acrylic resin (Figure 2c). Several  
386 protocols of FS have been developed, providing excellent ultrastructure and high  
387 sensitivity immunogold labelling of various antigens, including membrane-bound  
388 molecules (Andreu *et al.*, 2007; Bernal *et al.*, 2007; Derrien *et al.*, 2012; Segui-Simarro *et*  
389 *al.*, 2011; Segui-Simarro *et al.*, 2003). This strategy has revealed the localization of ATG8  
390 in autophagosomes and autolysosomes in various plant cells and tissues such as maize  
391 aleurone (Reyes *et al.*, 2011), Arabidopsis root (Zhuang *et al.*, 2013), or *Brassica napus*  
392 tapetum (Figure 2c), a tissue with high autophagy activity during late pollen development  
393 (Hanamata *et al.*, 2014; Papini *et al.*, 2014). The development of antibodies against plant

394 ATG proteins, with high specificity and sensitivity, will help to identify the components  
395 and ultrastructural organization of autophagic structures in diverse plant cells and systems.

### 396 *Approaches for manipulating plant autophagy*

397 Since autophagy is a very dynamic process, it needs to be and is in fact tightly regulated at  
398 multiple levels: transcriptional, post-transcriptional, translational and post-translational  
399 (Feng *et al.*, 2015; Kraft *et al.*, 2008)

### 400 Targeting transcriptional regulation of plant ATG genes

401 Some ATG proteins are either actively incorporated into autophagosomes as their integral  
402 part or are engulfed together with the cargo destined for degradation (Nakatogawa, 2013;  
403 Nakatogawa *et al.*, 2012). Autophagy is constitutively active at the basal level in most  
404 types of plant cells, playing a housekeeping role. Hence, *ATG* genes are constitutively  
405 transcribed, albeit at lower levels (Pu *et al.*, 2017). Interestingly, expression of multiple  
406 plant *ATG* genes goes up under stress, e.g. under starvation conditions, coinciding with  
407 upregulation of autophagic activity (Chung *et al.*, 2010; Minina *et al.*, 2013b; Rose *et al.*,  
408 2006). Thus, identification of master regulators influencing the expression of *ATG* genes  
409 is an important step towards the development of autophagy-modulating tools. Multiple  
410 transcription factors regulating *ATG* gene expression in animal cells have already been  
411 identified (Feng *et al.*, 2015). Although there is no doubt that such transcription factors  
412 also exist in plants, information about them is still very scarce. For example, it has been  
413 demonstrated that induced expression of Arabidopsis' *ATG* genes upon *Botrytis cinerea*  
414 infection is directly mediated by the transcriptional activator AtWRKY33 (Lai *et al.*, 2011).  
415 In addition, the tomato transcription factor HsFA1a binds the promoters of *ATG10* and  
416 *ATG18f* to activate their transcription upon drought stress (Wang *et al.*, 2015a).

417 To date, phenotypic studies of the role of autophagy in plants have been based on  
418 comparing the performance of either *ATG*-knockout or knockdown lines to wild-type  
419 plants under various unfavorable conditions (Kim *et al.*, 2012). All these studies  
420 collectively indicate a potential benefit of upregulated autophagy for stress tolerance and  
421 plant fitness. While ectopic overexpression of *ATG* genes in yeast did not seem to have an  
422 effect on autophagic activity (Ma *et al.*, 2007), a growing body of evidence indicates that

423 overexpression of *ATG* genes might be successfully used for upregulation of autophagy in  
424 other model organisms, including plants (Minina *et al.*, 2018; Pyo *et al.*, 2013; Scott *et al.*,  
425 2007; Wang P *et al.*, 2016; Wang *et al.*, 2017b; Xia *et al.*, 2012). These results indicate that  
426 the level of the core ATG proteins are a limiting factor of autophagic activity in plant and  
427 animal cells, but not in yeast. A possible explanation of this phenomenon is the difference  
428 in the number of phagophore assembly sites (PAS), where the core ATG proteins are  
429 active. While yeast has a single PAS, animal and plant cells do not seem to have a limit in  
430 the number of PASEs. Thus, availability of a higher amount of the core ATG proteins might  
431 stimulate the formation of a higher number of PASEs, leading to increase formation of  
432 autophagosomes (Minina *et al.*, 2018). The predicted benefit of enhanced autophagy for  
433 plant fitness, fecundity, biomass and stress tolerance has been described in the recent  
434 studies (Minina *et al.*, 2018; Wang *et al.*, 2016b). Further development of this approach is  
435 required as there might be penalties for constitutive upregulation of plant autophagy in  
436 most of the tissues as well as benefits of tissue/organ-specific stimulation of autophagic  
437 activity.

#### 438 Targeting post-transcriptional regulation of plant *ATG* genes

439 Although multiple examples of miRNA regulating autophagy are known for animal models  
440 (Feng *et al.*, 2015), almost nothing is known about post-transcriptional regulation of  
441 autophagy in plants. Indirect evidence of possible regulation of autophagy by miRNA *via*  
442 the stress sensor SnRK1 was demonstrated in the study by Confraria *et al* (Confraria *et al.*,  
443 2013). So far, post-transcriptional silencing of plant *ATG* genes has only been implemented  
444 by using artificial *ATG*-specific RNAi constructs (Kim *et al.*, 2012).

#### 445 Targeting translational regulation of plant *ATG* genes

446 Under stress conditions, autophagy degrades cytoplasmic content together with ribosomes,  
447 thus downregulating the translation of most mRNAs, including *ATG* mRNAs (Bassham  
448 and MacIntosh, 2017; Kraft *et al.*, 2008). Importantly, selective degradation of ribosomes,  
449 ribophagy, under normal conditions positively affects the efficacy of the translational  
450 machinery by controlling ribosome quality (Mathis *et al.*, 2017). Artificial modulation of  
451 autophagy at the translational level has not yet been attempted due to numerous challenges  
452 regarding the specificity of this approach.



### 453 Pharmacological modulation of plant autophagy

454 As compared to animals, pharmacological manipulation of autophagy in plant has not been  
455 comprehensively tested in part due to poor cellular accessibility of many of the described  
456 chemical modulators. Paradoxically, some of the natural chemicals tested for their  
457 modulation of animal cell autophagy, are plant-derived and we know nothing about their  
458 potential effect on plant autophagy (Fleming *et al.*, 2011; Vakifahmetoglu-Norberg *et al.*,  
459 2015; Wang *et al.*, 2017c). There are several compounds that have been demonstrated to  
460 either inhibit or stimulate plant autophagy ((Klionsky *et al.*, 2016), Table 1). Drug  
461 treatment can be a quick and a relatively easy method to modulate autophagy activity in  
462 plants. The important disadvantages of pharmacological modulation of plant autophagy are  
463 potential off-target effects of the drugs currently available (Table 1), issues with drug  
464 stability and tissue/cell-permeability. Nevertheless, this approach has a very important  
465 practical benefit, as it may be applicable for agricultural purposes in the countries that do  
466 not allow cultivation of genetically modified organisms.

467

### 468 **Autophagy responses to abiotic stress in plant**

469 Plant stress has been defined by Lichtenthaler (1996) as “any unfavorable condition or  
470 substrate that affects or blocks a plant metabolism, growth or development”. A common  
471 feature of abiotic stresses such as high salinity, drought and osmotic stress is their ability  
472 to induce, at the cellular level, a transient or permanent physiological water deficit,  
473 conducive of energy limitation in plants. Low energy level in plant tissues is sensed by a  
474 subfamily of serine/threonine kinases known as SnRK1 (SNF1-related kinase),  
475 homologous to the yeast SNF1 (Sucrose Non-Fermenting-1) and the animal AMPK  
476 (Adenosine MonoPhosphate-activated protein Kinase). Plant SnRK1 act as metabolite  
477 sensors to constantly adapt metabolism to the supply of, and demand for, energy, and are  
478 central integrators of a transcriptional network for stress and energy signaling (Bakshi *et al.*  
479 *et al.*, 2017; Emanuelle *et al.*, 2015; Jossier *et al.*, 2009; Nukarinen *et al.*, 2016). SnRK1-  
480 dependent restoration of energy homeostasis and promotion of tolerance to adverse  
481 conditions is partly achieved through an induction of catabolic processes and a general  
482 repression of anabolism (Emanuelle *et al.*, 2015; Soto-Burgos and Bassham, 2017).

483 Multitudes of unrelated cellular pathways converge on the autophagy machinery to signal  
484 a diversity of stimuli. Indeed, activated SnRK1 induces the catabolic pathway autophagy  
485 by inhibiting its negative regulator TOR (Target Of Rapamycin) complex in plants (Chen  
486 *et al.*, 2017; Soto-Burgos and Bassham, 2017). A crucial feature of autophagy is that it is  
487 a highly regulated and dynamic process, able to sense intracellular stress within minutes  
488 and rapidly initiate an appropriate response to cope with the damage (Antonioli *et al.*,  
489 2017). High salinity and osmotic stress induce autophagy in plant tissues within a couple  
490 of hours of incubation into stress-induction medium (Liu *et al.*, 2009; Vanhee *et al.*,  
491 2011b). Accordingly, many core *ATG* genes are transcriptionally upregulated by various  
492 abiotic stresses (Bassham *et al.*, 2006; Wang *et al.*, 2015a; Zhou *et al.*, 2014a). Conversely,  
493 autophagy-deficient plants are more sensitive to abiotic stresses (Liu *et al.*, 2009). Recent  
494 evidence also suggest that ectopic overexpression of defined plant core *ATG* genes can  
495 confer tolerance to various types of stresses and improve growth performance under  
496 nutrient starvation conditions (Minina *et al.*, 2018; Wang *et al.*, 2017b)

497 The plant adaptation responses to abiotic stresses involve phytohormones-dependent  
498 signaling cascades, including that of the stress hormone abscisic acid (a growth negative  
499 regulator) and that of brassinosteroid (a growth promoting regulator) to reprogram its  
500 metabolism (Mair *et al.*, 2015). When subjected to an abiotic stress, plants have to balance  
501 between maintaining growth and competitiveness on the one hand, and ensuring survival  
502 on the other hand (Claeys and Inze, 2013). This delicate and vital process involves  
503 hormone-regulated master regulators, some of which have been characterized recently.

504 ABI1 (ABA insensitive 1) and PP2CA (protein phosphatase 2C-A) are negative regulators  
505 of ABA-dependent signaling, and the two phosphatases were shown to dephosphorylate  
506 and inactivate SnRK1. The repressive action of protein phosphatases, established negative  
507 regulators of the ABA signaling pathway, is blocked by their ABA-dependent interaction  
508 with ABA receptors (Emanuelle *et al.*, 2015). ABA-dependent signaling results in the  
509 expression of effector proteins regulating different aspects of plant physiology. The  
510 polytopic transmembrane protein TSPO is a multi-stress regulator, transiently induced by  
511 water-related stress and ABA treatment in plants (Guillaumot *et al.*, 2009). The induced  
512 Arabidopsis TSPO protein is also rapidly (within 48 hours) degraded, suggesting a time-  
513 limited role for it during stress. Plant TSPO may act as an autophagy cargo receptor for a

514 diverse set of cargo such as cytoplasmic free porphyrins and defined water channels  
515 (Veljanovski and Batoko, 2014). AtTSPO interacts with a highly expressed plasma  
516 membrane water channel, aquaporin PIP2;7, during osmotic stress. The aquaporin-TSPO  
517 complex is targeted by autophagy for degradation in the vacuole, thus preventing PIP2;7  
518 from reaching the plasma membrane and possibly protecting the cell from water loss  
519 (Hachez *et al.*, 2014). However, constitutive expression of TSPO can be detrimental to  
520 plant growth and development (Guillaumot *et al.*, 2009; Vanhee *et al.*, 2011a). This is  
521 probably due to its intrinsic free heme binding capacity, and the consequence of this  
522 cytoplasmic heme titration on ROS scavenger enzymes activity (Batoko *et al.*, 2015;  
523 Vanhee *et al.*, 2011b). Enhanced ROS accumulation could generate ER-stress and chronic  
524 UPR (Unfolded Protein Response) followed by cell death (Petrov *et al.*, 2015). The free  
525 heme/porphyrin detoxification function of TSPO may be required only transiently, when  
526 the plant cell needs to manage stress-induced ROS, and probably ROS-dependent signaling  
527 events (Batoko *et al.*, 2015).

528 Plant TSPO is also upregulated by the growth-promoting hormone brassinosteroid (Nolan  
529 *et al.*, 2017). Brassinosteroid (BR) plasma membrane receptor BRI1 (Brassinosteroid  
530 Insensitive 1) and the downstream signaling components regulate the activity of the  
531 transcription factor BES1 (BRI1-EMS Suppressor 1) (Li and Nam, 2002). BR inhibits the  
532 activity of the kinase BIN2 that negatively regulates BES1 by phosphorylation. BES1  
533 master transcriptional activity promotes plant growth, and its deregulation was shown  
534 recently to enhance plant survival instead of growth during abiotic stress (Nolan *et al.*,  
535 2017). During osmotic stress for example, BES1 is ubiquitinated and interacts with the  
536 ubiquitin-binding receptor protein DSK2 (Dominant Suppressor of Kar2), a known  
537 autophagy cargo receptor in higher eukaryotes (Lee *et al.*, 2013). BES1 is therefore  
538 targeted for autophagy-mediated degradation as a response to abiotic stress. DSK2's  
539 autophagy receptor activity is regulated by phosphorylation, the latter being catalyzed by  
540 the BIN2 kinase. Loss-of-function *dsk2* mutant plants accumulate BES1 proteins, have  
541 altered global gene expression profiles and compromised survival during abiotic stresses  
542 (Nolan *et al.*, 2017). Consistently, constitutively active BR signaling mutant plants are  
543 more sensitive to abiotic stress, suggesting that reducing growth during abiotic stress is a  
544 vital mechanism for plant to survive during abiotic stresses. Although BES1 abundance

545 can be regulated by the ubiquitin proteasome system (Lin *et al.*, 2011), autophagy appears  
546 to be a key pathway in achieving this tricky physiological and metabolic balance between  
547 growth and survival.

548

#### 549 **The role of autophagy in plant-pathogen interactions**

550 Autophagy is a central regulator of plant innate immunity. It can either act as survival or  
551 cell death pathway in response to invading microbes with different pathogenic (i.e.  
552 biotrophic or necrotrophic) lifestyles. Because of the co-evolutionary battle with their  
553 hosts, several pathogens have developed various countermeasures to suppress, evade or  
554 subvert autophagy processes to the benefit of infection. In addition, some eukaryotic  
555 microbes require their own autophagy machinery for successful pathogenesis (Hofius *et al.*  
556 *et al.*, 2017). Most studies demonstrating the role of autophagy in plant-microbe interactions  
557 have considered autophagy as a largely unspecific (“bulk”) process. However, recent  
558 reports indicate that plants are able to explore selective autophagic mechanisms to  
559 effectively fend off microbial intruders, whereas some pathogens overcome plant  
560 immunity by hijacking autophagy pathways for selective removal of host components  
561 (Clavel *et al.*, 2017; Dagdas *et al.*, 2016; Hafren *et al.*, 2017; Haxim *et al.*, 2017). In this  
562 section, we will briefly discuss the role of autophagy in different immunity- and disease-  
563 related contexts, including the hypersensitive response (HR) to avirulent pathogens as well  
564 as infections with virulent fungal, viral, oomycete and bacterial species. More  
565 comprehensive reviews on the topic are available from (Hofius *et al.*, 2017; Minina *et al.*,  
566 2014; Zhou *et al.*, 2014b) and in this Special Issue on Plant Autophagy from Leary *et al.*  
567 (Leary *et al.*, 2018).

568 Pathogen recognition by the plant immune system often results in HR, a localized form of  
569 programmed cell death (PCD) activated by intracellular immune receptors [known as  
570 resistance (*R*) genes] (Coll *et al.*, 2011). HR levels were reduced in autophagy-deficient  
571 mutants infected with avirulent bacteria and oomycetes, or enhanced in autophagy-  
572 stimulated transgenic plants upon virus challenge (Coll *et al.*, 2011; Hackenberg *et al.*,  
573 2013; Han *et al.*, 2015; Munch *et al.*, 2014; Munch *et al.*, 2015). Hence, autophagy acts  
574 locally as a positive regulator of HR. Autophagy mutants were also shown to display

575 unrestricted cell death upon HR induction (Liu *et al.*, 2005; Yoshimoto *et al.*, 2009)  
576 suggesting that autophagy can contribute to the confinement of HR, thus minimizing  
577 damage to healthy, non-infected tissue (Hofius *et al.*, 2011). This pro-survival effect of  
578 autophagy might be linked to its homeostatic role in eliminating potentially noxious by-  
579 products of systemic responses triggered during infection (Coll *et al.*, 2014; Hofius *et al.*,  
580 2011; Munch *et al.*, 2014; Yoshimoto *et al.*, 2009).

581 An additional pro-survival role of autophagy in immunity has been revealed in the context  
582 of plant defense against necrotrophs, which deliberately activate cell death to retrieve  
583 nutrients from the host. Autophagy-deficient mutants displayed enhanced disease-  
584 associated cell death and pathogen growth upon infection with different necrotrophic fungi  
585 (Katsiarimpa *et al.*, 2013; Lai *et al.*, 2011; Lenz *et al.*, 2011; Li *et al.*, 2016b), whereas  
586 plants with elevated level of autophagy showed increased resistance (Minina *et al.* 2018).  
587 Besides restricting disease-associated necrotic cell death, autophagy may also contribute  
588 to basal defense against necrotrophs by modulating hormone levels or eliminating toxic  
589 cellular constituents induced as part of the disease response (Lai *et al.*, 2011). Some  
590 necrotrophic fungi have therefore evolved mechanisms to overcome autophagy-mediated  
591 defenses in plants. For example, secretion of the phytotoxin oxalic acid by *Sclerotinia*  
592 *sclerotiorum* results in unrestricted host cell death via autophagy inhibition (Kabbage *et*  
593 *al.*, 2013).

594 Intracellular pathogens in animals are often subject to direct targeting and elimination by  
595 the autophagy machinery in a process referred to as xenophagy (Levine *et al.*, 2011;  
596 Mostowy, 2013; Paul and Munz, 2016). In plants, viruses are the only pathogens with  
597 intracellular replication, but the anti- and pro-viral functions of autophagy in host immunity  
598 and viral pathogenesis have only recently begun to emerge (Clavel *et al.*, 2017). Most  
599 strikingly, selective autophagy mechanisms were discovered as integral part of the innate  
600 immune response against different DNA viruses. The cargo receptor NBR1 mediates  
601 autophagic degradation of non-assembled capsid proteins and viral particles of *Cauliflower*  
602 *mosaic virus* (CaMV), providing a first example of xenophagy in plants (Hafren *et al.*,  
603 2017). Likewise, the virulence factor  $\beta$ C1 of *Cotton leaf curl Multan virus* (CLCuMuV) is  
604 selectively targeted during infection (Haxim *et al.*, 2017). However, recruitment of this

605 viral suppressor of RNA silencing (VSR) to autophagosomes seems to involve direct  
606 interaction with ATG8 rather than distinct cargo receptors. The potyviral HCpro and  
607 cucumoviral 2b proteins, representing VSRs of RNA viruses, were also shown to undergo  
608 autophagic clearance but the link between their binding to the host protein rgsCaM and the  
609 autophagy machinery is unclear (Nakahara *et al.*, 2012). In contrast to the examples from  
610 DNA viruses, the biological relevance of the autophagic processes for antiviral immunity  
611 against RNA viruses remains to be shown.

612 As part of their counter defense, some viruses trigger the autophagic degradation of host  
613 antiviral RNA silencing pathway components (Cheng and Wang, 2017; Derrien *et al.*,  
614 2012). In addition, virus-induced activation of bulk autophagy seems to benefit virus  
615 survival and particle production via suppression of disease-associated cell death and  
616 promotion of plant fitness (Hafren *et al.*, 2017). Hence, viral measures to interfere with  
617 xenophagic targeting may influence the pro-viral effects of bulk autophagy, implying a  
618 potential trade-off between suppression of antiviral autophagy and host survival.

619 The hemibiotrophic oomycete pathogen *Phytophthora infestans* was also shown to be  
620 targeted by NBR1-dependent autophagy processes as part of the host defense (Dagdaz *et al.*,  
621 2016). In turn, the *P. infestans* effector protein PexRD54 can outcompete the  
622 interaction of the NBR1 tobacco homolog Joka 2 with an ATG8 protein, which led to the  
623 speculation that *P. infestans* hijacks the autophagy pathway to selectively remove defense  
624 components or to recycle and deviate nutrients to the intracellular infection structures  
625 (Dagdaz *et al.*, 2016). The role of autophagy during infection with the strictly biotrophic  
626 downy mildew oomycete and powdery mildew fungal species still remains unclear,  
627 probably because of the use of different autophagy-deficient mutant backgrounds and  
628 pathogen species or plant age-dependent alterations in cellular homeostasis and hormone  
629 signaling (Hofius *et al.*, 2009; Lenz *et al.*, 2011).

630 Similarly, the functions of autophagy during virulent bacterial infection are not well  
631 understood. There is the prevailing view that autophagy promotes plant susceptibility to  
632 infection with *Pseudomonas syringae* (Hofius *et al.*, 2017; Kwon *et al.*, 2013; Lenz *et al.*,  
633 2011). The recent identification of the *Ralstonia solanacearum* AWR5 effector, which

634 inhibits the negative autophagy regulator TOR, further suggests that bacteria can exploit  
635 autophagy activation to enhance virulence (Popa *et al.*, 2016)

636

### 637 **Autophagy as a facilitator of nutrient recycling and remobilization in plants**

638 It is generally accepted that autophagy is involved in nutrient recycling and that it is  
639 induced under nutrient starvation. This role has been suspected since the early stages of  
640 autophagy research, when de Duve observed autophagosome structures in the livers of rats  
641 submitted to nutrient starvation (Deter *et al.*, 1967). Further, the possibility to induce  
642 autophagy for nutrient recycling in yeast using starvation was used by Oshumi and  
643 colleagues to set up a mutant screening strategy that permitted the discovery of the *ATG*  
644 genes (Takeshige *et al.*, 1992). In mice, the importance of autophagy in nutrient recycling  
645 was demonstrated by the strong impact of autophagic activity on newborn survival (Kuma  
646 *et al.*, 2004). In plants, hypersensitivity to carbon and nitrogen starvation has been  
647 established as a basic phenotype of *atg* mutants, characterized originally in Arabidopsis  
648 (Doelling *et al.*, 2002; Ishizaki *et al.*, 2005; Phillips *et al.*, 2008; Thompson *et al.*, 2005),  
649 but also shown in maize (Li *et al.*, 2015). However, our knowledge of the underlying  
650 molecular details of such interplay is limited.

651 Both carbon and nitrogen starvation are known to induce autophagy (Avila-Ospina *et al.*,  
652 2016; Rose *et al.*, 2006). Expression of *ATG* genes was shown to increase upon carbon and  
653 nitrogen starvation in many plant species, including Arabidopsis, maize, tobacco, wheat  
654 and the model algae *Chlamydomonas reinhardtii*, as well as increased lipidation of ATG8  
655 (Caldana *et al.*, 2011; Li *et al.*, 2015; Pei *et al.*, 2014; Perez-Perez *et al.*, 2010; Thompson  
656 *et al.*, 2005; Zientara-Rytter *et al.*, 2011). In addition, crossing *atg* mutants with starch  
657 deficient mutants was shown to exacerbate their starvation phenotype, demonstrating the  
658 tight link between autophagy and carbon supply under starvation (Izumi *et al.*, 2013). Links  
659 between autophagy and other nutrient deficiencies are less documented. Induction of some  
660 autophagy-related genes (*ATG8* and *Joka2*) in roots of tobacco plants grown in sulfur  
661 deficient conditions (Zientara-Rytter *et al.*, 2011) suggested that sulfur starvation induces  
662 autophagy activity. Indeed, it was recently shown in Arabidopsis that limited sulfur supply  
663 decreases soluble sugars, downregulates TOR activity, as demonstrated by downregulation

664 of its downstream target S6K, and increases level of the lipidated ATG8a (Dong *et al.*,  
665 2017). Induction of autophagy under phosphorus starvation has also been suggested in the  
666 model algae *Chlamydomonas reinhardtii* and marine algae *Emiliana huxleyi* (Couso *et al.*,  
667 2017; Shemi *et al.*, 2016). In Arabidopsis, it has been proposed that in the absence of  
668 phosphate, selective autophagy (with PUB9 as E3 ligase) is involved in degradation of  
669 auxin accumulation repressor, leading to auxin accumulation and lateral roots growth (Deb  
670 *et al.*, 2014).

671 We do not know what triggers the induction of autophagy-related genes during limitation  
672 of certain nutrients, however the signal, at least for nitrogen, carbon and sulfur starvation,  
673 is probably TOR-dependent (Dong *et al.*, 2017; Pu *et al.*, 2017; Rexin *et al.*, 2015).  
674 Interestingly, the level of hydrogen sulfide, a recently identified negative regulator of  
675 autophagy, drops during sulfur limitation, and, at least, in such conditions might be one of  
676 the triggers (Gotor *et al.*, 2013; Laureano-Marin *et al.*, 2016).

677 It is considered that starvation induces non-specific autophagy (i.e. bulk degradation) of  
678 cytoplasmic components for nutrient remobilization. In mammals, however, the selective  
679 degradation of lipid bodies under starvation was demonstrated in hepatocytes (Singh *et al.*  
680 *et al.*, 2009). In addition, selective degradation of ald6p under nitrogen starvation has been  
681 demonstrated in yeast (Onodera and Ohsumi, 2004). The diversity of the cytoplasmic  
682 components dedicated for degradation by autophagy, including protein aggregates,  
683 membranes, organelles, suggests that in addition to C and N molecules, many other mineral  
684 nutrients could be released from the process. These compounds can then be used for the  
685 cell's own metabolism, to sustain respiration for example (Barros *et al.*, 2017) or dedicated  
686 for the whole organism after remobilization. Whether phosphate, iron, zinc, sulphur or  
687 potassium can be recycled through autophagy is not documented. Also it is unknown  
688 whether some selectivity exists in the cargos degraded by autophagy under starvation  
689 conditions. It is likely, for example, that under dark conditions, chloroplasts could be  
690 preferentially targeted and that autophagy could participate in starch degradation (Wang  
691 and Liu, 2013; Wang *et al.*, 2015b). Under low nitrate availability, autophagy would  
692 mainly participate in protein degradation but not starch degradation as proteins  
693 accumulated in *atg* mutants while starch was depleted (Guiboileau *et al.*, 2013). Under



694 carbon starvation, the situation is singularly the opposite, with increased usage of free  
695 amino acids, presumably as an alternative carbon source for respiration (Avin-Wittenberg  
696 *et al.*, 2015).

697 At the whole plant level, autophagy is an essential process for nitrogen remobilization from  
698 leaf to seeds as shown by the  $^{15}\text{N}$  pulse-chase experiments performed in *Arabidopsis*  
699 (Guiboileau *et al.*, 2012). Based on this *Arabidopsis* study, pulse-chase labelling strategy  
700 was used on maize *atg12* mutants that showed accordingly lower N mobilization to the  
701 seeds (Li *et al.*, 2015). Both studies thus confirmed that autophagy manages nutrient  
702 resources in source leaves and that its role for seed formation and seed filling is  
703 fundamental. In *Arabidopsis*, the composition of *atg* mutant seeds is strongly modified as  
704 their nitrogen content mainly relies on the post anthesis nitrate uptake rather than N  
705 remobilization from leaves (Guiboileau *et al.*, 2012). Because of their poor N  
706 remobilization capacity, *atg* mutant display lower yield and lower harvest index. Whether  
707 increasing autophagy activity in the source leaves during senescence could conversely  
708 increase plant performance in seed production and seed quality is then a major issue to be  
709 investigated.

710 While several studies have performed metabolic profiling of *atg* mutants (Avin-Wittenberg  
711 *et al.*, 2015; Barros *et al.*, 2017; Masclaux-Daubresse *et al.*, 2014), analysis of the changes  
712 in the metabolic fluxes are considerably less common. Metabolic flux analysis relies on  
713 determining the redistribution of label over time in order to estimate the atomic flux  
714 between pools of different metabolic species. Two approaches are commonly used: (i)  
715 radiolabeled isotopes, namely  $^{14}\text{C}$  and  $^{35}\text{S}$  and  $^3\text{H}$ , and (ii) stable isotopes, such as  $^{13}\text{C}$   
716 and  $^{15}\text{N}$  (Batista Silva *et al.*, 2016). Few works investigate primary metabolic fluxes in  
717 connection to autophagy and in plants. In the first study, etiolated wild type and *atg* mutant  
718 *Arabidopsis* seedlings were incubated in the presence of either uniformly labelled  $^{14}\text{C}$ -  
719 glucose, positionally labelled  $^{14}\text{C}$  glucoses or  $^{13}\text{C}$  lysine in order to characterize the  
720 respiratory metabolism of these mutants (Avin-Wittenberg *et al.*, 2015). These revealed  
721 various effects, including lower protein synthesis and an accumulation of label in specific  
722 amino acids and TCA cycle intermediates. As mentioned above, the change in amino acid  
723 levels were different from that reported during nitrogen deficiency (Masclaux-Daubresse

724 *et al.*, 2014). It would therefore be interesting to examine the impact of autophagy  
725 deficiency on metabolic fluxes in a range of conditions/tissues other than the etiolated  
726 seedling. These examples highlight the power of incorporating flux analyses into studies  
727 on plant autophagy, suggesting that their greater adoption will yield further insights into  
728 molecular and energetic mechanisms regulating and being modulated by autophagy.

### 729 ***Targeting autophagy in plant oil production***

730 Plant oils play pivotal role in human nutrition and the potential for plant oils to replace  
731 fossil oil in chemical industry is likewise immense. To realize the full potential of using  
732 plant oils, it is crucial to optimize quantity and quality of the oil *in planta* using genetic  
733 and metabolic engineering. As in all eukaryotes, plants store their lipid reserves in  
734 specialized organelles, lipid droplets (LDs), which are especially abundant in seeds of  
735 oilseed crops. Recent research using animal and yeast systems has established that  
736 autophagy plays pivotal role in both breakdown and biogenesis of LDs (Singh *et al.*, 2009;  
737 Zhang *et al.*, 2009) and that LDs in return can regulate autophagy (Shpilka *et al.*, 2015).  
738 The process of autophagic degradation of LDs in the lysosome or lytic vacuole has been  
739 named “lipophagy” and shown to crosstalk in a number of ways with cytosolic lipolysis  
740 (Zechner *et al.*, 2017).

741 It has been shown that Arabidopsis mutants in beta-oxidation of fatty acids have greatly  
742 reduced seed oil content, demonstrating that turnover of lipids is an essential component  
743 for efficient seed oil accumulation (Lin *et al.*, 2004). Therefore, can manipulation of  
744 autophagy be used as a tool to improve oil crops? To-date, the evidence for the role of  
745 autophagy in biogenesis or degradation of LDs in plants is rather scarce and fragmented,  
746 encompassing only a few species. Thus, autophagy is required for the formation of LDs in  
747 tapetal cells and phospholipid editing in rice pollen (Kurusu *et al.*, 2014). Two cytological  
748 studies using electron microscopy have revealed micro- and macroautophagy-mediated  
749 engulfment of LDs in the algae *Auxenochlorella protothecoides* (Zhao *et al.*, 2014) and  
750 *Micrasterias denticulata* (Schwarz *et al.*, 2017) respectively. Finally, although autophagy  
751 does not seem to be critically required for Arabidopsis seed development, efficient  
752 mobilization of lipids upon seed germination under carbon-deprived conditions is at least  
753 partly dependent on autophagy (Avin-Wittenberg *et al.*, 2015). Clearly, more research is

754 needed to establish a solid platform for biotechnological application of autophagy in  
755 regulating plant oil reserves (Elander *et al.*, 2018).

756

### 757 **Future perspectives**

758 The study of autophagy in plants has boomed in the last few years, and our understanding  
759 of the function and regulation of this complex mechanism is steadily expanding. However,  
760 much work is still needed in order to understand the many facets of autophagy and utilize  
761 it for agricultural use. Primarily, it is very importance to continue deciphering the  
762 mechanisms regulating autophagy in plants, as these are still only partially understood.  
763 Better understanding of the regulation of autophagy will assist in the modulation of  
764 autophagy on the field. In the field of selective autophagy, for example, information on the  
765 role of selective autophagy in plant development is lagging behind. In addition, the cargo  
766 receptors or other specificity factors involved in selective autophagy need to be further  
767 identified and characterized and the role of ubiquitin-tagging in organelle degradation  
768 elucidated. As a complementary approach, understanding the functional differences  
769 between the different plant ATG8 isoforms would be very useful. Most of our  
770 understanding of selective autophagy pathways is currently based on studies in model  
771 plants, mainly Arabidopsis. More emphasis should be given to expanding the research to  
772 crops and to possible specific differences in autophagy pathways and responses.

773 Translating the knowledge gained from model systems to crop plants is also a challenge  
774 for understanding the interplay between autophagy and plant pathogens, which cause  
775 devastating economical losses to farmers and threaten global food security. Future work  
776 will help gaining additional insight into the molecular mechanisms that pathogens use to  
777 exploit plant autophagy for their own benefit and deepen our understanding of the  
778 autophagic components and pathways contributing to plant innate immune responses.

779 Development of artificial tools for modulating plant autophagy will allow us to control  
780 crop fitness, stress-tolerance and productivity, eliminating the need in laborious and time-  
781 consuming breeding process. Advances in CRISP/CAS9-based genetic editing tools and  
782 high-throughput drug screens should facilitate manipulation of autophagy in crops. All this

783 may result in the production of crops with increased nutrient remobilization, able to cope  
784 better with nutrient starvation and increase efficacy of agriculture and its adjustability to  
785 the changing climate conditions, as well as stability under high pathogen pressure in the  
786 field.

787

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**Table 1. Tools for plant autophagy modulation**

Type of regulation	Effect on autophagy	Suggest mechanism of action/target	Confirmation of the expected effect on autophagy		
			Algae	Mosses	Seed plants
<b>Genetic regulation</b>					
Knockout of <i>ATG</i> gene(s)	Inhibition		Possibly (Zhang <i>et al.</i> , 2014)	Yes (Mukae <i>et al.</i> , 2015), (Vera <i>et al.</i> , 2017)	Yes (Kim <i>et al.</i> , 2012)
Knockdown of <i>ATG</i> gene(s)	Inhibition		-	-	Yes (Kim <i>et al.</i> , 2012)
Overexpression of <i>ATG</i> gene(s)	Stimulation		-	-	Possibly (Xia <i>et al.</i> , 2012), (Wang <i>et al.</i> , 2017; Wang <i>et al.</i> , 2016)
<b>Pharmacological regulation</b>					
Rapamycin	Stimulation	An inhibitor of TOR kinase	Yes (Crespo <i>et al.</i> , 2005)	Reference to personal communication in (Menand <i>et al.</i> , 2002)	In Arabidopsis it requires the presence of FKBP12 (Ren <i>et al.</i> , 2012), (Zhang <i>et al.</i> , 2013)
AZD8055	Stimulation	TOR kinase active site inhibitor	Yes (Imamura <i>et al.</i> , 2016)	-	Yes (Dong <i>et al.</i> , 2015)
Torin1	Stimulation	TOR active site inhibitor	Yes (Imamura <i>et al.</i> , 2016)	-	Yes (Montane and Menand, 2013)
KU63794	Stimulation	TOR active site inhibitor	-	-	Yes, especially in combination with rapamycin (Deng <i>et al.</i> , 2017)

3-MA (3-methyladenine)	Inhibition, but also might lead to enhancement	A pan Phosphatidylinositol-3 kinase (PI3K) inhibitor. Can persistently inhibit class III PI3K and transiently inhibit class I PI3K.	Yes (Jiang <i>et al.</i> , 2012)	-	Yes (Takatsuka <i>et al.</i> , 2004), (Wang <i>et al.</i> , 2013)
Wortmannin	Inhibition	A pan Phosphatidylinositol-3 kinase (PI3K) inhibitor. Inhibit class I and III PI3K with the same efficacy.	-	-	Yes (Takatsuka <i>et al.</i> , 2004)
LY294002	Inhibition, but also might lead to enhancement	A pan Phosphatidylinositol-3 kinase (PI3K) inhibitor. Inhibits activity of class I and class III PI3Ks and additionally influences Ca <sup>2+</sup> homeostasis.	-	-	Yes (Takatsuka <i>et al.</i> , 2004)
Bafilomycin A1	Inhibition	A specific inhibitor of vacuolar H <sup>+</sup> -ATPase	-	-	Yes. In BY-2 it gives a weaker effect than Concanamycin A (Matsuoka <i>et al.</i> , 1997)
Concanamycin A	Inhibition	A specific inhibitor of vacuolar H <sup>+</sup> -ATPase	-	Yes (Mukae <i>et al.</i> , 2015)	Yes (Matsuoka <i>et al.</i> , 1997; Yano <i>et al.</i> , 2015)
E-64c/d	Inhibition	A cysteine-protease inhibitor, blocks degradation of autophagic cargo and ATG4 activity	Possibly (Moriyasu, 1995)	Yes (Mukae <i>et al.</i> , 2015)	Yes (Oh-ye <i>et al.</i> , 2011; Yano <i>et al.</i> , 2015)
BTH (benzothiadiazole)	Stimulation	Acts as analog of salicylic acid	-	-	Yes (Yoshimoto <i>et al.</i> , 2009)
Fumonisin B1	Stimulation	An inhibitor of sphingosine N-acyltransferase	-	-	Possibly (Qin <i>et al.</i> , 2017)

Tunicamycin	Stimulation	Induces ER stress	Possibly (Diaz-Troya <i>et al.</i> , 2011)	-	Yes (Yang <i>et al.</i> , 2016)
Polyamines	Stimulation	Spermidine was suggested to influence expression of <i>ATG</i> genes by changing chromatin structure	-	-	Possibly (Sequera-Mutiozabal <i>et al.</i> , 2016)

"-", no published data is yet available

**Figure 1: Autophagic response initiation in plants: complexes in complexity.** In response to a stimulus, the ATG1 complex is formed and targeted to an organelle contact site involving the endoplasmic reticulum (ER) and an unknown organelle (?). The ATG1 complex activates and recruits the VPS34 complex resulting in local PI3P (phosphatidylinositol-3-Phosphate) synthesis and enrichment within the organelles contact site. ATG9-containing vesicles (black circle) are docked to the contact site by ATG9 interaction with ATG2-ATG18 dimers, site-localized through ATG18 binding to PI3P, the input of membrane lipids and defined proteins contributing in the formation of the phagophore. The phagophore membranes are decorated with enzymatically processed and lipidated (conjugation to PE, phosphatidylethanolamine) ATG8. This process is facilitated by components of the ATG8 conjugation systems. Putative subunits of the various complexes not yet characterized in plant are illustrated in grey.

**Figure 2. Imaging of plant autophagic structures and subcellular localization of ATG8 by different microscopy approaches.** (a) Live imaging of ATG8-GFP reporter proteins in Arabidopsis roots observed by spinning disk confocal microscope. (b) TEM micrograph of the same plant tissues immunolabelled for ATG8 -GFP detection using anti-GFP antibodies. Note the gold particles on the autophagosome membrane. (c) TEM immunogold labelling with anti-ATG8 antibodies of a tapetal cell of *Brassica napus*.





