

# Balloon kyphoplasty in the management of vertebral compression fractures: an updated systematic review and meta-analysis

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**Abstract** This systematic review updates the understanding of the evidence base for balloon kyphoplasty (BKP) in the management of vertebral compression fractures. Detailed searches of a number of electronic databases were performed from March to April 2006. Citation searches of included studies were undertaken and no language restrictions were applied. All controlled and uncontrolled studies were included with the exception of case reports. Prognostic factors responsible for pain relief and cement leakage were examined using meta-regression. Combined with previous evidence, a total of eight comparative studies (three against conventional medical therapy and five against vertebroplasty) and 35 case series were identified. The majority of studies were undertaken in older women with osteoporotic vertebral compression fractures with long-term pain that was refractory to medical treatment. In direct comparison to conventional medical management, patients undergoing BKP experienced

superior improvements in pain, functionality, vertebral height and kyphotic angle at least up to 3-years post-procedure. Reductions in pain with BKP appeared to be greatest in patients with newer fractures. Uncontrolled studies suggest gains in health-related quality of life at 6 and 12-months following BKP. Although associated with a finite level of cement leakage, serious adverse events appear to be rare. Osteoporotic vertebral compression fractures appear to be associated with a higher level of cement leakage following BKP than non-osteoporotic vertebral compression fractures. In conclusion, there are now prospective studies of low bias, with follow-up of 12 months or more, which demonstrate balloon kyphoplasty to be more effective than medical management of osteoporotic vertebral compression fractures and as least as effective as vertebroplasty. Results from ongoing RCTs will provide further information in the near future.

**Keywords** Kyphoplasty · Vertebral compression fractures · Osteoporosis · Systematic review · Meta-analysis

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## Introduction

An estimated 1,700,000 vertebral compression fractures (VCFs) occur every year in the US and in Europe, a figure that is likely to rise over the coming decades [5, 14, 15]. The burden of VCFs can be substantial, in particular chronic pain, a marked reduction in health-related quality of life and high healthcare costs [1, 5]. The development of minimally invasive surgical techniques such as balloon kyphoplasty (BKP) has been favoured by a large number of VCF patients

remaining refractory to conventional treatments, including drugs, surgical braces and rehabilitation.

BKP was first performed in the 1998 and involves fracture reduction using inflation bone tamps (balloon) to restore vertebral height. The two bone tamps used bilaterally create a void in the vertebral body that can be filled under fine manual control and low pressure with high viscosity bone cement. Unlike vertebroplasty, BKP aims to not only secure fracture fixation and stabilization but also to correct and prevent the spinal deformity, thereby reducing the negative burden of VCFs [7].

We, and others, have undertaken systematic reviews of the efficacy and safety of BKP [2, 9, 17]. These reviews have shown that, following BKP, patients experience significant relief of short-term pain and improvement in function. In many patients, BKP appears to have the ability to partly restore vertebral height and thereby reducing kyphotic deformity. BKP is a comparably safe procedure and, compared to simple vertebroplasty, reported to cause less cement extravasation [6–8]. BKP seems to be an emerging medical technology, but the conclusions of previous reviews are limited by the lack of direct comparative evidence, comparing BKP to best medical care, or vertebroplasty.

This paper describes an update of a previous systematic review and meta-analysis of the efficacy and safety of BKP. In addition, factors related to patients and procedures, that are associated with the outcome of BKP, are explored.

## Materials and methods

Studies were identified from previously published systematic reviews and meta-analyses [6–8]. This list of studies was updated by searching a number of databases, including MEDLINE (Ovid), MEDLINE (R) In-process citations, EMBASE (Ovid); Cochrane Library; and registers of ongoing research (Meta Register of Controlled Trials and ClinicalTrials.gov) up to April 2006. Search terms were selected in order to maximise both the search sensitivity and specificity. Index and text words representing the device/procedure were combined with terms for vertebral compression fractures. Hand searching of the reference lists of included studies was undertaken. The device manufacturer (Kyphon Inc.) was contacted to identify any studies that may have been missed that were ongoing or were unpublished. There were no language restrictions and foreign language papers were translated.

## Selection of studies

Two reviewers independently scanned all the titles and abstracts and identified potentially relevant articles to be retrieved. Where there was uncertainty, full-text copies of papers were obtained. Studies were considered eligible for inclusion if they met the following criteria:

*Study design:* Experimental studies (i.e. randomised and non-randomised trials), observational studies (i.e. cohort studies, case control studies or cross sectional studies), and uncontrolled studies (i.e. case series).

*Population:* Patients with VCFs of osteoporotic or neoplastic (i.e. myeloma, metastasis or osteolysis) aetiology.

*Intervention:* BKP.

*Comparator:* Any invasive, semi-invasive or medical therapy.

*Outcomes:* Reported at least one of the following; efficacy, pain relief, functional capacity and health-related quality of life, deformity correction (height restoration, kyphotic angle correction), safety, cement leakage, incident (adjacent and non-adjacent) fractures, complications.

We excluded studies reporting on burst fractures and fractures due to trauma, including BKP combined with other invasive or semi-invasive intervention therapies, including patients undergoing repeat interventions, case reports, and studies published only in abstract form.

## Quality assessment

As there is not an accepted instrument or standard approach to the assessment of the quality of case series or non-randomised comparative studies, quality was assessed quantitatively according to the four principal categories of study bias [10]:

*Selection bias:* i.e. Bias associated with the way the intervention or control groups were assembled.

*Assessment bias:* i.e. Bias as the result of assessment of the outcome.

*Performance bias:* i.e. Bias as the result of care provided to the participants in the intervention or control groups other than the interventions under investigation.

*Attrition bias:* i.e. Bias associated with withdrawal/loss to follow up from intervention or control groups.

On the basis of all the quality criteria, studies were judged to of 'high' (i.e. large number of individual

biases present), medium (i.e. some biases present) or low (i.e. little or no bias present) risk of overall bias.

### Data analysis

The principal characteristics of included studies were summarised in tabular form. In order to obtain a summary estimate of the efficacy and safety of BKP, the results of individual studies were combined, where possible [3]. Separate meta-analyses were undertaken for comparative and non-comparative studies and for each outcome. Dichotomous and continuous outcomes were summarised as proportions, rates or rate ratios (relative risks) and mean differences or standardised mean differences, respectively. Data were pooled as using a fixed-effects model, except where statistical heterogeneity existed ( $P < 0.100$ ) according to the  $\chi^2$ -statistic, and a random-effects model was instead used [3]. Imputation methods were used to estimate outcome variances where not reported.

Meta-regression was used to examine the reasons for heterogeneity. This ‘subgroup analysis’ allows exploration of the influence of a variety of potential prognostic factors that might be associated with the efficacy or safety of BKP [16]. Meta-regression was performed on the most commonly reported efficacy and safety outcomes, i.e. the level of pain relief and level of cement leaks, respectively. The subgroups were defined a priori: type of study (comparative or non comparative); average duration of fracture or pain; sample size; study quality (low bias or not); study publication date; indication (i.e. osteoporotic or neoplastic VCFs); continent of study (i.e. USA or not); and duration of follow up (in months). For pain relief, an additional subgroup was added (average level of pain pre and post BKP).

Publication bias was assessed using funnel plots and the Egger test for those sufficiently reported outcomes (i.e.  $\geq 10$  studies) [14].

Data are expressed as means and 95% confidence intervals or medians and ranges. All analyses were performed using Stata Software (Stata 8, StataCorp LP, TX, USA).

### Results

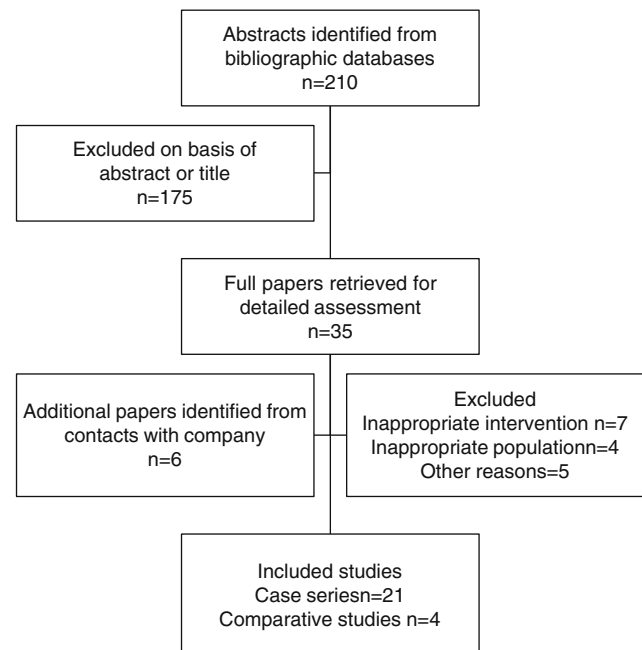
A total of 210 citations were obtained from updated searches of the various electronic bibliographies (March 2004–April 2006). A further six papers were identified through contact with the device manufacturer. Most abstracts and titles or full papers were

excluded on the basis of an inappropriate intervention (e.g. vertebroplasty) or they were case reports. A total of four new comparative studies and 21 case series were judged to meet the inclusion criteria (see Fig. 1). Combining those studies in our original review (15 case series and five comparative studies) [17] and this update (21 case series and four comparative studies, a total of eight comparative studies (i–viii) and 35 case series were identified (ix–xliii, see Appendix). The publications of Grafe et al. (iii) and Ledlie and Renfro (xxvi) report additional follow ups on patient series included in our previous review. All included studies have been published with the exception of a large US multicentre registry, Kyphon Inc., making available their full report to the FDA. A version of the report is currently in press [8].

### Study characteristics and quality

Five studies directly compared BKP to vertebroplasty and three to conventional medical care across 481 fractures in 313 patients. Nussbaum et al. (vi), a comparison of BKP and vertebroplasty, reported adverse events listed in the FDA (Maude) database; no overall patient number was, therefore, available. The 35 case series included total of 2,047 patients undergoing BKP on 3,301 vertebral levels (Tables 1, 2).

The large majority of studies were undertaken in single centre setting either in the US or mainland



**Fig. 1** Summary of study selection and exclusion process (March 2004–April 2006)

**Table 1** Summary of characteristics and quality of BKP comparative studies

	Weiskopf et al. (2003) (viii)	Kasperk et al. (2004, 2005) (iii)	Komp et al. (2004) (iv)	Fourney et al. (2003) (i)	Grohs et al. (2005) (ii)	Masala et al. (2005) (v)	Pflugmacher et al. (2005) (vii)	Nussbaum et al. (2005) (vi)
BK and control Patient (fracture)	BKP 22 (37) CMM 20 (35) Os, Ol	BKP 40 (73) CMM 20 (33) Os	BKP 19 (NR) CMM 17 (NR) Os	BKP 15 (32) VB 34 (65) M, Mt, Ol	BKP 28 (35) VB 23 (29) Os	BKP NR (7) VB NR (33) Hg, M, Mt	BKP 22 (35) VB 20 (32) Os	BKP NR VB NR
Follow up <sup>++</sup>	3 months	12 months	6 months	4.5 months	24 months	6 months	12 months	NR
Design	Retrospective	Prospective	Prospective	Retrospective	Prospective	Retrospective	Prospective	Retrospective
Selection bias								
Inclusion/exclusion criteria specified	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Groups similar at baseline	No	Yes	Yes	No	Yes	NR	Yes	NR
Performance bias								
Concomitant therapies specified	No	Yes	No	No	No	No	No	No
Assessment bias								
Independent assessment of results	NR	Yes	NR	Yes	Yes	NR	NR	No
Attrition bias								
Intention to treat analysis*	NR	Yes	Yes	Yes	Yes	NR	Yes	Yes
Losses to follow up %	NR	0%	7.5%	90%	0%	NR	0%	NR
Overall risk of bias	High	Low	Moderate	High	Low	High	Moderate	High

\* Patients allocated to groups to which they were assigned

NR Not reported, Hg hemangioma, M myeloma, Mt metastatic lesions, Ol osteolytic, Os osteoporosis, CMM conventional medical care, VB vertebroplasty

<sup>++</sup>Longest follow up point reported

Europe. Included BKP patients were predominantly older women (median 33% male and 70.1 years) who had experienced a symptomatic osteoporotic VCF (84%), the remainder having neoplastic lesions (10% multiple myeloma, 5% metastatic lesions and 1% haemangiomas). Six BKP studies were conducted exclusively in neoplastic patients (i, v, xiv, xxv, xxviii and xliii). Although not always stated, the reported duration of pain (median 2.5 months) or fracture age (median 4.6 months) indicated that patients were generally refractory to medical treatment. In the study of Kasperk and colleagues, patients had experienced pain for at least 12-months. In contrast, the study reported by Yang et al. (xliii) included patients with fractures no older than 2 weeks. The duration of follow up varied considerably, ranging from immediately postprocedure to 3-years. Over 60% of studies reported on pain relief (73%), number of cement leaks (66%) and the change in vertebral height (61%). Some 18% of studies reported the impact of BKP on health-related quality of life and 36% of the studies reported mobility or functionality. The median duration of follow up ranged from hours postprocedure, up to 37 months after the procedure.

The limited level of reporting of methods hampered assessment of methodological quality (Table 2). However, where details were available, the quality of both comparative studies and case series was found to vary considerably. As studies provide no details on co-interventions (e.g. analgesic therapy; physiotherapy) and infrequently reported if blinding or independent outcome assessment was undertaken, the levels of performance and detection bias were potentially high. However, most studies used validated outcome measures and the majority of case series were prospective and consecutive so the levels of assessment and selection bias were relatively low. Across all quality dimensions, four studies (two comparative studies (ii and iii) and two case series (xx and xxxiii) were judged to have a low threat of bias, while 15 were assessed to have a high threat of bias.

## Efficacy

### Comparative studies

The results of three studies directly comparing the addition of BKP to comparative medical care (iii, iv and viii) are summarised in Table 3. VAS pain was significantly reduced with BKP at 3, 6, 12 and 36 months follow up ( $P < 0.001$ ). These reductions in pain were greater ( $P < 0.0001$ ) than those observed at the same point in time with medical care treatment

**Table 2** Summary of characteristics of included BKP case series

Author (year) country	N Patients (fracture)	Indication	Fracture age	Sex (% male) age-mean (SD or range)	Design (retrospective/prospective)	Follow up++	Overall risk of bias+
Atalay (2005) Turkey (ix)	57 (77)	Os, M, Hg, Mm, T	<3 months	33% 67.5 (48–92)	NR	6.5 months	High
Buisson et al. (2005) France (x)	6 (12)	Os, M	NR	83% 61.8 (37–82)	Prospective	6 months	High
Coumans et al. (2003) USA (xi)	78 (188)	Os, Mm	NR	42% 71 (44–89)	Prospective	18 months	Moderate
Crandall et al. (2004) USA (xii)	47 (86)	Os	Acute ≤10 weeks Chronic ≥4 months	26% 74 (47–91)	Prospective	24 months	Moderate
Darius et al. (2003) Belgium (xiii)	7 (8)	Os	NR	57% 64.7 (37–90)	NR	6 months	High
Dudney et al. (2002) USA (xiv)	18 (55)	Mm	NR	NR	Prospective	7.4 months	Moderate
Feltes et al. (2005) USA (xv)	13 (20)	Os	NR	63.5 (48–75) 46%	Retrospective	1 month	High
Fribourg et al. (2004) USA (xvi)	38 (47)	Os	NR	71.5 (±11) 26%	Retrospective	31 months	Moderate
Gaitanis et al. (2005) Greece (xvii)	32 (61)	Os, Mm, Mt, Hg	NR	80.6 19%	Prospective	24 months	Moderate
Garfin et al. (2003, 2006) USA (xviii)	155 (214)	Os, Mm	4.6 months	68.2 (45–91) 19%	Prospective	24 months	Moderate
Grohs et al. (2004) Germany (xix)	NR (101)	Os, Mt	NR	77 (45–99) NR	Prospective	12 months	High
Harrow et al. (2004) USA (xx)	115 (225)	Os	NR	70 34%	Retrospective	33 months	Low
Hillmeier et al. (2003) Germany (xxi)	95 (165)	Os	NR	74 (45–89) 20%	Retrospective	≤12 months	Moderate
Hillmeier et al. (2004) Germany (xxii)	102 (192)	Os	172 VCFs were 'old'; 20 VCFs ≤4 weeks	69 25% 71 (56–88)	Retrospective	12 months	Moderate
Kasperk et al. (2003) Germany (xxiii)	89 (NR)	Os	NR	NR	Prospective	6 months	Moderate
Khanna et al. (2006) USA (xxiv)	211 (NR)	Os, M	NR	NR 37%	Prospective	10.8 months	High
Lane et al. (2004) USA (xxv)	19 (46)	Mm	≥3 months	39.4 (35–89) 63%	Prospective	3 months	High
Ledlie and Renfro (2003, 2005, 2006) USA (xxvi)	117 (151)	Os, Mm	2.7 months	60.4 (45–74) 28%	Retrospective	43.1 months	High
Libicher et al. (2005) Germany (xxvii)	12 (23)	Os	NR	77 (51–93) 25%	Prospective	12 months	Moderate
Lieberman et al. (2001) USA (xxviii)	30 (70)	Os, Mm	NR	61 (9) NR	Prospective	24 months	Moderate
Lieberman et al. (2003) USA (xxix)	63 (264)	Mm, Mt	NR	68.6 MR 58	Prospective	4 months	High

Table 2 continued

Author (year) country	N Patients (fracture)	Indication	Fracture age	Sex (% male) age-mean (SD or range)	Design (retrospective/prospective)	Follow up++	Overall risk of bias+
Majd et al. (2005) USA (xxx)	222 (360)	Os	5.7 months	28% 76 (28–98)	Retrospective	36 months	Moderate
Masala et al. (2004) Italy (xxxi)	16 (16)	Os, Mt, Mm	NR	44% 72.3 (63–82)	NR	NR	High
Masala et al. (2005) Italy (xxxii)	11 (11)	Os, M	3 months	45% 68.9 (63–78)	Prospective	3 months	High
Phillips et al. (2002) USA (xxxiii)	20 (20)	Os	4.5 months	NR 73.5 (51–90)	Prospective	Postoperative	Low
Phillips et al. (2003) USA (xxxiv)	29 (61)	Os	3.8 months	NR 70	Prospective	12 months	Moderate
Pradman et al. (2006) USA (xxxv)	65 (85)	Os	NR	35% 87 (45–84)	Retrospective	0 month	Moderate
Rhyne et al. (2004) USA (xxxvi)	52 (82)	Os	31.3 weeks	21% 74 (49–89)	Retrospective	25 months	Moderate
Tang et al. (2005) (xxxvii)	13 (37)	Os	NR	0% 72.3 (NR)	NR	13.7 months	High
Theodorou et al. (2002) USA (xxxviii)	15 (24)	Os	3.5 months	27% 75 (41–86)	NR	8 months	Moderate
Villavicencio et al. (2005) USA (xxxix)	20 (24)	Os, OI	NR	45% 75.2–78.1 (39–99)	Prospective	NR	High
Voggenreiter et al. (2004) Germany (xli)	57 (87)	Os	NR	NR	Prospective	Postoperative	Moderate
Voggenreiter et al. (2004) Germany (xlii)	30 (39)	Os	NR	NR	Prospective	Postoperative	High
Willhelm et al. (2003) Germany (xliii)	34 (56)	Os	NR	23% 70.1 (45–84)	Prospective	12 months	Moderate
Yang et al. (2005) China (xliv)	58 (90)	Hg, M	0.5–5 months	26% 75 (50–86) 35% 69.3 (32–98)	Prospective	48 months	High

Based on evaluation of selection bias, assessment bias, performance bias and attrition bias

\* Case series includes two cement types calcium phosphate [ $N = 6$  (14)] and PMMA [ $N = 6$  (9)]

NR not reported

Hg hemangioma, M myeloma, Mt metastatic lesions, Mm multiple myeloma, OI osteolytic, Os osteoporosis, Traumatic; ++longest follow up point reported

**Table 3** BKP versus conventional medical care: efficacy results

Outcome follow up (studies)	Studies with data	Balloon kyphoplasty <i>N</i> mean (SD) or <i>n/N</i> (%)	Conventional medical care <i>N</i> mean (SD) or <i>n/N</i> (%)	Effect size* (95% CI) <i>P</i> value
<b>Prepost pain (VAS mm)</b>				
3 months (viii)	1	22 -6.7 (2.7)	20 -2.2 (2.5)	MD -4.5 (-6.1 to -2.9) <0.0001
6 months (iii and iv)	2	59 -3.3 (1.6)	37 0.6 (0.86)	MD -1.6 (-2.0 to -1.2) <0.0001
12 months(iv)	1	40 -1.8 (0.37)	20 -0.07 (0.88)	MD -1.7 (-2.1 to -0.3) <0.0001
36 months (iii)	1	40 -2.0 (NR)	20 -0.43 (NR)	MD -1.6 <0.0001
<b>Opiate medication at follow up</b>				
6 months (iv)	1	22/40 (55%)	13/20 (65%)	RR 0.88 (0.57 to 1.37) 0.596
<b>Pre-post functional capacity</b>				
6 months (iii and iv)	2	**	**	SMD -1.2 (-1.7 to -0.8) <0.0001
12 months (iv)	1	40 -10.7 (34)+	20 -4.5 (43)+	MD -6.2 (-27.8 to 15.4) <0.574
Health-related quality of life	0			Not reported
<b>Prepost vertebral height (% original height)</b>				
6 months (iii)	1	73^ 7.6 (22.4)	41^ -2.7 (32.4)	MD 10.3 (2.3 to 18.3) 0.012
12 months (iii)	1	73^ 7.5 (20.5)	41^ -5.1 (32.4)	MD 12.6 (4.8 to 20.4) 0.002
<b>Pre-post kyphotic angle (°)</b>				
6-months (iii)	1	73^ -0.4 (10.2)	41^ 4.9 (10.4)	MD -5.3 (-9.3 to -1.3) 0.009
<b>Satisfaction at follow up</b>				
6 months (iii)	1	13/19 (68%)	2/17 (12%)	RR 5.8 (1.5 to 22.1) 0.01
Days in hospital (viii)	1	22 10.4 (7.4)	20 20.4 (13.4)	MD -10 (-16.7 to -3.3) 0.003
<b>Physician pain-related office visits</b>				
6 months (iii)	1	40 3.3 (9.5)	20 8.6 (7.6)	MD -5.3 (-9.7 to -0.18) 0.019
12 months (iii)	1	40 5.3 (5.1)	20 11.6 (12)	MD -6.3 (-11.8 to -0.8) 0.025

\* Random effect model, test for heterogeneity  $P \leq 0.05$

MD mean difference, SMD standardised mean difference, RR relative risk, NR not reported

\*\* Different scales, +negative score indicates improvement in functional capacity, ^*N* of VCF's

alone. This gain in pain relief was associated with a reduction in pain related physician office visits ( $P < 0.05$ ), with BKP compared to control. Two studies assessed functional capacity using the EVOS (iii) and Oswestry disability index (iv). Functional capacity improved at 6 and 12 months following BKP ( $P < 0.0001$ ). This improvement exceeded that of standard medical care at 6 months in both studies ( $P < 0.001$ ), but did not reach statistical significance at 12 months ( $P = 0.574$ ). The study by Kasperk and colleagues (iii) reported an increase in the vertebral height of patients treated with BKP that was maintained at 6 and 12 months ( $P < 0.0001$ ), while the vertebral height of comparison patients was further reduced. At 6 months' follow up, the mean kyphotic angle with BKP (mean 10.4, SD 7.4°) was lower ( $P < 0.0001$ ) than controls (mean 20.4, SD 13.4°). Five studies compared BKP to vertebroplasty (i, ii, v, vi and vii). The report of Nussbaum et al. (vi) did not report efficacy outcomes, while Masala et al. (v) failed to report outcome results separately for BKP and vertebroplasty groups (Table 4). Both BKP and vertebroplasty reduced VAS pain and improved Oswestry

disability index score up to 24 months postprocedure with no significant differences between procedures. Grohs and Pflugmacher et al. (ii, vii) reported improvement in vertebral height and kyphotic angle with BKP at follow up. These improvements exceeded that of vertebroplasty treated patients ( $P < 0.05$ ).

#### Case series

All case series consistently reported a reduction in pain after BKP. In 14 studies reporting on VAS pain before and after BKP, a mean reduction of 5.4 mm (95% CI -6.3 to -4.4 mm,  $P < 0.0001$ , random effects) was observed (Table 5). Four studies reported their functional capacity findings to allow pooling. As these studies used a variety of outcome measures; Oswestry disability index (xi, xxiv and xxv), Roland Morris (xxxvi) questionnaire and the Index of back Function (xviii), they were pooled using standardised mean differences. Functional capacity was seen to improve by an average of 1.1 standard deviation units (95% CI 0.6–1.5,  $P < 0.0001$ , random effects) following BKP. Five studies assessed health related quality of life using the

**Table 4** BKP versus vertebroplasty: efficacy results

Outcome follow up (studies)	Studies with data	Balloon kyphoplasty N mean (SD)	Vertebroplasty N mean (SD)	Effect size (95% CI) P value
Prepre pain (VAS mm)				
6 months (vii)	1	22 -6.3 (3.71)	20 -6.2 (3.98)	MD 0.1 (-2.4 to 2.2) 0.933
12 months (ii and vi)	2	50 -5.3 (3.9)	49 3.9 (4.4)	MD -1.4 (-3.0 to 0.22) 0.091
24 months (ii)	1	28 -5.4 (3.9)	29 -3.2 (6.5)	MD -2.2 (-5.2 to 0.8) 0.154
Opiate medication at follow up	0			Not reported
Prepost functional capacity				
6 months (vi)	1	22 -51 (38.4)+	20-42.2 (35.4)+	MD -8.8 (-31.1 to 12.5) 0.440
12 months (ii and vi)	2	40 -33 (35)+	43-19 (25)+	MD -6.7 (-19.3 to 5.8) 0.862
24 months (ii)	1	28 -6 (32.1)+	23-9 (32.7)+	MD 3.0.0 (-14.9 to 20.9) 0.742
Health-related quality of life	0			Not reported
Prepost vertebral height (% original height or mm)				
Pos-operative (ii)	1	28 <sup>^</sup> 5.8 (7.8)	23 <sup>^</sup> 0 (0.1)	MD 5.8 (2.9 to 8.70) <0.0001
6 months (vii)	1	35 <sup>^</sup> 3 (11.8)	32 <sup>^</sup> 1 (11.3)	MD 2.0 (-3.5 to 7.5) 0.479
12 months (vii)	1	35 <sup>^</sup> 3 (11.8)	32 <sup>^</sup> 1 (11.3)	MD 2.0 (-3.5 to 7.5) 0.479
Pre-post kyphotic angle (°)				
Postoperative (ii)	1	28 <sup>^</sup> -6 (7)	23 <sup>^</sup> 0 (0.3)	MD -6 (-9 to -3) <0.0001
6 months (ii and vii)	1	35 <sup>^</sup> -7 (9.9)	32 <sup>^</sup> -1 (10.5)	MD -6 (-11 to -1) 0.016
12 months (vii)	1	35 <sup>^</sup> -6 (9.9)	32 <sup>^</sup> -1 (10.7)	MD -5 (-8 to -1) 0.048
Satisfaction at follow up	0			Not reported
Days in hospital	0			Not reported
Physician pain-related office visits	0			Not reported

\* Random effect model, test for heterogeneity  $P \leq 0.05$

MD mean difference, SMD standardised mean difference, RR relative risk

\*\* Different scales, therefore not poolable, +negative score indicates improvement in functional capacity, <sup>^</sup> N° of VCF's

Short-Form 36 (SF-36) (xiv, xi, xviii, xxiv and xxviii) with significant improvements being seen in 6 of the 8 SF-36 domains. The studies that reported vertebral height (ix, x, xii, xiii, xvii, xxxiv, xxxvi, xxxix, xxxvii and xliii) and kyphotic angle correction (xxvi, xviii, xxxix, xliii and xli) consistently reported an effect significantly in favour of BKP. Across studies, there was an average

improvement in vertebral height of 21% and a reduction of 6.3° in kyphotic angle.

Further pooling of outcomes could not be performed, as many studies reported their results narratively or failed to report measures of variance such as standard deviations, intra-quartile ranges or maximum and minimum values.

**Table 5** Summary of BKP case series: efficacy results

	N	Mean (95% CI)* P value	Heterogeneity P value
Change in pain (VAS 0–10 mm)	14	MD -5.4 mm (95% CI -6.3 to -4.4)* $P < 0.0001$	<0.0001
Change in functional capacity (different scales)	5	SMD 1.0 (0.6 to 1.5)* <0.0001	<0.0001
Change in quality of life (SF-36 0–100)			
Physical functioning	5	MD -21 (-28 to -14)* <0.0001	0.036
Role physical	4	MD -31 (-42 to -20)* <0.0001	0.009
Bodily pain	5	MD -29 (-38 to -19)* <0.0001	<0.0001
General health	5	MD +4 (-2 to 10)* 0.266	0.013
Vitality	5	MD -11 (-14 to -8) <0.0001	0.146
Social functioning	5	MD -29 (-39 to -18)* <0.0001	0.001
Role emotional	4	MD -18 (-39 to 4)* 0.109	<0.0001
Mental health	5	MD -11 (-17 to -5)* <0.0001	0.008
Satisfaction at follow up (%)	2	MD 98 (90 to 100) <0.001	0.973
Change in vertebral height (% original height)	9	MD 21 (15 to 26)* <0.0001	<0.0001
Change in kyphotic angle (°)	12	MD -6.3 (-5.8 to -6.7) <0.0001	0.826

\* Random effects model

MD mean difference, SMD standardised mean difference



**Table 6** Summary of BKP comparative and case series: safety results

	<i>N</i>	Number of events probability (95% CI)**	Rate events per 1,000 patient or fracture years)
Cement leakages*			
Overall	31	193/2,239 9.0% (7.4 to 11.0%)**	81
Symptomatic	7	1/678 0.2% (0 to 0.3%)**	0.9
New vertebral fractures+			
Overall	16	172/1,151 13.6% (9.0 to 20.7%)**	111
Adjacent	10	110/871 13.8% (11.0 to 17.4%)**	94
Adverse events+			
Pulmonary embolism	7	1/377 0.10% (0 to 0.17%)	1.7
Spinal cord compression	8	1/431 0.2% (0 to 0.8%)	1.6
Nerve root pain/radiculopathy	9	2/173 0.40% (0 to 1.2%)*	1.7
Mortality			
Overall	14	35/552 3.2% (0.7% to 5.6%)**	44
Peri-operative^	11	1/406 0.01% (0% to 0.64%)	1.3

NA not applicable

\* No. of events per vertebrae,  
+no. of events per patient,  
++number of events/fracture/  
year or number of events/  
patient/year, \*\*random  
effects meta-analysis

## Safety

Safety (or adverse) outcomes of BKP were combined for comparative studies and case series. Given the differences in follow up durations, results are expressed as both proportions and rates (see Table 6).

A total of 28 studies provided details on the number of cement leakages and eight of these reported whether these leaks were symptomatic or not. A total of 189 (9.0%) cement leakages were reported in 2,239 vertebrae that underwent BKP. This corresponds to 81 cement leaks per 1,000 fractures undergoing BKP per year. One leak (0.001%) was reported to be symptomatic. In the case series of Majd et al. (2005), cement leakage resulted in a L1 radiculopathy, the patient recovered following nerve block and rehabilitation (xxx). A total of 171 new or incident fractures were reported in 1,151 patients across 16 studies, 110 (64%) of which occurred in the vertebrae adjacent to the procedure. This corresponds to 111 new fractures per year, per 1,000 individuals undergoing BKP.

Both Kasperk (iii) and Komp (iv) document the number of new vertebral fractures after BKP, compared to conventional medical care. These studies indicate that the level of new fractures with BKP to be significantly lower than those experienced by patients in the control group (relative risk 0.35, 95% CI 0.16–0.78,  $P = 0.01$ ) at 1-year follow up. The study of Grohs et al. (ii) directly compared the incident fracture levels in BKP and vertebroplasty. At 3–4 months follow up, new fractures were seen in nine out of 58 (15.5%)

patients receiving BKP, compared with one out of 40 (2.5%) patients receiving vertebroplasty. This difference was not statistically significant ( $P = 0.081$ ).

The rates of serious adverse events reported with BKP are low. The overall rate of mortality of 3.2% reflects both the age of patients undergoing BKP, as well as the inclusion of patients with cancer. The peri-operative mortality rate was 0.01%. Based on the results of this review, for every 1,000 patients treated with BKP each year, 1.7 patients could experience a pulmonary embolism, 1.6 patients would experience a spinal cord compression, 1.7 patients could experience a radiculopathy, and 1.3 could die within the peri-operative period (30 days).

## Heterogeneity/subgroup analysis

A substantial level of statistical heterogeneity was observed in both the level of pain relief ( $\chi^2$  1195.56,  $df$  17,  $P < 0.0001$ ) and cement leaks ( $Q = 171.0$ ,  $df$  27,  $P < 0.0001$ ) across studies. This statistical heterogeneity may reflect the variation in patient populations, differing periods of follow up, and methodological quality of studies. The results of the exploration of this heterogeneity are shown in Table 7.

The only factor to show a significant association with the magnitude of BKP pain relief was the combined variable summarising the duration of pain or fracture age ( $P = 0.047$ ). The longer the duration of pain/older the fracture, the smaller the magnitude of pain relief following BKP (correlation coefficient,  $r = -0.49$ ). No

**Table 7** Exploration of heterogeneity (subgroup analysis): change in VAS pain and cement leakage

Pain relief	Univariate <i>P</i> value	Multivariate <i>P</i> value	Cement leakage	Univariate <i>P</i> value	Multivariate <i>P</i> value
Average pre/post mean VAS ( <i>n</i> = 18)	0.059	0.104			
Patient indication (osteoporosis vs. neoplastic) ( <i>n</i> = 18)	0.915	0.723	Patient indication (osteoporosis vs. neoplastic) ( <i>n</i> = 28)	<0.0001	0.013
Average duration of pain or fracture duration (months) ( <i>n</i> = 8)	0.047	Insufficient data	Average duration of pain or fracture duration (months) ( <i>n</i> = 12)	0.420	Insufficient data
Continent of data collection (USA vs. non USA) ( <i>n</i> = 18)	0.735	0.719	Continent of data collection (USA vs. non USA) ( <i>n</i> = 28)	0.553	0.744
Study setting (single vs. multicentre) ( <i>n</i> = 18)	0.610	0.699	Study setting (single vs. multicentre) ( <i>n</i> = 28)	0.641	0.544
Publication year ( <i>n</i> = 18)	0.186	0.149	Publication year ( <i>n</i> = 28)	0.409	0.731
Average duration of follow up (months) ( <i>n</i> = 18)	0.889	0.655	Average duration of follow up (months) ( <i>n</i> = 28)	0.148	0.325
Study sample size ( <i>n</i> = 16)	0.760	0.996	Study sample size ( <i>n</i> = 31)	0.118	0.442
Study design (low bias vs. not) ( <i>n</i> = 18)	0.433	0.840	Study quality (low bias vs. not) ( <i>n</i> = 28)	0.671	0.874
Study design (case series vs. comparative) ( <i>n</i> = 18)	0.592	0.848	Study design (case series vs. comparative) ( <i>n</i> = 28)	0.294	0.732

factors were significant in multivariate analysis. Osteoporotic VCFs appeared to be associated with a higher rate of cement leakage with BKP compared to neoplastic VCFs (13.6 vs. 6.6%) both in univariate ( $P < 0.0001$ ) and multivariate analysis ( $P = 0.013$ ).

A small number of studies were identified that had undertaken a within study subgroup analysis (Table 8). There was little consistent evidence of an association between patient characteristics and BKP outcome.

#### Publication bias

There was evidence of significant funnel plot asymmetry for the each of the outcomes with a sufficient number of studies, i.e. VAS relief ( $P = 0.001$ ), cement leakage ( $P = 0.004$ ), and incident vertebral fractures ( $P = 0.005$ ). Asymmetry can indicate publication bias

(i.e. the omission of studies that are more negative in their conclusions). However, a number of other factors can cause asymmetry including the poor methodological quality of smaller studies, true heterogeneity; size of effect differs according to study size (for example, due to differences in the intensity of interventions, differences in underlying risk between studies of different sizes) or chance [4].

#### Discussion

##### Findings

This update review provides important new findings. First, a number of comparative studies of BKP have recently been published. As commented in a recent

**Table 8** Within study subgroup analyses

Subgroup	Outcome measure	Analysis method	Conclusion
Crandall (2004)	'Acute' (<10 weeks old) versus chronic' fractures (> 4 months old).	<i>t</i> test	= = = Acute > chronic
Garfin (2003/6)	Non recent (>60 days) versus recent (<60 days) fractures	ANOVA	=
Lane (2004)	Indication (osteoporosis vs. multiple myeloma)	<i>t</i> test	= = Osteoporosis > multiple myeloma
Majd (2005)	Age of fracture	ANOVA	= =

ODI Oswestry disability index, ANOVA analysis of variance, =no statistically significant difference between subgroups, >subgroup A has statistically significant superior outcome than subgroup B

editorial, the availability of high quality direct ('head-to-head') comparative evidence is central in confirming BKP's efficacy as seen in case studies [11]. There are now prospective studies of low bias, with follow up of 12 months or more, each of which have demonstrated BKP to be more effective than medical management of osteoporotic VCFs and that BKP is as least as effective as vertebroplasty (ii, iii).

Second, it has been suggested that a major adverse outcome of BKP could be an increase in the rate of incident fractures, particularly in those vertebrae adjacent to the treated fractures [6]. However, this observation is based on indirect comparison of the findings of BKP case series with natural history cohorts, where the case mix of the populations may be quite different. Using prospective direct comparative evidence, we, on the contrary, found a reduction (relative risk 0.35, 95% CI 0.16–0.78) in incident fractures in the 12 months following BKP compared to conventionally treated patients.

Third, an increased body of evidence provides the opportunity to comment more definitely on population factors that might be associated with the level of benefit or harm of BKP. It appears that the magnitude of pain relief following BKP is higher in studies recruiting either individuals with young fractures, and shorter periods of fracture-related pain compared to studies with older fractures or long durations of pain.

Finally, the low risk of complications identified in published studies in this review is in contrast to the retrospective analysis of the FDA safety database by Nussbaum et al. [13] that reported 21 serious adverse events associated with balloon kyphoplasty during the period 1999 to June 2003. This later analysis has received considerable subsequent criticism on its poor methodology, particularly the non-mandatory basis of reporting required by the FDA safety database and the inability to determine the true denominator (events or patients) to which the number of events can apply [12].

The precise mechanism by which BKP facilitates pain relief and improves the functionality of patients remains to be elucidated. It is often argued that BKP might be superior to other inventions, including vertebroplasty and non-operative care, as it works through the recovery of vertebral body height, which, in turn, improves vertebral alignment and, therefore, whole body function [7]. However, the evidence for the association between morphological changes and patient outcomes is limited. Kasperk et al. (iii), found no significant relationship between the change in vertebral height and the change in VAS pain. Similarly, although Crandall and Garfin both found recent fractures were more likely to lead to a gain in vertebral height than

older fractures, there was no difference in pain relief between the two groups (xii and xviii). However, these studies were likely to be underpowered to detect such differences. Given the fact that our review was not a mechanistic one, we did have the opportunity to examine the association between the average morphological changes and average change in pain relief. We found some evidence of moderate correlations between the change in VAS pain with the change in vertebral height ( $r = 0.62$ ,  $P = 0.184$ ) and change in kyphotic angle ( $r = -0.68$ ,  $P = 0.09$ ). Given, that our analysis is at a study level, we acknowledge it is likely to have low power and also liable to confounding. Therefore this explains why a definite association between vertebral height and pain relief cannot be established from this analysis.

### Strengths and limitations

The principal strength of this review is its comprehensiveness. We undertook exhaustive searches of the literature and sought all published and unpublished evidence. Inevitably, any review can be subject to publication bias, i.e. studies with 'positive' results are more likely to be reported and published, while side effects and adverse events are more likely to be underreported.

We recognise there are limitations to this study, both in its methods and also the nature of the evidence identified. Given the high level of statistical heterogeneity in both pain relief and cement leakage following BKP, we sought to explore this based on study level data (e.g. the mean age of patients, the proportion of males, the average duration of fracture pain). However, in view of the relatively limited number of included studies with numerical data, we recognise that it has limited power risk to identifying subgroup relationships. Nevertheless, the finding of few, if any, significant subgroups from our between-group analysis, was consistent within the identified study analyses.

The principal limitation in the interpretation of the findings of this review was the absence of randomised controlled trial (or 'level I') evidence. However, it is important to point out that although case series studies are relatively low in the hierarchy of evidence, well-conducted and adequately reported studies can provide useful data on 'real world' effectiveness and the safety of the procedure. Furthermore, as discussed above, this review has identified a growing number of direct, albeit non-randomised, comparative studies. It is recognised that because of non-random allocation of patients to intervention and control, studies are prone to substantial selection bias and confounding. Nevertheless,

we sought to identify those comparative studies, where the risk of bias might be low, i.e. prospective studies that sought consecutive patients with evidence of similarity in baseline characteristics between comparisons groups, independent outcome assessment and low losses to follow up. We excluded some papers that described a BKP technique that might be considered as non-routine.

#### Implications for further research

This review has identified the need for long-term prospective studies in patients with neoplastic VCFs directly comparing BKP with both conventional medical care and also with vertebroplasty. Three randomised controlled trials of BKP are registered and currently underway (see Table 9). There is an increasing need to

identify those patients who could gain most from BKP and, therefore, represent the most cost effective use of healthcare resources. Potential subgroups that deserve particular consideration include the age of the fracture, multiple compared to single fractures, and degree of morphological dysfunction.

#### Conclusions

There are now prospective studies of low bias, with follow-up of 12 months or more, which demonstrate balloon kyphoplasty to be more effective than medical management of osteoporotic vertebral compression fractures and as least as effective as vertebroplasty. Results from ongoing RCTs will provide further information in the near future.

**Table 9** Registered ongoing randomised controlled trials of BKP

Trial name trial registration #	'FREE' NCT00211211	'CAFÉ' NCT00211237	'CEEP' NCT00279877	KAVIAR NCT00323609
Intervention	Balloon kyphoplasty	Balloon kyphoplasty	Balloon kyphoplasty	Balloon kyphoplasty
Comparator	Medical therapy	Medical therapy	Vertebroplasty	Vertebroplasty
Indication	Vertebral body compression fractures (VCF) due to primary or secondary osteoporosis, multiple myeloma or osteolytic metastatic tumours	Painful vertebral body compression fractures (VCF) in cancer patients including multiple myeloma, metastatic breast and lung cancer	Painful osteoporotic compression fractures	Painful osteoporotic compression fractures
Primary outcome(s)	Quality of life (SF-36)	Pain (VAS), disability (Roland–Morris) and safety	Pain (Roland scale)	Proportion with subsequent fracture
Secondary outcomes	Pain, functional capacity, vertebral height, spinal deformity, healthcare resources, safety, cost effectiveness	Disability, quality of life, back pain, ambulatory status, vertebral height	Quality of life, functional capacity, healthcare care resources, safety, cost effectiveness	Change in back pain; back function; quality of life; rate of serious adverse events; change in vertebral body height and angular deformity; VCF-related health care utilization
Sample size*	300	200	112	1,234
Follow up	Up to 2-years	Up to 1-year	Up to 2-years	Up to 2-years
Setting	Europe Multicentre	US/Europe Multicentre	US Multicenter	Worldwide Multicenter
Recruitment	February 2003	May 2005	31st May 2006	August 2006
Start date	December 2005	Not known	31st May 2007	August 2011
Expected end date				
Principal investigator	Late Professor Oloff Johnell UMAS University hospital Dept. of Orthopedics 20502 Malmö, Sweden	Prof. M. Hussein Cleveland Clinical Myeloma Research Center 9500 Euclid-A, Cleveland OH 44195, USA	Dr. Avery Evans Mayo Clinic 200 1str Street SW, Rochester MN 55905, USA	Dr Jacques Dion, Emory University-Department of Interventional Neuroradiology, 1364 Clifton Road, NE Atlanta, G
Funder	Kyphon	Kyphon	Mayo Clinic, Cardinal, ArthroCare Corporation, Cook	Kyphon

\* Total number of intervention and control patients

## Appendix

### 1. Included studies

#### *Comparative*

i. Fournay DR, Schomer DF, Nader R et al (2003) Percutaneous vertebroplasty and kyphoplasty for painful vertebral body fractures in cancer patients. *J Neurosurg (Spine 1)* 98:21–30E2

ii. Grohs JG, Matzner M, Trieb K, Krepler P (2005) Minimal invasive stabilization of osteoporotic vertebral fractures: a prospective nonrandomised comparison of vertebroplasty and balloon kyphoplasty. *J Spinal Disord Tech* 18:238–242

iii. (i) Kasperk C, Hillmeier J, Noldge G, Grafe IA, Dafonseca K, Raupp D, Bardenheuer H, Libicher M, Liegibel UM, Sommer U, Hilscher U, Pyerin W, Vetter M, Meinzer HP, Meeder PJ, Nawroth P, Taylor RS (2005) Treatment of painful vertebral fractures by kyphoplasty in patients with primary osteoporosis: a prospective nonrandomised controlled study. *J Bone Miner Res* 20:604–612

(ii) Grafe IA, Da Fonseca K, Hillmeier J, Meeder PJ, Libicher M, Noldge G, Bardenheuer H, Pyerin W, Basler L, Weiss C, Taylor RS, Nawroth P, Kasperk C (2005) Reduction of pain and fracture incidence after kyphoplasty: 1-year outcomes of a prospective controlled trial of patients with primary osteoporosis. *Osteoporos Int* 16:2005–2012

(iii) Grafe I, DeFonseca K, Hillmeier J, Meeder P-J, Libicher M, Nodge G, ommer M, Hilscher U, Naworth P, Kasperk C. Kyphoplasty persistently reduces pain in patients with osteoporotic vertebral compression fractures—3 year outcome of a prospectively controlled cohort study. IOF World Congress of Osteoporosis. 2nd June 2006, Toronto, Canada

iv. Komp M, Ruetten S, Godolias G (2004) Minimally invasive therapy for functionally unstable osteoporotic vertebral fracture by means of kyphoplasty: prospective comparative study of 19 surgically and 17 conservatively treated patients. *J Miner Stoffwechs* 11(Suppl 1):13–15

v. Masala S et al (2004) Vertebroplasty and kyphoplasty in treatment of malignant vertebral fractures. *J Chemotherapy* 16(Suppl 5):30–33

vi. Nussbaum DA, Gailloud P, Murphy K (2004) A review of complications associated with vertebroplasty and kyphoplasty as reported to the Food and Drug Administration medical device related web site. *J Vas Interven Radiol* 15:1185–1192

vii. Pflugmacher R, Kandziora K, Schröder R, Schleicher P, Scholz M, Schnake Haas K, Khodadad-

yan-Klostermann C (2005) Vertebroplasty and kyphoplasty in osteoporotic fractures of vertebral bodies—a prospective 1-year follow-up analysis. *Fortschr Röntgenstr* 177:1670–1676

viii. Weisskopf M, Herlein S, Birnbaum K et al (2003) Kyphoplasty—a new minimal invasive treatment for repositioning and stabilising vertebral bodies. *Zeit fur Orthop und Inre Grenz* 141:406–411

#### *Case series*

ix. Atalay B, Caner H, Gokce C, Altinors N (2005) Kyphoplasty: 2 years of experience in a neurosurgery department. *Surg Neurol* 64(Suppl 2):S72–S76

x. Buisson T, Beaudic Y, Godard J, Czorny A, Grumblat A (2005) Fractures du rachis traitées par cyphoplastie avec ballonets. Etude preliminary sur 6 cas. *La Revue de l'ADPHSO* 30:79–83

xi. Coumans JV, Reinhardt M-K, Lieberman IH (2003) Kyphoplasty for vertebral compression fractures: 1-year clinical outcomes from a prospective study. *J Neurosurg* 99(Suppl 1): 44–50

xii. Crandall D, Slaughter D, Hankins PJ, Moore C, Jerman J (2004) Acute versus chronic vertebral compression fractures treated with kyphoplasty: early results. *Spine J* 4:418–424

xiii. Darius T, Vanderschot P, Broos P (2003) Balloon kyphoplasty: a new treatment option for painful osteoporotic vertebral body compression fractures. *Tijdschrift voor Geneekunde* 59:1141–1152

xiv. Dudeney S, Lieberman IH, Reinhardt M-K et al (2002) Kyphoplasty in the treatment of osteolytic vertebral compression fractures as a result of multiple myeloma. *J Clin Oncol* 20:2382–2387

xv. Feltes C, Fountas KN, Machinis T, Nikolakakos LG, Dimopoulos V, Davydov R, Kassam M, Johnston KW, Robinson JS (2005) Immediate and early post-operative pain relief after kyphoplasty without significant restoration of vertebral body height in acute osteoporotic vertebral fractures. *Neurosurg Focus* 18:e5

xvi. Fribourg D, Tang C, Sra P, Delamarter R, Bae H (2004) Incidence of subsequent vertebral fracture after kyphoplasty. *Spine* 29:2270–2276

xvii. Gaitanis IN, Hadjipavlou AG, Katonis PG, Tzermiadianos MN, Pasku DS, Patwardhan AG (2005) Balloon kyphoplasty for the treatment of pathological vertebral compressive fractures. *Eur Spine J* 14:250–256

xviii. (i) Garfin SR. A multi-center postmarketing registry to assess outcomes of treatment of vertebral body compression fractures with an inflatable bone tamp. Final report 2003 (Kyphon registry report—unpublished)

- (ii) Garfin SR, Buckley RA, Ledlie J (2006) Balloon kyphoplasty for symptomatic vertebral body compression fractures results in rapid, significant, and sustained improvements in back pain, function, and quality of life for elderly patients. *Spine* (in press)
- xix. Grohs JG, Krepler P (2004) Minimal-invasive stabilisierung osteoporotischer wirbelkörperbrüche. *Radiologe* 44:254–259
- xx. Harrop JS, Prpa B, Reinhardt MK, Lieberman I (2004) Primary and secondary osteoporosis' incidence of subsequent vertebral compression fractures after kyphoplasty. *Spine* 29:2120–2125
- xxi. Hillmeier J, Meeder PJ, Noldge G et al (2003) Minimal invasive reduction and internal stabilisation of osteoporotic vertebral body fracture. *Oper Orthop Traumatol* 4:343–362
- xxii. Hillmeier J, Grafe I, Da Fonseca K, Meeder PJ, Noldge G, Libicher M, Kock HJ, Haag M, Kasperk C (2004) The evaluation of balloon kyphoplasty for osteoporotic vertebral fractures. An interdisciplinary concept. *Orthopade* 33:893–904
- xxiii. Khanna AJ, Reinhardt MK, Togawa D, Lieberman IH (2006) Functional outcomes of kyphoplasty for the treatment of osteoporotic and osteolytic vertebral compression fractures. *Osteoporos Int* 17:817–826
- xxiv. Kasperk C et al (2003) Kyphoplastie—Konzept zur behandlung schmerzhafter wirbelkörperbruch. *Deutsches Ärzteblatt* 100:1748–1753
- xxv. Lane JM, Hong R, Koob J, Kiechle T, Nievizky R, Pearse R, Siegel D, Poynton AR (2004) Kyphoplasty enhances function and structural alignment in multiple myeloma. *Clin Orthop Relat Res* 426:49–53
- xxvi. (i) Ledlie JT, Renfro MB (2005) Decreases in the number and severity of morphometrically defined vertebral deformities after kyphoplasty. *Neurosurg Focus* 18:e4
- (ii) Ledlie JT, Renfro M (2003) Balloon kyphoplasty: one-year outcomes in vertebral body height restoration, chronic pain and activity levels. *J Neurosurg (Spine 1)* 98(Suppl 1):36–42
- (iii) Ledlie JT, Refro MB (2006) Kyphoplasty treatment of vertebral fractures: 2-year outcomes show sustained benefit. *Spine* 31:57–64
- xxvii. Lieberman IH, Dudeney S, Reinhardt M-K et al (2001) Initial outcome and efficacy of “kyphoplasty” in the treatment of painful osteoporotic vertebral compression fractures. *Spine* 26:1631–1638
- xxviii. Lieberman I, Reinhardt MK (2003) Vertebroplasty and kyphoplasty for osteolytic vertebral collapse. *Clin Orthop Relat Res* 415S:S176–S186
- xxix. Libicher M, Vetter M, Wolf I, Noeldge G, Kasperk C, Grafe I, Da Fonseca K, Hillmeier J, Meeder PJ, Meinzer HP, Kauffmann GW (2005) CT volumetry of intravertebral cement after kyphoplasty. Comparison of polymethylmethacrylate and calcium phosphate in a 12-month follow-up. *Eur Radiol* 13:1544–1549
- xxx. Majd ME, Farley S, Holt R (2005) Preliminary outcomes and efficacy of the first 360 consecutive kyphoplasties for the treatment of painful osteoporotic vertebral compression fractures. *Spine J* 5:244–255
- xxxi. Masala S, Cesaroni A, Sergiacomi G, Fiori R et al (2004) Percutaneous kyphoplasty: new treatment for painful vertebral body fractures. *In Vivo* 18:149–154
- xxxii. Masala S, Fiori R, Massari F, Simonetti G (2005) Kyphoplasty: indications, contraindications and technique. *La Radiologia Medica* 110:97–105
- xxxiii. Phillips FM, Wetzel FT, Lieberman I et al (2002) An in vivo comparison of the potential for extravertebral cement leak after vertebroplasty and kyphoplasty. *Spine* 27:2173–2178
- xxxiv. Phillips FM, Ho E, Campbell-Hupp M et al (2003) Early radiographic and clinical results of balloon kyphoplasty for the treatment of osteoporotic vertebral compression fractures. *Spine* 28
- xxxv. Pradhan BB, Bae HW, Kropf MA, Patel VV, Delamarter RB (2006) Kyphoplasty reduction of osteoporotic vertebral compression fractures: correction of local kyphosis versus overall sagittal alignment. *Spine* 31:435–441
- xxxvi. Rhyne A, Banit D, Laxer E, Odum S, Nussman D (2004) Kyphoplasty: report of eighty-two thoracolumbar osteoporotic vertebral fractures. *J Orthop Trauma* 18:294–299
- xxxvii. Tang H, Lu Y, Wang BQ, Chen H (2005) The study of treatment of osteoporotic thoracolumbar multi-vertebral compressive fractures by kyphoplasty with one balloon. *Zhonghua Wai Ke Za Zhi* 43:1568–7151
- xxxviii. Theodorou DJ, Theodorou SJ, Duncan TD et al (2002) Percutaneous balloon kyphoplasty for the correction of spinal deformity in painful vertebral compression fractures. *J Clin Imaging* 26:1–5
- xxxix. Villavicencio AT, Burneikiene S, Bulsara K, Thramann JT (2005) Intraoperative three-dimensional fluoroscopy-based computerized tomography guidance for percutaneous kyphoplasty. *Neurosurg Focus* 18:E3
- xl. Voggenreiter G, Brocker K, Düber C, Obertacke U, Röhl B, Sadik M (2004) Behandlungsergebnisse der Ballonkyphoplastik bei osteoporotischen Wirbelkörperfrakturen im höheren Lebensalter. *J für Mineralstoffwechsel* 11:12–14

xli. Voggenreiter G (2005) Balloon kyphoplasty is effective in deformity correction of osteoporotic vertebral compression fractures. *Spine* 30:2806–2812

xlii. Wilhelm K, Stoffel M, Ringel F et al (2003) Preliminary experience with balloon kyphoplasty for the treatment of painful osteoporotic fractures. *Rofo Fortschritte auf dem Gebiete der Rontgenstrahlen und der Neuen Bildgebenden Verfahren* 175:1690–1696

xliii. Yang HL, Niu GQ, Liang DC, Wang GL, Meng B, Chen L, Lu J, Zhou Y, Mao HQ, Zhao LJ, Liu XY, Gu XH, Ni CF, Tang TS (2004) The contrast study between single and double balloon bilateral dilatation of kyphoplasty *Chin J Surg* 42:1299–1302

## 2. Studies excluded on the basis of full paper

Acosta FL Jr, Aryan HE, Taylor WR, Ames CP (2005) Kyphoplasty-augmented short-segment pedicle screw fixation of traumatic lumbar burst fractures: initial clinical experience and literature review. *Neurosurg Focus* 18:e9. (burst fractures and combined treatment)

Berlemann U, Franz T, Orlor R, Heini PF (2004) Kyphoplasty for treatment of osteoporotic vertebral fractures: a prospective non-randomised study. *Eur Spine J* 13:496–501 (open procedure and traumatic fractures)

Heini PF, Orlor R (2004) Kyphoplasty for treatment of osteoporotic fractures. *Eur Spine J* 13:184–192 (duplicate publication)

Boszczyk BM, Bierschneider M, Hauck S, Vastmans J, Potulski M, Beisse R, Robert B, Jaksche H (2004) Conventional and semi-open kyphoplasty. *Orthopede* 33:13–21 (review and open procedure)

Boszczyk BM et al (2004) Microsurgical interlaminary vertebro- and kyphoplasty for severe osteoporotic fractures. *J Neurosurg (Spine 1)* 100:32–37 (open procedure)

de Falco R, Scarano E, Di Celmo D, Grasso U, Guarnieri L (2005) Balloon kyphoplasty in traumatic fractures of the thoracolumbar junction. Preliminary experience in 12 cases. *J Neurosurg Sci* 49:147–153 (traumatic fractures)

Deen HG, Nottmeier EW (2005) Balloon kyphoplasty for treatment of sacral insufficiency fractures. Report of three cases. *Neurosurg Focus* 18(3):e7 (type of fracture)

Deen HG, Aranda-Michel J, Reimer R, Putzke JD (2005) Preliminary results of balloon kyphoplasty for vertebral compression fractures in organ transplant recipients. *Neurosurg Focus* 18(3):e6 (patient population)

Gerszten PC, Germanwala A, Burton SA, Welch WC, Ozhasoglu C, Vogel WJ (2005) Combination kyphoplasty and spinal radiosurgery: a new treatment

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