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## Benchmarking the performance of time-dependent density functional theory methods on biochromophores

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1 **Phylogeography and historical introgression in smoothtail nine-**  
2 **spined sticklebacks, *Pungitius laevis* (Gasterosteiformes:**  
3 **Gasterosteidae)**

4  
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10  
11 Running head: Historical introgression in *Pungitius laevis*

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18 **Abstract**

19 Pleistocene glaciations have strongly affected the biogeography of many species residing in  
20 periglacial and previously glaciated regions. Smoothtail nine-spined sticklebacks (*Pungitius*  
21 *laevis*) have three highly divergent mitochondrial lineages in France, one of which shares the  
22 same mitochondrial cluster with a congener *P. pungitius*. To understand if interspecific  
23 introgression has happened between the two species, we carried out phylogeographic and  
24 population genetic analyses using mitochondrial and nuclear gene sequences. Our results  
25 indicated asymmetric mitochondrial introgression from *P. pungitius* to *P. laevis* and genetic  
26 admixture of these species in one of the *P. laevis* lineages, suggesting historical hybridization.  
27 Deep intraspecific mitochondrial divergence within *P. laevis* in central and southern France  
28 mostly coinciding with major drainages suggests that these areas were important glacial refugia  
29 for the species explaining the observed intraspecific divergence. The historical hybridization  
30 between *P. laevis* and *P. pungitius* likely occurred in a refugium at central France, and the  
31 newly formed *P. laevis* lineage spread northward during postglacial recolonization. The study  
32 adds to the long list of species showing complete mitogenome capture owing to historical  
33 hybridizations, and highlights the reticulate nature of population differentiation in taxa subject  
34 to postglacial range-expansions.

35 **Keywords:** genetic admixture, hybridization, introgression, phylogeography, *Pungitius*,  
36 stickleback

## 40 **Introduction**

41 Pleistocene glaciation cycles caused dramatic climate oscillations, and significantly affected  
42 the diversification of organisms distributed in boreal and temperate regions (Hewitt, 2000, 2004;  
43 Wiens & Donoghue, 2004). During repeated ice sheet expansions, the distribution ranges of  
44 species became restricted to glacial refugia in the south (Taberlet *et al.*, 1998; Hewitt, 1999).  
45 Comparative analyses of many terrestrial and aquatic organisms have revealed that prolonged  
46 isolation in different refugia has led to extensive intraspecific genetic differentiation (Hewitt,  
47 1999; Pamilo & Savolainen, 1999; Schmitt, 2007), and even promoted speciation (Avice &  
48 Walker, 1998; Stewart & Lister, 2001). Since a limited number of founding individuals often  
49 seeded postglacial recolonizations, northern populations also tend to have lower genetic  
50 diversity than populations in the south (Hewitt, 1996; Bernatchez & Wilson, 1998; Hawkins &  
51 Porter, 2003). In addition, as distinct evolutionary lineages or species that inhabited in different  
52 refugia often used multiple routes for postglacial recolonization, hybridization has frequently  
53 occurred in their secondary contact areas (Remington, 1968; Hewitt, 2001, 2011).

54 Hybridization between distinct lineages or species often results in genetic introgression  
55 from one group to another, and backcrossing of hybrids with a parental group can give rise to  
56 hybrid swarms (Rhymer & Simberloff, 1996). Incongruent phylogeographic patterns between  
57 mitochondrial and nuclear markers are found in various organisms (Toews & Brelsford, 2012).  
58 For instance, although different taxonomic groups can be readily distinguished using nuclear  
59 genetic markers, they can become assigned into a single mitochondrial group if mitochondrial  
60 introgression has occurred (Irwin, Rubtsov & Panov, 2009; Wiens, Kuczynski & Stephens,

61 2010; Boratyński *et al.*, 2011; Darras & Aron, 2015). Postglacial introgression has often been  
62 observed in both North American and Eurasian organisms, typically in specific areas where  
63 diverged lineages from different refugia have come into secondary contact after northward  
64 expansions (Zink, 1994; Hewitt, 1999; Spellman, Riddle & Klicka, 2007). While such  
65 admixture can complicate phylogeographic reconstructions, it is becoming clear that  
66 hybridization can be also involved in generating new species or lineages (Mallet *et al.*, 2007;  
67 Schumer, Rosenthal & Andolfatto, 2014).

68 Evolutionary history and genetic structuring of freshwater taxa are strongly influenced by  
69 the historical and contemporary connectivity of the waterways (McGlashan & Hughes, 2001;  
70 Smith & Dowling, 2008). As fluvial conditions are strongly affected by climate, local  
71 geomorphological events, river captures and sea level changes, glaciation cycles probably  
72 resulted in numerous drainage re-connections and disconnections (Blum & Törnqvist, 2000;  
73 Whitfield & Harvey, 2012). However, as evidenced by large body of research, fairly detailed  
74 reconstructions of historical biogeography of freshwater fauna in formerly glaciated areas are  
75 possible with the aid of genetic markers (Bernatchez & Wilson, 1998; Makhrov & Bolotov,  
76 2006).

77 Stickleback fishes of the family Gasterosteidae are widely distributed in the northern  
78 hemisphere (Wootton, 1976), and their diversification has been strongly impacted by glaciation  
79 events (Mäkinen & Merilä, 2008; Münzing, 1969; Orti *et al.*, 1994; Takahashi & Goto, 2001;  
80 Wang *et al.*, 2015). The smoothtail nine-spined stickleback (*Pungitius laevis*) is a small  
81 freshwater fish found in coastal and inland areas of western Europe (Kottelat & Freyhof, 2007).

82 It is morphologically very similar to the nine-spined stickleback (*P. pungitius*), although they  
83 can be distinguished on the basis of the absence or presence of lateral scutes and keels (Keivany  
84 & Nelson, 2000; Kottelat & Freyhof, 2007). Because of their morphological similarities, *P.*  
85 *laevis* has often been taxonomically considered as a subspecies of *P. pungitius* (Münzing, 1969;  
86 Gross, 1979; Paepke, 1996). However, a previous phylogenetic study showed high degree of  
87 mitochondrial divergence between *P. laevis* and *P. pungitius*, additionally demonstrating the  
88 presence of three highly divergent *P. laevis* lineages in France (Wang *et al.*, 2015). The  
89 divergences of these lineages were estimated to have occurred around 1.95 to 1.38 Mya in  
90 Pleistocene, which are much older divergences than those estimated for globally distributed *P.*  
91 *pungitius* lineages (Wang *et al.*, 2015). Given that all divergent *P. laevis* lineages, as well as *P.*  
92 *pungitius* are found in the central and southern parts of France, these areas have been considered  
93 as important glacial refugia for ancestral European *Pungitius* fishes (Wang *et al.*, 2015). It is  
94 noteworthy that one of the three divergent mitochondrial lineages in *P. laevis* is  
95 phylogenetically positioned in the western European clade of *P. pungitius* (Wang *et al.*, 2015).  
96 Thus, it appears that this lineage has a unique evolutionary history that differs from those of the  
97 other *P. laevis* lineages. For instance, this lineage might have experienced hybridization and  
98 introgression with *P. pungitius* and expanded its geographic range to the northern parts of  
99 France where this lineage is currently distributed (Wang *et al.*, 2015). It is also possible that  
100 this lineage represents a convergent form of *P. pungitius* that has lost its lateral scutes and keels  
101 resulting in morphological similarity to *P. laevis*.

102 The aim of this study was to investigate the evolutionary history and processes underlying

103 the divergence between different lineages of *P. laevis*. In particular, we were interested in  
104 elucidating the hypothesis that *P. laevis* lineage III was formed as a result of hybridization and  
105 introgression between *P. laevis* and *P. pungitius*, rather than being a morphologically distinct  
106 form of *P. pungitius*. To address these issues, we conducted a fine scale phylogeographic  
107 analyses of samples collected from 30 sites in France using both mitochondrial and nuclear  
108 gene sequences.

## 109 **Materials and methods**

### 110 *Samples*

111 We collected 114 individuals of *P. laevis* from 25 sites and 22 individuals of *P. pungitius* from  
112 five sites in France (Fig. 1 and Table S1, Supporting information). *P. laevis* and *P. pungitius*  
113 were distinguished based on the absence and presence of keels at caudal regions, respectively,  
114 which is a diagnostic morphological and taxonomic trait characterizing these species (Kottelat  
115 & Freyhof, 2007). The sampling sites covered most parts of the species distribution ranges in  
116 France (Wootton, 1976; Paepke, 2001; Kottelat & Freyhof, 2007), including seven main  
117 drainage basins (*viz.* Seine, Loire, Dordogne, Charente, Meuse, Rhine and Rhône basins). The  
118 *P. laevis* individuals were sampled from three sites in the Dordogne River tributaries (Dordogne  
119 basin), two sites in the Charente River (Charente basin), eight sites in the Loire River (Loire  
120 basin), seven sites in the Seine River tributaries (Seine basin), four sites in the Meuse River  
121 (Meuse basin) and one site in the Mosel River (Rhine basin; Fig. 1). The *P. pungitius*  
122 individuals were collected from five sites in the Saône River (Rhône basin; Fig. 1). Although  
123

124 all the individuals were included in the mitochondrial gene analyses, 82 *P. laevis* individuals  
125 from 18 sites and 20 *P. pungitius* individuals from four sites were used for nuclear gene analysis  
126 due to small sample sizes in some sites (Table S1, Supporting information). Fin clips were  
127 collected and preserved in ethanol for DNA extraction. *P. platygaster* collected from Greece  
128 (40°50'N, 22°18'E) was used as an outgroup in nuclear phylogenetic analyses. Mitochondrial  
129 data for *P. platygaster* were adopted from Wang *et al.* (2015).

### 131 *DNA extraction and sequencing*

132 Whole genomic DNA was extracted using the silica-based method (Elphinstone *et al.*, 2003) or  
133 DNeasy Tissue Kit (QIAGEN). Phylogenetic analyses were conducted with one mitochondrial  
134 gene (cytochrome *b*) and eight nuclear gene fragments, including four exon primed intron  
135 crossing (EPIC) markers (04174E20, 19231E4, 36298E1 and 55305E1) and four conserved  
136 coding regions (myh6, plagl2, SH3PX3 and sreb2; Table S2, Supporting information). A total  
137 length of 1104 bp of cytochrome *b* gene was obtained using two primer pairs (Kocher *et al.*,  
138 1989; Palumbi, 1996; Shikano *et al.*, 2010; Table S2, Supporting information). Each nuclear  
139 gene was amplified and sequenced using the primers reported by earlier studies (Li *et al.*, 2007;  
140 Li, Riethoven & Ma, 2010; Table S2, Supporting information), resulting in 274 to 853 bp length  
141 for each gene with a total alignment length of 4919 bp (Table S2, Supporting information).  
142 Polymerase chain reactions (PCRs) for cytochrome *b* and nuclear genes were performed  
143 following Shikano *et al.* (2010) with slight modifications on annealing temperature for each  
144 gene (Table S2, Supporting information). PCR procedures for the four coding genes included



145 the second PCR to avoid nonspecific amplification (Li *et al.*, 2007). Direct sequencing of PCR  
146 products was conducted following Shikano *et al.* (2010) with MegaBACE 1000 (Amersham  
147 Biosciences) and ABI 3730XL (Applied Biosystems) for mitochondrial and nuclear genes,  
148 respectively. Cytochrome *b* sequences for 45 individuals from 13 sites were obtained from  
149 Wang *et al.* (2015).

150 DNA sequences were aligned using MEGA6 (Tamura *et al.*, 2013). To minimize the  
151 effects of sequencing error in nuclear genes, only SNPs observed in at least two individuals  
152 were considered as polymorphic sites according to Hey & Wakeley (1997). Phylogenetic tree  
153 reconstruction and pairwise nucleotide difference estimation (see below) were performed with  
154 IUPAC codes for heterozygous sites, and other analyses were conducted using genotypic data  
155 transformed with PGDSpider (Lischer & Excoffier, 2012). The mitochondrial and nuclear  
156 datasets were analyzed separately, since possible mitochondrial introgression from *P. pungitius*  
157 to *P. laevis* was indicated by an earlier study (Wang *et al.*, 2015). Novel mitochondrial and  
158 nuclear gene sequences were deposited in GenBank (accession numbers: KX384688–  
159 KX384725, KX758649–KX758992).

### 161 *Genetic diversity*

162 For the mitochondrial data, nucleotide diversity ( $\pi$ ), haplotype diversity ( $H_d$ ) and number of  
163 polymorphic sites ( $S$ ) were calculated using DnaSP 5.10.1 (Librado & Rozas, 2009). For the  
164 nuclear data, the number of alleles ( $N_a$ ), expected heterozygosity ( $H_E$ ) and heterozygosity  
165 deficiency ( $F_{IS}$ ) at polymorphic sites were calculated using GenAlEx 6.5 (Peakall & Smouse,

166 2012). Tests for linkage disequilibrium and Hardy-Weinberg equilibrium (HWE) were  
167 conducted using Genepop 4.2 (Raymond & Rousset, 1995; Rousset, 2008) with Bonferroni  
168 correction (Bonferroni, 1936). Given that genetic population structure is highly heterogeneous  
169 even within the same phylogenetic lineage (see results), these tests were performed for each  
170 site. Thus, it should be noted that the results of these tests can be conservative due to a relatively  
171 small sample size in each site. Statistical significance in the level of genetic diversity among  
172 four different taxonomic or phylogenetic groups (i.e. *P. laevis* lineage I, II and III and *P.*  
173 *pungitius*; see results) was examined using ANOVA followed by Fisher's LSD post-hoc test.  
174 The analyses were performed on nucleotide diversity ( $\pi$ ) and haplotype diversity ( $H_d$ ) in the  
175 mitochondrial data, and for allele number ( $N_a$ ) and expected heterozygosity ( $H_E$ ) in the nuclear  
176 data. The hierarchical analysis of molecular variance (AMOVA) was conducted to evaluate the  
177 distribution of genetic variation within populations, among populations and among the four  
178 phylogenetic groups (see above) using Arlequin v3.5 (Excoffier & Lischer, 2010).

#### 179 180 *Phylogeny and population structuring*

181 Bayesian inference phylogenetic analysis was conducted using MrBayes 3.2 (Ronquist *et al.*,  
182 2012). The best-fit substitution model was determined based on BIC criteria with Kakusan 4  
183 (Tanabe, 2007). For the mitochondrial data, K80 + Gamma, HKY85 and GTR + Gamma were  
184 used for the first, second and third codon positions, respectively. The phylogenetic analysis for  
185 the nuclear data was performed with GTR + Gamma for 04174E20 and 55305E1, HKY85 +  
186 Gamma for 36298E1, plag12, SH3PX3 and sreb2, JC69 + Gamma for 19231E4 and K80 +

187 Gamma for myh6. The MCMC chains were run for 10 000 000 generations (2500 trees were  
188 used as burn-in and every 1000 generations were sampled), at which the average standard  
189 deviation of split frequencies reached less than 0.01. Tree topology, as well as posterior  
190 probabilities, were viewed using FigTree v1.4.2 (<http://tree.bio.ed.ac.uk/software/figtree/>). The  
191 incongruence between the mitochondrial and nuclear trees was tested using Congruence Among  
192 Distance Matrices (CADM) with 9999 permutations (Campbell, Legendre & Lapointe, 2011).  
193 In this analysis, the average number of nucleotide differences (pairwise nucleotide differences)  
194 between pairs of the four phylogenetic groups (*P. laevis* lineage I, II and III and *P. pungitius*)  
195 were calculated for the mitochondrial and nuclear data separately. The null hypothesis was set  
196 to incongruence of phylogenetic patterns in the two data sets.

197 For the nuclear data, phylogenetic relationships were also inferred based on principal  
198 component analysis (PCA) using Eigensoft (Patterson, Price & Reich, 2006). Perl script  
199 SmartPCA was used to calculate principal components and determine the statistical significance  
200 of each component. Graphical plotting was conducted using R v3.2.3 (R Development Core  
201 Team 2008). In addition, population admixture analysis was carried out for the nuclear data  
202 using STRUCTURE 2.3.4 (Pritchard, Stephens & Donnelly, 2000). To investigate different  
203 genetic groups (K) of *P. laevis* and *P. pungitius*, the analysis was conducted for K = 1 to 9  
204 under the admixture and independent allele frequency models. Each K was run independently  
205 with 10 replicates. The burn-in period was set to be 500 000 iterations, and the running period  
206 after burn-in was 1 000 000 iterations. The highest hierarchical level of genetic groups in the  
207 data was inferred from delta K (Evanno, Regnaut & Goudet, 2005) using Structure Harvester

208 (Earl 2012).

209  
210 *Colonization history and recent gene flow*

211 The evolutionary history of *P. laevis* lineage III was assessed based on the geographic trends  
212 in genetic diversity within the lineage and the patterns of genetic divergence between  
213 populations in this and other lineages. Since *P. laevis* lineage III is phylogenetically distinct  
214 from *P. pungitius* based on the analysis of nuclear genes (see results), it appears that  
215 hybridization and introgression occurred between *P. laevis* and *P. pungitius* in the past before  
216 *P. laevis* lineage III expanded its distribution in the northern parts of France including the Seine,  
217 Meuse and Mosel River basins. Given that divergence between *P. laevis* and *P. pungitius* traces  
218 back to Pleistocene glaciations (Wang *et al.*, 2015), hybridization could have occurred in glacial  
219 refugia in the south when these species retreated southward during glaciations. Since postglacial  
220 recolonization to the north is expected to lead to the northward reduction of genetic diversity  
221 due to founder effects and population bottlenecks associated with range expansion (Hewitt,  
222 2000, 2004), we investigated correlation between mitochondrial DNA nucleotide diversity  
223 (sensitive to population size reductions) and latitudinal location to infer the colonization history  
224 of *P. laevis* lineage III.

225 In addition, we tested possible recent gene flow between *P. laevis* lineage III and *P.*  
226 *pungitius*, as well as between *P. laevis* lineage III and lineage I, which have allopatric  
227 distribution patterns. Under the null hypothesis that there is no recent gene flow between the  
228 different phylogenetic groups, we expected that the level of genetic differentiation is

229 independent of geographic distance between the sites within each of these groups. In contrast,  
230 if there is recent gene flow between the groups, we expected to see lower degree of genetic  
231 differentiation between the sites where these groups are geographically more closely located.  
232 Linear regressions of genetic differentiation ( $F_{ST}$ ) at the nuclear genes against geographic  
233 distance were performed by Real Statistics Resource Pack (<http://www.real-statistics.com/>) in  
234 Excel 2016 to assess if genetic differentiation within each of the lineages is independent of  
235 geographic distance. The same analysis was also conducted with the average pairwise  
236 nucleotide differences between pairs of the populations. Since the distance data violates  
237 assumption of independence among data points, the significance testing was performed using  
238 randomization with 2000 permutations.

## 240 **Results**

### 241 *Mitochondrial phylogeny*

242 The 1104 bp mitochondrial sequence contained 128 segregating sites defining 61 haplotypes  
243 among 114 *P. laevis* and 22 *P. pungitius* individuals (Tables S1, S3, Supporting information).  
244 Nucleotide diversity and haplotype diversity were 0.0342 and 0.973 in *P. laevis*, and 0.0029  
245 and 0.887 in *P. pungitius*, respectively (Table S1, Supporting information). Nucleotide diversity  
246 differed significantly among the four phylogenetic groups (i.e. *P. laevis* lineage I, II and III and  
247 *P. pungitius*; ANOVA,  $F_{3,25} = 5.44$ ,  $P = 0.0051$ ; Fig. 2), although there was no significant  
248 difference in haplotype diversity among them ( $F_{3,25} = 1.10$ ,  $P = 0.37$ ). While nucleotide  
249 diversity did not significantly differ between *P. laevis* lineage III (0.00069) and *P. pungitius*

(0.00067; Fisher's LSD,  $P = 0.97$ ; Fig. 2), each of these lineages showed lower nucleotide diversity than *P. laevis* lineage II (0.00254; Fisher's LSD,  $P < 0.01$ ; Fig. 2).

In the Bayesian phylogenetic tree, three major mitochondrial clades were found. *P. laevis* individuals were divided into three highly divergent lineages (i.e. lineage I, II and III) with high posterior probabilities ( $>0.99$ ; Fig. 3A). All *P. pungitius* individuals clustered with *P. laevis* lineage III (Fig. 3A). *P. laevis* lineage I included individuals from the Loire River drainage (LI\_FON, LI\_ERD, LI\_FIL, LI\_RID, LI\_VRI and LI\_NOH) and a close Loire bordering area of the Seine drainage (LI\_LOI and LI\_OUA), which is connected to the Loire River through the Canal de Briare. This lineage was further divided into two subclades, with one composed of individuals from LI\_FON, LI\_ERD, LI\_LOI and LI\_OUA, and the other composed of individuals from LI\_FIL, LI\_RID, LI\_VRI and LI\_NOH (Fig. 3A). *P. laevis* lineage II consisted of individuals from southwestern France, including the Dordogne River and its tributaries (LII\_MED, LII\_LAR and LII\_BLA) and the Charente River and its vicinity area (LII\_ANT, LII\_TOU, LII\_PUY and LII\_PAY). This lineage was also divided into two subclades (Fig. 3A). One subclade was composed of individuals from four sites close to the Dordogne estuary (LII\_ANT, LII\_TOU, LII\_MED and LII\_LAR), and the other subclade included those from four sites farer from the coastline (LII\_PUY, LII\_PAY and LII\_BLA; Fig. 3A). *P. laevis* lineage III was composed of *P. laevis* individuals from the Seine drainage (LIII\_ARO, LIII\_EUR, LIII\_CHA, LIII\_YON and LIII\_DRU), the Meuse River (LIII\_TRO, LIII\_BAR, LIII\_MAZ and LIII\_MOU) and the Mosel River (LIII\_ORN), as well as *P. pungitius* individuals from the Saône drainage (PP\_ORA, PP\_OGN, PP\_MON, PP\_MEN and

271 PP\_VEY). No subdivision was found between the *P. laevis* and *P. pungitius* individuals (Fig.  
272 3A).

273 The AMOVA revealed that the variance among phylogenetic groups accounted for  
274 majority (81.3%) of the total variance in the data (Table 1). Variation within phylogenetic  
275 groups and within populations only accounted for 15.3% and 3.4% of the total variance,  
276 respectively. Genetic differentiation among groups ( $F_{CT}$ ), within populations ( $F_{SC}$ ), and among  
277 populations ( $F_{ST}$ ) were 0.813, 0.819 and 0.966 respectively (Table 1).

### 278 *Nuclear phylogeny*

279 In the total 4905 bp sequence of the eight nuclear gene fragments (Table S2, S4, Supporting  
280 information), 73 SNPs were identified in the 82 *P. laevis* and 20 *P. pungitius* individuals. None  
281 of the SNPs showed significant linkage disequilibrium or departure from HWE after Bonferroni  
282 correction. The mean values of the number of alleles ( $N_a$ ) and expected heterozygosity ( $H_E$ ) in  
283 the study sites were 1.116 and 0.043 for *P. laevis* and 1.076 and 0.020 for *P. pungitius*,  
284 respectively (Table S1, Supporting information). The number of alleles ( $N_a$ ) and expected  
285 heterozygosity ( $H_E$ ) were significantly different among the four phylogenetic groups (ANOVA,  
286  $F_{3,18} = 5.93$ ,  $P = 0.005$  for  $N_a$ ;  $F_{3,18} = 5.28$ ,  $P = 0.009$  for  $H_E$ ; Fig. 2). In contrast to the  
287 mitochondrial data, *P. laevis* lineage III showed significantly higher  $N_a$  (1.20) and  $H_E$  (0.070)  
288 than all of the other groups ( $N_a = 1.05$ – $1.08$ ,  $H_E = 0.019$ – $0.033$ ; Fisher's LSD,  $P < 0.05$  or  $P <$   
289  $0.01$ : Fig. 2).

291 The nuclear phylogenetic tree revealed two main clusters corresponding to *P. laevis* and

292 *P. pungitius* with high posterior probabilities (1.00 and 1.00, respectively; Fig. 3B). Although  
293 the mitochondrial phylogenetic tree indicated a single cluster for the individuals of *P. laevis*  
294 lineage III and *P. pungitius*, these were not clustered together in the nuclear phylogenetic tree  
295 (Fig. 3B). In the *P. laevis* cluster, individuals belonging to the lineage I and II formed a  
296 subcluster with a high posterior probability (0.98), and those of the lineage II were indicated as  
297 a monophyletic group (Fig. 3B). In contrast, the individuals of *P. laevis* lineage III did not form  
298 a subcluster (Fig. 3B). The CADM test indicated that the phylogenetic relationships of the four  
299 phylogenetic groups (*P. laevis* lineage I, lineage II, lineage III and *P. pungitius*) are incongruent  
300 with those obtained from the mitochondrial data ( $P = 0.58$ ).

301 The AMOVA revealed that 58.6% of the genetic variation was explained by phylogenetic  
302 groups, whereas variance within phylogenetic groups and within populations accounted for 26.4%  
303 and 15.0% of variance, respectively (Table 1). The  $F_{CT}$ ,  $F_{SC}$  and  $F_{ST}$  values were 0.586, 0.637  
304 and 0.850, respectively (Table 1). In the PCA, three principal components were identified to be  
305 significant with inertia values of 29.3, 14.1 and 8.9 (Fig. 4). All *P. laevis* individuals formed a  
306 single cluster distinct from *P. pungitius* individuals (Fig. 4). Within the *P. laevis* cluster, the  
307 individuals from the same mitochondrial lineages tended to cluster together, but the individuals  
308 of *P. laevis* lineage II were further separated into two subgroups (Fig. 4). Notably, the  
309 individuals of *P. laevis* lineage III clustered in between *P. pungitius* and other *P. laevis* lineages  
310 showing large spread along the first principal component axis (Fig. 4).

311 In the Bayesian admixture analysis with STRUCTURE, the delta K showed a clear peak  
312 at  $K = 2$ , indicating that population structure was best explained by two genetic clusters (Fig.



S1, Supporting information). At  $K = 2$ , one genetic cluster was found for the individuals of *P. laevis* lineages I and II, and another cluster was observed for those of *P. pungitius* (Fig. 5). However, the individuals of *P. laevis* lineage III showed a pattern of admixture between these clusters (Fig. 5). At  $K = 3$ , *P. laevis* lineage I and II were separated into two different clusters, and *P. laevis* lineage III was indicated to be an admixture of *P. laevis* lineage I and *P. pungitius* (Fig. 5). At  $K = 4$ , *P. laevis* lineage II was divided into two subgroups, and at  $K = 5$ , *P. laevis* lineage III was indicated as an independent cluster, although admixture from *P. laevis* lineage I and/or *P. pungitius* were found in some individuals (Fig. 5).

#### *Colonization history and recent gene flow*

Both nucleotide diversity ( $\pi$ ) and haplotype diversity ( $H_d$ ) in the mitochondrial data decreased significantly with increasing latitude in *P. laevis* lineage III ( $\pi$ :  $r^2 = 0.442$ ,  $N = 10$ ,  $P = 0.036$ ;  $H_d$ :  $r^2 = 0.540$ ,  $N = 10$ ,  $P = 0.016$ ; Fig. 6). However, no such a trend was found in *P. pungitius* ( $\pi$ :  $r^2 = 0.289$ ,  $N = 5$ ,  $P = 0.084$ ;  $H_d$ :  $r^2 = 0.033$ ,  $N = 5$ ,  $P = 0.77$ ). Hence, while *P. laevis* lineage III and *P. pungitius* belong to the same mitochondrial clade, they show different geographic patterns of mitochondrial diversity.

In the tests for recent gene flow with the nuclear genes, no significant correlation was found between genetic ( $F_{ST}$ ) and geographic distance across *P. laevis* lineage III and *P. pungitius* sites ( $r^2 = 0.006$ ,  $N = 28$ ,  $P = 0.52$ ), or in between the *P. laevis* lineage III and lineage I sites ( $r^2 = 0.012$ ,  $N = 42$ ,  $P = 0.35$ ; Fig. S2, Supporting information). Likewise, no significant correlation was found between pairwise nucleotide difference and geographic distance across

334 *P. laevis* lineage III and *P. pungitius* sites ( $r^2 = 0.134$ ,  $N = 28$ ,  $P = 0.06$ ), or in between the *P.*  
335 *laevis* lineage III and lineage I sites ( $r^2 = 0.023$ ,  $N = 42$ ,  $P = 0.34$ ; Fig. S3, Supporting  
336 information). Thus, the null hypothesis of the presence of recent gene flow was rejected.

## 338 Discussion

339 Our results provide a basis to reject the hypothesis that *P. laevis* lineage III would be a  
340 phenotypically convergent form of *P. pungitius* which has lost its keel plates and become  
341 morphologically indistinguishable from *P. laevis*. Instead, the results provide evidence for  
342 historical interspecific introgression between *P. pungitius* and *P. laevis*, resulting in a formation  
343 of a new evolutionary lineage which appears to be morphologically indistinguishable from pure  
344 *P. laevis*, but carries traces of genomic admixture between the two parental species. Most  
345 notably, this introgression led to capture of *P. pungitius* mitogenome to *P. laevis* lineage III,  
346 but traces of nuclear introgression are clearly visible. The lack of evidence for recent gene flow  
347 between the species indicates that this secondary contact leading to the observed introgression  
348 took place historically.

### 350 *Hybridization and mitochondrial introgression*

351 While *P. laevis* lineage III clustered together with *P. pungitius* in the mitochondrial analysis,  
352 nuclear phylogenetic tree identified that all *P. laevis* individuals formed a monophyletic group  
353 distinct from *P. pungitius*. The Structure analyses indicated that *P. laevis* lineage III individuals  
354 are a genetic admixture between *P. laevis* and *P. pungitius*, suggesting that *P. laevis* lineage III

355 was formed in an asymmetric introgression between *P. pungitius* and *P. laevis*, which is also  
356 consistent with the PCA results. As hybridization between different species leads to transfer of  
357 alleles from one species to another, introgressed populations are generally expected to have  
358 higher genetic variability than either of the parental species (Katoh & Ribi, 1996). In fact, *P.*  
359 *laevis* lineage III was found to exhibit a higher level of genetic variation at nuclear genes than  
360 the other *P. laevis* lineages (and *P. pungitius*), further supporting the admixed origin of the *P.*  
361 *laevis* lineage III individuals. Since our data do not indicate ongoing gene flow either between  
362 *P. laevis* lineages I and III or between *P. laevis* lineage III and *P. pungitius*, hybridization likely  
363 occurred historically. Genetic introgression is often observed in stickleback fishes both in  
364 Eurasia and North America (Takahashi & Takata, 2000; Takahashi *et al.*, 2016, Taylor &  
365 McPhail, 1999). Takahashi *et al.* (2016) reported extensive genetic introgression among several  
366 *Pungitius* species in East Asia, including that from *P. pungitius* to *P. sinensis*, as well as from  
367 *P. sinensis* to *P. tymensis* and *P. kaibarae*. The frequent occurrence of introgression in genus  
368 *Pungitius* could be due to relatively low degree of reproductive isolation among *Pungitius*  
369 species.

370 Given that *P. laevis* lineage III is widely spread over the Seine, Meuse and Mosel Rivers,  
371 a problem to be solved is how such a vast area became colonized by this lineage. Mitochondrial  
372 genetic diversity in *P. laevis* lineage III showed clear decrease with the increasing of latitude,  
373 indicating that the lineage may have gone through northward population expansion after  
374 glaciations. Given the northward latitudinal reduction of mitochondrial diversity in lineage III,  
375 hybridization might have occurred at a southern refugium when *P. pungitius* and *P. laevis*

376 retreat during glaciations and the newly formed lineage spread to the current distribution area  
377 during postglacial recolonization. Sediments at the upstream of the Seine and Aube Rivers were  
378 deposited during the last glacial period and filled incised valleys forming alluvial plains  
379 (Bendjoudi *et al.*, 2002). This might have facilitated water connections in the Seine drainage,  
380 and provided a passage for the lineage to spread in it. Of course, given that the river networks  
381 in France have been strongly influenced by human activities including artificial canals (Persat  
382 & Keith, 2011), it is also possible that the spread of lineage III was assisted by humans.

383         Although *P. laevis* lineage III is genetically admixed by *P. pungitius* and *P. laevis* in the  
384 analyses of nuclear DNA, all the individuals carried the *P. pungitius* mitochondria. This type  
385 of asymmetric introgression, in which the mitochondria of one species is replaced by that of  
386 another (i.e. mitogenome capture), is rather common in fish and other taxonomic groups (Sousa-  
387 Santos *et al.*, 2014; Carson & Dowling, 2006; Nevado *et al.*, 2009; Toews & Brelsford, 2012).  
388 Asymmetric introgression can come about in various different ways. For example, asymmetric  
389 reproductive isolation, differences in generation length, selective sweeps and different dispersal  
390 distances between species can all cause asymmetric gene flow from one species to another  
391 (Chan & Levin, 2005; Crespin, Berrebi & Lebreton, 1999; Harrison & Larson, 2014). In East  
392 Asian *Pungitius* fishes, postzygotic reproductive isolation was found between freshwater and  
393 brackish-water types, in which mitochondrial introgression has occurred relatively recently  
394 (Takahashi, Tsuruta & Goto, 2003; Wiens, 2004), indicating that F<sub>1</sub> hybrid males are sterile but  
395 females are fertile (Takahashi, Nagai & Goto, 2005). Reproductive isolation has been proven  
396 also between landlocked and marine forms of the three-spined stickleback (*Gasterosteus*

397 *aculeatus*), in which mitochondrial introgression has occurred (Yamada, Higuchi & Goto,  
398 2001). In this case, all the F<sub>1</sub> hybrid females were sterile in both pairing directions, and only  
399 male F<sub>1</sub> hybrids generated from female landlocked and male marine forms were fertile (Honma  
400 & Tamura, 1984). The asymmetric introgression from *P. pungitius* to *P. laevis* might have  
401 occurred due to such postzygotic reproductive isolation, although no information is currently  
402 available to evaluate this possibility. However, also differences in population size are  
403 considered as a possible reason for the mitochondrial introgression between the freshwater and  
404 brackish-water types and between the Pacific and Japan Sea three-spined stickleback forms  
405 (Yamada *et al.*, 2001; Takahashi *et al.*, 2003). Similarly, the asymmetric introgression of *P.*  
406 *pungitius* mitochondrial DNA into *P. laevis* lineage III could also be due to other causes, such  
407 as possible selective advantage of the *P. pungitius* mitochondrial DNA on *P. laevis* genetic  
408 background in the environments inhabited by lineage III.

#### 409 410 *Geographic distribution of different lineages and species*

411 The three *P. laevis* lineages and *P. pungitius* were found to be geographically clearly isolated  
412 from each other and distributed mostly in different drainage systems. *P. laevis* lineage II  
413 occurred in southwestern France including the Charente and Dordogne Rivers and their  
414 tributaries or vicinities, whereas *P. laevis* lineage I occurred in central France in the Loire River  
415 drainage and in upstream parts of the Loing River. *P. laevis* lineage III was confined at the  
416 Seine, Meuse and Mosel River basins, whereas *P. pungitius* was confined in the Saône basin.  
417 The divergence between *P. laevis* lineages I and II traces back to the Pleistocene glaciations

418 (1.95 Ma; Wang *et al.*, 2015), which strongly affected the biogeography of many species in  
419 France (Gouin *et al.*, 2006). Historically, the southernmost range of ice sheets reached the  
420 northern France (Hewitt, 2004; Buoncristiani & Campy, 2004), and while regions from the  
421 Seine-Normandie basin to the northern part of the Adour-Garonne basin, which contains the  
422 Charente and Dordogne Rivers, were not covered by ice, they experienced continuous or  
423 discontinuous permafrost during Pleistocene glaciations (Bertran *et al.*, 2014). However, the  
424 southern part of the Adour-Garonne basin was nearly free of ice during the Pleistocene, which  
425 may suggest a refugium for the *P. laevis* lineage II (Bertran *et al.*, 2014). Several refugial areas  
426 have been identified in southern France, and these refugia are frequently associated with the  
427 divergence between different lineages of freshwater species. For example, freshwater crayfish  
428 (*Austropotamobius pallipes*) was found to have diverged into three deep lineages ( $\Phi_{st} = 0.731$ )  
429 distributed in river basins in southern, northwestern and eastern France, respectively. The  
430 intraspecific divergence in this species was inferred to have risen from retreat to different  
431 refugia during glaciations. One refugium was possibly located in south-western France when  
432 the Adour-Garonne basin was free of ice, while the others were suggested to be located at the  
433 Mediterranean coast and Rhine basin (Gouin *et al.*, 2001). Similarly, brown trout (*Salmo trutta*  
434 L.) diverged into five lineages in Eurasia during Pleistocene glaciations (0.5–2.0 Mya;  
435 Bernatchez, 2001). Accordingly, five glacial refugia are suggested for this species, two of which  
436 were located in southern France with corresponding lineages currently distributed to eastern  
437 and northwestern France (Bernatchez, 2001). For graylings (*Thymallus thymallus*) and dace  
438 (*Leuciscus leuciscus*), the Loire region has been suggested to be a refugium, although it is

439 located close to periglacial area (Weiss *et al.*, 2002; Costedoat & Gilles, 2009). By inference,  
440 as both central and southern France have been identified as refugia for some species, it is  
441 possible that the ancestors of *P. laevis* lineage I have inhabited the Loire River region as a  
442 refugium, and become isolated from those of the lineage II, which currently inhabits the  
443 Charente and Dordogne Rivers. The Loire River has been mostly independent from the Adour-  
444 Garonne basin since 4.5 Mya (Persat & Keith, 2011), and the separation of these drainages may  
445 have facilitated the divergence between the lineages I and II. Moreover, *P. pungitius* in the  
446 Saône catchment represents the southernmost populations of this species in Europe. These *P.*  
447 *pungitius* populations are isolated from other western European *Pungitius* populations. Thus, it  
448 seems likely that the Saône basin was a refugium to *P. pungitius*, and the population in this  
449 catchment persisted there during and after glaciations. A possible scenario for the origin of *P.*  
450 *laevis* lineage III is that *P. laevis* lineage I retreated to the upstream of the Seine River during  
451 the glaciations, and met *P. pungitius* that formerly resided in the area or colonized from the  
452 Saône watershed through periodic waterway connection caused by ancient river capture. This  
453 contact between the two species may have resulted in the formation of *P. laevis* lineage III.

454 Comparative phylogeographic studies of various species have discovered that genetic  
455 diversity of species or lineages currently inhabiting formerly glaciated regions have lower  
456 genetic diversity than species of lineages occurring in south (Hewitt, 1996; Bernatchez &  
457 Wilson, 1998). By inference, the higher genetic diversity of *P. laevis* lineage II than the two  
458 other *P. laevis* lineages and *P. pungitius* implies that *P. laevis* lineage II might have been less  
459 affected by glaciations than the others. Since the *P. laevis* lineage II was inferred to have

460 occupied the southernmost refugium, the results concur with general patterns seen in other  
461 studies. However, in the mitochondrial phylogenetic tree of *P. laevis* lineage II, populations  
462 from the Charente and Dordogne Rivers form two distinct clusters with haplotypes from both  
463 rivers. This clustering pattern could be explained by admixture owing to historical sea level  
464 fluctuations, which occurred during Quaternary glaciations in European regions (Lericolais *et*  
465 *al.*, 2007; Mellett *et al.*, 2013). It is widely recognized that freshwater fishes are forced to retreat  
466 to inlands during sea level upraises, and recolonization towards the coastline occurs when sea  
467 level drops (De Bruyn & Mather, 2007; Swartz *et al.*, 2014). However, an alternative  
468 explanation to these patterns is provided by artificial transfers by humans.

#### 469 470 *Conclusion*

471 The results identified and mapped the occurrence and phylogeographic distributions of three  
472 distinct *P. laevis* lineages in France, as well provided evidence for interspecific hybridization  
473 between *P. laevis* and *P. pungitius* being behind the formation of *P. laevis* lineage III. The two  
474 other deep lineages (I and II) of *P. laevis* have likely diverged from each other in different  
475 refugia during glaciations. Although future work is needed to test the extent of reproductive  
476 isolation between *P. pungitius* and *P. laevis*, as well as between the different *P. laevis* lineages,  
477 the results add to the evidence that interspecific hybridization between closely related fish  
478 species has been probably more common than previously thought.

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## 728 Supporting information

729 Additional supporting information may be found in the online version of this article.  
730

731 **Table S1.** Genetic diversity at mitochondrial cytochrome *b* gene and eight nuclear genes in 25  
732 *P. laevis* and five *P. pungitius* sites.  
733

734 **Table S2.** Primers and PCR conditions used for mitochondrial and nuclear genes.  
735

736 **Table S3.** Mitochondrial haplotype information in 25 *P. laevis* and five *P. pungitius* sites.  
737

738 **Table S4.** Sequence information of nuclear genes in 18 *P. laevis* and four *P. pungitius* sites.  
739

740 **Fig. S1.** Delta K values at K = 2 to K = 8 in the STRUCTURE analyses based on nuclear genetic  
741 data.  
742

743 **Fig. S2.** Relationships between nuclear genetic distance ( $F_{ST}$ ) and geographic distance in the  
744 data between (A) the *P. laevis* lineage III and *P. pungitius* sites and between (B) the *P. laevis*  
745 lineage III and I sites.  
746

747 **Fig. S3** Relationships between nuclear genetic distance (pairwise nucleotide difference) and  
748 geographic distance in the data between (A) the *P. laevis* lineage III and *P. pungitius* sites and  
749 between (B) the *P. laevis* lineage III and I sites.  
750

## Legends to figures

**Fig. 1** Sampling sites of *P. laevis* and *P. pungitius* used in this study. The four different colours of site IDs and symbols indicate different phylogenetic groups identified by mitochondrial and nuclear DNA data. Sampling sites with circle symbols were used in both mitochondrial and nuclear analyses, whereas those with square symbols were used only in mitochondrial analyses. The seven sampled main rivers are indicated in shaded colors. The dashed circle and solid circle show the distribution areas of *P. laevis* and *P. pungitius*, respectively.

**Fig. 2** Comparisons of genetic diversity among four different phylogenetic groups based on (A) mitochondrial nucleotide diversity and (B) haplotype diversity, as well as on (C) expected heterozygosity and (D) the number of alleles at nuclear loci. The vertical bars represent the standard errors of the mean. Statistically significant comparisons are indicated with asterisks (\* $P < 0.05$  and \*\* $P < 0.01$ ).

**Fig. 3** Bayesian phylogenetic trees of *P. laevis* and *P. pungitius* individuals based on (A) mitochondrial and (B) nuclear genetic data. Different phylogenetic groups are indicated with differently coloured bars. The numbers represent the posterior probability ( $>0.85$ ) of each node. Numbers in brackets indicate the number of individuals in the same population.

**Fig. 4** Scatter plot of *P. laevis* and *P. pungitius* individuals based on three principal components (PC1, PC2 and PC3) of nuclear data. The symbols in blue, yellow, red and green indicate the individuals of *P. laevis* lineage I, lineage II and lineage III and *P. pungitius*, respectively.

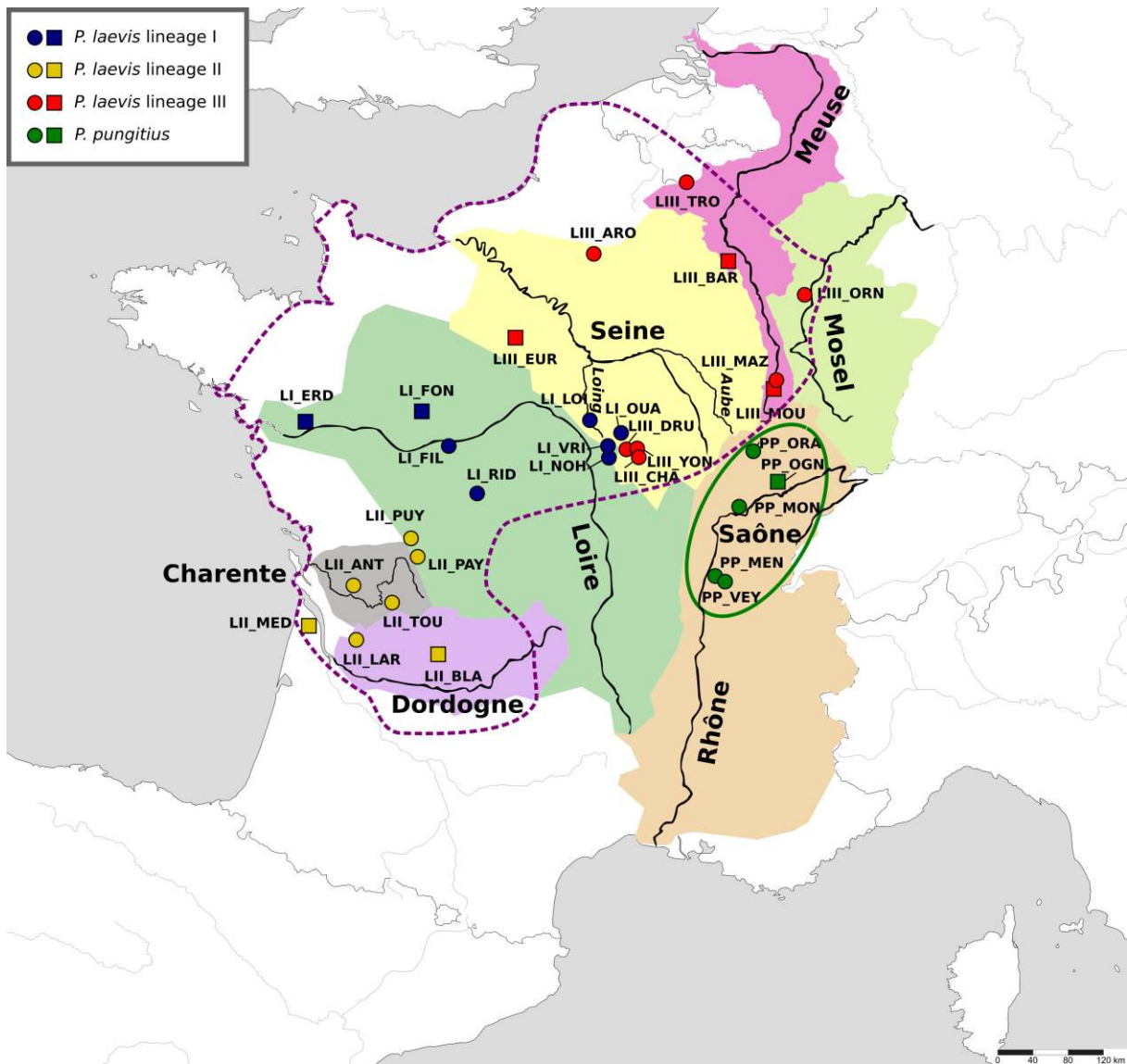
**Fig. 5** Bayesian clustering of *P. laevis* and *P. pungitius* individuals at  $K = 2$  to  $K = 5$  based on nuclear data. Each vertical bar represents each individual. The results based on  $K = 2$  was indicated to be the optimal number of clusters in the data (Fig. S1, Supporting information).

**Fig. 6** Mitochondrial (A) nucleotide and (B) haplotype diversity as a function of latitude in the *P. laevis* lineage III sites.

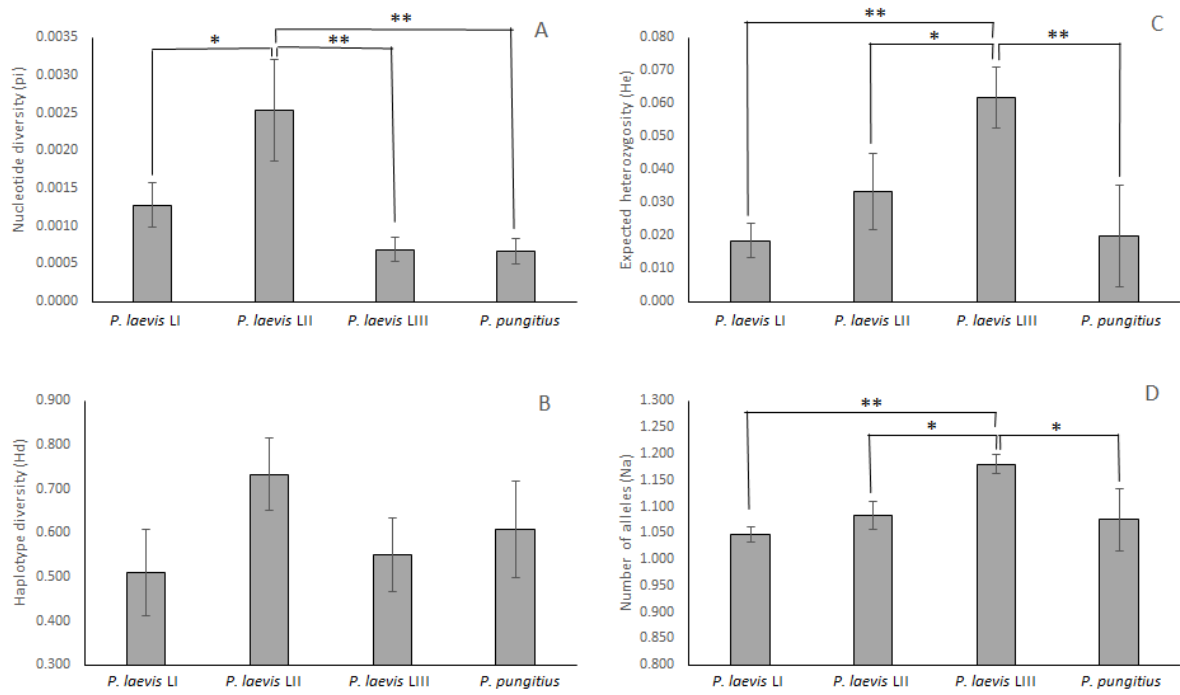
## Tables

**Table 1.** AMOVA statistics in mitochondrial and nuclear data



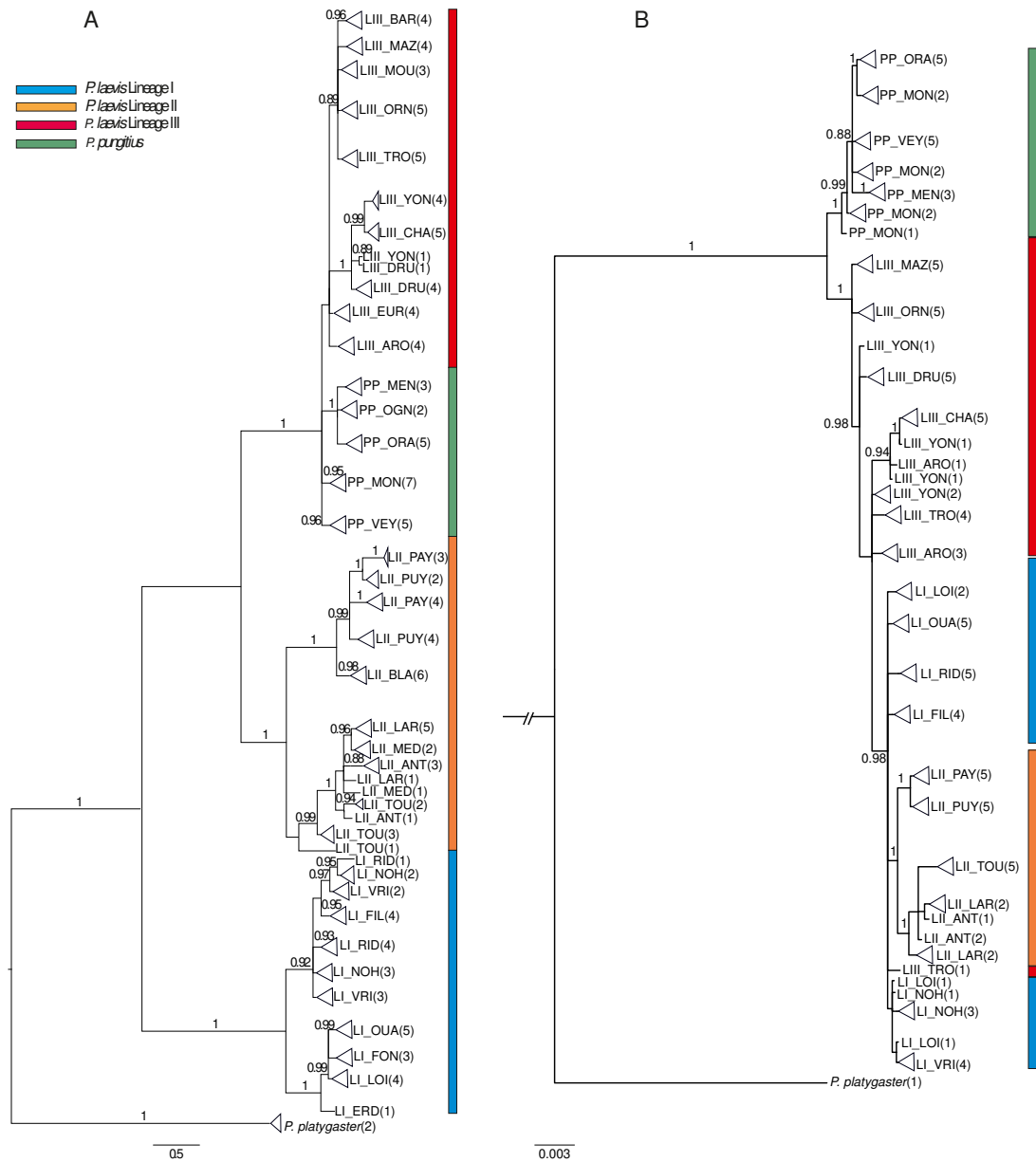


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798  
 799 Fig. 3 Bayesian phylogenetic trees of *P. laevis* and *P. purgatus* individuals based on (A) mitochondrial and (B) nuclear genetic data.  
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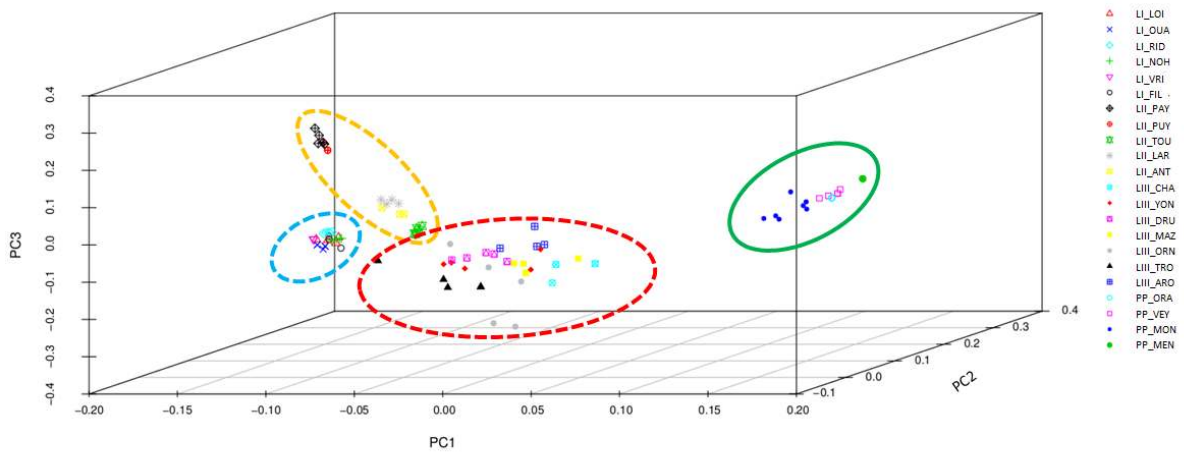


Fig. 4 Scatter plot of *P. laevis* and *P. pungitius* individuals based on three principal components (PC1, PC2 and PC3) of nuclear data. The symbols in blue, yellow, red and green indicate the individuals of *P. laevis* lineage I, lineage II and lineage III and *P. pungitius*, respectively.

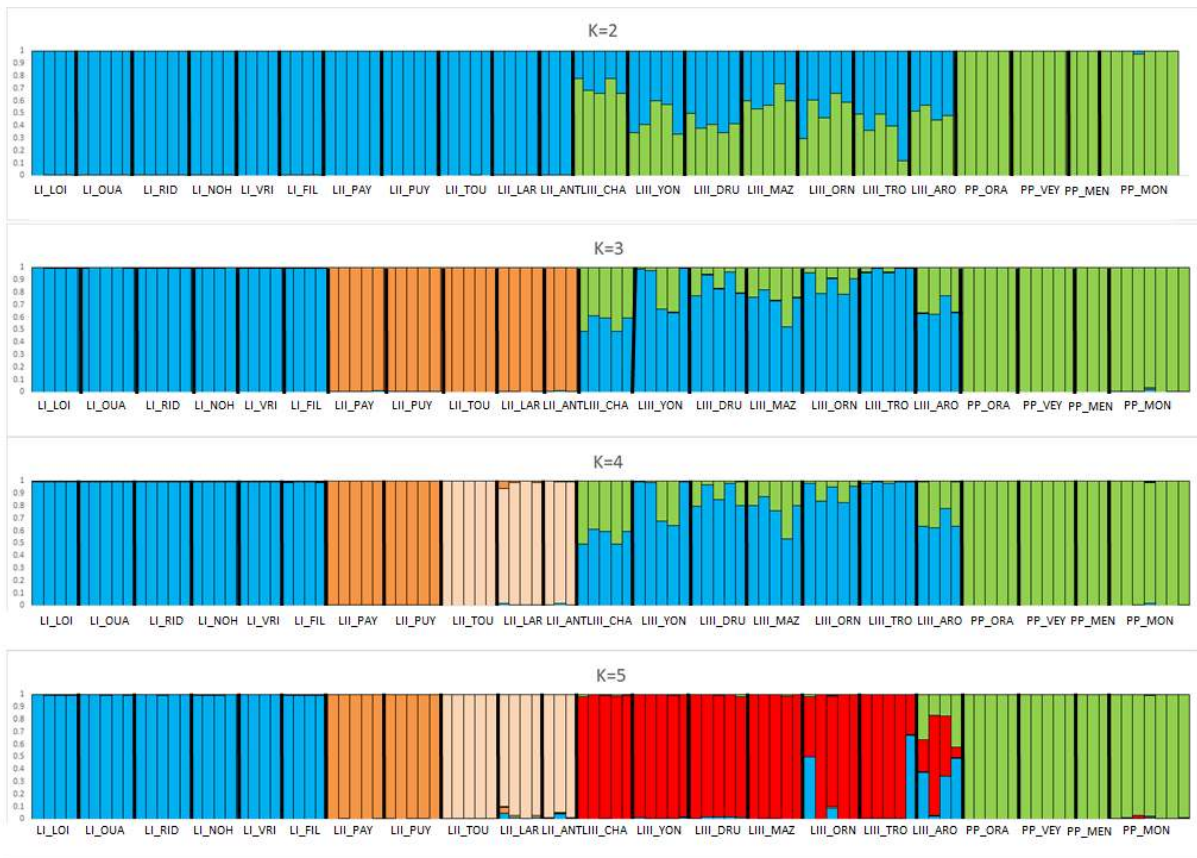


Fig. 5 Bayesian clustering of *P. laevis* and *P. pungitius* individuals at  $K = 2$  to  $K = 5$  based on nuclear data. Each vertical bar represents each individual. The results based on  $K = 2$  was indicated to be the optimal number of clusters in the data (Fig. S1, Supporting information).

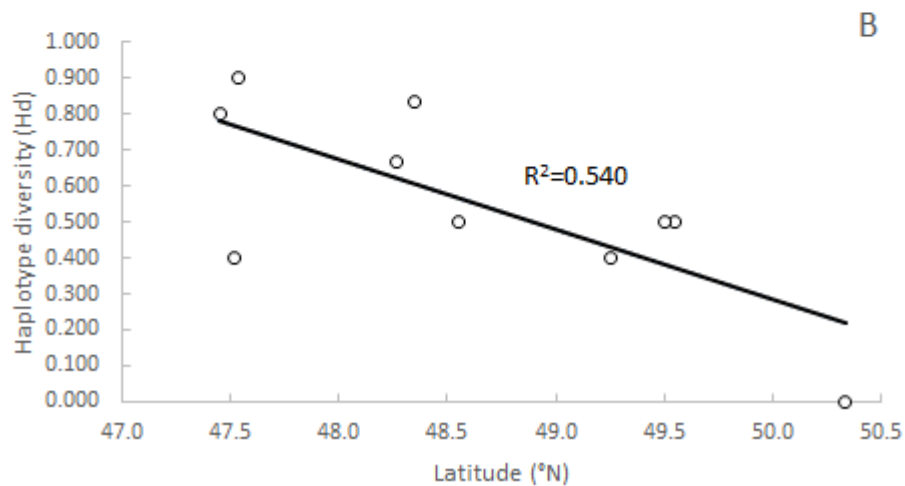
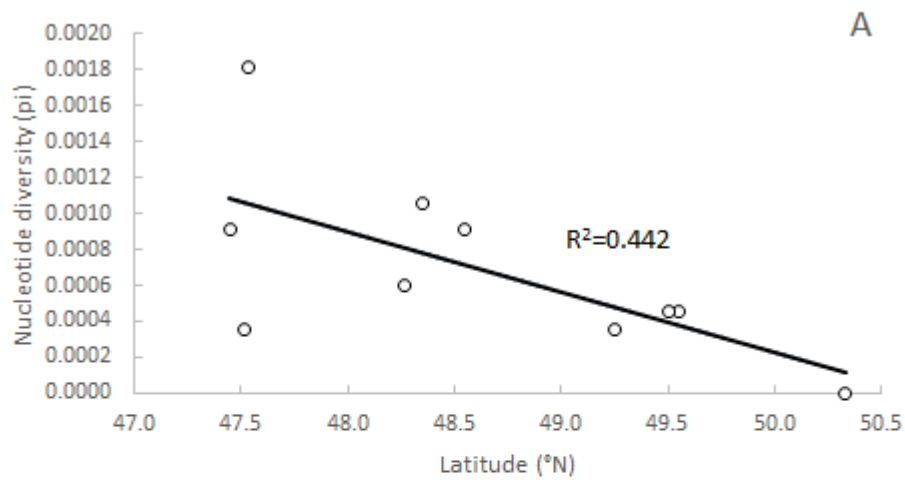


Fig. 6 Mitochondrial (A) nucleotide and (B) haplotype diversity as a function of latitude in the *P. laevis* lineage III sites.

Table 1. AMOVA statistics in mitochondrial and nuclear data

| Source of variation            | Mitochondrial data |                |                    |                         | Nuclear data |                |                    |                         |
|--------------------------------|--------------------|----------------|--------------------|-------------------------|--------------|----------------|--------------------|-------------------------|
|                                | d.f.               | Sum of squares | Variance component | Percentage of variation | d.f.         | Sum of squares | Variance component | Percentage of variation |
| Among groups                   | 3                  | 1880.2         | 18.3               | 81.3                    | 3            | 1025.0         | 6.2                | 58.6                    |
| Among populations within group | 26                 | 418.7          | 3.4                | 15.3                    | 18           | 492.8          | 2.8                | 26.4                    |
| Within populations             | 106                | 80.2           | 0.8                | 3.4                     | 182          | 290.5          | 1.6                | 15.0                    |

Mitochondrial data:  $F_{CT} = 0.813$ ,  $F_{ST} = 0.966$  and  $F_{SC} = 0.819$ ; nuclear data:  $F_{CT} = 0.586$ ,  $F_{ST} = 0.850$  and  $F_{SC} = 0.637$ .