

1 **Biomaterials for 4D stem cell culture**

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1 **Abstract**

2           Stem cells reside in complex three-dimensional (3D) environments within the body that  
3 change with time, promoting various cellular functions and processes such as migration and  
4 differentiation. These complex changes in the surrounding environment dictate cell fate yet, until  
5 recently, have been challenging to mimic within cell culture systems. Hydrogel-based  
6 biomaterials are well suited to mimic aspects of these *in vivo* environments, owing to their high  
7 water content, soft tissue-like elasticity, and often-tunable biochemical content. Further,  
8 hydrogels can be engineered to achieve changes in matrix properties over time to better mimic  
9 dynamic native microenvironments for probing and directing stem cell function and fate. This  
10 review will focus on techniques to form hydrogel-based biomaterials and modify their properties  
11 in time during cell culture using select addition reactions, cleavage reactions, or non-covalent  
12 interactions. Recent applications of these techniques for the culture of stem cells in four  
13 dimensions (i.e., in three dimensions with changes over time) also will be discussed for studying  
14 essential stem cell processes.

15

## 1 **1. Introduction**

2 Stem cells have two major defining characteristics: the ability 1) to self-renew, giving  
3 rise to multiple cells of the same type, and 2) to differentiate into tissue- or organ-specific cells  
4 upon receiving the proper cues [1]. These fundamental and essential attributes give stem cells the  
5 potential to regenerate or heal tissues throughout the body. Understanding and controlling the  
6 underlying cues that direct stem cell renewal and differentiation is key to unlocking this  
7 potential. Insight into these processes can be gained from examination of native stem cell  
8 environments and applied to the design of synthetic dynamic microenvironments for controlled  
9 expansion and culture of stem cells.

10 In the body, stem cells reside in specific microenvironments composed of extracellular  
11 matrix (ECM), cells resident or recruited to the tissue, and cell-secreted factors, such as growth  
12 factors and cytokines [2]. These microenvironments provide dynamic extracellular biophysical  
13 and biochemical cues that influence stem cell processes, including proliferation, migration, and  
14 differentiation (**Figure 1A**). For example, three-dimensional (3D) stem cell niches have a range  
15 of mechanical properties, including ‘soft’ neural tissues containing neural progenitor cells ( $E \sim$   
16  $0.1\text{-}1\text{ kPa}$ ), bone marrow containing mesenchymal and hematopoietic stem cells ( $E \sim 0.5\text{ kPa}$ ),  
17 and more elastic muscle tissue containing satellite cells ( $E \sim 10\text{ kPa}$ ) [3]. Large ECM proteins,  
18 such as collagens, fibronectin, and laminins, and glycosaminoglycans, such as hyaluronic acid  
19 (HA), heparan sulfate, and chondroitin sulfate, provide structural and biochemical content  
20 comprising the base matrix in which stem cells reside [4]. Stem cells bind to these ECM proteins  
21 through receptors, especially integrins that regulate processes such as cell adhesion and signal  
22 transduction. Additional biological cues often sequestered by the ECM, such as growth factors,  
23 cytokines, and morphogens, also support cellular processes such as proliferation and

1 differentiation within the stem cell niche [5]. For example, differentiation of stem cells into  
2 dopaminergic neurons, the cell type most affected by Parkinson's disease, is caused by gradients  
3 of WNT and sonic-hedgehog (SHH) morphogens during development [6].

4         Hydrogels, crosslinked hydrophilic polymer networks, provide a platform for mimicking  
5 key *in vivo* characteristics of the microenvironments surrounding stem cells. Used as matrices for  
6 3D cell culture, hydrogels enable decoupling of the complex milieu of cues found within the  
7 native ECM for simplified, yet physiologically-relevant, cell culture studies that mimic important  
8 aspects of the native environment while facilitating hypothesis testing. Natural hydrogels are  
9 typically composed of naturally derived biological components, such as type I collagen,  
10 hyaluronic acid, or basement membrane extract (BME), and capture some of the supramolecular  
11 structures and biological content of the native ECM. However, control of matrix elasticity or  
12 'stiffness' and biological cues can be restricted within strictly natural hydrogels, owing to their  
13 inherent protein structure and chemistry and batch-to-batch variation, which may limit their use  
14 for hypothesis testing [7]. To address this, synthetic and natural polymers have been modified  
15 with reactive functional groups to form synthetic and hybrid hydrogels that provide more user  
16 control and a wider range of properties, creating synthetic ECMs for the controlled, 3D culture of  
17 cells over time.

18         Hydrogel-based synthetic ECMs have been designed to provide user control of gel  
19 formation, degradation, or other features such as addition of biochemical moieties (e.g., peptide  
20 tethers and crosslinks). Initially, hydrolytic degradation was incorporated within such materials  
21 to impart temporal changes in properties based on monomer design, allowing preprogrammed  
22 decreases in matrix crosslink density or removal of biochemical moieties by cleavage of  
23 crosslinks or pendant groups, respectively. For example, Burdick and coworkers functionalized

1 hyaluronic acid (HA) with methacrylate groups and varied the number of ester bonds between  
2 the HA and the methacrylate group to control the rate of degradation and thereby the crosslink  
3 density of the resulting hybrid hydrogels over time for the culture of mesenchymal stem cells  
4 (MSCs). Increasing the number of ester repeat units increases the probability and rate of  
5 hydrogel degradation [8]. To impart responsive cell-driven control of matrix properties over  
6 time, a suite of materials that are enzymatically degraded have been developed. Incorporation of  
7 enzymatically degradable crosslinkers or tethers (e.g., matrix metalloproteinase (MMP)  
8 cleavable peptides) allows remodeling of synthetic ECMs by enzymes secreted by stem cells at  
9 specific times during their life cycle toward capturing the *in vivo* process of tissue remodeling.  
10 Bian and coworkers incorporated MMP degradable peptides into hydrogels formed with  
11 methacrylated HA to allow cell-mediated degradation, supporting cell culture for more than 14  
12 days. Within these gels the human mesenchymal stem cells (hMSCs) showed enhanced  
13 chondrogenesis and suppressed hypertrophy [9].

14 Over the past few years, synthetic matrices have been expanded to incorporate multiple  
15 forms and levels of hydrogel property control (e.g., combinations of cleavage and addition  
16 reactions for temporal modulation of synthetic ECMs) toward more accurately mimicking *in vivo*  
17 stem cell microenvironments. Broadly, 4D biomaterials, or 3D matrices whose properties change  
18 over time, enable the study of dynamic stem cell-microenvironment interactions relevant to  
19 healthy and diseased tissues *in vitro* (**Figure 1B**) [4,10]. This review will focus on how  
20 hydrogel-based biomaterials have been designed to recapture aspects of dynamic, 3D *in vivo*  
21 microenvironments to study important functions and fates of MSCs, embryonic stem cells  
22 (ESCs), induced pluripotent stem cells (iPSCs), and progenitor cells (i.e., cells that differentiate  
23 into a specified cell lineage). The following sections will summarize general design

1 considerations for 4D material properties depending on the target application (Section 2.1),  
2 varieties of ‘click’ chemistries to form or modify these materials during cell culture (Section  
3 2.2), mechanisms of degradation and non-covalent interactions and how they have been used to  
4 achieve a more accurate mimic of cell microenvironments over time (Section 2.3 – 2.4), and  
5 applications of these 4D biomaterials for the culture of stem cells in changing  
6 microenvironments (Section 3).

## 7 **2. Chemistries to form and modify hydrogels**

8 This section will overview properties to consider when designing materials for stem cell  
9 culture along with hydrogel formation techniques to create materials that mimic key aspects of  
10 native microenvironments *in vitro*. Reactions and mechanisms for modifying these materials and  
11 their selection for achieving desired material properties also will be discussed. These include  
12 click chemistry reactions and non-covalent chemistries to sequentially form and modify  
13 hydrogels and relevant methods to degrade hydrogels in a controlled manner in the presence of  
14 cells (**Figure 2**).

### 15 **2.1 General design considerations**

16 A variety of parameters must be considered when designing materials for stem cell  
17 culture, including cytocompatibility, transport properties, and ways to quantify cell response to  
18 material changes. First and foremost, the base polymers and chemistries must maintain and  
19 support cell viability during hydrogel fabrication, modification, and culture. The mechanism for  
20 forming materials in the presence of cells for 3D culture should not result in significant cell  
21 death, DNA damage, or expression of cell stress markers (e.g., p53). With this in mind, the  
22 chemistries discussed below (sections 2.2 and 2.3) all have been executed in the presence of  
23 cells. Further, the resulting material must have an appropriate pore size (roughly > 5 nm) to

1 allow for nutrient, waste, and soluble factor (e.g., growth factors) diffusion within the matrix, as  
2 well as potential diffusion of biochemical reagents (e.g., antibodies or peptides) introduced for  
3 imaging or modification reactions [11,12]. To quantify cell responses to changes in matrix  
4 properties, materials must allow for various measurements and assays of biological functions.  
5 For cell imaging, a material that is optically clear or does not interfere with light transmission is  
6 beneficial. For techniques like flow cytometry or qRT-PCR to look at receptor or gene  
7 expression, methods for degradation of the hydrogel under mild conditions (section 2.3) that  
8 maintain surface receptors and retention of cell phenotype may be required or useful to recover  
9 the cells for analysis.

## 10 ***2.2 Formation and addition reactions***

11 Click reactions are an attractive set of chemistries to form materials for cell culture  
12 owing to their versatility, often fast reaction kinetics, and their ability to proceed in mild  
13 conditions (e.g., room temperature aqueous). In addition, several bioorthogonal click reactions  
14 have been established that can be used during cell culture without interference with natural  
15 cellular processes. Owing to these beneficial properties, a variety of click reactions have been  
16 used to form and add elements to materials in the presence of stem cells for 4D culture (**Figure**  
17 **2A**).

### 18 ***2.2.1 Azide-alkyne reactions***

19 Strain promoted azide-alkyne cycloaddition (SPAAC) is a widely used bioorthogonal  
20 reaction that proceeds at reasonable rates in aqueous solutions without a catalyst to form a  
21 triazole linkage [13]. Traditional copper-catalyzed azide-alkyne cycloaddition has proven useful  
22 for the fabrication of materials outside of a biological context [14]; however, the Copper (I)  
23 catalyst is cytotoxic, and consequently SPAAC has been investigated for the formation of

1 biomaterials in the presence of cells [15]. For example, Becker and coworkers showed >95%  
2 viability of human mesenchymal stem cells 24 hours after encapsulation in hydrogels formed  
3 with 4-dibenzocyclooctynol-functionalized, 4-arm poly(ethylene glycol) (PEG) crosslinked with  
4 a 3-arm glycerol oxytholate triazide [16]. This class of reactions typically has been used to form  
5 hydrogels while other types of addition or cleavage reactions are used for modification, owing to  
6 the orthogonality of the SPAAC reaction with respect to these other reactive functionalities.

### 7 *2.2.2 Thiol–ene and Thiol–yne reactions*

8 Thiol–ene reactions occur between a thiol and an alkene group such as a norbornene,  
9 acrylate, or vinyl sulfone [17]. They have been reacted by radically-initiated polymerizations or  
10 Michael-type nucleophilic additions depending on the functional group (e.g., norbornenes or  
11 vinyl sulfones, respectively) and reaction conditions (e.g., photoinitiation or basic pH/catalyst for  
12 acrylates, respectively). Free radical initiation results in a thiyl radical that adds to the  
13 unsaturated ‘ene’, forming a thioether bond and regenerating the radical for further reaction [17].  
14 In Michael-type addition of thiols to alkenes, a nucleophile adds to an electron deficient vinyl  
15 group generating a base, which deprotects and generates a thiolate anion initiating the addition  
16 cycle [14]. For example, Burdick and coworkers encapsulated human mesenchymal stem cells  
17 with good viability (88%) in HA hydrogels using norbornene-functionalized HA and a dithiol  
18 crosslinker. A second thiol–ene reaction of excess norbornene groups with either additional  
19 dithiol crosslinker, or thiol functionalized dyes was used to modify the network, increasing the  
20 crosslink density at specific positions within the hydrogel or adding pendant groups using  
21 photopatterning [18].

22 Thiols also react with alkyne groups by a similar radically-mediated mechanism. In  
23 forming a polymer network, a 2:1 ratio of thiol to alkyne leads to the sequential propagation of a



1 thiyl radical with an alkyne or with a vinyl functional group that was generated by the former  
2 reaction [19]. Since two thiols can be reacted with one alkyne, the thiol–yne reaction provides a  
3 way to increase network connectivity relative to thiol–ene systems without changing the number  
4 of functional groups per monomer. However, one drawback is the differential reactivity of  
5 alkyne functional groups, where some do not allow a second thiol addition [19]. Dove and  
6 coworkers used simultaneous application of nucleophilic thiol–yne reaction and inverse electron-  
7 demand Diels-Alder additions to create an interpenetrating network with robust mechanical  
8 properties (withstood compressive stresses of 4-15 MPa) that maintained cell viability (48 hours  
9 after encapsulation) [20]. They also employed thiol–ene addition and tetrazine ligation reactions  
10 to pattern pendant groups into the matrix over time (a fluorescent dye with a terminal thiol group  
11 [BODIPY-SH] and a tetrazine-functionalized biotin), while maintaining excellent hMSC  
12 viability (>99% after patterning). Broadly, thiol–ene and thiol–yne reactions offer  
13 cytocompatible methods to both form and modify hydrogels *in situ* with a diverse suite of  
14 functional group choices in ‘enes’, ‘ynes’, and thiols, allowing users to easily tailor material  
15 properties.

### 16 2.2.3 Diels-Alder cycloaddition

17 Diels-Alder reactions are widely used for hydrogel formation by reacting conjugated  
18 dienes with substituted alkenes. In general, these reactions proceed under mild conditions  
19 without the need for an initiator and have been used to form hydrogels for cell encapsulation  
20 [21]. Note, the kinetics of gelation can be slow relative to other types of gelation mechanisms,  
21 such as photoinitiation, taking place on the order of hours depending on the functional groups  
22 selected. Chen and coworkers recently formed hydrogels using hyaluronic acid modified with  
23 furan and poly(ethylene glycol) functionalized with maleimide groups leaving an available

1 double bond for subsequent reaction after gel formation [22]. Hydrogel moduli between ~ 4-75  
2 kPa were achieved, comparable to the elasticity of various soft tissues including brain, fat, and  
3 muscle [22]. A subsequent thiol–ene reaction with a FITC-labeled RGDSC peptide was used to  
4 pattern the hydrogel, and no significant differences in mechanical properties were observed  
5 before and after patterning, providing independent control of biophysical and biochemical cues.

#### 6 *2.2.4 Oxime ligation*

7 Reactions between aminoxy functional groups and aldehydes or ketones produce imine  
8 bonds. These reactions occur readily in aqueous solutions, and side reactions are minimal [23]. A  
9 catalyst is not always required but may be used to increase reaction rate. For example, Becker  
10 and coworkers showed that, by using an aniline catalyst and controlling pH, gelation time was  
11 reduced (hours to seconds) and storage modulus increased ( $0.3 \pm 0.1$  kPa to  $4.7 \pm 0.3$  kPa) for  
12 hydrogels formed by reaction of aldehyde-functionalized PEG with a 4-arm aminoxy  
13 crosslinker [24]. Further, the authors used a combination of oxime, azide-alkene, and  
14 photoinitiated thiol–ene click reactions to create patterned hydrogels, mimicking changes in  
15 biochemical content within the niche surrounding cells.

#### 16 *2.3 Cleavage reactions*

17 Cleavage reactions generally present an attractive strategy to change biomaterial  
18 properties in a controlled manner as a function of time, including mechanical (i.e., decrease in  
19 crosslinking density) and biochemical properties (i.e., incorporation or release of peptides or  
20 cytokines) to capture aspects of dynamic cell microenvironments (**Figure 2B**). This section will  
21 focus on key examples that utilize cleavage reactions in designing dynamic 4D hydrogels for  
22 stem cell culture. For a comprehensive review of degradable chemistries, readers are referred to a  
23 review by Kharkar and coworkers [25].

### 1 2.3.1 Ester hydrolysis

2  
3 Traditionally, ester hydrolysis has been used for predetermined, continuous changes in  
4 biomaterial properties. Ester linkages cleave in aqueous conditions to form a carboxylic acid and  
5 an alcohol. The rate of cleavage ranges from a few days to a few years depending on the number  
6 of ester linkages, the functional groups surrounding the ester linkages, the network  
7 hydrophobicity, and the surrounding microenvironment pH [25,26]. In 4D hydrogels that  
8 incorporate ester linkages, the rate of cleavage or network degradation is usually preprogrammed  
9 based on the number and type of ester bonds incorporated during monomer and hydrogel  
10 formation [8,27]. For example, Lin and coworkers reported the use of PEG-based synthetic  
11 hydrogels containing different amounts of hydrolytically-degradable thiol-ether-ester linkages  
12 for 4D hMSC culture and differentiation [27]. Hydrogels were formed using visible light-  
13 initiated mixed mode polymerization of acrylates and thiols in the presence of the photoinitiator  
14 Eosin Y. The ester linkage underwent hydrolysis with different pseudo-first-order rate constants  
15 ( $k \sim 0.02$  to  $\sim 0.17 \text{ day}^{-1}$ ) depending on the number of degradable crosslinks (controlled wt% of  
16 thiol crosslinker concentration). When encapsulated within fast-degrading hydrogels ( $k = 0.17$   
17  $\text{day}^{-1}$ ), hMSCs showed a higher degree of osteogenic differentiation compared to slow-degrading  
18 hydrogels ( $k = 0.03 \text{ day}^{-1}$ ). Degradation allowed for increased cell spreading and deposition of  
19 cell-secreted proteins, promoting osteogenic differentiation.

### 20 2.3.2 Enzymatic cleavage reactions

21  
22 Hydrogels can undergo enzymatic hydrolysis when the material is constructed using a  
23 naturally-derived polysaccharide (i.e., hyaluronic acid, collagen) or enzyme-sensitive peptide  
24 linkage (i.e., MMP-cleavable linkages) [28,29]. The concentrations of enzymes that selectively  
25 degrade the hydrogel backbone dictate the rate and extent of cleavage. Enzyme concentrations,

1 and consequently gel degradation, have been controlled by exogenous addition or secretion by  
2 cells within these MMP-degradable hydrogels. In particular, enzymatically degradable hydrogels  
3 have been used to introduce changes within synthetic matrices to study stem cell response in  
4 dynamic microenvironments [9,30,31]. For example, Burdick and coworkers reported the use of  
5 hyaluronic acid based hydrogels that undergo degradation by enzymatic hydrolysis in addition to  
6 ester hydrolysis for the culture of encapsulated hMSCs. Changes in the matrix structure and  
7 modulus, due to cell-driven degradation of the network, resulted in upregulation of type II  
8 collagen and aggrecan, indicating chondrogenesis. This work demonstrated the importance of 4D  
9 biomaterials for lineage-specific differentiation, particularly for cartilage regeneration  
10 applications [30].

### 11 2.3.3 *Light-mediated cleavage reactions*

12  
13 The use of photolabile functional groups that cleave under cytocompatible doses of light,  
14 such as *o*-nitrobenzyl ethers and coumarins, allows user-directed control over biomaterial  
15 properties at times and positions of interest [32–34]. The *o*-nitrobenzyl ether group undergoes  
16 irreversible rearrangement and cleavage upon irradiation (long-wavelength UV, visible, or two  
17 photon light), yielding a ketone and carboxylic acid. Hydrogels formed using these photolabile  
18 groups have been used to investigate stem cell response to dynamic material changes, as well as  
19 to control release and retention of different biological cargoes (e.g., growth factors or stem cells  
20 for therapeutic applications) [35–37]. For example, in parallel complementary studies, Anseth  
21 and coworkers and Kasko and coworkers encapsulated human mesenchymal stem cells within  
22 synthetic 4D hydrogels containing photodegradable *o*-nitrobenzyl ether group variants [36,38].  
23 By cleavage with specific wavelengths of light, the encapsulated cells were spatiotemporally  
24 released from hydrogels using photoerosion while maintaining cell viability (>95%).

1 Coumarin linkages also undergo degradation with cytocompatible light doses to yield alcohol  
2 and carboxylic acid functional groups, with the rate of cleavage varying based on aromatic ring  
3 substituents. Polymeric hydrogels containing coumarin groups have potential for use in 4D  
4 culture [34,39]. For example, Almutairi and coworkers reported the synthesis of ornithine-based  
5 bromohydroxycoumarin crosslinker to form polyacrylamide hydrogels [40]. Controlled release  
6 of encapsulated stem cells was triggered by long wavelength UV light ( $10 \text{ mW/cm}^2$  at 365 nm  
7 for 15 minutes) demonstrating its utility for design of a 4D hydrogel matrix and for cell delivery.

8 Light also has been used to control the release of calcium or calcium chelators in alginate  
9 hydrogels for temporally modulating hydrogel crosslink density and modulus [41]. Calcium (or a  
10 calcium chelator) and gold nanorods were encapsulated in thermal responsive 1,2-dipalmitoyl-  
11 sn-glycero-3-phosphocholine liposomes with a gel-to-fluid transition temperature of  $41^\circ\text{C}$ . When  
12 irradiated with near-infrared light, the gold nanorods locally heated the surrounding fluid due to  
13 surface plasmon resonance, disrupting the liposomes. This light-mediated release of calcium  
14 crosslinker or calcium chelator resulted in stiffening ( $\sim 90 \text{ Pa}$  to  $\sim 1200 \text{ Pa}$ ) or softening ( $\sim 900 \text{ Pa}$   
15 to  $\sim 400 \text{ Pa}$ ) of the hydrogels, respectively. Such an approach can be translated to cell-laden  
16 hydrogels to study changes in stem cell function and fate in response to dynamic changes in  
17 matrix density.

18 While only single modes of degradation were discussed here (section 2.3), combinations  
19 of two or more cleavage reactions have been utilized to study stem cell behavior and fate as  
20 discussed later (section 4) [27,30,42]. Additionally, other cleavage or reversible reactions of note  
21 yet absent here, including retro Diels-Alder reactions [43], retro Michael-type reactions [44–46],  
22 azobenzene photoisomerization [47], and disulfide cleavage [42], are promising but less explored  
23 to date in the design of 4D biomaterials for stem cell research.

## 1 **2.4 Non-Covalent interactions**

2 Unlike several of the aforementioned covalent gelation mechanisms, non-covalent  
3 interaction-induced gelation typically occurs by self-assembly without a need for initiator or  
4 catalyst. The lack of external chemical components makes these mechanisms often  
5 cytocompatible and well-suited for use in the presence of cells, provided the interaction strength  
6 is sufficient. Commonly for 3D culture, peptides and proteins have been designed to assemble  
7 through non-covalent interactions, including hydrogen bonding, aromatic stacking, ionic, and  
8 hydrophobic interactions [7,48–55], to form hydrogels upon mixing (**Figure 2C**). In this section,  
9 we will give an overview of hydrogels formed upon mixing of engineered peptides or proteins,  
10 including those formed with two components or by applying a shear force, and other polymeric  
11 hybrid hydrogels for controlled stem cell culture applications.

### 12 *2.4.1 Peptide hydrogels*

13 Peptides have been designed with various strong non-covalent interactions to promote  
14 assembly and hydrogel formation under physiological conditions. For example, Kokkoli and  
15 coworkers investigated a fibronectin-mimetic peptide amphiphile, C<sub>16</sub>-  
16 GGGSSSPHSRN(SG)<sub>5</sub>RGDSP (PR<sub>g</sub>), which assembles in water to form nanofibrous  
17 hydrogels. On the PR<sub>g</sub> peptide sequence, RGD provides the primary cell binding motif with the  
18 PHSRN sequence included as the synergy site to impart specificity and affinity for the integrin  
19  $\alpha 5 \beta 1$ . PR<sub>g</sub> peptide amphiphiles were diluted with another peptide, C<sub>16</sub>-GGGSSSESE (E<sub>2</sub>), to  
20 screen charges and enable faster gelation. PR<sub>g</sub>/E<sub>2</sub> gels supported 3D culture and proliferation  
21 of fibroblasts (NIH3T3/GFP) over five days [53]. In seminal work, Stupp and coworkers  
22 designed another peptide amphiphile (PA) that contained a 16-carbon chain, 4 alanine residues, 3  
23 glycine residues, and the sequence IKVAV, which is found in laminin and known to promote

1 and direct neurite outgrowth. Self-assembly was induced by electrostatic repulsions between the  
2 alanines and glycines, hydrogen bond formation, and the unfavorable contact between  
3 hydrophobic segments and water. Murine neural progenitor cells (NPCs) were encapsulated in  
4 these IKVAV-PA gels, and cell differentiation and migration were observed [54].

5 Using a similar amphiphilic building block concept, Ulijn and coworkers designed  
6 fluorenylmethoxycarbonyl (Fmoc) dipeptides that formed hydrogels by hydrogen bonding and  
7 aromatic stacking. After studying a library of seven Fmoc-dipeptides, the Fmoc-phenylalanine  
8 dipeptide, as well as mixtures of this sequence with Fmoc-glycine-glycine or Fmoc-lysine,  
9 formed hydrogels at physiological pH. These three gels supported chondrocyte proliferation in  
10 3D culture [55]. More broadly, these examples provide design principles for the creation of  
11 dynamic, physical hydrogels that enable 3D cell culture.

12 Approaches to regulate peptide assembly provide handles for controlling hydrogel  
13 formation or modulating properties. For example, Pochan, Schneider, and coworkers developed  
14 MAX8 hydrogels using a 20 amino acid peptide that self-assembles through electrostatic  
15 repulsions, hydrophobic contacts, hydrophobic collapse, and hydrogen bonding [48]. This  
16 hydrogel shear thins when a force is applied, disrupting the interactions and allowing injection or  
17 manipulation of the now-liquid solution; however, once the force is released, the interactions are  
18 reestablished, and the hydrogel regains its structural integrity. With this approach, a  
19 mesenchymal stem cell line (C3H10t1/2) was encapsulated within these hydrogels, indicating  
20 their cytocompatibility. Shear-thinning systems such as this also are useful for delivery of cells  
21 to target biological sites.

22 Utilizing two sequences for assembly is another strategy for controlling hydrogel  
23 formation. For example, Butler and coworkers developed hydrogelating self-assembling fibers

1 (hSAFs) composed of two 28-residue peptides of the coiled-coil heptad sequence repeat  
2 (sequence I: K IAALKAK IAALKAE IAALEWE NAALEA and sequence II: K IAALKAK  
3 NAALKAE IAALEWE IAALEA) that form an  $\alpha$ -helical dimer. The gelation mechanism of  
4 these sequences for hydrogel formation was manipulated by carefully choosing amino acids for  
5 each position in the heptad repeat, which dictated whether hydrogen bonding or hydrophobic  
6 interactions would occur. In particular, a two-component peptide solution was established for the  
7 formation of a self-supporting hydrogel through hydrophobic interactions that supported the  
8 growth and differentiation of a model neuronal-like cell line (rat adrenal pheochromocytoma  
9 [PC12] cells). As a dual-component system, these hSAFs provide increased control of gelation  
10 time through mixing [49].

#### 11 2.4.2 Protein and 'hybrid' hydrogels

12 Proteins also have been designed for physical interactions and cell-binding to enable 3D  
13 cell culture. For example, Heilshorn and coworkers created a mixing-induced two-component  
14 hydrogel (MITCH) where the two components form a network through hydrogen bonding of  
15 complementary peptide domains. The two recombinant protein components, C7 and P9,  
16 contained 7 and 9 repeats of complementary binding domains, which physically crosslinked to  
17 form hydrogels within seconds. Human adipose derived stromal cells (hADSCs) were  
18 encapsulated in these hydrogels, along with FGF-1 and BMP-4, to demonstrate the potential use  
19 as *in vitro* models of disease progression, drug delivery, and *in vivo* tissue engineering [50].  
20 More details on the stem cell studies using this MITCH system are discussed in section 4.

21 Block copolymer hydrogels also have shown promise for stem cell culture applications.  
22 Ding and coworkers demonstrated a facile poly( $\epsilon$ -caprolactone-co-lactide)-poly(ethylene glycol)-  
23 poly( $\epsilon$ -caprolactone-co-lactide) (PCLA-PEG-PCLA) ABA triblock copolymer hydrogel



1 formation, exhibiting a sol-gel transition between room (25°C) and body temperature (37°C).  
2 Physical gelation occurred due to the formation of a percolated micelle network. RGD was  
3 covalently linked to the hydrophobic PCLA or hydrophilic PEG block to promote cell adhesion,  
4 specifically for studying chondrocyte function. Peptide incorporation facilitated ligand-integrin  
5 interactions and improved cell viability [56]. Additionally, Schaffer and coworkers demonstrated  
6 the use of poly(N-isopropylacrylamide)-co-poly(ethylene glycol) (PNIPAAm-PEG) for 3D cell  
7 culture of hPSCs utilizing the inverse solubility of the PNIPAAm block upon heating to form  
8 and subsequently dissolve hydrogels during culture and expansion of hPSCs [57]. This example  
9 will be discussed further in section 3.2.1. Combining polymeric and assembling peptide systems,  
10 Chmielewski and coworkers developed an 8-arm ‘block copolymer’ where each arm contains  
11 one block of PEG and one block of collagen mimetic peptide (CMP) with 8 repeats of the  
12 proline-hydroxyproline-glycine [(POG)<sub>8</sub>]. The CMP block formed a triple helix through  
13 hydrogen bonding, which served as physical crosslinks and enabled hydrogel formation. hMSCs  
14 were encapsulated in these hydrogels and remained viable for more than 5 days [52].

15 The noncovalent interactions presented here to date mainly have been utilized for the  
16 initial formation of hydrogels. These chemistries lead to physical crosslinks that are inherently  
17 dynamic and, in principle, allow *in situ* rearrangement of linkages based on microenvironment  
18 conditions (e.g., salt concentration, applied force, or other relevant stimuli). Notably, these  
19 reactions generally are orthogonal to many covalent addition and cleavage reactions and have the  
20 potential to be combined with these two groups of reactions to better mimic temporal changes in  
21 the ECM for stem cell culture applications.

### 22 **3. 4D biomaterials for stem cell cultures**

1 Biomaterials that enable 3D cell culture and changes in their biophysical or biochemical  
2 properties over time (i.e., in the fourth dimension) provide tunable microenvironments for stem  
3 cell culture and control of cues for modulating stem cell behavior. These materials mimic aspects  
4 of conditions encountered *in vivo* for probing stem cell differentiation while supporting cell  
5 viability, proliferation, and spreading, as well as enabling the delivery of cells and growth factors  
6 for translating these findings to therapeutic treatments. This section will discuss the utilization of  
7 4D biomaterials for the culture of MSCs, ESCs, iPSCs, and progenitor cells and stem-cell  
8 derived cells.

### 9 ***3.1 Mesenchymal Stem Cells***

10 Mesenchymal stem cells are plastic-adherent cells from the bone marrow and other  
11 tissues that are capable of differentiating into osteoblasts, adipocytes, and chondrocytes, amongst  
12 other lineages [58]. MSCs offer tremendous therapeutic potential owing to their multilineage  
13 differentiation ability, secretion of large amounts of growth factors and other proteins, homing to  
14 injured tissues, and suppression of immune responses from surrounding cells [59]. As a result,  
15 they have been the focus of many recent studies involving 4D hydrogel-based biomaterials,  
16 which are used to modulate MSC adhesion, viability, migration, spreading, and differentiation.

#### 17 ***3.1.1 MSC viability and spreading***

18 One of the major ways MSCs interact with their ECM (and biomaterials that mimic the  
19 ECM) is through adhesive ligands present in ECM proteins. These ligands promote MSC  
20 survival by allowing adhesion to the matrix and can promote cell signaling to direct cell function,  
21 especially through integrins [60]. For 4D biomaterial studies, MSC adhesion can be promoted  
22 either by incorporation of ECM proteins or synthetic peptide mimics into the biomaterial  
23 network (or, in the case of natural materials, already present in the network). For example,

1 Anseth and coworkers, amongst many others, have shown that MSCs adhere, survive, and spread  
2 when cultured in three-dimensions in enzymatically-degradable PEG networks functionalized  
3 with the adhesive ligand RGDS, a common motif found in many proteins that binds to various  
4 integrins including  $\alpha_v\beta_3$  and  $\alpha_5\beta_1$ , which are strongly associated with vitronectin and fibronectin,  
5 respectively [61]. These networks were formed by a photoinitiated thiol–ene reaction of a multi-  
6 arm PEG-norbornene monomer and an enzymatically-degradable peptide (GPQG↓IWGQ; arrow  
7 denotes cleavage site) that cells cleave through MMP secretion, mimicking *in vivo* remodeling of  
8 the ECM. DeForest and Tirrell employed a photoreversible protein patterning approach to  
9 control MSC spreading in specific locations during cell culture in response to the protein  
10 vitronectin [62]. The authors formed PEG-based networks by SPAAC (**Figure 3A**) and  
11 incorporated a photocaged alkoxyamines within the network. After network formation and  
12 subsequent irradiation with a cytocompatible dose of long wavelength UV light, the  
13 alkoxyamines were uncaged for reaction with aldehyde-functionalized proteins by oxime  
14 ligation, permitting spatiotemporal control of protein presentation within the network. Further,  
15 the aldehyde moiety was functionalized with a photodegradable *o*-nitrobenzyl ether group,  
16 allowing removal of the tethered protein-based cue from the network. MSCs were only able to  
17 adhere and spread in the network in the presence of vitronectin, and this interaction promoted  
18 MSC osteogenic differentiation only in regions of the gel where vitronectin was present (**Figure**  
19 **3B**).

### 20 3.1.2 MSC differentiation

21 The regulation and study of MSC differentiation is coupled with the study of adhesion,  
22 since many cues that regulate adhesion and spreading also impact MSC fate, and a variety of  
23 dynamic materials have been developed to study and direct MSC differentiation. For example,

1 Johnstone and coworkers developed an MMP-7-responsive PEG-based hydrogel that enhanced  
2 chondrogenesis of MSCs [63]. MMP-7-sensitive peptide substrates (PLE↓LRA and  
3 VPLS↓LTMG) were built with GGK at the C-terminus, so that both the N-terminus and C-  
4 terminus had accessible amines for modification. The pendant amines of these peptides were  
5 conjugated with acrylate-PEG-succinimidyl carboxymethyl to form the macromer acrylate-PEG-  
6 peptide-PEG-acrylate, which was polymerized by free radical chain polymerization  
7 photoinitiated with Eosin Y to form an MMP-7-sensitive PEG hydrogels. MSCs encapsulated  
8 within these materials produced a more extensive collagen matrix and formed stronger  
9 neocartilage constructs as compared to a non-degradable control. Matrix degradation is not only  
10 critical for protein production but also cell spreading and traction. Burdick and coworkers  
11 explored the effect of degradation-mediated cellular traction on MSC differentiation by using a  
12 4D hydrogel system [31]. Methacrylated HA was reacted with a difunctional MMP-crosslinking  
13 peptides to form a HA-based matrix that cells could remodel, permitting MMP-mediated  
14 degradation, MSC spreading, and osteogenic differentiation. To probe the role of matrix  
15 degradability in differentiation, a secondary photopolymerization was used to chain-polymerize  
16 free methacrylates on HA; this secondary polymerization limited the ability of MSCs to degrade  
17 the HA hydrogel, preventing cell spreading and promoting adipogenic differentiation (**Figure**  
18 **3C**). Notably, secondary crosslinking also was applied temporally after the MSCs had been  
19 cultured for 7 days and already spread; the spread MSCs now entrapped within a non-degradable  
20 matrix differentiated primarily down the adipogenic lineage. Taken together, these results  
21 indicate that MSC fate within covalently crosslinked materials is dictated by the ability of MSCs  
22 to degrade the matrix and generate traction forces, rather than by cell spreading (**Figure 3D**).

1           Dynamic hydrogels also have been used to release growth factors to mediate MSC  
2 differentiation. For example, Alsberg and coworkers formed cell-degradable gelatin  
3 microspheres loaded with TGF- $\beta$ 1 [64]. When the microspheres were cultured with high density  
4 MSC aggregates, the MSCs secreted enzymes that degraded the gelatin, triggering release of the  
5 growth factor. Localized growth factor release enhanced the chondrogenesis of MSCs within the  
6 aggregates. Heilshorn and coworkers used a peptide-based MITCH system containing alginate  
7 microgels to promote adipogenic differentiation of adipose-derived stem cells, which meet many  
8 of the criteria for MSCs [50,65]. The authors encapsulated adipose-derived stem cells, the  
9 growth factor FGF-1, and microspheres containing the growth factor BMP-4 within their  
10 hydrogels. This strategy resulted in immediate exposure of the adipose-derived stem cells to  
11 FGF-1 and delayed exposure to BMP-4 as it was released by hindered diffusion from the alginate  
12 microspheres; this sequential growth factor release enhanced adipogenesis compared to  
13 simultaneous presentation of either or both growth factors.

### 14 *3.1.3 MSC migration and delivery for therapeutic applications*

15           Another major opportunity for therapeutic use of MSCs comes from their ability to  
16 migrate to injured tissues, including the brain, heart, and lung, after injection or injury [66].  
17 Hydrogel-based ECM mimics facilitate studying this process *in vitro* toward a greater  
18 fundamental understanding of the mechanism and regulators of MSC migration. For example,  
19 Lutolf and coworkers formed hydrogels from multi-arm PEG vinyl-sulfone, the MMP-  
20 degradable peptide GCRDGPQG↓IWGQDRCG, and a substrate for the enzyme factor XIIIa that  
21 was protected by a light-sensitive cage (FK(Nvoc)GGERCG) [67]. By irradiating the hydrogel  
22 with a 30 mW 405 nm diode laser, the authors were able to site- and time-specifically cleave the  
23 Nvoc protecting group, making the lysine accessible for conjugation to recombinantly-expressed

1 proteins containing the other factor XIIIa substrate (NQEQVSPL). The authors used this  
2 photouncaging approach to add RGD, a recombinant fibronectin fragment, and platelet derived  
3 growth factor B, each engineered to contain the NQEQVSPL substrate, to specific regions of the  
4 hydrogel. In each case, significantly greater MSC migration was observed in the patterned  
5 regions than the unpatterned regions. Anseth and coworkers characterized human MSC  
6 migration through hydrogels formed with multi-arm PEG monomers and MMP-degradable  
7 crosslinking peptides (KCGPQG↓IWGQCK) [68]. Microrheology was used to quantify cell-  
8 matrix interactions during cell remodeling. The authors showed that the hydrogel was degraded  
9 in areas relatively far from the cell, due to the fact that the diffusion of cell-secreted MMPs is  
10 faster than MMP cleavage of the substrate. More broadly, this study provides insight into the  
11 mechanism of MSC migration within covalently crosslinked, cell-degradable hydrogels: MSCs  
12 actively degrade the region surrounding the cell at short timescales (tens of minutes after  
13 encapsulation), whereas, at longer timescales, the region surrounding the cell is degraded,  
14 leading to an increased MSC migration rate.

15         Rather than having MSCs migrate to injured tissues, 4D biomaterials also have been  
16 designed to locally release MSCs. Kasko and coworkers co-polymerized PEG-based hydrogels  
17 with monomers containing different *o*-nitrobenzyl ether groups that exhibit different rates of  
18 photocleavage and, consequently, hydrogel degradation [36]. By taking advantage of differences  
19 in the rates of degradation, the authors temporally controlled the release of two distinct  
20 populations of viable MSCs (expressing different fluorescent proteins). In another approach to  
21 MSC delivery, Mooney and coworkers encapsulated rapidly degrading, hydrolytically-sensitive  
22 alginate porogens and MSCs within slowly degrading, high molecular weight alginate hydrogels  
23 [69]. During culture, the porogens degraded, creating interconnected pores within the high

1 molecular weight alginate hydrogels that enabled cell migration and release. Complete MSC  
2 release could be tuned from ~ 5 to 60 days based on porogen design parameters. This hydrogel  
3 was applied in a rat model for the delivery of MSCs to cranial defect sites; hydrogels with an  
4 elastic modulus (E) of ~ 60 kPa led to the most effective bone repair by transplanted MSCs.

### 5 ***3.2 Induced pluripotent (iPSC) and Embryonic (ESC) stem cells***

6 Embryonic stem cells are pluripotent and have the ability to differentiate into all tissue  
7 cell types, which consist of ectoderm, endoderm, and mesoderm lineages. Induced pluripotent  
8 stem cells, originally developed by Takahashi and coworkers, are cells that have been  
9 reprogrammed from terminally differentiated cells, such as skin fibroblasts, into a new  
10 pluripotent cell with the ability to self-renew and differentiate into all cell lineages, much like  
11 ESCs [70]. These stem cells are versatile and can be derived from individual patients with  
12 potential uses in tissue engineering, regenerative medicine, and human disease models. Viability  
13 and growth of ESCs and iPSCs *in vitro* depends on many factors, including the culture substrate,  
14 typically a thin-film of Matrigel or a cell feeder layer, and cell morphology and density, typically  
15 colonies of cells in two-dimensional culture or three-dimensional cell clusters known as  
16 embryoid bodies (EBs) [5]. Researchers are actively designing well-defined dynamic hydrogels  
17 for the 3D culture of pluripotent stem cells (PSCs [both ESCs and iPSCs]) to enable their  
18 proliferation, expansion, and differentiation, which will be further discussed in this section.

#### 19 ***3.2.1 iPSC and ESC proliferation and expansion***

20 The effects of matrix integrin binding, degradability, and crosslink density on ES and iPS  
21 cell viability and proliferation have been examined within 4D synthetic matrices toward  
22 regulating these processes for stable expansion of pluripotent cells. Hubbell and coworkers  
23 screened integrins present on mouse ESCs and subsequently characterized how

1 microenvironmental factors influenced stem cell renewal and pluripotency in a synthetic  
2 hydrogel. Four integrins were identified on these ESCs ( $\alpha_5\beta_1$ ,  $\alpha_v\beta_5$ ,  $\alpha_6\beta_1$ , and  $\alpha_9\beta_1$ ), and to  
3 activate them within 3D culture, the synthetic adhesion peptides RGDSP, TTSWSQ, and  
4 AEIDGEIL were incorporated within an MMP-degradable (Ac-GCRGD-GPQG↓IWGQ-DRCG-  
5 NH<sub>2</sub>) PEG vinyl-sulfone (PEG-VS) hydrogel [71]. This synthetic 4D microenvironment  
6 supported ESC pluripotency (OCT4+ marker) and viability for three weeks without passage,  
7 similar to ESCs cultured on a cell feeder-layer. With this approach, integrins linked to activation  
8 of specific signaling pathways associated with ‘stemness’ were identified: specifically, Akt1  
9 signaling activated by integrin  $\alpha_6\beta_1$  was observed to be critical for stem cell self-renewal.  
10 Similarly, Lim and coworkers used MMP-2 degradable 3-, 4-, and 8-arm PEG-VS hydrogels to  
11 examine the effect of crosslink density and degradability on the viability and proliferation of  
12 three human ESC lines (H1, H9, and Novo hESCs) [72]. Compared to feeder layer 2D culture,  
13 culture within the 8-arm PEG-VS degradable matrix resulted in higher proliferation, larger cell  
14 cluster size, and increased pluripotency (NANOG, KLF4, SOX2 markers) for H9 ESCs. The 3-  
15 arm PEG-VS did not support cell growth, indicating stem cell viability is affected by the  
16 mechanical properties or density of the crosslinked hydrogel. Proliferation rates of the three  
17 hESC lines were similar in the 8-arm hydrogel, but varied when cultured on feeder-layer 2D  
18 substrates, indicating the control of stem cell growth and proliferation afforded by 4D hydrogel-  
19 based cultures.

20        Looking at microenvironmental cues more broadly, Lutolf and coworkers recently  
21 developed a method that utilizes a nanoliter liquid-dispensing robot to examine the effects of  
22 over 1,000 unique 3D microenvironments on regulating self-renewal of ESCs (with a OCT4-  
23 GFP reporter) [73]. This microarray platform provided a systems-level understanding of dynamic



1 3D environments by examining matrix elasticity ( $E \sim 300$  to  $5,400$  Pa), proteolytic degradability,  
2 ECM proteins (Collagen IV, Fibronectin, and Laminin), cell-cell interactions (E-Cadherin,  
3 Jagged, and EpCAM), cell density (25 to 200 cells/well), and soluble factors (FGF4, BMP4, and  
4 LIF) on cell-matrix interactions. Enzyme-activated transglutaminase factor XIIIa was used to  
5 crosslink PEG macromers decorated with reactive peptide sequences to MMP-cleavable peptides  
6 with different degradability (Ac-FKGGVPMS  $\downarrow$  MRGGERGG-NH<sub>2</sub>, GPQG  $\downarrow$  IWGQ, GPQG  
7  $\downarrow$  IAGQ, and GDQG  $\downarrow$  IAGF, listed with decreasing degradation rate). A generalized linear  
8 model was used to quantify and rank factors that significantly affected ESC self-renewal and  
9 proliferation. The most influential interactions (from most influential to least) were soluble  
10 factors, matrix degradability and stiffness, and tethered proteins and cell density. ESC  
11 proliferation was highest when cultured with the soluble factor LIF (leukemia inhibitory factor)  
12 in a degradable matrix, and ESC self-renewal was most enhanced when cultured with LIF at a  
13 high cell density. Cell-cell interaction proteins (i.e., EpCam) decreased proliferation and self-  
14 renewal of encapsulated ESCs, which is in contrast with previously shown support of ESC self-  
15 maintenance in 2D culture. This difference between 2D and 3D culture suggests that pathways  
16 regulated by cell-cell interaction proteins could be overridden by other factors in dynamic 3D  
17 environments. Hydrogels were degraded for downstream cell analyses with qRT-PCR and flow  
18 cytometry to assess early germ layer markers. Changes in matrix stiffness resulted in  
19 upregulation of ectoderm lineage (*Map2*). This high-throughput method enabled the  
20 identification of a range of microenvironmental properties that are influential for stem cell  
21 growth and proliferation.

22 Expansion of human PSCs (hPSCs) can enable large-scale industrial utilization of stem  
23 cells in clinical development for biomedical applications. To address the challenge of hPSC

1 expansion, Schaffer and coworkers developed a fully defined and scalable 4D culture system  
2 using a non-covalent thermoreversible hydrogel, poly(*N*-isopropylacrylamide)-co-poly(ethylene  
3 glycol) (PNIPAAm-PEG) [57,74]. These hydrogels dissolved at lower temperatures, when the  
4 polymer network becomes more hydrophilic, and reformed at high temperatures to encapsulate,  
5 passage, and expand stem cells. Every passage resulted in ~10- or 20-fold expansion over 4 or 5  
6 days, and long-term culture resulted in a cumulative  $\sim 10^{72}$ -fold expansion with ~95% of cells  
7 remaining pluripotent (Oct4+) at day 280 (**Figure 4A**). Additionally, EBs cultured in the  
8 thermoreversible hydrogels were capable of differentiation into dopaminergic (DA) neurons.  
9 These thermoreversible 3D hydrogels supported large-scale expansion of stem cells and  
10 ultimately neuronal differentiation.

### 11 *3.2.2 iPSC and ESC differentiation*

12 The ability of iPSCs and ESCs to differentiate into any cell type makes them attractive  
13 for regenerative medicine applications and therapeutic delivery. As observed with regulating  
14 proliferation, differentiation of hPSCs in 3D matrices depends on multiple factors, including  
15 matrix biomechanical properties, degradability, diffusivity of growth factors, biochemical  
16 functionality, and regulation of cell size and shape. An earlier study by Vunjak-Novakovic and  
17 coworkers, used HA hydrogels for angiogenic differentiation of encapsulated hESC EBs [75].  
18 EBs were differentiated with the addition of VEGF in the culture medium. After one week, cells  
19 showed a sprouting morphology and stained positive for the smooth muscle cell marker  $\alpha$ -  
20 smooth muscle actin, indicating angiogenic differentiation. Dynamic hydrogels also have been  
21 used to direct differentiation of size-controlled EBs by recapturing aspects of the  
22 microenvironment geometry present during development. Khademhosseini and coworkers  
23 encapsulated EBs in RGD-modified MMP-sensitive PEG hydrogels (RGD-PEG) formed by

1 acrylate chemistry to determine the effect of EB size on endothelial and cardiac differentiation  
2 [76]. Microwell arrays within a PEG hydrogel were prepared using a PDMS stamp and seeded  
3 with ESCs, controlling the size of EBs formed (either 150  $\mu\text{m}$  or 450  $\mu\text{m}$  in diameter). After  
4 formation, the EBs were collected and encapsulated within the cell-degradable hydrogels. Larger  
5 EBs of 450  $\mu\text{m}$  encapsulated in RGD-PEG hydrogels differentiated into endothelial cells;  
6 however, EBs of 450  $\mu\text{m}$  encapsulated without RGD differentiated into cardiomyocytes.  
7 Endothelial differentiation was observed with 150  $\mu\text{m}$  EBs in both PEG and RGD-PEG  
8 hydrogels, but enhanced endothelialization was observed in RGD-PEG hydrogels. Taken  
9 together, EB size and matrix functionality influence lineage-specific differentiation.

10 Physical constraints present during embryogenesis also can be emulated by 4D hydrogels.  
11 Healy and coworkers used PEG substrates to spatially constrain iPSCs and probe the influence of  
12 mechanical stress on their differentiation. Specifically, PEG substrates were stamped to form  
13 wells coated only at the bottom with vitronectin or fibronectin, and these wells were seeded with  
14 iPSCs. The physical constraint provided by the PEG wells caused cell condensation, a  
15 confinement of cells to bias cell migration and ultimately direct differentiation [77]. Cells in the  
16 center of the well showed biased migration radially outward, whereas cells on the edge migrated  
17 in a random-walk pattern. Additionally, cells at the perimeter differentiated into myofibroblasts,  
18 whereas cells at the center differentiated into cardiomyocytes that grew outward and contracted,  
19 indicating a beating cardiomyocyte (**Figure 4B**). This 4D culture resulted in formation of an *in*  
20 *vitro* cardiac microchamber to mimic human heart development and illustrates how biophysical  
21 cues can be utilized for cell lineage differentiation.

### 22 ***3.3 Progenitor Cells and Stem Cell-Derived Cells***

1 Progenitor cells are found in different tissues throughout the body and can differentiate to  
2 form one or more cell types in response to microenvironment cues, but unlike stem cells, cannot  
3 divide indefinitely. For example, neural progenitor cells (NPCs) are found in the brain and  
4 capable of self-renewal and primarily differentiating into neurons, astrocytes, and  
5 oligodendrocytes, whereas alveolar type II epithelial cells are found in the alveoli of the lung and  
6 capable of self-renewal and differentiation into alveolar type I epithelial cells. Additionally,  
7 human stem cells have been differentiated into specific cell types *in vitro* to provide access to  
8 and allow studies of various cell types that otherwise must be acquired invasively (i.e., neuronal  
9 subtypes from different regions in the brain). Broadly, progenitor cells and stem cell-derived  
10 cells are more specialized but show great promise in regenerative medicine and studies of disease  
11 pathology. 4D biomaterials can recapture key features of relevant microenvironments for these  
12 cells, supporting cell viability and differentiation and probing of factors that regulate relevant  
13 cellular functions, as well as provide a dynamic structure for delivery of these cells *in vivo*. This  
14 section will focus on studies of neural progenitors, cardiac progenitors, and neurons and  
15 endothelial cells derived from stem cells.

### 16 *3.3.1 Viability of and neurite outgrowth from NPCs and ESC-derived cells*

17 NPCs are some of the most widely studied progenitors owing to their promise for  
18 regenerating specific neural tissues and studying neurodegenerative diseases. Dynamic 3D  
19 biomaterial-based culture systems have been designed to control relevant mechanical and  
20 biochemical properties for mimicking aspects of neural tissue to support NPC viability and  
21 differentiation. For example, laminin is a critical structural ECM protein of neural tissue [78];  
22 synthetic peptide sequences RGD, YIGSR, and IKVAV have been incorporated within soft,  
23 well-defined hydrogel-based matrices to mimic key biological functions of laminin-rich neural

1 tissue. Segura and coworkers optimized concentrations of these peptides for viability and  
2 differentiation of neural progenitor cells derived from iPSCs (iPS-NPCs). iPS-NPCs were  
3 encapsulated in 3D MMP-degradable (Ac-CGRDGPQG↓IWGQDRCG-NH<sub>2</sub>) HA hydrogels  
4 decorated with these integrin-binding peptides [79]. IKVAV was observed to have the greatest  
5 effect on iPS-NPC viability, and iPS-NPCs subsequently were differentiated into DA neurons  
6 within these hydrogels. Compared to differentiation of iPS-NPCs on 2D substrates, the optimized  
7 3D hydrogel was beneficial and promoted earlier neuronal differentiation.

8         Neurite extension or axon outgrowth is important in the formation of synapses for neural  
9 circuit functionality. Neurite outgrowth from embryonic stem cell-derived motor neurons  
10 (ESMNs) in 3D culture was examined by Anseth and coworkers in thiol-ene 4-arm PEG  
11 hydrogels functionalized with the peptides CKKKKKK and CYIGSR and crosslinked with an  
12 MMP-degradable crosslinker (KCGPQG↓IWGQCK) [80]. The degradable hydrogels supported  
13 EB ESMN viability and allowed significantly greater neurite extension, with an average length  
14 of  $148 \pm 14 \mu\text{m}$ , than non-degradable gels. Additionally, a soft modulus ( $G' \sim 330 \text{ Pa}$ ) promoted  
15 the most motor axon outgrowth. Building upon this to further examine parameters that influence  
16 axon extension and the formation of neural circuits, EB ESMNs were co-encapsulated with  
17 C2C12 myoblast cells in a photodegradable *o*-nitrobenzoyl azide (NBA)-functionalized PEG  
18 hydrogel formed by a SPAAC reaction [37]. NBA-functionalized hydrogels enabled user control  
19 of matrix degradation with single-photon long wavelength UV or two-photon NIR irradiation.  
20 Channels were eroded within the matrix to investigate whether biochemical or physical cues  
21 influence the path of axon extension. To test the affect of physical constraints on axon extension,  
22 pathway options without biochemical cues were presented to extending neurites, and most motor  
23 axons were observed to extend toward a 0° or 45° direction. Next, using two-photon irradiation,

1 channels were eroded between encapsulated ESMNs and C2C12s, connecting the two cell types  
2 toward the formation of neuromuscular junctions; these junctions are observed *in vivo* and are  
3 usually damaged after a traumatic injury. After two days, synapse formation was observed  
4 between ESMNs and C2C12s (**Figure 4C**). This tunable and degradable material permitted  
5 neurite extension in three dimensions, coencapsulation, and neural network formation over time  
6 and demonstrates the utility of 4D biomaterials for hypothesis testing and directing progenitor  
7 cell functions.

### 8 *3.3.2 Differentiation of neural progenitor cells*

9 As observed with stem cells, NPC differentiation is influenced by biochemical cues,  
10 mechanics, and degradability of the surrounding matrix. Werner and coworkers examined the  
11 effect of RGD functionality, growth factor sequestration, and hydrogel crosslink density on  
12 neuronal differentiation of NPCs. The biohybrid hydrogel was composed of RGD functionalized  
13 star-PEG covalently crosslinked with heparin to promote FGF-2 sequestration [81]. The  
14 crosslink density was varied by the PEG to heparin ratio to test the effect of modulus on the rate  
15 of growth factor diffusion, where  $E$  ranged from  $0.18 \pm 0.01$  kPa to  $4.45 \pm 0.62$  kPa correlating  
16 with a mesh size of 292 nm to 103 nm, respectively. The highest crosslink density/modulus  
17 hydrogel reduced cell viability; however, crosslink density did not influence FGF-2 sequestration  
18 due to the strong affinity of FGF-2 for heparin. Hydrogels functionalized with RGD and heparin  
19 resulted in increased differentiation of NPCs into neurons when compared to non-functionalized  
20 hydrogels. These results indicate that matrix biofunctionality and growth factor sequestration  
21 play a more significant role in neural differentiation than modulus of these materials within the  
22 property ranges examined.

1           In a parallel study, Schmidt and coworkers showed how the degradability of  
2 methacrylated HA (MAHA) hydrogels promoted NPC migration and differentiation into neurons  
3 [82]. The compressive modulus of these hydrogels was varied from  $1.5 \pm 0.03$  kPa to  $7.2 \pm 0.03$   
4 kPa. The softest hydrogels promoted NPC differentiation into dopaminergic neurons, and the  
5 stiffest hydrogels promoted NPC differentiation into astrocytes. Migration of NPCs within these  
6 hydrogels was inhibited by the highly crosslinked networks and their corresponding small mesh  
7 size that restricted cell movement. Based on these observations, the authors hypothesized that  
8 differentiating NPCs first extend neurite processes and then migrate through the hydrogel as the  
9 hydrogel degrades and the mesh size increases over time. These results suggest that promoting  
10 neurite extension in soft moduli hydrogels can be utilized to enhance neuronal differentiation.  
11 Commonalities and differences in the findings of these two examples illustrate how the structure  
12 and biochemical content of biomimetic microenvironments influence NPC differentiation. More  
13 broadly, further studies such as these using 4D biomaterials may aid in determining the influence  
14 of different microenvironmental factors on the differentiation of other types of progenitor cells.

### 15 *3.3.3 Delivery of cells in vivo*

16           Maintaining viability of cells after delivery *in vivo* is a current issue for regenerative  
17 medicine therapies. Dynamic hydrogels have improved cell survival and differentiation after *in*  
18 *vivo* transplantation by protecting them from damage during injection through syringe needles.  
19 For example, using the MITCH assembly strategy (see section 2), Heilshorn and coworkers  
20 formed hybrid hydrogels for 3D cell culture using multi-armed PEG conjugated with proline-rich  
21 peptides and proteins containing C7 and RGD domains [83]. These MITCH-PEG hydrogels  
22 exhibit thixotropy, or the ability to shear-thin and self-heal. The authors hypothesized  
23 thixotropic hydrogels could increase cell viability for iPSC-derived endothelial cells (hiPSC-

1 ECs) during syringe injection. Additionally, vascular endothelial growth factor (VEGF) was  
2 added to the gel-forming mixture, and crosslink density and binding domains were varied to  
3 control the rate of gel erosion and VEGF release after injection. These hydrogels were observed  
4 to protect cells from damage during injection (i.e., increased cell viability relative to controls)  
5 and promoted muscle tissue regeneration with the co-delivery of hiPSC-ECs and VEGF *in vivo*.

6 Healy and coworkers have used Matrix Assisted Cell Transplantation (MACT) hydrogels  
7 to evaluate adhesive peptide functionality, mechanical properties, and growth factor  
8 sequestration for survival, differentiation, and capillary tube formation of cardiac progenitor cells  
9 (CPCs) after transplantation [84]. Acrylated HA was reacted by Michael-type addition with a  
10 *bis*-cysteine MMP-degradable crosslinker (CQPQGLAKC) and different combinations of thiol-  
11 modified RGD or heparin (HyA-PHT hydrogel). With a high affinity for heparin, TGF $\beta$ 1 was  
12 added to the gel-forming solution to promote endothelial differentiation. CPCs cultured in HyA-  
13 PHT gels differentiated into endothelial cells and formed of a tubular network *in vitro* and *in vivo*  
14 upon transplantation, with the largest network forming in high moduli gels (850 Pa) with an  
15 RGD density of 380  $\mu$ M. These results support the promise of 4D hydrogel-based materials for  
16 both *in vitro* differentiation and *in vivo* delivery of progenitor cells.

17

#### 18 **4. Conclusion**

19 4D hydrogel-based biomaterials permit in-depth studies of complex stem cell processes.  
20 With a variety of chemistries including covalent addition, non-covalent interactions, and  
21 degradation, a wide range of dynamic materials have been constructed for the culture of cells in  
22 three dimensions. These biocompatible materials allow controlled studies of how matrix  
23 properties regulate stem cell viability, proliferation, and differentiation and enable *in vitro*



1 studies of specific phenomena during morphogenesis. Additionally, these materials are  
2 promising for regenerative medicine applications by improving transplantation methods for the  
3 co-delivery of cells and growth factors to sites of injury. As research progresses to examine  
4 more specific biological processes, 4D biomaterials provide a platform for stem cell-derived *in*  
5 *vitro* model systems to study specific processes during regeneration or disease progression.

6

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33  
34

1 **Figure Captions**

2 **Figure 1. Changes in stem cell microenvironments *in vivo* captured by 4D biomaterials *in***  
3 ***vitro*.**

4 **A)** The microenvironment of stem cells in the body changes with time. These changes have been  
5 observed to modulate cellular fate and functions, such as *i)* migration in response to gradients of  
6 matrix density/stiffness, *ii)* proliferation in response to matrix remodeling, and *iii)* differentiation  
7 in response to soluble factors (e.g., growth factors). **B)** Creating materials that capture such  
8 changes aids in the study of how cells respond to microenvironment remodeling events, such as  
9 wound healing or disease progression, toward ultimately directing these processes. For example,  
10 4D hydrogel-based biomaterials have been engineered to enable *i)* changes in the mechanical  
11 properties of synthetic matrices by the addition or removal of crosslinks, influencing cell  
12 migration throughout the materials. At higher crosslink densities and moduli, cells have been  
13 entrapped within hydrogel-based matrices (left), whereas at lower crosslink densities and moduli  
14 cell migration has been observed (right). *ii)* Variation in biochemical content within the  
15 hydrogels through addition or removal of biochemical moieties (e.g., integrin-binding peptides or  
16 protein fragments) has been observed to promote cell proliferation. *iii)* Addition or sequestration  
17 of growth factors swollen within or tethered to the synthetic matrix has been observed to regulate  
18 stem cell differentiation. Note, these examples are meant to be representative, rather than  
19 comprehensive, of the ways 4D biomaterials have and can be used to probe stem cell processes;  
20 many extracellular cues influence multiple cellular functions (e.g., growth factors can influence  
21 migration, proliferation, and differentiation).

22 **Figure 2. Chemistries to form and modify hydrogels for 4D culture of stem cells. A)** ‘Click’  
23 reactions, which occur under mild conditions and proceed close to 100% conversion, often have  
24 been used to form and modify hydrogels-based biomaterials in the presence of stem cells. These  
25 reactions have been used individually or in combination with each other. Here, a representative  
26 sample of the different functional groups and their reactions that have been used for hydrogel  
27 formation or modification in the presence of cells are noted: *i)* SPAAC, *ii)* thiol–ene and thiol–  
28 yne, *iii)* Diels-Alder cycloaddition, and *iv)* oxime ligation. **B)** Further, degradation or cleavage  
29 reactions have been used to change the properties of hydrogels during culture through the  
30 removal of crosslinks or pendant groups with *i)* preprogrammed hydrolysis, *ii)* cell-driven  
31 enzymatic hydrolysis, or *ii)* externally-triggered photolysis. **C)** Non-covalent interactions also  
32 have been used to form assembled hydrogels for use as dynamic stem cell culture matrices: *i)*  
33 ionic interactions, *ii)* hydrophobicity, and *iii)* hydrogen bonding.

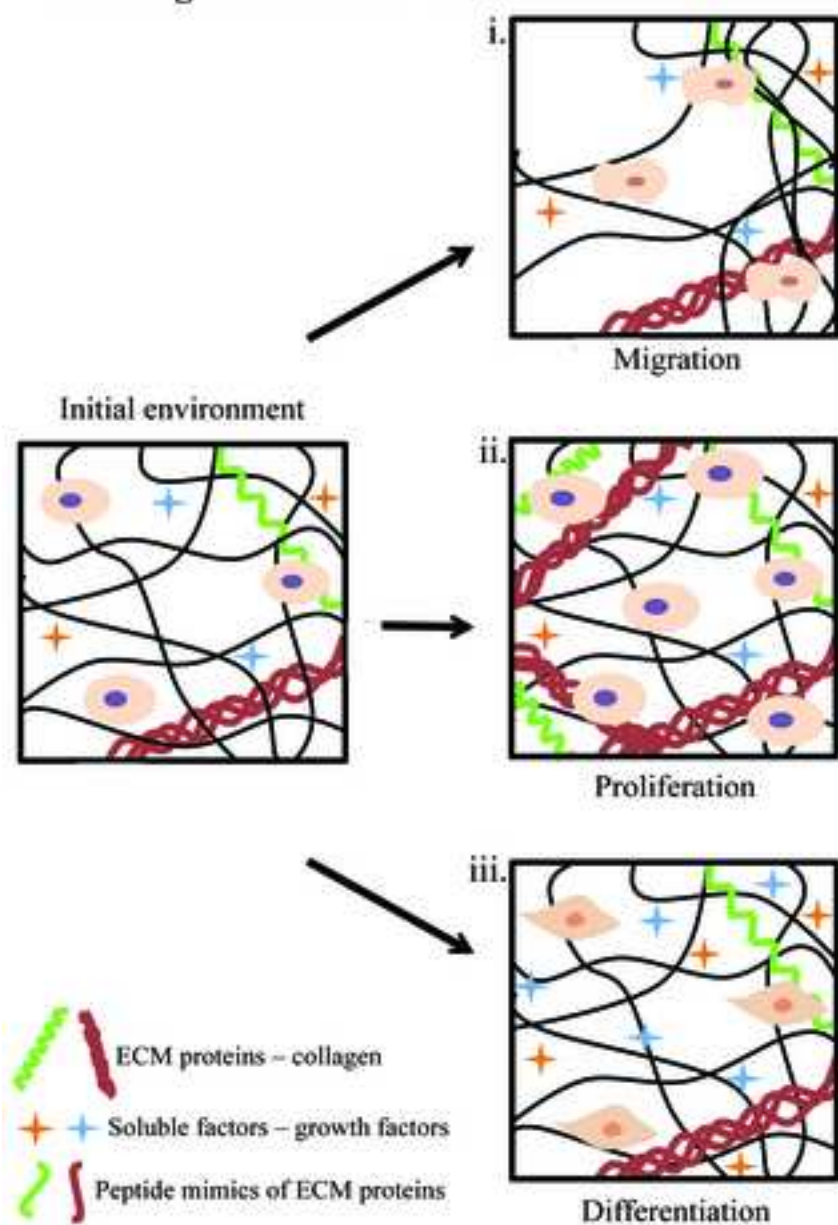
34  
35 **Figure 3. 4D biomaterials for MSC culture. A)** Photoreversible patterning of the protein  
36 vitronectin within well-defined hydrogel-based matrices controls encapsulated MSC  
37 differentiation. PEG-based hydrogels were formed by strain promoted azide-alkyne  
38 cycloaddition and **B)** patterned with vitronectin (dashed line regions), which promoted  
39 osteogenic differentiation (green stain indicates cells expressing the osteogenic marker  
40 osteocalcin). The regions of the hydrogel with no vitronectin exhibit limited MSC interaction  
41 with the matrix (e.g., rounded cell morphology) and limited osteogenic differentiation (no green),  
42 where all of the MSCs were stained with CellTracker Red. Adapted from DeForest and Tirrell  
43 with permission from Nature Publishing Group [62]. **C)** MMP-degradable HA hydrogels that  
44 allow MSC spreading and traction force generation (-) promote osteogenic differentiation. After



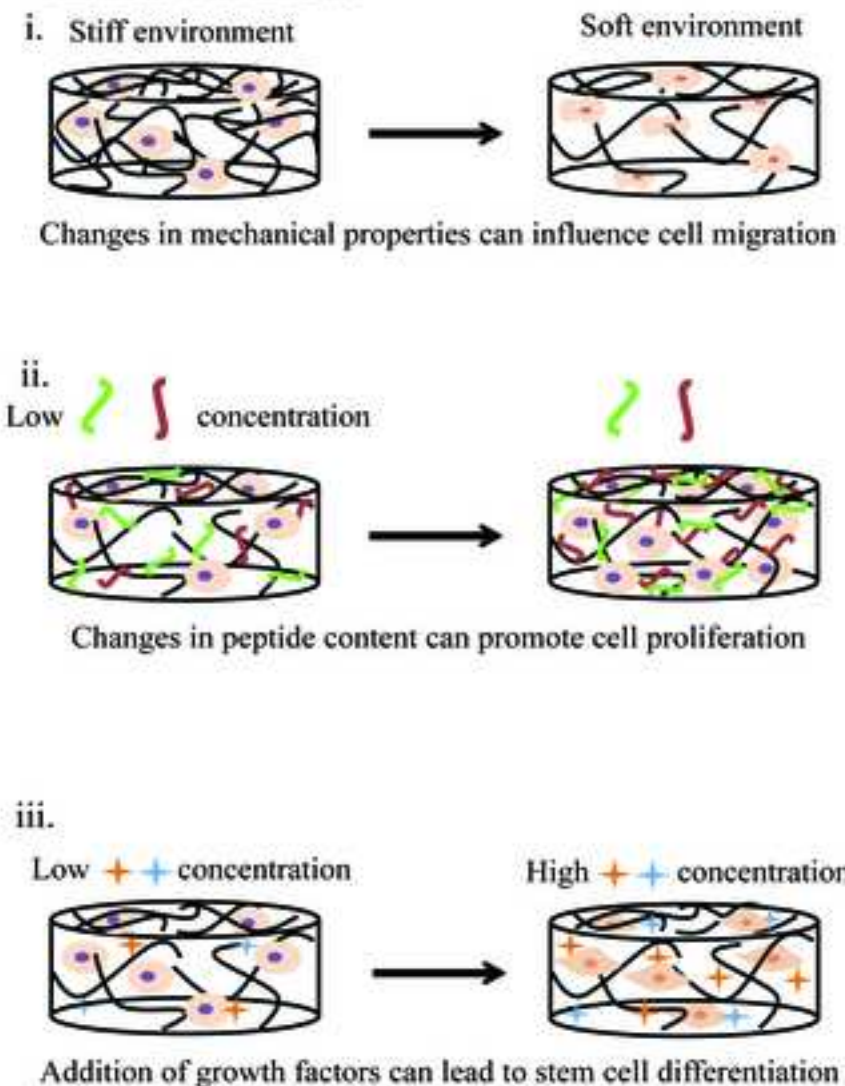
1 secondary crosslinking at day 7 (D7 UV), spread cells are unable to degrade the matrix, affecting  
2 their ability to generate traction forces, and **D**) promoting differentiation into adipocytes instead  
3 of osteoblasts. Adapted from Burdick and coworkers with permission from Nature Publishing  
4 Group [31].

5  
6 **Figure 4. Stem and progenitor cell processes in 4D biomaterials.** **A)** Long term expansion of  
7 hPSCs has been achieved in thermoreversible PNIPAAm-PEG hydrogels. Seven hPSC lines  
8 were cultured for multiple passages within these materials with ~ 95% pluripotency, indicated by  
9 Oct4 expression. The longest hPSC line passage accumulated to ~  $10^{72}$ -fold expansion at 60  
10 passages or 280 days. Adapted from Lei and Schaffer with permission from Proceedings of the  
11 National Academy of Sciences USA PNAS [57]. **B)** 3D cardiac microchamber was formed by  
12 confinement of iPSCs within PEG microwells. Cell-laden PEG microwells (top) were fabricated  
13 by PEG polymerization, PDMS mask and etching of the PEG film, coating of wells, and seeding  
14 of cells. Cells (bottom, nuclei blue) in the center differentiated into cardiomyocytes, as indicated  
15 by sarcomeric  $\alpha$ -actinin stain (red). Cells along the perimeter differentiated into myofibroblasts,  
16 as indicated by calponin stain (green). x-z and y-z cross section projections (above and to the  
17 left) show the inner void space, indicating a 3D cardiac microchamber. Adapted from Healy and  
18 coworkers with permission from Nature Communications Publishing Group [77]. **C)** EB ESMNs  
19 were co-encapsulated with C2C12 cells within a photodegradable hydrogel. Channels ( $10\ \mu\text{m}$   
20  $\times 10\ \mu\text{m}$ ) were degraded between these two cell types using a 740-nm two-photon laser to cleave  
21 the *o*-nitrobenzyl-containing crosslinker (left). At two days, synapses (stained with alpha-  
22 bungarotoxin, red) were observed between motor axon extensions (green) and myotubes (right).  
23 Adapted from Anseth and coworkers with permission from Biomacromolecules the American  
24 Chemical SocietyACS Publications [37].

**A. Changes in stem cell microenvironment *in vivo***

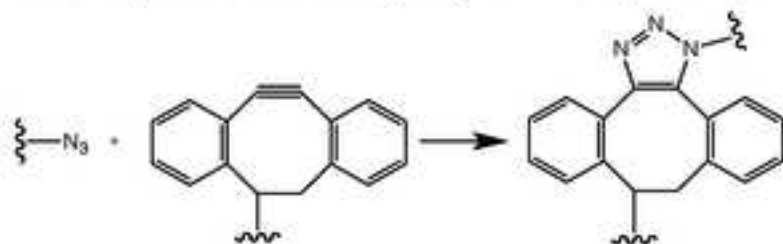


**B. 4D biomaterials to mimic dynamic *in vivo* stem cell microenvironments**

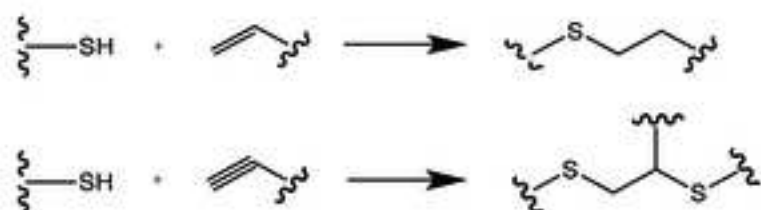


## A. Addition Reactions

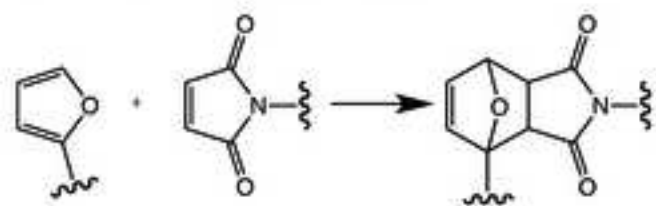
### i. Strain promoted azide-alkyne cycloaddition (SPAAC)



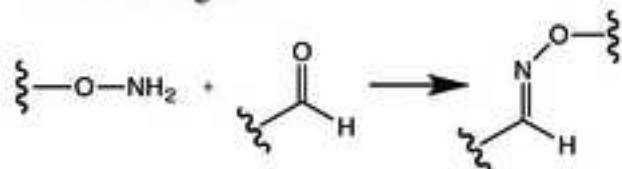
### ii. Thiol-ene and thiol-yne



### iii. Diels-Alder cycloaddition



### iv. Oxime Ligation



## B. Cleavage Reactions

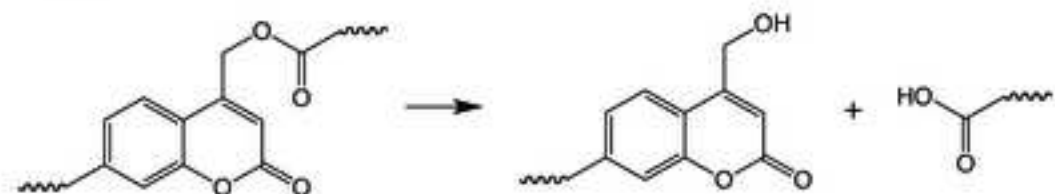
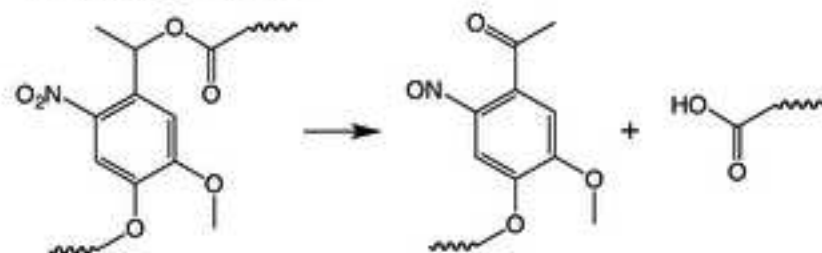
### i. Ester hydrolysis



### ii. Enzymatic cleavage

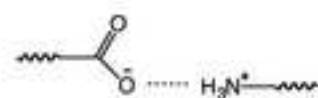


### iii. Photodegradation

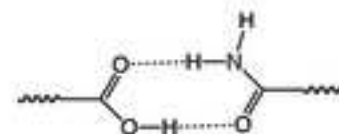


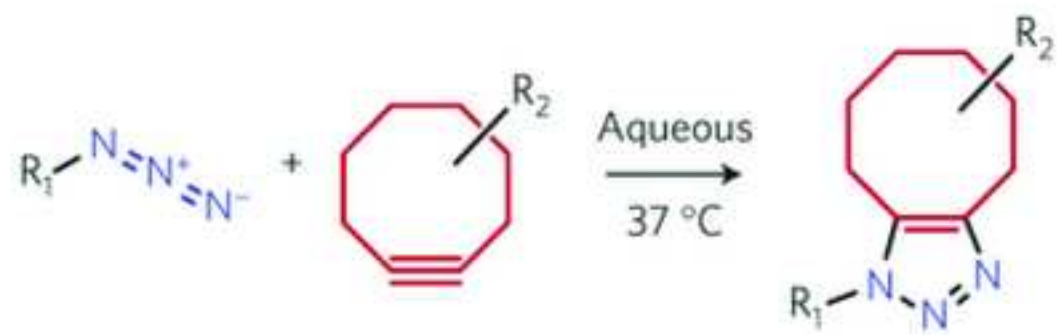
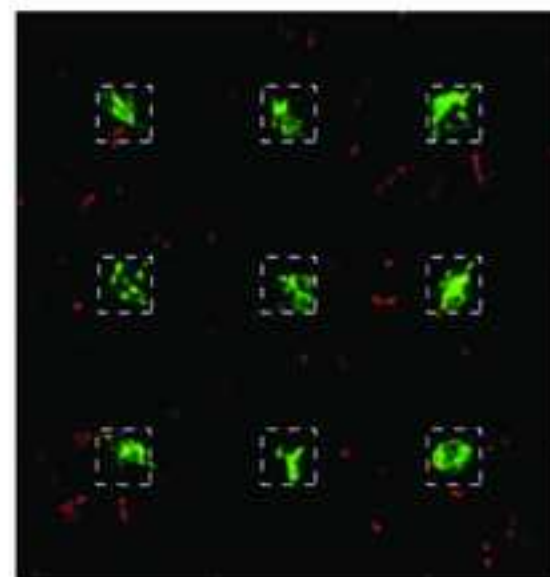
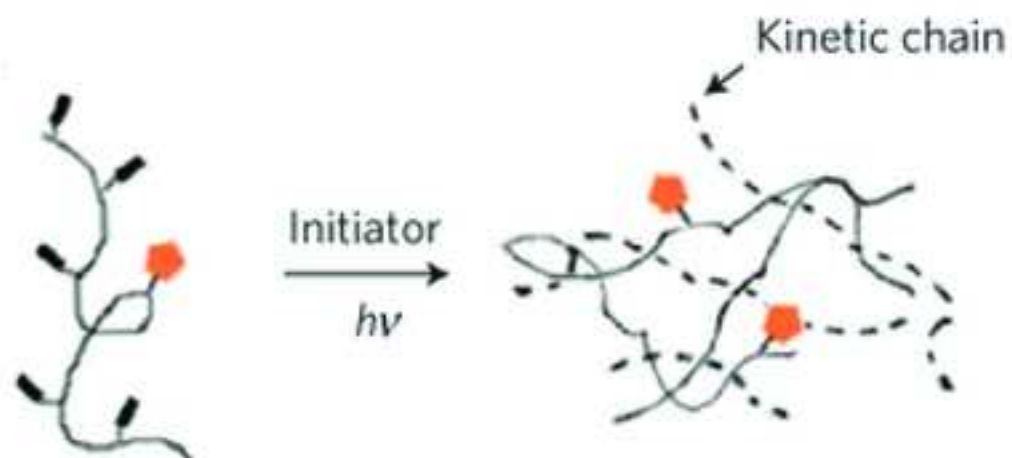
## C. Non-covalent interactions

### i. Ionic interactions



### ii. Hydrophobic interactions



**A****B****C****D**