

Bioethanol Production from Renewable Raw Materials and Its Separation and Purification: A Review

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Received: 22 October 2017

Accepted: 21 May 2018



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SUMMARY

Production of biofuels from renewable feedstocks has captured considerable scientific attention since they could be used to supply energy and alternative fuels. Bioethanol is one of the most interesting biofuels due to its positive impact on the environment. Currently, it is mostly produced from sugar- and starch-containing raw materials. However, various available types of lignocellulosic biomass such as agricultural and forestry residues, and herbaceous energy crops could serve as feedstocks for the production of bioethanol, energy, heat and value-added chemicals. Lignocellulose is a complex mixture of carbohydrates that needs an efficient pretreatment to make accessible pathways to enzymes for the production of fermentable sugars, which after hydrolysis are fermented into ethanol. Despite technical and economic difficulties, renewable lignocellulosic raw materials represent low-cost feedstocks that do not compete with the food and feed chain, thereby stimulating the sustainability. Different bioprocess operational modes were developed for bioethanol production from renewable raw materials. Furthermore, alternative bioethanol separation and purification processes have also been intensively developed. This paper deals with recent trends in the bioethanol production as a fuel from different renewable raw materials as well as with its separation and purification processes.

Key words: bioethanol, renewable feedstocks, raw material pretreatment, bioprocess operational modes, bioethanol separation and purification

BIOREFINERY AND BIOETHANOL PRODUCTION

Fossil resources are still primary energy and chemical sources; around 75 % is used for heat and energy production, about 20 % as fuel, and just a few percent for the production of chemicals and materials (1). Natural regeneration of fossil resources through the carbon cycle is significantly slower than their current rate of exploitation. A small number of countries possess the major reserves of fossil fuels, which additionally increases unsustainability of their production. Furthermore, increased greenhouse gas emission arises from fossil fuel combustion and land-use change as a result of human activities, and consequently results in an acceleration of the global warming crisis (2,3). In most developed countries, governments stimulate the use of renewable energies and resources with following major goals: (i) to secure access to energy, (ii) to mitigate climate changes, (iii) to develop/maintain agricultural activities and (iv) to ensure food safety. Affordable energy, climate change and social stability, as the three pillars of sustainability, are directly related to the above mentioned major goals (1,4). Current situation of global warming and all fossil-based problems could be successfully altered by replacing fossil with renewable resources, which are more uniformly distributed and cause fewer environmental and social concerns (3).

During the last decades of the 20th century, there was an enormous interest in the production and usage of liquid biofuels (biodiesel or bioethanol) as promising substitutes for fossil fuels. Biofuels manufactured from plant-based biomass represent renewable energy resources. The use of this feedstock would reduce fossil fuel consumption and consequently the negative impact on the environment (5-7). Development of biorefinery aims to fulfil the sustainability criteria for biofuel production. Biorefinery is an integrative and

multifunctional concept that uses biomass for the sustainable production of different intermediates and products as well as the complete possible use of all feedstock components (8). The concept includes selective transformation of the different molecules available in the biomass into biofuels, but also into pharmaceuticals, pulp, paper, polymers and other chemicals, as well as food or cattle feed (9,10). A wide range of technologies are able to separate biomass resources into their building blocks, like carbohydrates, proteins, fats, *etc.* (3). The plant that produces lignocellulose-containing raw materials could be a good example of biorefinery concept where cellulose and hemicellulose produce simple (fermentable) sugars and lignin produces target compounds (*e.g.* polymers, resins, pesticides, levulinic acid and other materials). Recently, there have been considerable efforts to improve selectivity and efficiency of lignin depolymerization and upgrading processes for the target compound production. The catalytic hydrodeoxygenation process is the most promising way for target compound production from lignin (11-13).

In general, the biorefinery process usually comprises the following stages: pretreatment and preparation of biomass, separation of biomass components and subsequent conversion and product purification steps. There are two basic approaches for biorefinery concept implementation: bottom-up and top-down. Bottom-up biorefinery approach is characterized by the spreading of current biomass processing facilities (the production of only one or a few products) into a biorefinery with the aim to obtain an enlarged range of products and/or an increase of usable biomass fractions through the connection to additional technologies. An example of bottom-up biorefinery is the wheat and corn starch biorefinery (Lestrem, France) that starts as a simple starch factory. It gradually expanded the number of products, like starch derivatives and starch modifications, chemicals and fermentation products. A corn starch biorefinery in the USA (Decatur, Illinois) and wood lignocellulosic biorefineries in Austria (Lenzing) and Norway (Sarpsborg) also use bottom-up approach (8).

The new top-down approach is a highly integrated system established for the use of various biomass fractions and generation of different products for the market (zero-waste generation). The objective is to obtain the complete use of biomass

(*e.g.* wood lignocellulose, grain and straw from cereals or green grasses). An example of top-down approach is Austrian Green Biorefinery. It uses green grass silage as feedstock for the production of biobased products like proteins, lactic acid, fibres and biogas from the remaining biomass. Furthermore, green grass juice and silage juice (complex nitrogen and phosphate sources) served as cultivation medium constituents for growth and polyhydroxyalkanoate production by *Wautersia eutropha* (14,15). Top-down biorefineries are still at the research and development stages and their demonstration plants are mainly based in the USA, Europe and some other industrialized countries (8). The examples of bottom-up and top-down biorefinery concepts are given in Fig. 1 (8).

However, both biorefinery concepts still need a lot of engagement to fulfil all requirements for production of high-quality biofuels, value-added chemicals or other products, mainly in terms of the optimisation and upgrading of existing conversion processes, development of new processes and products with justified costs, and the industrial scale-up of existing ideas.

Bioethanol, as an alternative to the fossil fuels, is mainly produced by yeast fermentation from different feedstocks. It is a high octane number fuel and its physicochemical features are considerably different compared to the gasoline (Table 1; 6).

Bioethanol serves mostly in the transport sector as a constituent of mixture with gasoline or as octane increaser (ethyl tertiary butyl ether (ETBE), consisting of 45 % per volume bioethanol and 55 % per volume of isobutylene). Many countries use ETBE instead of methyl tertiary butyl ether (MTBE), which serves for octane number increase, but it is prohibited in the USA and Canada due to cancerous emissions. Bioethanol is mixed with gasoline at the volume fractions of 5, 10 and 85 % (fuel names E5-E85). A total of 85 % bioethanol by volume can only be used in flexible fuel vehicles (FFV), while mixtures of 5 and 10 % by volume can be used without any engine modifications. However, problems related to the use of bioethanol are: corrosive effect on fuel injector and electric fuel pump (bioethanol is hygroscopic in nature), engine startup problem in cold weather conditions (pure ethanol is hard to vaporize) and the tribological effect on lubricant properties and engine performance. Bioethanol inside lubricant significantly reduces

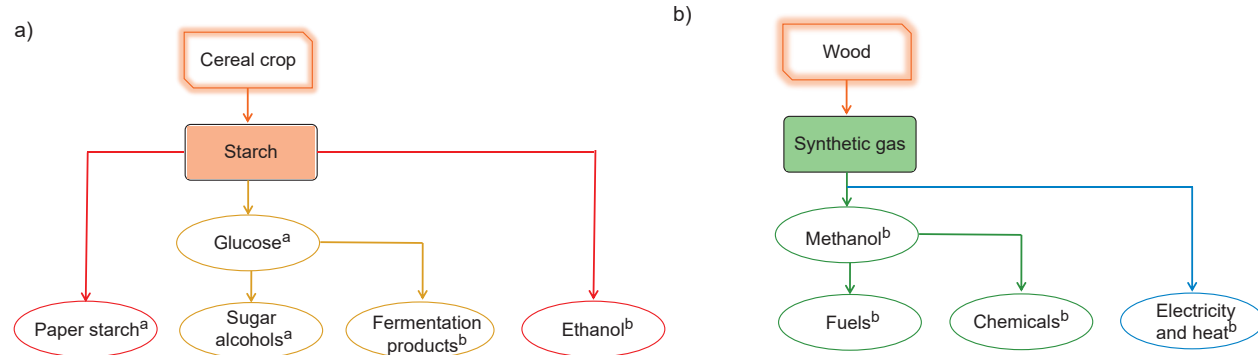


Fig. 1. Biorefinery concepts: a) bottom-up and b) top-down. ^atraditional products, ^bnew products (8)

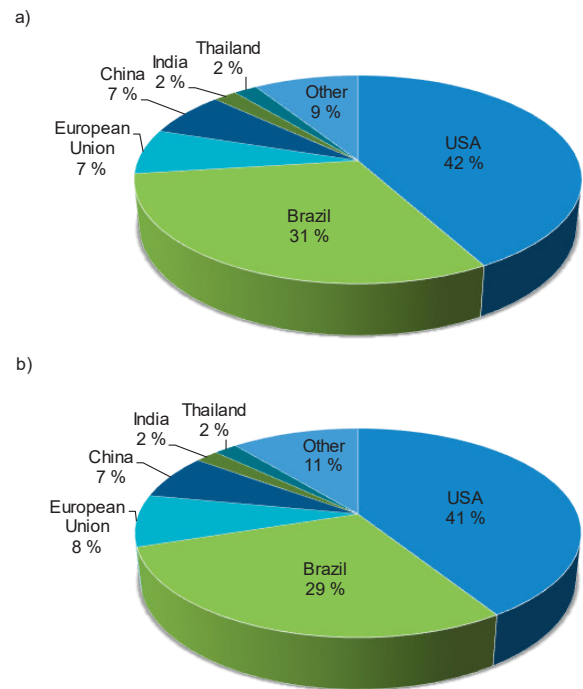
Table 1. Specifications of gasoline and ethanol (6)

Specification	Gasoline	Ethanol
Chemical formula	C_nH_{2n+2} (n=4–12)	C_2H_5OH
M/(g/mol)	100-105	46.07
Octane number	88-100	108
ρ /(kg/dm ³)	0.69-0.79	0.79
Boiling point/°C	27-225	78
Freezing point/°C	-22.2	-96.1
Flash point/°C	-43	13
Autoignition temperature/°C	275	440
Lower heating value·10 ³ /(kJ/dm ³)	30-33	21.1
Latent vapourisation heat/(kJ/kg)	289	854
Solubility in water	insoluble	soluble

the properties and performance of engine oil. It is miscible with water, but immiscible with oil. Therefore, bioethanol has high potential for emulsion formation (bioethanol-water-oil mixture), which causes serious engine failures. There are different methods to improve the performance of engines (e.g. laser texturing, coatings, mass reduction of engine parts and lubricant composition) and extend their lifetime through the friction and wear reduction. The use of synthetic oil is one possibility to solve the above-mentioned issues (16).

Data for 2016 show that the global bioethanol production was 100.2 billion litres (7). Annual bioethanol production is constantly increasing, and the prediction of worldwide bioethanol production and its consumption is an increase to nearly 134.5 billion litres by 2024 (Fig. 2; 17).

Fig. 2 shows that two-thirds of this increase will probably originate in Brazil mostly to fulfil domestic demand (17). In Brazil, increased demand for bioethanol is mostly due to the constant increase in the number of sold FFVs. Therefore, it is likely that the USA and Brazil will remain the two major bioethanol producers, followed by the European Union and China (17). About 40 % of the global bioethanol production is from sugar cane and sugar beet and nearly 60 % is from starch-containing feedstocks (18). In Europe, the most convenient renewable raw materials for bioethanol production are grains (mostly wheat) and sugar beet (19). In France they also made bioethanol from wine surplus (20). The prices of raw materials have a considerable impact on the bioethanol production costs and they can represent 40–75 % of the total costs depending on the type of feedstock (21). The costs of bioethanol production from sugar cane in Brazil are in the range of 0.20–0.30 USD/L. In the USA and European Union bioethanol produced from sugar beet and corn reached the lowest production costs of 0.30 and 0.53 USD/L, respectively (22,23). The costs in China (wheat, sweet sorghum or cassava) are 0.28–0.46 USD/L depending on the feedstock costs. The cost of bioethanol production from the sugar-containing raw materials is around 0.44 USD/L in India, while from lignocellulose-containing raw materials it is 0.80–1.20 USD/L depending on the type of feedstock (23,24). However, the gasoline production (refining) costs (0.10–0.18 USD/L depending on the type of refining process) are still lower (25) and bioethanol production costs are competitive only in Brazil.

**Fig. 2.** Predictions of the world bioethanol production (a) and consumption (b) by 2024 (17)

The cost of bioethanol production can be partially compensated through the reduction of greenhouse gas emissions, energy supply security and stimulation of agricultural activities in rural regions (22,23).

This work discusses conventional and alternative feedstocks for bioethanol production, bioprocess operational modes (batch, fed batch, repeated batch, etc.), and separation and purification of bioethanol from fermented broth.

RAW MATERIALS AND THEIR PRETREATMENT FOR BIOETHANOL PRODUCTION

Different types of biomass have a potential as raw materials for bioethanol production. Because of their chemical composition, *i.e.* carbohydrate sources, they mostly form three groups: (i) sugar-containing raw materials: sugar beet, sugarcane, molasses, whey, sweet sorghum, (ii) starch-containing feedstocks: grains such as corn, wheat, root crops such as cassava, and (iii) lignocellulosic biomass: straw, agricultural waste, crop and wood residues (26). However, these sugar- and starch-containing feedstocks (first generation) compete with their use as food or feed, thus influencing their supply. Therefore, lignocellulosic biomass (second generation) represents an alternative feedstock for bioethanol production due to its low cost, availability, wide distribution and it is not competitive with food and feed crops (27).

Raw materials that contain sugar

Sugar cane and beet are the most important sugar-producing plants in the world. Two-thirds of the world sugar

production are from sugar cane and one-third is from sugar beet (28). They can be easily hydrolysed by the enzyme invertase, which is synthesised by most *Saccharomyces* species. Therefore, the pretreatment is not required for bioethanol production from the feedstocks containing sugar (sucrose), which makes this bioprocess more feasible than from feedstocks containing starch (28). Sugar crops need only a milling process for the extraction of sugars to fermentation medium, and here ethanol can be produced directly from juice or molasses (29).

Sugar cane as a raw material for bioethanol production provides certain advantages, since it is a semi-perennial crop that does not require many agricultural operations that are usually needed for raw crop processing, and its biomass is used for heat and electricity. Sugar cane is less expensive than other raw materials used for bioethanol production (12) due to easier processing and higher productivity (30). However, many efforts still aim at the improvement of bioethanol production from sugarcane. This includes development of new sugar cane varieties with higher sugar contents and resistance to diseases, larger yield per hectare and greater longevity (26).

In Europe, sugar production is mainly based on the use of sugar beet as raw material. Raw, thin and thick juice, as intermediate formed during sugar beet processing, as well as high purity crystal sugar, could be converted into bioethanol and/or bio-based products. Raw sugar beet cossettes are also suitable substrates for bioethanol production (31,32). The use of sugar processing intermediates determines bioprocess configuration, their microbiological stability and transport properties. Sugar syrup and granulated sugar can serve as substrates for bioethanol production during the whole year. Furthermore, they can also serve as precursors for different chemical intermediates or final products (*e.g.* surfactants; 8).

Molasses, a main byproduct of the sugar industry, serves mostly as a substrate for yeast, bioethanol and biochemical production, but it can also be suitable for feedstuff production (8). Total residual sugars in molasses can amount to 50–60 % (*m/V*), of which about 60 % is sucrose, which makes this substrate suitable for large-scale bioethanol production (33). Sugar cane and beet molasses are byproducts of the manufacture or refining of sucrose from sugar cane and beet. Cane molasses contains not less than 46 % of total sugars and sugar beet molasses not less than 48 % (*m/V*). Molasses is also a byproduct in the production of dried citrus pulp, with not less than 45 % (*m/V*) total sugars. Glucose manufacture from starch (corn or grain sorghum; enzymes or acids are used for starch hydrolysis) also yields molasses. Starch molasses contains about 43 % (*m/V*) reducing sugars and 73 % (*m/V*) total solids (33).

Another sugar-containing material that can be used for bioethanol production is whey, a byproduct of cheese manufacture, containing around 4.9 % (*m/V*) lactose. Due to the relatively low sugar content, a bioethanol plant of modest size requires a sizeable whey volume. The feasibility of a new bioethanol plant

depends on the cost of whey permeate as feedstock as well as the final bioethanol price that is closely related to the production technology and bioprocess performance (34).

Raw materials that contain starch

Grain crops (*e.g.* corn, barley, wheat or grain sorghum) and root/tubular crops (*e.g.* cassava, potato, sweet potato, Jerusalem artichoke, cactus or arrowroot) contain large quantities of starch (35). Isolated native starch from different sources can be used for further conversion into bio-based products and/or the bioethanol production. The residue from starch isolation contains proteins and fibre, which has a great potential for application in food and feed production (8). The biggest corn starch production is in the USA and it represents more than 80 % of the worldwide market (35). In the USA, corn is a source of over 95 % of bioethanol production and the rest is produced from barley, wheat, whey and beverage residues (36). The grain sorghum cultivating regions in the USA show an increasing interest in bioethanol production from this crop. Furthermore, the economic viability of bioethanol production from cassava in Thailand was also under investigation (5). Cassava tubers contain nearly 80 % by mass starch and below 1.5 % by mass proteins. Pretreatment of cassava tubers for bioethanol production includes following operations: cleaning, peeling, chipping and drying. After that, the dried cassava chips are used for bioethanol production (5).

Starch is a mixture of linear (amylose) and branched (amylopectin) polyglucans. The crucial enzyme for starch hydrolysis is α -amylase, active on α -1,4, but not on α -1,6 linkages in amylopectin (37). For bioethanol production from starch-containing feedstocks, it is necessary to perform the starch hydrolysis (mostly by α -amylase and glucoamylase) into glucose syrup, which can be converted into ethanol by yeast *Saccharomyces cerevisiae*. This step is an additional cost compared to the bioethanol production from sugar-containing feedstocks (38). Bacterium *Bacillus licheniformis* and genetically modified strains of bacterium *Escherichia coli* and *Bacillus subtilis* produce α -amylase, while moulds *Aspergillus niger* and *Rhizopus* sp. produce glucoamylases (39,40).

Under anaerobic conditions, yeast *S. cerevisiae* metabolises glucose into ethanol. The maximum conversion efficiency of glucose into ethanol is 51 % by mass. However, the yeast also uses glucose for cell growth and synthesis of other metabolic products, thus reducing the maximum conversion efficiency. In practice, 40 to 48 % by mass of glucose is actually converted into ethanol (41).

In comparison to ethanol production from sugar-containing raw materials, ethanol obtained from starch improves enzyme application and yeast strains with high ethanol tolerance (42).

Microalgae are a potential renewable source of biomass for biofuel production because they are capable of converting CO₂ into lipids and polysaccharides. Therefore, industrial CO₂ could be collected and used for cultivation of microalgae as part of strategy for reduction of CO₂ emission in atmosphere.

Microalgae can accumulate starch as a reserve polysaccharide, which can be used for bioethanol production (third generation) after pretreatment process. Furthermore, residual biomass (containing organic matter and minerals) after bioethanol production can serve as biofertilizer. Thus, it is obvious that the use of biorefinery concept can considerably improve bioethanol production from microalgae (21,43).

Raw materials that contain lignocellulose

Production of bioethanol from the raw materials that contain lignocellulose is attractive and sustainable because lignocellulosic biomass is renewable and non-competitive with food crops. Furthermore, the use of bioethanol obtained from lignocellulosic biomass is related to the considerable reduction of greenhouse gas emission (44). Lignocellulosic biomass is almost equally distributed on the Earth, compared to the fossil resources, which provides security of supply by using domestic energy sources (38). It can be obtained from different residues or directly harvested from forest and its price is usually lower than of sugar- or starch-containing feedstocks, which require full agricultural breeding approach (3). Raw materials that contain lignocellulose for bioethanol production form six main groups: crop residues (cane and sweet sorghum bagasse, corn stover, different straw types, rice hulls, olive stones and pulp), hardwood (aspen, poplar), softwood (pine, spruce), cellulose wastes (e.g. waste paper and recycled paper sludge), herbaceous biomass (alfalfa hay, switchgrass and other types of grasses) and municipal solid wastes (45).

The average lignocellulosic biomass contains 43 % cellulose, 27 % lignin, 20 % hemicellulose and 10 % other components (3). Compositional variety of lignocellulosic biomass could be an advantage (availability of more products than obtained in petroleum refineries, and a broader range of feedstocks), but also a disadvantage (need for a large range of technologies; 46). Such heterogeneous structure of lignocellulosic biomass requires more complex chemical processes than uniform and consistent raw materials needed in chemical industry (47). Furthermore, harvesting of lignocellulosic crops is usually not possible throughout the whole year, which makes it more difficult for biomass suppliers. Therefore, this problem has to be solved by biomass stabilization in order to be available for long-term storage, and to ensure continuous work of biorefinery throughout the year (3).

The hydrolysis of lignocellulosic biomass to monomeric sugars is necessary before microorganisms can metabolize them. Acids, alkalines or enzymes usually perform this process. Physicochemical, structural and compositional factors can considerably slow down this process. Therefore, alkaline pretreatment step is usually necessary to obtain conditions for an efficient enzymatic hydrolysis (27). In the pretreatment, reduction of polymerization degree and crystallinity index (48,49), disruption of the lignin-carbohydrate linkages (50), removal of lignin and hemicelluloses (51,52) and increase of material porosity (53) have to occur in order to insure the efficient enzymatic hydrolysis of lignocellulosic biomass. The

choice of pretreatment depends on the nature of the raw material and the formation of byproducts during the selected pretreatment, and its choice has a large impact on all subsequent stages in the bioethanol production (54).

Harsh conditions used during pretreatments lead to the synthesis of toxic compounds, like furans (2-furaldehyde (furfural) and 5-hydroxymethylfurfural (HMF)), carboxylic acids (acetic, formic and levulinic acids) and phenolic compounds (aldehydes, ketones, *p*-coumaric and ferulic acids). Because these compounds are potential yeast inhibitors, following strategies (to reduce their impact on the bioprocess performance) were proposed: (i) removal of inhibitors by solvent extraction, ion exchange, overliming, usage of zeolites, or enzyme laccase, (ii) use of yeast strains very tolerant to inhibitors, and (iii) selection of effective pretreatment that causes minimal sugar degradation and formation of inhibitors. Most detoxification methods only partially remove inhibitors, but they also contribute to the sugar loss, which additionally enlarges the final process costs (27). Recently, lignite served as adsorbent for detoxification of spruce sawdust hydrolyzates in the production of polyhydroxyalkanoates (PHA) by *Burkholderia cepacia* and *Burkholderia sacchari* (55). The use of lignite instead of activated carbon in detoxification is less efficient in the removal of inhibitors, but has a greater positive impact on the bacterial growth and PHA yield. Furthermore, lignite is a considerably cheaper adsorbent than activated carbon, which can improve bioprocess economic feasibility. Lignite used in detoxification can partially compensate for fermentation heat and energy demands (55).

Pretreatment methods could be basically divided in four main groups (physical, chemical, physicochemical and biological), where not all of the given methods are fully feasible for application on industrial scale (27,56).

Physical pretreatment of raw materials that contain lignocellulose

Physical (mechanical) pretreatment includes milling (e.g. two-roll milling, ball or hammer milling and colloid or vibroenergy milling), irradiation (gamma ray, electron beam, microwave) and other (e.g. hydrothermal, expansion, extrusion or pyrolysis) methods. After physical pretreatments, the reduction in particle size and crystallinity of lignocellulosic biomass has an impact on the increase of specific surface area and reduction of the degree of polymerization (57). However, the particle size has to be optimized (very small particles are not desirable) because of high energy consumption during milling and negative impact on the pretreatment (58). Many of the mentioned size-reduction physical methods are not economically feasible due to the very high energy demands. Extrusion is a new and prospective physical pretreatment for biomass conversion into fermentable sugars. The capacity to ensure high shear rate, rapid heat transfer and effective mixing are the main extruder advantages (59). Yoo *et al.* (60) employed extrusion as pretreatment for conversion of soybean hulls to fermentable sugars, and compared it with two traditional

pretreatments using dilute acid (1 % by mass H_2SO_4) and alkali (1 % by mass NaOH). Under optimal conditions the authors revealed the highest cellulose to glucose conversion of 95 % by mass.

Chemical pretreatment of raw materials that contain lignocellulose

Chemical pretreatments include acid (sulfuric, hydrochloric, phosphoric or nitric acid), alkali (sodium or potassium hydroxide, ammonia or ammonium sulfite), or gas treatment (chlorine dioxide, nitrogen dioxide or sulfur dioxide) as well as addition of oxidizing agents (oxygen, ozone, hydrogen peroxide), ionic liquids (imidazolium-based ionic liquids) and organosolv (methanol, ethanol, acetone, glycerol, ethylene glycol, etc.).

The main goal of employing acid pretreatment is to solubilize the hemicellulose, making the cellulose more accessible to enzymes. Acid pretreatments use concentrated or diluted acids (27). However, concentrated acids (H_2SO_4 or HCl) are good hydrolysis agents with corrosive and hazardous characteristics (57). The main disadvantage of acid hydrolysis is formation of inhibitors, since released fermentable sugars can be decomposed into furfural (from pentoses) and HMF (from hexoses). These compounds are yeast cell growth inhibitors which have negative impact on the bioethanol production efficiency (e.g. reduced yeast growth, ethanol yield and productivity; 61).

For alkaline pretreatment of lignocellulosic raw materials, NaOH, KOH, $\text{Ca}(\text{OH})_2$ and NH_4OH are used most often. In this pretreatment lower temperature and pressure than in the other pretreatment methods are applied (51). Alkaline pretreatment increases cellulose digestibility and it is more effective for lignin solubilisation (62). However, high cost of alkalis is one of the major drawbacks (58). In a study of Cheng *et al.* (63), both $\text{Ca}(\text{OH})_2$ pretreatment (at 95 °C) and NaOH pretreatment (at 55 °C) significantly improved delignification of rice straw. Ozonolysis reduces lignin content (hemicellulose is slightly affected, while cellulose is not), and does not produce toxic residues, but larger ozone demands makes this method very expensive (1,64). Wheat and rye straw (65), cotton straw (66), bagasse and poplar (64), sugarcane bagasse and straw (67) have been pretreated by ozone in order to examine the features of this pretreatment method.

The use of ionic liquids (ILs), salts usually composed of large organic cations and small inorganic anions, as solvents for lignocellulosic feedstocks pretreatment has also been intensively studied (68). ILs have a capacity to break the extensive hydrogen bonds in the polysaccharides and to stimulate their solubilization. They are characterized by thermal and chemical stability, nonflammability, wide liquid temperature range and good solvation features for various materials (69,70). ILs are known as "green" solvents due to the fact that during their use toxic or explosive gases are not formed (27). During ILs pretreatment of switchgrass, significant increase of the enzymatic saccharification of xylan (63 % xylose yield in 24 h) and cellulose (96 % glucose yield in 24 h) was observed (71).

Combined method that uses ILs and ammonia was examined for rice straw pretreatment. Obtained results show that 82 % of the cellulose from rice straw was recovered with 97 % of the glucose conversion, significantly higher than the individual ammonia or ILs treatments (72). Pretreatment of wheat straw by using IL ([emim][CH_3COO]) resulted in high purity cellulose and hemicellulose fractions as well as 87 % pure lignin (73).

The organosolv is based on the use of organic or aqueous solvents (e.g. ethanol, methanol, acetone and ethylene glycol) to extract lignin and to ensure more accessible cellulose. In this pretreatment solvents are mixed with water in various portions, added to the biomass and heated (100–250 °C; 74). However, solvents need to be drained from the reactor, evaporated, condensed and recycled, which makes the pretreatment costs relatively high. Organosolv extraction of sugarcane bagasse under optimized conditions (30 % by volume ethanol at 195 °C for 60 min) results in the production of 29.1 % by mass of fermentable sugars (75). Sun and Chen (76) studied the organosolv (glycerol-based) pretreatment of wheat straw. Under optimized conditions (liquid-solid ratio of 20 g/g at 220 °C for 3 h) 70 % by mass hemicelluloses and 65 % by mass lignin were removed from the lignocellulose-containing raw materials.

Physicochemical pretreatment of raw materials that contain lignocellulose

Physicochemical pretreatments include wet oxidation, explosion (steam explosion, ammonia fibre explosion, CO_2 explosion, SO_2 explosion), microwave, ultrasound and liquid hot water pretreatment. The steam explosion consists in the treatment of ground biomass with high-pressure saturated steam and then the pressure is quickly released, which causes an explosive decompression of biomass. It usually starts at 160–260 °C (corresponding pressure of 0.69–4.83 MPa) for a short time (several seconds to a few minutes) before the biomass is exposed to atmospheric pressure (77). During this pretreatment hemicellulose and lignin are degraded. This pretreatment is cost effective, but it also destroys a portion of the xylan fraction. During steam explosion incomplete disruption of lignin-carbohydrate matrix and generation of microbial inhibitors also occurred (64). Sugar cane bagasse was treated by steam explosion at 200, 215 and 230 °C for 5 min in the simultaneous saccharification and fermentation process of bioethanol production (78). The optimum pretreatment conditions (215 °C for 5 min) resulted in a total glucose yield of 86.8 % by mass. Ammonia fibre explosion (AFEX) is an important pretreatment that utilizes physical (high temperature and pressure) and chemical (ammonia) processes to achieve effective feedstock hydrolysis. AFEX increases the surface accessibility for hydrolysis, promotes cellulose decrystallization and partial hemicellulose depolymerization and reduces lignin recalcitrance in the treated feedstock. However, this process is not efficient for biomass with high lignin content (64). Lau *et al.* (79) studied AFEX pretreatment and enzymatic hydrolysis of empty palm fruit bunch fibre (EPFBF), obtained from palm

processing industry. The optimal conditions were: 135 °C, 45 min residence time, water to dry biomass loading of 1:1 (g/g), and ammonia to dry biomass loading of 1:1 (g/g), giving yield of 90 % by mass of the total reducing sugars after 72 h of enzymatic hydrolysis.

Supercritical fluids are gaseous substances compressed at temperatures above their critical point to a liquid-like density. Water, carbon dioxide and ammonia are the most often used substances in supercritical form. Supercritical CO₂ mostly serves as an extraction solvent because it is nontoxic, non-flammable, inexpensive, and readily available, and it does not cause formation of inhibitory compounds. In supercritical CO₂ explosion, the explosive release of CO₂ pressure disrupts the cellulose and hemicellulose fractions, and consequently increases the accessible surface area of the substrate for enzymes (27,80). For the sugar cane bagasse pretreatment, supercritical CO₂ and ultrasound served to improve the efficiency of enzymatic hydrolysis (81). In the pretreatment with only supercritical CO₂ the amount of fermentable sugar increased 2.8 times compared to the untreated sugar cane bagasse and consequently the efficiency of enzymatic hydrolysis was 74.2 % by mass. The combined ultrasound and supercritical CO₂ pretreatment increased the amount of fermentable sugars after enzymatic hydrolysis by 16 % by mass compared to the pretreatment with only ultrasound. These results lead to conclusion that the combined ultrasound and supercritical CO₂ pretreatment is an efficient and prospective alternative for pretreatment of lignocellulose-containing raw materials at relatively low temperatures without the use of hazardous solvents (81).

Sulfite pretreatment to overcome recalcitrance of lignocellulose (SPORL; 82-85) is an efficient approach in the pretreatment of woody biomass (both hardwoods and softwoods). SPORL is efficient at 160–190 °C for 10–30 min. The sulfite addition increases the medium pH value, which consequently results in the synthesis of lower quantities of fermentation inhibitors (82,83). The partial sulfonation of lignin by sulfite ensures wood softening, which considerably reduces energy demand for pretreatment. Comparison between acid-catalyzed steam explosion and SPORL shows that SPORL energy efficiency is about 30-fold higher (86). Enzymatic hydrolysis of softwood substrates pretreated by SPORL with enzyme loading of 15 FPU/g cellulose was approx. 95 % by mass within only 48 h (83-86).

Microwaves also have application in the pretreatment of lignocellulose-containing raw materials. Many investigations have pointed out that microwaves cause localized heating of feedstock leading to disruption of lignocellulose structure, making cellulose and hemicellulose more accessible for enzymatic hydrolysis (87). Su *et al.* (88) studied the effects of microwave treatment on the Taiwan sorghum liquor waste. Results of this research indicate that reducing sugar yield was considerably higher than of the untreated waste.

Ultrasonic treatment of solutions has a potential use as an alternative technology, and it has been used for the extraction of cellulose, hemicellulose and lignin (89). Ultrasonic waves

create pressure differences (cavitation) within a solution for the enhancement of physical and chemical processes. Bussemaker and Zhang (89) extensively wrote about the effect of ultrasound on the lignocellulosic biomass as pretreatment for biorefinery applications.

Biological pretreatment of raw materials that contain lignocellulose

In comparison to most of other pretreatments used, biological pretreatments are considered as environmentally friendly processes, since they do not employ chemicals, energy input is relatively low, there are no corrosion-related problems, no waste stream, and production of inhibitors is on the lowest level (90). In these pretreatments, microorganisms like brown, white and soft rot fungi degrade lignin and hemicellulose, but they are not effective in cellulose disruption (90). However, the rate of lignocellulose hydrolysis in biological processes is very low (64). The pretreatment of corn stover, wheat and soybean straw, switchgrass and hardwood by *Ceriporiopsis subvermisporea* was studied by Wan and Li (91). After an 18-day pretreatment, *C. subvermisporea* was capable of delignifying corn stover, switchgrass and hardwood by using enzymes manganese peroxidase and laccase. In this enzymatic hydrolysis, glucose yields were 56.50, 37.15 and 24.21 % by mass, respectively, which is 2- to 3-fold higher than those observed with the untreated feedstocks. A further increase of glucose yield (by 10–30 % by mass) was obtained when fungal pretreatment time was prolonged to 35 days. On the contrary, fungal pretreatment did not increase cellulose digestibility from wheat and soybean straw (91).

Biological pretreatment also includes the use of enzymes for hydrolysis of raw lignocellulosic materials. The overall bioprocess efficiency depends on the rate of lignocellulosic feedstock hydrolysis into fermentable sugars (44). Cellulases perform enzymatic hydrolysis of cellulose, so that yeasts or bacteria can then ferment the obtained reducing sugars into ethanol (57). In the hydrolysis of cellulose at least three major groups of cellulases take part: endoglucanases (attack regions of low crystallinity in the cellulose fibre creating free chain ends), exoglucanases (cellobiohydrolases; degrade the molecule further by removing cellobiose units from the free chain ends) and β -glucosidases (hydrolyze cellobiose to produce glucose; 92). Enzymatic hydrolysis can be actually divided into two stages: primary and secondary. Primary hydrolysis stage involves the action of endoglucanases and exoglucanases on the surface of solid substrate, resulting in the release of oligosaccharides (up to 6 glucose units in chain) into liquid phase. Secondary hydrolysis stage includes further hydrolysis of oligosaccharides to cellobiose (by cellobiohydrolase) and glucose (by β -glucosidases) (44).

The accessibility of cellulose to enzymatic hydrolysis depends on the xylan removal from lignocellulose by using xylanases. Xylan does not have the tightly packed crystalline structure like cellulose and therefore it is more susceptible to enzymatic hydrolysis. The complete hydrolysis of xylan requires the cooperative action of the following enzymes:

endo-1,4- β -xylanase, β -xylosidase, α -arabinofuranosidase and α -glucuronidase. Esterases act upon the ester linkages between xylose units of the xylan and acetic acid (acetyl xylan esterase) or between arabinose side chain residues and phenolic acids such as ferulic acid (ferulic acid esterase) and *p*-coumaric acid (*p*-coumaric acid esterase; 44). Several species of bacteria and fungi are able to produce cellulases and hemicellulases (57,93). Among these microorganisms, *Trichoderma reesei* was mentioned as the most efficient cellulose-hydrolysing organism (94).

Lignin is closely bound to cellulose and therefore it is not accessible for cellulases. The main characteristic of lignin degradation is the action of peroxidases where lignin peroxidase (also called ligninase) and manganese peroxidase (also called Mn-dependent peroxidase) are the two major enzymes (44). These enzymes were discovered in *Phanerochaete chrysosporium* and they are called true ligninases because of their high redox potential. Lignin peroxidase oxidizes nonphenolic lignin substructures (by taking out one electron) and produces cation radicals, which are further chemically degraded (44).

Laccase (benzenediol oxygen oxidoreductase) also takes part in the lignin degradation which is synthesized by the broad variety of white rot fungi (44). It has a capacity for complete lignin hydrolysis alone or in combination with other peroxidases. Laccases catalyze the oxidation of phenolic units in lignin (consequently molecular oxygen is reduced to water) as well as phenolic substances and aromatic amines to radicals. Phenolic substances (strictly related to lignin or lignin derivatives) as well as nonlignin substances and extracts from different resources stimulate laccase synthesis (44).

Enzymatic hydrolysis is highly specific and it occurs in milder reaction conditions (e.g. pH=5 and temperature below 50 °C) with lower energy consumption and environmental impact than the acid hydrolysis of lignocellulose. It also gives high glucose yield with low byproduct formation, which is favourable for further use of hydrolysate in fermentation. Enzymatic hydrolysis does not cause the corrosion problems (95). The final product of enzymatic hydrolysis (glucose) inhibits the enzyme activity and therefore it has to be removed immediately after formation to reduce its impact on the hydrolysis kinetics. Different approaches have been examined to reduce the glucose inhibition by hydrolysis such as the use of high enzyme concentrations, the addition of β -glucosidases during hydrolysis, and sugar removal during hydrolysis by ultrafiltration or simultaneous saccharification and fermentation (SSF; 38,96). Substrate concentration has a crucial impact on the initial rate and the yield of cellulose enzymatic hydrolysis. Increase of low substrate concentration increases yield and hydrolysis rate. Although cellulase price has been reduced more than a 10-fold in the last decades, it still represents more than 20 % of bioethanol production costs from lignocellulosic feedstocks (1). Since cellulases remain active after hydrolysis, their recycling could be useful and economically feasible approach. Therefore, various methods for enzyme recycling (e.g. sedimentation followed by ultrafiltration or microcentrifugation, cation exchange chromatography, readsorption and immobilization) have been studied (44).

BIOETHANOL PRODUCTION FROM RAW MATERIALS THAT CONTAIN SUGAR

The most employed microorganism for bioethanol production from sugar-containing feedstocks is *Saccharomyces cerevisiae* due to its capacity to degrade sucrose into hexoses (glucose and fructose). The cells of *S. cerevisiae* require small amounts of oxygen for fatty acid and sterol synthesis during bioethanol production, so aeration is an important bioprocess parameter (97). *S. cerevisiae* does not tolerate higher sugar and salt concentrations in the medium or higher temperatures. Cane molasses media have the highest osmolarity as a consequence of medium sugar and salt concentrations, which negatively affects ethanol synthesis. Numerous studies have searched for *S. cerevisiae* strains with higher salt and temperature tolerance (97). Yeast *Schizosaccharomyces pombe* is also used in bioethanol production since it tolerates high osmotic pressures (high salt concentrations) and high solid content (97). In bioethanol production the possibility of using other microorganisms such as *Zymomonas mobilis*, *Klebsiella oxytoca*, *Escherichia coli*, *Thermoanaerobacter ethanolicus*, *Pichia stipitis*, *Candida shehatae*, *Mucor indicus*, etc. was also investigated (96). However, adequate alternative to *S. cerevisiae* still has not been found (96,98).

The Melle-Boinot process is the typical process for bioethanol production in batch fermentation (97). It consists in broth preparation (pH adjustment, 14–22 % by mass) and sterilization followed by yeast fermentation. Fermented broth goes through the centrifugal separation, whereas the liquid part of the broth moves on to ethanol separation stage (usually distillation) and the yeast is recycled for the next fermentation in order to achieve higher cell concentrations (97).

Fed-batch process requires low initial substrate concentrations and yeast cells are separated from the broth which is then distilled. After bioreactor and broth preparation processes, separated yeast cells are usually used for a new fed-batch process of bioethanol production. This approach is the most common industrial technology in Brazil for bioethanol production because it can achieve the highest bioprocess volumetric productivity (97). In this bioprocess operational mode, the optimization of feeding process plays a critical role for increasing ethanol yield and productivity.

In the repeated (or multiple) batch fermentation, the use of flocculating yeast strain plays the key role (97). After initial batch process is finished, the yeast cells settle down (flocculate) on the bottom of the bioreactor and the clarified broth is removed. Subsequently, an equal amount of fresh broth is added to the bioreactor for the next batch, resulting in high cell concentrations and reduced ethanol inhibition. These batches can be repeated until the activity and viability of yeast cells is lost (due to the accumulation of yeast inhibitory compounds in broth) and consequently fresh inoculum has to be prepared for system reinoculation.

Continuous bioethanol production systems usually consist of a cascade of continuous bioreactors in which ethanol inhibition is reduced (97). This hypothesis is based on the fact that

ethanol synthesized in the first bioreactor is easily transported to the next bioreactors and consequently ethanol inhibition is diminished. Another possibility to enhance bioprocess productivity is the continuous ethanol removal from broth during the bioprocess by using vacuum or membrane systems, but this increases capital costs (97). In continuous systems of bioethanol production increasing air supply can improve yeast cell viability, yield and concentration. Comparison between continuous and batch bioprocesses for bioethanol production shows following advantages of continuous bioprocesses: reduced costs of bioreactor constructions, lower plant maintenance and operation costs, better bioprocess control and higher productivities (97).

Most of bioethanol production plants in Brazil are still employing the fed-batch operational mode because of its practical advantages on industrial scale (97). However, 30 % of industrial facilities for bioethanol production in Brazil are using continuous bioprocess systems due to their advantages related to the higher yeast cell concentrations. Immobilization, recovery and recycling of yeast cells, or control of yeast growth can increase the yeast cell density (97).

The concentration of immobilized cells in continuous bioprocesses for bioethanol production is relatively high, and at higher dilution rates bioprocess can be easily controlled, which consequently results in higher bioprocess productivities (96). Immobilization methods can be divided into following groups: (i) reversible (or irreversible) attachment to solid surfaces, (ii) entrapment in porous matrices (e.g. gelatine, agar, calcium alginate, κ -carrageenan, chitosan and polyacrylamide), (iii) mechanical separation behind a barrier (e.g. microporous membrane filters or microcapsules), and (iv) self-aggregation of the cells by flocculation (26).

Yeast cell immobilization by surface adsorption is often more efficient than entrapment or mechanical separation methods. Studies of yeast cell immobilization by the surface adsorption have shown that yeast cell growth is not significantly affected, although some yeast cells can be washed out of the system (99). The self-flocculating yeast cells showed similar bioethanol production efficiency as observed by the yeast cells immobilized on supporting materials. Furthermore, the supporting material is not used and consequently the bioprocess is simpler and economically competitive compared to the yeast cell immobilized on the supporting materials. The yeast flocs can be washed out from the bioreactor under controlled conditions in order to maintain the yeast concentration inside the bioreactor at constant level. Sedimentation or centrifugation can be used for yeast recovery after wash-out from bioreactor (99). The use of centrifugation requires higher capital investment and energy consumption costs. However, separated yeast cells can recirculate and be used in further bioethanol production cycle, and consequently bioethanol production costs are reduced.

Following bioreactor configurations were developed for the bioethanol production with self-flocculating yeast strains: air-lift bioreactors, single- or two-stage packed column bioreactors, column bioreactors coupled with or without settlers, a CO₂ suspended bed fermentor with baffle plates inside and separation

tanks outside or only with a separation tank for CO₂ separation and recycling to suspend the yeast flocs (99,100).

In the last decades, very high gravity (VHG) bioethanol production technology has become attractive due to the considerable energy savings (99,100). The VHG technology needs relatively high substrate (270 g/L of dissolved solids or more) and final bioethanol concentration (15 % by volume or more) in the broth. Increase of bioethanol broth concentration results in significant reduction of energy consumption for distillation and the amount of waste stillage. Therefore, this production technology is promising for industrial bioethanol production (99,100).

BIOETHANOL PRODUCTION FROM RAW MATERIALS THAT CONTAIN STARCH

There are two major processes for bioethanol production from corn starch: dry-grind (67 %) and wet mill process (33 %), both using yeasts (*Saccharomyces cerevisiae*, *Saccharomyces pastorianus*, *Schizosaccharomyces pombe* and *Kluyveromyces* sp.) that are capable of metabolizing starch hydrolysates (5). Dry milling is often used for bioethanol production in the USA due to its lower capital and operating costs (5). In this process, the whole corn is milled (hammer or roller mill) and mixed with water to obtain a mash. The mash is cooked in a jet cooker at 80–90 °C for 15–20 min. During jet cooking α -amylase (relatively small amounts) is added in order to support liquefaction. Additional α -amylase is added during secondary liquefaction, which occurs for 90 min at 95 °C. After that, the mash is cooled to 60 °C and mixed with the glucoamylase to hydrolyse the starch into sugars which can be further metabolized to ethanol by yeast. Saccharification and fermentation often occur simultaneously (simultaneous saccharification and fermentation, SSF), thus reducing the enzyme levels and yeast cell inhibition by ethanol or substrates to minimum. The bioprocess usually takes place at pH=4.8–5.0 and 30 °C for 48 h (5). The fermented broth is then distilled to produce a 95 % by volume ethanol. Dehydration of the 95 % by volume ethanol requires molecular sieves in order to obtain 99.5 % by volume ethanol. Centrifugation of fermentation residues (whole stillage) yields wet cake, which has to be dried to obtain distiller's dried grains (DDG). Thin stillage is the liquid portion from centrifugation that has to be evaporated to obtain syrup. The syrup is then blended with DDG to form distiller's dried grains with solubles (DDGS). The residual part of the thin stillage is often recycled as process water (5).

A few modifications of dry-grind process have been introduced in order to recover corn germ or both germ and fibre before fermentation (54). Wet milling process produces various value-added co-products (e.g. fibre, germ, starch and gluten) before fermentation, which makes this process more economically feasible and energy efficient (101).

Wet milling process needs clean, steeped and degermed corn in order to obtain the germ for corn oil extraction. After that, corn is defibrated to obtain fibers, and gluten and starch are also separated. The following steps in the bioethanol production are the same as in dry-grind process: saccharification,

fermentation, distillation and ethanol dehydration (54). Ethanol yield per kg of corn in the dry-grind process is 0.3235 and in the wet mill process 0.2919 (102).

Among SSF and SHF (separated hydrolysis and fermentation) processes that are usually used for bioethanol production from starch-containing raw materials, the technology that incorporates the yeast propagation (from active dry yeasts) in the bioreactor during initial saccharification is also applied for bioethanol production. This technology is called simultaneous saccharification, yeast propagation and fermentation (SSYPF; 103).

BIOETHANOL PRODUCTION FROM RAW MATERIALS THAT CONTAIN LIGNOCELLULOSE

There has been enormous research in the biorefining area to convert lignocellulosic raw materials into fermentable sugars. Despite huge interest and adequate progress in the lignocellulosic bioethanol research and development, many challenges still need to be solved (26,86,104–107).

The most often used steps in the bioethanol production from lignocellulose-containing raw materials are: (i) pretreatment of cellulose and hemicellulose to become more accessible in the subsequent steps, (ii) acid or enzymatic hydrolysis of polysaccharides into simple sugars, (iii) microbial fermentation of the simple sugars (hexoses and pentoses) to ethanol, and (iv) separation and concentration of ethanol (97,106,107; Fig. 3).

Previous section described in detail pretreatment of lignocellulosic raw materials for their use in the bioethanol production. Therefore, in this section our focus will be on the fermentation process of lignocellulosic hydrolysates. Different microorganisms are used for fermentation of glucose to ethanol, most frequently *Saccharomyces cerevisiae*. It is able to metabolize mono- and disaccharides (e.g. glucose, fructose, maltose and sucrose), but not pentoses (e.g. xylose and arabinose).

Furthermore, *S. cerevisiae* is not capable of direct assimilation of cellulose and hemicellulose (108). The recombinant DNA technology or the pentose-fermenting microorganisms (e.g. *Pichia stipitis*, *Pachysolen tannophilus* and *Candida shehatae*) are used to overcome this disadvantage (109). These pentose-fermenting microorganisms have at least five times lower ethanol production rate than the ethanol production from glucose by *S. cerevisiae*. Moreover, oxygen and ethanol tolerance of these microorganisms are also 2–4 times lower (110). *S. cerevisiae* has been engineered with arabinose-metabolizing genes from yeasts such as *Candida aurigiensis* (111). Therefore, lately there have been many efforts to obtain an ideal microorganism that will be able to produce ethanol directly from any carbohydrate (105,112).

Furthermore, ethanol-producing bacteria have also attracted attention since their growth rate is substantially higher than that of the *S. cerevisiae*. *Z. mobilis* produces ethanol from glucose via the Entner-Doudoroff pathway in conjunction with the enzymes pyruvate decarboxylase and alcohol dehydrogenase (113). It is capable of producing ethanol with efficiency up to 97 % of theoretical maximum, while *S. cerevisiae* with efficiency around 90 to 93 %. However, the use of *Z. mobilis* is not feasible for the industrial bioethanol production because its fermentation pathways are oriented only to glucose, fructose and sucrose. Another disadvantage of the use of this bacterium is the levan formation observed during its fermentation of sugar cane syrup and other sucrose-based media. Levan is a polysaccharide that considerably increases the broth viscosity. *Z. mobilis* is also capable of reducing fructose into sorbitol, which additionally decreases the conversion efficiency of sucrose to ethanol (97).

On the contrary, the Gram-negative strain *Zymobacter palmae* is an anaerobe with a potential to metabolize hexoses, α -linked di- and trisaccharides, and sugar alcohols (fructose,

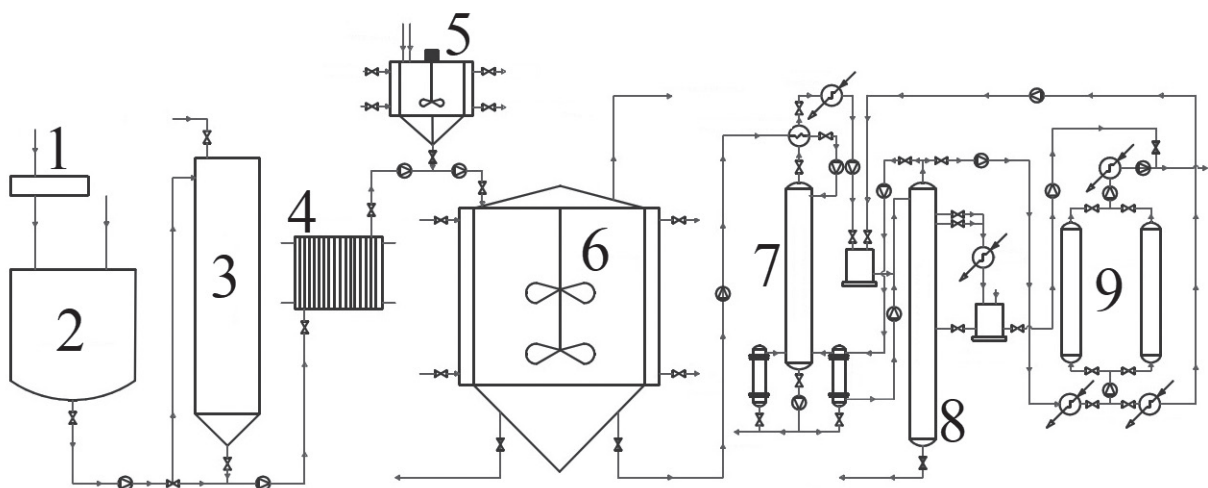


Fig. 3. The scheme of bioethanol production from lignocellulose containing raw materials. 1=milling, 2=pretreatment, 3=saccharification, 4=heat exchanger, 5=propagator, 6=bioreactor, 7=stripping column, 8=rectifying column, 9=molecular sieves

galactose, glucose, mannose, maltose, melibiose, sucrose, raffinose, mannitol and sorbitol). *Z. palmarum* produces approx. 2 mol of ethanol per mol of glucose without accumulation of byproducts, and also shows productivity similar to that of *Z. mobilis* (114).

The filamentous fungus *Fusarium oxysporum* is known for its ability to produce ethanol, but conversion rate is low and it produces significant acetic acid amounts as a byproduct (115). Other species, such as *Neurospora*, *Monilia*, *Paecilomyces*, *Fusarium* and *Neocallimastix*, have also been reported as ethanol-producing fungus (97).

In the integrated bioprocess systems, the hydrolysis and fermentation usually function as separate hydrolysis and fermentation (SHF) or simultaneous saccharification and fermentation (SSF). New integrated bioprocesses such as simultaneous saccharification and cofermentation (SSCF) and consolidated bioprocessing (CBP; 44,96) have recently emerged.

SHF hydrolyzes pretreated lignocellulosic feedstock to glucose and subsequently ferments it to ethanol in separate bioreactors (44). After pretreatment of lignocellulosic raw materials, solid phase is separated from liquid phase, which mostly contains pentose sugars and some hexoses. After pretreatment with dilute acid, residual solid phase contains mainly lignin and cellulose. Cellulose is then hydrolyzed by the addition of cellulolytic enzymes. In SHF both hydrolysis and fermentation take place at their optimal temperatures (50 °C for hydrolysis and 28–32 °C for yeast fermentation). Suitable microorganisms perform the fermentation of hexoses and pentoses separately (96). The need for separate fermentations is because pentose-utilizing microorganisms metabolize pentoses and hexoses slower than microorganisms that only assimilate hexoses. Moreover, these microorganisms are also more sensitive to ethanol and inhibitors (103). The accumulation of released sugars (mainly glucose and cellobiose) during enzymatic hydrolysis inhibits the cellulase activity. The inhibitory effect of cellobiose on cellulase is considerably higher than of glucose. At relatively low cellobiose concentrations (up to 6 g/L), cellulase activity is reduced by 60 % compared to the production without cellobiose (116). Microbial contaminations are also a problem in the SHF because of relatively long incubation period during hydrolysis. Main contamination sources are hydrolytic enzymes, but sterilization on industrial scale is very demanding (44).

During SSF, hydrolysis and fermentation take place in a single bioreactor. Therefore, released sugars from the enzymatic hydrolysis are immediately used by the microorganism. Under these conditions, relatively low sugar concentrations are present in the broth and consequently cellulase inhibition by the released sugars is reduced (32). The optimal temperature for SSF (around 38 °C) is a compromise between the optimal hydrolysis (45–50 °C) and fermentation (30 °C) temperatures. Further improvement of SSF can be achieved through selection of enhanced enzymes and yeast strains (117). SSF most often uses *T. reesei* and *S. cerevisiae*. In order to use the fermentation temperature closer to the optimal hydrolysis temperature, thermo-tolerant yeasts and bacteria have been studied (44). According

to literature, *Kluyveromyces marxianus* and *K. fragilis* have the highest ethanol productivity at 42 °C, when *K. marxianus* has an ethanol yield of 0.5 g/g cellulose in 78 h using Solka Floc® 200 (International Fiber Corporation, North Tonawanda, NY, USA) as substrate (118).

The main SSF advantages are: (i) increase of hydrolysis rate through the reduction of cellulase inhibition by released sugars, (ii) lower enzyme demand, (iii) higher bioethanol yield, (iv) lower requirement for sterile conditions, (v) shorter bioprocess time, and (vi) cost reductions by elimination of expensive separation processes and equipment (44,105-107).

Main SSF disadvantages are incompatible temperatures of hydrolysis and fermentation, microbial ethanol tolerance and ethanol inhibition of enzymes (109). The incomplete substrate hydrolysis causes close association of yeast and adsorbed cellulases with fermentation residue, which prevents the recirculation of higher yeast cell concentrations required for successful bioethanol production in the next fermentation. Under these conditions, yeast cells mostly utilize sugars released from cellulose hydrolysis for growth, but not for ethanol synthesis. SSF is the operational mode of choice in many pilot-scale studies of bioethanol production despite the above-mentioned drawbacks (44,106,107,117).

The inclusion of the pentose fermentation in the SSF is another promising integration alternative, and this process is called simultaneous saccharification and cofermentation (SSCF). In this mode, both producing microorganisms have to be compatible in terms of optimal pH and temperature. Furthermore, the development of microbial strains able to grow at elevated temperatures may significantly improve techno-economic indicators of SSCF (103). SSCF is more economically feasible, but technically much different. By cofermentation of pentoses and hexoses in one bioreactor capital costs, but also the possibility of contamination are reduced (96). In a study of Yadav *et al.* (119), coculture of *S. cerevisiae* and *P. stipites* resulted in conversion of both hexoses and pentoses in the hydrolysate with higher ethanol yields than the bioprocess with *S. cerevisiae* monoculture. Sornvoraweat *et al.* (120) also reported that coculture of *S. cerevisiae* and *Candida tropicalis* produced higher ethanol quantities than the *S. cerevisiae* monoculture by using acid hydrolysate of cassava peels. As an alternative of this configuration, Olsson and Hahn-Hägerdal (121) suggested a system including the isomerization of xylose and the fermentation with *S. cerevisiae* in a simultaneous process. In this bioprocess, glucose isomerase converts xylose into xylulose, which is subsequently utilized by yeast cells and therefore the cofermentation of lignocellulose-containing raw materials was improved. However, high byproduct (CO₂ and xylitol) synthesis, poor enzyme stability, incompatible pH and temperature, and the reversibility of the enzyme transformation are the main drawbacks of this bioprocess (122).

Another integration approach for the conversion of lignocellulose-containing raw materials into bioethanol is the consolidated bioprocessing (CBP; 103). In this approach, cellulase production and fermentation require only one microorganism.

Therefore, cellulase production, cellulose hydrolysis and fermentation are performed in a single step. Actually, the concept of CBP involves four biological reactions in one step: the production of enzymes (cellulases and hemicellulases), carbohydrate hydrolysis into sugars, fermentation of hexoses (glucose, mannose and galactose), and fermentation of pentoses (xylose and arabinose). The CBP has the following advantages compared to the other integrated systems for bioethanol production: the enzymatic and fermentation systems are completely compatible and therefore costs of bioethanol production are reduced, capital and operation investments are not required and part of the substrate is not spent for cellulase production (103). In CBP, usually *Clostridium thermocellum* is used for enzyme production, cellulose hydrolysis and glucose fermentation, where *C. thermosaccharolyticum* coferments pentoses (obtained from hemicellulose) into ethanol (103). The CBP system with *C. thermosaccharolyticum* shows 31 % higher substrate conversion than the CBP system with *Trichoderma reesei* and *S. cerevisiae*. However, the main problems of this CBP system are still not adequately solved such as: ethanol yield reduction due to the formation of acetic and other organic acid salts (e.g. lactate) and low ethanol tolerance by *Clostridia* (103,117). Although CBP is interesting from economic point of view, until now, an effective microorganism that exhibits all required features has still not been found. However, genetic engineering could improve the properties of microorganisms that are already being applied in the ethanol fermentation. Microorganisms with high cellulase activity need to have enhanced fermentation properties, and contrary, microorganisms with satisfactory fermentation properties need to have cellulolytic and/or hemicellulolytic activity (96). For example, improved recombinant strain of *C. thermocellum* produces 60 g/L of ethanol. Also, the use of genetic engineering improved cellulolytic activity of highly productive recombinant strains of bacteria *E. coli*, *K. oxytoca* and *Z. mobilis*, and yeast *S. cerevisiae* (123). Sakamoto *et al.* (124) constructed a recombinant *Saccharomyces cerevisiae* that is able to hydrolyze hemicelluloses with endoxylanase from *T. reesei*, β -xylosidase from *Aspergillus oryzae*, and β -glucosidase from *Aspergillus aculeatus*, as well as to assimilate xylose through the expression of xylose reductase and xylitol dehydrogenase from *P. stipitis* and xylulokinase from *S. cerevisiae*. This recombinant strain successfully produces bioethanol from rice straw hydrolysate, without requiring the addition of sugar-hydrolyzing enzymes or detoxication. Authors confirmed that such cell surface-engineered strain can be highly effective in consolidating bioethanol production from hemicellulosic raw materials.

Moreover, Ishola *et al.* (125) developed and evaluated a novel method of lignocellulosic bioethanol production, simultaneous saccharification, filtration and fermentation (SSFF). SSFF is an integrated bioprocess that allows simultaneous enzymatic hydrolysis of lignocellulosic biomass, filtration of sugars from hydrolysis and filtrate fermentation with yeast *Saccharomyces cerevisiae*. In SSFF, pretreated lignocellulose-containing raw material is enzymatically hydrolyzed

in a bioreactor, while the suspension is continuously pumped through a cross-flow membrane. The retentate goes back to the bioreactor for hydrolysis and purified sugar-rich filtrate is continuously added to the bioreactor for fermentation. The membrane (module made of polyethylene with a polypropylene housing used in a cross-flow microfiltration) was able to filter pretreated spruce slurry up to 14.4 % per mass suspended solids, without clogging and it worked continuously for 28 days. The flocculating strain of *S. cerevisiae* was successfully reused for 5 different batches of SSFF, and its cultivations resulted in an ethanol yield of up to 85.0 % of the theoretical yield. Ishola *et al.* (126) also used SSFF system for simultaneous glucose and xylose uptake by genetically modified yeast strain *S. cerevisiae* (T0936) with the ability to ferment xylose in the bioethanol production from pretreated wheat straw (xylose-rich lignocellulose-containing raw material). In this SSFF ethanol yield was 90 % of the theoretical yield.

Some examples of bioprocess operational modes for bioethanol production from various lignocellulosic raw materials are presented in Table 2 (127-133).

BIOETHANOL SEPARATION AND PURIFICATION

Two energy-demanding separation steps are necessary to obtain purified ethanol (95.63 % by mass) from binary azeotrope ethanol-water (54). The first step is a standard distillation that concentrates ethanol up to the level of 92.4–94 % by mass. The cyclic distillation for ethanol purification is an energy-efficient alternative that is characterised by relatively low investments. The second step involves ethanol dehydration to obtain an anhydrous ethanol (ethanol concentrations above the azeotropic composition). Several well known methods serve that purpose, such as pressure-swing distillation (134,135), extractive distillation (with liquid solvent, dissolved salt, their mixture, ionic liquids, hyperbranched polymers; 136-139), azeotropic distillation (140,141) and combination of these methods. The distillation residue is called vinasse and it could be an environmental problem because 1 L of ethanol generates around 15 L of vinasse (38).

In the next paragraphs we will discuss innovative techniques. In order to reduce energy consumption of conventional distillation, membrane techniques have gained attention as an alternative because of a number of advantages that make them attractive for the separation of liquid mixtures. They have high separation efficiency, energy and operating costs are relatively low, they produce no waste streams, and they can be used in the separation of temperature-sensitive materials (142-145). Among the available membrane techniques, pervaporation is quite attractive due to its simplicity, low energy-demands and the absence of extra chemicals; besides, the vacuum part of the process consumes the majority of energy (54). It uses a non-porous membrane which separates the mixture as a result of molecular interactions between the feed components and the membrane. The transport of molecules through the membrane generally involves three steps: (i) molecules from the feed are selectively adsorbed into the

Table 2. Different bioprocess operational modes for bioethanol production from various lignocellulosic raw materials

Feedstock	Pretreatment/hydrolysis	Microorganism	Bioprocess mode	γ (ethanol) g/L	E %	P_{max} g/(L·h)	Note (optimized conditions)	Reference
Sugar cane bagasse	Diluted acid (H ₂ SO ₄) followed by alkaline delignification (NaOH), cellulase complex obtained from <i>Trichoderma reesei</i> (MULTIFECT®)	Recombinant <i>Saccharomyces cerevisiae</i> containing the β -glucosidase gene from <i>Humicola grisea</i>	Batch SSF	51.7	–	0.94	–	(127)
Bagasse	Acid (H ₃ PO ₄), Accellerase® 1500 enzyme	<i>Z. mobilis</i> ATCC 29191 immobilized in Ca-alginate (CA) and polyvinyl alcohol (PVA) gel beads	SHF	6.24 5.52	79.09 69.96	3.04 2.37	PVA CA	(128)
			SSF	5.53 5.44	70.09 68.95	1.31 1.27	PVA CA	
<i>Eucalyptus globulus</i> wood	Organosolv (50 % EtOH, 200 °C, 45 min), cellulase (Celluclast) and β -glucosidase (Novozym 188)	<i>S. cerevisiae</i> IR2T9-a	SSF	~42	–	–	substrate loading 15 %, enzyme loading of 20 FPU cellulose and 40 UI β -glucosidase γ (strain)=6 and 12 g/L	(129)
Rice straw	Alkali (NaOH), Accellerase® 1500 enzyme	<i>S. cerevisiae</i> , <i>Candida tropicalis</i> , <i>S. stipitis</i>	Batch SSCF	28.6	86	–	<i>S. cerevisiae</i> / <i>S. stipitis</i> cell ratio of 0.31, agitation rate 116 rpm, temperature 33.1 °C	(130)
Corn stover	AFEX commercial enzymes mixture (Ctec 2, Htec 2 and Multifect pectinase)	Genetically engineered <i>S. cerevisiae</i> Y35	SHF	45.5	–	0.87	30 °C, 9 % glucan loading (GL)	(131)
			SSCF	51.3	–	0.76	35 °C 9 % GL	
Cellulosic material, β -glucan	–	Recombinant <i>Kluyveromyces marxianus</i> K1	CBP	4.24	92.2	0.55	48 °C	(132)
Corn stover	AFEX	<i>Clostridium phytofermentans</i> (ATCC 700394)	CBP	7.0	–	–	4 % GL, no nutrient supplementation	(133)

E =bioprocess efficiency ($E=Y_{P/S}/Y_{P/ST} \cdot 100$; $Y_{P/S}$ =conversion coefficient of substrate into ethanol (g C₂H₅OH/g sugar), $Y_{P/ST}$ =theoretical conversion coefficient of substrate into ethanol (g C₂H₅OH/g sugar)), P_{max} =maximal ethanol productivity in bioprocess

membrane, (ii) diffusion of the adsorbed molecules across the membrane, and (iii) desorption of the molecules into the gas phase on the permeate side. Polymeric membranes which can be used in the ethanol separation from the fermentation broth include polydimethylsiloxane (the most commonly used because of its good selectivity and stability) and poly-1-(trimethylsilyl)-1-propyne membranes, polyether block amide membranes, other modified polymeric membranes, porous polypropylene and polytetrafluoroethylene membranes (146–149). Besides the above mentioned, inorganic hydrophobic zeolite membranes can also be used (150). Furthermore, two types of hydrophobic zein (monolayer and composite) membranes were also studied for ethanol separation (151).

Pervaporation can be carried out in parallel to the fermentation. This is promising system for *in situ* extraction of ethanol, which is harmless to the working microorganism (152). Therefore, low ethanol medium concentrations can prevent ethanol inhibition, and consequently the bioprocess can run continuously. Before the pervaporation unit, a microfiltration/

ultrafiltration module has to be installed for biomass removal to prevent deterioration of the pervaporation membrane. This integrated system was used in the study of ethanol separation from aqueous solution and fermented sorghum juice (152). Cost analysis of the separation from the fermented juice showed it is higher than in some other methods, therefore it is necessary to optimize the procedure.

The silicalite-1/polydimethylsiloxane/polyvinylidene fluoride hybrid composite membrane was used for the *in situ* extraction of ethanol during the fermentation of sorghum juice in a fed-batch and a continuous bioprocess (153). The results of this study show that the integration of bioprocess considerably improves the bioprocess productivity and ethanol separation efficiency. The nanocomposite membrane made of polyamides with integrated carbon nanotubes was also used for ethanol separation (154). The results show that the membrane is most effective when used for the separation of mixtures with an ethanol content of more than 50 % by mass. The temperature of the mixture also plays a significant role; at higher

temperatures, there is an increase in the permeate flux, but the separation factor decreases.

Liquid-liquid extraction is another attractive method for ethanol separation from fermentation broth (155). The process involves the direct contact of a water-insoluble solvent with the broth in the bioreactor or in an externally located extraction vessel. During the contact, ethanol diffuses from the broth and is dissolved in the solvent, after which it needs to be isolated from the solvent with distillation or re-extraction using acid or base solutions. The selected solvent must meet some criteria, such as satisfactory extraction efficiency, chemical stability, water insolubility, must not form foam or emulsion, must be nontoxic, environmentally friendly and affordable. The most attractive solvents are ketones, esters and alcohols due to their low reactivity and high distribution coefficients (ketones 0.13–0.79, alcohols 0.53–1.30 and esters 0.24–0.59). Most of the interesting solvents were discarded because of their toxicity to the working microorganisms. The toxicity problem could be solved by using natural organic compounds, such as fatty acids, β -alcohols and carboxylic acids (155). Therefore, several fatty acids as solvents for ethanol extraction from water were examined (156). Valeric acid, a low-molecular-mass fatty acid, extracted the highest amount of ethanol, but alongside, it extracted water and it is partly soluble in it. The same was reported for other low-molecular mass fatty acids (156). Oleic acid is insoluble in water, but it extracted a small quantity of ethanol. Octanoic and nonanoic acid proved to be the best; however, nonanoic acid was the most suitable solvent because of its minimal evaporation during flash distillation, which resulted in a gaseous mixture with 69.5 % ethanol. This method requires 38 % less energy for the same amount of ethanol than fractional distillation (156).

The efficiency of ethanol extraction using vegetable oils, such as coconut, olive, safflower and castor oil, and their derivatives, alcohols and esters was also examined (157). These oils were compared with the following esters: methyl laurate, methyl oleate, methyl linoleate, and methyl ricinoleate, and alcohols: lauryl (1-dodecanol), oleyl and ricinoleyl. Out of these compounds, castor oil, ricinoleyl alcohol and methyl ricinoleate showed higher ethanol distribution coefficients with similar or slightly lower separation factors than other compounds used in this study. It is interesting that ricinoleyl alcohol has a 50 % higher distribution coefficient than oleyl alcohol, the most commonly used alcohol in ethanol extraction from fermentation broth. The use of higher β -branched alcohols, and their analogues in the form of carboxylic acids was also studied (158). The results showed that the C14-C20 β -branched alcohols have a narrow range of distribution coefficients (0.2–0.3), but a wide value range of separation factors, which reflects the influence of the position of hydroxyl groups and branching. Due to the low distribution coefficient values, the use of such alcohols is not recommended, but due to their non-toxicity and low solubility in the raffinate, as compared with shorter chain alcohols, it is possible to select and define the conditions of their application. Comparing the

results of that study with the results obtained for carboxylic acids (C8-C18), it is obvious that acids have higher separation factors, and lower distribution coefficient values (159). Although it is preferable to use acids with shorter chains with higher distribution coefficients, their solubility in water and toxicity on the working microorganisms prevents it. It is therefore advisable to use C16-C18 fatty acids as they are less soluble in the raffinate, are non-toxic and non-inhibitory.

Gas stripping is another alternative to distillation for the extraction of volatile components, such as ethanol, from fermentation broth (160-162). The process is relatively simple, does not require expensive equipment, fermentation culture is not harmed, it does not remove nutrients from the broth, it reduces product inhibition and it can be used for *in situ* separation of the desired product. In this method, inert gas is sparged through the broth. By passing through the broth, it collects volatile components. The most suitable gas is CO₂, as it is one of the fermentation products, but other gases (N₂ or H₂) and air can also be used (163). After passing through the bioreactor, the outflow is cooled in a condenser in order to condensate the desired products. Besides condensation, other methods can also be used, such as membrane separation and extraction (160,164-166). The gas is then recycled by going through another cycle of stripping. In most cases, the alcohol-rich condensate must pass through at least one purification step to remove excess water. Research results show that by using gas stripping, higher ethanol yield and productivity can be achieved.

Several studies of ethanol separation by gas stripping from fermentation broth during continuous bioprocess were conducted (167,168). These studies examined the effect of ethanol concentration on working microorganism and bioprocess productivity. The pilot plant consisted of a 14-litre bioreactor and a 10-cm column, and the bioprocess was continuously run for over 100 days. The feed contained 560 g/L glucose and 100 g/L corn steep water. CO₂ produced by fermentation was used as the stripping gas. The productivity of the process varied between 14 and 17 g/(L·h). In a similar study (169), a pilot plant with a fermentor of 30 L was run for 185 days. The yield was slightly lower than the maximum theoretically possible (0.50 g/g), which resulted in an average bioprocess productivity of 7.5–12.6 g/(L·h). In both studies growth inhibition occurred when the broth ethanol concentration was higher than 65 g/L. Chen *et al.* (170) compared ethanol production from sorghum with or without gas stripping. Fermentation with gas (CO₂) stripping proved to be a better choice for ethanol production, because the yield was 0.227 g/g with a stripping efficiency of 77.5 %. Temperature is one of the most important parameters in the fermentation and stripping processes. With the increase in temperature, stripping efficiency increases. The highest ethanol extraction efficiency of 96.4 % was at 75 °C, but this temperature has a negative effect on microorganism growth, and increases the energy costs. It is therefore necessary to adjust the stripping temperature to the microorganism, or use

heat-resistant microorganisms while keeping in mind that fermentation temperature should not be higher than 40 °C. It was also observed that the gas bubble size has an influence on the efficiency of stripping. By reducing the bubble size from 0.4 cm to 0.05 cm, an increase of 30 % in efficiency was observed. Ponce *et al.* (171) assessed an integrated fermentation stripping system for ethanol production. In that research, 58 % of total ethanol in the broth was continuously withdrawn from the bioreactor. Although the removal of ethanol was not complete, the percentage that was removed was sufficient for ethanol concentration to drop below the inhibitory values. Lower condensation temperatures have a negative impact on the ethanol concentration in the condensate. The most interesting temperatures are in the range of –2 to –5 °C because at these temperatures a significant amount of ethanol was obtained. As for the gas flow, it was concluded that higher flow rates encourage better ethanol separation from the system and therefore increase the overall bio-process efficiency.

Adsorption is a separation technique in which molecules of gas or solution components are adsorbed on the solid surface (adsorbent). The adsorbent is a stable crystalline solid having negligible or no solubility in water or alcohol. Substances are adsorbed onto it depending on their physical and chemical properties. Generally, larger particles are more easily adsorbed due to their low diffusivity. Adsorbents are usually located in column devices. Unlike systems with gaseous or liquid extractants, the solid adsorbent does not move through the system. Therefore, adsorption involves two phases, the loading phase (adsorption) and the discharge phase (desorption). Similar to liquid extractants, a solid adsorbent has a specific selectivity and sorption distribution coefficients for water and ethanol. The most studied class of alcohol-selective adsorbents are hydrophobic zeolites, in particular zeolites with a ZSM-5 structure and various silicon and aluminium ratios (172-174). The most important zeolite of this type is silicalite-1, which does not contain aluminium. Other adsorbents that have been studied are polymeric resins, polyvinylpyridine (173), activated carbon (173,174) and activated carbon molecular sieves (175). Studies conducted with silicalite-1 showed that water and ethanol compete for sorption sites on the adsorbent. When pure water was used, silicalite-1 adsorbed 40 mg/g water, whereas when a mixture containing ethanol and water was used, there was a decrease in the adsorption of water. At 5 % by mass ethanol, about 85 to 100 mg/g ethanol was adsorbed onto the surface, and only about 20 mg/g water, which is equal to a separation factor of 76 (174).

For ethanol recovery from fermentation broth, silicalite-1, ZSM-5 and activated carbon molecular sieves (CMS-5A) were also examined as adsorbents (176). ZSM-5 adsorbed 0.068 g/g ethanol, silicalite-1 0.084 g/g and CMS-5A 0.126 g/g. Silicalite and ZSM-5 did not adsorb measurable quantities of glucose, fructose and glycerol, while CMS-5A adsorbed 0.011 g/g glucose, 0.010 g/g fructose, and 0.014 g/g glycerol. The

measurement of ethanol adsorption from broth showed that it decreased only slightly, while there was a notable reduction in sugar and glycerol adsorption (176). In a recent study, activated carbon as an adsorbent for ethanol separation from fermentation broth was studied (177). They used two separation modes; in the first activated carbon was added directly into the broth, while in the second it was placed in an external container through which the broth circulated at specific time intervals. The second method proved to be much more efficient with final ethanol concentration of 51 g/L.

The new adsorption process that uses activated molecular sieving carbon (MSC) was also studied (175). The pore diameter of the sieves was around the size of an ethanol molecule. Experiments were conducted using five different MSCs, which were compared with two hydrophobic zeolites, and one hydrophilic zeolite adsorber. The total pore adsorption capacity of the MSCs was 0.2 mL/g. The most promising adsorbent was MSC4A, which, after adsorption and desorption at temperatures higher than 100 °C, helped to obtain a mixture with 96 % by volume ethanol (175).

On the basis of previous discussion, it is obvious that the majority of studies still use two-component ethanol and water solutions, without taking into account other substances present in the fermentation broth. It is therefore necessary to conduct further research in order to check the influence of other components on adsorption, or to investigate other methods that can be coupled with adsorption to facilitate the separation and purification of ethanol. Some of the methods that can be used with adsorption are ozonation (178,179) or gas stripping (180,181).

CONCLUSIONS

Based on the presented data, it is obvious that bioethanol can be an alternative solution for the current fuel issue. There has been significant progress in renewable biomass pretreatment, cellulase production and cofermentation of sugars (pentose and hexose) as well as bioethanol separation and purification in recent decades, but bioethanol (based on the production costs) is still not competitive (exception can be only bioethanol production from sugar cane in Brazil) to the fossil fuels. The biggest challenge remains how to reduce the production cost of bioethanol. Therefore, the biorefinery concept is needed to utilize renewable feedstocks more comprehensively and to manufacture more value-added coproducts (e.g. bio-based materials from the lignin) that would reduce the cost of bioethanol production. This will make bioethanol more economically competitive than the fossil fuels.

ACKNOWLEDGMENTS

This work received support by the project Phoenix (H2020-MSCA-RISE project No. 690925) and the project "Sustainable production of bioethanol and biochemicals from agricultural waste lignocellulosic raw materials" (Croatian Science Foundation No. 9158).

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