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# Blue upconversion enhancement by a factor of 200 in $\mathrm{Tm}^{3+}$-doped tellurite glass by codoping with $\mathrm{Nd}^{3+}$ ions 

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#### Abstract

We investigated near-infrared-to-blue upconversion from thulium ( $\mathrm{Tm}^{3+}$ ) doped in tellurite glasses upon continuous wave excitation near 800 nm . We observed an enhancement of over two orders of magnitude of the upconverted emission at $\sim 480 \mathrm{~nm}$ when neodymium ( $\mathrm{Nd}^{3+}$ ) ions were codoped with $\mathrm{Tm}^{3+}$ ions. For comparison, using a $\mathrm{Tm}^{3+}: \mathrm{Nd}^{3+}$ codoped fluorozirconate glass as a reference material we observed a 40-fold enhancement of the blue emission. Analysis of the blue emission for samples with different doping levels of $\mathrm{Nd}^{3+}$ ions showed that energy transfer between $\mathrm{Nd}^{3+}$ and $\mathrm{Tm}^{3+}$ is the mechanism responsible for the enhancement in upconversion. © 2002 American Institute of Physics. [DOI: 10.1063/1.1515376]


The need for short wavelength laser sources in the compact disc industry and optical data storage systems has led to the development of a great number of solid state violet and blue emitters such as semiconductor diodes, organic compounds and rare-earth doped materials. ${ }^{1-5}$ Besides being a promising candidate for optical communication amplification in the $S$ band, thulium $\left(\mathrm{Tm}^{3+}\right)$ is one of the most studied rare-earth ions for violet and blue laser operation based upon upconversion. ${ }^{6-11}$ One approach to improve the luminescence efficiency of $\mathrm{Tm}^{3+}$ is to codope it with other rare-earth ions. ${ }^{12,13}$ The choice of host material is also an important issue when one deals with improving the efficiency of the emission process. In this case, the main goal is to increase the luminescence (radiative emission) efficiency by quenching nonradiative channels (multiphonon relaxation). This is possible using matrices with low cut-off phonon energies such as fluoride glasses, but these present poor mechanical and chemical stability. Therefore, there must be a compromise between low cut-off phonon energy and good environmental stability for device operation purposes.

Tellurite glass has a wide transmission window (typically $0.4-5.0 \mu \mathrm{~m}$ ), high linear and nonlinear refractive indices, good corrosion resistance and mechanical stability, and the lowest cut-off phonon energy among oxide materials $\left(800 \mathrm{~cm}^{-1}\right)$. Although infrared laser operation has been demonstrated in neodymium ${ }^{14}$ and erbium ${ }^{15}$-doped tellurite fibers, the blue lasing potential of this material has yet to be carefully investigated. Previous results have shown that tellurite glass doped with $\mathrm{Tm}^{3+}$ is a good infrared-to-blue upconverter. ${ }^{16}$ Here we show that the upconverted blue emis-

[^0]sion of $\mathrm{Tm}^{3+}$-doped tellurite glasses is improved by over two orders of magnitude when codoped with neodymium $\left(\mathrm{Nd}^{3+}\right)$ ions. Furthermore, the upconversion enhancement is approximately five times larger than that of a $\mathrm{Tm}^{3+}: \mathrm{Nd}^{3+}$ -codoped fluorozirconate (ZBLAN) glass, thus demonstrating that $\mathrm{Tm}^{3+}: \mathrm{Nd}^{3+}$ tellurite glass is an excellent candidate for blue lasing.

The samples used were tellurite and ZBLAN glasses obtained by conventional melting/casting procedures. Base compositions were (in mol \%) (69.8 $-x) \mathrm{TeO}_{2}-10 \mathrm{GeO}_{2}-10 \mathrm{NbO}_{2}-5 \mathrm{~K}_{2} \mathrm{O}-5 \mathrm{Li}_{2} \mathrm{O}-0.2 \mathrm{TmO}_{1.5}$ $-x \mathrm{NdO}_{1.5}$ and $(52.8-x) \mathrm{ZrF}_{4}-20 \mathrm{NaF}-20 \mathrm{BaF}_{2}-4 \mathrm{LaF}_{3}$ $-3 \mathrm{AlF}_{3}-0.2 \mathrm{TmF}_{3}-x \mathrm{NdF}_{3} \quad(x=0.5,1$, and 2$)$. The samples were cut and polished to the same dimensions (4 $\times 2 \times 7 \mathrm{~mm}^{3}$ ) to guarantee the same excitation (illumination) area. The excitation source for the luminescence measurements was a homemade continuous wave (cw) tunable Ti:sapphire laser pumped by an $\mathrm{Ar}^{+}$laser. The excitation power was 350 mW and the laser spot size at the sample was $\sim 30 \mu \mathrm{~m}$. The upconverted luminescence emission was collected perpendicular to the excitation direction and the signal was spectrally resolved using a monochromator and detected by a photomultiplier tube (1P28). All the measurements were made at room temperature.

Figures 1(a)-1(d) show the upconversion signal of tellurite and ZBLAN glasses doped with $\mathrm{Tm}^{3+}(0.2 \mathrm{~mol} \%)$ and codoped with $\mathrm{Tm}^{3+}(0.2 \mathrm{~mol} \%)$ and $\mathrm{Nd}^{3+}(0.5 \mathrm{~mol} \%)$ under cw excitation at 795 nm . This excitation wavelength is resonant with transitions ${ }^{3} H_{6} \rightarrow{ }^{3} H_{4}$ of $\mathrm{Tm}^{3+}$ and ${ }^{4} I_{9 / 2}$ $\rightarrow\left({ }^{4} F_{5 / 2},{ }^{2} H_{9 / 2}\right)$ of $\mathrm{Nd}^{3+}$. The bands peaked at $\sim 463$ and at $\sim 480 \mathrm{~nm}$ correspond, respectively, to transitions ${ }^{1} D_{2} \rightarrow{ }^{3} F_{4}$ and ${ }^{1} G_{4} \rightarrow{ }^{3} H_{6}$ of $\mathrm{Tm}^{3+}$. An additional line is observed at


FIG. 1. Upconverted signal for (a) tellurite glass doped with $\mathrm{Tm}^{3+}(0.2 \mathrm{~mol}$ $\%$ ); (b) ZBLAN glass doped with $\mathrm{Tm}^{3+}(0.2 \mathrm{~mol} \%)$; (c) tellurite glass codoped with $\mathrm{Tm}^{3+}(0.2 \mathrm{~mol} \%)$ and $\mathrm{Nd}^{3+}(0.5 \mathrm{~mol} \%)$; (d) ZBLAN glass codoped with $\mathrm{Tm}^{3+}(0.2 \mathrm{~mol} \%)$ and $\mathrm{Nd}^{3+}(0.5 \mathrm{~mol} \%)$; upon near-infrared (795 nm) excitation.
$\sim 530 \mathrm{~nm}$ that represents the transition ${ }^{2} G_{9 / 2} \rightarrow{ }^{4} I_{9 / 2}$ of $\mathrm{Nd}^{3+}$. Other lines (not shown here) were detected in ZBLAN glass corresponding to $\mathrm{Nd}^{3+}$ emissions at $\sim 371 \mathrm{~nm}\left({ }^{4} D_{3 / 2}\right.$ $\left.\rightarrow{ }^{4} I_{11 / 2},{ }^{2} P_{3 / 2} \rightarrow{ }^{4} I_{9 / 2}\right), \quad \sim 396 \mathrm{~nm}\left({ }^{4} D_{3 / 2} \rightarrow{ }^{4} I_{13 / 2},{ }^{2} P_{3 / 2}\right.$ $\left.\rightarrow{ }^{4} I_{11 / 2}\right), \quad \sim 425 \mathrm{~nm}\left({ }^{2} P_{1 / 2} \rightarrow{ }^{4} I_{9 / 2},{ }^{4} D_{3 / 2} \rightarrow{ }^{4} I_{15 / 2}\right), \quad$ and $\sim 580 \mathrm{~nm}\left({ }^{2} H_{11 / 2} \rightarrow{ }^{4} I_{9 / 2}\right)$ and $\mathrm{Tm}^{3+}$ transition at $\sim 376 \mathrm{~nm}\left({ }^{1} D_{2} \rightarrow{ }^{3} H_{6}\right)$. We did not observe upconversion luminescence from level ${ }^{1} D_{2}$ of $\mathrm{Tm}^{3+}$ in our tellurite glasses. This is because the absorption edge of our tellurite glass has a lower energy than level ${ }^{1} D_{2}$, causing luminescence quenching. ${ }^{9}$ The same reasoning applies to the absence of upconversion luminescence originating from level ${ }^{2} P_{1 / 2}$ and higher lying levels of $\mathrm{Nd}^{3+}$ in our tellurite glasses. Using an InGaAs photodetector, lines in the red and infrared were also observed in both tellurite and ZBLAN samples but analysis of these lines will not be addressed here.

Figure 2(a) shows the integrated intensity of the blue luminescence ( $\sim 480 \mathrm{~nm}$ ) and Fig. 2(b) shows the enhancement of the blue intensity for the samples studied. The enhancement of the blue intensity is defined as the ratio of the integrated intensity (the area below the line peaked at $\sim 480 \mathrm{~nm}$ ) between codoped samples and the samples doped only with $\mathrm{Tm}^{3+}$. Note that the enhancement of the blue signal for $\mathrm{Tm}^{3+}: \mathrm{Nd}^{3+}$ tellurite glass is very high (over two orders of magnitude). Moreover the enhancement of the $\mathrm{Tm}^{3+}: \mathrm{Nd}^{3+}$ tellurite glass is approximately five times larger than that of $\mathrm{Tm}^{3+}: \mathrm{Nd}^{3+}$ ZBLAN glass. Figure 2 also indicates that the optimum $\mathrm{Nd}^{3+}$ concentration for the samples studied is $0.5 \mathrm{~mol} \%$.

The energy level diagram for the blue upconversion $(\sim 480 \mathrm{~nm})$ process involving $\mathrm{Tm}^{3+}$ and $\mathrm{Nd}^{3+}$ is shown in Fig. 3. Two mechanisms may lead to the blue upconversion: excited state absorption ( ${ }^{3} H_{5} \rightarrow{ }^{1} G_{4}$ ) and energy transfer from $\mathrm{Nd}^{3+}$ to $\mathrm{Tm}^{3+}$. The observation of enhancement of the luminescence at $\sim 480 \mathrm{~nm}$ from $\mathrm{Tm}^{3+}$ due to the presence of $\mathrm{Nd}^{3+}$ ions shown in Fig. 2 indicates that energy transfer is


FIG. 2. (a) Intensity of the upconverted blue emission. (b) Intensity of the upconverted blue emission normalized by the data from a $\mathrm{Tm}^{3+}$ single doped sample. Closed circles represent the data for tellurite glass and open squares represent the data for ZBLAN glass.
the most relevant upconversion channel: after promotion of population to levels ${ }^{3} H_{4}$ of $\mathrm{Tm}^{3+}$ and $\left({ }^{2} H_{9 / 2},{ }^{4} F_{5 / 2}\right)$ of $\mathrm{Nd}^{3+}$, fast nonradiative relaxation takes place from levels $\left({ }^{2} H_{9 / 2},{ }^{4} F_{5 / 2}\right)$ to level ${ }^{4} F_{3 / 2}$ of $\mathrm{Nd}^{3+}$. Then, energy transfer may take place from $\mathrm{Nd}^{3+}$ to $\mathrm{Tm}^{3+} .{ }^{12}$ This energy transfer channel is shown by the dotted lines labeled by (I) in Fig. 3. Furthermore, we observed luminescence quenching of the signal at $\sim 1.47 \mu \mathrm{~m}\left({ }^{3} \mathrm{H}_{4} \rightarrow{ }^{3} F_{4}\right)$ for the codoped samples which is another indication of the importance of channel (I). Figure 2 shows that the blue emission also decreases with an increase in $\mathrm{Nd}^{3+}$ concentration for both tellurite and ZBLAN hosts and quenching of the luminescence may be due to two different energy transfer processes: (a) energy transfer between neighboring excited $\mathrm{Nd}^{3+}$ ions and (b) energy transfer from excited $\mathrm{Tm}^{3+}$ ions to $\mathrm{Nd}^{3+}$ ions in the ground state, shown by the dotted lines labeled (II) in Fig. 3. The difference is that in case (b) the lifetime of level ${ }^{1} G_{4}$ is modified (shortened) due to channel (II).

To clarify this point the dynamics of the population at level ${ }^{1} G_{4}$ were studied by monitoring the temporal evolution of the blue signals using an electronically modulated diode laser as the pump source. The time resolution of the mea-


FIG. 3. Energy level schematic and the relevant channels responsible for the blue upconversion at 480 nm .

TABLE I. Rise $\left(\tau_{r}\right)$ and decay time $\left(\tau_{d}\right)$ of the upconverted blue emission at $\sim 480 \mathrm{~nm}$ for the samples studied. The results are shown for the samples doped with $0.2 \mathrm{~mol} \%$ of $\mathrm{Tm}^{3+}$ and $x \mathrm{~mol} \%$ of $\mathrm{Nd}^{3+}$. The measurement time resolution is $\sim 0.02 \mathrm{~ms}$. The excitation wavelength is 809 nm . The excitation power is 225 mW .

| Sample | $x=0.5$ |  | $x=1.0$ |  | $x=2.0$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\tau_{r}(\mathrm{~ms})$ | $\tau_{d}(\mathrm{~ms})$ | $\tau_{\mathrm{r}}(\mathrm{ms})$ | $\tau_{\mathrm{d}}(\mathrm{ms})$ | $\tau_{\mathrm{r}}(\mathrm{ms})$ | $\tau_{\mathrm{d}}(\mathrm{ms})$ |
| Tellurite | 0.22 | 0.18 | 0.20 | 0.13 | 0.13 | 0.08 |
| ZBLAN | 0.69 | 0.52 | 0.54 | 0.40 | 0.35 | 0.36 |

surement system is $\sim 0.02 \mathrm{~ms}$. The results found for the rise and decay times of the blue luminescence for tellurite and ZBLAN glasses are shown in Table I. First, we must recall that the rise time is a function of the lifetimes of intermediate states participating in the upconversion process, mainly level ${ }^{3} \mathrm{H}_{4}$ which has a lifetime of $\sim 0.31 \mathrm{~ms}$ for tellurite and $\sim 0.74 \mathrm{~ms}$ for ZBLAN glasses. ${ }^{17}$ The decay time of the signals is about the same order of magnitude of the lifetime of level ${ }^{1} G_{4}$ which was estimated to be $\sim 0.33 \mathrm{~ms}$ for tellurite and $\sim 0.68 \mathrm{~ms}$ for ZBLAN glasses using Judd-Ofelt theory. ${ }^{18}$ Note also that Table I shows that the rise and decay times of the blue emissions decrease with an increasing concentration of $\mathrm{Nd}^{3+}$ for the glasses studied. This is favorable evidence for the relevance of the energy transfer mechanisms shown in Fig. 3.

In summary, a significant increase (over two orders of magnitude) of the blue upconversion intensity in $\mathrm{Tm}^{3+}$-doped tellurite glass was observed due to the presence of $\mathrm{Nd}^{3+}$. The mechanism responsible for the upconversion enhancement is energy transfer from $\mathrm{Nd}^{3+}$ to $\mathrm{Tm}^{3+}$. The enhancement of the signal was approximately five times
larger than that observed in $\mathrm{Tm}^{3+}: \mathrm{Nd}^{3+}$ ZBLAN glass, demonstrating the high potential of $\mathrm{Tm}^{3+}: \mathrm{Nd}^{3+}$ codoped tellurite glass for photonic applications in the blue region of the spectrum, particularly for a diode pumped compact blue laser.

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