

## BEST BOUNDS OF AUTOMORPHISM GROUPS OF HYPERELLIPTIC FIBRATIONS

ZHI-JIE CHEN

(Received February 20, 1997)

**Abstract.** For a relatively minimal hyperelliptic fibration, the best bounds of the orders of its automorphism group are obtained.

Let  $S$  be a smooth projective surface over the complex number field. A hyperelliptic fibration is a morphism  $f: S \rightarrow C$  where  $C$  is a projective curve such that a general fiber of  $f$  is a smooth hyperelliptic curve.

**DEFINITION 0.1.** An automorphism of the fibration  $f: S \rightarrow C$  is a pair of automorphisms  $(\tilde{\alpha}, \alpha)$  with  $\tilde{\alpha} \in \text{Aut}(S)$ ,  $\alpha \in \text{Aut}(C)$  such that the diagram

$$\begin{array}{ccc} S & \xrightarrow{\tilde{\alpha}} & S \\ f \downarrow & & \downarrow f \\ C & \xrightarrow{\alpha} & C \end{array}$$

commutes.

The automorphism group of a fibration  $f$  will be denoted by  $\text{Aut}(f)$ . Let  $G$  be a subgroup of  $\text{Aut}(f)$ ,  $G$ . Xiao has obtained upper bounds for the order of  $G$ :

**PROPOSITION 0.1** ([6, Proposition 1]). *Suppose  $S$  is a complete surface of general type over the complex number field with a relatively minimal fibration  $f: S \rightarrow C$  whose general fiber is of genus  $g \geq 2$ . Then*

$$|G| \leq \begin{cases} 882K_S^2 & \text{if } g(C) \geq 2 \\ 168(2g+1)(K_S^2 + 8g - 8) & \text{otherwise.} \end{cases}$$

When  $g=2$ , we have shown the following result.

**THEOREM 0.1** ([3, Theorem 0.1]). *Suppose  $S$  is a complete surface of general type over the complex number field with a relatively minimal genus 2 fibration  $f: S \rightarrow C$ . Then*

$$|G| \leq 504K_S^2.$$

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This work was carried out under the support of NSFC.

1991 *Mathematics Subject Classification*. Primary 14J25; Secondary 14E09.

If  $S$  is not locally trivial, then

$$|G| \leq \begin{cases} 126K_S^2 & \text{if } g(C) \geq 2 \\ 144K_S^2 & \text{if } g(C) = 1 \\ 120K_{S/C}^2 & \text{if } g(C) = 0. \end{cases}$$

**THEOREM 0.2** ([1, Theorems 1, 2]). *Suppose  $S$  is a complete surface of general type over the complex number field with a relatively minimal fibration  $f: S \rightarrow C$  whose general fiber is a hyperelliptic curve of genus  $g \geq 2$ . If  $g(C) \geq 2$ , then*

$$|G| \leq \begin{cases} \frac{84(g+1)}{g-1} K_S^2 & \text{if } g \neq 2, 3, 5, 9 \\ 504K_S^2 & \text{if } g = 2 \\ 252K_S^2 & \text{if } g = 3 \\ 315K_S^2 & \text{if } g = 5 \\ 157.5K_S^2 & \text{if } g = 9. \end{cases}$$

If  $g(C) = 1$ , then

$$|G| \leq \begin{cases} \frac{24(g+1)(2g+1)}{7g-13} K_S^2 & \text{if } g \geq 6 \\ 144K_S^2 & \text{if } g = 2 \\ 84K_S^2 & \text{if } g = 3 \\ 72K_S^2 & \text{if } g = 4 \\ 90K_S^2 & \text{if } g = 5. \end{cases}$$

If  $g(C) = 0$ , then

$$|G| \leq \begin{cases} \frac{20(g+1)(2g+1)}{7g-13} K_{S/C}^2 & \text{if } g \geq 6 \\ 120K_{S/C}^2 & \text{if } g = 2 \\ 70K_{S/C}^2 & \text{if } g = 3 \\ 60K_{S/C}^2 & \text{if } g = 4 \\ 75K_{S/C}^2 & \text{if } g = 5. \end{cases}$$

In this paper, by using more detailed analysis of the singular fibers, we will obtain the best upper bounds for the orders of the automorphism groups of hyperelliptic fibrations. The main theorem of this paper is the following:

**THEOREM 0.3.** *Suppose  $S$  is a complete surface of general type over the complex number field with a relatively minimal fibration  $f: S \rightarrow C$  whose general fiber is a hyperelliptic curve of genus  $g \geq 2$ . If  $g(C) \geq 2$  and  $f$  is not locally trivial, then*

$$|G| \leq \begin{cases} \frac{21(g+1)}{g-1} K_S^2 & \text{if } g \neq 2, 3, 5, 9 \\ \frac{126}{g-1} K_S^2 & \text{if } g = 2, 3 \\ \frac{315}{g-1} K_S^2 & \text{if } g = 5, 9. \end{cases}$$

If  $g(C) = 1$ , then

$$|G| \leq \begin{cases} \frac{24(g+1)}{g-1} K_S^2 & \text{if } g \neq 2, 3, 5, 9 \\ \frac{144}{g-1} K_S^2 & \text{if } g = 2, 3 \\ \frac{360}{g-1} K_S^2 & \text{if } g = 5, 9. \end{cases}$$

If  $g(C) = 0$ , then

$$|G| \leq \begin{cases} \frac{20(g+1)}{g-1} K_{S/C}^2 & \text{if } g \neq 2, 3, 5, 9 \\ \frac{120}{g-1} K_{S/C}^2 & \text{if } g = 2, 3 \\ \frac{300}{g-1} K_{S/C}^2 & \text{if } g = 5, 9. \end{cases}$$

All these bounds are the best possible. Furthermore, if the equality holds, then the fibration is necessarily equimodular.

**1. Preliminaries.** Let  $f: S \rightarrow C$  be a hyperelliptic fibration of genus  $g \geq 2$ . Then the relative canonical map of  $f$  is generically of degree 2. This map determines an involution  $\sigma$  on  $S$  whose restriction on a general fiber  $F$  of  $f$  is a hyperelliptic involution of  $F$ .  $\sigma$  is called the *hyperelliptic involution* associated to the hyperelliptic fibration  $f$ . We always assume  $\sigma \in G$ .

Let  $\rho: \tilde{S} \rightarrow S$  be the composite of all the blow-ups of isolated fixed points of the involution  $\sigma$ , and let  $\tilde{\sigma}$  be the induced involution on  $\tilde{S}$ . The factor space  $\tilde{P} = \tilde{S}/\langle \tilde{\sigma} \rangle$  is a smooth surface, and  $f$  induces a ruling on  $\tilde{P}$ :

$$\tilde{\pi}: \tilde{P} \rightarrow C.$$

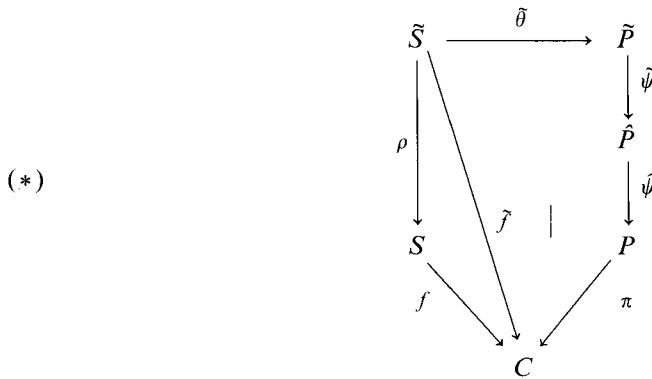
The projection from  $\tilde{S}$  to  $\tilde{P}$  is a smooth double cover  $\tilde{\theta}: \tilde{S} \rightarrow \tilde{P}$  which is determined by the pair  $(\tilde{R}, \tilde{\delta})$  where  $\tilde{R}$  is the branch locus of  $\tilde{\theta}$  and  $\tilde{\delta}$  is the divisor such that

$$\tilde{\theta}_* \mathcal{O}_{\tilde{S}} \cong \mathcal{O}_{\tilde{P}} \oplus \mathcal{O}_{\tilde{P}}(-\tilde{\delta}).$$

LEMMA 1.1. *There exist contractions of ruled surfaces  $\tilde{\psi} : \tilde{P} \rightarrow \hat{P}$  and  $\hat{\psi} : \hat{P} \rightarrow P$ , such that  $\pi : P \rightarrow C$  is a minimal ruled surface and  $\tilde{\pi} = \pi \hat{\psi} \tilde{\psi}$ . Let  $(\tilde{R}, \tilde{\delta})$  and  $(R, \delta)$  be the images of  $(\tilde{R}, \tilde{\delta})$  in  $\hat{P}$  and  $P$ , respectively. Then  $\hat{\psi} \tilde{\psi} : \tilde{P} \rightarrow P$  is a minimal even resolution of singularities of  $R$ , and  $\hat{\psi} : \hat{P} \rightarrow P$  is a minimal even resolution of non-negligible singularities of  $R$ .*

The proof is obvious. Note that the minimal ruled surface  $P$  need not be unique, but  $\hat{P} \rightarrow C$  is uniquely determined by  $\tilde{\pi} : \tilde{P} \rightarrow C$  and  $(\tilde{R}, \tilde{\delta})$ .

Now we obtain a diagram as follows.



In this paper the linear and numerical equivalence will be denoted by “ $\equiv$ ” and “ $\sim$ ”, respectively. Let

$$R \sim -(g+1)K_{P/C} + nF.$$

Then

$$\delta \sim -\frac{g+1}{2} K_{P/C} + \frac{n}{2} F.$$

Since  $K_{\tilde{P}/C}^2 = 0$  and  $K_{P/C}F = -2$ , we have

$$\delta^2 = (g+1)n, \quad \delta K_{P/C} = -n.$$

$\hat{\psi}$  can be decomposed into a series of blow-ups. Suppose that the center of the  $i$ -th blow-up is a singular point of multiplicity  $m_i$  in the corresponding even resolution of  $R$ . Let  $k_i = [m_i/2]$ . Denote the total transform in  $\hat{P}$  of the exceptional curve of the  $i$ -th blow-up by  $\mathfrak{E}_i$ . Then we have

$$\hat{\delta} \equiv \hat{\psi}^* \delta - \sum k_i \mathfrak{E}_i, \quad K_{\hat{P}/C} \equiv \hat{\psi}^* K_{P/C} + \sum \mathfrak{E}_i.$$

By the formulas for double covering, we have

$$\begin{aligned}
 (1) \quad \chi_{\tilde{f}} &= \chi(\mathcal{O}_{\tilde{S}}) - (g-1)(g(C)-1) \\
 &= \frac{1}{2} (\hat{\delta}^2 + \hat{\delta} K_{\hat{P}/C}) = \frac{1}{2} gn - \frac{1}{2} \sum k_i(k_i-1),
 \end{aligned}$$

$$(2) \quad \begin{aligned} K_{\tilde{S}/C}^2 &= K_{\tilde{S}}^2 - 8(g-1)(g(C)-1) \\ &= 2(\delta + K_{\hat{P}/C})^2 = 2(g-1)n - 2 \sum (k_i - 1)^2 . \end{aligned}$$

$\hat{R}$  may contain isolated  $(-2)$ -curves which are produced during desingularization of  $(2k-1 \rightarrow 2k-1)$  singular points. We have the following lemma.

LEMMA 1.2. *The images under  $\tilde{\theta}$  of vertical  $(-1)$ -curves of  $\tilde{S}$  are isolated  $(-2)$ -curves in  $\tilde{R}$ , which are the isolated  $(-2)$ -curves in  $\hat{R}$  as well. If  $\hat{R}$  contains  $l$  vertical  $(-2)$ -curves, then*

$$K_{\tilde{S}/C}^2 = K_{\tilde{R}/C}^2 + l .$$

PROOF. The vertical  $(-1)$ -curves in  $\tilde{S}$  are produced by blowing up the isolated fixed points in  $S$  with respect to the involution  $\sigma$ . The restriction of  $\tilde{\sigma}$  to these  $(-1)$ -curves is the identity map. Therefore the images of these  $(-1)$ -curves under the double covering  $\tilde{\theta}$  must be contained in the branch locus  $\tilde{R}$ . Since  $\tilde{R}$  is a smooth divisor, these images are isolated  $(-2)$ -curves in  $\tilde{R}$ . These  $(-2)$ -curves cannot be produced during the desingularization of  $\hat{R}$  because the singularities in  $\hat{R}$  are rational singularities after a double covering. Hence they are isolated  $(-2)$ -curves in  $\hat{R}$  as well.  $\square$

If we take away from the branch locus  $\hat{R}$  all the isolated vertical  $(-2)$ -curves, we obtain a divisor  $\hat{R}_p$  which is called the *principal part* of  $\hat{R}$ . The *second singularity index* (or more precisely, the index of negligible singularities)  $s_2(f)$  of the hyperelliptic fibration  $f: S \rightarrow C$  is defined as

$$s_2(f) = \hat{R}^2 + \hat{R}_p K_{\hat{P}/C} .$$

Since  $\hat{R} - \hat{R}_p$  is the sum of  $l$  isolated vertical  $(-2)$ -curves, we have

$$\begin{aligned} (\hat{R} - \hat{R}_p)^2 &= \hat{R}(\hat{R} - \hat{R}_p) = -2l , \\ (\hat{R} - \hat{R}_p)K_{\hat{P}/C} &= 0 . \end{aligned}$$

Hence

$$(3) \quad \begin{aligned} s_2(f) &= \hat{R}^2 + \hat{R}K_{\hat{P}/C} + 2l = 4\delta^2 + 2\tilde{\delta}K_{\hat{P}/C} + 2l \\ &= 2n(2g+1) - 4 \sum k_i^2 + 2 \sum k_i + 2l . \end{aligned}$$

Substituting (1) and (2) by (3), we have the following proposition.

PROPOSITION 1.1 (cf. [7, Theorem 5.1.7]). *If  $f: S \rightarrow C$  be a relatively minimal hyperelliptic fibration of genus  $g \geq 2$ , then*

$$\begin{aligned} (2g+1)\chi_f &= (2g+1)\chi_{\tilde{f}} = \frac{g}{4} s_2(f) - \frac{g}{2} l - \frac{1}{2} \sum k_i(k_i - 1) , \\ (2g+1)K_{\tilde{S}/C}^2 &= (g-1)s_2(f) + 3l + \frac{1}{2} \sum (3g^2 - 2g - 1 - 3(g+1-2k_i)^2) . \end{aligned}$$

Since afterwards we need only the formula for  $K_{S/C}^2$ , for simplicity by abuse of language, we define the *higher order singularity index* (or more exactly, the index of non-negligible singularities)  $s_h(f)$  as

$$s_h(f) = 3l + \frac{1}{2} \sum (3g^2 - 2g - 1 - 3(g + 1 - 2k_i)^2).$$

The contributions of each fiber  $F$  of  $\pi$  to the singularity indices  $s_h(f)$  or  $s_2(f)$  are referred to as  $s_h(F)$  or  $s_2(F)$  respectively.

Now we will show how to calculate  $s_2(f)$  and  $s_2(F)$ . Let  $f: S \rightarrow C$  be a fibration, and  $D$  an effective divisor on  $S$ . If  $D$  is a non-vertical (i.e.,  $f(D) = C$ ) smooth irreducible curve, then  $f|_D: D \rightarrow C$  is a finite cover of  $C$  with degree  $DF$  where  $F$  is a fiber of  $f$ . The ramification index of this cover can be calculated by the following formula:

$$D^2 + DK_{S/C} = 2p_g(D) - 2 - (2g(C) - 2)DF.$$

If  $D$  is vertical (i.e.,  $f(D)$  is a point), then

$$D^2 + DK_{S/C} = 2p_g(D) - 2 = -\chi_{\text{top}}(D).$$

For any reduced effective divisor  $D$ , we will define the *relative ramification index* of the divisor  $D$  with respect to  $C$  as  $D^2 + DK_{S/C}$ . Let  $\tilde{f}: \tilde{S} \rightarrow S$  be an embedded resolution of singularities of  $D$  in  $S$  and  $\tilde{D}$  the strict transform of  $D$ . Then  $\tilde{D}$  is a disjoint union of smooth irreducible curves. Assume that the center of the  $i$ -th blow-up in  $\tilde{f}$  is a singular point of multiplicity  $m_i$  in  $D$  or in the successive strict transform of  $D$ . Then

$$D^2 + DK_{S/C} = \tilde{D}^2 + \tilde{D}K_{\tilde{S}/C} + \sum m_i(m_i - 1).$$

Therefore the relative ramification index of a reduced divisor  $D$  is just the sum of the ramification index of  $\tilde{D}$  with respect to  $C$  and of the double of the difference between the arithmetic genera of  $D$  and  $\tilde{D}$ . If  $\tilde{D}$  contains vertical components, then their contribution to the ramification index is equal to the negative of their Euler characteristic. In this way we can calculate explicitly the singularity index  $s_2(f)$  and  $s_2(F)$ .

For a subgroup  $G \subseteq \text{Aut}(f)$  we have two exact sequences

$$\begin{aligned} 1 &\longrightarrow K \longrightarrow G \longrightarrow H \longrightarrow 1, \\ 1 &\longrightarrow Z_2 \longrightarrow K \longrightarrow \tilde{K} \longrightarrow 1, \end{aligned}$$

where  $H \subseteq \text{Aut}(C)$ ,  $K = \{(\tilde{\alpha}, \text{id}) \in G\}$ , and  $\tilde{K} \subseteq \text{Aut}(\pi\tilde{\psi}\tilde{\psi})$  is induced by  $K$ .

LEMMA 1.3.  $\tilde{P}$  can be contracted to a minimal ruled surface  $\pi: P \rightarrow C$  (see the diagram  $(*)$ ) which satisfies the following conditions:

- (1) Let  $(R, \delta)$  be the image of  $(\tilde{R}, \tilde{\delta})$  in  $P$ . Then  $\hat{\psi}\tilde{\psi}: \tilde{P} \rightarrow P$  is the minimal even resolution of  $R$ .
- (2) Let  $R_h$  be the non-vertical part of  $R$ . Then the multiplicity of any singular point in  $R_h$  cannot be greater than  $g + 1$ .
- (3) There exists a finite subset  $\Sigma = \{p_1, \dots, p_s\} \subseteq C$  such that after having blown

up the singular points on each fiber  $\pi^{-1}(p_i)$  which have the highest multiplicity, one gets a ruled surface  $\tilde{\pi}: \tilde{P} \rightarrow C$  such that  $\tilde{\pi}$  is compatible with  $\tilde{K}$ , i.e.,  $\tilde{K}$  can induce a subgroup  $\tilde{K} \subseteq \text{Aut}(\tilde{\pi})$ . If  $\Sigma = \emptyset$ , then the minimal ruled surface is said to be compatible with  $\tilde{K}$ .

Proof. Let  $\tilde{F}$  be a fiber of  $\tilde{\psi}\tilde{\psi}: \tilde{P} \rightarrow C$  which is not irreducible. Let  $\tilde{\psi}\tilde{\psi}(\tilde{F}) = p$ . The set of  $(-1)$ -curves on  $\tilde{F}$  can be divided into  $\tilde{K}$ -orbits. We have the following three cases.

(a) Some  $\tilde{K}$ -orbit contains more than one  $(-1)$ -curves and they meet one another. Then we have  $\tilde{F} = E + E'$ ,  $EE' = 1$  and  $\{E, E'\}$  forms a  $\tilde{K}$ -orbit. We can choose one of them, for example,  $E$ .

(b)  $\tilde{F}$  has more than one  $(-1)$ -curves and all  $(-1)$ -curves in any  $\tilde{K}$ -orbit are disjoint. We can choose a  $\tilde{K}$ -orbit such that the intersection number of its  $(-1)$ -curve with  $\tilde{R}_h$  (the horizontal part of  $\tilde{R}$ ) is minimal.

(c)  $\tilde{F}$  has only one  $(-1)$ -curve  $E$  which is stable under  $\tilde{K}$ . The multiplicity of  $E$  in  $\tilde{F}$  is greater than 1. We will choose  $E$ .

Therefore for the so chosen  $(-1)$ -curve  $E$  we have

$$\tilde{R}_h E \leq \frac{1}{2} \tilde{R} \tilde{F} = g + 1.$$

Contracting the above chosen  $(-1)$ -curve (or all the  $(-1)$ -curves in a  $\tilde{K}$ -orbit as in the Case (b)), we get a morphism  $\psi_1: \tilde{P} \rightarrow P_1$ .  $P_1$  is still a ruled surface and  $\tilde{R}, \tilde{R}_h$  will be contracted to divisors  $R_1, R_{h,1}$  in  $P_1$ . The multiplicities of singular points in  $R_{h,1}$  will not be greater than  $g + 1$ . In the Cases (b) and (c)  $\tilde{K}$  induces a subgroup of the automorphism group of  $P_1 \rightarrow C$ . In the Case (a)  $\tilde{F}$  will be contracted to a projective line in  $P_1$  and  $\tilde{K}$  induces a subgroup of the automorphism group of  $P_1 - \psi_1(\tilde{F}) \rightarrow C - \{p\}$ . Replacing  $\tilde{P}, \tilde{R}$  and  $\tilde{R}_h$  by  $P_1, R_1$  and  $R_{h,1}$  respectively, this process can be continued inductively. Finally we will obtain a needed minimal ruled surface.

The statement of (1) is obvious by the uniqueness of minimal even resolution.  $\square$

## 2. Local analysis.

PROPOSITION 2.1. *Suppose that  $f$  is not locally trivial and that there exists a minimal ruled surface  $\pi: P \rightarrow C$  which is compatible with  $\tilde{K}$ . If  $R_h$  is étale, then*

$$|G| \leq \begin{cases} \frac{4(g+1)}{g-1} rK_{S/C}^2 & \text{if } g \neq 2, 3, 5, 9 \\ \frac{24}{g-1} rK_{S/C}^2 & \text{if } g = 2, 3 \\ \frac{60}{g-1} rK_{S/C}^2 & \text{if } g = 5, 9 \end{cases}$$

where

$$r = \min_{s_2(F) \neq 0} |\text{Stab}_H \pi(F)|.$$

PROOF. Let  $F_0$  be a fiber of  $\pi$  with  $s_2(F_0) \neq 0$  such that  $r = |\text{Stab}_H \pi(F_0)|$ . Then  $F_0$  must be a component of  $R$ . Hence  $s_2(F_0) = 2(2g + 1)$ , we have

$$K_{S/C}^2 \geq 2(g-1) \frac{|H|}{r},$$

$$|G| = 2|\bar{K}||H| \leq \frac{|\bar{K}|}{g-1} rK_{S/C}^2.$$

By [4] and [5] we have

$$|\bar{K}| \leq \begin{cases} 4g+4 & \text{if } g \neq 2, 3, 5, 9 \\ 24 & \text{if } g = 2, 3 \\ 60 & \text{if } g = 5, 9. \end{cases}$$

□

PROPOSITION 2.2. *Suppose that the minimal ruled surface  $\pi: P \rightarrow C$  satisfies the conditions of Lemma 1.3. If there exists a fiber  $F$  of  $\pi$  such that  $s_h(F) \neq 0$  and  $\pi(F) \notin \Sigma$ , then*

$$|G| < \frac{4(g+1)}{g-1} rK_{S/C}^2,$$

where

$$r = \min_{\substack{s_h(F) \neq 0 \\ \pi(F) \notin \Sigma}} |\text{Stab}_H \pi(F)|.$$

PROOF. Let  $F_0$  be a fiber of  $\pi$  with  $s_h(F_0) \neq 0$  such that  $r = |\text{Stab}_H \pi(F_0)|$  and  $\pi(F_0) \notin \Sigma$ . Then  $\bar{K}$  must be a dihedral group or a cyclic group. If there is a non-negligible singular point of  $R$  outside the poles of  $F_0$ , then we must have  $k_i \geq 2$  or  $k_1 = 1$  but  $k_2 = 2$  (i.e., a  $(3 \rightarrow 3)$  singular point). Thus

$$s_h(F_0) \geq \frac{1}{2} (3g^2 - 2g - 1 - 3(g+1-4)^2) \cdot \frac{|\bar{K}|}{2} \cdot \frac{|H|}{r} = (4g-7) \frac{|\bar{K}||H|}{r}.$$

Therefore

$$|G| \leq \frac{2(2g+1)}{4g-7} rK_{S/C}^2 < \frac{4(g+1)}{g-1} rK_{S/C}^2 \quad \text{when } g \geq 2.$$

Now suppose that  $R$  has a non-negligible singular point at the poles of  $F_0$ . The strategy of the proof can be described as follows. Let  $p \in F_0$  be a singular point at a pole. Let  $s_2(p), s_h(p)$  be the contribution of  $p$  to the singularity indices of  $F_0$ . Then we have



$$K_{S/C}^2 \geq \frac{1}{2g+1} ((g-1)s_2(F_0) + s_h(F_0)) \cdot \frac{|H|}{r},$$

$$|G| \leq 2|\bar{K}||H| \leq \frac{2(2g+1)|\bar{K}|}{(g-1)s_2(F_0) + s_h(F_0)} rK_{S/C}^2.$$

The following inequality we need

$$\frac{2(2g+1)|\bar{K}|}{(g-1)s_2(F_0) + s_h(F_0)} < \frac{4(g+1)}{g-1}$$

is equivalent to the inequality

$$2(g+1)((g-1)s_2(F_0) + s_h(F_0)) - (g-1)(2g+1)|\bar{K}| > 0.$$

In fact we will show that

$$2(g+1)((g-1)s_2(p) + s_h(p)) - (g-1)(2g+1)|\bar{K}| > 0.$$

The group  $\bar{K}$  may be a cyclic group  $Z_m$  or a dihedral group  $D_{2m}$ . If  $\bar{K} \cong D_{2m}$ , then the two poles are isomorphic singular points. Hence  $s_2(F_0) \geq 2s_2(p)$  and  $s_h(F_0) \geq 2s_h(p)$ . It is evident that if we can show the inequality for the group  $Z_m$ , the same is true for  $D_{2m}$ . So from now on in the proof we will assume  $\bar{K} \cong Z_m$ .

Since  $p$  is a pole of  $P^1$ , the leading part of the local equation of  $R_h$  at  $p$  is  $x^a + t^b$  or  $x(x^a + t^b)$ , where the local equation of  $F_0$  is  $t=0$  and  $|\bar{K}||a$ . Since the singularity index at  $p$  of the case  $x^a + t^b$  is less than other cases (for example, the cases  $x(x^a + t^b)$ ,  $t(x^a + t^b)$  or  $xt(x^a + t^b)$ ). We assume that the leading part of the local equation of  $R$  at  $p$  is  $x^a + t^b$ . Then we have several cases.

(a)  $a < b$  and  $a \leq g+1$ . If  $a=3$ , there is a  $(3 \rightarrow 3)$  singular point at  $p$ , hence  $k_1 = 1$ ,  $k_2 = 2$ ,  $l \geq 1$ . We have

$$s_h(p) \geq \frac{1}{2} [(3g^2 - 2g - 1 - 3(g+1-2)^2) + (3g^2 - 2g - 1 - 3(g+1-4)^2)] + 3 = 10g - 13.$$

Hence

$$2(g+1)(10g-13) - (g-1)(2g+1) \cdot 3 \geq 14g^2 - 3g - 23 > 0 \quad \text{if } g \geq 2.$$

If  $a \geq 4$ , then  $k_1 \geq (a-1)/2$  and  $g \geq 3$ . We have

$$s_h(p) \geq \frac{1}{2} (3g^2 - 2g - 1 - 3(g+1-(a-1))^2)$$

$$= -1.5a^2 + 3(g+2)a - 7g - 6.5.$$

Hence

$$2(g+1)s_h(p) - (g-1)(2g+1) \cdot a$$

$$\geq -3(g+1)a^2 + (4g^2 + 19g + 13)a - 14g^2 - 27g - 13 > 0 \quad \text{if } g \geq 3.$$

(b)  $4 \leq b \leq g+1$  and  $a = b + u$  where  $0 \leq u \leq b-1$ . Let  $c = \lceil b/2 \rceil$ .

If  $u \geq 4$ , then we have  $k_1 = c$ ,  $k_2 = 2$  and  $|\bar{K}| \leq a \leq 2b-1 \leq 4c+1$ .

$$\begin{aligned} s_h(p) &\geq \frac{1}{2} [(3g^2 - 2g - 1 - 3(g+1-2c)^2) + (3g^2 - 2g - 1 - 3(g-3)^2)] \\ &= -6c^2 + 6(g+1)c + 4g - 16. \end{aligned}$$

Hence

$$\begin{aligned} &2(g+1)s_h(p) - (g-1)(2g+1)(4c+1) \\ &\geq -12(g+1)c^2 + 4(g^2 + 7g + 4)c + 6g^2 - 23g - 31 > 0 \quad \text{if } g \geq 3. \end{aligned}$$

If  $u = 3$  and  $c \geq 3$ , then we have  $k_1 = c$ ,  $k_2 = 1$ ,  $k_3 = 2$ ,  $l \geq 1$  and  $|\bar{K}| \leq a \leq 2c+4$ .

$$s_h(p) \geq -6c^2 + 6(g+1)c + 6g - 15.$$

Hence

$$\begin{aligned} &2(g+1)s_h(p) - (g-1)(2g+1)(2c+4) \\ &\geq -12(g+1)c^2 + 2(4g^2 + 13g + 7)c + 4g^2 - 14g - 26 > 0 \quad \text{if } g \geq 3. \end{aligned}$$

If  $u = 3$  and  $c = 2$ , then we have  $k_1 = 2$ ,  $s_2(p) \geq 6$  and  $|\bar{K}| \leq a \leq 8$ .

$$s_h(p) \geq 2(g-1).$$

Hence

$$2(g+1)s_h(p) + 2(g+1)(g-1)s_2(p) - (g-1)(2g+1) \cdot 8 \geq 8(g-1) > 0 \quad \text{if } g \geq 2.$$

If  $u = 2$ , then we have  $k_1 = c$ ,  $s_2(p) \geq 2$  and  $|\bar{K}| \leq a \leq 2c+3$ .

$$s_h(p) \geq -6c^2 + 6(g+1)c - 4g - 2.$$

Hence

$$\begin{aligned} &2(g+1)s_h(p) - (g-1)(2g+1)(2c+3) \\ &\geq -12(g+1)c^2 + 2(4g^2 + 13g + 7)c - 10g^2 - 9g - 5 > 0 \quad \text{if } g \geq 3. \end{aligned}$$

If  $0 \leq u \leq 1$ , then we have  $k_1 = c$  and  $|\bar{K}| \leq a \leq 2c+2$ .

$$s_h(p) \geq -6c^2 + 6(g+1)c - 4g - 2.$$

Hence

$$\begin{aligned} &2(g+1)s_h(p) - (g-1)(2g+1)(2c+2) \\ &\geq -12(g+1)c^2 + 2(4g^2 + 13g + 7)c - 12g^2 - 10g - 2 > 0 \quad \text{if } g \geq 4. \end{aligned}$$

If  $g = 3$  in this case, then  $b = 4$  and  $|\bar{K}| \leq a \leq 5$ . Hence

$$2(g+1)s_h(p) - (g-1)(2g+1) \cdot 5 \geq 10.$$

(c)  $4 \leq b = 2c \leq g + 1$  and  $a = qb + u$  where  $0 \leq u \leq b - 1$ ,  $q \geq 2$ . In this case  $|\bar{K}| \leq a \leq 2c(q + 1) - 1$ . We have  $k_1 = \dots = k_q = c$ .

$$\begin{aligned} s_h(p) &\geq \frac{1}{2} (3g^2 - 2g - 1 - 3(g + 1 - 2c)^2)q \\ &= (-6c^2 + 6(g + 1)c - 4g - 2)q. \end{aligned}$$

Hence

$$\begin{aligned} &2(g + 1)s_h(p) - (g - 1)(2g + 1)(2c(q + 1) - 1) \\ &\geq -12q(g + 1)c^2 + 2[(4q - 2)g^2 + (13q + 1)g + 7q + 1]c \\ &\quad - 2(4q - 1)g^2 - (12q + 1)g - 4q - 1 > 0 \quad \text{if } g \geq 3. \end{aligned}$$

(d)  $5 \leq b = 2c + 1 \leq g + 1$  and  $a = 2qb + u$  where  $0 \leq u \leq b - 1$ ,  $q \geq 1$ . In this case  $|\bar{K}| \leq a \leq (2c + 1)(2q + 1) - 1$ . We have  $k_1 = k_3 = \dots = k_{2q-1} = c$ ,  $k_2 = k_4 = \dots = k_{2q} = c + 1$ ,  $l \geq q$ .

$$s_h(p) \geq (-12c^2 + 12gc - 2g - 1)q.$$

Hence

$$\begin{aligned} &2(g + 1)s_h(p) - (g - 1)(2g + 1)((2c + 1)(2q + 1) - 1) \\ &\geq -24q(g + 1)c^2 + 2(8qg^2 - 2g^2 + 14qg + g + 2q + 1)c \\ &\quad - 4qg(2g + 1) > 0 \quad \text{if } g \geq 4. \end{aligned}$$

(e)  $5 \leq b = 2c + 1 \leq g + 1$  and  $a = (2q + 1)b + u$  where  $0 \leq u \leq b - 1$ ,  $q \geq 1$ . In this case  $|\bar{K}| \leq a \leq (2c + 1)(2q + 2) - 1$ . We have  $k_1 = k_3 = \dots = k_{2q+1} = c$ ,  $k_2 = k_4 = \dots = k_{2q} = c + 1$ ,  $l \geq q$ .

$$s_h(p) \geq -6(2q + 1)c^2 + 6(2qg + g + 1)c - 2qg - 4g - q - 2.$$

Hence

$$\begin{aligned} &2(g + 1)s_h(p) - (g - 1)(2g + 1)((2c + 1)(2q + 2) - 1) \\ &\geq -12(2q + 1)(g + 1)c^2 + 4(4qg^2 + g^2 + 7qg + 7g + q + 4)c \\ &\quad - 8qg^2 - 10g^2 - 4qg - 11g - 3 > 0 \quad \text{if } g \geq 4. \end{aligned}$$

(f)  $b = 3 \leq g + 1$  and  $a = (2q + 1)b + u$  where  $0 \leq u \leq 2$ ,  $q \geq 0$ . In this case  $|\bar{K}| \leq a \leq 6q + 5$ . We have  $k_1 = k_3 = \dots = k_{2q-1} = c$ ,  $k_2 = k_4 = \dots = k_{2q} = c + 1$ ,  $l \geq q$ .

$$s_h(p) \geq (10g - 13)q.$$

Hence

$$\begin{aligned} &2(g + 1)s_h(p) - (g - 1)(2g + 1)(6q + 5) \\ &\geq (8q + 2)g^2 + 5g - 20q - 7 > 0 \quad \text{if } g \geq 2. \end{aligned}$$

□

PROPOSITION 2.3. *Suppose that there exists a minimal ruled surface  $\pi : P \rightarrow C$  which is compatible with  $\bar{K}$ . If  $R_h$  is not étale and  $s_h(f) = 0$ , then*

$$(1) \quad |G| < (4(g+1)/(g-1))rK_{S/C}^2, \text{ where}$$

$$r = \max_{s_2(F) \neq 0} |\text{Stab}_H(F)|.$$

$$(2) \quad |G| < (20(g+1)/(g-1))K_{S/C}^2 \text{ if } g(C) = 0.$$

PROOF. (1) First we fix some notation. Let  $C_0$  be a section of  $\pi$  with the least self-intersection number  $C_0^2 = -e$ , and  $F$  a general fiber of  $\pi$ . Let  $R_h \sim 2(g+1)C_0 + nF$ . Since  $R_h$  is not étale, we have  $n > 0$ .

$\bar{K}$  may be a dihedral group or a cyclic group. Assume that  $\bar{K} = \mathbf{Z}_m$ . If  $R_h$  has a singular point  $p \in F_0$  which is not a pole, then  $s_2(p) \geq 2$ ,  $s_2(F_0) \geq 2m$ . If  $p$  is a pole, then  $s_2(p) \geq 2 + (m-1) = m+1$ . Hence

$$\begin{aligned} & (g-1)[2(g+1)s_2(F_0) - (2g+1)m] \\ & \geq (g-1)[2(m+1)(g+1) - m(2g+1)] > 0. \end{aligned}$$

Now assume that  $R_h$  is smooth. If  $F_0$  is tangent to  $R_h$  at a point outside the poles (or at any point when  $m=1$ ), then  $s_2(F_0) \geq m$ . We have

$$(g-1)[2(g+1)s_2(F_0) - (2g+1)m] \geq m(g-1) > 0.$$

Let  $C_\infty \sim C_0 + eF$  be a section which is stable under the action of  $\bar{K}$ . Since  $C_\infty R_h = n > 0$ , there exists a fiber  $F_0$  tangent to  $R_h$  at a pole  $p_0$ , hence  $s_2(p_0) = m-1$ . If  $C_0$  meets  $R_h$ , then there exists a fiber  $F_1$  tangent to  $R_h$  at a pole  $p_1$ . Hence

$$\begin{aligned} K_{S/C}^2 & \geq \frac{g-1}{2g+1} \left( \frac{m-1}{r_0} + \frac{m-1}{r_1} \right) |H| \\ & \geq \frac{2(g-1)(m-1)}{2g+1} \cdot \frac{|H|}{r}, \\ |G| & \leq 2 \cdot m \cdot \frac{2g+1}{2(g-1)(m-1)} r K_{S/C}^2 \\ & < \frac{4(g+1)}{g-1} r K_{S/C}^2. \end{aligned}$$

Next assume that  $C_0$  does not meet  $R_h$ . If  $C_0$  is not a component of  $R_h$ , then  $n = 2(g+1)e$ , i.e.,  $R_h \sim 2(g+1)(C_0 + eF)$ . Then relative ramification index of  $R_h$  will be

$$R_h^2 + R_h K_{P/C} = 4(g+1)[(g+1)e + 2g(C) - 2].$$

Since the sum of ramification indices on  $C_\infty$  is equal to  $n(m-1) = 2(g+1)(m-1)e < R_h^2 + R_h K_{P/C}$ , there exists another fiber of  $\pi$  which is tangent to  $R_h$  at a point outside poles. If  $C_0$  is a component of  $R_h$ , then  $n = (2g+1)e$ , i.e.,  $R_h - C_0 \sim (2g+1)(C_0 + eF)$ .

The relative ramification index of  $R_h - C_0$  will be

$$(2g + 1)[(2g + 1)e + 4g(C) - 4].$$

Since the sum of ramification indices on  $C_\infty$  is equal to  $(2g + 1)(m - 1)e$  which is less than the relative ramification index of  $R_h$  (note that  $m \leq 2g + 1$ ), there exists another fiber of  $\pi$  which is tangent to  $R_h$  at a point outside poles.

Therefore we have shown

$$|G| < \frac{4(g + 1)}{g - 1} rK_{S/C}^2$$

when  $\bar{K} \cong Z_m$ .

Now assume that  $\bar{K} = D_{2m}$ . In this case we have  $e = 0$ . If  $R_h$  has a singular point, then by the same argument as above we can show

$$|G| < \frac{4(g + 1)}{g - 1} rK_{S/C}^2.$$

Now we assume  $R_h$  is smooth. Since  $C_0R_h = n > 0$ , there exists a fiber  $F_0$  of  $\pi$  which meets  $R_h$  at 2 poles. The second singularity index of these points is greater than or equal to  $m - 1$ . There exist also fibers  $F_1$  and  $F_2$  which meet  $R_h$  at  $m$  points whose stabilizer in  $\bar{K}$  is isomorphic to  $Z_2$ . Let  $r_i = |\text{Stab}_H\pi(F_i)|$ ,  $i = 0, 1, 2$ . Then

$$\begin{aligned} K_{S/C}^2 &\geq \frac{g - 1}{2g + 1} \left( \frac{2(m - 1)}{r_0} + \frac{m}{r_1} + \frac{m}{r_2} \right) |H| \\ &\geq \frac{2(g - 1)(2m - 1)}{2g + 1} \cdot \frac{|H|}{r}, \\ |G| &\leq 2 \cdot (2m) \cdot \frac{2g + 1}{2(g - 1)(2m - 1)} rK_{S/C}^2 \\ &< \frac{4(g + 1)}{g - 1} rK_{S/C}^2. \end{aligned}$$

(2) If  $H$  is not dihedral or cyclic, then we have  $r = 5$ , and by the inequality of (1), the conclusion of (2) is true. Now assume that  $H$  is dihedral or cyclic. Since a rational fibration has at least three singular fibers, we may assume that  $F_0$  is a fiber with  $s_2(F_0) > 0$  with  $|\text{Stab}_H\pi(F_0)| \leq 2$ . Let  $|\bar{K}| = m$ . Then  $s_2(F_0) \geq m/2$ . Hence

$$\begin{aligned} K_{S/C}^2 &\geq \frac{g - 1}{2g + 1} \cdot \frac{m}{2} \cdot \frac{|H|}{2} = \frac{g - 1}{8(2g + 1)} |G|, \\ |G| &\leq \frac{8(2g + 1)}{g - 1} K_{S/C}^2 < \frac{20(g + 1)}{g - 1} K_{S/C}^2. \end{aligned}$$

□

PROPOSITION 2.4. *Suppose that the minimal ruled surface  $\pi: P \rightarrow C$  satisfies the conditions of Lemma 1.3 with  $\Sigma \neq \emptyset$ . Then*

(1)  $|G| < (4(g+1)/(g-1))rK_{S/C}^2$ , where

$$r = \max_{p \in C} |\text{Stab}_H(p)|.$$

(2)  $|G| < (20(g+1)/(g-1))K_{S/C}^2$  if  $g(C) = 0$ .

PROOF. By Lemma 1.3(3), there exists a finite subset  $\Sigma = \{p_1, \dots, p_s\} \subseteq C$  such that after having blown up the singular points on each fiber  $\pi^{-1}(p_i)$  which have the highest multiplicity, one gets a ruled surface  $\bar{\pi}: \bar{P} \rightarrow C$  such that  $\bar{\pi}$  is compatible with  $\tilde{K}$ , i.e.,  $\tilde{K}$  can induce a subgroup  $\bar{K} \subseteq \text{Aut}(\bar{\pi})$ . Let  $\bar{\pi}^{-1}(p_1) = \Gamma_1 + \Gamma_2$  with  $\Gamma_i R_h = g+1$ ,  $i = 1, 2$ . Blowing down  $\Gamma_1$ , we get  $F_1 = \pi^{-1}(p_1)$  which has a singular point of  $R_h$  with multiplicity  $g+1$ . If  $g+1$  is an odd number, then one and only one of the components  $\Gamma_1$  and  $\Gamma_2$  will belong to the ramification divisor  $\bar{R}$ . This is impossible because these 2 components are symmetric. Thus  $g+1$  must be even. We have

$$\begin{aligned} s_h(F_1) &\geq \frac{1}{2} (3g^2 - 2g - 1 - 3(g+1 - (g+1))^2) \\ &= 1.5g^2 - g - 0.5. \end{aligned}$$

If  $\Sigma$  contains more than one  $H$ -orbits, then

$$\begin{aligned} K_{S/C}^2 &\geq \frac{1.5g^2 - g - 0.5}{2g+1} \cdot 2 \cdot \frac{|H|}{r}, \\ |G| &\leq \frac{4(2g+1)(g+1)}{3g^2 - 2g - 1} rK_{S/C}^2 < \frac{4(g+1)}{g-1} rK_{S/C}^2. \end{aligned}$$

From now on we suppose that  $\Sigma$  itself is an  $H$ -orbit. If there is a fiber  $F_2$  of  $\pi$  with  $s_h(F_2) > 0$  and  $\pi(F_2) \notin \Sigma$ , then by Proposition 2.2 we are done. By assumption there is an  $\alpha \in \tilde{K}$  such that  $\alpha(\Gamma_1) = \Gamma_2$ . Let

$$N = \{\gamma \in \tilde{K} \mid \gamma(\Gamma_i) = \Gamma_i, i = 1, 2\}.$$

We have an exact sequence

$$1 \rightarrow N \rightarrow \tilde{K} \rightarrow \mathbf{Z}_2 \rightarrow 1.$$

Since the intersection point of  $\Gamma_1$  with  $\Gamma_2$  is fixed by the action of  $N$ ,  $N$  must be cyclic. Similarly,  $\tilde{K}$  must be cyclic or dihedral. There exist two sections  $\bar{C}_0$  and  $\bar{C}_\infty$  of  $\bar{\pi}$  which are stable by  $N$ . Let  $\bar{p}_0 = \Gamma_1 \cap \bar{C}_0, \bar{p}_\infty = \Gamma_2 \cap \bar{C}_\infty$ . If  $\tilde{K}$  is cyclic, then  $\alpha^2 \in N$ . But  $\alpha$  stabilizes the sections  $\bar{C}_0$  and  $\bar{C}_\infty$ , so  $\alpha(\bar{p}_0) = \bar{p}_0, \alpha(\bar{p}_\infty) = \bar{p}_\infty$ . This contradicts the fact that  $\alpha(\Gamma_1) = \Gamma_2$ . Therefore  $\tilde{K}$  must be dihedral and  $\alpha$  is an involution.

Since  $\Sigma$  is an  $H$ -orbit, the factor space  $P' = \bar{P}/\langle \alpha \rangle$  is a minimal ruled surface. Let  $\pi': P' \rightarrow C$  be the ruling, and let  $\bar{P} \rightarrow P'$  be the double covering with branch locus

$B' \sim 2C'_0 + mF'$  where  $C'_0$  is a section of  $\pi'$  having the least self-intersection number  $(C'_0)^2 = -e'$ . As  $\bar{P}$  is smooth, the branch locus  $B'$  is smooth as well. Moreover,  $B'$  is tangent to the fibers of  $\pi'$  over  $\Sigma$ . Thus we have  $e' > 0$  and  $m \geq 2e'$ . Since the two sections  $\bar{C}_0$  and  $\bar{C}_\infty$  in  $\bar{P}$  do not meet the ramification divisor, there is at least one section in  $P'$  which does not meet  $B'$ . Hence  $m = 2e'$ . Let  $r_1 = |\text{Stab}_H(p_1)|$ . Then we have

$$B'^2 + B'K_{P'/C} = 2e' = \frac{|H|}{r_1}.$$

Let  $R'_h$  be the image of  $\bar{R}_h$  in  $P'$ . Then

$$R' \sim (g+1)C'_0 + sF'.$$

Now we distinguish two cases.

(a) There is a fiber  $F_2$  of  $\pi$ ,  $\pi(F_2) \notin \Sigma$  such that  $B'$  meets  $R'_h$  on the image of  $F_2$ . Then we have  $s_2(F_2) \geq |\bar{K}|/2$ . Let  $r_2 = |\text{Stab}_H\pi(F_2)|$ . Then we have

$$\begin{aligned} K_{S/C}^2 &\geq \frac{1.5g^2 - g - 0.5}{2g+1} \cdot \frac{|H|}{r_1} + \frac{(g-1)|\bar{K}|}{2(2g+1)} \cdot \frac{|H|}{r_2} \\ &\geq \frac{(g-1)(3g+1+|\bar{K}|)}{4(2g+1)|\bar{K}|} \cdot \frac{|G|}{r}. \end{aligned}$$

Since  $|\bar{K}| \leq 2g+2$ , we have

$$(g+1)(3g+1+|\bar{K}|) - (2g+1)|\bar{K}| \geq (g+1)^2 > 0.$$

Namely,

$$|G| \leq \frac{4(2g+1)|\bar{K}|}{(g-1)(3g+1+|\bar{K}|)} rK_{S/C}^2 < \frac{4(g+1)}{g-1} rK_{S/C}^2.$$

(b) All the intersection points of  $R'_h$  with  $B'$  are on the fibers over  $\Sigma$ . If  $C'_0$  is a component of  $R'_h$ , then  $R'_h - C'_0 \sim gC'_0 + sF'$ . Since  $s \geq ge'$ , we have

$$(R'_h - C'_0)B' = 2s \geq 2e' \cdot g.$$

It implies that the multiplicity of  $\bar{R}_h$  on the interesection point  $\Gamma_1 \cap \Gamma_2$  is at least  $g$ . Since  $g+1$  is even, we have  $k_1 = (g+1)/2$ ,  $k_2 \geq (g-1)/2$ , that is,

$$s_h(F_1) \geq 3g^2 - 4g - 7.$$

As  $|\bar{K}| \leq 2g$ ,

$$2(g+1)s_h(F_1) - (g-1)(2g+1)|\bar{K}| \geq g^3 - 10g - 7 > 0 \quad \text{when } g \geq 4.$$

Thus

$$|G| \leq \frac{2(2g+1)|\bar{K}|}{s_h(F_1)} \cdot r_1 K_{S/C}^2 < \frac{4(g+1)}{g-1} rK_{S/C}^2 \quad \text{when } g \geq 4.$$

If  $g = 3$ , then  $s_h(F_1) = 10, s_2(F_1) = 6$ . We have

$$K_{S/C}^2 \geq \frac{6(g-1)+10}{2g+1} \cdot \frac{|H|}{r_1} \geq \frac{11}{7|\bar{K}|} \cdot \frac{|G|}{r}.$$

$$|G| \leq \frac{42}{11} r K_{S/C}^2 < \frac{4(g+1)}{g-1} r K_{S/C}^2.$$

If  $C'_0$  is not a component of  $R'_h$ , then  $s \geq (g+1)e'$ . We have

$$R'_h B' = 2s \geq 2e' \cdot (g+1).$$

It implies that the multiplicity of  $\bar{R}_h$  on the intersection point  $F_1 \cap F_2$  is at least  $g+1$ . Since  $g+1$  is even, we have  $k_1 = k_2 = (g+1)/2$ , that is,

$$s_h(F_1) \geq 3g^2 - 2g - 1.$$

As  $|\bar{K}| \leq 2g+2$ ,

$$2(g+1)s_h(F_1) - (g-1)(2g+1)|\bar{K}| \geq g(g^2-1) > 0.$$

Thus

$$|G| \leq \frac{2(2g+1)|\bar{K}|}{s_h(F_1)} \cdot r_1 K_{S/C}^2 < \frac{4(g+1)}{g-1} r K_{S/C}^2.$$

Finally assume that  $g(C) = 0$ . If  $H$  is not dihedral or cyclic, then we have  $r = 5$ , hence by the inequality of (1), the conclusion of (2) is true. Now assume that  $H$  is dihedral or cyclic. Since a rational fibration has at least three singular fibers, we may assume that  $F_1$  is a fiber such that  $\pi(F_1) \in \Sigma$  and  $|\text{Stab}_H \pi(F_1)| \leq 2$ . We know that  $s_h(F_1) \geq 1.5g^2 - g - 0.5, |\bar{K}| \leq 2(g+1)$ . Hence

$$K_{S/C}^2 \geq \frac{s_h(F_1)}{2g+1} \cdot \frac{|H|}{2} = \frac{s_h(F_1)}{8(2g+1)|\bar{K}|} |G|.$$

Since

$$10(g+1)s_h(F_1) - 2(g-1)(2g+1)|\bar{K}| \geq (g^2-1)(7g+1) > 0,$$

we get

$$|G| \leq \frac{8(2g+1)|\bar{K}|}{2s_h(F_1)} K_{S/C}^2 < \frac{20(g+1)}{g-1} K_{S/C}^2.$$

□



3. **Proof of the Main Theorem.** By Propositions 2.1 to 2.4, we have

$$|G| \leq \begin{cases} \frac{4(g+1)}{g-1} rK_{S/C}^2 & \text{if } g \neq 2, 3, 5, 9 \\ \frac{24}{g-1} rK_{S/C}^2 & \text{if } g = 2, 3 \\ \frac{60}{g-1} rK_{S/C}^2 & \text{if } g = 5, 9 \end{cases}$$

where

$$r = \max_{p \in C} |\text{Stab}_H(p)|.$$

Or equivalently,

$$|G| \leq \frac{A}{g-1} rK_{S/C}^2,$$

where

$$|\bar{K}| \leq A = \begin{cases} 4g+4 & \text{if } g \neq 2, 3, 5, 9 \\ 24 & \text{if } g = 2, 3 \\ 60 & \text{if } g = 5, 9. \end{cases}$$

We distinguish three cases.

(a)  $g(C) \geq 2$ . Since  $H$  is a subgroup of  $\text{Aut}(C)$ ,  $H$  determines a finite morphism  $\tau: C \rightarrow X = C/H$ . Denote the ramification indices by  $r_i$ . Then Hurwitz's theorem implies that

$$2g(C) - 2 = n(2g(X) - 2) + n \sum \left(1 - \frac{1}{r_i}\right).$$

Let

$$\varphi(g(X), s, r_1, \dots, r_s) = 2g(X) - 2 + \sum_{i=1}^s \left(1 - \frac{1}{r_i}\right) > 0,$$

where  $g(X) \geq 0, s \geq 0, r_i \geq 2, i = 1, \dots, s$  are integers. By calculation we can see

$$\varphi(0, 3, 2, 3, 7) = \frac{1}{42},$$

$$\varphi(0, 3, 2, 3, 8) = \frac{1}{24},$$

$$\varphi(g(X), s, r_1, \dots, r_s) \geq \frac{1}{20} \quad \text{otherwise.}$$

Thus

$$\begin{cases} |H|=84(g(C)-1) & \text{when } r_1=2, r_2=3, r_3=7, \\ |H|=48(g(C)-1) & \text{when } r_1=2, r_2=3, r_3=8, \\ |H|\leq 40(g(C)-1) & \text{otherwise.} \end{cases}$$

If  $|H|=84(g(C)-1)$ , then  $r=7$ . We have

$$\begin{aligned} |G| &\leq \frac{A}{g-1} rK_{S/C}^2 = \frac{7A}{g-1} (K_S^2 - 8(g-1)(g(C)-1)) \\ &= \frac{7A}{g-1} K_S^2 - \frac{2A|H|}{3} = \frac{7A}{g-1} K_S^2 - \frac{A}{3|\bar{K}|} \cdot |G| \\ &\leq \frac{7A}{g-1} K_S^2 - \frac{|G|}{3}, \end{aligned}$$

hence

$$|G| \leq \frac{21}{4} \cdot \frac{A}{g-1} K_S^2.$$

If  $|H|=48(g(C)-1)$ , then  $r=8$ . Similarly we have

$$|G| \leq \frac{8A}{g-1} K_S^2 - \frac{2|G|}{3},$$

hence

$$|G| \leq \frac{25}{5} \cdot \frac{A}{g-1} K_S^2 < \frac{21}{4} \cdot \frac{A}{g-1} K_S^2.$$

If  $|H|\leq 40(g(C)-1)$  and  $R_h$  is not étale, then  $|K|\leq 4(g+1)$ . Hence

$$\begin{aligned} |G| &\leq 4(g+1)|H| \leq 160(g+1)(g(C)-1) \leq \frac{20(g+1)}{g-1} K_S^2 \\ &< \frac{21}{4} \cdot \frac{A}{g-1} K_S^2. \end{aligned}$$

If  $R_h$  is étale, then since  $f$  is not locally trivial,  $R$  must contain some fiber  $F_0$ . By Proposition 2.1,  $s_2(F_0)=2(2g+1)$ . Let  $p=f(F_0)$ , and  $n=|H|$ .  $H$  determines a finite morphism  $\tau: C \rightarrow X=C/H$ . Denote the ramification index of  $p \in C$  with respect to  $\tau$  by  $r$  and the other ramification indices by  $r_i$ . Then Hurwitz's theorem implies that

$$2g(C)-2 = n(2g(X)-2) + n \sum \left(1 - \frac{1}{r_i}\right).$$

As the  $H$ -orbit of the point  $p$  has  $n/r$  points, this implies that  $s_2(f) \geq 2(2g + 1)n/r$ . Hence

$$\begin{aligned} K_S^2 &\geq \frac{g-1}{2g+1} s_2(f) + 8(g-1)(g(C)-1) \\ &\geq \frac{2(g-1)n}{r} + 4(g-1)n \left[ 2g(X) - 2 + \sum \left( 1 - \frac{1}{r_i} \right) \right] \\ &= 4(g-1)n \left[ 2g(X) - 2 + \frac{1}{2r} + \sum \left( 1 - \frac{1}{r_i} \right) \right]. \end{aligned}$$

It is not difficult to see that the expression  $2g(X) - 2 + 1/2r + \sum(1 - 1/r_i)$  reaches its minimal value  $2/21$  (under the condition  $2g(X) - 2 + \sum(1 - 1/r_i) > 0$ ) when  $g(X) = 0$ ,  $r_1 = 2$ ,  $r_2 = 3$ , and  $r = r_3 = 7$ . Namely,

$$K_S^2 \geq \frac{8}{21} (g-1)n = \frac{8}{21} (g-1) |H| = \frac{4}{21 |\bar{K}|} (g-1) |G|.$$

Thus

$$|G| \leq \frac{21}{4} \cdot \frac{|\bar{K}|}{g-1} K_{S/C}^2 \leq \frac{21}{4} \cdot \frac{A}{g-1} K_{S/C}^2.$$

Therefore we have shown

$$|G| \leq \frac{21}{4} \cdot \frac{A}{g-1} K_{S/C}^2$$

in all cases when  $g(C) \geq 2$ .

(b)  $g(C) = 1$ . Then  $r = 6$ , and we get

$$|G| \leq 6 \frac{A}{g-1} K_{S/C}^2 = 6 \frac{A}{g-1} K_S^2.$$

(c)  $g(C) = 0$ . If  $H$  is not cyclic or dihedral, then  $r = 5$ , and we get

$$|G| \leq 5 \frac{A}{g-1} K_{S/C}^2.$$

Now assume that  $H$  is dihedral or cyclic. Since a rational fibration has at least three singular fibers, we may assume that  $F_0$  is a fiber with  $|\text{Stab}_H \pi(F_0)| \leq 2$  such that  $s_h(F_0) > 0$  or  $s_2(F_0) > 0$ . If  $R_h$  is étale or  $s_h(F_0) > 0$  and  $\pi(F_0) \notin \Sigma$ , then

$$|G| \leq 2 \frac{A}{g-1} K_{S/C}^2$$

by Propositions 2.1 and 2.2. Otherwise we have

$$|G| < 5 \frac{A}{g-1} K_{S/C}^2$$

by Propositions 2.3 and 2.4. □

**4. Examples.** To construct the fibrations whose automorphism group attains the maximal order is nearly trivial. Let  $F$  be a hyperelliptic curve of genus  $g$  such that  $|\text{Aut}(F)| = 2A$ . Let  $\varphi : F \rightarrow X \cong \mathbf{P}^1$  be the double cover determined by the canonical linear system  $|K_F|$ . Let  $B \in \text{Div}(X)$  be the corresponding branch locus. Then  $\deg B = 2g + 2$ .

Let  $C_1$  be a Hurwitz curve, and  $H_1 = \text{Aut}(C_1)$ . Then  $C_1$  has an  $H_1$ -orbit  $\{q_1, \dots, q_m\}$  which contains  $m = 12(g(C) - 1)$  points. Let  $D_1 = \sum q_i \in \text{Div}(C_1)$ . Then  $\deg D_1 = 12(g(C) - 1)$ .

Let  $C_2$  be an elliptic curve with  $j$ -invariant  $j(C_2) = 0$ . Fix a  $q_1 \in C_2$ . Then the order of the group of automorphisms  $\text{Aut}(C_2, q_1)$  of  $C_2$  leaving  $q_1$  fixed is equal to 6. Let  $H'_2 \cong \mathbf{Z}_m \oplus \mathbf{Z}_m$  be a subgroup of translations of  $\text{Aut}(C_2)$ . Take an extension subgroup  $H'_2 \subset H_2 \subset \text{Aut}(C)$  such that  $H_2/H'_2 \cong \text{Aut}(C_2, q_1)$ . Then  $|H_2| = 6m^2$ . Let  $q_1, \dots, q_{m^2}$  be the orbit of  $q_1$  under  $H_2$  and  $D_2 = \sum q_i \in \text{Div}(C_2)$ . Then  $\deg D_2 = m^2$ .

Let  $C_3 = \mathbf{P}^1$ ,  $q_1, \dots, q_{12}$  be the twelve vertices of an icosahedron. Let  $H_3 \subset \text{Aut}(C_3)$  be the icosahedral group. Let  $D_3 = \sum q_i \in \text{Div}(C_3)$ . Then  $\deg D_3 = 12$ , and  $|H_3| = 60$ .

Now let  $P = C \times X$  where  $C = C_i$ ,  $i = 1, 2, 3$ . Taking  $R = \text{pr}_1^* D + \text{pr}_2^* B$  where  $D = D_i$ ,  $i = 1, 2, 3$  as the branch locus, we construct double cover of  $P$ . After desingularization, we get a smooth surface  $S$  with a hyperelliptic fibration of genus  $g$   $f : S \rightarrow C$ .

When  $i = 1$ , we have  $g(C) \geq 2$  and

$$|G| = 168A(g(C) - 1), \quad K_S^2 = 32(g - 1)(g(C) - 1).$$

When  $i = 2$ , we have  $g(C) = 1$  and

$$|G| = 12Am^2, \quad K_S^2 = 2(g - 1)m^2.$$

When  $i = 3$ , we have  $g(C) = 0$  and

$$|G| = 120A, \quad K_{S/C}^2 = 24(g - 1).$$

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DEPARTMENT OF MATHEMATICS  
EAST CHINA NORMAL UNIVERSITY  
SHANGHAI 200062  
PEOPLE'S REPUBLIC OF CHINA

*E-mail address:* zjchen@math.ecnu.edu.cn

