

## BOUNDARY REPRESENTATIONS FOR FAMILIES OF REPRESENTATIONS OF OPERATOR ALGEBRAS AND SPACES

MICHAEL A. DRITSCHHEL and SCOTT A. MCCULLOUGH

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**ABSTRACT.** In analogy with the peak points of the Shilov boundary of a uniform algebra, Arveson defined the notion of boundary representations among the completely contractive representations of a unital operator algebra. However, he was unable to show that such representations always exist. Dropping his original condition that such representations should be irreducible, we show that a family of representations (in Agler's sense) of either an operator algebra or an operator space has boundary representations. This leads to a direct proof of Hamana's result that all unital operator algebras have enough such boundary representations to generate the  $C^*$ -envelope.

**KEYWORDS:** *Operator algebras, representations, Agler families, boundary representations,  $C^*$ -envelopes, liftings, dilations, Shilov boundary.*

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### 1. INTRODUCTION

Concretely, an operator algebra  $\mathcal{A}$  is a subalgebra of  $\mathcal{B}(K)$ , the bounded linear operators on some Hilbert space  $K$ . It is unital if it contains the identity operator. The algebra  $M_\ell(\mathcal{A})$  of  $\ell \times \ell$  matrices with entries from  $\mathcal{A}$  inherits a norm as a subspace of  $M_\ell(\mathcal{B}(K))$  identified canonically with  $\mathcal{B}\left(\bigoplus_1^\ell K\right)$ . The Blecher, Ruan and Sinclair Theorem ([5]) characterizes unital operator algebras in terms of a matrix norm structure, while a theorem of Blecher ([4]) does the same for non-unital algebras assuming the algebra multiplication is completely bounded. Consequently it is possible to speak abstractly of an operator algebra without reference to an ambient  $\mathcal{B}(K)$ .

Likewise operator spaces are concretely viewed as vector subspaces of some  $\mathcal{B}(K)$ . In a manner akin to that for operator algebras they too may be characterized in terms of a matrix norm structure, as Ruan showed ([11]).

A linear mapping  $\phi : \mathcal{A} \rightarrow \mathcal{B}(H)$  ( $\mathcal{A}$  is either an operator algebra or an operator space) induces a linear mapping  $\phi_\ell : M_\ell(\mathcal{A}) \rightarrow \mathcal{B}\left(\bigoplus_1^\ell H\right)$  by applying  $\phi$  entry-wise, so that  $\phi_\ell(a_{jm}) = (\phi(a_{jm}))$ . The map  $\phi$  is completely bounded if  $\phi$  is bounded and there exists  $C$ , independent of  $\ell$ , such that  $\|\phi_\ell\| \leq C$ , it is completely contractive if it is completely bounded with  $C \leq 1$ , and it is completely isometric if  $\phi_\ell$  is an isometry for each  $\ell$ . Finally, a representation of  $\mathcal{A}$  on the Hilbert space  $H$  is an algebra homomorphism  $\phi : \mathcal{A} \rightarrow \mathcal{B}(H)$  (or simply a bounded linear map in the case that  $\mathcal{A}$  is an operator space). If  $\mathcal{A}$  is a unital operator algebra, it is assumed that any representation of  $\mathcal{A}$  takes the unit to the identity operator.

A *boundary representation* ([3], [2]) of the unital operator algebra  $\mathcal{A}$  consists of a completely isometric homomorphism  $\phi : \mathcal{A} \rightarrow \mathcal{C}$ , where  $\mathcal{C}$  is a  $C^*$ -algebra and  $C^*(\phi(\mathcal{A})) = \mathcal{C}$ , together with a representation  $\pi : \mathcal{C} \rightarrow \mathcal{B}(H)$  such that the only completely positive map on  $\mathcal{C}$  agreeing with  $\pi$  on  $\phi(\mathcal{A})$  is  $\pi$  itself. In originally defining boundary representations, Arveson also required that they be irreducible. We do not impose this condition.

The  $C^*$ -envelope of  $\mathcal{A}$  (either an operator algebra or an operator space), denoted by  $C_e^*(\mathcal{A})$ , is the essentially unique smallest  $C^*$ -algebra amongst those  $C^*$ -algebras  $\mathcal{C}$  for which there is a completely isometric homomorphism  $\phi : \mathcal{A} \rightarrow \mathcal{C}$ . For instance, if  $\mathcal{A}$  is a uniform algebra, then  $C_e^*(\mathcal{A})$  is the  $C^*$ -algebra of continuous functions on the Shilov boundary of  $\mathcal{A}$ . In fact, in this case the irreducible boundary representations correspond to peak points of  $\mathcal{A}$ . Arveson proved that  $C_e^*(\mathcal{A})$  exists provided there are enough boundary representations for  $\mathcal{A}$ . However, the existence of  $C_e^*(\mathcal{A})$  does not imply the existence of boundary representations and Hamana ([7]) established the existence of  $C_e^*(\mathcal{A})$  for operator algebras in general without recourse to boundary representations.

In this note we show, by elaborating on a construction of Agler essential to his approach to model theory ([1]) and using a characterization of boundary representations due to Muhly and Solel ([9]), that boundary representations exist, and then following an argument similar to Arveson's, we also derive the existence of  $C_e^*(\mathcal{A})$ .

Agler's approach is to consider a *family*  $\mathcal{F}_\mathcal{A}$  of representations of an associative algebra  $\mathcal{A}$  (which is not necessarily an operator algebra). This is a collection of representations which is

- (1) Closed with respect to direct sums (so if  $\{\pi_\alpha\}$  is an arbitrary set of representations in the family, then  $\bigoplus_\alpha \pi_\alpha$  is also a representation in the family);
- (2) Hereditary (that is, if  $\phi$  is a representation in the family and  $L$  is a subspace which is invariant for all  $\phi(a)$ ,  $a \in \mathcal{A}$ , then  $\phi|_L$ , the restriction of  $\phi$  to  $L$ , is also in the family);
- (3) Closed with respect to unital  $*$ -representations (so if  $\phi : \mathcal{A} \rightarrow \mathcal{B}(H)$ , and  $\nu : \mathcal{B}(H) \rightarrow \mathcal{B}(K)$  is a unital  $*$ -representation, then  $\nu \circ \phi$  is in the family).

If  $\mathcal{A}$  is non-unital, then we also require

- (4)  $\mathcal{A}$  is closed with respect to spanning representations with respect to the partial ordering on dilations (defined below).

When  $\mathcal{A}$  is unital, (1)–(3) can be shown to imply (4), using an argument similar to that used to prove (1) of Theorem 1.1 in [6].

Agler's definition of a family may readily be taken over to subspaces of associative algebras without alteration, and we do so here.

A consequence of (1) is that for each  $a \in \mathcal{A}$ , there is a constant  $C_a$  such that  $\sup_{\pi \in \mathcal{F}_{\mathcal{A}}} \|\pi(a)\| \leq C_a$ , and indeed, we may define a norm  $\|\cdot\|_{\mathcal{F}_{\mathcal{A}}}$  on  $\mathcal{A}$  such that  $\|a\|_{\mathcal{F}_{\mathcal{A}}}$  is this supremum. Endowing  $\mathcal{A}$  with this norm (which we do whenever  $\mathcal{A}$  is not already a normed algebra or a subspace thereof), it happens that all representations in  $\mathcal{F}_{\mathcal{A}}$  become contractive representations. On the other hand, if  $\mathcal{A}$  is already (a subspace of) a normed algebra, then from (1) we likewise deduce that all representations are uniformly bounded in norm.

Special examples of families include the collection of all completely contractive representations of an operator space  $\mathcal{A}$  and the collection of all representations  $\pi$  of the disc algebra such that  $\pi(z)$  is an isometry.

A representation  $\phi$  *lifts* to a representation  $\psi$  if  $\phi$  is the restriction of  $\psi$  to an invariant subspace. Following Agler ([1]) we will say that the representation  $\phi : \mathcal{A} \rightarrow \mathcal{B}(H)$  is *extremal*, if whenever  $K$  is a Hilbert space containing  $H$  and  $\psi : \mathcal{A} \rightarrow \mathcal{B}(K)$  is a representation such that  $H$  is invariant for  $\psi(\mathcal{A})$  and  $\phi = \psi|_H$ , then  $H$  reduces  $\psi(\mathcal{A})$ . Further, if  $\rho : \mathcal{A} \rightarrow \mathcal{B}(L)$  is a representation, then  $\rho$  lifts to an extremal representation; i.e., there exists a Hilbert space  $H$  containing  $L$  and an extremal representation  $\phi$  of  $\mathcal{A}$  such that  $L$  is invariant for  $\phi(\mathcal{A})$  and  $\rho = \phi|_L$  ([1], Proposition 5.10).

Lifting induces a partial ordering on representations, with  $\phi_\alpha \leq \phi_\beta$  being equivalent to  $\phi_\alpha$  lifting to  $\phi_\beta$ . If  $S$  is a totally ordered set of liftings (with respect to this partial ordering), then we define the *spanning representation*  $\phi_s : \mathcal{A} \rightarrow \mathcal{B}(H_s)$  by setting  $H_s$  to be the closed span of the  $H_\alpha$ 's over all  $\alpha \in S$ , and then densely defining  $\phi_s$  to be  $\phi_\alpha$  on  $H_\alpha$  and extending to all of  $H_s$  by boundedness of the representations  $\phi_\alpha$ . It is readily verified that  $\phi_s$  is a representation which lifts each  $\phi_\alpha$ .

The representation  $\phi : \mathcal{A} \rightarrow \mathcal{B}(H)$  dilates to the representation  $\psi : \mathcal{A} \rightarrow \mathcal{B}(K)$  if  $K$  contains  $H$  and  $\phi(a) = P_H \psi(a)|_H$  for all  $a \in \mathcal{A}$ . A fundamental result of Sarason ([12]) says that a representation  $\phi$  dilates to a representation  $\psi$  if and only if  $H$  is semi-invariant for  $\psi$ . Thus, there exists subspaces  $L \subset N \subseteq K$  invariant for  $\psi$  such that  $H = N \ominus L$ . Alternatively,  $K = L \oplus H \oplus M$  with  $L$  and  $L \oplus H$  invariant for  $\phi$ . Just as in the case of liftings, dilating induces a partial ordering on representations in the obvious manner. We can also similarly define spanning representations of totally ordered sets of representations, and this is what is used in item (4) above. Note that liftings are also dilations (with  $L = \{0\}$ ). Hence the partial ordering on dilations subsumes that of liftings, and in particular, any spanning representation of liftings is one in terms of dilations as well.

As was noted above, families of representations over unital algebras contain all spanning representations formed from chains of representations in the family, though it appears that this assumption needs to be added in the non-unital setting and for operator spaces. On the other hand, there are interesting collections of representations which are closed with respect to (1) and (4) of a family, but not necessarily (2) and (3). For example, take the algebra complex polynomials in a variable  $z$  and consider the collection of all representations obtained by mapping  $z$  to a contractive co-hyponormal operator. Since the theorems we prove below only depend on existence of spanning representations and the uniform boundedness of representations, we define the *extended family* of an associative algebra  $\mathcal{A}$  to be a collection of representations of  $\mathcal{A}$  which is closed under the formation of direct sums and spanning representations. We likewise define the notion of an extended family for subspaces of an associative algebra.

For dilations, the equivalent of an extremal will be referred to as a  $\partial$ -representation. The representation  $\phi : \mathcal{A} \rightarrow \mathcal{B}(H)$  is a  $\partial$ -representation if whenever  $\psi : \mathcal{A} \rightarrow \mathcal{B}(K)$  dilates  $\phi$ , then  $H$  reduces  $\psi(\mathcal{A})$ .

Muhly and Solel ([9]) show, in the language of Hilbert modules rather than representations, that for unital operator algebras  $\partial$ -representations coincide with boundary representations (forgetting the irreducibility requirement).

**THEOREM 1.1.** *Let  $\mathcal{A}$  be a unital operator algebra. Then  $\rho : \mathcal{A} \rightarrow \mathcal{B}(H)$  is a  $\partial$ -representation if, and only if, given any completely isometric map  $\phi : \mathcal{A} \rightarrow \mathcal{C}$  where  $\mathcal{C}$  is a  $C^*$  algebra with  $\mathcal{C} = C^*(\phi(\mathcal{A}))$ , there exists a boundary representation  $\pi : C^*(\phi(\mathcal{A})) \rightarrow \mathcal{B}(H)$  such that  $\pi \circ \phi = \rho$ .*

The proof of Muhly and Solel of this equivalence uses the existence of the  $C^*$ -envelope. Our main result and proof of the existence of the  $C^*$ -envelope do not depend on their work. However, it should be noted that a proof of the equivalence which does not already assume the existence of the  $C^*$ -envelope is possible, and we sketch a proof below based along a line of reasoning in Theorem 1.2 of [8].

*Sketch of the proof of Theorem 1.1.* Suppose  $\phi : \mathcal{A} \rightarrow \mathcal{C} = C^*(\phi(\mathcal{A}))$  is completely isometric and  $\pi : C^*(\phi(\mathcal{A})) \rightarrow \mathcal{B}(H)$  is a boundary representation. Set  $\rho = \pi \circ \phi$ , and note that it is completely contractive. Suppose  $\nu : \mathcal{A} \rightarrow \mathcal{B}(K)$  dilates  $\rho$ . The goal is to show that  $H$  reduces  $\nu$ .

To this end, define a map  $\gamma : \phi(\mathcal{A}) \rightarrow \mathcal{B}(K)$  by  $\gamma(\phi(a)) = \nu(a)$ ,  $a \in \mathcal{A}$ . This map is completely contractive, and so by the Arveson extension theorem extends to a completely positive unital map  $\gamma : C^*(\phi(\mathcal{A})) \rightarrow \mathcal{B}(K)$  with  $\gamma \circ \phi = \nu$ . Observe that the map which takes  $b \mapsto P_H \gamma(\phi(b))|_H$ ,  $b \in C^*(\phi(\mathcal{A}))$  is completely positive, and by definition,  $P_H \gamma(\phi(a))|_H = \rho(a) = \pi(\phi(a))$  for all  $a \in \mathcal{A}$ . We have assumed that  $\pi$  is a boundary representation, so in fact  $P_H \gamma(\phi(b))|_H = \pi(b)$  for all  $b \in C^*(\phi(\mathcal{A}))$ . From this we have for all  $a \in \mathcal{A}$ ,

$$\rho(a)\rho(a)^* = \pi(\phi(a))\pi(\phi(a))^*$$

$$\begin{aligned}
&= \pi(\phi(a)\phi(a)^*) \\
&= P_H\gamma(\phi(a)\phi(a)^*)|H \\
&\geq P_H\gamma(\phi(a))\gamma(\phi(a)^*)|H = P_H\nu(a)\nu(a)^*|H \\
&\geq P_H\gamma(\phi(a))P_H\gamma(\phi(a)^*)|H = P_H\nu(a)P_H\nu(a)^*|H \\
&= \rho(a)\rho(a)^*,
\end{aligned}$$

where the first inequality is the Cauchy-Schwarz inequality for completely positive maps ([10]). From this we see that  $\nu(a)^*H \subseteq H$ . An identical argument gives  $\nu(a)H \subseteq H$ , proving that  $H$  reduces  $\nu$ .

The converse is a straightforward exercise and is left to the reader. ■

Unless otherwise stated, we shall henceforth assume that  $\mathcal{A}$  is either an associative algebra or a subspace of such an algebra. We will prove the following:

**THEOREM 1.2.** *If  $\rho : \mathcal{A} \rightarrow \mathcal{B}(H)$  is a representation in an extended family  $\mathcal{F}_{\mathcal{A}}$ , then there exists a Hilbert space  $K$  containing  $H$  and a  $\partial$ -representation  $\phi : \mathcal{A} \rightarrow \mathcal{B}(K)$  also in  $\mathcal{F}_{\mathcal{A}}$  such that  $\rho$  dilates to  $\phi$ .*

As mentioned above, Arveson's original definition of boundary representation required  $\pi$  to be irreducible. Note that Theorem 1.2 does not imply the existence of irreducible boundary representations.

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The remainder of the paper is organized as follows. Section 2 establishes Theorem 2.1 giving the existence of extremals, which form the core of any model in Agler's approach to model theory ([1]). Although versions of this result are quite old, no proofs yet appear in the literature. In Section 3 we prove Theorem 1.2 and in Section 4 we explain how to obtain the existence of  $C_e^*(\mathcal{A})$  from Theorem 1.2.

## 2. LIFTINGS AND EXTREMALS

In Agler's approach to model theory a family is a collection of representations of a unital algebra satisfying the first three canonical axioms listed in the last section. A key result in his model theory is that an arbitrary member of a family  $\mathcal{F}_{\mathcal{A}}$  lifts to an extremal member of the family  $\mathcal{F}_{\mathcal{A}}$  ([1], Proposition 5.10). We establish this result for extended families of associative algebras and their subspaces.

**THEOREM 2.1.** *If  $\rho : \mathcal{A} \rightarrow \mathcal{B}(H)$  is a representation in an extended family  $\mathcal{F}_{\mathcal{A}}$ , then  $\rho$  lifts to an extremal representation in  $\mathcal{F}_{\mathcal{A}}$ .*

We establish a preliminary version of Theorem 2.1 in Lemma 2.2 below, and then indicate a proof of the theorem based on the lemma.

Suppose the representation  $\phi_\alpha : \mathcal{A} \rightarrow \mathcal{B}(H_\alpha)$  lifts to the representation  $\phi_\beta : \mathcal{A} \rightarrow \mathcal{B}(H_\beta)$ . Then the lifting is *trivial* if  $H_\alpha$  is reducing, not just invariant, for  $\phi_\beta(\mathcal{A})$ . If the only liftings of  $\phi_\alpha$  are trivial, then  $\phi_\alpha$  is extremal.

If  $\phi_\beta$  lifts  $\phi_\alpha$ , then we define a lifting  $\phi_\delta : \mathcal{A} \rightarrow \mathcal{B}(H_\delta)$  of  $\phi_\beta$  to be *strongly non-trivial* with respect to  $\phi_\alpha$  if there exists an  $a \in \mathcal{A}$  such that

$$P_{H_\delta \ominus H_\beta} \phi_\delta(a)^* | H_\alpha \neq 0.$$

Otherwise, the lifting is *weakly trivial* relative to  $\phi_\alpha$ . Finally,  $\phi_\beta$  is *weakly extremal* relative to  $\phi_\alpha$  if every lifting of  $\phi_\beta$  is weakly trivial relative to  $\phi_\alpha$ .

LEMMA 2.2. *Each representation  $\phi_0 : \mathcal{A} \rightarrow \mathcal{B}(H_0)$  in an extended family  $\mathcal{F}_\mathcal{A}$  lifts to a representation in  $\mathcal{F}_\mathcal{A}$  which is weakly extremal relative to  $\phi_0$ .*

*Proof.* The proof is by contradiction. Accordingly, suppose  $\phi_0$  does not lift to a weakly extremal representation relative to  $\phi_0$ .

Let  $\kappa_0$  be the cardinality of the set of points in the unit sphere of  $H_0$ ,  $\kappa_1$  the cardinality of the set of elements in the unit ball of  $\mathcal{A}$ . Set  $\kappa = 2^{\aleph_0 \cdot \kappa_0 \cdot \kappa_1} > \kappa_0 \cdot \kappa_1$ . Let  $\lambda$  be the smallest ordinal greater than or equal to  $\kappa$ . Note that for each  $a$ , there is a  $C_a > 0$  so that for  $\pi \in \mathcal{F}_\mathcal{A}$ ,  $\|\pi(a)h\| \leq C_a \|h\|$  for all  $h \in H_0$  and  $a \in \mathcal{A}$ .

Construct a chain of liftings in  $\mathcal{F}_\mathcal{A}$  by transfinite recursion on the ordinal  $\lambda$  as follows: if  $\alpha \leq \lambda$ , and  $\alpha$  has a predecessor, let  $\phi_\alpha$  denote a strong (with respect to  $\phi_0$ ) nontrivial lifting of  $\phi_{\alpha-1}$ . Such a lifting exists by the assumption that  $\phi_0$  does not lift to a weak extremal. If  $\alpha$  is a limit ordinal, set  $\phi_\alpha$  to the spanning representation of  $\{\phi_\delta\}_{\delta < \alpha}$ . For any  $h$  in the unit sphere of  $H_0$  and  $a$  in the unit ball of  $\mathcal{A}$ , there are at most countably many  $\alpha$ 's with predecessors where  $P_{H_\alpha \ominus H_{\alpha-1}} \phi_\alpha(a)^* h \neq 0$ . Since the cardinality of the set of ordinal numbers less than or equal to  $\lambda$  and having a predecessor is  $\kappa$ , there must be an ordinal  $\beta < \lambda$  with predecessor where  $P_{H_\beta \ominus H_{\beta-1}} \phi_\beta(a)^* h = 0$  for all  $h$  in the unit sphere of  $H_0$  and  $a$  in the unit ball of  $\mathcal{A}$ , so that  $\phi_\beta$  is a lifting of  $\phi_{\beta-1}$  which is weakly trivial with respect to  $\phi_0$ ; a contradiction, ending the proof. ■

*Proof of Theorem 2.1.* We use Theorem 2.2 to prove Theorem 2.1. Let  $\phi_0 : \mathcal{A} \rightarrow \mathcal{B}(H_0)$  denote a given representation. Lift  $\phi_0$  to a representation  $\phi_1 : \mathcal{A} \rightarrow \mathcal{B}(H_1)$  which is weakly extremal relative to  $\phi_0$ . Lift  $\phi_1$  to a representation  $\phi_2$  which is weakly extremal relative to  $\phi_1$ . Continuing in this manner, constructs a chain  $\phi_j$ ,  $j \in \mathbb{N}$ , with respect to the partial order on liftings with the property that  $\phi_j$  is weakly extremal relative to  $\phi_{j-1}$ . The resultant spanning representation  $\phi_\infty : \mathcal{A} \rightarrow \mathcal{B}(H_\infty)$  lifts  $\phi_0$  and it is easily checked to be extremal, since it is weakly extremal relative to  $\phi_j$  for all  $j \in \mathbb{N}$ . ■

It is not difficult to see that the restriction of an extremal to a reducing subspace is an extremal. Also, in Theorem 2.1 if we were to take the intersection of

all reducing subspaces of  $\phi_\infty$  containing  $H_0$ , we end up with the smallest reducing subspace for  $\phi_\infty$  containing  $H_0$ . Restricting to this gives a minimal extremal  $\phi_e$  lifting  $\phi_0$ , in the sense that if  $\psi$  lifts  $\phi_0$  and  $\psi \leq \phi_e$ , then  $\psi = \phi_e$ . Of course  $\phi_e$  may still be reducible even if  $\phi_0$  is irreducible. In addition, there may be non-isomorphic minimal extremal liftings of  $\phi_0$ .

### 3. DILATIONS AND BOUNDARY REPRESENTATIONS

Let  $\phi_\alpha : \mathcal{A} \rightarrow \mathcal{B}(H_\alpha)$  be a representation. In parallel with the theory of liftings, a dilation  $\phi_\beta : \mathcal{A} \rightarrow \mathcal{B}(H_\beta)$  is termed *trivial* if  $H_\alpha$  is reducing for  $\phi_\beta(\mathcal{A})$ . If the only dilations of  $\phi_\alpha$  are trivial ones, then  $\phi_\alpha$  is a  $\partial$ -representation.

Likewise, suppose  $\phi_\delta \geq \phi_\beta \geq \phi_\alpha$  in the partial ordering for dilations, with the representations mapping into the operators on  $H_\delta$ ,  $H_\beta$  and  $H_\alpha$ , respectively. By assumption, we can write  $H_\delta = L_\delta \oplus H_\beta \oplus M_\delta$ , where  $L_\delta$  and  $L_\delta \oplus H_\beta$  are invariant for  $\phi_\delta$ . We say that  $\phi_\alpha$  is *strongly non-trivial* with respect to  $\phi_\alpha$  if there exists an  $a \in \mathcal{A}$  such that either

$$P_{L_\delta} \pi_\beta(a)|_{H_\alpha} \neq 0 \quad \text{or} \quad P_{M_\delta} \pi_\beta(a)^*|_{H_\alpha} \neq 0.$$

Otherwise, the dilation is said to be *weakly trivial* relative to  $\phi_\alpha$ . Finally,  $\phi_\beta$  is a *weak  $\partial$ -representation* relative to  $\phi_\alpha$  if every lifting of  $\phi_\beta$  is weakly trivial relative to  $\phi_\alpha$ .

LEMMA 3.1. *Each representation  $\phi_0 : \mathcal{A} \rightarrow \mathcal{B}(H_0)$  in an extended family  $\mathcal{F}_\mathcal{A}$  dilates to a weak  $\partial$ -representation relative to  $\phi_0$  which is also in  $\mathcal{F}_\mathcal{A}$ .*

*Proof.* The proof closely follows that of the existence of weak extremals, and is by contradiction. Hence we suppose  $\phi_0$  does not lift to a weak  $\partial$ -representation relative to  $\phi_0$ . We define the ordinal  $\lambda$  as in the proof of Lemma 2.2.

Construct a chain of dilations in  $\mathcal{F}_\mathcal{A}$  where each of the representations by transfinite recursion on the ordinal  $\lambda$  as in Lemma 2.2: if  $\alpha \leq \lambda$  and  $\alpha$  is a limit ordinal, set  $\phi_\alpha$  to the spanning representation of  $\{\phi_\delta\}_{\delta < \alpha}$  and if  $\alpha$  has a predecessor, let  $\phi_\alpha$  be a dilation to a strong (with respect to  $\phi_0$ ) nontrivial dilation of  $\phi_{\alpha-1}$ , which exists by the assumption that  $\phi_0$  does not lift to a weak  $\partial$ -representation. Then for any  $h$  in the unit sphere of  $H_0$  and  $a$  in the unit ball of  $\mathcal{A}$ , there are at most countably many  $\alpha$ 's with predecessors where  $P_{L_\delta} \pi_\beta(a)h \neq 0$  or  $P_{M_\delta} \pi_\beta(a)^*h \neq 0$ . The same reasoning then gives a representation  $\phi_\beta$  in our chain dilating  $\phi_{\beta-1}$  which is weakly trivial with respect to  $\phi_0$ , a contradiction. ■

*Proof of Theorem 1.2.* This now follows the proof of Theorem 2.1. Construct a countably infinite chain of representations  $\{\phi_i\}$  into the bounded operators on Hilbert spaces  $H_i$ , where  $\phi_i$  is a weak  $\partial$ -representation with respect to  $\phi_{i-1}$  for each  $i \in \mathbb{N}$ . Let  $\phi_\infty$  denote the spanning representation on the Hilbert space  $H_\infty$ . Since a dilation of a weak  $\partial$ -representation with respect to a representation  $\phi$  is

also a weak  $\partial$ -representation with respect to  $\phi$ ,  $\phi_\infty$  is a weak  $\partial$ -representation with respect to  $\phi_i$  for all  $i$ . It easily follows that  $\phi_\infty$  is a  $\partial$ -representation. ■

Minimal  $\partial$ -representations dilating a given representation can be defined in the manner of minimal extremals.

#### 4. THE $C^*$ -ENVELOPE AND THE SHILOV IDEAL

The  $C^*$ -envelope of the operator algebra  $\mathcal{A}$ , denoted  $C_e^*(\mathcal{A})$ , is a  $C^*$ -algebra which is determined by the property: there exists a completely isometric representation  $\gamma : \mathcal{A} \rightarrow C_e^*(\mathcal{A})$  such that  $C^*(\gamma(\mathcal{A})) = C_e^*(\mathcal{A})$  and if  $\rho : \mathcal{A} \rightarrow \mathcal{B}(H)$  is any other completely contractive representation, then there exists an onto representation  $\pi : C^*(\rho(\mathcal{A})) \rightarrow C^*(\gamma(\mathcal{A}))$  such that  $\pi(\rho(a)) = \gamma(a)$  for all  $a \in \mathcal{A}$ .

It is not hard to see that  $C_e^*(\mathcal{A})$  is essentially unique, for if  $\rho$  also has the properties of  $\gamma$ , then there exists an onto representation  $\sigma : C^*(\gamma(\mathcal{A})) \rightarrow C^*(\rho(\mathcal{A}))$  with  $\sigma(\gamma(a)) = \rho(a)$  for all  $a \in \mathcal{A}$ . It follows that  $\sigma$  is the inverse of  $\pi$  and thus, as  $C^*$ -algebras,  $C^*(\gamma(\mathcal{A}))$  equals  $C^*(\rho(\mathcal{A}))$ .

**THEOREM 4.1 ([7]).** *Every unital operator algebra has a  $C^*$ -envelope.*

*Proof.* A proof follows directly from Theorem 1.2. Viewing the operator algebra  $\mathcal{A}$  as a subspace of  $\mathcal{B}(K)$ , the inclusion mapping  $\iota : \mathcal{A} \rightarrow \mathcal{B}(K)$  is a completely isometric representation and thus, according to this proposition, it dilates to a completely isometric representation  $\gamma : \mathcal{A} \rightarrow \mathcal{B}(H)$  which is a  $\partial$ -representation.

To see that  $C^*(\gamma(\mathcal{A}))$  is the  $C^*$ -envelope, suppose  $\psi : \mathcal{A} \rightarrow \mathcal{B}(H_\psi)$  is also completely isometric. In this case  $\sigma : \psi(\mathcal{A}) \rightarrow \mathcal{B}(H)$  given by  $\sigma(\psi(a)) = \gamma(a)$  is completely contractive (and thus well-defined). By a theorem of Arveson, there exists a Hilbert space  $K$  containing  $H$  and a representation  $\pi : C^*(\psi(\mathcal{A})) \rightarrow \mathcal{B}(K)$  such that  $\gamma(a) = \sigma(\psi(a)) = P_H \pi(\psi(a))|_H$  ([10], Corollary 6.7). Since  $a \mapsto P_H \pi(\psi(a))|_H$  is a representation of  $\mathcal{A}$  and  $\gamma$  is a  $\partial$ -representation,  $H$  reduces  $\pi(\psi(\mathcal{A}))$ . Thus,  $\sigma$  extends to an onto representation  $C^*(\psi(\mathcal{A})) \rightarrow C^*(\gamma(\mathcal{A}))$ . ■

Arveson says that  $\mathcal{J}$  is the *Shilov boundary* of the concrete operator algebra  $\mathcal{A} \subset \mathcal{B}(K)$  if  $\mathcal{J}$  contains every ideal  $\mathcal{I}$  with the property that the restriction of the quotient  $q : C^*(\mathcal{A}) \rightarrow C^*(\mathcal{A})/\mathcal{I}$  to  $\mathcal{A}$  is completely isometric. Since the inclusion of  $\mathcal{A}$  into  $\mathcal{B}(K)$  is completely isometric, there exists an onto representation  $\pi : C^*(\mathcal{A}) \rightarrow C_e^*(\mathcal{A}) = C^*(\gamma(\mathcal{A}))$  such that  $\pi(a) = \gamma(a)$ , where  $\gamma$  is a representation as in Theorem 4.1 which generates the  $C^*$ -envelope of  $\mathcal{A}$ . It is left to the interested reader to verify that the kernel of  $\pi$  is the Shilov ideal of  $\mathcal{A}$ .

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M.A. DRITSCHEL, DEPARTMENT OF MATHEMATICS, SCHOOL OF MATHEMATICS AND STATISTICS, MERZ COURT, UNIVERSITY OF NEWCASTLE UPON TYNE, NEWCASTLE UPON TYNE, NE1 7RU, UK

*E-mail address:* M.A.Dritschel@newcastle.ac.uk

S.A. MCCULLOUGH, DEPARTMENT OF MATHEMATICS, UNIVERSITY OF FLORIDA, BOX 118105, GAINESVILLE, FL 32611-8105, USA

*E-mail address:* sam@math.ufl.edu

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