



1 **BCC-CSM2-HR: A High-Resolution Version of the Beijing Climate**
2 **Center Climate System Model**

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28 **Abstract**

29 BCC-CSM2-HR is a high-resolution version of the Beijing Climate Center (BCC)
30 Climate System Model. Its development is on the basis of the medium-resolution
31 version BCC-CSM2-MR which is the baseline for BCC participation to the Coupled
32 Model Intercomparison Project Phase 6 (CMIP6). This study documents the
33 high-resolution model, highlights major improvements in the representation of
34 atmospheric dynamic core and physical processes. BCC-CSM2-HR is evaluated for
35 present-day climate simulations from 1971 to 2000, which are performed under
36 CMIP6-prescribed historical forcing, in comparison with its previous
37 medium-resolution version BCC-CSM2-MR. We focus on basic atmospheric mean
38 states over the globe and variabilities in the tropics including the tropic cyclones
39 (TCs), the El Niño–Southern Oscillation (ENSO), the Madden-Julian
40 Oscillation (MJO), and the quasi-biennial oscillation (QBO) in the stratosphere. It is
41 shown that BCC-CSM2-HR keeps well the global energy balance and can realistically
42 reproduce main patterns of atmosphere temperature and wind, precipitation, land
43 surface air temperature and sea surface temperature. It also improves in the spatial
44 patterns of sea ice and associated seasonal variations in both hemispheres. The bias of
45 double intertropical convergence zone (ITCZ), obvious in BCC-CSM2-MR, is almost
46 disappeared in BCC-CSM2-HR. TC activity in the tropics is increased with resolution
47 enhanced. The cycle of ENSO, the eastward propagative feature and convection
48 intensity of MJO, the downward propagation of QBO in BCC-CSM2-HR are all in a
49 better agreement with observation than their counterparts in BCC-CSM2-MR. We
50 also note some weakness in BCC-CSM2-HR, such as the excessive cloudiness in the
51 eastern basin of the tropical Pacific with cold Sea Surface Temperature (SST) biases
52 and the insufficient number of tropical cyclones in the North Atlantic.

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55 **1. Introduction.**

56 Accurately modeling climate and weather is a major challenge for the scientific
57 community and needs high spatial resolution. However, many climate models, such as
58 those involved in the Fifth Assessment Report on Climate Change (IPCC AR5), still
59 use a spatial resolution of hundreds of kilometers (Flato et al., 2013). This nominal
60 resolution is suitable for global-scale applications that run simulations for centuries
61 into the future, but fails to capture small-scale phenomena and features that influence
62 local or regional weather and climate events. This resolution is fine enough to
63 simulate mid-latitude weather systems which evolve in thousands of kilometers, but
64 insufficient to describe convective cloud systems that rarely extend beyond a few tens
65 of kilometers. The study of Strachan et al. (2013) showed that while the average
66 tropical cyclone number can be well simulated at a resolution of around 130 km, but
67 grids finer than 60 km are needed to properly simulate the inter-annual variability of
68 cyclone counts. Higher horizontal resolutions (i.e., 50 km) can further improve the
69 simulated climatology of tropical cyclones (e.g., Oouchi et al., 2006; Zhao et al., 2009;
70 Murakami et al., 2012; Manganello et al., 2012; Bacmeister et al., 2014; Wehner et al.,
71 2015; Reed et al., 2015; Zarzycki et al., 2016). Growing evidence showed that
72 high-resolution models (50 km or finer in the atmosphere) can reproduce the observed
73 intensity of extreme precipitation (Wehner et al., 2010; Endo et al., 2012; Sakamoto et
74 al., 2012). Some phenomena are sensitive to increasing resolution such as ocean
75 mixing (Small et al., 2015), diurnal cycle of precipitation (Sato et al., 2009; Birch et
76 al., 2014; Vellinga et al., 2016), QBO (Hertwig et al., 2015), the MJO's representation
77 (Peatman et al., 2015), and monsoons (Sperber et al., 1994; Lal et al., 1997; Martin et
78 al., 1999). Some small-scale processes such as mid-latitude storms and tropical
79 cyclones, and ocean eddies also feedback on the simulated large-scale circulation,
80 climate variability and extremes (Smith et al., 2000; Masumoto et al., 2004; Mizuta et
81 al., 2006; Shaffrey et al., 2009; Masson et al., 2012; Doi et al., 2012; Rackow et al.,
82 2016). Many studies (e.g. Ohfuchi et al., 2004; Zhao et al., 2009; Walsh et al., 2012;
83 Bell et al., 2013; Strachan et al., 2013; Kinter et al. 2013; Demory et al., 2014;
84 Schiemann et al., 2014; Small et al. 2014; Shaevitz et al., 2014; Hertwig et al., 2015;



85 Murakami et al., 2015; Hertwig et al., 2015; Roberts et al. 2016; Hewitt et al. 2016;
86 Roberts C.D. et al, 2018; Roberts M.J. et al., 2019) show that enhanced horizontal
87 resolution in atmospheric and ocean models has many beneficial impacts on model
88 performance and helps to reduce model systematic biases.

89 High-resolution climate system modelling becomes a key activity within the
90 climate research community, although increasing model resolution needs considerable
91 computational resources. In 2004, the first high-resolution global climate model
92 produced its first simulations within the Japanese Earth Simulator (Ohfuchi et al.,
93 2004; Masumoto et al., 2004). At present day, performing high-resolution climate
94 simulations for saying 50 km in the atmosphere and 0.25° in the ocean is still a very
95 costly effort and can be realized only at a few research centers (e.g. Shaffrey et al.,
96 2009; Delworth et al., 2012; Mizielinski et al., 2014; Bacmeister et al., 2014; Satoh et
97 al., 2014; Roberts et al., 2018). A High Resolution Model Intercomparison Project
98 (HighResMIP, Haarsma et al., 2016) is proposed as the primary activity within Phase
99 6 of the Coupled Model Intercomparison Project (CMIP6, Eyring et al., 2016) to
100 investigate the impact of horizontal resolution on climate simulation fidelity and
101 systematic model biases.

102 As a main climate modelling center in China (Wu et al., 2010, 2013, 2014, 2019,
103 2020; Xin et al., 2013, 2019; Li et al., 2019; Lu et al., 2020a,b), Beijing Climate
104 Center (BCC), China Meteorological Administration, also put important efforts in
105 developing high-resolution fully-coupled Beijing Climate Center Climate System
106 Model (BCC-CSM-HR) (Yu et al., 2014). The currently released version
107 (BCC-CSM2-HR, Table 1) is one of the three BCC model versions (Wu et al., 2019)
108 involved in CMIP6 to run HighResMIP experiment. It is now in its pre-operational
109 phase to become the next generation Beijing Climate Center Climate Prediction
110 System to produce forecasts at leading times of two weeks to 1 year. The purpose of
111 this paper is to evaluate its performance by comparing it with the previous version of
112 medium resolution (BCC-CSM2-MR, Wu et al., 2019). In particular, we evaluate
113 their performance to simulate large-scale mean climate and some important
114 phenomena such as the ITCZ, tropical cyclones (TCs), MJO, and QBO which are



115 expected to be improved with enhanced resolution. A relevant description of
116 BCC-CSM2-HR is shown in Section 2, and the experiment design is shown in Section
117 3. Main results of model performance are presented in Section 4.

118 **2. Model description at high-resolution configuration**

119 Due to the diversity of research and operational needs in BCC, a basic rule that
120 we imposed to ourselves in the development of BCC-CSMs (Wu et al., 2019) is the
121 construction of a traceable hierarchy of model versions running from a coarse grid
122 (T42, approximately 280km), to a medium grid (T106, approximately 110×110 km),
123 and to fine grid (T266, around 45×45 km). Actually, we fulfilled our target with an
124 achievement to all of these model versions. All of them are fully-coupled models with
125 four components, atmosphere, ocean, land surface and sea-ice, interacting with each
126 other (Wu et al., 2013, 2019, 2020). They are physically coupled through fluxes of
127 momentum, energy, water at their interfaces. The ocean - atmosphere coupling
128 frequency is 30 minutes, which is sufficient to account for the diurnal cycle. As
129 shown in Table 1, the medium resolution of BCC-CSM2-MR is at T106 for the
130 atmosphere and has 46 layers with its model lid at 1.459 hPa. The resolution of the
131 global ocean is of 1°lat.×1°lon. on average, but 1/3° lat.×1°lon. for the tropical oceans.
132 BCC-CSM2-MR was described in detail in Wu et al. (2019). The atmosphere
133 resolution of BCC-CSM2-HR is T266 on the globe and 56 layers with the top layer at
134 0.156 hPa (Figure 1) and model lid at 0.092 hPa (Table 1). The ocean and sea ice
135 resolution in BCC-CSM2-HR is 1/4°lat.×1/4°lon. and 40 layers in depth. Compared to
136 BCC-CSM2-MR, BCC-CSM2-HR is updated for its dynamical core and model
137 physics in the atmospheric component (Table 1). The ocean and sea ice components
138 are also updated from MOM4 and SIS4 (in BCC-CSM2-MR) to MOM5 and SIS5,
139 respectively. The land component in the two versions of BCC-CSMs is BCC AVIM
140 version 2 (Li et al., 2019).

141 **2.1 Atmosphere Model**

142 The atmospheric component of BCC-CSM2-MR is the medium resolution
143 BCC-AGCM3-MR, with details being described in Wu et al. (2019) and in a series of



144 relevant publications (Wu et al., 2008, 2010; Wu, 2012; Wu et al., 2013; Lu et al.,
145 2013; Wu et al., 2019; Lu et al., 2020a; Wu et al., 2020). The dynamic core in
146 BCC-AGCM3-MR uses the spectral framework as described in Wu et al. (2008), in
147 which explicit time difference scheme is applied to vorticity equation, semi-implicit
148 time difference scheme for divergence, temperature, and surface pressure equations,
149 and semi-Lagrangian tracer transport scheme is used for water vapor, liquid cloud
150 water and ice cloud water. The main model physics in BCC-AGCM3-MR was
151 described in Wu et al. (2019), which includes the modified scheme of deep
152 convection suggested by Wu (2012), a new diagnostic scheme of cloud amount (Wu
153 et al, 2019), shallow convection transport scheme (Hack, 1994), the stratiform cloud
154 microphysics followed the framework of non-convective cloud processes in NCAR
155 Community Atmosphere Model version 3 (CAM3, Collins et al., 2004) but a
156 noticeable treatment for indirect effects of aerosols through mechanisms of clouds and
157 precipitation, the radiative transfer parameterization that originally implemented in
158 CAM3, a modified boundary layer turbulence parameterization based on the eddy
159 diffusivity approach (Holtslag and Boville, 1993), and treatments of gravity waves
160 that are generated by a variety of sources including orography and convection (Lu et
161 al., 2020a).

162 The atmospheric component in BCC-CSM2-HR is the newly-developed version
163 of high resolution BCC-AGCM3-HR. Main differences between BCC-AGCM3-HR
164 and BCC-AGCM3-MR are listed in Table 1, and we will detail them in the following
165 sections. They respectively used a spatially-variable divergence damping scheme,
166 amelioration of Wu's deep convective scheme (Wu, 2012), and integrated
167 consideration for shallow convection and boundary layer processes.

168 **a. Spatially-variable divergence damping**

169 The performance of a climate model is largely determined by complex motions
170 at different spatial-temporal scales and interaction of these scales. Subgrid-scale
171 motions are generally caused by high-frequency waves, and they can exert impacts on
172 the computational stability especially for a high-resolution model. Horizontal



173 divergence damping is often used to control numerical noise in weather forecast
174 models and for numerical stability reasons (Dey, 1978; Bates et al., 1993; Whitehead
175 et al., 2011).

176 In BCC-AGCM, a second-order and a fourth-order horizontal Laplacians (∇^2
177 and ∇^4) are used to realize the damping operation on the divergence field D:

$$178 \quad \frac{\partial D}{\partial t} = \dots + k_2 \nabla^2 D, \quad (1)$$

179 and

$$180 \quad \frac{\partial D}{\partial t} = \dots - k_4 \nabla^4 D, \quad (2)$$

181 where k_2 and k_4 express the damping coefficient for the second-order and
182 fourth-order dissipation operators, respectively. They are generally set as constant
183 parameters. The second-order damping is used for the top three layers and the
184 fourth-order damping for other layers.

185 Whitehead et al. (2011) proposed a horizontal divergence damping scheme that
186 works on a latitude–longitude grid by using a linear von Neumann analysis. Here, we
187 extended their idea to the spectral dynamic core in our high-resolution model
188 BCC-AGCM3-HR, and we use a second-order horizontal damping operator with
189 spatially-variable damping coefficient. In order to express the spacing dependence of
190 the dissipation, an additional term is introduced in Eqs. (1) and (2) as:

$$191 \quad \frac{\partial D}{\partial t} = \dots + k_2 \nabla^2 D + k_v \nabla^2 D \quad (3)$$

192 and

$$193 \quad \frac{\partial D}{\partial t} = \dots - k_4 \nabla^4 D + k_v \nabla^2 D. \quad (4)$$

194 where

$$195 \quad k_v = C_s \frac{[A_E \Delta \varphi][A_E \Delta \lambda]}{\Delta t}. \quad (5)$$

196 Here, k_v is dependent on the time-step Δt and grid spacing. A_E in Eq. (5) is the
197 radius of the earth. $\Delta \varphi$ and $\Delta \lambda$ stand for the latitudinal and longitudinal grid
198 spacings, respectively. The parameter C_s is designed to depend on vertical position
199 as,

$$200 \quad C_s = C_{s0} \max \left(1, 8 \left\{ 1 + \tanh \left[\ln \left(\frac{p_{\text{top}}}{p_k} \right) \right] \right\} \right),$$



201 where C_{s0} is a constant and related to model resolution, p_{top} and p_k are the
202 pressures at the top layer and the k th one of the model. This dependence is to
203 introduce a diffusive sponge layer near the model top to absorb rather than reflect
204 outgoing gravity waves (Whitehead et al., 2011). It means that the strength and
205 frequency of the polar instabilities increase near the model top due to this increased
206 damping coefficient, requiring a stronger diffusive operator to remove them, perhaps
207 in addition to the polar Fourier filter. This spatially-variable damping scheme can
208 improve the atmospheric temperature simulation in the stratosphere at polar areas of
209 both hemispheres. This is possibly much more damping the meridional wave, as
210 Whitehead et al. (2011) pointed out employing a damping coefficient that neglects the
211 latitudinal variation of the grid cell area will likely damp these meridional waves more
212 effectively.

213 **b. Deep convection**

214 In previous version of BCC-AGCM3-MR used in BCC-CSM2-MR, a modified
215 scheme of deep cumulus convection developed by Wu (2012) is used (Wu et al.,
216 2019). It is characterized as:

217 (1) Deep convection is initiated at the level of maximum moist static energy
218 above the boundary layer, and the convection is triggered only when the boundary
219 layer is unstable or there exists updraft velocity in the environment at the lifting level
220 of convective cloud, and simultaneously there is positive convective available
221 potential energy (CAPE).

222 (2) A bulk cloud model is used to calculate the convective updraft with
223 consideration of budgets for mass, dry static energy, moisture, cloud liquid water, and
224 momentum, and the entrainment/detrainment amount for the updraft cloud parcel is
225 determined according to the increase/decrease of updraft parcel mass with altitude.

226 (3) The convective downdraft is assumed to be saturated and originated from the
227 level of minimum environmental saturated equivalent potential temperature within the
228 updraft cloud.

229 (4) The closure scheme determines the mass flux at the base of convective cloud,



230 and depends on the decrease/increase of CAPE resulting from large-scale processes.

231 Along with increasing resolution in BCC-AGCM3-HR, the detrained cloud water
232 can be transported to its adjacent grid boxes inside a model time step. Part of the
233 horizontally-transported cloud water is assumed to be transferred downward to lower
234 troposphere and the amount of downward transferred water vapor is determined by
235 the convective cloud water change with time. These modifications of the deep
236 convection scheme are found in favor for improving the simulation of eastward
237 propagation of MJO in the tropics, and their details will be presented in another paper.

238 c. Boundary layer turbulence

239 BCC-CSM2-HR employs the University of Washington Moist Turbulence
240 (UWMT) scheme as proposed in Bretherton and Park (2009) to replace the dry
241 turbulence scheme of Holtslag and Boville (1993). The latter was used in
242 BCC-CSM2-MR. In UWMT, the first-order K diffusion is used to represent all
243 turbulences, by which the turbulent fluxes of a variable χ are written as

$$244 \quad \overline{w\chi} = -K_\chi \frac{\partial \chi}{\partial z} . \quad (6)$$

245 The eddy diffusivity, K_χ , is calculated based on the turbulent kinetic energy (TKE, e)
246 and proportional to the stability-corrected length scale lS_χ , given by

$$247 \quad K_\chi = lS_\chi \sqrt{e} . \quad (7)$$

248 In the case of an inversion layer at the top of convective BLs, the diffusivity is
249 parameterized with

$$250 \quad K_\chi = w_e \Delta z_e , \quad (8)$$

251 where w_e is the entrainment rate and Δz_e is the thickness of the entrainment layer.

252 The UWMT scheme uses the Nicholls and Turton (1986) w^* entrainment closure:

$$253 \quad w_e = A \frac{w_*^3}{(g \Delta^E s_{vl} / s_{vl})(z_t - z_b)} . \quad (9)$$

254 Here, w^* is the convective velocity, z_t and z_b are the top and bottom heights of the
255 entrainment layer, Δ^E denotes a jump across the entrainment layer, and s_{vl} is the
256 liquid virtual static energy. A is a nondimensional entrainment efficiency, which is



257 affected by evaporative cooling of mixtures of cloud-top and above-inversion air.

258 Compared to dry convective BLs over land which is mainly forced by the surface
259 heating, the structure of marine stratocumulus-topped BLs depends strongly on
260 dominant turbulence generating mechanism resulting from both evaporative and
261 radiative cooling at cloud top. The UWMT scheme aims to provide a more physical
262 and realistic treatment of marine stratocumulus-topped BLs and it has been
263 demonstrated that the observed patterns of low-cloud amount with maxima in the
264 subtropical stratocumulus decks can be well reproduced by UWMT in the Community
265 Atmosphere Model (Park and Bretherton, 2009). The implementation of the UWMT
266 scheme in BCC-CSM2-HR is aimed to improve the simulation of the low-level clouds
267 over subtropical eastern oceans and these improvements are found critical to reduce
268 the double-ITCZ bias of precipitation (Lu et al., 2020b).

269 **d. Shallow convection**

270 BCC-CSM2-HR basically inherits the shallow convection parameterization used
271 in BCC-CSM2-MR, which is a stability-dependent mass-flux representation of moist
272 convective processes with the use of a simple bulk three-level cloud model, as in
273 Hack (1994). Specifically, in a vertically discrete model atmosphere where the level
274 index k decreases upward and considering the case where layers k and $k+1$ are moist
275 adiabatically unstable, the Hack scheme assumes the existence of a non-entraining
276 convective element with roots in level $k+1$, condensation and rain out processes in
277 level k , and limited detrainment in level $k-1$. By repeated application of this procedure
278 from the bottom of the model to the top, the thermodynamic structure is locally
279 stabilized.

280 The Hack shallow cumulus scheme can be also active in moist turbulent mixing,
281 such as stratocumulus entrainment, which has different physical characteristics than
282 cumulus convection. Shallow cumulus is usually regarded as a decoupled BL regime
283 in which the vertical mixing processes do not achieve a single well-mixed layer, while
284 the stratocumulus regime represents a well-mixed BL up to cloud top. The decoupling
285 criterion to distinguish between the two regimes is of great importance for simulating



286 the stratocumulus-to-cumulus transition (Bretherton and Wyant, 1997; Wood and
287 Bretherton, 2004). A number of these decoupling criteria have been explored, such as
288 static stability (Klein and Hartmann, 1993) and buoyancy flux integral ratio (Turton
289 and Nicholls, 1987). In the light of its robustness, the stability criterion with a
290 threshold of 17.5 K is introduced into the Hack scheme. The lower tropospheric
291 stability (LTS) is defined as

$$292 \quad LTS = \theta_{700hPa} - \theta_{sfc}, \quad (10)$$

293 where θ_{700hPa} and θ_{sfc} are potential temperatures at 700 hPa and surface,
294 respectively. In BCC-CSM2-HR, the modified Hack scheme is activated only in the
295 decoupled BL regimes with $LTS < 17.5$ K to remove adiabatically moist instability.
296 This modification to the triggering of shallow convection is found to improve the
297 simulation of the ITCZ precipitation (Lu et al., 2020b).

298 **2.2 Land surface model**

299 The land surface component of BCC-CSM2-MR and BCC-CSM2-HR is the
300 Beijing Climate Center Atmosphere-Vegetation Interaction Model (BCC-AVIM). It is
301 a comprehensive land surface scheme developed and maintained in BCC. The version
302 1 (BCC-AVIM1.0) was used as the land component in BCC-CSM1.1m participating
303 in CMIP5 (Wu et al., 2013). The land component in BCC-CSM2-MR is BCC-AVIM
304 version 2.2 (Li et al., 2019). It includes major land surface biophysical and plant
305 physiological processes (Ji, 1995; Ji et al., 2008), with 10 layers for soil and up to five
306 layers for snow. The details may refer to Li et al. (2019). The main difference between
307 BCC-AVIM2.2 and BCC-AVIM2.3 is in the sub-grid surface classification.

308 **2.3 Ocean and Sea Ice Models**

309 The ocean component of BCC-CSM2-HR is MOM5 (Modular Ocean Model,
310 version 5.1) developed by the Geophysical Fluid Dynamics Laboratory (GFDL,
311 Griffies, 2012). The model is based on the hydrostatic primitive equations and uses
312 the Boussinesq approximation. The model uses Arakawa B-grid in the horizontal,
313 with a globally uniform 0.25° resolution. The quasi-horizontal rescaled height



314 coordinate, namely, z^* vertical coordinate is employed for enhancing flexibility of
315 model applications and comforts of algorithms. There are 50 levels in the vertical,
316 with a resolution of 10 m in the upper ocean and 367 m at the ocean bottom. The
317 tracer advection scheme used in both the horizontal and vertical is the
318 multi-dimensional piecewise parabolic method (MDPPM), which is of higher order
319 and more accurate (less dissipative). MOM5 has a complete set of physical processes
320 with advanced parameterization schemes. Effect of mesoscale eddies is taken into
321 account through the neutral diffusion scheme of Griffies et al. (1998) with a constant
322 diffusivity of $800 \text{ m}^2 \text{ s}^{-1}$ and the neutral slope tapering scheme of Danabasoglu and
323 McWilliams (1995) with the maximum slope of 1/200. The K-profile
324 parameterization (KPP) is used to parameterize ocean surface boundary layer
325 processes (Large et al., 1994). MOM5 uses the optical scheme of Manizza et al. (2005)
326 to define the light attenuation exponentials. SeaWiFS chlorophyll-a monthly
327 climatology is used in the calculation of the attenuation of shortwave radiation
328 entering the ocean layers with a maximum depth set at 200m. The re-stratification
329 effects of sub-mesoscale eddies in the ocean surface mixed layer are parameterized
330 with the sub-mesoscale scheme of Fox-Kemper et al. (2008) and Fox-Kemper et al.
331 (2011). The ocean component of BCC-CSM2-MR is MOM4-L40, also developed by
332 the GFDL (Griffies et al., 2005). It has a nominal resolution of $1^\circ \times 1^\circ$ with a tri-pole
333 grid, and the actual resolution is from $1/3^\circ$ latitude between 10°S and 10°N to 1° at 60°
334 latitude. There are 40 levels in the vertical. More details are referred to Wu et al.
335 (2019). The sea-ice component of BCC-CSM2-HR and BCC-CSM2-MR is SIS (Sea
336 Ice Simulator) developed by GFDL (Delworth et al., 2006). SIS employs Semtner's
337 scheme for the vertical thermodynamics and contains full dynamics with internal ice
338 forces calculated using an elastic-viscous-plastic rheology. SIS has three vertical
339 layers, including one snow cover and two ice layers of equal thickness. The sea-ice
340 component operates on the same oceanic grid and has the same horizontal resolution.

341 **3. Experimental design and simulations**

342 The principal simulation to be analyzed is the historical simulation (hereafter



343 historical) with prescribed forcings from 1971 to 2000 for both BCC-CSM2-MR and
344 BCC-CSM2-HR. All historical forcings are from the CMIP6-recommended data
345 (<https://esgf-node.llnl.gov/search/input4mips/>) including: (1) Greenhouse gases
346 concentrations such as CO₂, N₂O, CH₄, CFC11 and CFC12 with zonal-mean values
347 and updated monthly; (2) Annual means of total solar irradiance derived from the
348 CMIP6 solar forcing; (3) Stratospheric aerosols from volcanoes; (4)
349 CMIP6-recommended tropospheric aerosol optical properties due to anthropogenic
350 emissions that are formulated in terms of nine spatial plumes associated with different
351 major anthropogenic source regions using version 2 of the Max Planck Institute
352 Aerosol Climatology Simple Plume model (MACv2-SP, Stevens et al., 2017); (5)
353 Time-varying gridded ozone concentrations; (6) Yearly global gridded land-use
354 forcing. In addition, aerosol masses based on CMIP5 (Taylor et al., 2012) are also
355 used for the on-line calculation of cloud droplet effective radius in our models.

356 The historical simulation of BCC-CSM2-MR follows the requirement of CMIP6.
357 The preindustrial initial state is obtained after a 500-year piControl simulation, and
358 the historical simulation is then conducted from 1850 to 2014 (Wu et al., 2019). The
359 simulation of BCC-CSM2-HR covers the historical period from 1950 to 2014. Its
360 initial state is the final state from a 50-year control simulation with fixed historical
361 forcing of the year 1950, following the HighResMIP protocol. The control run itself is
362 initiated from the states of individual components with their uncoupled mode. That is,
363 the state of atmosphere and land are obtained from a 10-year AMIP run forced with
364 monthly climatology of sea surface temperature (SST) and sea ice concentration,
365 while the states of ocean (MOM5) and sea ice (SISv2) are derived from a 1000-year
366 forced run with a repeating annual cycle of monthly climatology of atmospheric state
367 from the Coordinated Ocean-Ice Reference Experiment (CORE) dataset version 2
368 (Danabasoglu et al., 2014).

369 **4. Results**

370 In order to fairly evaluate BCC-CSM2-MR and BCC-CSM2-HR against
371 observation-based or reanalysis data, and to make a right inter-comparison among the



372 three models, we choose a common period of 30 years from 1971 to 2000 from their
373 historical simulations in this work.

374 **4.1 Global energy budget**

375 Satellite observation is a direct monitoring of the net radiation at
376 top-of-atmosphere (TOA, Wielicki et al, 1996), which is a primary indicator for the
377 Earth's energy balance. CERES-EBAF products are derived on the basis of satellite
378 observation data from CERES (Clouds and Earth's Radiant Energy System) project
379 and synthesized with EBAF (Energy Balanced and Filled) data, suitable for evaluation
380 of climate models. The 2001-2014 monthly global gridded net radiations at
381 top-of-atmosphere (TOA) from CERES-EBAF products are used to evaluate the two
382 versions of BCC-CSM. As shown in Table 2, the globally-averaged TOA net energy
383 is $1.81 \pm 0.49 \text{ W} \cdot \text{m}^{-2}$ in BCC-CSM2-MR and $1.08 \pm 0.46 \text{ W} \cdot \text{m}^{-2}$ in BCC-CSM2-HR for
384 the period from 1971 to 2000. The energy equilibrium of the whole earth system in
385 BCC-CSM2-HR is slightly improved. The TOA shortwave and longwave components
386 in BCC-CSM2-HR are much closer to CERES-EBAF than BCC-CSM2-MR. It is to
387 be noted that only the period 2001–2014 is available for CERES-EBAF. We believe it
388 is still a good climatology to evaluate our models despite the lack of temporal
389 concomitance.

390 Clouds constitute a major modulator of the radiative transfer in the atmosphere,
391 and their radiative properties exert strong impacts on the equilibrium and variation of
392 the radiative budget at TOA. The globally-averaged shortwave cloud radiative forcing
393 in BCC-CSM2-MR and BCC-CSM2-HR are slightly stronger than that in
394 CERES-EBAF ($-47.16 \pm 0.24 \text{ W} \cdot \text{m}^{-2}$) about $3 \text{ W} \cdot \text{m}^{-2}$ of cooling effect, and the
395 globally-averaged longwave cloud radiative forcing in the two models are also
396 stronger than the CERES-EBAF data ($25.99 \pm 0.25 \text{ W} \cdot \text{m}^{-2}$) near $2 \text{ W} \cdot \text{m}^{-2}$ of warming
397 effect. The obvious biases of model with contrast to CERES-EBAF are mainly located
398 in the mid-latitudes and subtropics. Figure 2 shows annual and zonal mean of
399 shortwave, longwave and net cloud radiative forcing for the two model versions and
400 observations. The longwave and net cloud radiative forcing are overall consistent with



401 CERE-EBAF in most latitudes. In mid-latitudes of both the hemispheres, the
402 shortwave cloud radiative forcing from BCC-CSM2-HR is much closer to
403 CERE-EBAF than that from BCC-CSM2-MR. But in low latitudes between 30°S and
404 30°N, BCC-CSM2-HR simulates excessive cloud shortwave radiative forcing which
405 mainly comes from evident biases over the eastern tropical Pacific and tropical
406 Atlantic oceans (Figure 3). These biases are possibly attributable to the new scheme
407 of boundary layer processes in which abundant water vapor are confined in the lower
408 atmosphere in those regions.

409 **4.2 Vertical structure of the atmosphere temperature and wind**

410 Figure 4 presents zonally averaged vertical profiles of air temperature and zonal
411 wind for December-January-February (DJF) and June-July-August (JJA) as simulated
412 by BCC-CSM2-MR and BCC-CSM2-HR, with contrast to the ERA5 reanalysis below
413 the 1-hPa level (Hersbach and Dee 2016) and climatological values above the 1-hPa
414 level from the COSPAR (Committee on Space Research) International Reference
415 Atmosphere (CIRA86, Fleming et al., 1990), in which all data except CIRA86 are
416 time averaged over the period from 1971 to 2000. The air temperature in DJF is
417 characterized as cool layers centralized near about 300 hPa in the Northern
418 Hemisphere and too warm layers near 1 hPa in the Southern Hemisphere. Those
419 different vertical structures in both hemispheres during DJF are almost reversed of
420 JJA. They are clear in BCC-CSM2-HR. The warmer layer over top of the stratosphere
421 near 1 hPa cannot be captured in BCC-CSM2-MR as its top is limited at 1.456 hPa.

422 Figure 5 shows biases of the zonally-averaged annual air temperature, relative to
423 ERA5. Here only model data from 5 hPa to 1000 hPa are evaluated as there are spare
424 station-based observations above 5 hPa and it is generally recognized that most of
425 stations don't reach their best-practice altitude of 5 hPa
426 (<https://gcos.wmo.int/en/atmospheric-observation-panel-climate>). Lower troposphere
427 temperature biases are relatively small. The two models BCC-CSM2-MR and
428 BCC-CSM2-HR have a negative air temperature bias that appears above the 250 hPa
429 pressure level (Fig. 5) in the subpolar and polar region, but a positive bias above 150



430 hPa in tropical regions. A prominent cold bias in the lower stratosphere and the upper
431 troposphere does not decrease in magnitude at higher horizontal resolution, and such a
432 negative bias in the troposphere has already been reported in many CMIP5 models
433 (see Charlton-Perez et al., 2013; Tian et al., 2013). In the upper stratosphere, all
434 model versions exhibit a warm bias that is maximal in the mid-latitudes and relatively
435 insensitive to changes in atmospheric resolution.

436 As shown in Figure 4, the basic pattern of vertical structures of westerly and
437 easterly zones and their changes in DJF and JJA are generally well simulated by
438 BCC-CSM2-MR and BCC-CSM2-HR. Both models have westerly wind biases of
439 annual means that are located in the upper troposphere and stratosphere near 60°S and
440 60°N (Figures 5b and 5d), and reflect the meridional structure of temperature biases
441 (Figures 5a and 5c) in accordance with the thermal–wind relationship. The largest
442 biases in westerly winds near 100hPa in the tropics may be related to the QBO and its
443 downward propagation.

444 **4.3 Surface Climate**

445 Precipitation, land surface air temperature and sea surface temperature, sea-ice
446 concentration are important variables, and there are rich ground- or satellite-based
447 observations suitable for the assessment of model performance in terms of mean
448 climate.

449 **4.3.1 Precipitation**

450 Observed monthly precipitation is taken from the Global Precipitation
451 Climatology Project (GPCP version 2.2; Adler et al., 2003) data set at 2.5° resolution
452 for the period 1981–2010. Figure 6 shows the spatial distribution of DJF and JJA
453 mean precipitation for BCC-CSM2-MR and BCC-CSM2-HR, compared to GPCP.
454 The two versions of BCC-CSMs were both able to reproduce the global observed
455 precipitation patterns and there is an evident improvement in the high-resolution
456 model (BCC-CSM2-HR). Improvements are particularly clear in the Pacific, Indian,
457 and Atlantic Oceans. The double-ITCZ issue is one of the most significant biases that
458 persists in many climate models (e.g., Hwang and Frierson, 2013; Li and Xie, 2014).



459 It exists in BCC-CSM2-MR, with excessive precipitation in the South Pacific
460 Convergence Zone (SPCZ). This bias almost disappears in BCC-CSM2-HR. A strong
461 negative bias of JJA precipitation over the Amazon region exists in the two models.
462 As shown in Figure 7, there is too much precipitation along the southern intertropical
463 convergence zone (ITCZ) in BCC-CSM2-MR, which is mainly caused by excessive
464 precipitation in the southern intertropical zone in DJF. This systematic bias is
465 evidently improved in BCC-CSM2-HR. But the intensity of precipitation in the
466 northern intertropical convergence zone in BCC-CSM2-HR is stronger than that from
467 GPCP, which is partly attributed to the excessive precipitation in the tropical oceans,
468 especially in the eastern tropical North Pacific (Figure 6e).

469 The 2001-2019 quasi-global (60°N – 60°S) $0.1^{\circ} \times 0.1^{\circ}$ gridded half-hourly
470 precipitation estimates of Global Precipitation Measurement (GPM) Integrated
471 Multi-satellitE Retrievals for GPM (IMERG) products are used to evaluate the
472 precipitation intensity in BCC-CSMs. IMERG data are rainfall estimates combining
473 data from all passive-microwave instruments in the GPM Constellation, together with
474 microwave-calibrated infrared satellite estimates, precipitation gauge analyses, and
475 potentially other precipitation estimators at fine time over the entire globe (Huffman
476 et al., 2019). Figure 8 shows the probability density of hourly precipitation in function
477 of precipitation intensity with intervals of 1 mm/hour between 40°S and 40°N . The
478 frequency of events with precipitation rate smaller than 1 mm/hour in the two
479 versions of BCC-CSMs is both higher than in IMERG data, but lower for
480 precipitation rate exceeding 10 mm/hour. This is a common bias in global climate
481 models raising concerns for any studies on precipitation extremes. Compared to
482 BCC-CSM2-MR, BCC-CSM2-HR with resolution increased shows obvious
483 improvement for its ability to capture the spectral distribution of precipitation,
484 especially the contrast between heavy and light rains.

485 **4.3.2 Near-surface temperature**

486 Global monthly mean sea surface temperature (SST) from 1971 to 2000 is taken
487 from the EN4 objective analysis (Good et al., 2013), and land surface air temperature



488 at 2 m is derived from the Climatic Research Unit (CRU) data set (Harris et al., 2013).
489 Figure 9 shows a spatial-distribution map of the annual mean SST for EN4 and the
490 biases for BCC-CSM2-MR and BCC-CSM2-HR relative to EN4. BCC-CSM2-MR is
491 generally warmer, while BCC-CSM2-HR is colder than what observed. A warm SST
492 bias in BCC-CSM2-MR spreads throughout most oceans, except the north Pacific and
493 north Atlantic. Such warm biases do not appear in BCC-CSM2-HR, and the cold SST
494 biases in the eastern subtropical south Pacific are possibly attributed to excessive
495 clouds there, also manifested by strong cloud shortwave radiative forcing. The warm
496 biases in the eastern tropical ocean basins in BCC-CSM2-MR are associated with a
497 deficit of stratiform low-level clouds, a common and systematic bias for many climate
498 models (Richter, 2015). The cold biases there in BCC-CSM2-HR, similarly, are
499 associated with too much low cloud, except over the tropical north Pacific.

500 Figure 10 shows the simulation biases of annual mean land-surface air
501 temperature from BCC-CSM2-MR and BCC-CSM2-HR. The near-surface air
502 temperature over land in BCC-CSM2-MR is generally cooler than the CRU
503 observations, particularly exhibiting severe cool biases in North Europe. Increasing
504 atmospheric resolution in BCC-CSM2-HR does not seem to show amelioration, and
505 the surface air temperatures in BCC-CSM2-HR exhibits rather similar patterns for
506 their biases in BCC-CSM2-MR and there are biases of -2 to 2 K in most land regions
507 between 50°N and 50°S with contrast to CRU data.

508 **4.3.3 Sea ice**

509 Figure 11 shows the annual mean sea ice concentration simulated by
510 BCC-CSM2-MR and BCC-CSM2-HR over the period 1971–2000, compared to the
511 climatology (1971–2000) from Hadley Centre Sea Ice and Sea Surface Temperature
512 data set (HadISST, Rayner et al., 2003). The simulated geographic distribution of sea
513 ice in the Arctic is overall realistic, except that the sea ice concentration in the
514 Atlantic is slightly overestimated in both models. This overestimation of sea ice
515 possibly has a consequence for the severe cold biases of surface air temperature in
516 North Europe (Figure 10). In the Antarctic, sea ice concentration simulated by



517 BCC-CSM2-MR is smaller than HadISST data, especially from 60°W to 60°E in the
518 subpolar region where the simulated SST is warmer compared to EN4 (Figure 9b).
519 Those deficiencies in BCC-CSM2-MR are largely improved in BCC-CSM2-HR
520 (Figure 11f).

521 Figure 12 shows the monthly sea ice covers for the Arctic and Antarctic from
522 BCC-CSM2-MR and BCC-CSM2-HR. HadISST observations show that the Arctic
523 sea ice cover reaches a minimum extent of 6.9×10^6 km² in September and rises to a
524 maximum extent of 16.0×10^6 km² in March, and the Antarctic sea ice cover reaches a
525 minimum extent in February and a maximum extent in September. The seasonal cycle
526 amplitude and phase of sea ice area are well captured by the two models, and their
527 biases are almost smaller than 1×10^6 km² while compared to HadISST observations.
528 We note that the extents of the Arctic sea ice for each month in BCC-CSM2-MR are
529 slightly but systematically smaller than HadISST, and in the Antarctic are less in
530 February and March but larger in other months than HadISST. BCC-CSM2-HR
531 slightly overestimated sea ice concentration about 1×10^6 km² in both hemispheres
532 with reference to HadISST.

533 4.4 Tropical Climate

534 The tropical cyclone (TC), also known as typhoon or hurricane, is among the
535 most destructive weather phenomena. The Madden-Julian Oscillation (MJO) is the
536 dominant mode of sub-seasonal variability in the tropical troposphere (Madden and
537 Julian, 1971), and the quasi-biennial oscillation (QBO) is a quasiperiodic oscillation
538 of the equatorial zonal wind between easterlies and westerlies in the
539 tropical stratosphere. TC, MJO and QBO are very important variabilities in the tropics,
540 with consequences to global weather and climate.

541 4.4.1 Tropical Cyclones

542 Following previous studies (Murakami, 2014), we use multiple criteria to detect
543 TCs in our simulations. (1) The maximum of daily relative vorticity of a TC-like
544 vortex at 850 hPa exceeds 15×10^{-5} s⁻¹ for BCC-CSM2-HR and 1×10^{-5} s⁻¹ for
545 BCC-CSM2-MR; (2) The warm-core above the TC-like vortex, which is presented as



546 the sum of the air temperature deviations at 300, 500 and 700 hPa over a $10^\circ \times 10^\circ$
547 grid box, exceeds 0.8 K; (3) The maximum wind speed at 850 hPa is higher than that
548 at 300 hPa; (4) The maximum wind speed within the TC-like vortex center $3^\circ \times 3^\circ$
549 grid box is higher than 10 m s^{-1} ; (5) The genesis position of the TC-like vortex is over
550 the ocean; (6) The duration of the TC-like vortex satisfied above conditions exceeds
551 48 hours.

552 In Figure 13, we evaluate the average TC frequency over the twenty years
553 (1981-2000) from BCC-CSM2-MR and BCC-CSM2-HR, with contrast to the
554 climatology (1981-2000) of observations from International Best Track Archive for
555 Climate Stewardship (IBTrACS; Knapp et al., 2010). It is clear that TC activity is
556 increased with resolution enhanced. The averaged total global TC numbers per year
557 are 58.3 in BCC-CSM2-MR and 92.3 in BCC-CSM2-HR, and are slightly larger than
558 IBTrACS observation (89.7), although one of the above criteria for TC in
559 BCC-CSM2-MR is looser than that in BCC-CSM2-HR. Spatially, BCC-CSM2-HR
560 generates excess TC activity in the eastern North Pacific, Northern Indian Ocean, and
561 Southern Hemisphere. But both models severely underestimate TC activity in the
562 North Atlantic and in the Caribbean Sea. The general overestimation of TC activity in
563 the eastern North Pacific and over the opposite in the North Atlantic in
564 BCC-CSM2-HR may be related to the warmer SST in the eastern tropical North
565 Pacific and colder SST in the tropical Atlantic with contrast to EN4 data (Figure 9c),
566 but other factors such as the entrainment in the parameterization of convection may
567 also have an influence (Zhao et al., 2012). The biases of missing TC activity in the
568 North Atlantic also exist in other models (e.g., Bell et al., 2013; Strachan et al., 2013;
569 Small et al., 2014), and still remain a challenge for the climate modelling community.

570 Figure 14 shows the maximum surface wind speed versus minimum sea level
571 pressure for the tropical cyclones that are derived from the 1981-2000 daily IBTrACS
572 observation (black dots and line), and from the 1981-2000 daily simulations of
573 BCC-CSM2-MR and BCC-CSM2-HR. Consistent with other similar studies (e.g.,
574 Yamada et al., 2017), BCC-CSM2-MR and BCC-CSM2-HR cannot capture weak
575 storms whose maximum wind speeds are less than $10 \text{ m} \cdot \text{s}^{-1}$. The maximum wind



576 speed for TC in BCC-CSM2-MR only reaches to $30 \text{ m}\cdot\text{s}^{-1}$. BCC-CSM2-HR, as
577 expected, can reproduce those strong TCs for which daily mean minimum pressure in
578 TC centers may reach to 960 hPa and daily mean maximum wind speed may reach to
579 $50 \text{ m}\cdot\text{s}^{-1}$. The fitting line of maximum wind speeds with minimum center pressures in
580 BCC-CSM2-HR almost matches that from IBTrACS observation (Figure 14).

581 **4.4.2 Madden–Julian Oscillation**

582 MJO is characterized by eastward propagation of deep convective structures
583 moving along the Equator with an average phase speed of around $5 \text{ m}\cdot\text{s}^{-1}$ at the
584 intraseasonal time scale of 20–100 days (Wheeler and Kiladis, 1999). MJO generally
585 forms over the Indian Ocean, strengthens over the Pacific Ocean, and weakens due to
586 interaction with South America and cooler eastern Pacific SSTs (Madden and Julian,
587 1971). Figure 15 gives the lag-longitude evolution of 10°S – 10°N -averaged
588 intraseasonal precipitation anomalies and lag-longitude evolution of 80° –
589 100°E -averaged intraseasonal precipitation anomalies correlated against the
590 precipitation over the equatorial eastern Indian Ocean. Both versions of BCC-CSMs
591 reasonably reproduce the eastward propagating feature of convection from the Indian
592 Ocean across the Maritime Continent to the Pacific (Figs. 15b and 15c), as well as
593 apparent poleward propagations from the equatorial Indian Ocean into the Northern
594 Hemisphere and the Southern Hemisphere (Figs. 15e and 15f). The northward
595 propagation is more skillfully depicted in simulations in BCC-CSM2-HR than in
596 BCC-CSM2-MR. The average phase speed of eastward propagation of deep
597 convection in BCC-CSM2-HR is much closer to the GPCP data denoted by the
598 dashed line in Fig 15c. Figure 15b shows that the eastward propagation of deep
599 convection in BCC-CSM2-MR is too fast, compared to GPCP data.

600 MJO activity can be generally featured by a life cycle of eight phases (Wheeler
601 and Hendon, 2004). Intensity of outgoing longwave radiation (OLR) is often used for
602 this purpose to represent the activity of convection. Figure 16 shows the MJO
603 phase-latitude diagram of composited outgoing longwave radiation (OLR) and
604 850-hPa zonal wind anomalies averaged over 10°S – 10°N . Here, on the basis of
605 extracting the leading multivariate empirical orthogonal functions (EOFs) and



606 principal components (PCs) of intra-seasonal OLR, 850-hPa and 200-hPa zonal wind
607 anomalies, eight MJO phases are defined by the inverse tangent of the ratio of PC2 to
608 PC1 as in Wheeler and Hendon (2004). In observation, MJO convection initiated from
609 Africa and the western Indian Ocean at phases 1–2, propagates eastward from the
610 Indian Ocean across the Maritime Continent to the western Pacific at phases 3–6, and
611 finally disappears in the western hemisphere at phases 7–8. BCC-CSM2-MR
612 generally captures the evolution of convection with MJO phases, but shows faster
613 propagative speed and apparently underestimates the intensity compared to the
614 observation. In contrast, BCC-CSM2-HR shows an obviously improved MJO phase
615 transition and convection intensity.

616 **4.4.3 The stratospheric quasi-biennial oscillation**

617 The alternative oscillation between westerly and easterly winds in the tropical
618 stratosphere constitutes the characteristic feature of the quasi-biennial oscillation
619 (QBO). The good simulation of QBO still remains nowadays a challenge for all
620 state-of-the-art climate models. In a recent work, Kim et al. (2020) showed that only
621 half (15 out of 30) of the CMIP6 models can internally generate QBO
622 (BCC-CSM2-MR was in the good half). We should however recognize that there was
623 a huge progress in CMIP6, since in CMIP5 only five models (about 10% of the total)
624 were able to simulate a realistic QBO (Schenzinger et al., 2017).

625 To evaluate model performance in simulating the QBO, the time-height cross
626 sections of the tropical zonal winds averaged from 5°S to 5°N for BCC-CSM2-MR
627 and BCC-CSM2-HR are compared with contrast to the ERA5 reanalysis. As shown in
628 Figure 17, ERA5 shows alternative westerlies and easterlies in the lower stratosphere
629 with a mean periodicity of about 28 months. The two BCC models are both able to
630 generate a reasonable QBO, and the observed asymmetry in amplitude with the
631 easterlies being stronger than the westerlies are also well reproduced. The general
632 performance of QBO in BCC-CSM2-MR was evaluated in Wu et al. (2019). A
633 detailed assessment of the underlying mechanism involving wave dynamics and the
634 associated forcing to drive QBO is presented in Lu et al. (2020a). The simulated QBO
635 has stronger amplitudes in BCC-CSM2-HR than in BCC-CSM2-MR. As the



636 horizontal resolution and physics package are changed from BCC-CSM2-MR to
637 BCC-CSM2-HR, the parameterized convective gravity wave forcing for QBO seems
638 enhanced in BCC-CSM2-HR. On the other hand, changes in the convective cumulus
639 parameterization can also affect the simulation of the resolved convectively coupled
640 equatorial waves (i.e., the Kelvin wave) driving the QBO, and lead to stronger QBO
641 amplitudes in BCC-CSM2-HR.

642 In the two BCC models, the downward propagation of QBO occurs in a regular
643 manner, but does not sufficiently penetrate to low altitudes below 50 hPa. The vertical
644 resolution is similar below ~10 hPa in both BCC-CSM2-MR and BCC-CSM2-HR
645 (Figure 1). A further downward propagation to lower altitudes can be expected by
646 increasing the vertical resolution finer than 500 m to adequately resolve the
647 wave-mean flow interaction in the upper troposphere-lower stratosphere (Geller et al.
648 2016; Garcia and Richter 2019).

649 **4.4.4 Niño3.4 SST variability**

650 Figure 18 presents time series of the monthly Niño3.4 SST (5°N–5°S,
651 170°W–120°W) anomalies from BCC-CSM2-MR and BCC-CSM2-HR, with
652 reference to EN4 data from 1971 to 2000. The amplitude of interannual variation of
653 the Niño3.4 index in BCC-CSM2-HR is weaker than in EN4 and in BCC-CSM2-MR.
654 The power spectrum analysis of the Niño3.4 index from the EN4 observations shows
655 significant peaks at 4–6 years and 2–3 years. The periodicity of the ENSO cycle in
656 BCC-CSM2-MR is mainly at 2–3 years. It is prolonged to 3–4 years in
657 BCC-CSM2-HR. In Figure 18e, the El Niño SST variability from EN4 data reaches
658 its maximum in the period from November to January. The phase locking simulated
659 by BCC-CSM2-MR occurs in autumn. The simulated ENSO phase locking in
660 BCC-CSM2-HR is partly improved and the ENSO events tend to reach their
661 maximum toward winter, in spite of two months lag in the peak time.

662 Figure 19 presents the spatial patterns of correlation coefficients between the
663 Niño3.4 index and global SST anomalies from 1971 to 2000 for the EN4 observation
664 and the two BCC models. Both BCC-CSM2-HR and BCC-CSM2-MR simulate a
665 positive correlation structure over the equatorial region of the central and eastern



666 Pacific, which is consistent with the analysis from EN4 despite of a too-westward
667 extension into the western Pacific. The EN4 data show clearly that the zone of
668 positive correlation of SST with the Niño3.4 index in the equatorial eastern Pacific
669 expands to extra-tropics. There are also remarkable areas of positive correlation in the
670 equatorial Indian Ocean and the eastern tropical Atlantic. Compared to
671 BCC-CSM2-MR, BCC-CSM2-HR improves the simulation in those regions. We also
672 note that areas of negative correlation of SST with the Niño3.4 index in the western
673 equatorial Pacific extend to the south and north Pacific in EN4, a phenomenon
674 however not clearly simulated in BCC-CSM2-HR, even deteriorated compared to
675 BCC-CSM2-MR.

676 **5. Conclusions**

677 This paper was devoted to the presentation of the high-resolution version
678 BCC-CSM2-HR and to the description of its climate simulation performance. We
679 focused on its updating and differential characteristics from its predecessor, the
680 medium-resolution version BCC-CSM2-MR. BCC-CSM2-HR is our model version
681 participating to the HighResMIP, while BCC-CSM2-MR is our basic model version
682 for other CMIP6-Endorsed MIPs (Wu et al., 2019; Xin et al. 2019).

683 The atmosphere resolution is increased from T106L46 in BCC-CSM2-MR to
684 T266L56 in BCC-CSM2-HR, and the ocean resolution from $1^\circ \times 1^\circ$ in
685 BCC-CSM2-MR to $1/4^\circ \times 1/4^\circ$ in BCC-CSM2-HR. A few novel developments were
686 implemented in BCC-CSM2-HR for both the dynamics core and model physics in the
687 atmospheric component. Firstly, a spatially-variable damping for the divergence field
688 was used to improve the atmospheric temperature simulation in the stratosphere at
689 polar areas. It helps to control high-frequency noise in the stratosphere and above.
690 Secondly, the deep cumulus convection scheme originally described in Wu (2012)
691 was further ameliorated to allow detrained cloud water be transported to adjacent
692 grids and downward to lower troposphere. Thirdly, we modified the relevant schemes
693 for the boundary layer turbulence and shallow cumulus convection to improve the
694 simulation of ITCZ precipitation. Finally the UWMT scheme is used to improve the
695 simulation of the low-level clouds over eastern basins of subtropical oceans. The land



696 model configuration in BCC-CSM2-HR is the same as that in BCC-CSM2-MR.
697 Major land surface biophysical and plant physiological processes of BCC-AVIM2
698 implemented in BCC-CSM2-MR and BCC-CSM2-HR keep the same, and main
699 differences are in the sub-grid surface classification. The ocean component of
700 BCC-CSM2-HR is upgraded from MOM4 in BCC-CSM2-MR to MOM5. The sea ice
701 component is also updated from SIS4 in BCC-CSM2-MR to SIS5 in BCC-CSM2-HR.

702 For the sake of a rigorous comparison, two simulations of 30 years each were
703 realized under the same historical conditions from 1971 to 2000 with
704 BCC-CSM2-MR and BCC-CSM2-HR, respectively. We compared the basic climate
705 features in relation to atmospheric temperature, circulation, precipitation, surface
706 temperature, and sea ice between the two simulations and we evaluated them against
707 observation-based and reanalysis data. With contrast to the medium-resolution
708 BCC-CSM2-MR, the high-resolution BCC-CSM2-HR has a slightly improved energy
709 equilibrium for the whole earth system. The global mean TOA net energy balance is
710 about $1.08 \text{ W}\cdot\text{m}^{-2}$ in BCC-CSM2-HR for the period from 1971 to 2000, showing an
711 evident improvement compared to $1.81 \text{ W}\cdot\text{m}^{-2}$ in BCC-CSM2-MR. The longwave and
712 net cloud radiative forcing are overall consistent with CERE-EBAF in most latitudes,
713 but excessive cloud radiative forcing for shortwave radiation is found over the eastern
714 tropical Pacific and tropical Atlantic in BCC-CSM2-HR. Lower troposphere
715 temperature biases are relatively small. Both versions of BCC-CSMs have a cold air
716 temperature bias that appears above 250 hPa in the subpolar and polar region, and a
717 warm bias in the upper stratosphere in the mid-latitudes, which caused westerly wind
718 biases in the upper troposphere and in the stratosphere.

719 Although those prominent systematic biases in temperature and wind do not
720 change at higher horizontal and vertical resolution and seems relatively insensitive to
721 changes in atmospheric resolution, the ability to capture the winter to summer
722 seasonal change in the vertical structure of temperature and wind in the upper
723 stratosphere is strengthened in BCC-CSM2-HR.

724 The two versions of BCC-CSMs were both able to reproduce the observed global
725 precipitation patterns and there is a remarkable improvement in precipitation centers



726 over the Pacific, Indian, and Atlantic Ocean in the high-resolution model. The
727 double-ITCZ biases in BCC-CSM2-MR are reduced in BCC-CSM2-HR and
728 excessive precipitation in the South Pacific Convergence Zone is also strongly
729 reduced in BCC-CSM2-HR. The climatological SST in BCC-CSM2-HR, relative to
730 the observation-based EN4 data, shows cold biases but reduced compared to
731 BCC-CSM2-MR. Such SST cold biases are partly attributable to different ocean
732 components, MOM4 in BCC-CSM2-MR and MOM5 in BCC-CSM2-HR. The
733 seasonal cycles of amplitude and phase of sea ice in both hemispheres are generally
734 well captured in BCC-CSM2-HR, but with a small excess all year round in the
735 Northern Hemisphere, especially in the Atlantic.

736 We also conducted an assessment on a few important phenomena of the tropical
737 climate, such as TC (tropical cyclone), MJO (Madden-Julian oscillation), QBO
738 (quasi-biennial oscillation), and ENSO (El Niño – southern oscillation). The averaged
739 total number of global TC in BCC-CSM2-HR is a bit larger than IBTrACS
740 observation. BCC-CSM2-HR can simulate main TC activities in the eastern North
741 Pacific, Northern Indian, and in the Southern Hemisphere but misses the TC activities
742 in the North Atlantic. BCC-CSM2-HR is able to capture a realistic MJO signal
743 including the eastward-propagating behavior of MJO and its phase speed. The
744 QBO-related alternative westerlies and easterlies in the tropical lower stratosphere
745 with a mean periodicity of about 28 months are well simulated. The weakness in
746 downward propagation of the simulated QBO (insufficient penetration of the signal to
747 low altitudes) in BCC-CSM2-MR is slightly improved in BCC-CSM2-HR. Main
748 features of the ENSO cycle such as the periodicity and phase locking are captured by
749 BCC-CSM2-HR although its main ENSO periodicity of 3-4 years is still shorter than
750 EN4 observations and the pick time of ENSO variability is about two months later
751 compared to EN4 data.

752 We finally note that there exist some systematic biases in our high-resolution
753 model, such as excessive cloud radiative forcing for shortwave radiation over the
754 eastern tropical Pacific, cold biases in the near surface temperature over North Europe,
755 and over the tropical Atlantic, insufficient TC activities over the North Atlantic. These



756 are all important issues to improve in our future model development.

757

758 **Code and data availability**

759 Source codes of BCC-CSM-HR model can be accessed at a DOI repository
760 <http://doi.org/10.5281/zenodo.4127457> (Wu et al., 2020b). Model output of BCC
761 models for CMIP6 simulations described in this paper is distributed through the Earth
762 System Grid Federation (ESGF) and freely accessible through the ESGF data portals
763 after registration (<http://doi.org/10.22033/ESGF/CMIP6.2921>, Jie et al., 2020).
764 Details about ESGF are presented on the CMIP Panel website at
765 <http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip>. All source code and
766 data can also be accessed by contacting the corresponding author Tongwen Wu
767 (twwu@cma.gov.cn).

768

769 **Author contributions**

770 Tongwen Wu led the BCC-CSM development, and all other co-authors
771 contributed to it. Tongwen Wu, Weihua Jie, Xiaoge Xin, and Jie Zhang designed the
772 experiments and carried them out. Tongwen Wu, Laurent Li, Yixiong Lu, Junchen
773 Yao, and Fanghua Wu wrote the final document with contributions from all other
774 authors.

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776 **Competing interests**

777 The authors declare that they have no conflict of interest.

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779 **Acknowledgements**

780 This work was supported by The National Key Research and Development Program
781 of China (2016YFA0602100).

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1230 Table 1. Constituents and configurations of BCC-CSM2-MR and BCC-CSM2-HR.
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	BCC-CSM2-MR	BCC-CSM2-HR	
Atmosphere component (BCC-AGCM)	Resolution	T106 (~110km), 46 layers with top layer at 1.979hPa and model lid at 1.459 hPa	T266 (~45km), 56 layers with top layer at 0.156 hPa and model lid at 0.092 hPa
	Dynamic core	Spectral framework described in Wu et al. (2008)	Same as in BCC-CSM2-MR but including spatially variant divergence damping.
	Deep convection	A modified Wu/2012 scheme described in Wu et al. (2019)	Revised Wu et al. (2019) scheme, including the effects of convective downdraft in neighboring grids.
	Shallow/Middle Tropospheric Moist Convection	Hack (1994)	Modified Hack (1994) scheme described in Lu et al. (2020b), incorporating a trigger based on lower tropospheric stability.
	Cloud macrophysics	Diagnosed cloud fraction described in Wu et al. (2019)	Revised Wu et al. (2019) scheme, excluding the special treatment for the marine stratocumulus.
	Cloud microphysics	Modified scheme of Rasch and Kristjánsson (1998) by Zhang et al. (2003), but included the aerosol indirect effects in which liquid cloud droplet number concentration is diagnosed using the aerosols masses.	Same as in BCC-CSM2-MR.
	Gravity wave drag	Gravity wave drag generated by both orography (McFarlane 1987) and convection (Beres et al., 2004).	Same as in BCC-CSM2-MR, but using tuned parameters related to model resolutions.
	Surface orographic drag	No treatment.	The turbulent mountain stress scheme as in Richter et al. (2010).
	Radiative transfer	Radiative transfer scheme used in CAM3 (Collins et al., 2004), but including the aerosol indirect effects, and the effective radius of the cloud droplet for liquid clouds is diagnosed using liquid cloud droplet number concentration.	Same as in BCC-CSM2-MR.
	Boundary Layer	Parameterization of Holtslag and Boville (1993), but modified PBL height computation as in Zhang et al. (2014)	The University of Washington Moist Turbulence scheme (Bretherton and Park, 2009)
Land surface component (BCC-AVIM)	Resolution	Horizontal resolution same as in the atmosphere component. 10 layers for soil and up to five layers for snow.	Horizontal resolution same as in the atmosphere component. 10 layers for soil and up to five layers for snow.
	Biophysical process	CLM3	CLM3
	Plant physiological and Soil carbon-nitrogen dynamical processes	BCC-AVIM2 (Li et al., 2019)	BCC-AVIM2 (Li, 2019)
Ocean Component (MOM)	Resolution	1°×1° with a tri-pole grid, but 1/3° latitude between 30°S and 30°N to 1.0° at 60° latitude, 40 layers in vertical	1/4°×1/4° with a tri-pole grid at north to 60°N, 50 layers in vertical
	Tracer advection scheme	MOM4 (Griffies, 2005), Sweby advection scheme (Sweby, 1984)	MOM5 (Griffies, 2012), multi-dimensional piecewise parabolic method
	Neutral diffusion scheme	Griffies et al. (1998) with a constant diffusivity of 600 m ² s ⁻¹	None
	Surface boundary layer processes	K-profile parameterization (KPP, Large et al., 1994)	Same as in MOM4
	Submesoscale parameterization	None	Fox-Kemper et al. (2008)



		scheme	
Sea Ice Component (SIS)	shortwave penetration	Morel and Antoine (1994), with the maximum depth of 100m	Manizza et al. (2005), with the maximum depth of 300m
	Resolution	Same as in the ocean component, 3 vertical layers including 1 snow cover and 2 ice layers of equal thickness	Same as in the ocean component, 3 vertical layers including 1 snow cover and 2 ice layers of equal thickness
	Model physics	SISv1, Elastic-viscous-plastic dynamic processes, Semtner's thermodynamic processes	Same as SISv2
	Snow albedo	0.80	0.85
	Ice albedo	0.5826	0.68

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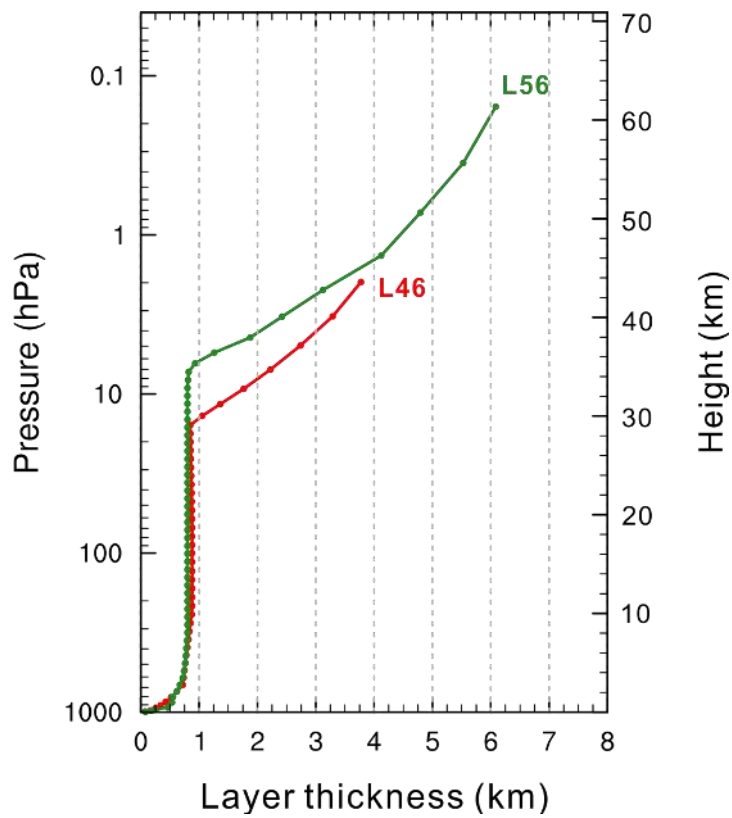
1234 Table 2. Energy balance and cloud radiative forcing at the top-of-atmosphere (TOA) in
1235 the models with contrast to CERES-EBAF observations. Units: $W \cdot m^{-2}$.
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	BCC-CSM2-MR	BCC-CSM2-HR	CERES-EBAF
Net energy at TOA	1.81 ± 0.49	1.08 ± 0.46	0.84 ± 0.33
TOA outgoing longwave radiative flux	239.13 ± 0.29	238.52 ± 0.35	239.69 ± 0.25
TOA net shortwave radiative flux	240.95 ± 0.55	239.60 ± 0.45	240.53 ± 0.19
TOA outgoing longwave radiative flux in clear sky	265.05 ± 0.41	266.12 ± 0.46	265.67 ± 0.37
TOA net shortwave radiative flux in clear sky	290.52 ± 0.85	289.77 ± 0.70	287.68 ± 0.14
TOA incoming shortwave radiation	340.38 ± 0.09	340.38 ± 0.09	340.14 ± 0.09
Shortwave cloud radiative forcing	-49.58 ± 0.49	-50.17 ± 0.58	-47.16 ± 0.24
Longwave cloud radiative forcing	25.92 ± 0.19	27.60 ± 0.19	25.99 ± 0.25

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1238 Notes: Mean value and standard deviation are calculated from yearly global means of the
1239 1971-2000 simulations for BCC-CSM2-MR, BCC-CSM2-HR, and the 2001-2014
1240 CERES-EBAF Ed2.8 data set.
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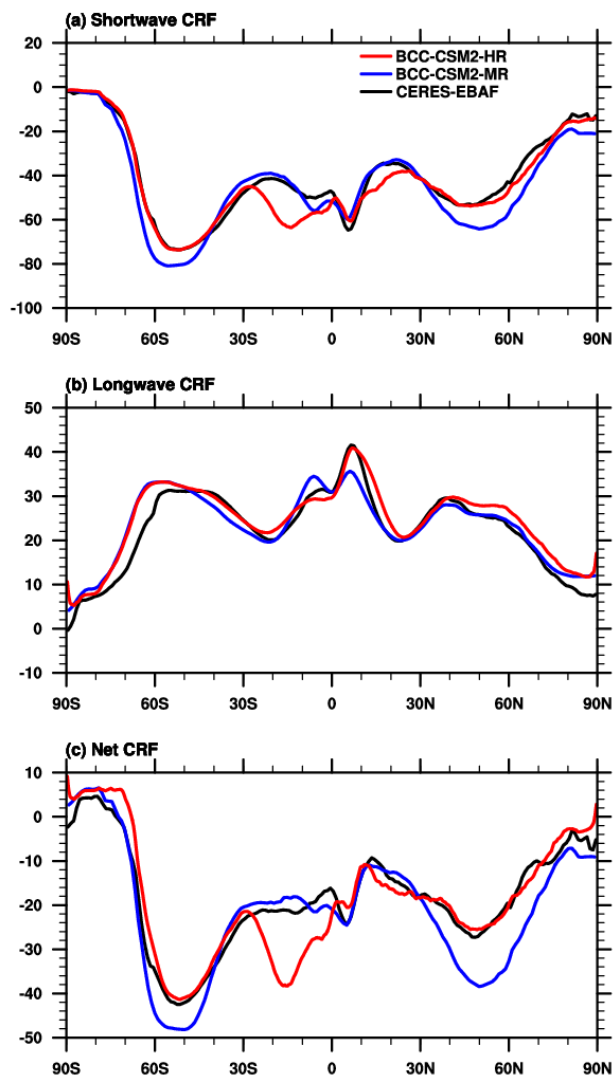
1246 Figure 1. The profiles of layer thickness against height for 46 vertical layers in

1247 BCC-CSM2-MR (red) and 56 vertical layers in BCC-CSM2-HR (green).

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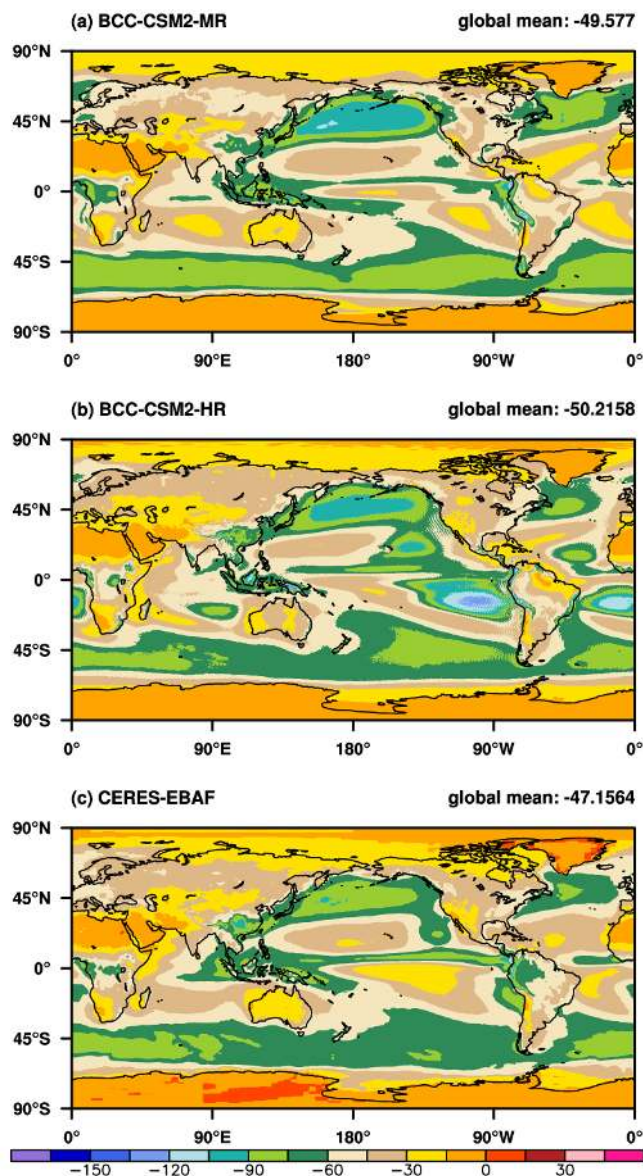
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1252 Figure 2. Zonal averages of the cloud radiative forcing (CRF, in W m^{-2}) for the historical
1253 simulations (1971-2000) of BCC-CSM2-MR and BCC-CSM2-HR, compared to the
1254 CERES-EBAF observations (2001-2014, a: shortwave effect; b: longwave effect; c: net
1255 effect).

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1260 Figure 3. Annual-mean shortwave cloud radiative forcing for the historical simulations (1971

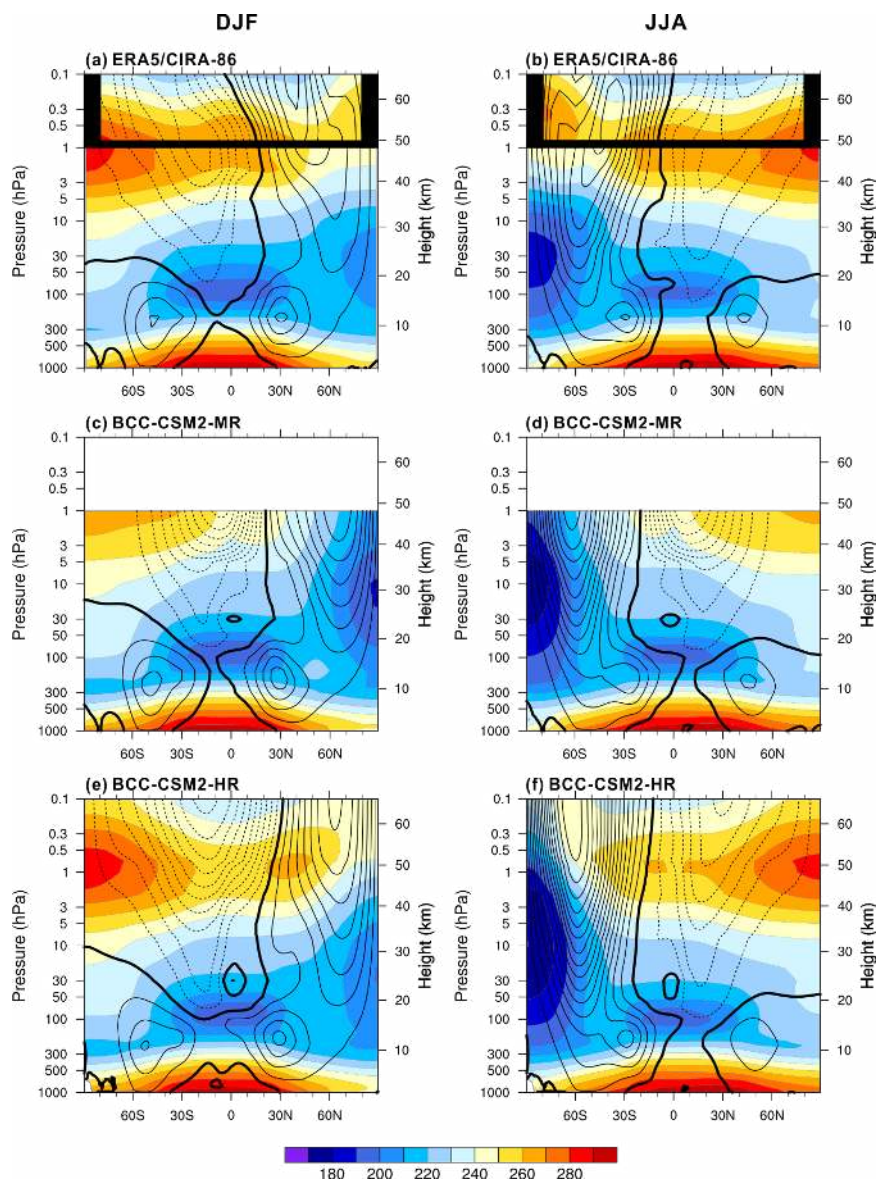
1261 to 2000) of (a) BCC-CSM2-MR and (b) BCC-CSM2-HR, with comparison against (c) the

1262 CERES-EBAF observations (2001-2014). Units: $\text{W}\cdot\text{m}^{-2}$.

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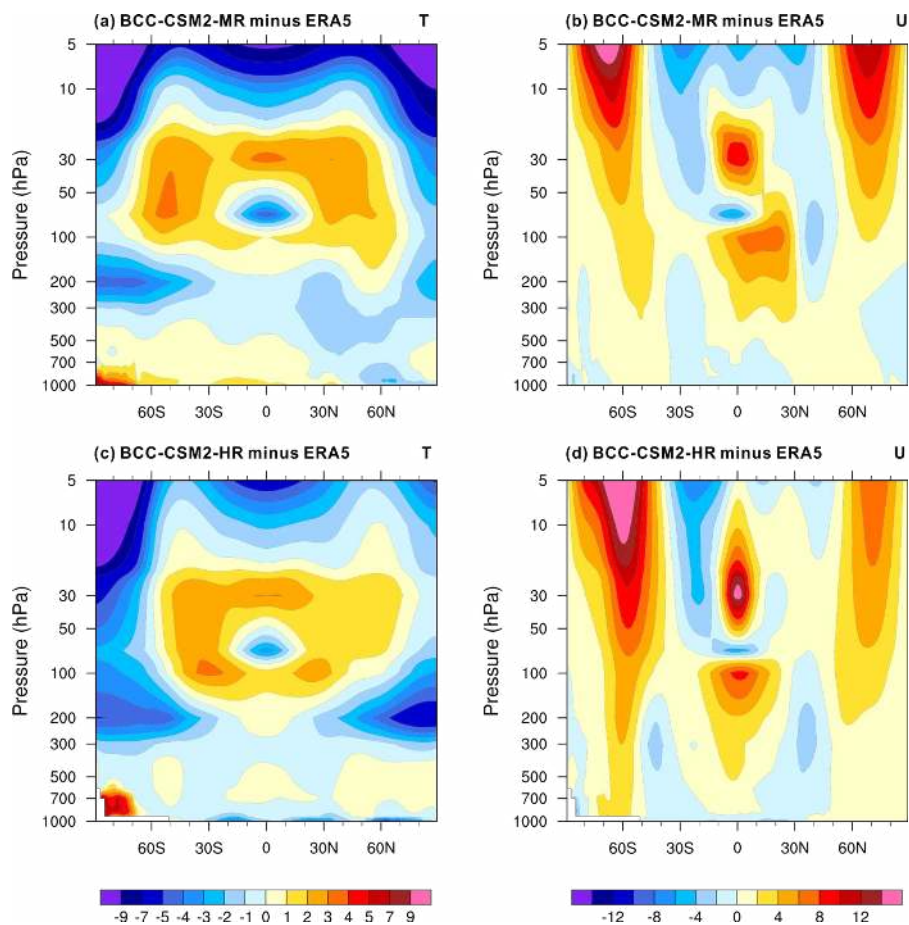
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1267 Figure 4. The zonal means of temperature (colors; K) and zonal wind (contours; m s^{-1})
1268 averaged for December-January-February (left panel) and Jun-July-August (right panel) from
1269 1971 to 2000 for (a,b) ERA5/CIRA86, (c,d) BCC-CSM2-MR, (e,f) BCC-CSM2-HR. Positive
1270 (negative) zonal winds are plotted with solid (dashed) lines with a contour interval of 10 m s^{-1} .
1271 Thick contour line denotes zero zonal wind speed. In (a) and (b), the values above 1 hPa from
1272 the COSPAR International Reference Atmosphere (CIRA86, Fleming et al., 1990) and below
1273 1 hPa from the ERA5 reanalysis.



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1278 Figure 5. Zonally-averaged annual mean temperature biases (left panel, in K) and zonal wind

1279 biases (right panel, in $\text{m}\cdot\text{s}^{-1}$) averaged for the period from 1971 to 2000 for (a,b)

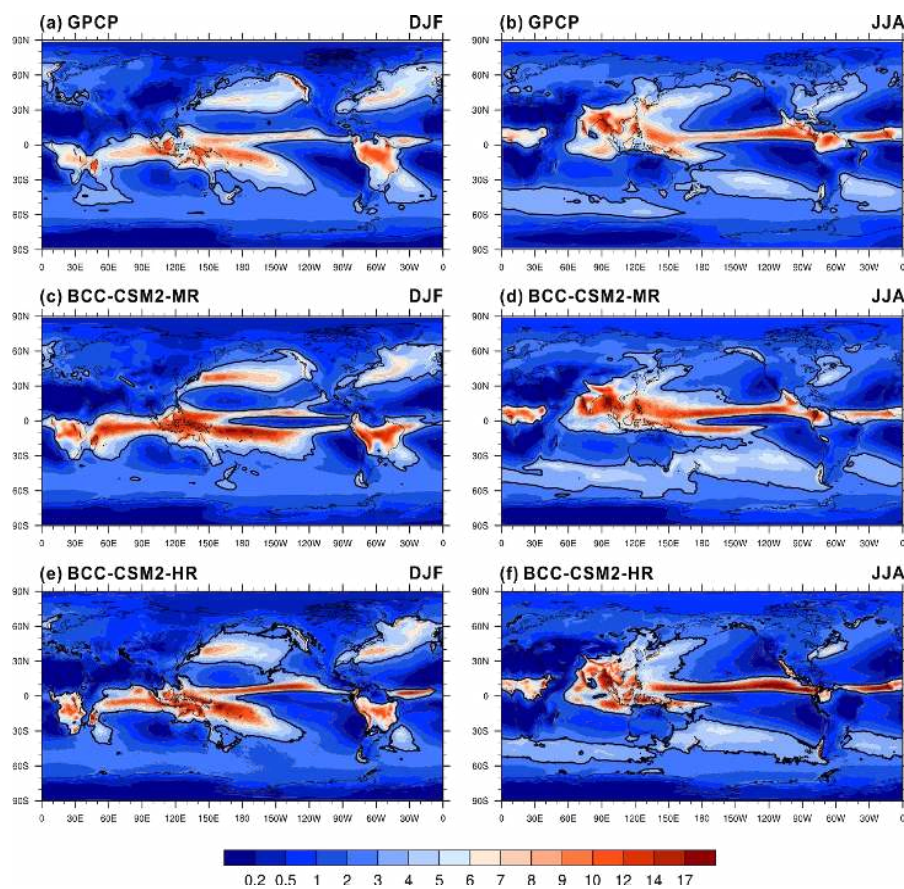
1280 BCC-CSM2-MR, and (c,d) BCC-CSM2-HR, with respect to the ERA5 reanalysis data.

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1286 Figure 6. The mean precipitation rate of December-January-February (left panel) and
1287 June-July-August (right panel) for (a,b) GPCP observations (1981–2010), (c,d)
1288 BCC-CSM2-MR (1971–2000), and (e,f) BCC-CSM2-HR (1971–2000). Units: $\text{mm}\cdot\text{day}^{-1}$. The
1289 $3 \text{ mm}\cdot\text{day}^{-1}$ contour line is in bold as a reference to facilitate the visual inspection.

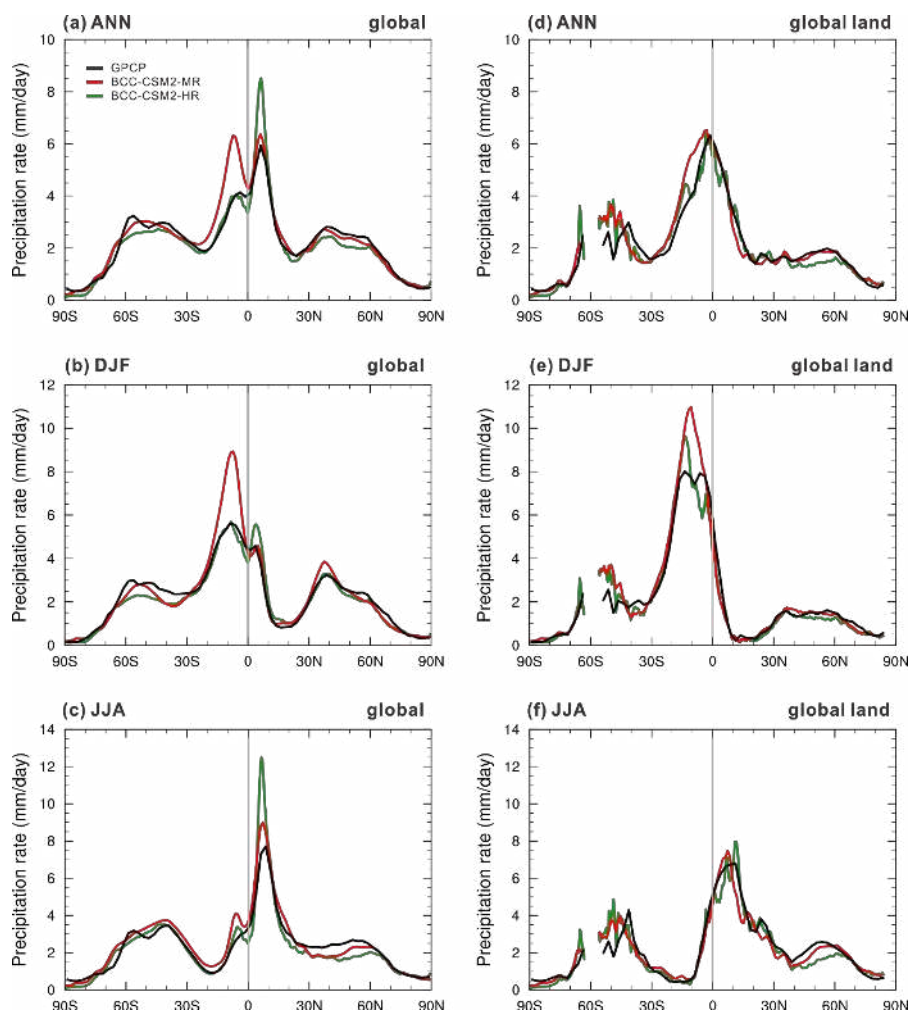
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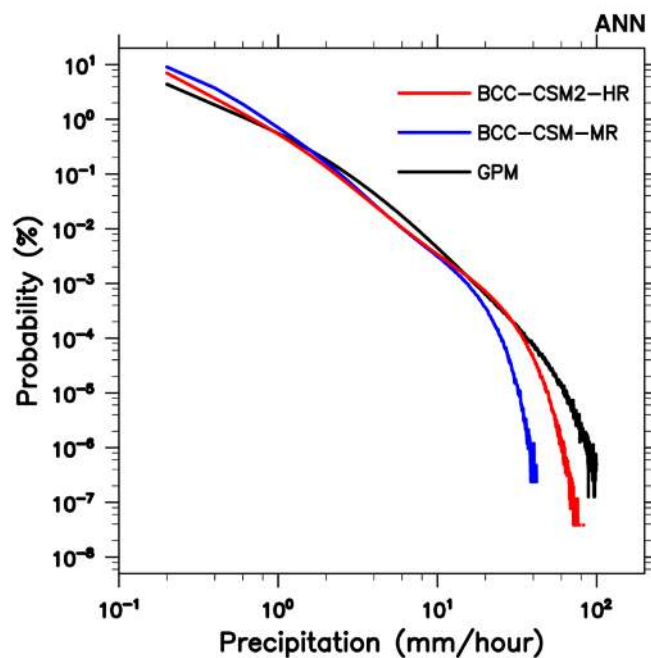


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Figure 7. The zonally-averaged mean precipitation rate (mm day^{-1}) averaged for (a, d) the annual mean, (b, e) December-February-February, and (c, f) June-July-August. The solid black lines denote GPCP data (1981–2010), and the color lines show BCC-CSM2-MR (1971–2000) and BCC-CSM2-HR (1971–2000) simulations. Units: mm day^{-1} .



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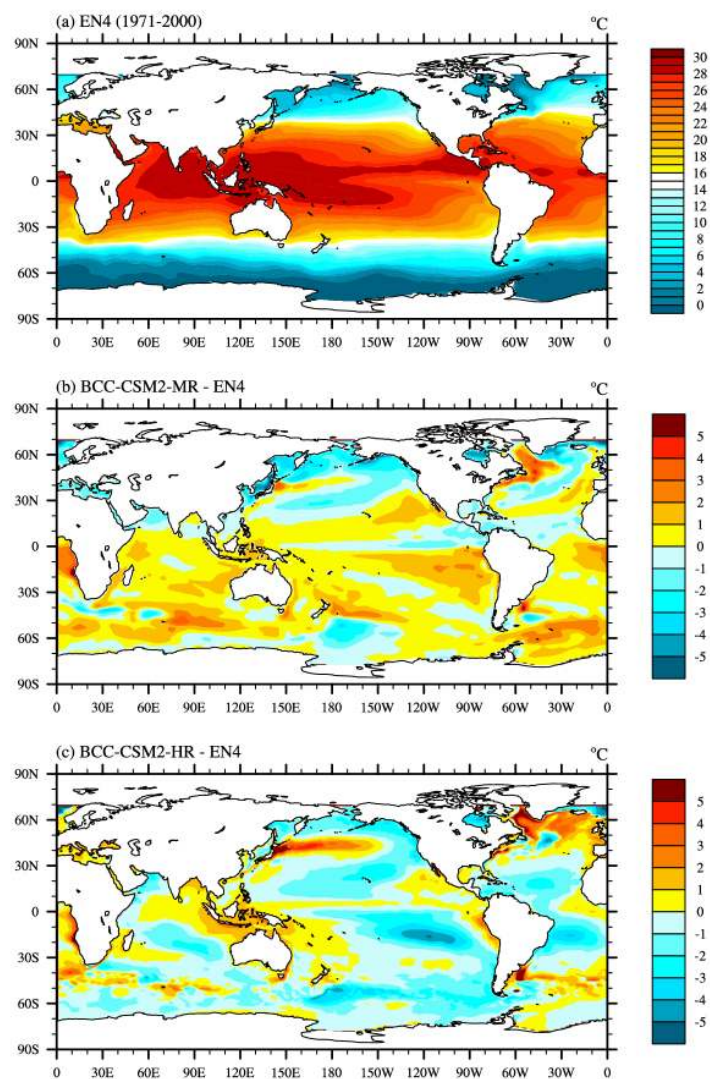
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Figure 8. The probability density of hourly precipitation in function of precipitation intensity with intervals of 1 mm/hour between 40°S and 40°N derived from every 3 hours data for the Global Precipitation Measurement (GPM) from 2001 to 2019, and for BCC-CSM2-MR and BCC-CSM2-HR simulations from 1971 to 2000.



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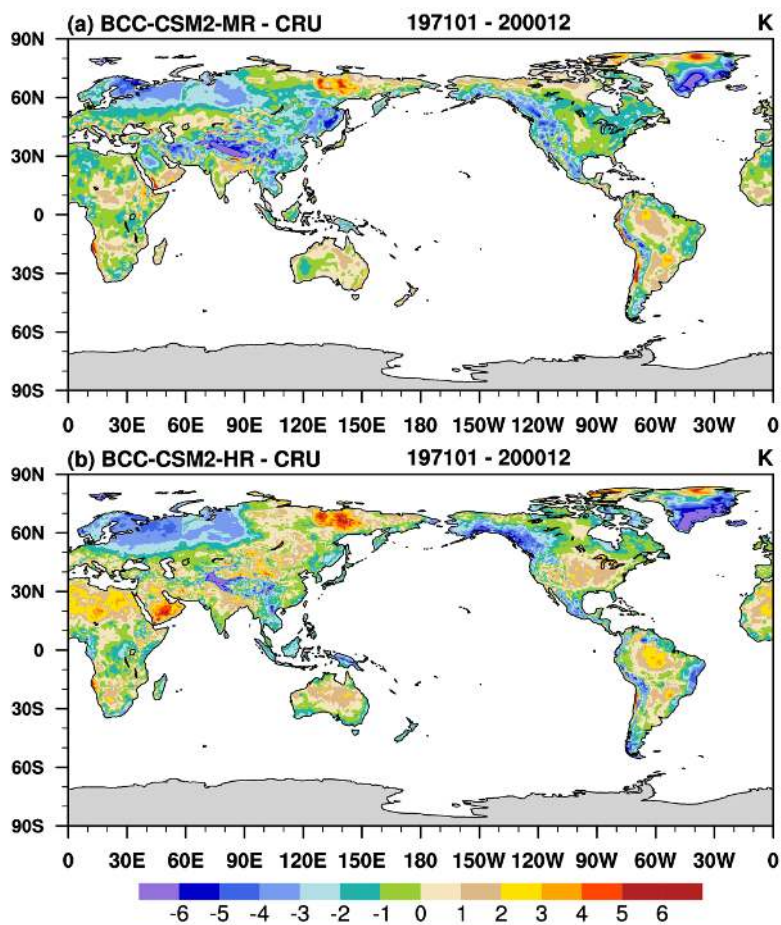


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1318 Figure 9. The global distributions of the 1971-2000 annual mean sea surface
1319 temperature for (a) the observations from Met Office Hadley Centre EN4 dataset, and
1320 the simulation biases in (b) BCC-CSM2-MR and (c) BCC-CSM2-HR.

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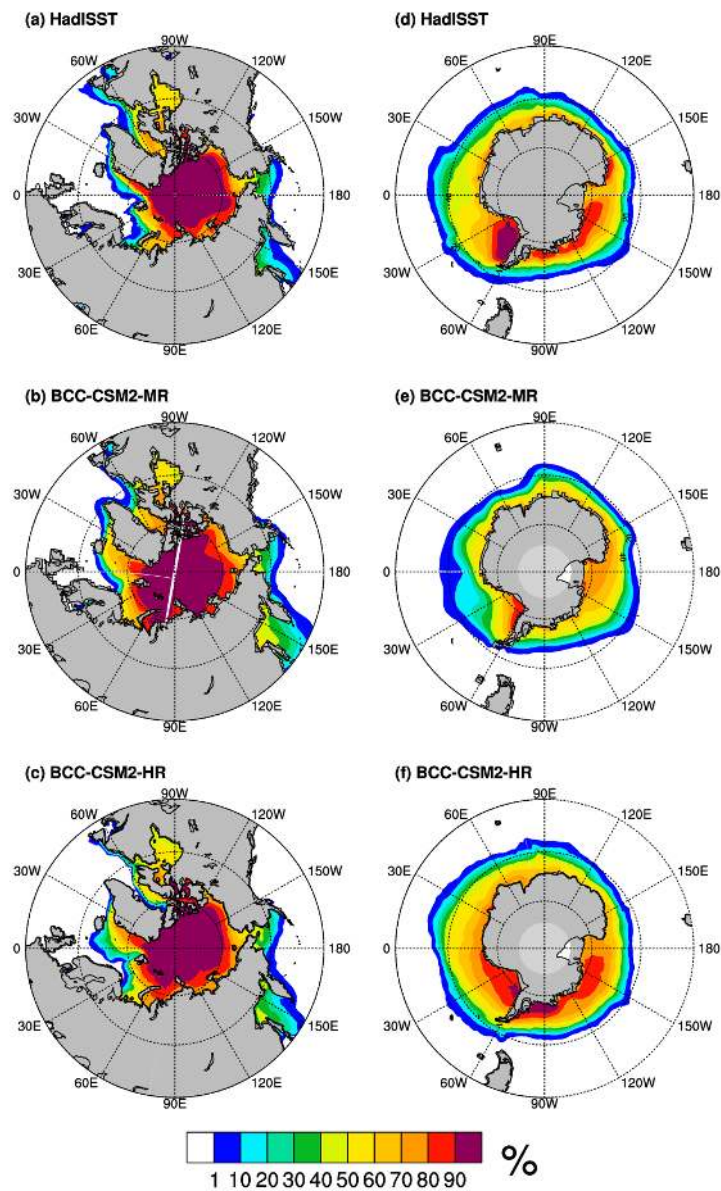
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1324 Figure 10. The simulation biases of annual mean land-surface air temperature in
1325 BCC-CSM2-MR and BCC-CSM2-HR, with contrast to HadCRUT global
1326 land-surface air temperature observations during the period from 1971 to 2000.

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1330 Figure 11. The annual mean sea ice extents from BCC-CSM2-MR and
1331 BCC-CSM2-HR with contrast to the observations from the Hadley Centre Sea Ice
1332 data set from 1971 to 2000.

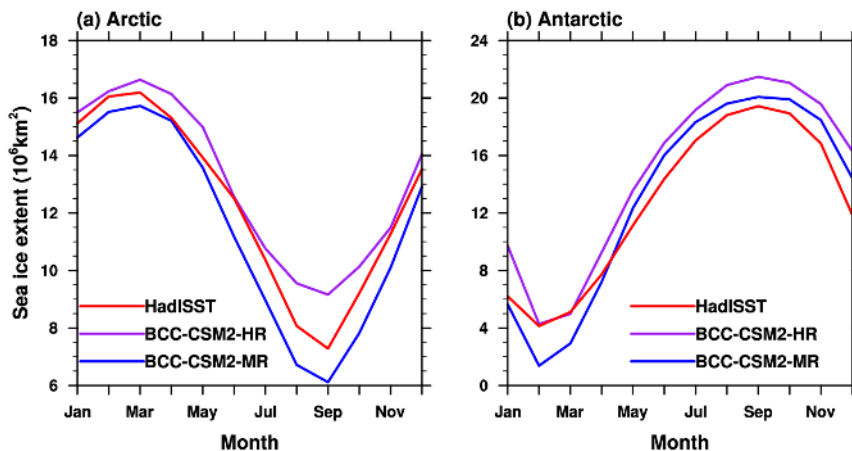
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1339 Figure 12. The mean (1971-1990) seasonal cycle of sea-ice extent (with a sea-ice
1340 concentration of at least 15 %) in (a) the Northern Hemisphere and (b) the Southern
1341 Hemisphere for the observations from the Hadley Centre Sea Ice and Sea Surface
1342 Temperature data set (red lines) and the simulations from BCC-CSM2-MR (blue
1343 lines), BCC-CSM2-HR (purple line).

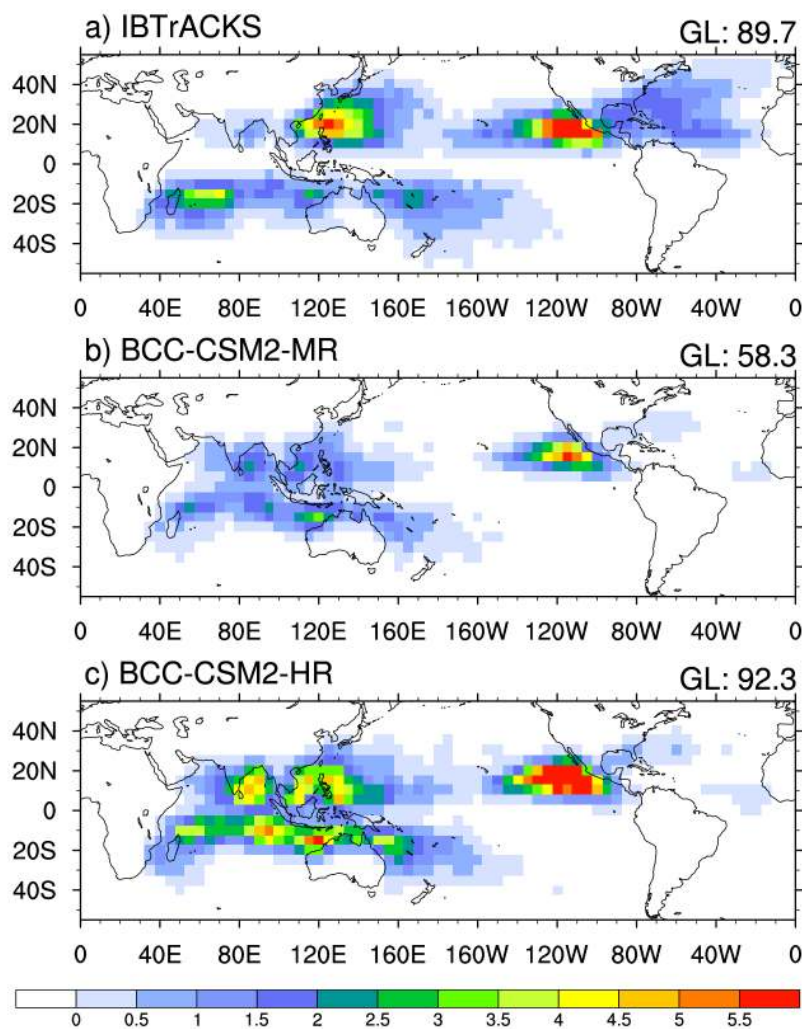
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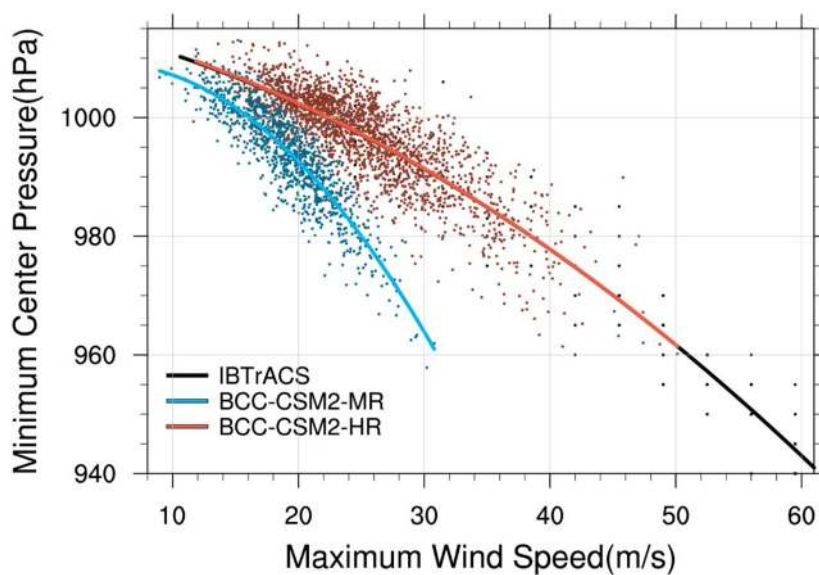
1350 Figure 13. The global distribution of tropical cyclone (TC) densities (number per year)
1351 averaged for (a) the 1981-2000 IBTrACKS_wmo observations and the 1981-2000
1352 simulations from (b) BCC-CSM2-MR, and (c) BCC-CSM2-HR. The value on the
1353 upper-right corner denotes the total number of global TCs on 5°x5° grid box.

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1359 Figure 14. Maximum surface wind speed (m s^{-1}) versus minimum sea level pressure
1360 (hPa) for tropical cyclones from the 1981-2000 daily IBTrACS observation (black
1361 dots and fitting line), and the 1981-2000 daily simulation from BCC-CSM2-HR (red
1362 dots and fitting line) and BCC-CSM2-MR (blue dots and fitting line). Here only
1363 plotted the tropical cyclones whose maximum surface wind speed exceeds 10 m s^{-1} .

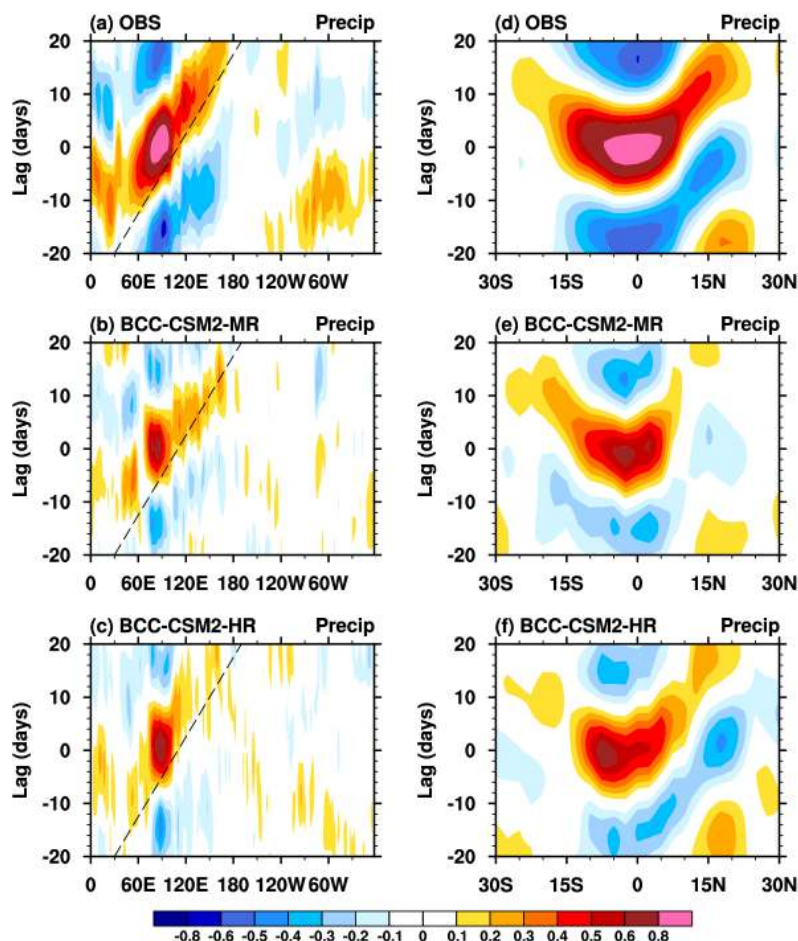
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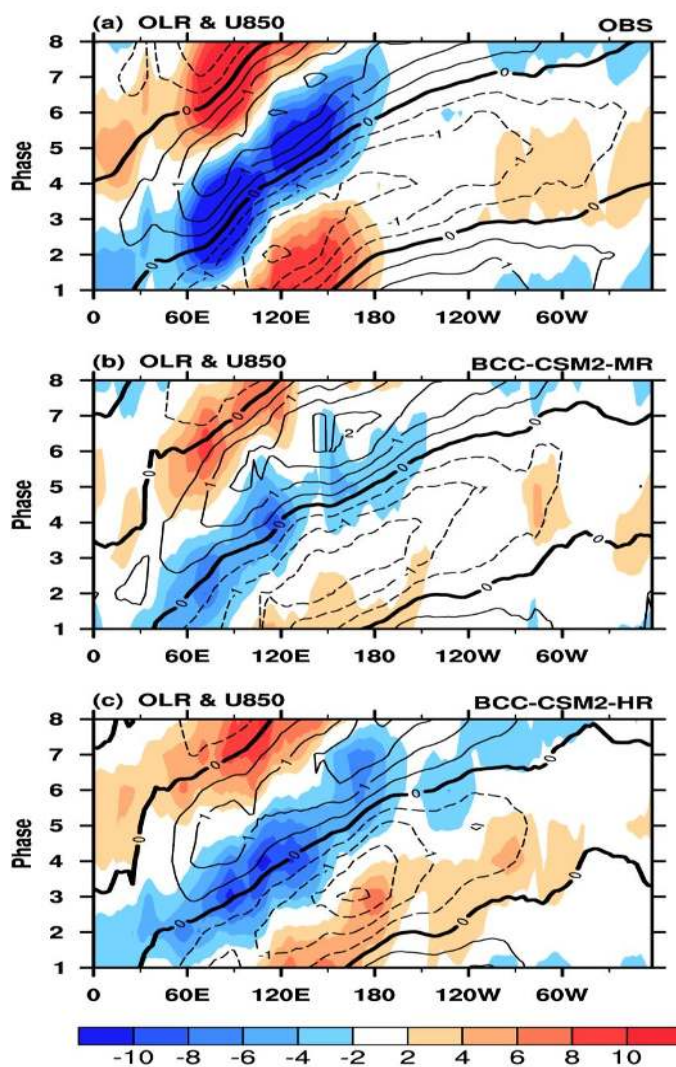
1370 Figure 15. Left panels: longitude-time evolution of lagged correlation coefficient for
1371 the 20–100-day band-pass-filtered precipitation anomaly (averaged over 10°S–10°N)
1372 against regional averaged precipitation over the equatorial eastern Indian Ocean (80°–
1373 100°E, 10°S–10°N). Right panels: same as the left panels, but for the latitude-time
1374 evolution of lagged correlation coefficient for filtered precipitation anomaly (averaged
1375 over 80°–100°E) against the regional averaged precipitation over the equatorial
1376 eastern Indian Ocean. Dashed lines in each panel denote the 5 m·s⁻¹ eastward
1377 propagation speed. The observations in (a, b) are derived from GPCP data and the
1378 simulations are from (c,d) BCC-CSM2-MR, and (e,f) BCC-CSM2-HR for the period
1379 from 1971-2000.

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1385 Figure 16. Hovmöller diagrams of MJO phase-composited OLR (shaded) and

1386 850-hPa zonal wind anomalies (contour lines) averaged between 10°S and 10°N. The

1387 MJO phase is defined by the two principal components corresponding to leading

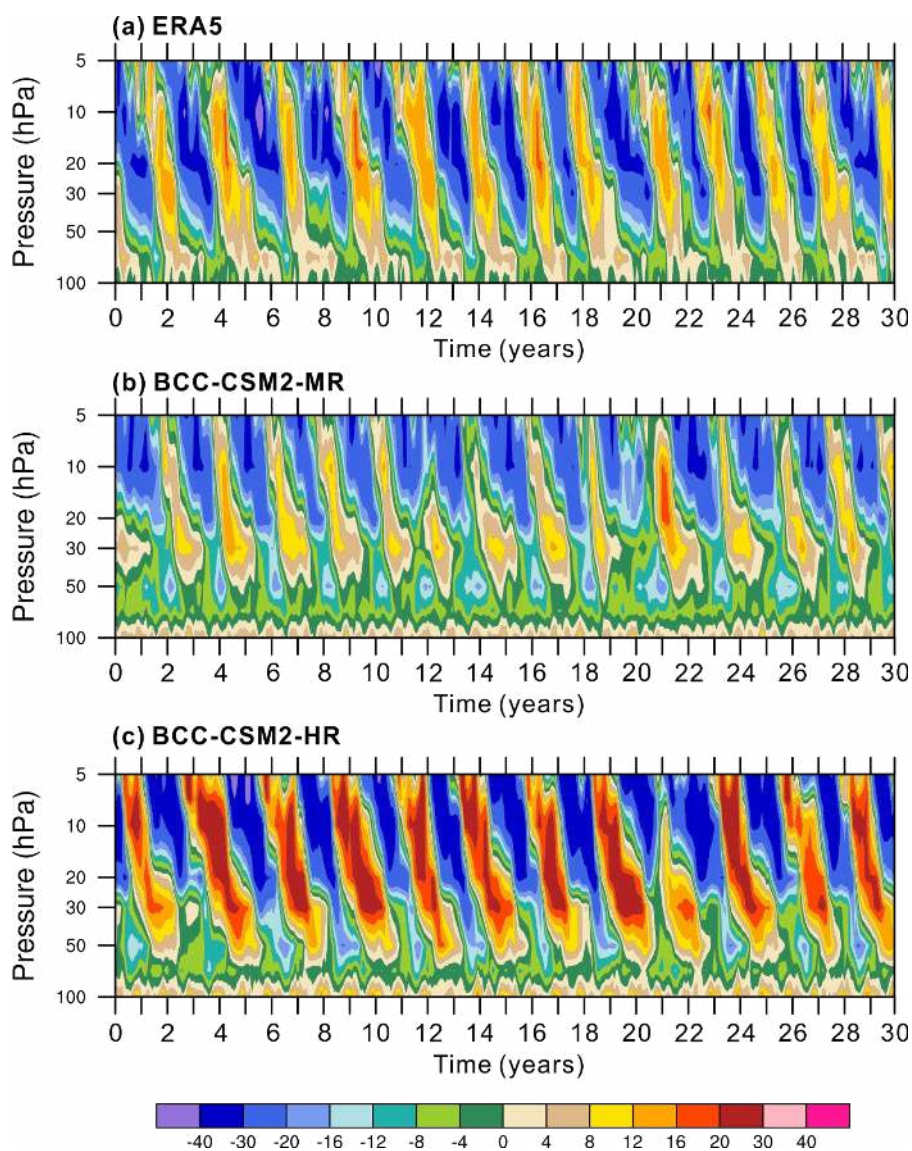
1388 multivariate EOFs of OLR, 850-hPa and 200-hPa zonal wind anomalies as in Wheeler

1389 and Hendon (2004).

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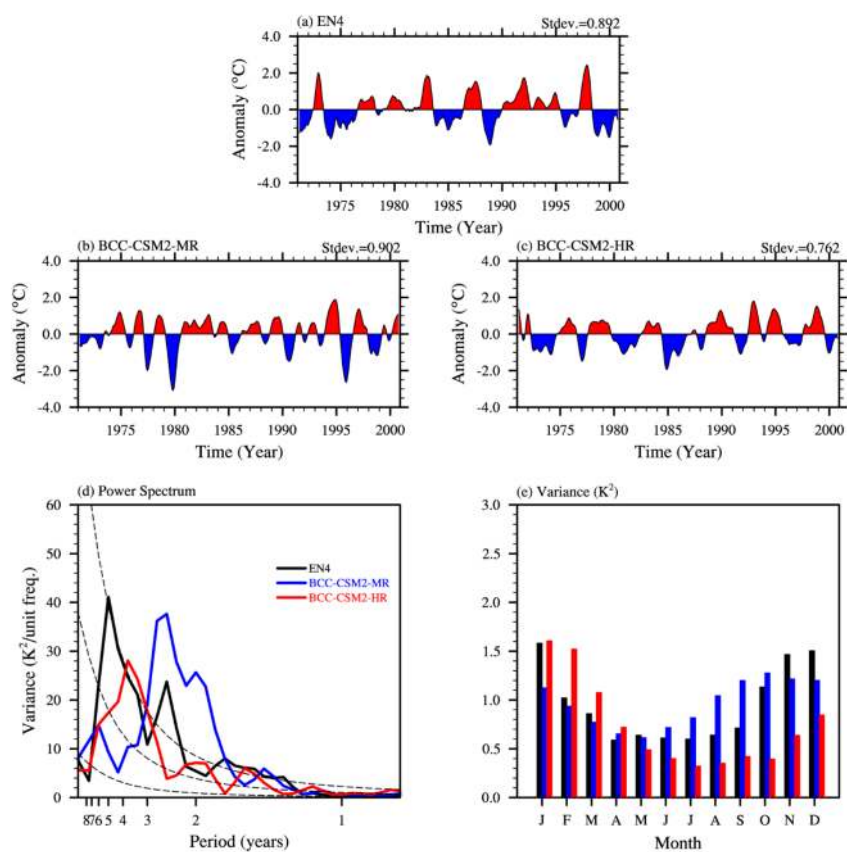


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Figure 17. Tropical zonal winds ($\text{m}\cdot\text{s}^{-1}$) between 5°S and 5°N in the lower stratosphere for (a) ERA5 reanalysis (1981–2010), (b) BCC-CSM2-MR (1971–2000) and (c) BCC-CSM2-HR (1971–2000).



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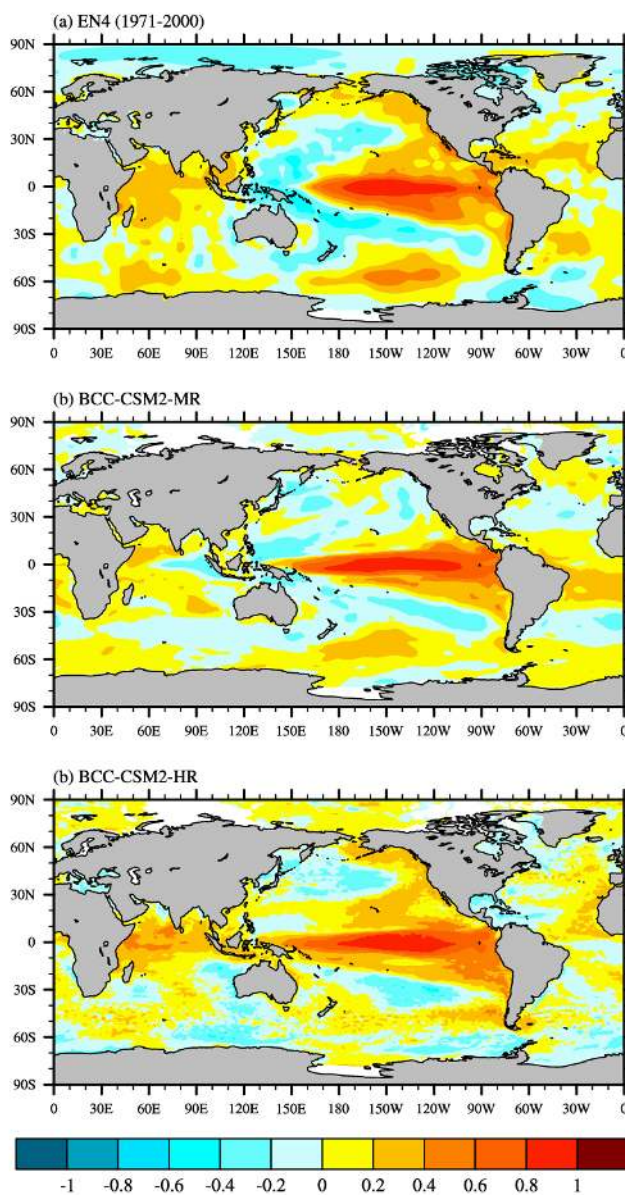
1403 Figure 18. The time series of monthly Niño3.4 SST (5°N – 5°S , 170°W – 120°W)
1404 anomalies for (a) EN4 observation, (b) BCC-CSM2-MR, and (c) BCC-CSM2-HR
1405 during the period 1971–2000. (d) and (e) show their power spectrums and variances,
1406 respectively. The black, blue, and red solid lines in (d) and (e) show the results from
1407 EN4, BCC-CSM2-MR, and BCC-CSM2-HR.

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1414 Figure 19. Correlation coefficients between SST and the Nino3.4 index from 1971 to
1415 2000 for (a) EN4 data, (b) BCC-CSM2-MR, and (c) BCC-CSM2-HR. Contour
1416 intervals are 0.2.

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