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# Backstepping Control Design with Actuator Torque Bound for Spacecraft Attitude Maneuver

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## Introduction

**B**ACKSTEPPING is a popular nonlinear control design technique [1, 2]. It hinges on using a part of the system states as virtual controls to control the other states. Generating a family of globally asymptotically stabilizing control laws is the main advantage of this method that can be exploited for addressing robustness issues and solving adaptive problems. The term backstepping refers to the recursive nature of the control design procedure where a control law as well as a control Lyapunov function is recursively constructed to guarantee stability. Backstepping has been considered for the spacecraft slew maneuvers [3, 4]. The cascaded structure of spacecraft kinematics and dynamics makes the integrator backstepping a preferred approach for the spacecraft attitude maneuver problem resulting in smooth feedback controls [5]. However, the typical control actuators used for this problem such as reaction wheels, control moment gyros or thrusters, have an upper bound on the control torque they can exert onto the system and the simple or conventional backstepping control method may result in excessive control input beyond that saturation bound. The issue has been addressed in the literature using other control methodologies like nonlinear PID control [6], Lyapunov-Optimal control [7] and variable structure control [8–11].

In this work, we design a nonlinear backstepping attitude controller using the inverse tangent based tracking function [4] and a family of augmented Lyapunov functions [12]. Using this control law, we derive an analytical upper bound of the control torque norm. The bound is effectively used to tune the control parameters so that for the given settling time specification the upper bound of the control input is minimized. The performance of the proposed controller has shown improvements in minimizing the peak control torque and the settling time.

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The rest of the note is organized as follows: Firstly, the kinematics and dynamics of rigid spacecraft are summarized. Secondly, the details of the design procedure for the proposed controller and the analytical bounds for the control torque components are given. Thirdly, the efficacy of the proposed scheme is demonstrated by the numerical simulations for the cases of attitude stabilization and tracking both. Finally, the conclusions are presented.

### Rigid Spacecraft Attitude Motion

First, we introduce various frames which will be used in the following developments. Spacecraft is assumed to be a rigid body and three mutually perpendicular axes fixed in the spacecraft define a body frame  $\mathbf{B}$  with origin at the center of mass of the spacecraft. Spacecraft is assumed to be equipped with the actuators which can provide torques about the axes of the body frame  $\mathbf{B}$ . Let  $\mathbf{N}$  be an inertial frame. The orientation of the body frame  $\mathbf{B}$  with respect to the inertial frame  $\mathbf{N}$  is represented by the quaternion  $\mathbf{q} = [\mathbf{q}_v^T, q_4]^T$  where  $\mathbf{q}_v \in \mathfrak{R}^3$ ,  $q_4 \in \mathfrak{R}$  and  $\mathbf{q}_v^T \mathbf{q}_v + q_4^2 = 1$ . Here,  $\mathfrak{R}$  is the real number set. The reference frame corresponding to the commanded motion is denoted by  $\tilde{\mathbf{R}}$  and its attitude with respect to the inertial frame  $\mathbf{N}$  is specified by the quaternion  $\mathbf{q}_r = [\mathbf{q}_{rv}^T, q_{r4}]^T$ . The quaternion  $\tilde{\boldsymbol{\sigma}} = [\tilde{\boldsymbol{\sigma}}_v^T, \tilde{\sigma}_4]^T$  describes the orientation of the body frame  $\mathbf{B}$  with respect to the reference frame  $\tilde{\mathbf{R}}$  and is written as

$$\begin{aligned}\tilde{\boldsymbol{\sigma}}_v &= q_{r4} \mathbf{q}_v - q_4 \mathbf{q}_{rv} - \mathbf{q}_{rv} \times \mathbf{q}_v \\ \tilde{\sigma}_4 &= \mathbf{q}_{rv}^T \mathbf{q}_v + q_{r4} q_4\end{aligned}\tag{1}$$

Let  $\mathbf{P}$  represent the spacecraft principal-axis frame. We choose to define a pseudo-reference frame  $\mathbf{R}$  which is rigidly connected to the reference frame  $\tilde{\mathbf{R}}$  and is misaligned with it in the same way as the principal-axis frame  $\mathbf{P}$  with the body frame  $\mathbf{B}$ . The attitude tracking error is taken as  $\boldsymbol{\sigma} = [\boldsymbol{\sigma}_v^T, \sigma_4]^T$  which is the quaternion representing the attitude of the principal-axis frame  $\mathbf{P}$  relative to the pseudo-reference frame  $\mathbf{R}$ . If  $\mathbf{S}$  denotes the direction cosine matrix of the principal-axis frame  $\mathbf{P}$  relative to the body frame  $\mathbf{B}$  then  $\boldsymbol{\sigma}_v = \mathbf{S} \tilde{\boldsymbol{\sigma}}_v$  and  $\sigma_4 = \tilde{\sigma}_4$ . With the mentioned choice for the definition of attitude tracking error, the coincidence of the principal-axis frame  $\mathbf{P}$  with the pseudo-reference frame  $\mathbf{R}$  makes the body frame  $\mathbf{B}$  align to the reference frame  $\tilde{\mathbf{R}}$ . A graphical description of all the aforesaid frames is available as Fig. 2. The equations of rotational motion of the spacecraft are given by [13]

$$\dot{q}_v = \frac{1}{2}(q_4 \tilde{\boldsymbol{\omega}} - \tilde{\boldsymbol{\omega}} \times \mathbf{q}_v), \quad \dot{q}_4 = -\frac{1}{2} \tilde{\boldsymbol{\omega}}^T \mathbf{q}_v \quad (2)$$

$$\mathbf{J}_B \dot{\tilde{\boldsymbol{\omega}}} + \tilde{\boldsymbol{\omega}} \times [\mathbf{J}_B \tilde{\boldsymbol{\omega}}] = \mathbf{T}_B \quad (3)$$

where  $\tilde{\boldsymbol{\omega}} = [\tilde{\omega}_1, \tilde{\omega}_2, \tilde{\omega}_3]^T$  is the angular velocity of the spacecraft with respect to the inertial frame  $\mathbf{N}$  expressed in the body frame  $\mathbf{B}$ ,  $\mathbf{J}_B = \mathbf{J}_B^T$  is the body frame  $\mathbf{B}$  referenced positive definite inertia matrix of the spacecraft,  $\mathbf{T}_B$  is the control torque vector in the body frame  $\mathbf{B}$  and the superscript  $(\cdot)^T$  is the transpose of vector or matrix. We define the three subscripts  $i, j$  and  $k$  as the element of the set  $\text{Id}$  as follows:  $(i, j, k) \in \text{Id}$ , where  $\text{Id} = \{(1, 2, 3), (2, 3, 1), (3, 1, 2)\}$ . The first part of Eq. (2) can be written as

$$\dot{q}_i = \frac{1}{2}(q_4 \tilde{\omega}_i - q_k \tilde{\omega}_j + q_j \tilde{\omega}_k) \quad (4)$$

for  $(i, j, k) \in \text{Id}$ .

Let we have  $\boldsymbol{\omega} = \mathbf{S} \tilde{\boldsymbol{\omega}}$ ,  $\mathbf{T} = \mathbf{S} \mathbf{T}_B$  and  $\mathbf{J} = \mathbf{S} \mathbf{J}_B \mathbf{S}^T$  where  $\boldsymbol{\omega} = [\omega_1, \omega_2, \omega_3]^T$ ,  $\mathbf{T} = [T_1, T_2, T_3]^T$  and  $\mathbf{J} = \text{diag}(J_1, J_2, J_3)$ . For the principal-axis frame  $\mathbf{P}$ , Eq. (3) becomes

$$\dot{\omega}_i = p_i \omega_j \omega_k + u_i \quad (5)$$

where  $p_i = (J_j - J_k) / J_i$  and  $u_i = T_i / J_i$ , for  $(i, j, k) \in \text{Id}$ . Further, the spacecraft principal-axis frame  $\mathbf{P}$  is desired to track the attitude motion of the reference frame  $\mathbf{R}$  whose angular velocity and angular acceleration relative to the inertial frame  $\mathbf{N}$  expressed in the principal-axis frame  $\mathbf{P}$  are denoted by  $\boldsymbol{\omega}_r = [\omega_1^r, \omega_2^r, \omega_3^r]^T$  and  $\dot{\boldsymbol{\omega}}_r = [\dot{\omega}_1^r, \dot{\omega}_2^r, \dot{\omega}_3^r]^T$ , respectively. The angular velocity tracking error is written as

$$\delta \boldsymbol{\omega} = \boldsymbol{\omega} - \boldsymbol{\omega}_r \quad (6)$$

whereas for the angular acceleration tracking error we have

$$\frac{{}^P d}{dt}(\delta\boldsymbol{\omega}) = \dot{\boldsymbol{\omega}} - \dot{\boldsymbol{\omega}}_r + \boldsymbol{\omega} \times \boldsymbol{\omega}_r \quad (7)$$

where  $\frac{{}^P d}{dt}(\delta\boldsymbol{\omega}) = [\delta\dot{\omega}_1, \delta\dot{\omega}_2, \delta\dot{\omega}_3]^T$  represents the derivative of  $\delta\boldsymbol{\omega}$  as seen by the principal-axis frame  $\mathbf{P}$  [14].

Equations (5) and (7) can be used to write the tracking error dynamics equation as

$$\delta\dot{\omega}_i = p_i \omega_j \omega_k + u_i - \dot{\omega}_i^r + \omega_j \omega_k^r - \omega_k \omega_j^r \quad (8)$$

for  $(i, j, k) \in \text{Id}$ . Finally, the attitude tracking control objective becomes the regulation of  $\lim_{t \rightarrow \infty} [\boldsymbol{\sigma}_v(t), \delta\boldsymbol{\omega}(t)] = \mathbf{0}$ .

### Control Design and Torque Bound

The candidate Lyapunov function for the kinematics subsystem stabilization is

$$V = \frac{1}{2} [\sigma_1^2 + \sigma_2^2 + \sigma_3^2 + (1 - \sigma_4)^2] \quad (9)$$

which is continuously differentiable and zero at the equilibrium point  $\boldsymbol{\sigma}_v = \mathbf{0}$  and  $\sigma_4 = 1$ . The time derivative of  $V$  comes out to be

$$\dot{V} = \frac{1}{2} \sum_{i=1}^3 \sigma_i \delta\omega_i \quad (10)$$

For stabilizing the kinematics subsystem, the pseudo control input,  $\delta\omega_i^s$ , is based on a nonlinear tracking function  $\phi(\sigma_i)$  as follows [4]

$$\delta\omega_i^s = -s\phi(\sigma_i) \quad (11)$$

where  $s$  is a positive constant and the nonlinear tracking function  $\phi(\sigma_i)$  is given by

$$\phi(\sigma_i) = \alpha \tan^{-1}(\beta \sigma_i) \quad (12)$$

with  $\alpha$  and  $\beta$  as positive constants. This choice of the pseudo control for the kinematics subsystem achieves the objective of  $\lim_{t \rightarrow \infty} \sigma_v(t) = \mathbf{0}$  as it makes the time derivative of the Lyapunov function  $V$  given by Eq. (10) as the negative semidefinite being

$$\dot{V} = -\frac{1}{2}s \sum_{i=1}^3 \sigma_i \phi(\sigma_i) \quad (13)$$

Further, it can be shown that the convergence to  $\sigma_v = \mathbf{0}$  and  $\sigma_4 = 1$  is achieved asymptotically for all initial conditions  $\sigma(t_0)$  whenever the initial condition  $\sigma_4(t_0) \neq -1$ , where  $t_0$  is the initial time [15]. Next, the function  $V$  is augmented with the dynamics part of the system as follows [12]

$$U = V + \frac{1}{2} \sum_{i=1}^3 \left[ \Omega(\delta \omega_i) - \Omega(\delta \omega_i^s) \right]^2 \quad (14)$$

where  $\Omega(\cdot)$  is a class  $\mathcal{K}_\infty$  function, i.e. it is zero at zero, strictly increasing and becomes unbounded as its argument increases to infinity [12]. The time derivative of the overall Lyapunov function  $U$  yields

$$\begin{aligned} \dot{U} &= \frac{1}{2} \sum_{i=1}^3 \sigma_i \delta \omega_i + \sum_{i=1}^3 \left[ \Omega(\delta \omega_i) - \Omega(\delta \omega_i^s) \right] \left[ \Omega'(\delta \omega_i) \delta \dot{\omega}_i - \Omega'(\delta \omega_i^s) \delta \dot{\omega}_i^s \right] \\ &= \frac{1}{2} \sum_{i=1}^3 \sigma_i \delta \omega_i^s + \sum_{i=1}^3 \frac{1}{2} \sigma_i (\delta \omega_i - \delta \omega_i^s) + \sum_{i=1}^3 \left[ \Omega'(\delta \omega_i) (p_i \omega_j \omega_k + u_i - \dot{\omega}_i^r + \omega_j \omega_k^r - \omega_k \omega_j^r) - \right. \\ &\quad \left. \Omega'(\delta \omega_i^s) \delta \dot{\omega}_i^s \right] \left[ \Omega(\delta \omega_i) - \Omega(\delta \omega_i^s) \right] \\ &= -\frac{1}{2} s \sum_{i=1}^3 \sigma_i \phi(\sigma_i) + \sum_{i=1}^3 \left\{ \frac{1}{2} \sigma_i + \left[ \Omega'(\delta \omega_i) (p_i \omega_j \omega_k + u_i - \dot{\omega}_i^r + \omega_j \omega_k^r - \omega_k \omega_j^r) - \right. \right. \\ &\quad \left. \left. \Omega'(\delta \omega_i^s) \delta \dot{\omega}_i^s \right] \frac{\Omega(\delta \omega_i) - \Omega(\delta \omega_i^s)}{\delta \omega_i - \delta \omega_i^s} \right\} (\delta \omega_i - \delta \omega_i^s) \end{aligned} \quad (15)$$

where  $\Omega(x)$  is chosen such that  $\left[ \Omega(\delta \omega_i) - \Omega(\delta \omega_i^s) \right] / (\delta \omega_i - \delta \omega_i^s) \neq 0$  and  $\Omega'(x)$  denotes the derivative of

$\Omega(x)$  with respect to  $x$ . In order to make the time derivative of  $U$  equal to the following:

$$\dot{U} = -\frac{1}{2}s \sum_{i=1}^3 \sigma_i \phi(\sigma_i) - g \sum_{i=1}^3 (\delta\omega_i - \delta\omega_i^s)^2 \quad (16)$$

the backstepping controller comes out to be

$$u_i = \frac{1}{\Omega'(\delta\omega_i)} \left\{ -\frac{\delta\omega_i - \delta\omega_i^s}{\Omega(\delta\omega_i) - \Omega(\delta\omega_i^s)} \left[ \frac{1}{2}\sigma_i + g(\delta\omega_i - \delta\omega_i^s) \right] + \Omega'(\delta\omega_i^s) \delta\omega_i^s \right\} - p_i \omega_j \omega_k + \dot{\omega}_i^r - \omega_j \omega_k^r + \omega_k \omega_j^r \quad (17)$$

for  $(i, j, k) \in \text{Id}$ , where  $g$  is a positive constant. Now, for the closed loop system, the attitude tracking control objective  $\lim_{t \rightarrow \infty} [\sigma_v(t), \delta\omega(t)] = \mathbf{0}$  is achieved ‘almost’ globally and asymptotically as Eq. (16) is negative semidefinite. The standard terminology of ‘almost’ global stability for this problem means stability over an open and dense set in the set of the special group of rotation matrices that describe spacecraft orientation in three dimensions  $SO(3)$  [16, 17]. This is because of the well-known fact that  $SO(3)$  is not a contractible space and, hence, the quaternion-based controllers do not offer globally continuous stabilizing formulations [18, 19].

Note that by equating Eqs. (15) and (16) we can find the time derivative of  $U - V$  as given below which is subject to the condition that the control input is given by Eq. (17):

$$\frac{d}{dt} \left( \frac{1}{2} [\Omega(\delta\omega_i) - \Omega(\delta\omega_i^s)]^2 \right) = -g(\delta\omega_i - \delta\omega_i^s)^2 - \frac{1}{2} \sigma_i (\delta\omega_i - \delta\omega_i^s) \quad (18)$$

for  $i = 1, 2, 3$ .

We choose a simple form of class  $\kappa_\infty$  function as  $\Omega(\delta\omega_i) = \eta \delta\omega_i$  with  $\eta > 0$ , which satisfies the condition

$[\Omega(\delta\omega_i) - \Omega(\delta\omega_i^s)] / (\delta\omega_i - \delta\omega_i^s) = \eta \neq 0$ , for  $i = 1, 2, 3$ . Then, the control input is rewritten as follows:

$$u_i = -\frac{1}{\eta^2} \left[ \frac{1}{2}\sigma_i + g(\delta\omega_i - \delta\omega_i^s) \right] - \frac{1}{2} s \phi'(\sigma_i) (\sigma_4 \delta\omega_i - \sigma_k \delta\omega_j + \sigma_j \delta\omega_k) - p_i \omega_j \omega_k + \dot{\omega}_i^r - \omega_j \omega_k^r + \omega_k \omega_j^r \quad (19)$$

for  $(i, j, k) \in \text{Id}$ , where  $\phi'(\sigma_i)$  is the derivative of  $\phi(\sigma_i)$  with respect to  $\sigma_i$ . Defining  $e_i \equiv \delta\omega_i - \delta\omega_i^s$ , the above equation can be written as

$$u_i = -\frac{1}{\eta^2} \left[ \frac{1}{2} \sigma_i + g e_i \right] - \frac{1}{2} s \phi'(\sigma_i) (\sigma_4 e_i - \sigma_k e_j + \sigma_j e_k + \sigma_4 \delta \omega_i^s - \sigma_k \delta \omega_j^s + \sigma_j \delta \omega_k^s) - p_i (e_j + \delta \omega_j^s + \omega_j^r) (e_k + \delta \omega_k^s + \omega_k^r) + \dot{\omega}_i^r - (e_j + \delta \omega_j^s) \omega_k^r + (e_k + \delta \omega_k^s) \omega_j^r \quad (20)$$

As  $|\sigma_i| \leq 1$ ,  $|\delta \omega_i^s| \leq s \alpha \tan^{-1}(\beta)$ ,  $|\phi'(\sigma_i)| \leq \alpha \beta$ ,  $|\omega_i^r| \leq \xi$  and  $|\dot{\omega}_i^r| \leq \gamma$  for  $i = 1, 2, 3$ , the control torque bound is derived using the triangle inequalities as follows:

$$\begin{aligned} |u_i| &\leq \frac{1}{\eta^2} \left( \frac{1}{2} |\sigma_i| + g |e_i| \right) + \frac{1}{2} s |\phi'(\sigma_i)| \left| \sigma_4 e_i - \sigma_k e_j + \sigma_j e_k + \sigma_4 \delta \omega_i^s - \sigma_k \delta \omega_j^s + \sigma_j \delta \omega_k^s \right| + \\ &\quad \left| p_i (e_j + \delta \omega_j^s + \omega_j^r) (e_k + \delta \omega_k^s + \omega_k^r) \right| + |\dot{\omega}_i^r| + |(e_j + \delta \omega_j^s) \omega_k^r| + |(e_k + \delta \omega_k^s) \omega_j^r| \\ &\leq \frac{1}{\eta^2} \left( \frac{1}{2} + g |e_i| \right) + \frac{1}{2} s \alpha \beta \left( |\sigma_4 e_i| + |\sigma_k e_j| + |\sigma_j e_k| + |\sigma_4 \delta \omega_i^s| + |\sigma_k \delta \omega_j^s| + |\sigma_j \delta \omega_k^s| \right) + \\ &\quad |p_i| |e_j e_k + e_j \delta \omega_k^s + e_j \omega_k^r + \delta \omega_j^s e_k + \delta \omega_j^s \delta \omega_k^s + \delta \omega_j^s \omega_k^r + \omega_j^r e_k + \omega_j^r \delta \omega_k^s + \omega_j^r \omega_k^r| + \\ &\quad |\dot{\omega}_i^r| + |e_j + \delta \omega_j^s| |\omega_k^r| + |e_k + \delta \omega_k^s| |\omega_j^r| \\ &\leq \frac{1}{\eta^2} \left( \frac{1}{2} + g |e_i| \right) + \frac{1}{2} s \alpha \beta \left( |e_i| + |e_j| + |e_k| + 3 s \alpha \tan^{-1}(\beta) \right) + \\ &\quad |p_i| \left\{ |e_j| |e_k| + (\xi + s \alpha \tan^{-1}(\beta)) (|e_j| + |e_k| + s \alpha \tan^{-1}(\beta)) + \xi s \alpha \tan^{-1}(\beta) + \xi^2 \right\} + \\ &\quad \gamma + (|e_j| + |e_k| + 2 s \alpha \tan^{-1}(\beta)) \xi \end{aligned}$$

for  $(i, j, k) \in \text{Id}$ . Rearranging the terms, the inequality becomes

$$|u_i| \leq k_{1i} + k_2 |e_i| + k_{3i} (|e_j| + |e_k|) + |p_i| |e_j| |e_k| \quad (21)$$

for  $(i, j, k) \in \text{Id}$ , where the constants  $k_{1i}$ ,  $k_2$  and  $k_{3i}$  are

$$\begin{aligned} k_{1i} &= \frac{1}{2\eta^2} + \left( \frac{3}{2} s \alpha \beta + 2\xi \right) s \alpha \tan^{-1}(\beta) + |p_i| \left( \xi + s \alpha \tan^{-1}(\beta) \right)^2 + \gamma \\ k_2 &= \frac{g}{\eta^2} + \frac{1}{2} s \alpha \beta \\ k_{3i} &= s \alpha \left( \frac{1}{2} \beta + |p_i| \tan^{-1}(\beta) \right) + (|p_i| + 1) \xi \end{aligned}$$

However, the angular rate error  $e_i(t)$ , for  $i = 1, 2, 3$ , is unknown in Eq. (21). Hence, Eq. (21) does not give any useful information about the control torque bound. To obtain the bound for the angular rate error, recall Eq. (18)

with  $\Omega(\delta \omega_i) = \eta \delta \omega_i$  for  $i = 1, 2, 3$ . Then,



$$\eta^2 \frac{d}{dt} \left( \frac{1}{2} e_i^2 \right) = -g e_i^2 - \frac{1}{2} \sigma_i e_i \quad (22)$$

for  $i = 1, 2, 3$ . Eq. (22) implies that if  $|e_i| > |\sigma_i| / (2g)$ , then  $|e_i|$  is guaranteed to be decreasing to a certain value that is bounded by  $1 / (2g)$ . Therefore,  $|e_i|$  is bounded by the following inequality:

$$|e_i(t)| \leq \max \left[ |e_i(t_0)|, 1 / (2g) \right] \quad (23)$$

for all  $t$  in  $[t_0, \infty)$  for  $i = 1, 2, 3$ , where  $t_0$  is the initial time and  $\max(, )$  is the function whose value is the maximum of two arguments .

Finally, Eq. (21) is used to calculate the bounds of the controls  $u_i$  and the control torque is bounded by

$$|T_i| \leq J_i \left[ k_{1i} + k_2 |e_i(t)| + k_{3i} \left( |e_j(t)| + |e_k(t)| \right) + |p_i| |e_j(t)| |e_k(t)| \right] \quad (24)$$

for  $(i, j, k) \in \text{Id}$  where  $|e_i(t)|$  for  $i = 1, 2, 3$  follows the inequality (23). Hence, the minimum value of the bound for  $|T_i|$  identifiable by Eq. (24) comes out to be

$$J_i \left[ k_{1i} + \frac{1}{2g} \left( k_2 + 2k_{3i} + \frac{1}{2g} |p_i| \right) \right]$$

The control torque components bounds given by Eq. (24) can be used to calculate the bound for Euclidean-norm  $\|T\|_2$ . Moreover, the direction cosine matrix  $\mathbf{S}$  mentioned in the previous section can be used to calculate  $T_B$  from  $T$  however this transformation does not affect the bound for the Euclidean-norm of control torque.

## Numerical Simulation

### Stabilization Case

If the reference frame  $\tilde{\mathbf{R}}$  coincides with the inertial frame  $\mathbf{N}$  i.e.  $\omega_r(t) = \mathbf{0}$ ,  $\mathbf{q}_r(t) = [0 \ 0 \ 0 \ 1]^T$ ,  $\xi = 0$  and  $\gamma = 0$  then the problem is reduced to attitude stabilization. The effectiveness of the proposed backstepping

controller for the case of attitude stabilization is evaluated through the numerical simulation of a rest-to-rest slew maneuver. The same simulation scenario as considered in [3, 4] is used as follows:

$$\begin{aligned}\mathbf{J} &= \text{diag}(10, 15, 20) \quad (\text{kg m}^2) \\ \mathbf{q}(t_0) &= [0.4646 \ 0.1928 \ 0.8047 \ 0.3153]^T \\ \mathbf{q}(t_f) &= [0 \ 0 \ 0 \ 1]^T\end{aligned}$$

where  $t_0$  and  $t_f$  are the starting and the final times, respectively. For the sake of comparison, all the following values are adopted from [4]:  $s = 1$ ,  $g = 10$ ,  $\alpha = 0.75$  and  $\beta = 8.0$ . For the given values, the control torque bounds are obtained using Eq. (24) as follows:

$$\begin{aligned}|T_1| &\leq \frac{103}{\eta^2} + 201 \\ |T_2| &\leq \frac{120}{\eta^2} + 316 \quad [\text{N m}] \\ |T_3| &\leq \frac{222}{\eta^2} + 382\end{aligned} \quad (25)$$

Hence, the Euclidean norm bound is given by

$$\|\mathbf{T}\|_2 \leq 535 \sqrt{\frac{1.0}{\eta^4} + \frac{0.26}{\eta^2} + 1} \quad [\text{N m}] \quad (26)$$

For comparison with the results of [4], the gain  $\eta$  is tuned so that for the considered rest-to-test maneuver the value for  $\max(\|\mathbf{T}\|_2)$  becomes 21.6 Nm where  $\max(\|\mathbf{T}\|_2)$  denotes the peak Euclidean norm of the actual control torque from the simulation. By trial and error,  $\eta$  is found to be equal to 3.5196. For the chosen  $\eta$ , the bound given by Eq. (26) becomes about 556 Nm whereas the settling time  $t_{\text{settling}}$  comes out to be nearly 5.18 s. Here,  $t_{\text{settling}}$  is defined as the time such that the norm of the states vector  $[\mathbf{q}_v^T, \boldsymbol{\omega}^T]^T$  is bounded by 1% error from the steady state, which is zero in this case, for all  $t \geq t_{\text{settling}}$ . Better performance in the settling time is mainly because of the incorporation of the nonlinear tracking function  $\phi(q_i)$  whereas the reduction of the peak control torque has been achieved through the introduction of the constant control gain  $\eta$ . The proposed controller offers

adequate performance despite the fact that it has a much simpler form than the one in [4], which uses additional switching parameters to obtain the robustness with respect to the inertia uncertainty. Moreover, as summarized in Table 1, it shows better performance when compared with the other existing methods in [3, 20, 21].

The bound given by Eq. (26) is very conservative where it is about 25 times bigger than the actual maximum torque. This is caused by the short desired settling time as the corresponding control parameters become large to achieve that specification. Moreover, in this case, only one parameter,  $\eta$ , has been tuned. If all the five parameters in the bound, i.e.  $s$ ,  $g$ ,  $\alpha$ ,  $\beta$  and  $\eta$ , are simultaneously used for lowering the bound, the bound will be less conservative. To demonstrate this, the following optimization problem is solved using the sequential quadratic programming (SQP):

$$\min_{\alpha>0.1, \beta>0.1, s>0.1, g>0.1, \eta>0.1} \left\| \mathbf{T}_{\text{analytical}} \right\|$$

subject to  $t_{\text{settling}} \leq 5$  s,  $\max(\|T\|_2) \leq 21.6$  Nm and the closed loop differential equations, where  $\mathbf{T}_{\text{analytical}}$  is the analytical upper bound given by Eq. (24). The lower bounds of  $s$ ,  $g$ ,  $\alpha$ ,  $\beta$  and  $\eta$  are all set to 0.1 as the values of these parameters smaller than this would hardly achieve the given settling time specification of the closed loop response. Starting from the aforementioned values of  $s$ ,  $g$ ,  $\alpha$ ,  $\beta$  and  $\eta$  the above optimization problem is solved using the SQP. The values of the parameters  $s$ ,  $g$ ,  $\alpha$ ,  $\beta$  and  $\eta$  converged to 0.34, 1.16, 0.98, 10.9 and 1.01, respectively. The resulting  $\left\| \mathbf{T}_{\text{analytical}} \right\|$  is about 174 Nm whereas the corresponding  $\max(\|T\|_2)$  is 21.6 Nm. The conservativeness of the upper bound is significantly reduced, i.e., from 25 times to just over 8 times bigger than the actual maximum torque. Moreover, the optimized bound guarantees that the actual control torque never exceeds the bound with the condition that  $|e_i(t_0)|$  is less than or equal to the value for the current scenario.

It is noteworthy to compare the obtained value of the analytical torque bound even with the simulation values of the peak control torque mentioned in Table 1 where it is almost twice the one for [20] and is less than the ones by [3, 4, 21]. Here, the linear version of the backstepping controller by [4] is being compared with. Moreover, in this study we have exploited the integrator backstepping design methodology for developing analytical bound for the control torque with the control law given by Eq. (19) being similar in shape to the one already existing in the literature [17]. The methodology can be turned to further advantage by exploiting it to avoid the cancelation of ‘good’

nonlinearities, if any, in the system. It may be helpful to decrease both the peak control torque from the simulation and its analytical bound. As we used a simple local optimization algorithm, the bound may also be improved further with some global optimization techniques.

In the above numerical example, the body axes and the principal axes of the spacecraft are taken as coincident. Otherwise, one can always find the inertia matrix about the principal axes and proceed as mentioned above. Later, the results can be transformed back to the body axes employing the transformation matrix  $\mathbf{S}$  however it does not change the findings regarding the bound for the Euclidean norm of the control torque.

### Tracking Case

In this subsection, we carry out the numerical simulation of the tracking attitude maneuver in order to demonstrate the proposed control law. The diagonal inertia matrix of the spacecraft has the same entries as considered for the stabilization example. The open-loop reference maneuver is a smoothed near-minimum-time maneuver starting at rest but having a certain angular velocity at the end of the maneuver as desirable for landmark tracking [7, 22]. It takes the spacecraft from the 3-1-3 Euler angles  $(-20^\circ, 15^\circ$  and  $4^\circ)$  or the unit attitude quaternion  $\mathbf{q}_r(t_0) = [0.1277 \quad -0.0271 \quad -0.1380 \quad 0.9818]^T$  to the angles  $(40^\circ, 35^\circ$  and  $40^\circ)$  or  $\mathbf{q}_r(t_f) = [0.3007 \quad 0 \quad 0.6130 \quad 0.7306]^T$  with a final body angular velocity  $\boldsymbol{\omega}_r(t_f) = [0 \quad 1 \quad 0]^T$  deg/s. For the chosen maneuver, the upper bounds for the absolute values of the reference angular velocity and angular acceleration components are  $\xi = 1.7316$  deg/s and  $\gamma = 0.0469$  deg/s<sup>2</sup>, respectively, and the final maneuver time is  $t_f = 112$  s. The initial attitude error in 3-1-3 Euler angles is taken as  $(10^\circ, -20^\circ, 10^\circ)$  resulting in the spacecraft initial attitude quaternion  $\mathbf{q}(t_0) = [-0.0427 \quad 0.0091 \quad 0.0349 \quad 0.9984]^T$  and the initial angular velocity error is chosen to be  $(-2.5, 1.0$  and  $2.5$  deg/s) leading to the initial spacecraft angular velocity  $\boldsymbol{\omega}(t_0) = [-2.5 \quad 1.0 \quad 2.5]^T$  deg/s.

The abovementioned tracking maneuver is simulated using the proposed backstepping control law with the gains being  $s = 0.001$ ,  $g = 2.0$ ,  $\alpha = 0.75$ ,  $\beta = 8.0$  and  $\eta = 1.0$ . With the given choice of the control gains we get the analytical upper bound norm,  $\|\mathbf{T}_{\text{analytical}}\|$ , as 28.3019 Nm and the settling time  $t_{\text{settling}}$  as 24.7576 s where  $t_{\text{settling}}$  is defined to be as the time at and after which the norm of the error states vector  $[\boldsymbol{\sigma}_v^T, \delta\boldsymbol{\omega}^T]^T$  is bounded

by 1% error from the steady state being zero. Because the peak Euclidean norm of the actual control torque from the simulation,  $\max(\|\mathbf{T}\|_2)$ , is about 3.9820 Nm so the bound given by Eq. (26) is 7.1075 times bigger than the actual maximum torque. As for the stabilization example, the same optimization problem is solved subject to  $t_{\text{settling}} \leq 13$  seconds and the closed-loop dynamics. The values of the gains  $s$ ,  $g$ ,  $\alpha$ ,  $\beta$  and  $\eta$  given above are chosen as the starting guess and, as a result of optimization, these values converged to 0.1673, 12.1032, 0.2277, 20.9253 and 4, respectively. Figure 1(a)–(d) shows the simulation results for these converged values of the gains employed in the controller given by Eq. (19). The resulting analytical upper bound norm,  $\|\mathbf{T}_{\text{analytical}}\|$ , is about 7.2799 Nm. The conservativeness of the upper bound is reduced from 7.1075 to 4.029 times bigger than the actual maximum Euclidean norm of control torque being 1.8069 Nm while significantly improving the settling time from 24.7576 s to 13 s. Again, the optimized bound guarantees that the actual control torque never exceeds the bound with the condition that  $|e_i(t_0)|$  is less than or equal to the value for the current scenario.

## Conclusion

We addressed the issue of reducing the peak control torque for the attitude maneuver problem of a spacecraft by introducing a new positive constant gain within the framework of conventional integrator backstepping based control design. The bounds for the control torque components are derived analytically as a function of the initial tracking error and the gains involved in the control design procedure. The proposed controller has been shown to perform adequately in the numerical simulations. Also, we demonstrated that the analytical bound can be used for reducing the guaranteed maximum torque upper bound.

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Table 1 Simulation Results: all values taken from [4] except the ones for the proposed controller

Controller	Peak control torque $\max(\ T\ _2)$ (Nm)	Angular velocity norm $\ \omega\ _2$ at 5 s (rad/s)	Quaternion norm $\ q_v\ _2$ at 5 s
Linear backstepping controller [4]	178.4	0.1151	0.1093
Controller in [20]	85.0	0.1170	0.1039
Controller in [21]	311.8	0.1402	0.1957
Controller in [3]	196.2	0.1327	0.2304
Controller in [4]	21.6	5.75e-4	9.64e-5
Proposed controller	21.6	10.2e-3	5.6e-3

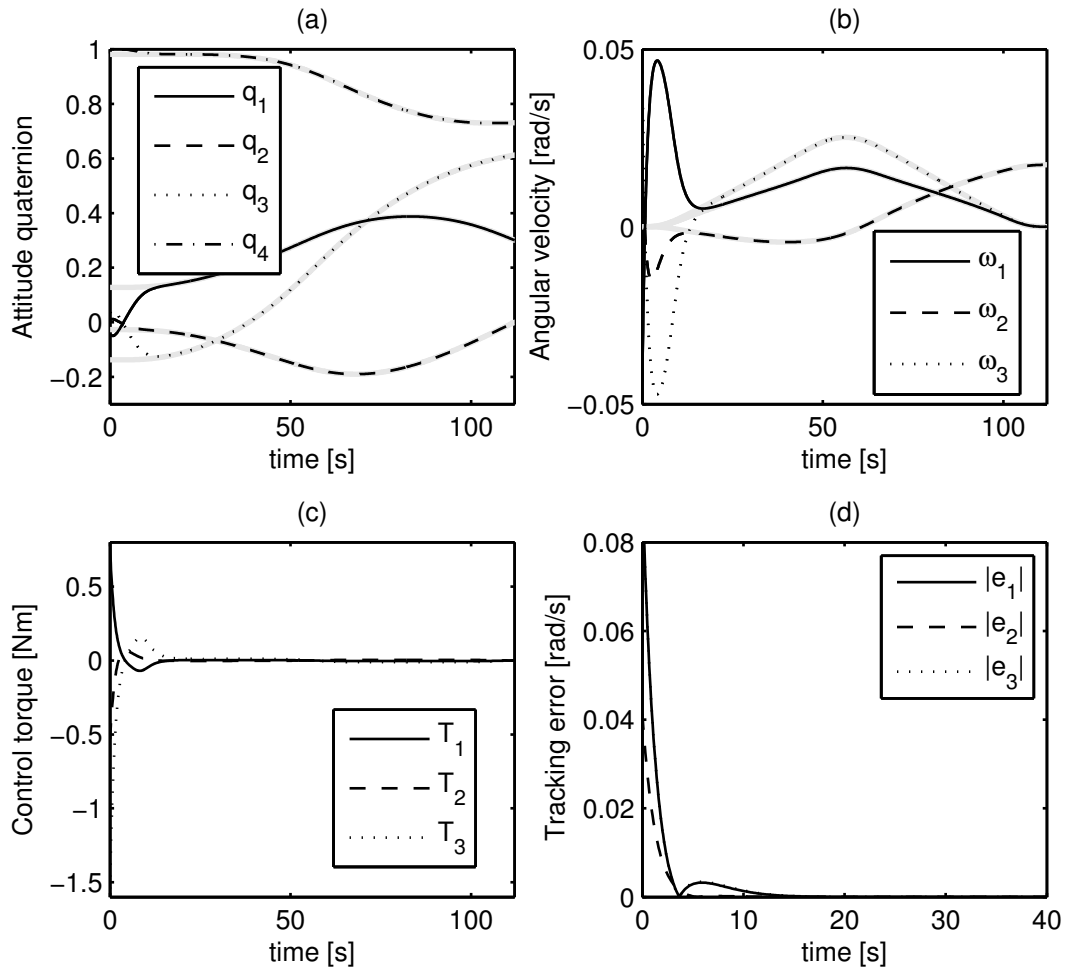
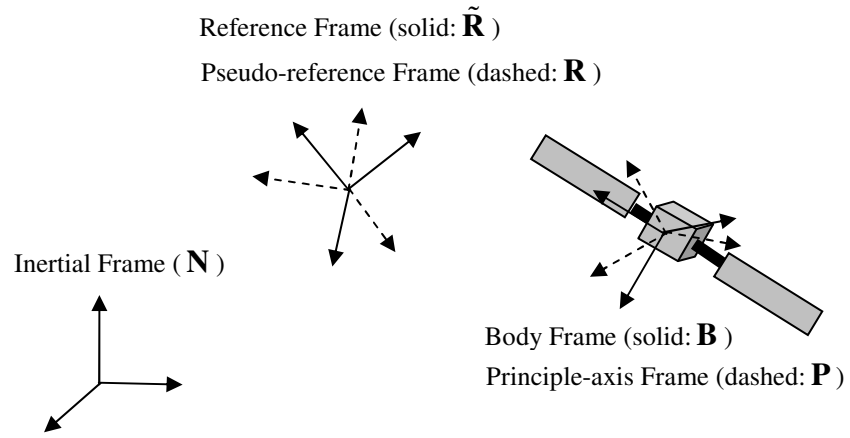


Fig. 1 Simulation results for the tracking case example: (a) Quaternion, (b) Angular velocity, (c) Control torque and (d) Angular velocity tracking error histories. (Grey lines represent the reference command)





**Fig. 2 Relations between the used frames: The pseudo-reference frame  $\mathbf{R}$  is rigidly connected to the reference frame  $\tilde{\mathbf{R}}$  and is misaligned with it in the same way as the principle-axis frame  $\mathbf{P}$  with the body frame  $\mathbf{B}$ .**