



# **Original Article**

# Brain abscess due to *Cladophialophora bantiana*: a review of 124 cases

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Received 5 June 2015; Revised 28 July 2015; Accepted 8 September 2015

# Abstract

Brain abscess caused by Cladophialophora bantiana is a rare disease associated with high mortality due to delay in diagnosis and absence of standardized therapy. We reviewed 124 culture proven C. bantiana brain abscess cases; 103 cases published in English literature during 1952 through 2014 and 21 unpublished cases from our reference center. The majority (57.3%) of the patients was from Asian countries especially from India (62/124, 50%). The diagnosis of the cases was delayed with mean duration 115 days after developing symptoms. The disease was nearly equally distributed in immunocompetent and immunosuppressed hosts but associated with significantly higher mortality (77.1%) in later group. Complete excision of brain lesion in immunocompetent host led to significantly better survival (43.7%). Though all commercially available antifungal drugs have been used in these patients, amphotericin B deoxycholate or lipid preparations were most commonly (62.83%) prescribed agent. None of the drugs used was found to be independently associated with improved outcome. In vitro antifungal susceptibility testing of 13 isolates of our center, demonstrated good activity to voriconazole, posaconazole, and itraconazole, but these triazoles were prescribed in only 29.2% patients. Increased awareness with early suspicion of the disease, and aggressive medical and surgical approach in treating these patients may improve the outcome.

Key words: brain abscess, Cladophialophora bantiana, phaeohyphomycosis, antifungal.

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#### Introduction

*Cladophialophora bantiana* is the most common melanized mycelial fungus causing brain abscess (nearly 50% of brain abscess due to melanized fungi).<sup>1</sup> It poses as a serious threat due to the associated high mortality. The disease is reported both in immunocompromised and immunocompetent hosts with male predominance for unknown reason. Though the disease is prevalent worldwide, considerable number of cases have been reported from Asian countries especially from India.<sup>2</sup>

*C. bantiana* is a ubiquitous soil saprophyte inhabiting living and dead plant material. The exposure to soil and plant matter possibly leads to paranasal sinus or pulmonary infection, whereas traumatic inoculation causes subcutaneous infection.<sup>2</sup> The fungus may involve the brain by direct extension from sinuses or via blood or lymphatics from primary site of infection such as lungs or subcutaneous tissue. In the brain, the infection presents as space occupying lesion mimicking tumour, tuberculosis or other microbial infection.<sup>3</sup> Due to relative rarity of the disease and lack of specific symptoms and signs, the disease is difficult to diagnose *ante mortem*, and many cases are diagnosed accidentally during surgery or autopsy. The early diagnosis and appropriate therapy remain a challenge in such patients.

There is hardly any case series describing the clinical course, therapy, and outcome of the disease. As *C. bantiana* brain abscess is comparatively more prevalent in India, we reviewed the cases recorded at our referral center and those reported in the literature to evaluate the descriptive epidemiology and clinical characteristics, therapeutic regimen practised, and the outcome of the disease.

# Methods

#### Cases at our mycology referral center, Chandigarh

The microbiology records (from 2004 through 2014) were reviewed to identify the brain abscess cases due to *C. bantiana*. In addition, the cases referred to this reference centre from laboratories across the country were included. The medical records of all these cases were analysed to evaluate demography, clinical characteristics, management, and outcome. Identity of all the isolates were confirmed both by phenotypic and molecular characters. The molecular identification was done by sequencing the ITS portion of ribosomal DNA. The antifungal susceptibility testing of the isolates was performed for available 13 clinical isolates using microbroth dilution technique in accordance with CLSI guidelines (M38/A2 protocol) for amphotericin B, fluconazole, itraconazole, voriconazole, posaconazole, and three echinocandins (caspofungin, anidulafungin, micafungin).<sup>4</sup>

#### Literature search

Medline (National Library of Medicine, Bethesda, MD, USA) was searched for the period 1952 to 2014 with terms 'brain abscess', 'melanized fungi', 'phaeohyphomycosis', 'cerebral', 'meningitis', '*Cladophialophora bantiana*', '*Xy-lohypha bantiana*', and '*Cladosporium trichoides*'. Only cases from English literature were reviewed. A case of brain abscess, localized or as part of disseminated disease, due to culture proven *C. bantiana* were included in the review.

#### Statistical analysis

The data were analyzed using SPSS 17.0 (SPSS Inc, Chicago, IL). The data are presented as frequencies and percentages or means and standard deviation (SD). The categorical variables were compared by Pearson's  $\chi^2$  test and Fisher's exact test, while Student's *t*-test was used to compare continuous data. The logistic regression was used to formulate a predictive model for mortality. A *P* value <.05 was considered as significant.

#### Results

Of 409 brain abscess samples processed at our center over the period of 2004 through 2014, 33 cases (8.1%) were due to fungi and *C. bantiana* was isolated from 5 (15.2%) cases. Additionally, 16 *C. bantiana* brain abscess cases with their isolates were referred to us from various parts of our country for confirmation of diagnosis or identification of isolates.

From literature search additional 103 cases of brain abscess due to C. bantiana were identified. The worldwide distribution of C. bantiana brain abscess cases is depicted in Figure 1. The available data on clinical characteristics, management and outcome of 124 cases were analyzed. The majority (n = 71, 57.3%) of C. bantiana brain abscess were from Asian countries, and 53 (42.7%) were from other continents; India was the major contributor (n = 62, 87.3%) of cases from Asian countries (Fig. 1). The number of patients diagnosed in India increased considerably during last four years (2011-2014). Among patients from other continents (n = 53), 32 were from North America, 11 from Europe, 4 from Africa, 5 from South America, and 1 from Australia. Males (88, 73.3%) with mean age of  $40 \pm 17.8$  years were common sufferers. The data on rural or urban origin of the patients were available in 49 patients; 40 (81.6%) of them were from rural origin.

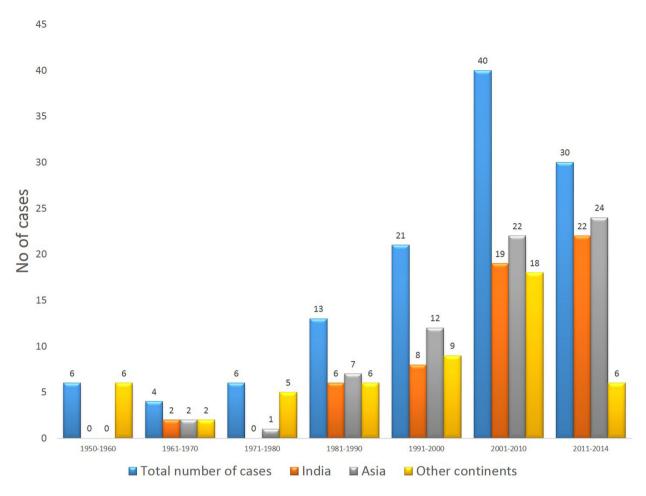


Figure 1. Worldwide distribution of Cladophialophora bantiana brain abscess cases from 1950 to 2014. This Figure is reproduced in color in the online version of Medical Mycology.

#### **Clinical characteristics**

The salient clinical features of C. bantiana brain abscess cases are given in Table 1 and Supplementary Table 1. The majority (71/120, 59.2%) of the patients were immunocompetent. Among immunosuppressed patients (n = 49,40.8%), long-term steroid use was noted in 24 patients, solid organ transplantation in 19 patients, immunosuppressive therapy in 6 patients, malignancy in 5 patients, and one patient had AIDS (Table 1 and Supplementary Table 1). Ten patients had uncontrolled diabetes, and one had tuberculosis as comorbidity. The patients presented with headache (56.1%), hemiparesis (54.2%), seizures (31.8%), altered sensorium (30.8%), fever (22.4%), vomiting (18.7%), aphasia/dysarthria (14.9%), and visual disturbance (11.2%). The majority (92.9%) of the patients had localized brain abscess, and 8 (7.1%) immunosuppressed patients developed brain abscess as a part of disseminated disease. Single lesion was noted in 74 (67.3%) patients, while multiple lesions were noted in 36 (32.7%) patients (Table 1 and Supplementary Table 1). In the order of frequency, the lesions were in frontal (53.1%), parietal

(42.3%), or occipital (10%) region. The ring enhancing lesion on radiology (CT or MRI) was noted in 87 (84.5%) patients. Thirteen (11.9%) patients had meningitis in addition to brain abscess. Cerebrospinal fluid (CSF) examination revealed sugar (mean  $53 \pm 32.74$  mg/dl), protein (mean  $236.21 \pm 513.94$  mg/100 ml) and cells (mean  $542 \pm 969.012$  cubic mm) with neutrophil predominance.

#### Therapy

The antifungal treatment history could be evaluated in 113 patients (Table 1 and Supplementary Table 1). A complete excision of lesion was performed in 52 (46.4%) patients, partial excision in 41 (36.7%) patients. The antifungal therapy was instituted in 90 (78.2%) patients. The therapy included amphotericin B deoxycholate (48.7%), 5-fluorocytosine (18.5%), voriconazole (17.7%), combination of amphotericin B and 5-fluorocytosine (15.3%), lipid preparations of amphotericin B (14.2%), fluconazole (14.2%), posaconazole (3.5%), itraconazole (7.9%), echinocandins (3.5%), and ketoconazole (1.8%).

	Total	Asia	Other continents	P value
Clinical characteristics	[N/Total patients (%)]	[N/Total patients; (%)]	[N/Total patients; (%)]	
Gender				
Males			35/53 (66)	0.058
Females	32/120 (26.6)	53/67 (79.1) 14/67 (20.9)	18/53 (34)	0.058
Clinical features				
Headache	60/107 (56.1)	38/61 (62.3)	22/46 (47.8)	0.135
Hemiparesis	58/107 (54.2)	30/61 (49.2)	28/46 (61)	0.230
Seizure	34/107 (31.8)	25/61 (41)	9/46 (19.5)	0.018
Altered sensorium	33/107 (30.8)	15/61 (24.6)	18/46 (39)	0.107
Fever	24/107 (22.4)	16/61 (26.2)	8/46 (17.4)	0.278
Vomiting	20/107 (18.7)	11/61 (18)	9/46 (19.6)	0.840
Aphasia/dysarthria	16/107 (14.9)	7/61 (11.5)	9/46 (19.5)	0.245
Meningitis	13/109 (11.9)	9/62 (14.5)	4/47 (8.5)	0.338
Visual disturbance	12/107 (11.2)	3/61 (4.9)	9/46 (19.5)	0.017
Unsteady gait	9/107 (8.4)	5/61 (8.2)	4/46 (8.7)	1.000
Dissemination	8/112 (7.1)	1/65 (1.5)	7/47 (14.8)	0.009
History of CSOM	3/110 (2.7)	0/63 (0)	3/47 (6.3)	0.075
Sinus involvement	2/110 (1.8)	1/63 (1.6)	1/47 (2.1)	1.000
Risk of exposure to soil	40/49 (81.6)	25/33 (75.7)	15/16 (93.7)	0.238
Drug abuse	3/27 (11.1)	0/20 (0)	3/7 (42.8)	0.012
History of trauma	3/107 (2.8)	2/61 (3.3)	1/46 (2.1)	1.000
Immune status		. ,		
Immunocompetent	71/120 (59.2)	44/67 (65.7)	27/53 (51)	0.103
Immunocompromised	49/120 (40.8)	23/67 (34.3)	26/53 (49)	0.103
Immunosuppressants	6/120 (5.0)	1/67 (1.5)	5/53 (9.4)	0.047
Steroids	24/120 (20)	10/67 (14.9)	14/53 (26.4)	0.118
SOT	19/120 (15.9)	8/67 (11.9)	11/53 (20.7)	0.189
Malignancy	5/120 (4.2)	2/67 (2.9)	3/53 (5.7)	0.654
AIDS	1/120 (0.8)	1/67 (1.5)	0/53 (0)	1.000
Comorbidities				
Diabetes mellitus	10/120 (8.3)	6/67 (8.9)	4/53 (7.5)	1.000
Tuberculosis	1/120 (0.8)	1/67 (1.5)	0/53 (0)	1.000
Site /number/type of lesions				
Frontal lesion	59/111 (53.1)	39/64 (61)	20/47 (42.5)	0.055
Parietal lesion	47/111 (42.3)	32/64 (50)	15/47 (32)	0.057
Occipital lesion	11/111 (10)	5/64 (7.8)	6/47 (12.7)	0.523
Single lesion	74/110 (67.3)	46/64 (71.8)	28/46 (60.9)	0.225
Multiple lesions	36/110 (32.7)	18/64 (28.1)	18/46 (39.1)	0.225
Ring enhancing lesions	87/103 (84.5)	59/62 (95.2)	28/41 (68.3)	0.000
Management				
Surgery	101/118 (85.6)	59/66 (89.4)	42/52 (80.8)	0.185
Partial excision	41/112 (36.7)	21/62 (33.9)	20/50 (40)	0.503
Complete excision	52/112 (46.4)	32/62 (51.6)	20/50 (40)	0.221
Antifungal	90/115 (78.2)	52/64 (81.2)	38/51 (74.5)	0.384
dAmB	55/113 (48.7)	31/62 (50)	24/51 (47)	0.756
5-Flucytosine	21/113 (18.5)	7/62 (11.3)	14/51 (27.5)	0.028
Voriconazole	20/113 (17.7)	10/62 (16.1)	10/51 (19.6)	0.630
Fluconazole	16/113 (14.2)	11/62 (17.7)	5/51 (9.8)	0.228
Lipid AmB	16/113 (14.2)	5/62 (8.1)	11/51 (21.6)	0.040
Itraconazole	9/113 (7.9)	3/62 (4.8)	6/51 (11.8)	0.295
Posaconazole	4/113 (3.5)	2/62 (3.2)	2/51 (3.9)	1.000
Caspofungin	3/113 (2.7)	1/62 (1.6)	2/51 (3.9)	0.588
Ketoconazole	2/113 (1.8)	1/62 (1.6)	1/51 (1.9)	1.000
Micafungin	1/113 (0.8)	1/62 (1.6)	0/51 (0)	1.000
Surgery + Antifungal	81/115 (70.4)	49/64 (76.6)	32/51 (62.7)	0.107
Mortality	73/112 (65.2)	32/60 (53.3)	41/52 (78.9)	0.005

**Table 1.** Comparison of clinical characteristics of patients with brain abscess due to *Cladophialophora bantiana* in two regions (Asia and other continents).

Abbreviations: AIDS, acquired immunodeficiency syndrome; AmB, amphotericin B; CSOM, chronic suppurative otitis media; dAmB, amphotericin B; SOT, solid organ transplant recipient.

S. no	Isolate No. NCCPF	AmB	Flu	Vor	Itra	Posa	Casp	Ani	Mica
1	350012	8	16	0.06	0.03	0.06	4	2	8
2	350016	8	32	0.06	0.03	0.12	4	8	8
3	350041	0.5	32	0.25	0.12	0.12	4	4	8
4	350023	0.06	> = 64	0.25	0.12	0.03	1	8	8
5	350022	0.06	> = 64	0.06	0.12	0.03	1	8	8
6	350010	0.5	32	0.125	0.125	0.03	1	1	2
7	350047	1	8	0.06	0.250	0.03	2	1	4
8	350031	8	32	0.03	0.06	0.03	0.250	0.250	0.125
9	350032	2	16	0.06	0.125	0.03	4	4	4
10	350049	8	64	0.125	0.5	0.125	2	0.5	4
11	350052	0.5	64	0.06	0.06	0.03	2	2	4
12	350053	1	> = 64	0.06	0.250	0.03	2	0.5	2
13	350051	4	64	0.250	0.125	0.03	4	1	4

Abbreviations: AmB, amphotericin B; Ani, anidulafungin; Casp, caspofungin; Flu, fluconazole; Itra, itraconazole; Mica, micafungin; NCCPF, national culture collection of pathogenic fungi; Posa, posaconazole; Vor, Voriconazole.

Note: Data are minimum inhibitory concentrations or for the echinocandins, minimal effective concentrations, expressed as mg/l or µg/ml.

#### Outcome

The overall mortality was 65.2%, which was significantly high in immunosuppressed patients (77.1%) compared to immunocompetent hosts (56.3%) (P < .022) (Supplementary Tables 1 and 2). The survival was more (54.0%) in patients where complete excision was possible. Logistic regression analysis revealed that a complete excision (54%) was significant independent progonostic factor for survival. (OR = 3.36, 95% CI = 1.057–10.638; P = .04). No significant difference in survival was noted with the use of any antifungal agent or combination of antifungal agents. Multivariate analysis identified symptoms of aphasia/dysarthria (OR 12.466; 95% CI 1.237–125.674; P = .032), altered sensorium (OR 7.229; 95% CI 1.789–29.219; P = .006) and immunocompromised status (OR 3.356; 95% CI 1.040– 10.829; P = .043) as significant predictors for mortality.

#### Antifungal susceptibility testing

The isolates had low minimum inhibitory concentration (MIC) against all azoles tested except fluconazole (Table 2). Six (46.2%) isolates had MIC > 1  $\mu$ g/ml against amphotericin B. The MIC against echinocandins was consistently high in all isolates except one; two additional isolates had MIC of 0.5  $\mu$ g/ml against anidulafungin.

# Comparison of clinical characteristics of Asia patients vs other continents

The results are summarized in Table 1. The Asian patients were significantly younger ( $35.7 \pm 15.9$  years) compared to other continents ( $45.5 \pm 19.4$  years) (P = .003). Immuno-competent patients were more (65.7%) in Asian countries,

while disseminated disease was recorded mainly from other continents. The survival was significantly more (46.7%) in Asian patients (P = .005), though 50% patients were treated with amphotericin B deoxycholate. The liposomal amphotericin B and 5-flucytosine uses were significantly higher (21.6% and 27.5%, respectively) in other than Asian continent. The newer azoles (voriconazole and posaconazole) and echinocandins were used in limited cases in both regions.

#### Discussion

C. bantiana is a highly neurotropic fungus and the presence of melanin in its cell wall possibly helps in CNS localization.<sup>1</sup> The taxonomy of this species has undergone many changes and has been reported earlier by several older names such as Cladosporium trichoides, Cladosporium bantianum, Xylohypha bantiana.<sup>5</sup> The first culture proven case of brain abscess due to this fungus was described in an immunocompetent American by Binford in 1952.6 Following that report, many C. bantiana brain abscess cases were reported worldwide as case reports.7-83 Majority (57.3%) of these cases was recorded from Asian continent especially from India (50%). The largest series of 10 cases over 27 years was reported from a neuroscience centre in Southern India.<sup>69</sup> Other Indian cases are reported as case report or couple of cases. At our center, the incidence of C. bantiana brain abscess was 8.1% of all fungal brain abscess cases. As the clinical course, management and outcome of the disease were not comprehensively analyzed, we reviewed all cases diagnosed and referred at our centre with all published cases in English literature. The Asian cases were compared with rest of the world. Being a review

of retrospective case reports, there is inconsistency in clinical details and follow-up. The analysis of the data is less than ideal, but it provides a description of the clinical disease pattern, usual therapy practiced, and outcome. There is a rise in number of cases reported in last decade (Fig. 1).

The males in the active age group (mean  $40 \pm 17.8$  years) are common sufferers of the disease. The reason for this is not clear. Possibly they get the exposure while working in farming land, as this fungus is believed to inhabit plant material and soil. This fungus can tolerate and grow at higher temperature (>39°C). As *C. bantiana* brain abscess is more prevalent in Asian countries, it is tempting to believe that the high temperature of tropical region of these countries allows this fungus to thrive. A detailed environmental survey for this fungus in this region is essential to understand exact epidemiology of the disease.

The infection is seen both in apparently immunocompetent and immunocompromised hosts with slight predominance (59.2%) in the former group. The mode of entry of *C*. *bantiana* is not clearly understood. As the lesions are seen in many parts of brain including frontal (53.1%), parietal (42.3%), occipital (10%) regions, the agent possibly enters brain through bloodstream from an innocuous site of infection in lung or subcutaneous traumatic inoculation site.

The early diagnosis of the disease is a formidable challenge due to its rarity and lack of specific symptoms and signs of the disease. The mean duration of symptoms before diagnosis was 115 days in this review. The common clinical presentations of C. bantiana brain abscess mimic space occupying lesion (SOL) with headache, fever, altered sensorium, hemiparesis, aphasia/dysarthria, and vomiting. It is difficult to diagnose or differentiate the disease from other common SOL like malignancy or tuberculoma. The lesions in the brain can be single or multiple involving the grey and white matter of cerebral cortex. Rarely the lesion may be found in choroid plexus, thalamus, basal ganglia, and cerebellum. Though a rise in protein in CSF was noted, it did not help in suspecting the disease. The disease is equally prevalent in immunocompetent and immunocompromised hosts. Therefore, until a specific biomarker or serological test is developed for screening, a strong clinical suspicion is required for early diagnosis, especially in Asian countries. Any peripheral localization of infection in subcutaneous tissue or lung may help in diagnosis. The increase in number of cases diagnosed from Asian countries in the last four years indicates the possibility of an increased awareness of the disease in this region.

There is no standard therapy for *C. bantiana* brain abscess. Recently ESCMID and ECMM joint guideline recommended complete excision of encapsulated abscess combined with antifungal mono or combination therapy.<sup>84</sup> During the last century, most of the patients were treated

with amphotericin B deoxycholate and 5-flucytosine. Lipid preparations of amphotericin B were used infrequently and its use in Asian countries was rare possibly due to high cost of the drug. Fluconazole and itraconazole were used in occasional patients. Fluconazole crosses blood brain barrier well, but it has little activity against C. bantiana.<sup>2,85</sup> Fluconazole MIC was high (range  $8 \ge 64 \ \mu g/ml$ ) in our 13 isolates tested. Comparatively itraconazole has better activity against C. bantiana, but it penetrates poorly into the CSF.86 However, itraconazole can concentrate in brain tissue.<sup>87</sup> 5-flucytosine has in vitro activity against dematiaceous fungi including C. bantiana and can penetrate the central nervous system (CNS).88,89 This drug is prescribed in combination with amphotericin B and never used alone. The newer agents, voriconazole and posaconazole have a good in vitro susceptibility against C. bantiana as noted in our isolates. The in vivo outcome cannot be commented, as those drugs were used in few clinical cases. Voriconazole penetrates central nervous system well, whereas posaconazole has been found to be useful in invasive model of CNS phaeohyphomycosis.<sup>90</sup> Echinocandins penetrate CSF poorly; the five patients treated with this drug in this series had little success. The susceptibility testing of all our 13 isolates showed high MICs against all three echinocandins. However, echinocandin is recommended in combination therapy with azole and flucytosine when surgery is not possible.<sup>84</sup> The reason for this is not clear. Terbinafine has good in vitro activity against dematiaceous fungi, but the drug was not used in any patient with C. bantiana abscess.<sup>91</sup> None of the drugs used in this series against C. bantiana brain abscess was found to be independently associated with improved outcome, though voriconazole and posaconazole use was marginally supported by ESCMID and ECMM joint guideline.<sup>84</sup> The mortality of C. bantiana brain abscess was high at 65.2%. Only complete excision of lesion in immunocompetent host was found to be significantly associated with better outcome. Several issues like delay in diagnosis, variable in vitro susceptibility of the fungus to antifungal drug, and the site of lesion play important role in poor outcome in the disease. Studies are required for successful early diagnostic test and effective combination of antifungal drugs to get success against this refractive infection.

In conclusion, *C. bantiana* brain abscess is an emerging infection in Asian countries, especially India. The disease has been observed with relative high frequencies in young, immunocompetent individuals from rural background. The disease is diagnosed only by conventional techniques including biopsy and culture, which are insensitive and take long turnaround time. Triazoles other than fluconazole have good *in vitro* activity against the fungus. A complete early excision of the lesion with effective antifungal drug may improve the outcome of these cases.

# Acknowledgments

We thank Dr Umabala Pamidimukkala, Dr Bansidhar Tarai, Dr Anita Sharma, Dr Sukanya R, Dr Archana Asokan, Dr Bhavin Kapadia, Dr Bhupendra Patel, Dr Rajeshwari Narayan, and Dr Ratna Rao for information on the clinical cases.

### Declaration of interest

The authors report no conflicts of interest. The authors alone are responsible for the content and the writing of the paper.

# **Supplementary material**

Supplementary material is available at *Medical Mycology* online (http://www.mmy.oxfordjournals.org/).

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