

## BLASCHKE PRODUCTS AND RATIONAL FUNCTIONS WITH SIEGEL DISKS

KOH KATAGATA

ABSTRACT. Let  $m$  be a positive integer. We show that for any given real number  $\alpha \in [0, 1]$  and complex number  $\mu$  with  $|\mu| \leq 1$  which satisfy  $e^{2\pi i\alpha} \mu^m \neq 1$ , there exists a Blaschke product  $B$  of degree  $2m + 1$  which has a fixed point of multiplier  $\mu^m$  at the point at infinity such that the restriction of the Blaschke product  $B$  on the unit circle is a critical circle map with rotation number  $\alpha$ . Moreover if the given real number  $\alpha$  is irrational of bounded type, then a modified Blaschke product of  $B$  is quasiconformally conjugate to some rational function of degree  $m + 1$  which has a fixed point of multiplier  $\mu^m$  at the point at infinity and a Siegel disk whose boundary is a quasicircle containing its critical point.

### 1. Introduction

Let  $f : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$  be a rational function of degree  $d \geq 2$ . In the theory of the complex dynamics, there are two important sets called the *Fatou set* and the *Julia set*. The Fatou set  $F(f)$  is the set of normality in the sense of Montel for the family  $\{f^n\}_{n=1}^{\infty}$ , where  $f^n = f \circ \cdots \circ f$  is  $n$  iterates of  $f$ . The Julia set  $J(f)$  is the complement  $\widehat{\mathbb{C}} \setminus F(f)$ . A solution  $z_0$  of the equation  $f(z) = z$  is called a *fixed point* of  $f$  and  $\lambda = f'(z_0)$  is called the *multiplier* of  $z_0$  if  $z_0 \in \mathbb{C}$ . The multiplier of  $z_0 = \infty$  is defined as the multiplier of the origin for  $\psi \circ f \circ \psi^{-1}$ , where  $\psi(z) = 1/z$ . The fixed point  $z_0$  is attracting, repelling or indifferent if its multiplier  $\lambda$  satisfies that  $|\lambda| < 1$ ,  $|\lambda| > 1$  or  $|\lambda| = 1$  respectively. Attracting fixed points belong to the Fatou set and repelling fixed points belong to the Julia set. In the case that  $z_0$  is indifferent, the classification is more complicated. The fixed point  $z_0$  is parabolic, a Siegel point or a Cremer point if its multiplier is a root of unity,  $z_0 \in F(f)$  or  $z_0 \in J(f)$  respectively. Parabolic fixed points belong to the Julia set. The Fatou component containing a Siegel point is called a *Siegel disk* centered at  $z_0$ . Non-repelling fixed points “capture” at least one critical point of  $f$ , which is a solution of the equation  $f'(z) = 0$ .

In this paper, we investigate rational functions with Siegel disks. Let  $f : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$  be a rational function of degree  $d \geq 2$  with a fixed point of multiplier

---

Received June 25, 2007.

2000 *Mathematics Subject Classification*. Primary 37F50; Secondary 30D05, 37F10.

*Key words and phrases*. Blaschke product, Siegel disk.

$e^{2\pi i\alpha}$  at the origin, where  $\alpha \in [0, 1]$  is irrational. If the origin is a Siegel point, then there exists a local holomorphic change of coordinate  $\Phi : \mathbb{D} \rightarrow \mathbb{C}$  with  $0 = \Phi(0)$  such that  $\Phi^{-1} \circ f \circ \Phi(z) = e^{2\pi i\alpha} z$ , where  $\mathbb{D}$  is the unit disk. The Siegel disk  $\Delta$  centered at the origin contains  $\Phi(\mathbb{D})$ .

For the irrational number  $\alpha$ , we consider the continued fraction expansion

$$\alpha = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\ddots}}}$$

of  $\alpha$  and then a sequence of rational numbers

$$\frac{p_n}{q_n} = \frac{1}{a_1 + \frac{1}{\ddots + \frac{1}{a_n}}}$$

converges to  $\alpha$ , where  $a_n$  is a positive integer uniquely determined by  $\alpha$  for all  $n \in \mathbb{N}$ . The irrational number  $\alpha$  is a *Diophantine number of order  $\kappa \geq 2$*  if there exists  $\varepsilon > 0$  such that

$$\left| \alpha - \frac{p}{q} \right| > \frac{\varepsilon}{q^\kappa}$$

for all rational numbers  $p/q$ . The class of Diophantine numbers of order  $\kappa$  is denoted by  $\mathcal{D}_\kappa$ . The irrational number  $\alpha$  belongs to  $\mathcal{D}_\kappa$  if and only if the sequence

$$\left\{ \frac{q_{n+1}}{q_n^{\kappa-1}} \right\}_{n=1}^{\infty}$$

is bounded. In the case that  $\kappa = 2$ , the sequence  $\{a_n\}_{n=1}^{\infty}$  is bounded if and only if  $\{q_{n+1}/q_n\}_{n=1}^{\infty}$  is bounded. Therefore Diophantine numbers of order 2 are said to be of *bounded type*. The irrational number  $\alpha$  is a *Bryuno number* if the sum

$$\sum_{n=1}^{\infty} \frac{\log q_{n+1}}{q_n}$$

converges. The class of Bryuno numbers is denoted by  $\mathcal{B}$ . Note that for  $\kappa > 2$ ,  $\mathcal{D}_2 \subsetneq \mathcal{D}_\kappa \subsetneq \mathcal{B}$  and  $\mathcal{D}_\kappa$  has full measure on  $\mathbb{R}/\mathbb{Z}$  (see [7] or [11]). Bryuno showed that if  $\alpha$  is a Bryuno number, then  $f$  is linearizable at the origin. Yoccoz showed that if a quadratic polynomial  $P_\alpha(z) = z^2 + e^{2\pi i\alpha} z$  is linearizable at the origin, then  $\alpha$  is a Bryuno number, that is,  $P_\alpha$  is linearizable at the origin if and only if  $\alpha$  is a Bryuno number. Moreover the following theorem holds if  $\alpha$  is of bounded type (see [10] or [11]).

**Theorem 1.1** (Ghys-Douady-Herman-Shishikura-Świątek). *If an irrational number  $\alpha \in [0, 1]$  is of bounded type, then the boundary of the Siegel disk  $\Delta$  of  $P_\alpha$  centered at the origin is a quasicircle containing its critical point  $-e^{2\pi i\alpha}/2$ .*

Moreover if the irrational number  $\alpha$  is of bounded type, then the following holds:

- (a) (Petersen). The Julia set  $J(P_\alpha)$  of  $P_\alpha$  is locally connected and has measure zero.
- (b) (McMullen). The Hausdorff dimension of  $J(P_\alpha)$  is less than 2.
- (c) (Graczyk-Jones). The Hausdorff dimension of  $\partial\Delta$  is greater than 1.

Conversely, Petersen showed that if  $\partial\Delta$  is a quasicircle containing the finite critical point  $-e^{2\pi i\alpha}/2$  of  $P_\alpha$ , then  $\alpha \in [0, 1]$  is of bounded type. Zakeri extended Theorem 1.1 to the case of cubic polynomials.

**Theorem 1.2** ([12]). *Let  $P$  be a cubic polynomial with fixed point of multiplier  $e^{2\pi i\alpha}$  at the origin. If an irrational number  $\alpha \in [0, 1]$  is of bounded type, then the boundary of the Siegel disk  $\Delta$  of  $P$  centered at the origin is a quasicircle containing one or both critical points.*

Geyer showed the following theorem which is extended to some polynomials. Let  $Q_m(z) = e^{2\pi i\alpha}z(1+z/m)^m$ . Note that  $P_\alpha$  is conformally conjugate to  $Q_1$ .

**Theorem 1.3** ([4]). *Let  $m \geq 1$  be a positive integer. If an irrational number  $\alpha \in [0, 1]$  is of bounded type, then the boundary of the Siegel disk  $\Delta$  of  $Q_m$  centered at the origin is a quasicircle containing its critical point  $-m/(m+1)$ .*

Let  $F_{\lambda,\mu}(z) = z(z+\lambda)/(\mu z+1)$  with  $\lambda\mu \neq 1$ . The origin and the point at infinity are fixed points of  $F_{\lambda,\mu}$  of multiplier  $\lambda$  and  $\mu$  respectively. In the case that  $\mu = 0$ ,  $F_{\lambda,0}(z) = \lambda z + z^2$ . Therefore the quadratic rational function  $F_{\lambda,\mu}$  is considered as a perturbation of the quadratic polynomial  $z \mapsto \lambda z + z^2$ . In the case that  $\lambda = e^{2\pi i\alpha}$  and  $\alpha$  is irrational of bounded type, the author showed the following theorem which is a generalization of Theorem 1.1.

**Theorem 1.4** ([5]). *If an irrational number  $\alpha \in [0, 1]$  is of bounded type,  $\lambda = e^{2\pi i\alpha}$  and  $\mu \in \overline{\mathbb{D}}$  with  $\lambda\mu \neq 1$ , then the boundary of the Siegel disk  $\Delta$  of  $F_{\lambda,\mu}$  centered at the origin is a quasicircle containing its critical point.*

For complex numbers  $\lambda$  and  $\mu$  with  $\lambda\mu \neq 1$  and a positive integer  $m$ , let

$$F_{\lambda,\mu,m}(z) = z \left( \frac{z+\lambda}{\mu z+1} \right)^m.$$

Note that  $F_{\lambda,\mu,1} = F_{\lambda,\mu}$ . The origin and the point at infinity are fixed points of  $F_{\lambda,\mu,m}$  of multiplier  $\lambda^m$  and  $\mu^m$  respectively. In the case that  $\mu = 0$ ,

$$F_{\lambda,0,m}(z) = z(z+\lambda)^m.$$

Therefore the rational function  $F_{\lambda,\mu,m}$  of degree  $m+1$  is considered as a perturbation of the polynomial  $F_{\lambda,0,m}$  of degree  $m+1$ . Note that  $F_{\lambda,0,m}$  is conformally conjugate to  $Q_m$  if  $\lambda^m = e^{2\pi i\alpha}$ . We show the following theorem which contains Theorem 1.4.

**Theorem 1.5.** *Let  $m \geq 1$  be a positive integer and let  $\mu \in \overline{\mathbb{D}}$ . If an irrational number  $\alpha \in [0, 1]$  is of bounded type and  $e^{2\pi i\alpha} \mu^m \neq 1$ , then there exist suitable pairs  $\{(\lambda_j, \mu_j)\}_{j=1}^m$  with*

- (i)  $\lambda_j^m = e^{2\pi i\alpha}$ ,  $\mu_j^m = \mu^m$  and  $\lambda_j \mu_j \neq 1$  for  $j \in \{1, \dots, m\}$
- (ii)  $\lambda_j \neq \lambda_k$  if  $j \neq k$

*such that for each  $j \in \{1, \dots, m\}$ , the boundary of the Siegel disk  $\Delta$  of  $F_{\lambda_j, \mu_j, m}$  centered at the origin is a quasicircle containing its critical point.*

Theorem 1.5	
$m = 1, \mu = 0$	Theorem 1.1
$m = 1$	Theorem 1.4
$\mu = 0$	Theorem 1.3

TABLE 1. Special cases of Theorem 1.5

Theorem 1.5 contains Theorems 1.1, 1.3, and 1.4. Moreover we obtain the following corollary.

**Corollary 1.6.** *Let  $m \geq 1$  be a positive integer,  $\alpha \in [0, 1]$  be an irrational number of bounded type,  $\mu^m = e^{2\pi i\beta}$  with  $e^{2\pi i\alpha} \mu^m \neq 1$  and  $\{(\lambda_j, \mu_j)\}_{j=1}^m$  be as in Theorem 1.5. If  $\beta \in [0, 1]$  is an irrational number of bounded type, then the boundaries of Siegel disks  $\Delta$  and  $\Delta_\infty$  of  $F_{\lambda_j, \mu_j, m}$  centered at the origin and the point at infinity respectively are quasicircles containing its critical point.*

## 2. Blaschke products with a critical point on the unit circle

### 2.1. Existence of Blaschke products

Let  $m \geq 1$  be a positive integer. We consider a Blaschke product

$$B(z) = e^{2\pi im\theta} z \left( \frac{z-a}{1-\bar{a}z} \right)^m \left( \frac{z-b}{1-\bar{b}z} \right)^m$$

of degree  $2m+1$  with  $\bar{a}\bar{b} \neq 1$  and  $0 < |a| \leq |b| < \infty$ . Let  $\lambda = abe^{2\pi i\theta}$  and let  $\mu = \bar{a}\bar{b}e^{-2\pi i\theta}$ . The derivative  $B'$  of  $B$  is

$$B'(z) = \frac{e^{2\pi im\theta}}{(1-\bar{a}z)^2(1-\bar{b}z)^2} \left( \frac{z-a}{1-\bar{a}z} \right)^{m-1} \left( \frac{z-b}{1-\bar{b}z} \right)^{m-1} g(z),$$

where

$$\begin{aligned} g(z) = & \bar{a}\bar{b}z^4 + \left\{ -(m+1)(\bar{a} + \bar{b}) + (m-1)\bar{a}\bar{b}(a+b) \right\} z^3 \\ & + \left\{ 2m+1 - (2m-1)|ab|^2 + |a+b|^2 \right\} z^2 \\ & + \left\{ -(m+1)(a+b) + (m-1)ab(\bar{a} + \bar{b}) \right\} z + ab. \end{aligned}$$

So multipliers of fixed points  $z = 0$  and  $z = \infty$  are  $\lambda^m$  and  $\mu^m$  respectively. Let  $c_1, c_2, c_3 = 1/\bar{c}_2$  and  $c_4 = 1/\bar{c}_1$  be solutions of the equation  $g(z) = 0$ . Therefore critical points of  $B$  are  $a, 1/\bar{a}, b, 1/\bar{b}, c_1, c_2, c_3$  and  $c_4$  and multiplicities of critical points  $a, 1/\bar{a}, b$  and  $1/\bar{b}$  are  $m - 1$ . Since  $c_1, c_2, c_3$  and  $c_4$  are solutions of  $g(z) = 0$ , we obtain that

$$\begin{aligned} g(z) &= \bar{a}\bar{b}(z - c_1)(z - c_2)(z - c_3)(z - c_4) \\ &= \bar{a}\bar{b}\left\{z^4 - C_3z^3 + C_2z^2 - C_1z + C_0\right\}, \end{aligned}$$

where

$$\begin{aligned} C_3 &= c_1 + \frac{1}{\bar{c}_1} + c_2 + \frac{1}{\bar{c}_2}, \\ C_2 &= \frac{c_1}{\bar{c}_1} + \frac{c_2}{\bar{c}_2} + \left(c_1 + \frac{1}{\bar{c}_1}\right)\left(c_2 + \frac{1}{\bar{c}_2}\right), \\ C_1 &= \frac{c_1}{\bar{c}_1}\left(c_2 + \frac{1}{\bar{c}_2}\right) + \frac{c_2}{\bar{c}_2}\left(c_1 + \frac{1}{\bar{c}_1}\right), \\ C_0 &= \frac{c_1c_2}{\bar{c}_1\bar{c}_2}. \end{aligned}$$

Comparing coefficients of two representations of  $g(z)$  implies that

$$(1) \quad c_1 + \frac{1}{\bar{c}_1} + c_2 + \frac{1}{\bar{c}_2} = \frac{(m+1)(\bar{a} + \bar{b}) - (m-1)(a+b)\bar{a}\bar{b}}{\bar{a}\bar{b}},$$

$$(2) \quad \frac{c_1}{\bar{c}_1} + \frac{c_2}{\bar{c}_2} + \left(c_1 + \frac{1}{\bar{c}_1}\right)\left(c_2 + \frac{1}{\bar{c}_2}\right) = \frac{2m+1 - (2m-1)|ab|^2 + |a+b|^2}{\bar{a}\bar{b}},$$

$$(3) \quad \frac{c_1}{\bar{c}_1}\left(c_2 + \frac{1}{\bar{c}_2}\right) + \frac{c_2}{\bar{c}_2}\left(c_1 + \frac{1}{\bar{c}_1}\right) = \frac{(m+1)(a+b) - (m-1)(\bar{a} + \bar{b})ab}{\bar{a}\bar{b}},$$

$$(4) \quad \frac{c_1c_2}{\bar{c}_1\bar{c}_2} = \frac{ab}{\bar{a}\bar{b}}.$$

Eliminating  $c_1$  and  $\bar{c}_1$  from equations (1), (2), and (4) gives that

$$\begin{aligned} (5) \quad &|a+b|^2 - (m+1)\left(c_2 + \frac{1}{\bar{c}_2}\right)(\bar{a} + \bar{b}) - \left(\frac{\bar{c}_2}{c_2}\right)ab \\ &+ \left\{\left(c_2 + \frac{1}{\bar{c}_2}\right)^2 - \frac{c_2}{\bar{c}_2}\right\}\bar{a}\bar{b} + (m-1)\left(c_2 + \frac{1}{\bar{c}_2}\right)(a+b)\bar{a}\bar{b} \\ &+ 2m+1 - (2m-1)|ab|^2 = 0 \end{aligned}$$

and eliminating  $c_1$  and  $\bar{c}_1$  from equations (1), (3), and (4) gives that

$$(6) \quad \begin{aligned} & \frac{\bar{c}_2}{c_2} \left( c_2 + \frac{1}{\bar{c}_2} \right) ab + (m+1) \left( \frac{c_2}{\bar{c}_2} \right) (\bar{a} + \bar{b}) - (m-1) \left( \frac{c_2}{\bar{c}_2} \right) (a+b)\bar{a}\bar{b} \\ &= \frac{c_2}{\bar{c}_2} \left( c_2 + \frac{1}{\bar{c}_2} \right) \bar{a}\bar{b} + (m+1)(a+b) - (m-1)(\bar{a} + \bar{b})ab. \end{aligned}$$

We obtain that

$$(7) \quad \begin{aligned} & |a+b|^2 - 2(m+1)e^{2\pi i\varphi}(\bar{a} + \bar{b}) - e^{2\pi i(-2\varphi)}ab + 3e^{2\pi i \cdot 2\varphi}\bar{a}\bar{b} \\ & + 2(m-1)e^{2\pi i\varphi}(a+b)\bar{a}\bar{b} + 2m+1 - (2m-1)|ab|^2 = 0 \end{aligned}$$

and

$$(8) \quad \begin{aligned} & e^{2\pi i(-2\varphi)}ab + \frac{m+1}{2}e^{2\pi i\varphi}(\bar{a} + \bar{b}) - \frac{m-1}{2}e^{2\pi i\varphi}(a+b)\bar{a}\bar{b} \\ &= e^{2\pi i \cdot 2\varphi}\bar{a}\bar{b} + \frac{m+1}{2}e^{2\pi i(-\varphi)}(a+b) - \frac{m-1}{2}e^{2\pi i(-\varphi)}(\bar{a} + \bar{b})ab \end{aligned}$$

by substituting  $c_2 = e^{2\pi i\varphi}$  into equations (5) and (6). Eliminating  $ab$  from equations (7) and (8) gives that

$$(9) \quad \begin{aligned} & |a+b|^2 - \frac{3}{2}(m+1)e^{2\pi i\varphi}(\bar{a} + \bar{b}) \\ & - \frac{m+1}{2}e^{2\pi i(-\varphi)}(a+b) + 2e^{2\pi i \cdot 2\varphi}\bar{a}\bar{b} + \frac{m-1}{2}e^{2\pi i(-\varphi)}(\bar{a} + \bar{b})ab \\ & + \frac{3}{2}(m-1)e^{2\pi i\varphi}(a+b)\bar{a}\bar{b} + 2m+1 - (2m-1)|ab|^2 = 0. \end{aligned}$$

Let  $\zeta = a+b$ . Then

$$(10) \quad \begin{aligned} & |\zeta|^2 - \frac{3}{2}(m+1)e^{2\pi i\varphi}\bar{\zeta} - \frac{m+1}{2}e^{2\pi i(-\varphi)}\zeta + 2e^{2\pi i \cdot 2\varphi}\bar{a}\bar{b} + \frac{m-1}{2}e^{2\pi i(-\varphi)}ab\bar{\zeta} \\ & + \frac{3}{2}(m-1)e^{2\pi i\varphi}\bar{a}\bar{b}\zeta + 2m+1 - (2m-1)|ab|^2 = 0. \end{aligned}$$

The real part of the left side of the equation (10) is

$$(11) \quad \begin{aligned} & x^2 + y^2 - 2x \left\{ (m+1) \cos 2\pi\varphi - (m-1)r \cos 2\pi(\varphi + \theta + \omega) \right\} \\ & - 2y \left\{ (m+1) \sin 2\pi\varphi + (m-1)r \sin 2\pi(\varphi + \theta + \omega) \right\} \\ & + 2r \cos 2\pi(2\varphi + \theta + \omega) + 2m+1 - (2m-1)r^2 = 0 \end{aligned}$$

and the imaginary part of the left side of the equation (10) is

$$(12) \quad \begin{aligned} & y \left\{ (m+1) \cos 2\pi\varphi + (m-1)r \cos 2\pi(\varphi + \theta + \omega) \right\} \\ & - x \left\{ (m+1) \sin 2\pi\varphi - (m-1)r \sin 2\pi(\varphi + \theta + \omega) \right\} \\ & + 2r \sin 2\pi(2\varphi + \theta + \omega) = 0, \end{aligned}$$

where  $\zeta = x + iy$  and  $\mu = \bar{a}\bar{b}e^{-2\pi i\theta} = re^{2\pi i\omega}$ . The solutions of simultaneous equations (11) and (12) are

$$x = \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-1} \\ \times \left\{ C_4 \cos 2\pi\varphi + C_5 \cos 2\pi(\varphi + \theta + \omega) + C_6 \cos 2\pi(3\varphi + \theta + \omega) \right. \\ \left. + C_7 \cos 2\pi(3\varphi + 2\theta + 2\omega) \right\}$$

and

$$y = \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-1} \\ \times \left\{ C_4 \sin 2\pi\varphi - C_5 \sin 2\pi(\varphi + \theta + \omega) + C_6 \sin 2\pi(3\varphi + \theta + \omega) \right. \\ \left. - C_7 \sin 2\pi(3\varphi + 2\theta + 2\omega) \right\},$$

where

$$C_4 = (m+1)^2(2m+1) - 2m(m^2-1)r^2, \\ C_5 = 2m(m^2-1)r - (m-1)^2(2m-1)r^3, \\ C_6 = -(m+1)^2 r, \\ C_7 = -(m-1)^2 r^2.$$

Hence  $\zeta = x + iy$  satisfies the equation (10). Conversely, we show the following theorem.

**Theorem 2.1.** *Let  $\mu = re^{2\pi i\omega} \in \bar{\mathbb{D}}$  and let  $a = a(\theta, \varphi)$  and  $b = b(\theta, \varphi)$  with  $|a| \leq |b|$  be complex numbers satisfying relations  $a + b = x + iy$  and  $ab = re^{-2\pi i(\theta+\omega)}$ , that is,  $a$  and  $b$  are the solutions of the equation*

$$(\dagger) \quad Z^2 - (x + iy)Z + re^{-2\pi i(\theta+\omega)} = 0,$$

where  $x$  and  $y$  are as above and  $(\theta, \varphi) \in [0, 1]^2$ . Then the following holds:

- (a) *In the case that  $r = 0$ , solutions of the equation  $(\dagger)$  are  $a = 0$  and  $b = (2m+1)e^{2\pi i\varphi}$ .*
- (b) *In the case that  $0 < r < 1$ , the equation  $(\dagger)$  does not have double roots. Moreover  $0 < |a| < 1 < |b| < \infty$ .*
- (c) *In the case that  $r = 1$  and  $2\varphi + \theta + \omega \equiv 0 \pmod{1}$ , the equation  $(\dagger)$  has double roots and  $a = b = e^{2\pi i\varphi}$ .*
- (d) *In the case that  $r = 1$  and  $2\varphi + \theta + \omega \not\equiv 0 \pmod{1}$ , the equation  $(\dagger)$  does not have double roots. Moreover  $0 < |a| < 1 < |b| < \infty$ .*
- (e) *In the case (a), (b) or (d),*

$$B(z) = B_{\theta, \varphi, m}(z) = e^{2\pi im\theta} z \left( \frac{z-a}{1-\bar{a}z} \right)^m \left( \frac{z-b}{1-\bar{b}z} \right)^m$$

is a Blaschke product of degree  $2m + 1$  and the point at infinity is a fixed point of  $B$  with multiplier  $\mu^m$ . Moreover  $z = e^{2\pi i\varphi}$  is a critical point of  $B$  and  $B|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$  is a homeomorphism, where  $\mathbb{T}$  is the unit circle.

*Proof.* First, we show the following lemma.

**Lemma 2.2.**  $|x + iy| \geq 2$ . Moreover the equality holds if and only if  $r = 1$  and  $2\varphi + \theta + \omega \equiv 0 \pmod{1}$  hold.

*Proof of Lemma 2.2.* We calculate that

$$\begin{aligned}
& |x + iy|^2 \\
&= \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
&\quad \times \left| C_4 e^{2\pi i\varphi} + C_5 e^{-2\pi i(\varphi + \theta + \omega)} + C_6 e^{2\pi i(3\varphi + \theta + \omega)} + C_7 e^{-2\pi i(3\varphi + 2\theta + 2\omega)} \right|^2 \\
&= \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
&\quad \times \left\{ C_4 e^{2\pi i\varphi} + C_5 e^{-2\pi i(\varphi + \theta + \omega)} + C_6 e^{2\pi i(3\varphi + \theta + \omega)} + C_7 e^{-2\pi i(3\varphi + 2\theta + 2\omega)} \right\} \\
&\quad \times \left\{ C_4 e^{-2\pi i\varphi} + C_5 e^{2\pi i(\varphi + \theta + \omega)} + C_6 e^{-2\pi i(3\varphi + \theta + \omega)} + C_7 e^{2\pi i(3\varphi + 2\theta + 2\omega)} \right\} \\
&= \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
&\quad \times \left\{ C_4^2 + C_5^2 + C_6^2 + C_7^2 + (2C_4 C_5 + 2C_4 C_6 + 2C_5 C_7) \cos 2\pi(2\varphi + \theta + \omega) \right. \\
&\quad \left. + (2C_4 C_7 + 2C_5 C_6) \cos 2\pi \cdot 2(2\varphi + \theta + \omega) + 2C_6 C_7 \cos 2\pi \cdot 3(2\varphi + \theta + \omega) \right\} \\
&= \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
&\quad \times \left\{ C_4^2 + C_5^2 + C_6^2 + C_7^2 - 2C_4 C_7 - 2C_5 C_6 \right. \\
&\quad \left. + 2(C_4 C_5 + C_4 C_6 + C_5 C_7 - 3C_6 C_7) \cos 2\pi(2\varphi + \theta + \omega) \right. \\
&\quad \left. + 4(C_4 C_7 + C_5 C_6) \cos^2 2\pi(2\varphi + \theta + \omega) + 8C_6 C_7 \cos^3 2\pi(2\varphi + \theta + \omega) \right\}
\end{aligned}$$

since

$$\cos 2\pi \cdot 2(2\varphi + \theta + \omega) = 2 \cos^2 2\pi(2\varphi + \theta + \omega) - 1$$

and

$$\cos 2\pi \cdot 3(2\varphi + \theta + \omega) = 4 \cos^3 2\pi(2\varphi + \theta + \omega) - 3 \cos 2\pi(2\varphi + \theta + \omega).$$



Therefore

$$\begin{aligned}
& |x + iy|^2 \\
= & \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
& \times \left[ 4m^6 + 20m^5 + 41m^4 + 44m^3 + 26m^2 + 8m + 1 \right. \\
& + (-4m^6 - 12m^5 - 5m^4 + 12m^3 + 14m^2 + 8m + 3) r^2 \\
& + (-4m^6 + 12m^5 - 5m^4 - 12m^3 + 14m^2 - 8m + 3) r^4 \\
& + (4m^6 - 20m^5 + 41m^4 - 44m^3 + 26m^2 - 8m + 1) r^6 \\
& + \left\{ (8m^6 + 16m^5 - 10m^4 - 48m^3 - 44m^2 - 16m - 2) r \right. \\
& + (-16m^6 + 44m^4 - 24m^2 - 4) r^3 \\
& + (8m^6 - 16m^5 - 10m^4 + 48m^3 - 44m^2 + 16m - 2) r^5 \left. \right\} \cos 2\pi(2\varphi + \theta + \omega) \\
& + \left\{ (-16m^5 - 20m^4 + 16m^3 + 24m^2 - 4) r^2 \right. \\
& + (16m^5 - 20m^4 - 16m^3 + 24m^2 - 4) r^4 \left. \right\} \cos^2 2\pi(2\varphi + \theta + \omega) \\
& + (8m^4 - 16m^2 + 8) r^3 \cos^3 2\pi(2\varphi + \theta + \omega) \left. \right] \\
= & \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
& \times \left[ \left[ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right] \right. \\
& \times \left[ (m+1)^2(2m+1)^2 - 2(4m^4 - 5m^2 - 1)r^2 + (m-1)^2(2m-1)^2 r^4 \right. \\
& + \left\{ -4m(m+1)(2m+1)r + 4m(m-1)(2m-1)r^3 \right\} \cos 2\pi(2\varphi + \theta + \omega) \\
& + 4(m^2-1)r^2 \cos^2 2\pi(2\varphi + \theta + \omega) \left. \right] \left. \right] \\
= & \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-1} \\
& \times \left[ (m+1)^2(2m+1)^2 - 2(4m^4 - 5m^2 - 1)r^2 + (m-1)^2(2m-1)^2 r^4 \right. \\
& + 4mr \left\{ -(m+1)(2m+1) + (m-1)(2m-1)r^2 \right\} \cos 2\pi(2\varphi + \theta + \omega) \\
& + 4(m^2-1)r^2 \cos^2 2\pi(2\varphi + \theta + \omega) \left. \right].
\end{aligned}$$

Let  $X = \cos 2\pi(2\varphi + \theta + \omega)$  and we consider the function

$$f(X) = \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)rX \right\}^{-1} \\ \times \left[ (m+1)^2(2m+1)^2 - 2(4m^4 - 5m^2 - 1)r^2 + (m-1)^2(2m-1)^2 r^4 \right. \\ \left. + 4mr \left\{ -(m+1)(2m+1) + (m-1)(2m-1)r^2 \right\} X + 4(m^2-1)r^2 X^2 \right].$$

Then the function  $f$  is monotone decreasing on  $[-1, 1]$  and

$$f(1) = \left\{ 2m+1 - (2m-1)r \right\}^2.$$

In the case that  $0 \leq r < 1$ , we obtain that

$$|x+iy| \geq \sqrt{f(1)} = 2m+1 - (2m-1)r > 2.$$

In the case that  $r = 1$  and  $2\varphi + \theta + \omega \not\equiv 0 \pmod{1}$ , we obtain that

$$|x+iy| > \sqrt{f(1)} = 2m+1 - (2m-1) \cdot 1 = 2.$$

Moreover in the case that  $r = 1$  and  $2\varphi + \theta + \omega \equiv 0 \pmod{1}$ , we obtain that

$$|x+iy| = \sqrt{f(1)} = 2m+1 - (2m-1) \cdot 1 = 2. \quad \square$$

*Proof of (a).* It is clear.

*Proof of (b).* By Lemma 2.2,  $|a+b| = |x+iy| > 2$ . In the case that  $0 < r < 1$ , either  $0 < |a| < 1 \leq |b| < \infty$  or  $0 < |a| \leq |b| \leq 1$  hold since  $|a||b| = r$ . If  $0 < |a| \leq |b| \leq 1$ , then

$$2 < |a+b| \leq |a| + |b| \leq 2.$$

This is a contradiction and hence the situation  $0 < |a| < 1 \leq |b| < \infty$  happens. If  $|b| = 1$ , then

$$2 < |a+b| \leq |a| + |b| = |a| + 1 < 2.$$

This is a contradiction. Therefore the equation (†) does not have double roots and  $0 < |a| < 1 < |b| < \infty$ .

*Proof of (c).* By assumptions, we obtain that  $x+iy = 2e^{2\pi i\varphi}$  and  $re^{-2\pi i(\theta+\omega)} = e^{2\pi i \cdot 2\varphi}$ . Therefore the equation (†) is

$$Z^2 - 2e^{2\pi i\varphi} Z + e^{2\pi i \cdot 2\varphi} = 0$$

and hence  $a = b = e^{2\pi i\varphi}$ .

*Proof of (d).* By Lemma 2.2,  $|a+b| = |x+iy| > 2$ . In the case that  $r = 1$ , either  $0 < |a| < 1 < |b| < \infty$  or  $|a| = |b| = 1$  hold since  $|a||b| = 1$ . If  $|a| = |b| = 1$ , then

$$2 < |a+b| \leq |a| + |b| = 2.$$

This is a contradiction. Therefore the equation (†) does not have double roots and  $0 < |a| < 1 < |b| < \infty$ .

*Proof of (e).* Let

$$u(z) = \left( \frac{z-a}{1-\bar{a}z} \right) \left( \frac{z-b}{1-\bar{b}z} \right) = \frac{z^2 - (a+b)z + ab}{\bar{a}\bar{b}z^2 - (\bar{a} + \bar{b})z + 1}.$$

The necessary and sufficient condition that the degree of the Blaschke product  $B$  be  $2m+1$  is that the function  $u$  be not constant. So the necessary and sufficient condition that the degree of the Blaschke product  $B$  be 1 is that the function  $u$  be constant. In the case that  $r=0$ , the function  $u$  is not constant since

$$u(z) = \frac{z^2 - (2m+1)e^{2\pi i\varphi}z}{-(2m+1)e^{-2\pi i\varphi}z + 1}.$$

If  $r \neq 0$ , then

$$u(z) = \frac{1}{\bar{a}\bar{b}} \cdot \frac{\bar{a}\bar{b}z^2 - \bar{a}\bar{b}(a+b)z + |ab|^2}{\bar{a}\bar{b}z^2 - (\bar{a} + \bar{b})z + 1}.$$

In the case that  $0 < r < 1$ , the degree of the Blaschke product  $B$  is  $2m+1$  since  $|ab| = r < 1$ . In the case that  $r=1$ , we obtain that

$$\bar{a}\bar{b}(a+b) - (\bar{a} + \bar{b}) = \frac{-2me^{-2\pi i(3\varphi+\theta+\omega)} \{e^{2\pi i(2\varphi+\theta+\omega)} - 1\}^3}{m^2 + 1 + (m^2 - 1)\cos 2\pi(2\varphi + \theta + \omega)}.$$

Therefore in the case that  $r=1$  and  $2\varphi + \theta + \omega \not\equiv 0 \pmod{1}$ , the degree of the Blaschke product  $B$  is  $2m+1$ . On the other hand, if  $r=1$  and  $2\varphi + \theta + \omega \equiv 0 \pmod{1}$ , then

$$u(z) = \frac{1}{\bar{a}\bar{b}} = e^{2\pi i \cdot 2\varphi}$$

and the degree of the Blaschke product  $B$  is 1. It is clear that the point at infinity is a fixed point of  $B$  with multiplier  $\mu^m$ . Moreover it is clear that  $g(e^{2\pi i\varphi}) = 0$  and hence  $z = e^{2\pi i\varphi}$  is a critical point of  $B$ , where

$$B'(z) = \frac{e^{2\pi im\theta}}{(1-\bar{a}z)^2(1-\bar{b}z)^2} \left( \frac{z-a}{1-\bar{a}z} \right)^{m-1} \left( \frac{z-b}{1-\bar{b}z} \right)^{m-1} g(z)$$

and

$$\begin{aligned} g(z) &= \bar{a}\bar{b}z^4 + \left\{ -(m+1)(\bar{a} + \bar{b}) + (m-1)\bar{a}\bar{b}(a+b) \right\} z^3 \\ &\quad + \left\{ 2m+1 - (2m-1)|ab|^2 + |a+b|^2 \right\} z^2 \\ &\quad + \left\{ -(m+1)(a+b) + (m-1)ab(\bar{a} + \bar{b}) \right\} z + ab. \end{aligned}$$

Finally we show that two critical points of  $B$  other than  $a$ ,  $1/\bar{a}$ ,  $b$ ,  $1/\bar{b}$  (if  $m \geq 2$ ) and  $e^{2\pi i\varphi}$  are in  $\widehat{\mathbb{C}} \setminus \mathbb{T}$ . In the case that  $r=0$ , we obtain that

$$g(z) = -(m+1)(2m+1)e^{-2\pi i\varphi}z(z - e^{2\pi i\varphi})^2.$$

Therefore critical points of  $B$  are  $b, 1/\bar{b}$  (if  $m \geq 2$ ),  $0, \infty$  and  $e^{2\pi i\varphi}$ . In the case that  $r \neq 0$ , let

$$h(z) = z^2 + \frac{e^{2\pi i\varphi}}{C_{10}} \left\{ C_9 e^{-2\pi i \cdot 2(2\varphi + \theta + \omega)} + C_8 e^{-2\pi i(2\varphi + \theta + \omega)} + C_9 \right\} z + e^{-2\pi i \cdot 2(\varphi + \theta + \omega)},$$

where

$$C_8 = -(m+1)^3(2m+1) + 2(2m^4 - m^2 - 1)r^2 - (m-1)^3(2m-1)r^4,$$

$$C_9 = (m+1)^3 r - (m-1)^3 r^3,$$

$$C_{10} = (m+1)^2 r + (m-1)^2 r^3 + 2(m^2 - 1)r \cos 2\pi(2\varphi + \theta + \omega).$$

Then we can factor  $r^{-1}e^{-2\pi i(\theta + \omega)}g(z)$  as

$$\frac{1}{r} \cdot e^{-2\pi i(\theta + \omega)} \cdot g(z) = (z - e^{2\pi i\varphi})^2 \cdot h(z).$$

Let

$$h_1(z) = \frac{e^{2\pi i\varphi}}{C_{10}} \left\{ C_9 e^{-2\pi i \cdot 2(2\varphi + \theta + \omega)} + C_8 e^{-2\pi i(2\varphi + \theta + \omega)} + C_9 \right\} z$$

and

$$h_2(z) = z^2 + e^{-2\pi i \cdot 2(\varphi + \theta + \omega)}.$$

For  $z \in \mathbb{T}$ ,  $|h_2(z)| \leq 2$ .

**Lemma 2.3.**  $|h_1(z)| > 2$  on  $\mathbb{T}$ .

*Proof of Lemma 2.3.* In the case that  $0 < r < 1$ , we obtain that

$$\begin{aligned} |h_1(z)| &= \frac{1}{|C_{10}|} \left| C_9 e^{-2\pi i \cdot 2(2\varphi + \theta + \omega)} + C_8 e^{-2\pi i(2\varphi + \theta + \omega)} + C_9 \right| \\ &\geq \frac{|C_8| - 2|C_9|}{|C_{10}|} \\ &= \frac{-C_8 - 2C_9}{|C_{10}|} \\ &\geq v(m, r) \end{aligned}$$

on  $\mathbb{T}$ , where

$$\begin{aligned} v(m, r) &= \left\{ (3m-1)(m+1)r + (m-1)^2 r^3 \right\}^{-1} \\ &\quad \times \left\{ (m+1)^3(2m+1) - 2(m+1)^3 r - 2(2m^4 - m^2 - 1)r^2 \right. \\ &\quad \left. + 2(m-1)^3 r^3 + (m-1)^3(2m-1)r^4 \right\}. \end{aligned}$$

Since the function  $r \mapsto v(m, r)$  is monotone decreasing on  $(0, 1]$  and  $v(m, 1) = 2$ , we obtain that  $|h_1(z)| > 2$  on  $\mathbb{T}$ . In the case that  $r = 1$  and  $2\varphi + \theta + \omega \neq 0$

(mod 1), we obtain that

$$\begin{aligned}
 |h_1(z)| &= \frac{|C_9|}{|C_{10}|} \left| e^{-2\pi i \cdot 2(2\varphi+\theta+\omega)} + \frac{C_8}{C_9} e^{-2\pi i(2\varphi+\theta+\omega)} + 1 \right| \\
 &= \frac{C_9}{|C_{10}|} \left| \left\{ e^{-2\pi i(2\varphi+\theta+\omega)} + 1 \right\}^2 + \left( \frac{C_8}{C_9} - 2 \right) e^{-2\pi i(2\varphi+\theta+\omega)} \right| \\
 &\geq \frac{C_9}{|C_{10}|} \left| \left| e^{-2\pi i(2\varphi+\theta+\omega)} + 1 \right|^2 - \left| \frac{C_8}{C_9} - 2 \right| \right| \\
 &= \frac{C_9}{|C_{10}|} \left| \left| e^{-2\pi i(2\varphi+\theta+\omega)} + 1 \right|^2 - \frac{4(4m^2 + 1)}{3m^2 + 1} \right| \\
 &\geq \frac{3m^2 + 1}{2m^2} \left\{ \frac{4(4m^2 + 1)}{3m^2 + 1} - \left| e^{-2\pi i(2\varphi+\theta+\omega)} + 1 \right|^2 \right\} \\
 &> \frac{3m^2 + 1}{2m^2} \left\{ \frac{4(4m^2 + 1)}{3m^2 + 1} - 4 \right\} \\
 &= 2
 \end{aligned}$$

on  $\mathbb{T}$ . □

By the Rouché’s theorem, the number of roots of  $h(z) = h_1(z) + h_2(z)$  on  $\mathbb{D}$  is one since  $|h_1(z)| > 2 \geq |h_2(z)|$  on  $\mathbb{T}$  and the number of roots of  $h_1(z)$  on  $\mathbb{D}$  is one. So one of critical points of  $B$  other than  $a, 1/\bar{a}, b, 1/\bar{b}$  (if  $m \geq 2$ ) and  $e^{2\pi i\varphi}$  is in  $\mathbb{D}$ . Since critical points of a Blaschke product are symmetric with respect to the unit circle, the other one critical point of  $B$  is in  $\widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}$ . In this case, the inverse image  $B^{-1}(\mathbb{T})$  of the unit circle  $\mathbb{T}$  is the union of  $\mathbb{T}$  and a figure eight 8 which crosses at  $z = e^{2\pi i\varphi}$ . Refer to Figure 1. Then  $B|_8 : 8 \rightarrow \mathbb{T}$  is a  $2m$ -to-1 map and therefore  $B|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$  is a homeomorphism. □

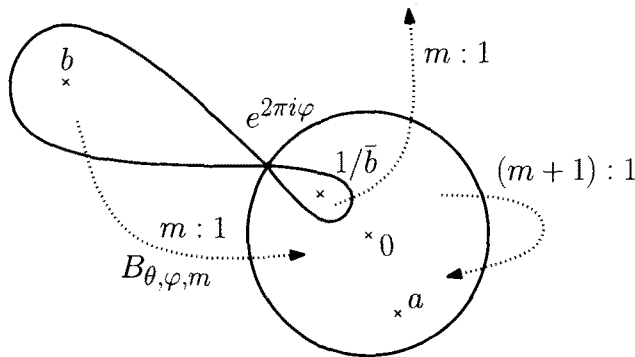


FIGURE 1. The inverse image  $B_{\theta, \varphi, m}^{-1}(\mathbb{T})$  of the unit circle  $\mathbb{T}$ .

*Remark 2.4.* Two complex numbers  $a = a(\theta, \varphi)$  and  $b = b(\theta, \varphi)$  satisfy that

$$a(\theta + 1, \varphi) = a(\theta, \varphi) = a(\theta, \varphi + 1)$$

and

$$b(\theta + 1, \varphi) = b(\theta, \varphi) = b(\theta, \varphi + 1).$$

## 2.2. Rotation numbers of Blaschke products

Let  $f : \mathbb{T} \rightarrow \mathbb{T}$  be an orientation preserving homeomorphism and let  $\tilde{f} : \mathbb{R} \rightarrow \mathbb{R}$  be a lift of  $f$  via  $x \mapsto e^{2\pi ix}$  which satisfies  $\tilde{f}(x+1) = \tilde{f}(x) + 1$  for all  $x \in \mathbb{R}$ . The lift  $\tilde{f}$  of  $f$  is unique up to addition of an integer constant. The rotation number  $\rho(\tilde{f})$  of  $\tilde{f}$  is defined as

$$\rho(\tilde{f}) = \lim_{n \rightarrow \infty} \frac{\tilde{f}^n(x)}{n},$$

which is independent of  $x \in \mathbb{R}$ . The rotation number  $\rho(f)$  is defined as the residue class of  $\rho(\tilde{f})$  modulo  $\mathbb{Z}$ . Poincaré showed that the rotation number is rational with denominator  $q$  if and only if  $f$  has a periodic point with period  $q$ . The following theorem is important (see [6]).

**Theorem 2.5.** *Let  $\mathcal{F}$  be the set of all orientation preserving homeomorphisms from the unit circle onto itself with the topology of uniform convergence. Then the rotation number function  $\rho : \mathcal{F} \rightarrow \mathbb{R}/\mathbb{Z}$  defined as  $f \mapsto \rho(f)$  is continuous.*

Let  $a(\theta, \varphi)$  and  $b(\theta, \varphi)$  be as in Theorem 2.1. We define a map  $\Gamma_m : [0, 1]^3 \rightarrow \mathbb{T}$  as

$$\Gamma_m(x, \theta, \varphi) = \left( \frac{e^{2\pi ix} - a(\theta, \varphi)}{1 - \overline{a(\theta, \varphi)}e^{2\pi ix}} \right)^m \left( \frac{e^{2\pi ix} - b(\theta, \varphi)}{1 - \overline{b(\theta, \varphi)}e^{2\pi ix}} \right)^m$$

and a map  $H_m : [0, 1]^4 \rightarrow \mathbb{T}$  as

$$H_m(x, \theta, \varphi, t) = \left( \frac{e^{2\pi ix} - a(\theta, \varphi, t)}{1 - \overline{a(\theta, \varphi, t)}e^{2\pi ix}} \right)^m \left( \frac{e^{2\pi ix} - b(\theta, \varphi, t)}{1 - \overline{b(\theta, \varphi, t)}e^{2\pi ix}} \right)^m,$$

where

$$a(\theta, \varphi, t) = (1-t)a(\theta, \varphi) + te^{2\pi i\varphi}$$

and

$$b(\theta, \varphi, t) = (1-t)b(\theta, \varphi) + te^{2\pi i\varphi}.$$

Note that  $\Gamma_m(x, \theta, \varphi) = e^{2\pi i \cdot 2m\varphi}$  if  $r = 1$  and  $2\varphi + \theta + \omega \equiv 0 \pmod{1}$ . The following three lemmas play important roles in the proof of Theorem 2.9.

**Lemma 2.6.** *A map  $H_m(\cdot, \theta, \varphi, \cdot) : [0, 1]^2 \rightarrow \mathbb{T}$  is a homotopy between a loop  $x \mapsto \Gamma_m(x, \theta, \varphi)$  and a constant loop  $x \mapsto e^{2\pi i \cdot 2m\varphi}$  for any  $(\theta, \varphi) \in [0, 1]^2$ .*

*Proof.* It is clear since  $H_m(\cdot, \theta, \varphi, 0) = \Gamma_m(\cdot, \theta, \varphi)$  and  $H_m(\cdot, \theta, \varphi, 1) = e^{2\pi i \cdot 2m\varphi}$ .  $\square$

**Lemma 2.7.** *A map  $H_m(x, \cdot, \varphi, \cdot) : [0, 1]^2 \rightarrow \mathbb{T}$  is a homotopy between a loop  $\theta \mapsto \Gamma_m(x, \theta, \varphi)$  and a constant loop  $\theta \mapsto e^{2\pi i \cdot 2m\varphi}$  for any  $(x, \varphi) \in [0, 1]^2$ .*

*Proof.* It is clear since  $H_m(x, \cdot, \varphi, 0) = \Gamma_m(x, \cdot, \varphi)$  and  $H_m(x, \cdot, \varphi, 1) = e^{2\pi i \cdot 2m\varphi}$ . □

**Lemma 2.8.** *A map  $H_m(x, \theta, \cdot, \cdot) : [0, 1]^2 \rightarrow \mathbb{T}$  is a homotopy between a loop  $\varphi \mapsto \Gamma_m(x, \theta, \varphi)$  and a loop  $\varphi \mapsto e^{2\pi i \cdot 2m\varphi}$  for any  $(x, \theta) \in [0, 1]^2$ .*

*Proof.* It is clear since  $H_m(x, \theta, \cdot, 0) = \Gamma_m(x, \theta, \cdot)$  and  $H_m(x, \theta, \cdot, 1) = e^{2\pi i \cdot 2m\varphi}$ . □

Lemma 2.6 and Lemma 2.7 imply that

$$\arg(\Gamma_m(x + 1, \theta, \varphi)) = \arg(\Gamma_m(x, \theta, \varphi)) = \arg(\Gamma_m(x, \theta + 1, \varphi))$$

and Lemma 2.8 implies that

$$\frac{1}{2\pi} \arg(\Gamma_m(x, \theta, \varphi + 1)) = \frac{1}{2\pi} \arg(\Gamma_m(x, \theta, \varphi)) + 2m.$$

**Theorem 2.9.** *Let  $\alpha \in [0, 1]$  and let  $\mu = re^{2\pi i\omega} \in \overline{\mathbb{D}}$ . Besides let  $a = a(\theta, \varphi)$  and  $b = b(\theta, \varphi)$  be as in Theorem 2.1. Then for the Blaschke product*

$$B_{\theta, \varphi, m}(z) = e^{2\pi i m \theta} z \left( \frac{z - a}{1 - \bar{a}z} \right)^m \left( \frac{z - b}{1 - \bar{b}z} \right)^m,$$

$B_{\theta, \varphi, m}|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$  is an orientation preserving homeomorphism. Moreover

(a) *In the case that  $0 \leq r < 1$ , there exists  $(\theta_0, \varphi_0) \in [0, 1]^2$  such that*

$$\rho(B_{\theta_0, \varphi_0, m}|_{\mathbb{T}}) = \alpha.$$

(b) *In the case that  $r = 1$ , if  $\alpha + m\omega \not\equiv 0 \pmod{1}$ , then there exists  $(\theta_0, \varphi_0) \in [0, 1]^2$  such that  $\rho(B_{\theta_0, \varphi_0, m}|_{\mathbb{T}}) = \alpha$  and  $2\varphi_0 + \theta_0 + \omega \not\equiv 0 \pmod{1}$ .*

*Proof.* In the case that  $r = 1$  and  $2\varphi + \theta + \omega \equiv 0 \pmod{1}$ ,

$$B_{\theta, \varphi, m}(z) = e^{2\pi i m(2\varphi + \theta)} z = e^{2\pi i(-m\omega)} z.$$

Therefore  $B_{\theta, \varphi, m}|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$  is an orientation preserving homeomorphism and its rotation number satisfies that  $\rho(B_{\theta, \varphi, m}|_{\mathbb{T}}) \equiv -m\omega \pmod{1}$ . In the other cases, we consider a lift

$$\tilde{B}_{\theta, \varphi, m}(x) = m\theta + x + \frac{1}{2\pi} \arg(\Gamma_m(x, \theta, \varphi))$$

of  $B_{\theta, \varphi, m}|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$  via  $x \mapsto e^{2\pi i x}$ . By Lemma 2.6,

$$\tilde{B}_{\theta, \varphi, m}(x + 1) = m\theta + x + 1 + \frac{1}{2\pi} \arg(\Gamma_m(x + 1, \theta, \varphi)) = \tilde{B}_{\theta, \varphi, m}(x) + 1$$

for all  $x \in \mathbb{R}$ . This implies that  $B_{\theta, \varphi, m}|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$  is an orientation preserving homeomorphism. Consequently the rotation number of  $\rho(\tilde{B}_{\theta, \varphi, m})$  is well defined. By Lemma 2.7, we obtain that  $\tilde{B}_{1, \varphi, m}^n(x) = \tilde{B}_{0, \varphi, m}^n(x) + mn$  and hence

$$(13) \quad \rho(\tilde{B}_{1, \varphi, m}) = \rho(\tilde{B}_{0, \varphi, m}) + m.$$

Moreover by Lemma 2.8, we obtain that  $\tilde{B}_{\theta,1,m}^n(x) = \tilde{B}_{\theta,0,m}^n(x) + 2mn$  and hence

$$(14) \quad \rho(\tilde{B}_{\theta,1,m}) = \rho(\tilde{B}_{\theta,0,m}) + 2m.$$

These two equations (13) and (14) imply that

$$\rho(\tilde{B}_{1,1,m}) = \rho(\tilde{B}_{0,0,m}) + 3m.$$

Therefore in the case that  $0 \leq r < 1$ , there exists  $(\theta_0, \varphi_0) \in [0, 1]^2$  such that

$$\alpha = \rho(B_{\theta_0, \varphi_0, m} |_{\mathbb{T}}) \equiv \rho(\tilde{B}_{\theta_0, \varphi_0, m}) \pmod{1}$$

since the rotation number function  $(\theta, \varphi) \mapsto \rho(B_{\theta, \varphi, m} |_{\mathbb{T}})$  is continuous. In the case that  $r = 1$ , if  $2\varphi + \theta + \omega \equiv 0 \pmod{1}$ , then  $\rho(B_{\theta, \varphi, m} |_{\mathbb{T}}) \equiv -m\omega \pmod{1}$ . Hence if  $\alpha + m\omega \not\equiv 0 \pmod{1}$ , then there exists  $(\theta_0, \varphi_0) \in [0, 1]^2$  such that

$$\alpha = \rho(B_{\theta_0, \varphi_0, m} |_{\mathbb{T}}) \equiv \rho(\tilde{B}_{\theta_0, \varphi_0, m}) \pmod{1}$$

and  $2\varphi_0 + \theta_0 + \omega \not\equiv 0 \pmod{1}$ . □

*Remark 2.10.* By Theorem 2.1, the degree of  $B_{\theta_0, \varphi_0, m}$  is  $2m + 1$ .

### 3. Rational functions with Siegel disks

In this section, we show Theorem 1.5. Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be a homeomorphism. If there exists  $k \geq 1$  such that

$$\frac{1}{k} \leq \left| \frac{f(x+t) - f(x)}{f(x) - f(x-t)} \right| \leq k$$

for all  $x \in \mathbb{R}$  and all  $t \geq 0$ , then  $f$  is called  $k$ -quasisymmetric. A homeomorphism  $h : \mathbb{T} \rightarrow \mathbb{T}$  is  $k$ -quasisymmetric if its lift  $\tilde{h} : \mathbb{R} \rightarrow \mathbb{R}$  is  $k$ -quasisymmetric. By the theorem of Beurling and Ahlfors, any  $k$ -quasisymmetric homeomorphism  $f : \mathbb{R} \rightarrow \mathbb{R}$  is extended to a  $K$ -quasiconformal map  $F : \mathbb{H} \rightarrow \mathbb{H}$ , where  $\mathbb{H}$  is the upper half plain (More precisely  $F : \mathbb{C} \rightarrow \mathbb{C}$ ). The dilatation  $K$  of  $F$  depends only on  $k$ . Therefore if a homeomorphism  $h : \mathbb{T} \rightarrow \mathbb{T}$  is  $k$ -quasisymmetric, then we can extend  $h$  to a  $K$ -quasiconformal map  $H : \mathbb{D} \rightarrow \mathbb{D}$  whose dilatation depends only on  $k$ .

**Theorem 3.1** (Herman-Świątek). *The rotation number  $\rho(f)$  of a real analytic orientation preserving homeomorphism  $f : \mathbb{T} \rightarrow \mathbb{T}$  is of bounded type if and only if  $f$  is quasimetrically linearizable, that is, there exists a quasimetric homeomorphism  $h : \mathbb{T} \rightarrow \mathbb{T}$  such that  $h \circ f \circ h^{-1}(z) = e^{2\pi i \rho(f)} z$ .*

Recall that

$$B_{\theta, \varphi, m}(z) = e^{2\pi i m \theta} z \left( \frac{z - a}{1 - \bar{a}z} \right)^m \left( \frac{z - b}{1 - \bar{b}z} \right)^m$$

and

$$F_{\lambda, \mu, m}(z) = z \left( \frac{z + \lambda}{\mu z + 1} \right)^m.$$



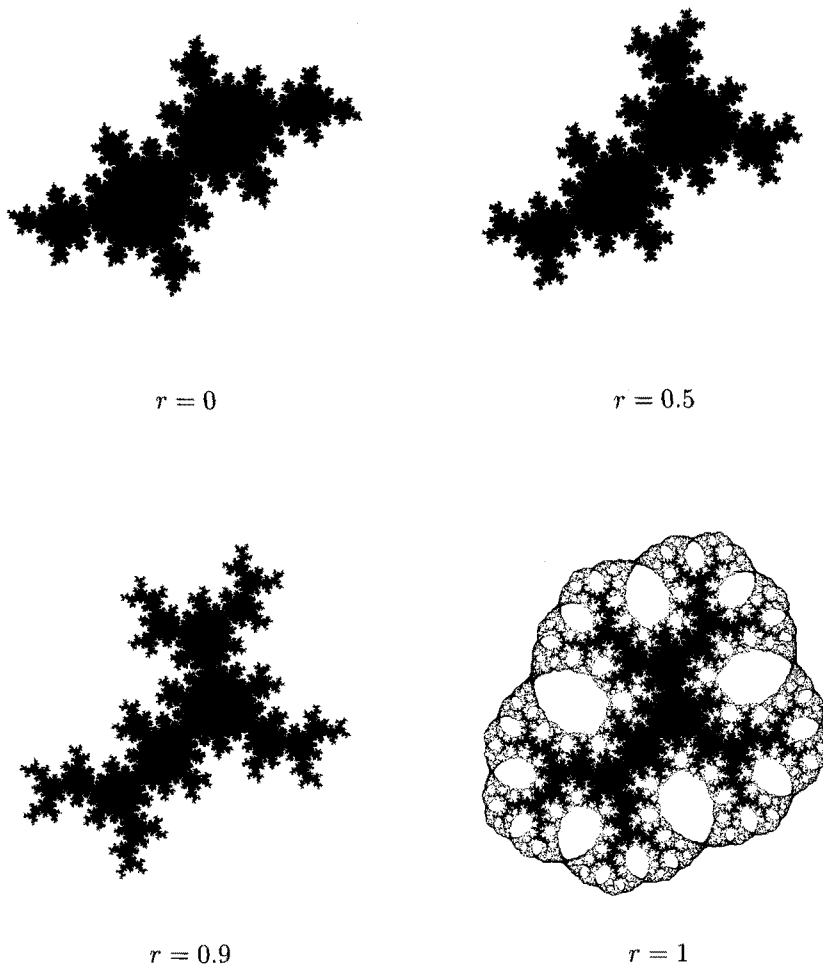


FIGURE 2. Golden Siegel disks of  $F_{\lambda, \mu, 1}$  centered at the origin, where  $\lambda = e^{2\pi i \cdot (\sqrt{5}-1)/2}$  and  $\mu = r e^{2\pi i \cdot (\sqrt{5}-1)/2}$ . In the case that  $r = 1$ , the point at infinity is the center of another golden Siegel disk.

*Proof of Theorem 1.5.* By Theorem 2.9, there exist  $(\theta, \varphi) \in [0, 1]^2$  such that the degree of  $B_{\theta, \varphi, m}$  is  $2m + 1$  and  $\rho(B_{\theta, \varphi, m} |_{\mathbb{T}}) = \alpha$ . By Theorem 3.1, there exists a quasimetric homeomorphism  $h : \mathbb{T} \rightarrow \mathbb{T}$  such that  $h \circ B_{\theta, \varphi, m} |_{\mathbb{T}} \circ h^{-1}(z) = R_\alpha(z) = e^{2\pi i \alpha} z$  since  $\alpha$  is of bounded type. By the theorem of Beurling and Ahlfors,  $h$  has a quasiconformal extension  $H : \mathbb{D} \rightarrow \mathbb{D}$  with  $H(0) = 0$ . We

define a new map  $\mathfrak{B}_{\theta,\varphi,m}$  as

$$\mathfrak{B}_{\theta,\varphi,m} = \begin{cases} B_{\theta,\varphi,m} & \text{on } \widehat{\mathbb{C}} \setminus \mathbb{D}, \\ H^{-1} \circ R_\alpha \circ H & \text{on } \mathbb{D}. \end{cases}$$

The map  $\mathfrak{B}_{\theta,\varphi,m}$  is quasiregular on  $\widehat{\mathbb{C}}$  since  $\mathbb{T}$  is an analytic curve. Moreover  $\mathfrak{B}_{\theta,\varphi,m}$  is a degree  $m + 1$  branched covering of  $\widehat{\mathbb{C}}$ . We define a conformal structure  $\sigma_{\theta,\varphi,m}$  as

$$\sigma_{\theta,\varphi,m} = \begin{cases} H^*(\sigma_0) & \text{on } \mathbb{D}, \\ \left(\mathfrak{B}_{\theta,\varphi,m}^n\right)^* \circ H^*(\sigma_0) & \text{on } \mathfrak{B}_{\theta,\varphi,m}^{-n}(\mathbb{D}) \setminus \mathbb{D} \text{ for all } n \in \mathbb{N}, \\ \sigma_0 & \text{on } \widehat{\mathbb{C}} \setminus \bigcup_{n=1}^{\infty} \mathfrak{B}_{\theta,\varphi,m}^{-n}(\mathbb{D}), \end{cases}$$

where  $\sigma_0$  is the standard conformal structure on  $\widehat{\mathbb{C}}$ . The conformal structure  $\sigma_{\theta,\varphi,m}$  is invariant under  $\mathfrak{B}_{\theta,\varphi,m}$  and its maximal dilatation is the dilatation of  $H$  since  $H$  is quasiconformal and  $B_{\theta,\varphi,m}$  is holomorphic. By the measurable Riemann mapping theorem, there exists a quasiconformal homeomorphism  $\Psi : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$  such that  $\Psi^*\sigma_0 = \sigma_{\theta,\varphi,m}$ . Therefore  $\Psi \circ \mathfrak{B}_{\theta,\varphi,m} \circ \Psi^{-1}$  is a rational map of degree  $m + 1$ . We normalize  $\Psi = \Psi_j$  by  $\Psi_j(0) = 0$ ,  $\Psi_j(b) = -\lambda_j$  and  $\Psi_j(\infty) = \infty$ , where  $\lambda_j = e^{2\pi i(\alpha+j)/m}$  for  $j \in \{1, \dots, m\}$ .

**Lemma 3.2.** *If  $\mu \neq 0$ , then there exists  $\mu_j$  with  $\mu_j^m = \mu^m$  such that*

$$F_{\lambda_j,\mu_j,m} = \Psi_j \circ \mathfrak{B}_{\theta,\varphi,m} \circ \Psi_j^{-1}.$$

*Proof of Lemma 3.2.* Define  $\xi_j$  as  $\xi_j = -\Psi_j(1/\bar{a})$ . Note that  $\lambda_j \neq \xi_j$  since such  $\Psi_j$  is unique. Since orders of zeros and poles are invariant under conjugation, we obtain that

$$\Psi_j \circ \mathfrak{B}_{\theta,\varphi,m} \circ \Psi_j^{-1}(z) = \eta_j z \left( \frac{z + \lambda_j}{z + \xi_j} \right)^m.$$

Since multipliers of fixed points are also invariant under conjugation, we obtain that

$$(15) \quad (\Psi_j \circ \mathfrak{B}_{\theta,\varphi,m} \circ \Psi_j^{-1})'(0) = \frac{\eta_j \lambda_j^m}{\xi_j^m} = e^{2\pi i \alpha}$$

and

$$(16) \quad \frac{1}{(\Psi_j \circ \mathfrak{B}_{\theta,\varphi,m} \circ \Psi_j^{-1})'(\infty)} = \frac{1}{\eta_j} = \mu^m.$$

By the equations (15) and (16), we obtain that  $(\xi_j \mu)^m = 1$ . Then there exists an  $m$ -th root of unity  $\nu_j$  such that  $\xi_j = \nu_j / \mu$ . Therefore

$$\begin{aligned} \Psi_j \circ \mathfrak{B}_{\theta, \varphi, m} \circ \Psi_j^{-1}(z) &= \frac{z}{\mu^m} \left( \frac{z + \lambda_j}{z + \nu_j / \mu} \right)^m = z \left( \frac{z + \lambda_j}{\mu z + \nu_j} \right)^m \\ &= \frac{z}{\nu_j^m} \left( \frac{z + \lambda_j}{(\mu / \nu_j) z + 1} \right)^m = z \left( \frac{z + \lambda_j}{\mu_j z + 1} \right)^m = F_{\lambda_j, \mu_j, m}(z), \end{aligned}$$

where  $\mu_j = \mu / \nu_j$ . □

Let  $\mu_j = 0$  for all  $j \in \{1, \dots, m\}$  if  $\mu = 0$ . It is easy to check that the pairs  $\{(\lambda_j, \mu_j)\}_{j=1}^m$  satisfies (i) and (ii). The map  $F_{\lambda_j, \mu_j, m}$  has a Siegel disk  $\Delta = \Psi_j(\mathbb{D})$  with a critical point  $\Psi_j(e^{2\pi i \varphi}) \in \partial \Delta$ . Moreover  $\partial \Delta = \Psi_j(\mathbb{T})$  is a quasicircle since  $\Psi_j$  is quasiconformal. □

*Proof of Corollary 1.6.* Let  $\mathcal{I}(z) = 1/z$ . Then  $F_{\lambda_j, \mu_j, m} = \mathcal{I} \circ F_{\mu_j, \lambda_j, m} \circ \mathcal{I}$ . Let  $\Delta$  and  $\Delta_\infty$  be Siegel disks of  $F_{\lambda_j, \mu_j, m}$  centered at the origin and the point at infinity respectively. By Theorem 1.5, the boundary of  $\Delta$  contains a critical point of  $F_{\lambda_j, \mu_j, m}$ . On the other hand,  $\mathcal{I}(\Delta_\infty)$  is the Siegel disk of  $F_{\mu_j, \lambda_j, m}$  centered at the origin. By Theorem 1.5, the boundary of  $\mathcal{I}(\Delta_\infty)$  contains a critical point of  $F_{\mu_j, \lambda_j, m}$ . Therefore the boundary of  $\Delta_\infty$  contains a critical point of  $F_{\lambda_j, \mu_j, m}$ . □

### References

- [1] L. Ahlfors, *Lectures on Quasiconformal Mappings*, Manuscript prepared with the assistance of Clifford J. Earle, Jr. Van Nostrand Mathematical Studies, No. 10 D. Van Nostrand Co., Inc., Toronto, Ont.-New York-London 1966.
- [2] A. Beardon, *Iteration of Rational Functions*, Complex analytic dynamical systems. Graduate Texts in Mathematics, 132. Springer-Verlag, New York, 1991.
- [3] N. Fagella and L. Geyer, *Surgery on Herman rings of the complex standard family*, Ergodic Theory Dynam. Systems **23** (2003), no. 2, 493–508.
- [4] L. Geyer, *Siegel discs, Herman rings and the Arnold family*, Trans. Amer. Math. Soc. **353** (2001), no. 9, 3661–3683.
- [5] K. Katagata, *Some cubic Blaschke products and quadratic rational functions with Siegel disks*, Int. J. Contemp. Math. Sci. **2** (2007), no. 29-32, 1455–1470.
- [6] A. Katok and B. Hasselblatt, *Introduction to the Modern Theory of Dynamical Systems*, Encyclopedia of Mathematics and its Applications, 54. Cambridge University Press, Cambridge, 1995.
- [7] J. Milnor, *Dynamics in One Complex Variable*, Vieweg, 2nd edition, 2000.
- [8] ———, *Geometry and dynamics of quadratic rational maps*, With an appendix by the author and Lei Tan. Experiment. Math. **2** (1993), no. 1, 37–83.
- [9] N. Steinmetz, *Rational Iteration*, Complex analytic dynamical systems. de Gruyter Studies in Mathematics, 16. Walter de Gruyter & Co., Berlin, 1993.
- [10] M. Yampolsky and S. Zakeri, *Mating Siegel quadratic polynomials*, J. Amer. Math. Soc. **14** (2001), no. 1, 25–78.
- [11] S. Zakeri, *Old and new on quadratic Siegel disks*, <http://www.math.qc.edu/~zakeri/papers/papers.html>.

- [12] ———, *Dynamics of cubic Siegel polynomials*, *Comm. Math. Phys.* **206** (1999), no. 1, 185–233.

INTERDISCIPLINARY GRADUATE SCHOOL OF SCIENCE AND ENGINEERING  
SHIMANE UNIVERSITY  
MATSUE 690-8504, JAPAN  
*E-mail address:* `katagata@math.shimane-u.ac.jp`