

# Capsid-like particles decorated with the SARS2-CoV-2 receptor-binding domain elicit strong virus neutralization activity

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## Article

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# Title

## Capsid-like particles decorated with the SARS2-CoV-2 receptor-binding domain elicit strong virus neutralization activity

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## Abstract

The rapid development of a SARS-CoV-2 vaccine is a global priority. Here, we developed two capsid-like particle (CLP)-based vaccines displaying the receptor-binding domain (RBD) of the SARS-CoV-2 spike protein. RBD antigens were displayed on AP205 CLPs through a novel split-protein Tag/Catcher ensuring unidirectional and high-density display of RBD. Both soluble recombinant RBD, and RBD displayed on CLPs bound the ACE2 receptor with nanomolar affinity. Mice were vaccinated with soluble RBD or CLP-displayed RBD, formulated in Squalene-Water-Emulsion. The RBD-CLP vaccines induced higher levels of serum anti-RBD antibodies, than the soluble RBD vaccines. Remarkably, one injection with our lead RBD-CLP vaccine in mice elicited virus neutralization antibody titers comparable to those found in patients which had recovered from Covid-19. Following booster vaccinations, the virus neutralization titers exceeded those measured after natural infection, at serum dilutions above 1:10.000. Thus, the RBD-CLP vaccine is highly promising candidates for preventing COVID-19 disease.

## Introduction

Starting in December 2019, the Severe acute respiratory syndrome corona virus 2 (SARS-CoV-2) outbreak rapidly spread, and by March 2020, the World Health Organization (WHO) declared a public health emergency of international concern (PHEIC)<sup>1</sup>. SARS-CoV-2 belongs to the subfamily of *Coronavirinae* comprising at least seven members known to infect humans, including the highly pathogenic strains, SARS-CoV and Middle East respiratory syndrome corona virus (MERS-CoV)<sup>2</sup>. The symptoms of the disease (COVID-19) range from mild flu-like symptoms, including cough and fever, to life threatening complications. Both SARS-CoV and SARS-CoV-2 use highly glycosylated homotrimeric spike proteins to engage angiotensin-converting enzyme 2 (ACE2) on host cells to initiate cell entry<sup>3-7</sup>. The SARS-CoV spike proteins are known targets of protective immunity, eliciting both neutralizing antibodies and T-cell responses upon natural infection<sup>8</sup>. Consequently, the spike protein is a primary target for SARS-CoV-2 vaccine development, with emphasis on the receptor-binding domain (RBD), which appears to be the target for most neutralizing antibodies<sup>9-15</sup>. The urgent need of an effective SARS-CoV-2 vaccine, to contain the worldwide pandemic and prevent new viral outbreaks, has led to a global effort involving a wide range of vaccine technologies. These include genetic-based (mRNA and DNA) principles<sup>16,17</sup>, replicating/non-replicating viral vectors (measles<sup>18</sup>, adenovirus<sup>19,20</sup>, baculovirus) recombinant proteins or peptides<sup>21</sup>, virus-like particles (VLPs)/nanoparticles or inactivated and live-attenuated viral vaccines<sup>22-24</sup>. In fact, more than 120 SARS-CoV-2 candidate vaccines are currently registered by WHO, of which 21 are currently undergoing clinical testing<sup>25</sup>. We have developed a SARS-CoV-2 vaccine based on a platform similar to the well-characterized Tag/Catcher-AP205 derived

66 technology<sup>26,27</sup>. Accordingly, a split-protein Tag/catcher system<sup>28,29,30</sup> is used to conjugate and display the RBD  
67 of the SARS-CoV-2 spike protein on the protein surface of preassembled AP205 capsid-like particles (CLPs).  
68 CLPs are supramolecular structures assembled from multiple copies of a single viral coat protein, thus  
69 resembling the structure of the virus from which they are derived<sup>31</sup>. Importantly, CLPs are considered safe,  
70 as they do not contain any viral material and thus cannot infect or replicate<sup>32</sup>. Their resemblance with native  
71 viruses make them highly immunogenic, with important immunogenic features like : their size (thus enabling  
72 direct draining to the lymph nodes) and their repetitive surface epitope-display<sup>33-37</sup>. In fact, many preclinical  
73 studies have shown that high-density and unidirectional antigen-display on CLPs consistently increase the  
74 immunogenicity of the presented antigen, and promotes strong and durable antigen-specific antibody  
75 responses<sup>38,39</sup>. Importantly, the immune activating properties of the repetitive CLP epitope-display appear to  
76 be universally recognized in all mammalian species, including humans<sup>40,41</sup>. Indeed, a strong proof-of-concept  
77 in humans has been established by the Human Papillomavirus (HPV) VLP vaccines (Cervarix®, Gardasil®, and  
78 Gardasil 9®), which appear to generate lifelong protective antibody responses after a single immunization,  
79 an achievement unprecedented by any other recombinant vaccine<sup>42-44</sup>. Finally, the production of AP205 CLPs  
80 in *E. coli* is highly scalable and results in encapsulation of bacterial RNA, which act as a potent Th1-type  
81 adjuvant through engagement of toll-like receptor (TLR) 7/8<sup>45</sup>.

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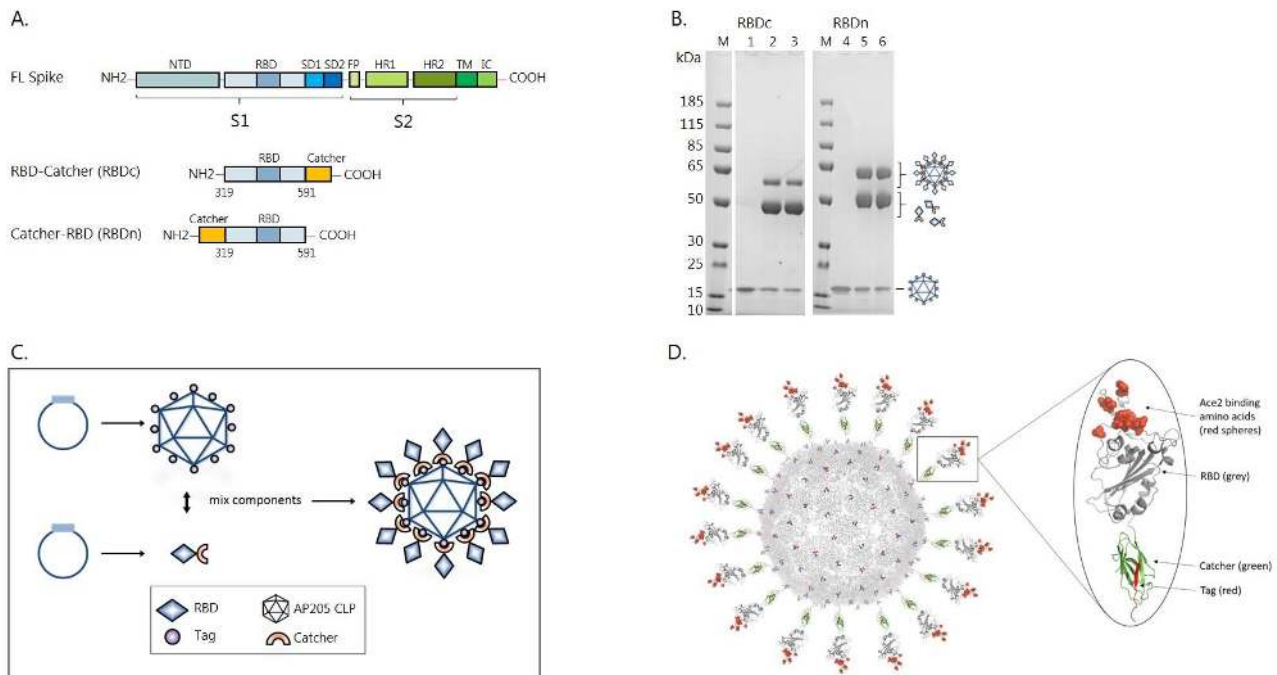
83 Here, we describe the design, development and immunogenicity in mice of two CLP-based SARS-CoV-2 RBD  
84 vaccines. Two RBD antigen designs were evaluated based on their stability and accessibility to the ACE2  
85 receptor binding epitope, before and after coupling to CLPs. The immunogenicity of the vaccines were  
86 assessed in mice, and the neutralization capacity of vaccine-induced immunoglobulins were evaluated using  
87 two different clinical SARS-CoV-2 isolates. Together, these data establish a strong proof-of-concept for the  
88 CLP-RBD Covid-19 vaccine, which was highly immunogenic and elicited a strong viral neutralizing response.  
89 The potential ability of the CLP-platform to promote a strong and focused Th1-type antibody response  
90 targeting neutralizing epitopes on the RBD is promising, and supports the further clinical development of the  
91 RBD-CLP vaccine. We believe our RBD-CLP vaccine hold the potential to induce a protective immune response  
92 in humans, and thus, the lead RBD-CLP vaccine has been forwarded for GMP production and clinical  
93 development.

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## Results

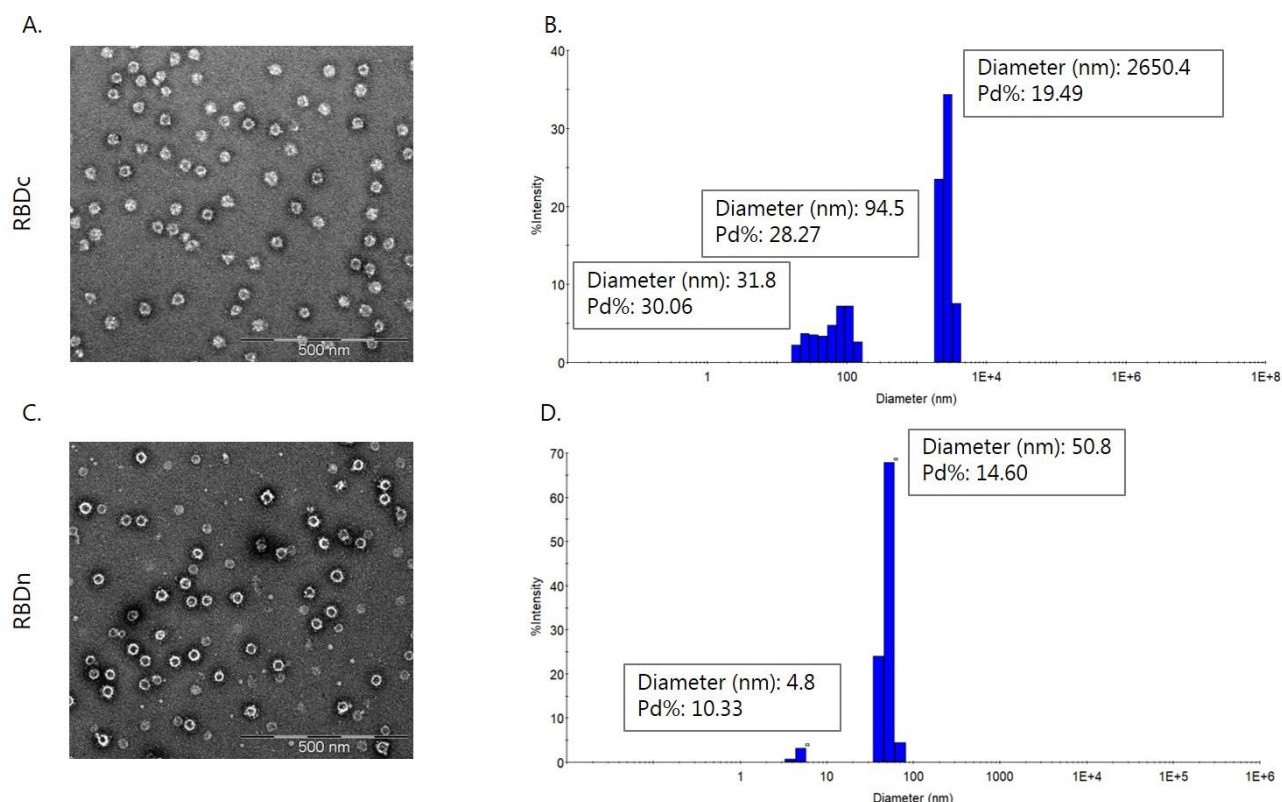
### Development and Characterization of a CLP-based SARS-COV-2 Vaccines

The RBD (amino acids (aa) 319-591) of the SARS-CoV-2 spike protein (Sequence ID: QIA20044.1) was genetically fused at either the N- or C-terminus to the split-protein Catcher, used for conjugation to the CLP (Fig. 1A,C). The two RBD antigens (termed RBDn and RBDc, respectively) were expressed in Schneider-2 (ExpresS<sup>2</sup>) insect cells, yielding approximately 8 mg/L for transient cell line and 50mg/L for stable cell line. RBDc appeared to be a high-quality monomeric protein (supplementary Fig. 1), and the same was true for RBDn (data not shown). The split-protein peptide Tag was genetically fused to the coat protein of the AP205 and expressed in *E. coli* with yields in the gram per liter range. The recombinant Tag-AP205 protein spontaneously forms CLPs presenting the peptide Tag on its surface<sup>26</sup> (Fig. 1C). Mixing of Catcher-RBD and Tag-CLPs result in the formation of a covalent isopeptide bond between the Catcher and Tag<sup>46–51</sup>. Covalent coupling of the RBD antigens to the CLPs was confirmed by SDS-PAGE analysis, by the appearance of a protein band of 60kDa, corresponding to the added size of the RBD antigen (43 kDa) and Tag-CLP subunit (16.5 kDa) (Fig. 1B, lane 2 and 5). The samples were subjected to a stability spin test (16000g, 2min), showing no loss of the coupling band (60kDa), indicating that the vaccines are stable and not prone to precipitation or aggregation (Fig. 1B, lane 3 and 6). The coupling efficiency of the reactions were assessed by densitometry to be approximately 33% for the RBDc and 45% for the RBDn vaccine. For the RBDc-CLP and RBDn-CLP vaccines, this means that each CLP (build from 180 subunits) was decorated with ~60 RBDc and ~80 RBDn antigens, respectively. The Tag/Catcher mediated conjugation results in unidirectional display of the RBD antigens, thus the positioning of the Catcher on the RBD could affect how the antigen is oriented on the CLP surface (Fig. 1C). However, structural modelling of the RBD-CLP vaccine suggested, that both the N- and C-terminus of the RBD antigen are in close proximity to the CLP surface (Fig. 1D), and that RBD has a similar orientation whether the catcher is attached N- or C terminally. In addition, the modelling suggested that the ACE2 binding epitope on RBD was accessible for immune recognition on the CLPs (Fig. 1D). After removal of unbound RBD, the integrity and aggregation of the vaccines were analyzed by transmission electron microscopy (TEM) and dynamic light scattering (DLS). TEM analysis confirmed the presence of intact CLP-antigen complexes of the expected size for both vaccines (Fig. 2A, C). However, DLS analysis showed that the RBDc-CLP vaccine had propensity for aggregation, as indicated by a high polydispersity (Pd% ~30) and showed evidence of larger aggregates (Fig. 2B). In contrast, the RBDn-CLP vaccine showed little aggregation with a single peak of the expected size of monodisperse CLP antigen complexes (~50nm) (Fig. 2D).



**Figure 1 RBD-CLP vaccine design and characterization.** **(A)** Schematic representation of the complete SARS-CoV-2 spike protein including the two RBD-Catcher antigen designs. NTD = N-terminal domain, FL= full-length, RBD = receptor-binding domain, SD1 = subdomain 1, SD2 = subdomain 2, FP = fusion peptide, HR1 = heptad repeat 1, HR2 = heptad repeat 2, TM = transmembrane region, IC = intracellular domain **(B)** Individual vaccine components on a reduced SDS-PAGE. M= marker, lane 1: unconjugated Tag-CLPs (16.5kDa), lane 2: RBDc-CLP conjugation after overnight incubation at 4°C (60kDa), lane 3: RBDc-CLP conjugation after overnight incubation at 4°C (60kDa) + spin test, lane 4: unconjugated Tag-CLPs (16.5kDa), lane 5: RBDn-CLP conjugation after overnight incubation at RT (60kDa), lane 6: RBDn-CLP conjugation after overnight incubation at RT (60kDa) + spin test. **(C)** Schematic representation of the Tag/Catcher-AP205 technology used to create the RBD-CLP vaccines. The genetically fused peptide Tag at the N-terminus of each AP205 capsid protein (total of 180 subunits per CLP) allows unidirectional and high-density coupling of the RBD antigen, via interaction with the N- or C-terminal Catcher (*i.e.* the corresponding binding partner) **(D)** Structural illustration of the RBD-CLP vaccine, based on the SARS-CoV-2 spike (Sequence ID: QIA20044.1), Tag/Catcher (not published), and AP205 CLP (Sequence ID: NP\_085472.1)<sup>45</sup> structures. The Tag is shown in red, Catcher in green, RBD in grey with the amino acids residues involved in ACE2 binding interface shown as red spheres.



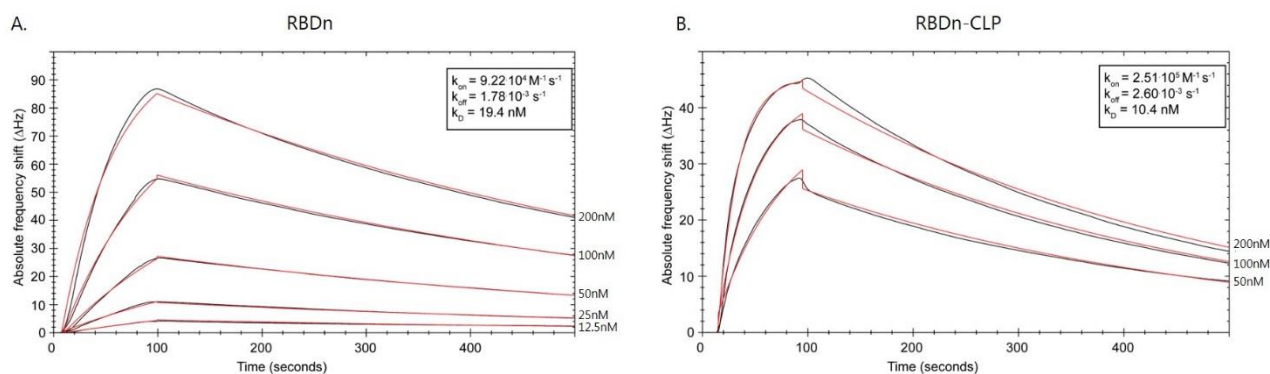


**Figure 2 Vaccine quality assessment.** (A,C) Transmission electron microscope (TEM) images of the negatively stained purified RBDc-CLP or RBDn-CLP vaccine. Scale bar is 500nm. (B,D) Histogram of the % intensity of the purified RBDc-CLP or RBDn-CLP particles from DLS analysis. Annotated are the average diameter and polydispersity (Pd%) for the particles.

## Qualification of Antigen structure and CLP-display

The protein fold of the recombinant RBD antigens was validated by measuring their affinity for binding to the human receptor, ACE2. Specifically, the binding affinity to ACE2 was measured for each antigen, before and after coupling to the CLP. Binding of RBDn was performed in a concentration titration series using an Attana Biosensor and showed high affinity binding to immobilized ACE2 with a  $K_D$  of 19.4 nM (Fig. 3A), and no binding to a blank reference chip (data not shown). Similar results were shown for RBDc (Supplementary fig. 2A,  $K_D$ =34.6 nM). This demonstrates that both RBDc and RBDn have a native structure around the ACE2 binding epitope when expressed as soluble proteins. Importantly, both RBD antigens bound effectively to the ACE2 receptor also when displayed on CLPs (Fig. 3B and Supplementary fig. 2B), thus confirming that the CLP display maintained exposure of the ACE2 binding epitope.

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### 162 Immunogenicity of the RBD-CLP Vaccines

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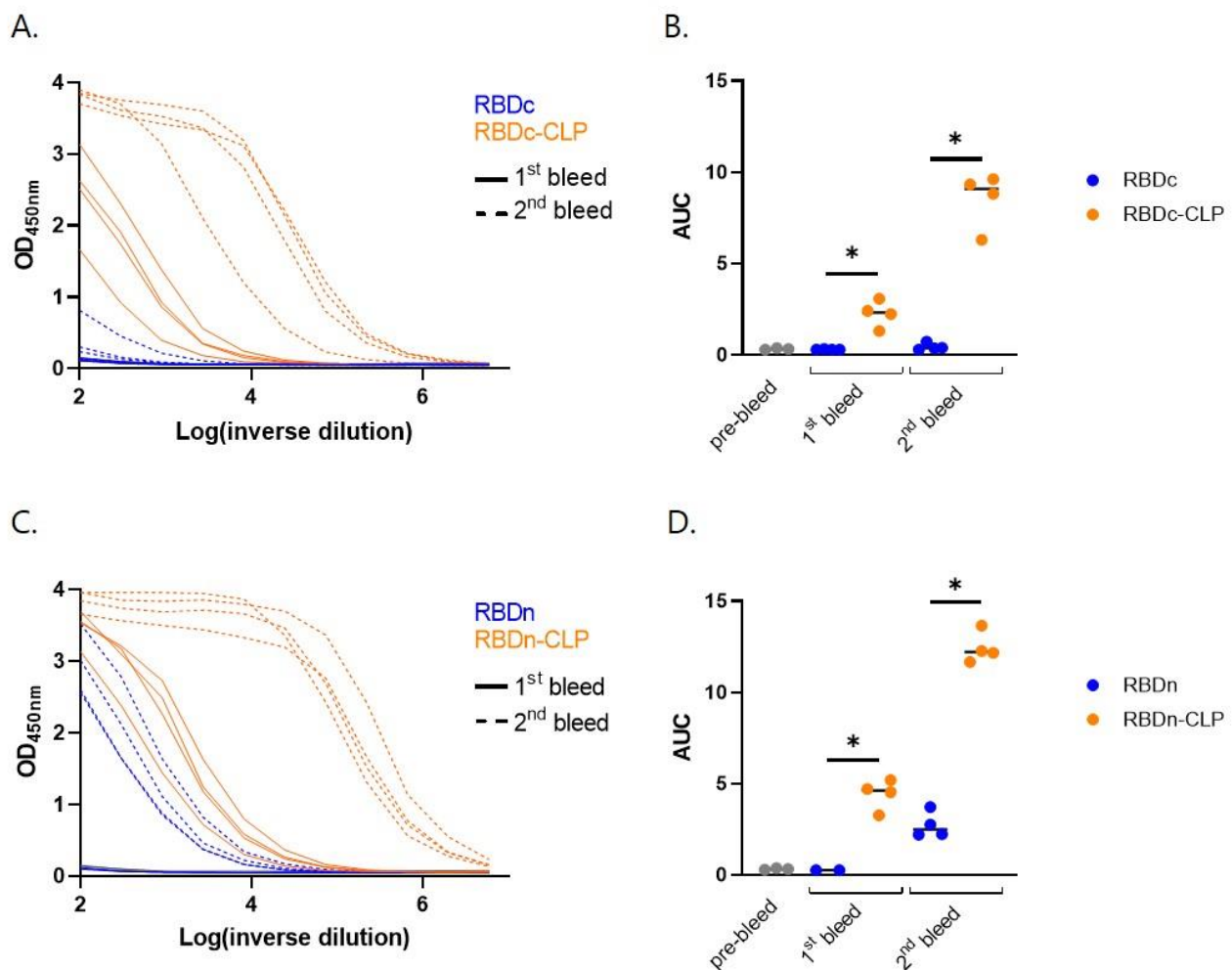
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**Figure 3 ACE2 binding kinetics for RBDn and RBDn-CLP (A)** Real time binding (black curves) of RBDn to immobilized hACE2 on the chip surface. Red curves show theoretical curves obtained using a 1:1 simple binding model. Analyte concentrations are shown to the right and  $k_{on}$ ,  $k_{off}$  and  $K_D$  are boxed. **(B)** Real time binding (black curves) of ExpreS<sup>2</sup> produced ACE2 to immobilized RBDn-CLP on the chip surface. Red curves show theoretical curves obtained using a 1:1 simple binding model. Analyte concentrations are shown to the right and  $k_{on}$ ,  $k_{off}$  and  $K_D$  are boxed.

The immunogenicity of the RBD-CLP vaccines (RBDn-CLP and RBDc-CLP) was assessed in BALB/c mice serum, obtained after prime and boost immunizations, and compared to the immunogenicity of soluble equimolar antigen vaccine formulations (RBDn and RBDc). All vaccines were formulated in Squalene-Water-Emulsion (Addavax<sup>TM</sup>) adjuvant. Antigen-specific IgG titers were measured by ELISA using a recombinant full-length (aa35-1227) SARS-CoV-2 spike protein for capture. Both RBD-CLP vaccines lead to seroconversion in all mice, and booster immunizations distinctly increased the antibody levels (Fig. 4). Furthermore, IgG levels were markedly higher in RBD-CLP vaccinated mice, compared to mice vaccinated with the soluble protein ( $p < 0.05$ ) (Fig. 4).



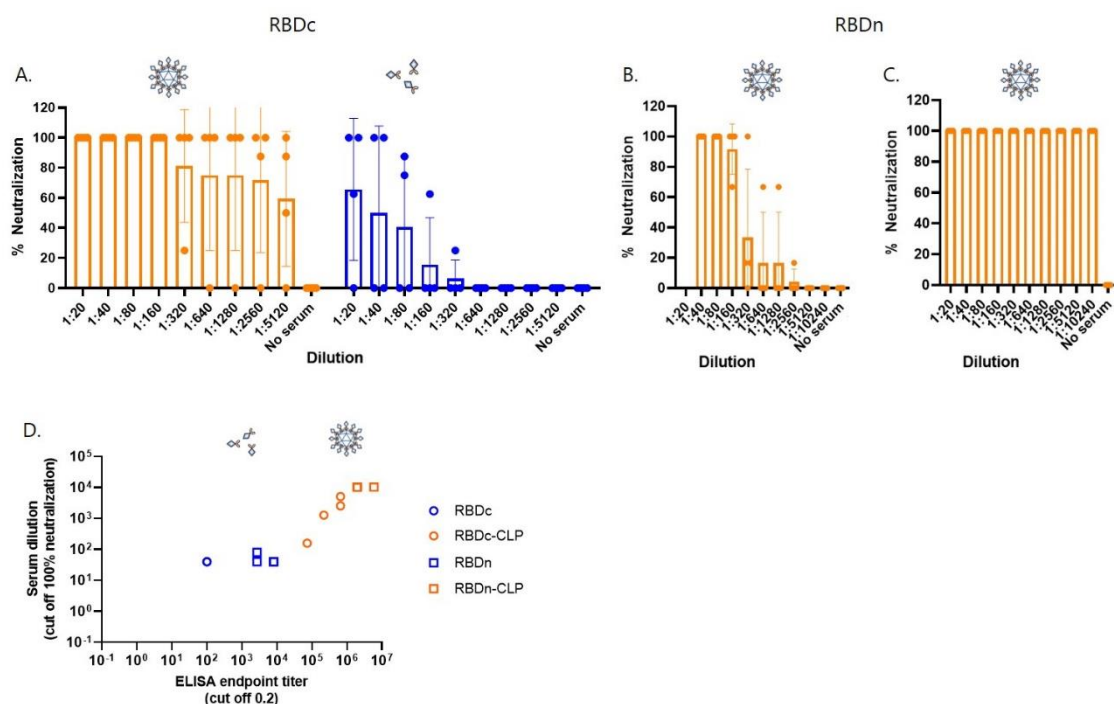
**Figure 4 RBD-CLP vaccines induce high antigen-specific antibody titers in mice.** (A) Dilution curves from ELISA of total anti-SARS-CoV-2 spike (aa35-1227) IgG antibodies detected in sera from BALB/c mice (n=4) immunized intramuscularly with soluble RBDc (prime 2µg / boost 2µg) or CLP-displayed RBDc (RBDc-CLP) (prime 1µg / boost 1µg). Analyzed sera was obtained before vaccination (pre-bleed), two weeks after the prime (1<sup>st</sup> bleed) or boost (2<sup>nd</sup> bleed) vaccinations. (B) ELISA results depicted in the form of area under curve (AUC), the bars represent the median. Non-parametric Mann-Whitney test was used for statistical comparison. A statistically significant (p < 0.05) differences are marked by the \*. (C) Dilution curves from ELISA of total anti-SARS-CoV-2 spike (aa35-1227) IgG antibodies detected in sera from Balb/c mice (n=4) immunized intramuscularly with soluble RBDn-Catcher (prime 5µg / boost 5µg) or CLP-displayed RBDn (RBDn-CLP) (prime 6.5µg / boost <0.1µg / boost 6.5µg). Analyzed sera was obtained before vaccination (pre-bleed), two weeks after the prime (1<sup>st</sup> bleeds) or after boost-boost (2<sup>nd</sup> bleed) vaccinations. (D) ELISA results depicted in the form of AUC, the bars represent the median. Non-parametric Mann-Whitney test was used for statistical comparison. A statistically significant (p < 0.05) differences are marked by the \*.

## Neutralization capacity of vaccine-induced anti-RBD antibodies

The capacity of the vaccine-induced mouse antibodies to neutralize SARS-CoV-2 virus was measured *in vitro* by two different external laboratories, by testing the capacity of two different clinical SARS-CoV-2 isolates to infect humanized VeroE6 cells. The serum from mice immunized with RBDc-CLP showed significantly higher neutralization capacity than serum from mice immunized with soluble RBDc (Fig. 5A, supplementary fig.3, 5A). Furthermore, after the first immunization with the RBDn-CLP vaccine, serum exhibited a 100%

190 neutralization titer at a serum dilution of 1:80 (Fig. 5B). Following booster immunizations, serum from these  
 191 mice showed 100% neutralization even at a dilution of 1:10240 (Fig. 5C, supplementary fig. 4). Similar results  
 192 were obtained using a different clinical SARS-CoV-2 isolate, (Supplementary fig. 5). A correlation analysis  
 193 between the ELISA antibody titers and neutralization capacity, showed that there was a positive correlation  
 194 between these measurements ( $K_s=0.7152$ ,  $p=0.0461$ ) in mice immunized with the CLP vaccines, but not in  
 195 the mice vaccinated with soluble RBD ( $K_s=0.316$ ,  $p=0.4679$ ) (Fig. 5D). The virus neutralization capacity was  
 196 also evaluated for human serum from individuals having recovered from COVID-19 (Fig. 6A). Prior to this  
 197 analysis, serum samples were grouped based on having either 'very high' or 'low' ELISA titers for SARS-CoV-  
 198 2 binding capacity (*i.e.*  $>400$  or  $\leq 400$  end-point titer, respectively) (data not shown). The serum from mice  
 199 receiving multiple immunizations with the RBDn-CLP vaccine showed markedly higher virus neutralization  
 200 activity compared to the serum from any of the sera from patients recovered from COVID-19. However,  
 201 serum from mice immunized once with RBDn-CLP showed similar neutralizing activity than the 'high' patient  
 202 sera (Fig. 6B). Samples from patients with 'high' ELISA titers exhibited higher virus neutralization activity than  
 203 samples from patients with 'low' ELISA titers ( $p=0.0025$ ) (Fig. 6B). Together these data establish a strong  
 204 proof-of-concept for the capacity of the RBDn-CLP vaccine to elicit a strong antibody response targeting  
 205 neutralizing epitopes in the RBD of the SARS-CoV-2 spike protein.

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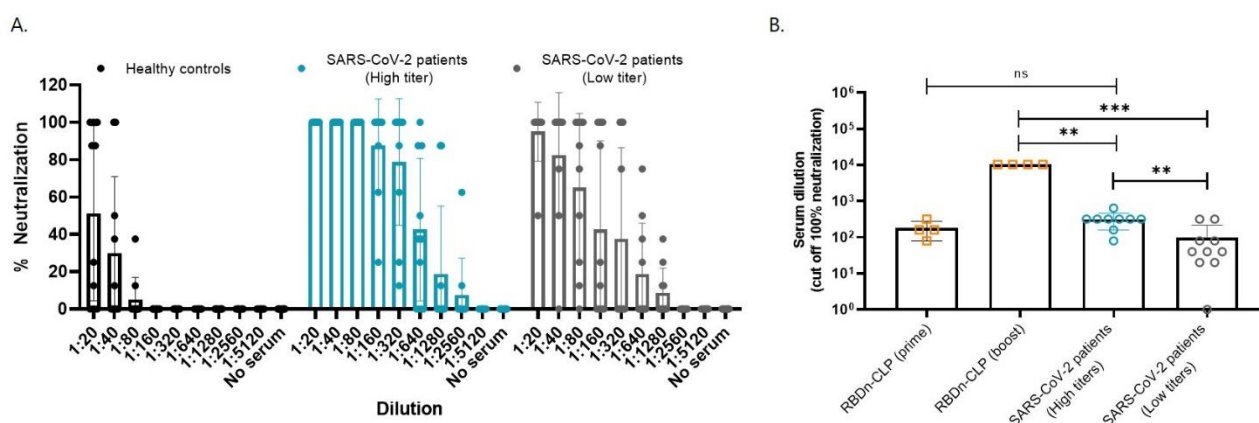
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208 **Figure 5 Serum from mice immunized with RBD-CLP vaccines neutralize SARS-CoV-2 *in vitro*.** (A) Serum from mice immunized and  
 209 boosted with RBDc-CLP (orange) (prime  $1\mu\text{g}$  / boost  $1\mu\text{g}$ ) or soluble RBDc (blue) (prime  $2\mu\text{g}$  / boost  $2\mu\text{g}$ ) was mixed with a SARS-CoV-

210 2 virus and tested for cell entry. Each dot represents the percentage neutralization per mouse per dilution. Bars represent the mean and SD. (B, C) Serum from mice immunized with RBDn-CLP (prime 6.5µg / boost <0.1µg / boost 6.5µg) from first bleed after the first immunization (B) or second bleed after the booster immunizations (C), was mixed with a SARS-CoV-2 virus and tested for cell entry. Each dot represents the percentage neutralization per mouse per dilution. Bars represent the mean and SD. (D) Correlation between IgG endpoint titer (2<sup>nd</sup> bleed, cutoff 0.2) and serum dilution required for 100% virus neutralization. Endpoint titers were determined from dilution curves, by ELISA, from sera of mice immunized with RBDc (prime 2µg / boost 2µg), RBDc-CLP (prime 1µg / boost 1µg), RBDn (prime 5µg / boost 5µg) or RBDn-CLP (prime 6.5µg / boost <0.1µg / boost 6.5µg) and correlated to the serum dilution required for 100% virus neutralization in the neutralization assay done on the same sera. Each dot represents one mouse. Pearson r Non- test was used to assess correlation.

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222 **Figure 6 Neutralization capacity of serum from convalescent SARS-CoV-2 patients. (A)** A dilution series of individual human plasma  
 223 samples from SARS-CoV-2 patients (with either 'high' or 'low' ELISA binding titer against SARS-CoV-2 spike protein) or healthy controls  
 224 were mixed with a clinical SARS-CoV-2 isolate and tested for cell entry. Each dot represents the percentage neutralization per sample,  
 225 per dilution. Bars represent the mean of the group with a standard deviation. (B) Endpoint serum dilution required for 100% virus  
 226 neutralization. Each dot represents the serum dilution needed for 100% virus neutralization according to the dilution titration of the  
 227 sera in the neutralization assay (Fig. 6A and Fig. 5B,C). Bars represent the mean of the group with a standard deviation. Mann-Whitney  
 228 test was used for statistical comparison. Statistically significant differences are marked by asterisk: ns=non-significant, \*\*: p≤0.005,  
 229 \*\*\*: p≤0.001.

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## 231 Discussion

232 In less than six months, more than 12 million confirmed cases of SARS-CoV-2 infection, and more than  
 233 550,000 COVID-19 related deaths have been reported<sup>52</sup>. Thus, development of an effective vaccine is of high  
 234 priority worldwide. The ideal SARS-CoV-2 vaccine should be safe, and capable of activating a long-term  
 235 protective immune response. High immunogenicity is pivotal for vaccine efficacy and represents a  
 236 fundamental challenge for the vaccine development<sup>53</sup>. In the context of COVID-19, the elderly carry an  
 237 increased risk of serious illness<sup>54</sup>, but it is also well known that this group generally responds less effectively  
 238 to vaccination<sup>55,56</sup>. In addition, the balance between immunogenicity and safety vary among different vaccine  
 239 platforms, and concerns have been raised that some SARS-CoV-2 vaccines can potentially cause enhanced  
 240 disease. This risk is believed to be higher for vaccines which fail to induce a sufficiently strong virus  
 241 neutralizing antibody response<sup>57</sup>. Although it is still unclear whether natural infection with SARS-CoV-2 can

242 induce long-term protective immunity, natural infection with members of the coronavirus family causing  
243 common cold, provide only short-term protection<sup>58–60</sup>. Accordingly, COVID-19 vaccines may need to induce  
244 a stronger and more durable effective immune response than natural infection, in order to provide long term  
245 protection.

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247 Our strategy for developing a CLP-based COVID-19 vaccine displaying the SARS-CoV-2 spike RBD holds several  
248 potential advantages. Firstly, other CLP-based vaccines have shown to be safe and highly immunogenic in  
249 humans. In fact, the marketed Human Papillomavirus (HPV) vaccines, based on HPV L1 CLP, induce extremely  
250 potent and durable antibody responses otherwise only seen after vaccination with live-attenuated viral  
251 vaccines<sup>42–44</sup>. With regard to safety, several experts have stated that SARS-CoV-2 vaccines should  
252 preferentially induce a high level of neutralizing antibodies, while avoiding activation of Th2 T-cells, to reduce  
253 the risk of eosinophil-associated immunopathology following infection after SARS-CoV-2 vaccination<sup>57,61</sup>. To  
254 this end, it seems ideal that production of AP205 CLPs in *E. coli* results in encapsulation of bacterial host cell  
255 RNA, promoting Th1 type responses by activation of TLR7/8<sup>45</sup>. Additionally, a recent review<sup>53</sup>, comparing  
256 different SARS-CoV-2 vaccine candidates, suggests that recombinant proteins and nanoparticles are the  
257 preferred option for obtaining high safety, high immunogenicity and hold potential for raising neutralizing  
258 antibody titers. Thus, the strategy of targeting only the RBD of the SARS-CoV-2 spike protein, along with the  
259 unique ability of the Tag/Catcher-AP205 platform to present the RBD in a high-density and unidirectional  
260 manner, may not only ensure high immunogenicity, but may also enable induction of responses with a high  
261 proportion of neutralizing compared to binding antibodies<sup>9–15</sup>. In fact, the unidirectional antigen display  
262 enabled by the Tag/Catcher-AP205 platform has previously been exploited to selectively favor induction of  
263 antibodies targeting desired epitopes<sup>62</sup>. It is thus encouraging that both our RBD-CLP vaccine candidates  
264 appear to expose the ACE2 binding epitope, as evidenced by the strong binding of RBD-CLP complexes to  
265 ACE2. Our data, comparing the immunogenicity of soluble versus CLP-displayed RBD antigens in mice, show  
266 a remarkable effect of the CLP display (approx. 5 fold difference). Indeed, the observed low intrinsic  
267 immunogenicity of the soluble RBD antigen even in the presence of Addavax<sup>TM</sup> adjuvant, emphasizes the  
268 need of an effective vaccine delivery platform, and raises concern whether vaccines based on soluble  
269 recombinant proteins will be sufficiently immunogenic in humans. Further analysis of the neutralizing  
270 capacity vaccine-induced mouse antibodies showed that RBD-CLP vaccines also elicited antibody responses  
271 with significantly higher neutralization capacity. This result may not only be due to increased immunogenicity  
272 of the CLP-displayed RBD antigen, but could also reflect a higher proportion of neutralizing antibodies in the  
273 total pool of vaccine-induced antibodies. Indeed, a strong positive correlation was observed between  
274 vaccine-induced antibody titers and virus neutralization activity among the RBD-CLP immunized mice. A

275 similar correlation was not seen for the soluble RBD vaccines. Serum samples from convalescent patients  
276 showed similar neutralization titers as those measured for mouse sera obtained after a prime immunization  
277 of RBDn-CLP. A recent review, compiling all the latest data on SARS-CoV-2 vaccine development, suggests  
278 that a >50% neutralizing titers at an endpoint titer dilution of 100-500 would be needed to confer  
279 protection<sup>53</sup>. In relation to this, our RBDn-CLP vaccine induces 100% neutralization at our highest tested  
280 serum dilution >10,000 (or >2560, supplementary fig. 5B), suggesting that it could have the potential to  
281 trigger a robust immune response in humans.

282 To this date, many studies have shown that both genetic and protein-based vaccines need to be supported  
283 by a stronger vaccine platform or adjuvant to enable sufficiently potent immune responses<sup>63,64</sup>. Indeed, when  
284 looking at emerging data on SARS-CoV-2 vaccine development, it appears that the vaccines that are fast to  
285 produce (*i.e.* genetic and virus vectors) might not be able to elicit antibody titers sufficient to confer long  
286 lived protection<sup>53</sup>. Additionally, vaccines have many times failed due to low immunogenicity when testing in  
287 human clinical trials, despite having produced encouraging results in preclinical models<sup>65,66</sup>. Thus, in the case  
288 of SARS-CoV-2, it seems that recombinant proteins or killed/attenuated virus vaccines would most likely be  
289 the ones enabling responses strong enough for protection<sup>53</sup>. However, killed or live-attenuated viruses has  
290 potential safety concerns. Thus, we propose that the Tag/Catcher-AP205 system is an ideal platform for  
291 delivery of the RBD antigen, to enable induction of a strong, long-lasting and highly neutralizing antibody  
292 response, while avoiding high safety risks. Specifically, the intrinsic CLP properties provide the perfect  
293 balance between high immunogenicity and complete safety, which is of main importance for a vaccine  
294 supposed to protect globally, including the at risk populations. Based on these results, the RBDn-CLP vaccine  
295 has been selected as our lead candidate, due to its high stability and low aggregation compared to RBDc-CLP,  
296 as well as its high immunogenicity and neutralizing capacities, in mice. Thus, this vaccine has been transferred  
297 to GMP, with a planned phase 1 clinical testing in Germany (funded by H2020).

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## 307 Methods

### 308 Design, expression and purification of recombinant proteins

309 RBD antigens were designed with boundaries aa319-591 of the SARS-CoV-2 sequence (Sequence  
310 ID: QIA20044.1). The RBD antigens were genetically fused with the split-protein “Catcher” at the N-terminus  
311 or the C-terminus (referred to as RBD<sub>N</sub> and RBD<sub>C</sub>, respectively). Both antigen constructs had an N-terminally  
312 BiP secretion signal and a C-terminal C-tag (N-RBD-EPEA-C) used for purification. A GSGS linker was inserted  
313 between the RBD and the Catcher. The final gene sequences were codon optimized for expression in  
314 *Drosophila melanogaster* and were synthesized by Geneart®. The Expres<sup>2</sup> platform was used to produce all  
315 proteins by transient transfection. Briefly, Schneider-2 (Expres<sup>2</sup>) cells were transiently transfected using  
316 transfection reagent (Expres<sup>2</sup> Insect TRx5, Expres<sup>2</sup>ion Biotechnologies) according to manufacturer’s protocol.  
317 Cells were grown at 25°C in shake flask for 3 days before harvest of the supernatant containing the secreted  
318 protein of interest. Cells and debris were pelleted by centrifugation (5000rpm for 10 minutes at 4°C) in a  
319 Beckman Avanti JXN-26 centrifuge equipped with a JLA 8.1000 swing-out rotor. The supernatant was  
320 decanted and passed through a 0.22 µm vacuum filter (PES) before further processing. The supernatant was  
321 passed over a Centrimate tangential flow filtration (TFF) membrane (0.1m<sup>2</sup>, 10kDa MWCO, PALL) mounted  
322 in a SIUS-LS filter holder atop a SIUS-LS filter plate insert (Repligen/TangenX). The retentate was concentrated  
323 ten-fold by recirculation through a concentration vessel of 1 litre volume without stirring. Buffer exchange  
324 was performed by diafiltration until achieving a turn-over-volume of 10.

325 The crude protein was loaded onto a Capture Select C tag resin (Thermo Fisher) affinity column and washed  
326 with capture buffer (25mM Tris-HCl, 100mM NaCl, pH7.5). The captured protein was step-eluted in 25 mM  
327 Tris-HCl (pH7.5) containing increasing concentrations of MgCl<sub>2</sub> (0.25M, 0.5M, 1M and 2M). Fractions  
328 containing the protein of interest were pooled and concentrated (Amicon 15ml, 10kDa or 30kDa MWCO).  
329 Concentrated protein was loaded onto a preparative Superdex-200pg 26/600 (Cytiva) SEC column  
330 equilibrated in 1x PBS (Gibco) and eluted in the same buffer. Fractions containing the monomer RBD protein  
331 were pooled and concentrated as above. The ACE2 protein (aa1–615) and the spike protein (aa.35-1227)-  
332 Ctag (ΔTM-ΔFurin-CoV-PP-Ctag)) were N-terminally tagged with a BiP secretion signal and a C-terminal Twin-  
333 Strep-tag (Iba, GmbH) affinity-tag. The crude protein was loaded onto a StreptactinXT (IBA) affinity column.  
334 Proteins were eluted using capture buffer (100mM Tris-HCl, 150mM NaCl, 1 mM EDTA pH 8.0 ) supplemented  
335 with 50mM D-Biotin (BXT buffer, Iba GmbH)

### 337 Design, expression and purification of Tag-CLP

338 The proprietary peptide binding Tag and a linker (GSGTAGGGSGS) was added to the N-terminus of the  
339 *Acinetobacter phage* AP205 coat protein (Gene ID: 956335) by PCR. The gene sequence was inserted into the



340 pET28a(+) vector (Novagen) using *NcoI* (New England Biolabs) and *NotI* (New England Biolabs) restriction  
341 sites. The Tag-CLP was expressed and purified as previously described for Spy-AP205 CLPs<sup>26</sup>.

#### 342 Formulation and purification of the RBD-CLP vaccines

343 The Tag-CLP and the RBDc antigen were mixed in a 1:2 molar ratio in 100mM Bis-Tris, 250mM NaCl (pH 6.5)  
344 buffer overnight at 4°C. Tag-CLP and RBDn antigen were mixed in a 1:1 molar ratio in 1xPBS, 5% glycerol and  
345 incubated overnight at room temperature. Different working buffers for RBDn and RBDc vaccines were  
346 selected according to a buffer screen to ensure vaccine stability (not shown). A subsequent buffer screen  
347 showed that the RBDn-CLP was stabilized by the addition of different sugars (sucrose, xylitol and trehalose).  
348 Accordingly, PBS buffer, pH 7.4, supplemented by 400mM xylitol was chosen for quality assessment of the  
349 RBDn vaccine. The mixture of RBD and CLP was subjected to a “spin test” to assess stability. Specifically, a  
350 fraction of the sample was spun at 16000g for 2min, and equal amounts of pre- and post-spin samples were  
351 subsequently loaded on a reduced SDS-PAGE to assess potential loss in the post-spin sample due to  
352 precipitation of aggregated RBD-CLP complexes. The RBD-Catcher coupling efficiency was calculated as  
353 percentage conjugation (i.e. number of bound antigens divided by the total available binding sites (=180) per  
354 CLP) by densitometric analysis of on the SDS-PAGE gel, using ImagequantTL (as previously described<sup>67</sup>. In  
355 parallel, RBDc-CLP was purified by density gradient ultracentrifugation by adding the RBDc-CLP onto an  
356 Optiprep™ step gradient (23, 29 and 35%) (Sigma-Aldrich) followed by centrifugation for 3.30h at 47800rpm,  
357 as previously described<sup>26</sup>. The conjugated RBDn-CLP was purified by dialysis (cutoff 1000kDa) in a 1xPBS with  
358 5% (v/v) glycerol for immunization studies or 400mM xylitol for quality assessment.

#### 359 Quality assessment of the RBD-CLP vaccines

360 Purified RBD-CLP were both quality checked by negative stain Transmission electron microscopy (TEM)  
361 (detailed description 10.1038/s41598-019-41522-5) as well as by Dynamic Light Scattering (DLS) analysis  
362 (DynaPro Nanostar, Wyatt technology). For DLS analysis, the RBD-CLP sample was first spun at 21,000 g for  
363 2.5 minutes and then loaded into a disposable cuvette. The sample was then run with 20 acquisitions of 7  
364 seconds each. The estimated diameter of the RBD-CLP particle population and the percent polydispersity  
365 (%Pd) was calculated by Wyatt DYNAMICS software (US).

#### 366 ACE2 binding kinetics by Attana® Biosensor

367 Kinetic interaction experiment of RBD antigens and CLP-RBD binding to hACE2 were performed using a  
368 biosensor QCM Attana A200 instrument (Attana AB). hACE2 (50µg/ml) or VLP-RBDn (50µg/ml) were  
369 immobilized on a LNB carboxyl chip by amine coupling using EDC and S-NHS chemistry following  
370 manufacturer’s instructions. A non-coated LNB chip was used as reference. Two-fold dilution series of RBDc

(200nM-6.25nM) and RBDn (200nM-12.5nM) were prepared in 1xPBS pH 7.4. Expres<sup>2</sup> produced hACE2 (200nM-50nM) was prepared in 1xPBS+400mM xylitol pH7.4 running buffer. All sensorgrams were recorded at 25µl/min at 22°C using an 84 s association and 3000 s dissociation time to allow complete baseline recovery. The absolute change in frequency (ΔHz) during association and dissociation were analyzed using Attester Evaluation software (Attana AB). Injection of running buffer (background binding) was subtracted for each sensorgram prior to fitting  $k_{on}$  and  $k_{off}$ . The kinetic parameters were calculated using a 1:1 binding model using TraceDrawer software (Ridgeview Instruments AB).

#### ACE2 binding to RBD-CLP by ELISA

RBDc-CLP binding to ACE2 was performed using an enzyme-linked immune-sorbent assay (ELISA). 96-well plates (Nunc MaxiSorp) were coated overnight at 4°C with 0.05µg/well recombinant ACE2 produced in Expres<sup>2</sup> cells. Plates were blocked for 1 hour at room temperature (RT) using 0.5% skimmed milk in PBS. 2.5ug purified RBDc-CLP was added per well, or CLP alone and RBD alone as controls and incubated for 1h at RT. Plates were washed three times in PBS between each step. Mouse monoclonal antibody (produced in-house), detecting AP205 was diluted 1:10,000 in blocking buffer, followed by incubation for 1 hour at RT. Horseradish peroxidase (HRP) conjugated goat anti-mouse IgG (Life technologies, A16072) was diluted 1:1000 in blocking buffer followed by 1 hour incubation at RT. Plates were developed with TMB X-tra substrate (Kem-En-Tec, 4800A) and absorbance was measured at 450nM.

#### Mouse immunization studies

Experiments were authorized by the National Animal Experiments Inspectorate (Dyreforsøgstilsynet, license no. 2018-15-0201-01541) and performed according to national guidelines. 12-14 weeks old female BALB/c mice (Janvier, Denmark) were immunized intramuscularly, in the thigh, with either 2µg free RBDc antigen (1x PBS, pH7.4) (N=4) or 1µg CLP-displayed RBDc (PBS with Optiprep<sup>TM</sup>) (N=4), using a two-week interval prime-boost regimen. For the RBDn study, mice were immunized with a dose of 5µg free RBDn antigen (1x PBS, pH7.4) or 6.5µg CLP-displayed RBDn (1xPBS, pH7.4, 5% glycerol) (N=4) and boosted 2 weeks later with 5µg free RBDn antigen (1x PBS, pH7.4) or 0.1µg CLP-displayed RBDn (1xPBS, pH7.4, 5% glycerol) (N=4). Considering the low dose used for the RBDn-CLP boost, it was decided to give them an extra boost a week later (3 weeks post prime) with 6.5µg CLP-displayed RBDn (1xPBS, pH7.4, 5% glycerol) (N=4). For both studies, the concentration of the antigen displayed on the CLP was calculated by densitometric measurement (ImageQuant TL), using a protein concentration ladder as a reference. All vaccines were formulated using Addavax<sup>TM</sup> (Invivogen). Blood samples were collected prior to the first immunization (pre-bleed) as well as two weeks after each immunization. Serum was isolated by spinning the blood samples down for 8min at 800 g, 8°C. This procedure was repeated twice.

## 403 Analysis of vaccine-induced antibody responses

404 Antigen-specific total IgG titers were measured by enzyme-linked immune-sorbent assay (ELISA). 96-well  
405 plates (Nunc MaxiSorp) were coated overnight at 4°C with 0.1µg/well recombinant ExpreS<sup>2</sup> produced SARS-  
406 CoV-2 Spike (35-1227) protein in PBS. Plates were blocked for 1 hour at room temperature (RT) using 0.5%  
407 skimmed milk in PBS. Mouse serum was diluted 1:100 in blocking buffer, and added to the plate in a 3-fold  
408 dilution, followed by incubation for 1 hour at RT. Plates were washed three times in PBS in between steps. In  
409 order to measure total serum IgG, Horseradish peroxidase (HRP) conjugated goat anti-mouse IgG (Life  
410 technologies, A16072) was diluted 1:1000 in blocking buffer followed by 1 hour incubation at RT. Plates were  
411 developed with TMB X-tra substrate (Kem-En-Tec, 4800A) and absorbance was measured at 450nm.

## 412 Virus Neutralization assay (University of Aarhus, Denmark)

413 SARS-CoV2, Freiburg isolate, FR-4286 (kindly provided by Professor Georg Kochs, University of Freiburg) was  
414 propagated in VeroE6 expressing cells expressing human TMPRSS2 (VeroE6-hTMPRSS2) (kindly provided by  
415 Professor Stefan Pöhlmann, University of Göttingen)<sup>68</sup> with a multiplicity of infection (MOI) of 0.05.  
416 Supernatant containing new virus progeny was harvested 72h post infection, and concentrated on 100kDa  
417 Amicon ultrafiltration columns (Merck) by centrifugation for 30 minutes at 4000 g. Virus titer was determined  
418 by TCID<sub>50</sub> assay and calculated by Reed-Muench method<sup>69</sup>. Sera from immunized mice or human  
419 serum/plasma (kindly provided by Herlev Hospital and Rigshospitalet, Denmark) were heat-inactivated (30  
420 min, 56 °C), and prepared in a 2-fold serial dilutions in DMEM (Gibco) + 2% FCS (Sigma-Aldrich) + 1% Pen/Strep  
421 (Gibco) + L-Glutamine (Sigma-Aldrich). Sera were mixed with SARS-CoV-2 at a final titer of 100 TCID<sub>50</sub>/well,  
422 and incubated at 4°C overnight. A “no serum” and a “no virus” (uninfected) control samples were included.  
423 The following day virus:serum mixtures were added to 2 x 10<sup>4</sup> Vero E6 TMPRSS2 cells seeded in flat-bottom  
424 96-well plates, and incubated for 72h in a humidified CO<sub>2</sub> incubator at 37 °C, 5% CO<sub>2</sub>, before fixing with 5%  
425 formalin (Sigma-Aldrich) and staining with crystal violet solution (Sigma-Aldrich). The plates were read using  
426 a light microscope (Leica DMI1) with camera (Leica MC170 HD) at 4x magnification, and cytopathic effect  
427 (CPE) scored.

## 428 Virus Neutralization assay (University of Leiden, Netherlands)

429 SARS-CoV-2 (Leiden-001 isolate, unpublished) was propagated and titrated in Vero E6 cells [CRL-1580,  
430 American Type Culture Collection (ATCC)] using the tissue culture infective dose 50 (TCID<sub>50</sub>) endpoint dilution  
431 method and the TCID<sub>50</sub> was calculated by the Spearman-Kärber algorithm<sup>70</sup>. Neutralization assays against live  
432 SARS-CoV-2 were performed using the virus micro-neutralization assay. Briefly, Vero-E6 cells were seeded at  
433 10000cells/well in 96-well tissue culture plates 1 day prior to infection. Serum samples were heat-inactivated  
434 at 56°C for 30 minutes and prepared in a 2-fold serial dilutions (1:10-1280) in 60µL EMEM (Lonza)

435 supplemented with 1% pen/strep (Sigma-Aldrich, P4458), 2mM L-glutamine (PAA) and 2% FCS (Bodinco BV).  
436 Diluted sera were mixed with equal volumes of 120 TCID<sub>50</sub>/60μL SARS-CoV-2 and incubated for 1h at 37 °C.  
437 The virus:serum mixtures were then added onto Vero-E6 cell monolayers and incubated at 37 °C in a  
438 humidified atmosphere with 5% CO<sub>2</sub>. Cells either unexposed to the virus or mixed with 120 TCID<sub>50</sub>/60μL  
439 SARS-CoV-2 were used as negative (uninfected) and positive (infected) controls, respectively. 3 days post-  
440 infection, cells were fixed and inactivated with 40μL 37% formaldehyde/PBS solution/well overnight at 4 °C.  
441 Cells were then stained with crystal violet solution 50μL/well, incubated for 10 minutes and rinsed with  
442 water. Dried plates were evaluated for viral cytopathic effect and the serum neutralization titer was  
443 determined as the reciprocal value of the highest dilution resulting in completely inhibiting virus-induced  
444 cytopathogenic effect. For the purpose of graphical representation, samples with undetectable antibody  
445 titers were assigned values two-fold lower than the lowest detectable titer (titer 10), which corresponds to  
446 the nearest dilution that could not be measured (titer 5). A SARS-CoV-2 back-titration was also included with  
447 each assay run to confirm that the dose of the used inoculum was within the acceptable range of 30 to 300  
448 TCID<sub>50</sub>.

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## Author contributions:

All authors contributed to: analyzing and discussing the data and proof-reading the manuscript.

CF and LG: writing of the article, CLP, antigen and vaccine design, purification and quality control of the vaccine, mouse studies (ELISA, immunization), planning and designing CLP related experiments.

All authors from Aarhus University (MI, SRP and LSR): designed, performed and analyzed neutralization data.

All authors from Express<sup>2</sup>ion Biotechnologies (VS, MS, JD, SC, BH, TD, BWN, AS, MS, LFA): design, production, purification and characterization of protein constructs.

All authors from Leiden University (SKM, TJD, MK): designed, performed and analyzed neutralization data.

RD and EWH: designing, performing and analyzing ACE2 binding studies.

CMJ: designing and performing electron microscopy, DLS measurements, CLP production and purification, CLP, antigen and vaccine design.

KIA: performing ELISA, CLP production and purification, CLP, antigen and vaccine design.

SME, TG, SC and EVC: Large scale development of the VLPs, QC analytical method development for the VLPs.

LF: CLP production and purification, CLP, antigen and vaccine design.

ST: contributed to the design of the tag/catcher system, CLP and vaccine design.

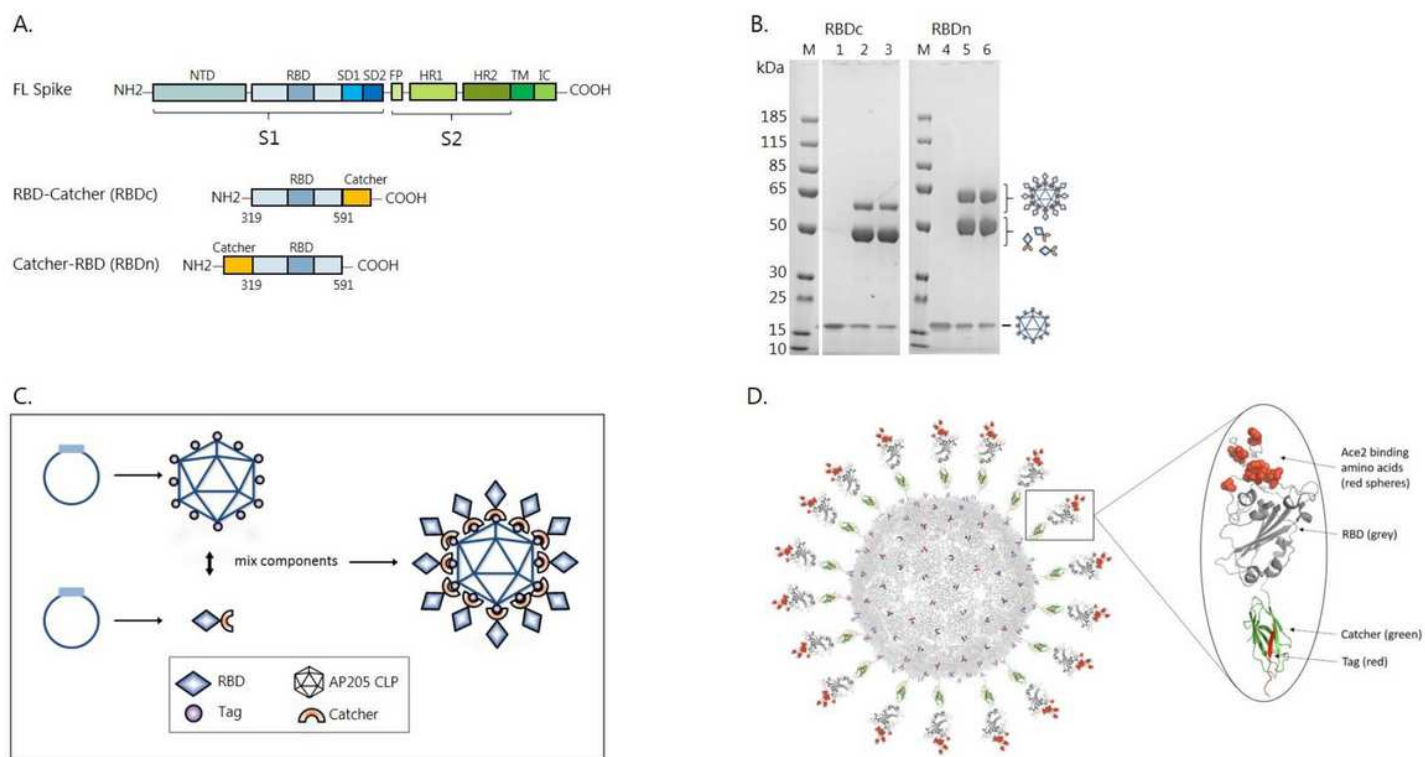
PK and TMH: production, purification and quality control of monoclonal antibodies used for ELISA studies.

635 MT, SS and AGS: antigen design, production and purification.  
636 All authors from Wageningen (LVO, GP): antigen design, production and purification.  
637 BM (Tübingen): application for funding, providing clinical expertise.  
638 LHH, HU, KI: provided human serum samples and analysis of it.  
639 WAJ: creating the COVID consortium, application for funding, design of experiments, supervision of the  
640 project.  
641 TGT, MAN, AS: application for funding, design of experiments, supervision of the project  
642 AFS: supervising the project and writing the article, application for funding, design of experiments.  
643

#### 644 Competing interest:

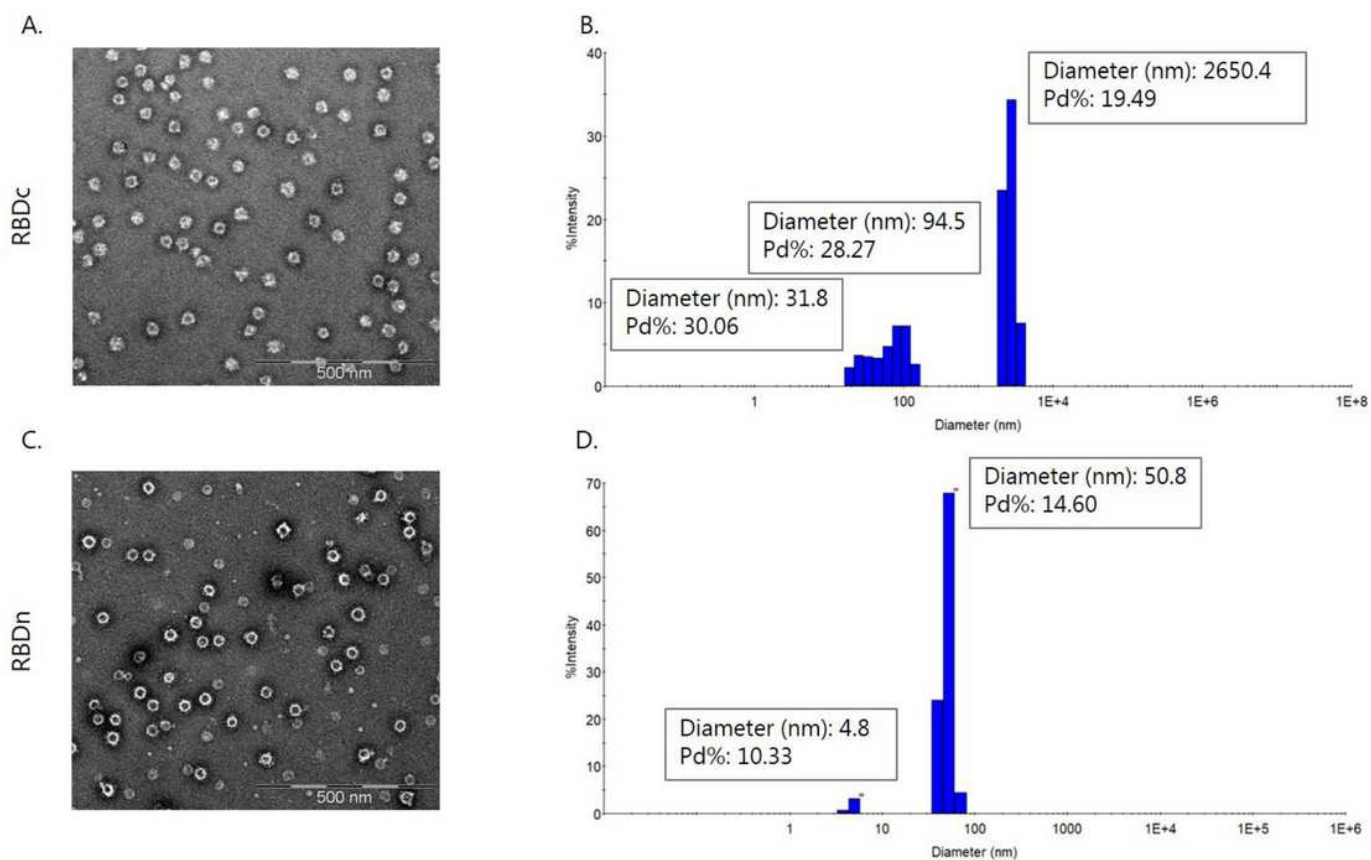
645 CMJ, ST, TGT, AS, MAN and AFS are listed as co-inventors on a patent application covering the AP205 CLP  
646 vaccine platform technology (WO2016112921 A1) licensed to AdaptVac. Employees of AdaptVac (CF, LG, AFS,  
647 WAJ), a company commercializing virus-like particle display technology and vaccine, including several  
648 patents. ExpreS<sup>2</sup>ion employees, as ExpreS<sup>2</sup>ion is a listed company with IP on ExpreS<sup>2</sup> cells. WDJ is co-founder  
649 and owns ExpreS<sup>2</sup>ion shares. The other authors have no financial conflicts of interest.

# Figures



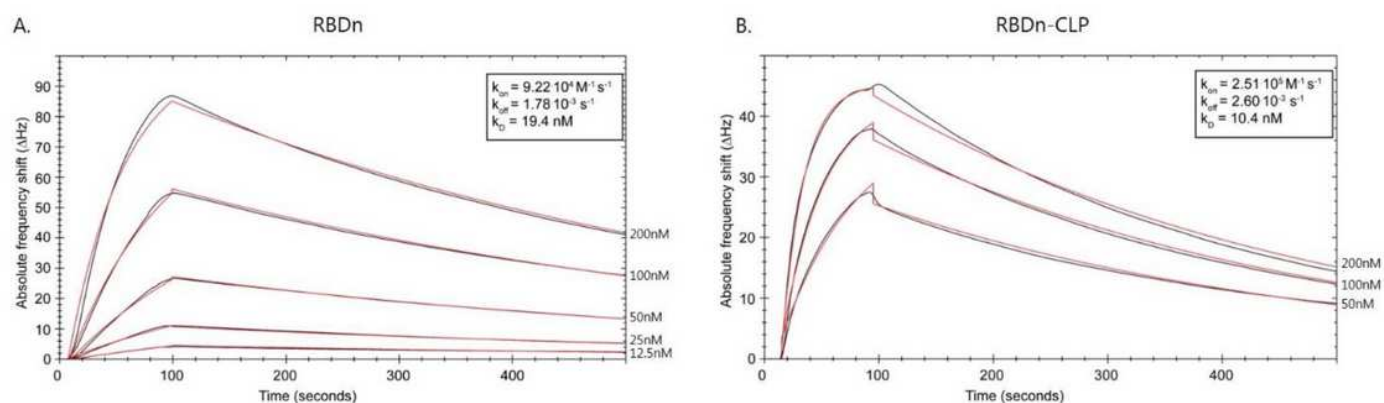
**Figure 1**

RBD-CLP vaccine design and characterization. (A) Schematic representation of the complete SARS-CoV-2 spike protein including the two RBD-Catcher antigen designs. NTD = N-terminal domain, FL= full-length, RBD = receptor-binding domain, SD1 =subdomain 1, SD2 = subdomain 2, FP = fusion peptide, HR1 = heptad repeat 1, HR2 = heptad repeat 2, TM = transmembrane region, IC = intracellular domain (B) Individual vaccine components on a reduced SDS-PAGE. M= marker, lane 1: unconjugated Tag-CLPs (16.5kDa), lane 2: RBDc-CLP conjugation after overnight incubation at 4°C (60kDa), lane 3: RBDc-CLP conjugation after overnight incubation at 4°C (60kDa) + spin test, lane 4: unconjugated Tag-CLPs (16.5kDa), lane 5: RBDn-CLP conjugation after overnight incubation at RT (60kDa), lane 6: RBDn-CLP conjugation after overnight incubation at RT (60kDa) + spin test. (C) Schematic representation of the Tag/Catcher-AP205 technology used to create the RBD-CLP vaccines. The genetically fused peptide Tag at the N-terminus of each AP205 capsid protein (total of 180 subunits per CLP) allows unidirectional and high-density coupling of the RBD antigen, via interaction with the N- or C-terminal Catcher (i.e. the corresponding binding partner) (D) Structural illustration of the RBD-CLP vaccine, based on the SARS-CoV-2 spike (Sequence ID: QIA20044.1), Tag/Catcher (not published), and AP205 CLP (Sequence ID: NP\_085472.1)45 structures. The Tag is shown in red, Catcher in green, RBD in grey with the amino acids residues involved in ACE2 binding interface shown as red spheres.



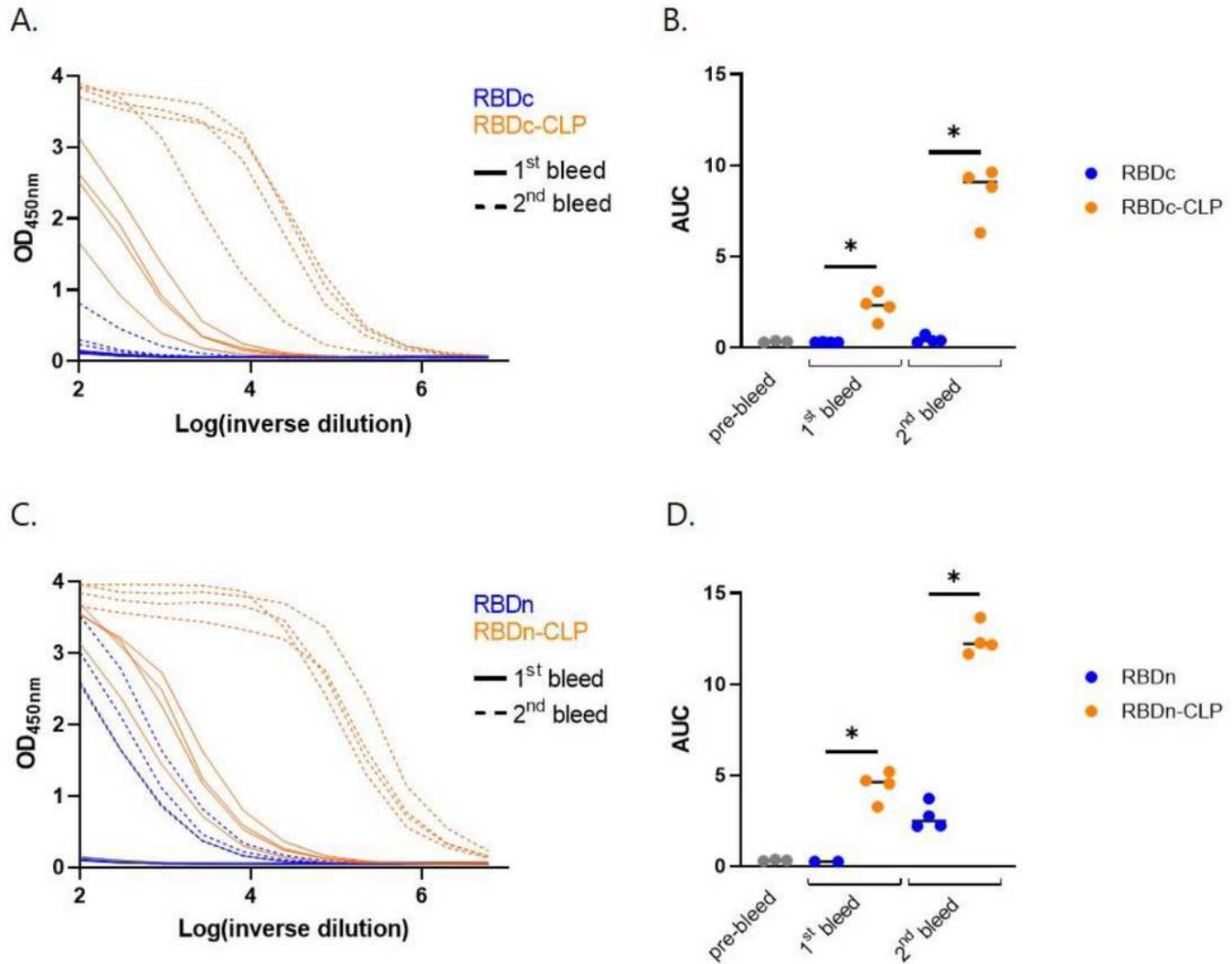
**Figure 2**

Vaccine quality assessment. (A,C) Transmission electron microscope (TEM) images of the negatively stained purified RBDc-CLP or RBDn-CLP vaccine. Scale bar is 500nm. (B,D) Histogram of the % intensity of the purified RBDc-CLP or RBDn-CLP particles from DLS analysis. Annotated are the average diameter and polydispersity (Pd%) for the particles.



**Figure 3**

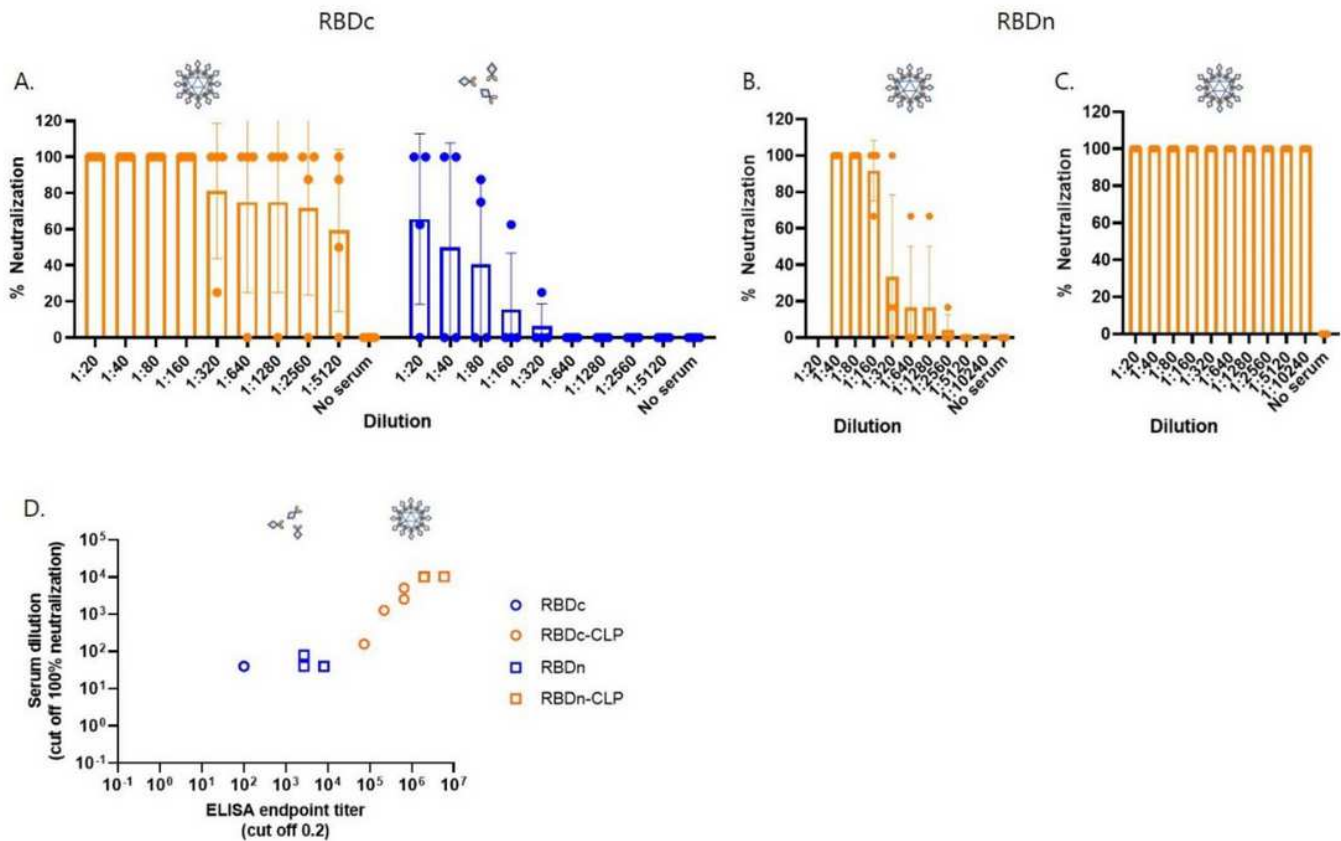
ACE2 binding kinetics for RBDn and RBDn-CLP (A) Real time binding (black curves) of RBDn to immobilized hACE2 on the chip surface. Red curves show theoretical curves obtained using a 1:1 simple binding model. Analyte concentrations are shown to the right and  $k_{on}$ ,  $k_{off}$  and  $K_D$  are boxed. (B) Real time binding (black curves) of ExpreS2 produced ACE2 to immobilized RBDn-CLP on the chip surface. Red curves show theoretical curves obtained using a 1:1 simple binding model. Analyte concentrations are shown to the right and  $k_{on}$ ,  $k_{off}$  and  $K_D$  are boxed.



**Figure 4**

RBD-CLP vaccines induce high antigen-specific antibody titers in mice. (A) Dilution curves from ELISA of total anti-SARS-CoV-2 spike (aa35-1227) IgG antibodies detected in sera from BALB/c mice (n=4) immunized intramuscularly with soluble RBDc (prime 2 $\mu$ g / boost 2 $\mu$ g) or CLP-displayed RBDc (RBDc-CLP) (prime 1 $\mu$ g / boost 1 $\mu$ g). Analyzed sera was obtained before vaccination (pre-bleed), two weeks after the prime (1<sup>st</sup> bleed) or boost (2<sup>nd</sup> bleed) vaccinations. (B) ELISA results depicted in the form of area under curve (AUC), the bars represent the median. Non-parametric Mann-Whitney test was used for statistical comparison. A statistically significant ( $p < 0.05$ ) differences are marked by the \*. (C) Dilution

curves from ELISA of total anti-SARS-CoV-2 spike (aa35-1227) IgG antibodies detected in sera from Balb/c mice (n=4) immunized intramuscularly with soluble RBDn-Catcher (prime 5µg / boost 5µg) or CLP-displayed RBDn (RBDn-CLP) (prime 6.5µg / boost <0.1µg / boost 6.5µg). Analyzed sera was obtained before vaccination (pre-bleed), two weeks after the prime (1st bleeds) or after boost-boost (2nd bleed) vaccinations. (D) ELISA results depicted in the form of AUC, the bars represent the median. Non-parametric Mann-Whitney test was used for statistical comparison. A statistically significant ( $p < 0.05$ ) differences are marked by the \*.

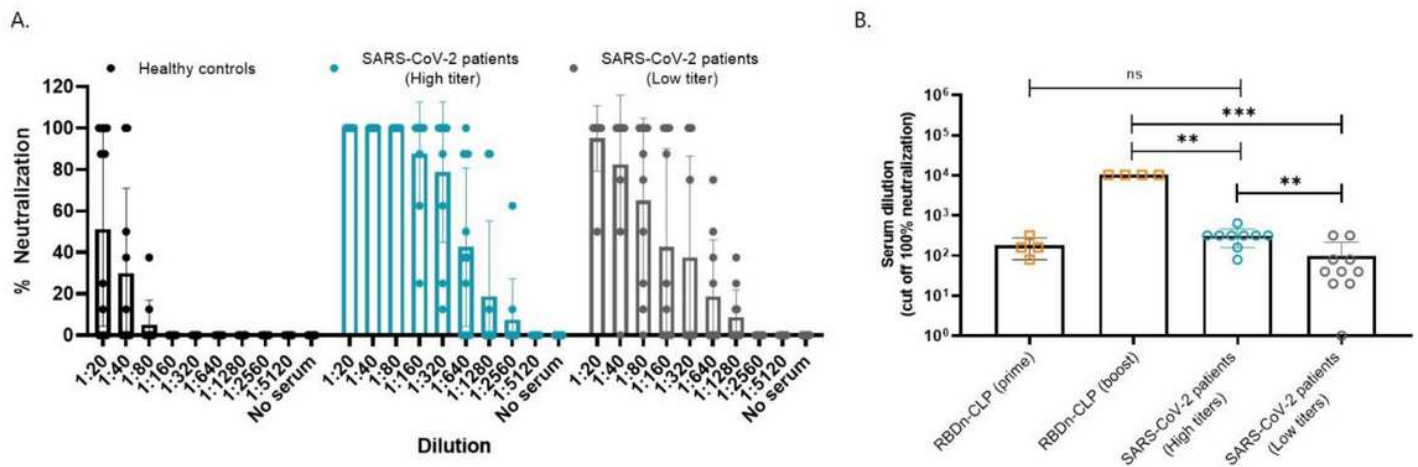


**Figure 5**

Serum from mice immunized with RBD-CLP vaccines neutralize SARS-CoV-2 in vitro. (A) Serum from mice immunized and boosted with RBDc-CLP (orange) (prime 1µg / boost 1µg) or soluble RBDc (blue) (prime 2µg / boost 2µg) was mixed with a SARS-CoV-2 virus and tested for cell entry. Each dot represents the percentage neutralization per mouse per dilution. Bars represent the mean and SD. (B, C) Serum from mice immunized with RBDn-CLP (prime 6.5µg / boost <0.1µg / boost 6.5µg) from first bleed after the first immunization (B) or second bleed after the booster immunizations (C), was mixed with a SARS-CoV-2 virus and tested for cell entry. Each dot represents the percentage neutralization per mouse per dilution. Bars represent the mean and SD. (D) Correlation between IgG endpoint titer (2nd bleed, cutoff 0.2) and serum dilution required for 100% virus neutralization. Endpoint titers were determined from dilution curves, by ELISA, from sera of mice immunized with RBDc (prime 2µg / boost 2µg), RBDc-CLP (prime 1µg / boost 1µg), RBDn (prime 5µg / boost 5µg) or RBDn-CLP (prime 6.5µg / boost <0.1µg / boost 6.5µg) and



correlated to the serum dilution required for 100% virus neutralization in the neutralization assay done on the same sera. Each dot represents one mouse. Pearson r Non- test was used to assess correlation.



**Figure 6**

Neutralization capacity of serum from convalescent SARS-CoV-2 patients. (A) A dilution series of individual human plasma samples from SARS-CoV-2 patients (with either 'high' or 'low' ELISA binding titer against SARS-CoV-2 spike protein) or healthy controls were mixed with a clinical SARS-CoV-2 isolate and tested for cell entry. Each dot represents the percentage neutralization per sample, per dilution. Bars represent the mean of the group with a standard deviation. (B) Endpoint serum dilution required for 100% virus neutralization. Each dot represents the serum dilution needed for 100% virus neutralization according to the dilution titration of the sera in the neutralization assay (Fig. 6A and Fig. 5B,C). Bars represent the mean of the group with a standard deviation. Mann-Whitney test was used for statistical comparison. Statistically significant differences are marked by asterisk: ns=non-significant, \*\*:  $p \leq 0.005$ , \*\*\*:  $p \leq 0.001$ .