

CAR-T Therapy for Solid Tumors: Development of New Strategies

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ABSTRACT

The recent approval of two CAR-T therapies by US Food and Drug Administration (FDA) marks a very significant development in cell-based cancer immunotherapy. This milestone was demonstrated by the effectiveness of eradicating hematologic cancers using CD19-specific CARs. The success spurred development of immune cell therapies for other cancers, especially solid tumors. The generation of novel CAR constructs for these cancer types represents a major challenge in bringing the technology ‘from-bench-to bedside’. In this review, we outline some new technologies we have developed to equip CAR-T cells to enhance efficiency while decreasing toxicity of CAR-T therapies in solid tumors.

Keywords: Cancer Immunotherapy; Chimeric Antigen Receptor T cell Therapy; Lymphoma; Solid Tumors; Cancer Molecular Profiling

1. Introduction

In the past, surgery, radiation and chemotherapy were at the forefront of recommended and accepted treatments for different cancer types. However, the efficacy of these therapies were limited due to (a) high recurrence rate^[1,2,3], (b) hard-to-detect residual metastasis^[4,5], (c) frequent late stage diagnosis^[6,7], (d) elevated refractory cases from resistant cancers^[8,9] and (e) invasiveness and toxicity to patients. In response to addressing these drawbacks, a new method entered the treatment group – *immunotherapy*. This kind of therapy utilizes the body’s immune function to detect cancer antigens and to mount an attack against cancer cells. Due to the remarkable positive clinical outcome brought by immunotherapy, it is now becoming as the first line of treatment in some cancer types^[10]. The technology involves transfusions with (autologous or allogeneic) T cells that are engineered to recognize cancer cells (**Figure 1a**), known as chimeric antigen receptor T (CAR-T) cell therapy^[11,12].

CAR-Ts are engineered T cells expressing scFv (single chain variable fragment) domain of antigen-specific antibody linked to a TCR (T cell receptor)-associated intracellular signaling domain such as CD3 zeta^[13] (**Figure 1b**). The scFv redirects CAR-T cells to recognize cancer cells in an HLA (human leukocyte antigen)-independent manner and the TCR intracellular domain induces T-cell dependent cancer killing^[14,15]. After the remarkable demonstration of efficiency by the first engineered T cells pioneered by Eshhar and coworkers^[16], variability in T cell functionalities have emerged. The 1st generation CAR expresses the CD3 zeta domain alone^[17] while the 2nd generation is made by tandem with CD28^[18] and the 3rd generation has an added domain from either CD137, CD134, ICOS or CD27^[19-22]. The emerging 4th generation has an added inducible IL2 or IL12 cytokine secretion^[23] for more potent immune activity (**Figure 1c**).

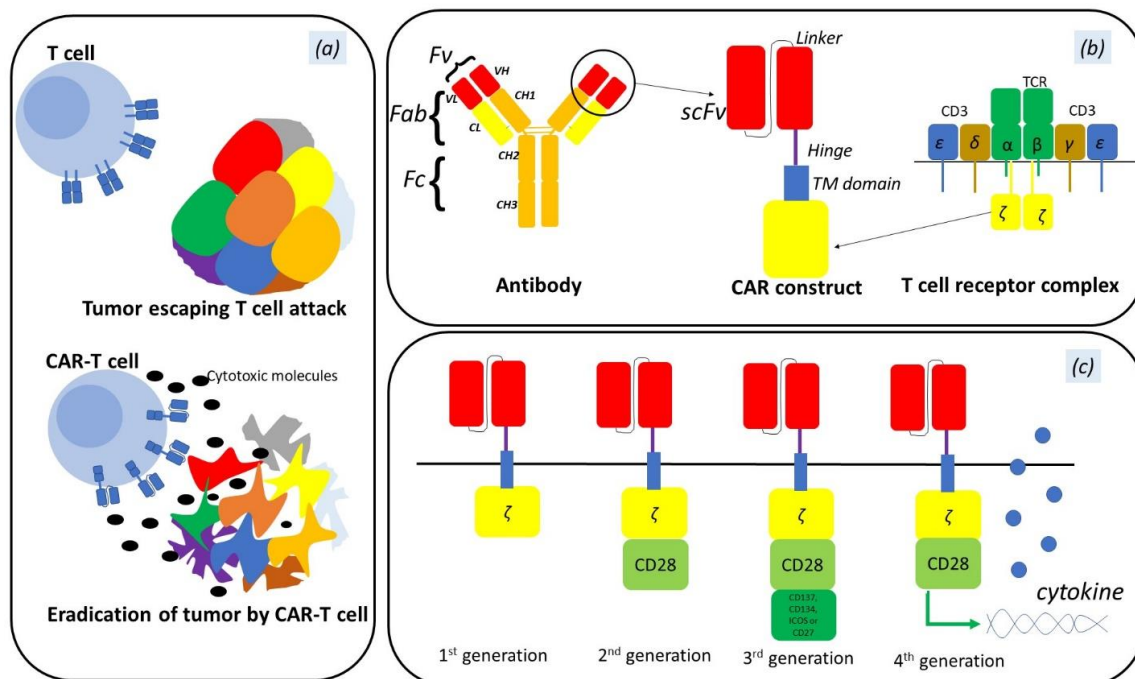


Figure 1; Schematic representation of chimeric antigen receptor (CAR) T cell therapy against cancer. Engineered T cells harboring CARs are more efficient in eradicating cancer cells compared to their un-engineered counterparts (a). This enhance anti-cancer activity is made possible by expression of an extracellular CAR domain with tumor associated antigen (TAA)-binding moiety, usually a single chain variable fragment (scFv) which was cloned from antibody gene with specificity to the desired TAA. A hinge (or spacer) region is placed after the scFv for flexibility followed by a transmembrane (TM) domain and one or more signaling domains involved in T cell activation (b). Functionality of CARs were enhanced by modifying the number and type of intracellular signaling domains of CAR. The first-generation CAR is equipped with the stimulatory domain of the T cell receptor complex zeta (ζ) chain. The second-generation CAR has the addition of CD28 co-stimulatory domain to ensure full activation of T cell response. The third-generation CAR is generated by adding a third co-stimulatory domain (CD137, CD134, ICOS or CD27) in tandem with CD28/zeta chain to potentiate maximally the immune response against cancer. The lastly, the fourth-generation CAR includes an inducible cytokine such as IL2 or IL12 to deliver enhanced anti-tumor effect and prevent down-modulation of CAR-T cytotoxic activity (c).

Over the past two decades since the description of first CAR-T trials, there have been more than 200 CAR-T cell therapies being evaluated in clinical trials globally (based on database search in Clinicaltrials.gov); and yet, there were only two CAR-T cell therapies approved by US FDA (Food and Drug Administration) for treatment of hematologic cancers^[24]. With these approvals, more CAR-Ts are projected to emerge for evaluation

in clinical studies. Several of these CAR-T constructs are directed to solid tumors (**Table 1**). In recent years, the developments in molecular genetics, molecular immunology and precision medicine directed to solid tumors have opened exciting opportunities for engineering immune cells directed to the many different human solid tumors and for customizing treatments based on the molecular characteristics of each patient's tumor.

Target Antigen	Cancer Type	Initial Posting	Strategy	References
EGFR (Epidermal Growth Factor Receptor)	Lung cancer and other EGFR+ solid tumors	Jun-13	EGFR-specific	NCT01869166
	Advanced solid tumor	Jun-17	CTLA-4 and PD1 antibodies expressing	NCT03182816

			CAR-T cells	
	Colorectal cancer	May-18	IL-12 inducible	NCT03542799
EGFRvIII	Malignant glioblastoma	Oct-11	EGFRvIII-specific	NCT01454596
	Residual glioblastoma	Aug-14	EGFRvIII-specific	NCT02209376
MUC1	Malignant glioblastoma, colorectal and gastric cancers	Nov-15	MUC1-specific	NCT02617134
	Advanced solid tumor	Jun-17	CTLA-4 and PD1 antibodies expressing CAR-T cells	NCT03179007
IL13R α 2	Malignant glioblastoma	Aug-14	IL13R α 2-specific	NCT02208362
	Brain tumors	Aug-08	Containing Hy/TK suicide gene	NCT00730613
Mesothelin	Cervical cancer and other mesothelin-positive solid cancers	Apr-12	Mesothelin-specific	NCT01583686
	Solid tumors	Jan-17	PD-1 antibody expressing	NCT03030001
CD70	Pancreatic and other CD70-expressing tumors	Jul-17	CD70-specific	NCT02830724
CD171	Neuroblastoma and ganglioneuroblastoma	Dec-14	CD171-specific	NCT02311621
CEA (carcinoembryonic antigen)	Lung, colorectal, gastric, breast and pancreatic	Jan-15	CEA-specific	NCT02349724
	Liver metastasis	Aug-16	Regional delivery of CAR-T cells	NCT02850536
EpCAM	Nasopharyngeal carcinoma and breast cancer	Sep-16	EpCAM-specific	NCT02915445
	Colon, esophageal, pancreatic, prostate, gastric and hepatic cancer	Jan-17	EpCAM-specific	NCT03013712
Her2	Her-2 positive solid tumors	Sep-13	Her2-specific	NCT01935843
	Central nervous system tumor	Apr-18	Tumoral delivery	NCT03500991
FAP (fibroblast activation protein)	Malignant Pleural Mesothelioma	Nov-12	FAP-specific	NCT01722149
EphA2	Malignant glioma	Oct-15	EphA2-specific	NCT02575261
GD2	Sarcoma, osteosarcoma, neuroblastoma and melanoma	Apr-14	caspase-9 inducible	NCT02107963
	Sarcomas	Oct-13	caspase-9 inducible and VZV vaccine activation	NCT01953900
	Solid tumors	Dec-16	caspase-9 and cytokine inducible	NCT02992210
	Neuroblastoma	Apr-13	caspase-9 inducible	NCT01822652
	Cervical cancer	Nov-17	Multi-antigen target-	NCT03356795

			ing	
	Glioma	Aug-17	GD2-specific	NCT03252171
CD133	Liver cancer and other CD131 positive tumors	Sep-15	CD133-specific	NCT02541370
GPC3	Hepatocellular carcinoma	Mar-16	GPC3-specific	NCT02723942
	Hepatocellular carcinoma and squamous lung carcinoma	Jun-17	GPC3-specific	NCT03198546
	Hepatocellular carcinoma	May-17	GPC3-specific	NCT03146234
MG7	Liver metastases	Aug-16	MG7-specific	NCT02862704
PSCA	Pancreatic cancer	Apr-16	PSCA-specific	NCT02744287
ErbB	Head and neck cancer	Mar-13	Intratumoral delivery	NCT01818323

Table 1. Target tumor-associated antigens in solid cancers, clinical trial duration and type of CAR-T strategy (Data from Clinicaltrials.gov).

2. Discussion

2.1 Challenges in CAR-T Therapy for solid tumors and how to overcome them:

The success of CD19-targeted CAR-T cells against hematological cancers is aided by the ability to recognize and bind to cancer cells readily upon CAR-T infusion^[18,12]. In solid cancers, particularly in bulky tumors, there are multiple factors that complicate efficient targeting of cancer cells, including penetrability of the tumor and specificity of scFv to antigens present in the cancer but not, or much less, in normal cells^[25]. Several potential targets in solid tumors have been identified and

some of them are being evaluated for clinical efficiency (Table 1)^[26,27]. Numerous factors contribute to the complexity in targeting solid tumors, including mechanisms that hinder access of these CAR-T cells to the site of tumor, cell trafficking, homing and extravasation, tumor infiltration, circumventing the tumor microenvironment, CAR affinity, CAR-T toxicity and other characteristics of cancer cells such as tumor heterogeneity, genomic instability, immune-checkpoint regulation and target down regulation (**Figure 2**)

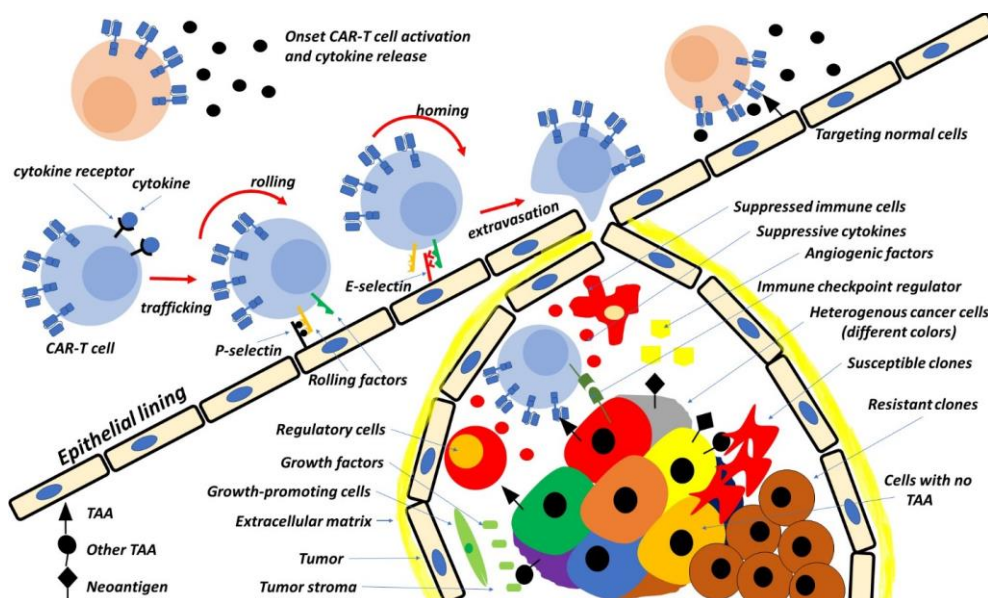


Figure 2; Challenges and point-of-improvements in CAR-T for solid tumors. This schematic representation shows the major hindrances encountered by CAR-Ts in delivering cytotoxic effect against solid cancers. These hindrances limit the efficiency of CAR-T therapy which could be focus for potential improvement.

2.2 Enhancing CAR-T cell efficiency:

A. CAR-T cell trafficking. Inefficient migration at the tumor site essentially limits the efficiency of CAR-T therapy against tumor cells^[26]. This restrictive impact might be due to chemokine mismatch released by cancer cells with the chemokine receptors expressed by CAR-T cells^[28,29]. Previous study demonstrated that activated CD8⁺ CXCR3^{high} tumor-infiltrating lymphocytes render inefficient for recruitment due to lack of receptor expression for related chemokine ligands such as CXCL9 and CXCL10 produced by cancer cells^[30]. In other reports, arming CAR-T cells with receptors for cancer-specific chemokines such as CCR2B (CCL2 receptor)^[31], CXCR2 (CXCL1 receptor)^[32], CCR4 (CCL17 receptor)^[33] and modifying the expression of immune activation pathway molecules such as protein kinase A^[34] to increase baseline expression of chemokine receptor improved trafficking and cancer eradication.

The workflow of re-engineering T cells for added receptor is customarily difficult as cancers from different patients produce different chemokine profiles. In an attempt to circumvent this, local instillation approach has emerged in clinical trials. This site-specific CAR-T administration bypasses the drawbacks of inefficient trafficking; however, this may not prove to be beneficial for those with multiple and residual metastasis or tumors that are concealed within multiple organs of the body. Hence, technical administration is somehow challenging. Nonetheless, preclinical results of regional and intratumoral delivery of CAR-T cells provide promising results against glioblastoma^[35], liver cancer^[36], and in some types of head and neck cancers^[37].

Another effort to increase CAR-T trafficking emerged from the use of oncolytic vaccinia virus strain^[38]. While some CAR-Ts are engineered to harbor receptors for chemokines, these oncolytic viruses can serve as tumor-specific delivery of chemokine genes so cancer cells release matching chemokines that are efficiently recognized by CAR-T cells; thus, enhancing recruitment of tumor infiltrating effector T cells. Preclinical reports on oncolytic virus-mediated transgenic delivery of CXCL-11^[39], CCL-5^[40], CCL-19^[41] resulted in elevated expression of granzyme B and INF- γ in tumor site with enhanced tumor mass reduction.

B. Homing and extravasation of CAR-T cells to tumor site. The interaction of chemokine receptors with their ligands induces expression of T cell rolling-associated proteins [E- and P- selectin ligands^[42,43] including related homing and adhesion molecules in T cells such as LFA-1 and VLA-4 integrins to track the gradient of chemokines released through the blood stream^[44]. However, the efficiency of extravasation and homing into the tumor site remained challenging. Escape from neo-vessel epithelium by extracellular-matrix (ECM) degradation hinders CAR-T cells from reaching the target site^[45]. Histopathological features of solid tumors display high concentration of blood vessels and the extracellular lining of epithelium is composed of protective barriers that need to break down. In vitro and mouse model studies on transduction of heparanase (HPSE) gene in CAR-T cells enhanced heparan sulfate proteoglycan degradation in the ECM resulting in more efficient targeting of neuroblastoma cancer cells^[46]. Other ECM-targeted approach such as anti-fibulin 3 CAR-T cells was found to efficiently eradicate glioblastoma cells in mouse models evidenced by increased expression of IFN- γ , IL-2, perforin and granzymes in the site of tumor^[47]. While some are targeting ECM-components, some CAR-Ts are modified to target VEGFR (vascular endothelial growth factor receptor)^[48] to specifically direct T-cell effect as guide from tumoral activities that hijacks vasculature formation.

Advances in oncolytic virus strategy have pronounced other modes of assisting CAR-T cell extravasation. Some studies demonstrated the enhancement of CAR-T cell infiltration following administration of oncolytic viruses that express different ECM-degrading enzymes such as collagenase^[49], hyaluronidase^[50] and matrix metalloproteinase 9 (MMP-9)^[51]. Other modes of oncolytic virus-assisted CAR-T extravasation includes the arming of these viruses with anti-VEGF^[52,53] or anti-VEGFR^[54] or other inhibitors of these molecules to inhibit vasculature growth.

Cancer cells also secrete angiogenic factors such as Ang-1 or angiopoietin^[55,56] that downregulates expression of T-cell adhesion factors ligands such as ICAM-1, VCAM-1 and other T-cell rolling molecules such as E-selectins. Theoretically, blocking or targeting these angiogenic factors and upregulation of these adhesion

molecules and T cell rolling factors may result in enhanced trafficking of CAR-T cells into tumor site.

C. CAR-T cells infiltrating the tumor microenvironment (TME). Physically, the extracellular-matrix (ECM) serves as scaffold for all cells in the tumor microenvironment (TME). However, attacking the ECM itself is not a guarantee to successfully kill cancer cells. While ECM serves as barrier, the TME provides another level of comfort for cancer cells to evade immune destruction by CAR-T cells^[57]. As a cancer-made habitat, TME is dominated by tumor-induced interactions favoring cancer growth and suppressing immune functions including promoting metastasis, nurturing mutational accumulation, resisting apoptotic signals and concentrating proliferative factors. All these events are orchestrated by the ability of cancer cells to turn all other related cells as traitors to the body's natural processes. Key players in building TME involves an interplay of different cells and complex factors.

i. Tumor growth-inducing cells. Myofibroblasts or fibroblastic cells are specialized cells that develop in response to injury^[58]. In TME, myofibroblasts are called CAFs (cancer associated fibroblasts). These cells play a very significant role in promoting tumor growth as they secrete growth factors such as insulin-like growth factor 1 (IGF-1) and fibroblast growth factor (FGF)^[59]. CAFs also secrete anti-inflammatory cytokines such as TGF-B (transforming growth factor beta)^[60] which suppresses immune attack while contributing to metastatic potentials of cancer cells. Chemokine CXCL12 derived from fibroblast of TME can serve as chemoattractant for recruitment of other TME-associated cells^[61] which will be discussed below. Other key factors in tumorigenesis is the added support provided to tumor vasculature and blood vessel formation by other cellular components such as stromal endothelial cells^[62], vascular endothelial cells^[63], and pericytes^[64] which are all known to create dynamic interplay in providing the overall TME region a suitable habitat for cancer growth.

Recent advancement in CAR-T therapy found that CARs directed against fibroblast activation protein (FAP) have better anti-tumor effects^[65]. Other CARs as previously described above targeting receptors for growth factors such as VEGFR can circumvent this active involvement of CAFs and other tumor growth-inducing

cells in tumorigenesis. It is projected that the combinatorial use of ECM degrading and TME-associated stromal cells such as HSPE and FAP-targeted CARs may efficiently increase the chance of CAR-T cells to reach the site of tumor.

ii. Immune cells and associated immune-suppressing cells. Surprisingly, the body's immune cells reside within TME, but their functions have been deactivated and altered. A subclass of T cell population, CD4⁺Foxp3⁺CD25⁺ regulatory T cells (Tregs), and B cell subclass, CD5⁺CD1d^{high} regulatory B cells (Breg or B10) are concentrated within the tumor site^[66,67] which secrete IL-10, TGF-B (transforming growth factor beta) and activates immune-checkpoint receptors in most pro-inflammatory T cell population leading to their deactivation. Other groups of immune cells found in TME are natural killer (NK) and natural killer T (NKT) cells while their functions in tumor stroma are unknown, a number of studies demonstrated their anergic phenotype and might have the potential to secrete anti-inflammatory cytokines^[68]. Phagocytes such as dendritic cells (DCs) and macrophages are also associated with TME. With their ability to engulf and present antigens via HLA pathway, these two types of immune cells are supposed to cascade immunologic events leading to cancer eradication. However, DCs are found to have defective antigen processing and presentation of tumor-associated antigens (TAAs) due to strong immunosuppressive effects of anti-inflammatory cytokines secreted by other cells of TME^[69]. Macrophages on the other hand are discovered to have converted from cancer-killing (M1) to cancer-promoting phenotype (M2) or also called tumor-associated macrophages (TAM)^[70]. M2 cells residing in TME converts from producing IL-12 cytokines, which is essential for activating immune function, in to IL-10 which favors immune suppression. In fact, clinical data suggest that high TAM correlates to poor cancer prognosis^[71].

Several other key players of TEM such as myeloid-derived suppressor cells deactivate CD8⁺ T cells and cooperatively converts M1 to TAM phenotype^[72]. Tumor-associated neutrophils (TAN) are found to enhance angiogenesis and cancer metastasis^[73]. Adipocytes^[74] and neuroendocrine cells^[75] produce and stimulate hormone-like factors that induce hormone-dependent

cell growth and downregulate immune response along with anti-inflammatory cytokines released by themselves and all other cells.

With the continuous development of CARs, the 4th generation CAR-T cells (also called TRUCK) can be equipped with inducible pro-inflammatory cytokine such as IL-2, IL-18 and IL-12 to circumvent the saturation of anti-inflammatory cytokines present in tumor stroma. Recent report showed superior antitumor activity of 4th generation CAR-T cells where T-bet expression have increased in T cell population accompanied with reduction of TAMs and Tregs in tumor site with promising clinical correlation of increased conversion to CD8+ and CD4+ subtypes^[23]. The induction of immune-activating cytokines can also elicit NK and NKT cells activation in solid tumors leading to more efficient cancer eradication. Consecutively, administration of oncolytic virus which is armed to express inflammatory cytokines following CAR-T administration can theoretically circumvent the immunosuppressive nature of TME.

iii. Non-cellular components of TME. The creation of sub-habitual location of tumor inside the body creates a condition where some of the normal cellular functions are impossible to carry out. Generally, TME and the tumor itself is packed with rapidly dividing cells where oxygen (hypoxia) and nutrients are usually limiting, leading to an environment that is more acidic and lower in glucose concentration^[76]. This acidic environment and lack of nutrients in tumor stroma generate a stress response leading to T cell anergy or apoptosis or conversion into Treg alongside with immune suppressing activities of anti-inflammatory cytokines^[77]. Hypoxia was found to have big impact in tumor initiation and progression by activating hypoxia inducible factor (HIF)^[78] which target and transcribe multiple genes associated with survival of cells and also cooperatively induces pro-inflammatory environment that initially recruits immune cells in TME and are coaxed to become traitors of immune activity by releasing cytokines to antagonize inflammatory reactions and later on impart in conversion of T- and B- cell population into regulatory subclasses.

Hostile environment resulting to hypoxia was found to decrease cytotoxic tumor infiltrating T cells and even when reactivated with IL-2, their viability has decreased^[79]. These unfavorable condition for T cells has

proposed requirement as limiting factor for CAR-T therapy in solid tumors where tumor size should be minimal, otherwise, any other therapies may fail. Despite of challenges in delivering and striving CAR-T cells in hypoxic environment, there has been pioneering study aimed to armor CAR-T cells with sensor to sense hypoxic environment^[80]. The team added the oxygen-sensing domain of HIF gene to the intracellular domain of CAR construct. They found that CAR-T functionalities in killing cancer is not hindered in a low oxygen environment. Therefore, retaining immunologic function despite hostile environment.

D. Potentiating tumor targeting by fine-tuning scFv affinity of CAR. We discussed previously the role of scFv (single chain variable fragment) domain of CAR in locating target cells. Antigen-recognition is vital in directing CAR-T cell effect against cancer. Other key factors that relates to the quality of scFv includes binding affinity which determines the efficiency of antigen recognition. In immunotherapy, scFv affinity is a dual edged functionality where too high interaction results in poor tissue penetration and distribution which may pose risk of side-effects due to potential concentration of immune effect in normal tissues. On the other hand, too low interaction may result in poor targeting of the desired antigen, hence causing low efficiency^[81].

CARs are derived from antibody scFv with unknown binding affinity or may have affinity that is altered after recombinant fusion with the intracellular domain. Study presented by Park *et al*^[82] demonstrated the use of enhanced anti-ICAM1 CAR-T cells targeting solid tumors in mouse. Increased molar affinity of ICAM-1 resulted in better distribution and eradicated preferentially tumor cells while keeping normal cells unharmed. In another study, anti-ErbB2 CAR harboring scFv with lower affinity has comparative anti-tumor activity against solid tumors with high-affinity CARs^[83]. These two contradicting affinity features of CAR indicate the necessity of fine-tuning binding affinities based on complex factors such as cancer type, antigen density or may either be traced back to identifying suitable hybridoma or phage clones during antibody scFv development.

As discussed above, most of target antigens in solid cancer are also expressed in normal tissues. These studies on scFv fine-tuning are promising platform to teach

CAR-T cells in discriminating normal from the cancer cells. It is important to note that fine-tuning scFv to harbor high or low binding affinity is an important avenue for improving CAR-T cells in providing safer and better anti-tumor effect. Various protein engineering approaches such as directed evolution^[84], domain exchange^[85], coupled with high-throughput analysis using phage display^[88] can assist in scFv fine tuning.

E. Neutralizing CAR-T toxicity. Currently, most of the targets in solid tumors are molecules found relatively in normal cells. CAR construct is designed to recognize specific antigen but CAR-T cells cannot distinguish between normal and cancer cells. Severity of this “on-target/off-tumor” toxicity range from cell lineage depletion or aplasia with some reports describing severe toxicity leading to death. This problem is demonstrated by CD19 and carcinoembryonic antigen-directed CAR-T cells where normal cells are also recognized^[87,88]. In some reports this type of toxicity have been addressed by fine-tuning scFv^[89] or identifying other targets that are more specific to cancer cells such as neoantigens^[90].

The most prevalent side effect of CAR-T therapy is the early or onset immune activation known as cytokine release syndrome (CRS)^[91,27]. Even prior to encountering cancer antigen, CAR-T cells may start releasing cytotoxic molecules which orchestrate severe noncancer-specific inflammatory processes inside the body, targeting different organs and tissues. There are plenty of reasons why CRS occurs which include complexity of generating chimeric T cell functionalities and the generation of fine-tuned scFv as described above. Enhancing the anti-tumor activities of CAR-T cells is a “double-edged sword” that may either enhance tumor eradication or may escalate patients to life threatening situation^[34]. Current CAR-T platforms developed to address cytotoxicity include developing switchable CAR (sCAR) equipped with switch-on mechanism to prevent early activation of CAR-T cells^[92,93]. These sCARs utilize an anti-PNE (peptide neo-epitope) CAR with scFv domain that is specific to PNE epitope which is not found in human proteome. The sCAR is activated once it encounters a PNE-coupled antigen-specific scFv. Report on anti-CD19 sCAR-T cells showed a dose-dependent response without unwanted immune activation^[92].

Other modes of CAR-T variants being developed to bypass onset activation includes platforms that contain ‘safety switch’ such as iCAR and caspase-9-inducible CAR. The antigen-specific inhibitory chimeric antigen receptors or simply iCAR is another advancement in CAR-T therapy dampening T cell activation when scFv of iCAR recognizes normal cell antigen. This platform contains tumor-specific CAR that allows cancer-specific killing and an inhibitory iCAR that suppresses immune attack on normal tissue. Sadelain and coworkers^[94] demonstrated the use of PD-1 and CTLA-4 inhibitory domains to offset immune activation of cytotoxic CAR when CAR-T cells are trafficked in non-tumoral region. They showed that CD19-CAR/PSMA-iCAR T cells killed CD19+/PMSA- cells but not CD19+/PMSA+ cells. This iCAR is a promising approach to preventing CAR-T cells from eliciting immune attack when not needed. Same cytotoxicity management is employed in caspase-9-inducible CAR proposed by the team of Diaconu *et al*^[95]. However, the CAR construct does not employ the use of immune checkpoint proteins but has an added caspase-9 intracellular domain activated by induced-dimerization of FK506-binding protein in the presence of pharmacological drug known as AP1903. This drug-induced dimerization to activate caspase-9 affords to manage toxicity by terminating the effects of CAR-T cells by apoptosis.

2.3 Identifying weak spots in cancer cells:

A. Targeting heterogeneous population of cancer cells in the tumor site. Cancer develops from accumulated mutations that initiates a malignant phenotype. As cancer cells continue to replicate, other clones harbor different genetic and epigenetic anomalies as they are exposed to different microenvironmental pressures^[96] such as deprivation of nutrients and oxygen as described above. Cancer cells in tumors are highly heterogeneous^[97,98] and CAR-T cells targeting only one specific antigen might not be sufficient enough to eradicate all targets, especially in metastatic cases where associated antigens are different from succeeding tumors. The big challenge in addressing heterogeneous cancer antigens in solid tumors is that most of the targets currently being employed for therapeutic evaluation are also expressed by normal cells^[87]. However, some CAR-T cells would preferentially target tumor sites as most of these

antigens are upregulated in tumor population however the greatest challenge is to execute T cell killing immediately on cancer cells before any harmful side effects have been made to normal cells and considering all other factors in directing CAR-T cells to the site of tumor (as described above).

In lieu of constructing CARs that express scFv against single targets, there have been reports that utilized dual or multiple targeting of tumor-associated antigens to bypass drawbacks in tumor heterogeneity^[99,100]. Some innovative CAR designs employed the added inhibitory signals where one scFv recognize a tumor antigen while the other recognizes a protein expressed by normal tissue to make CAR-T therapy safer for use in cancer immunotherapy. Dual TAA-targeting has been also shown to enhance tumor eradication.

B. Evading complexity of cancer genomic instability. From the view point of mutations and epigenetic changes described recently, accumulated genomic changes may result in various genetic anomalies such as indels (insertion/deletion) or rearrangements leading to fused, altered or truncated proteins^[101]. As clinical consequence, cancer cells might become less aggressive and patient respond easily to available treatment or they might become refractory or more aggressive leading to more serious conditions. Depending on kind of aberration, increased mutational load and high neoantigen frequency might be beneficial to some tumors such as high microsatellite instability (MSI^{high}) in colorectal cancer^[102,103]. However, some genomic aberrations result in poor prognosis such as high mutational burden in TP53 and RET in pancreatic cancer and APOBEC family of genes in multiple myeloma^[104].

In a bright note, these mutations and aberrant changes in chromosomal arrangements creates an altered protein product known as neoantigen. Cancer neoantigens are gene products with altered sequence or structure that may present immunogenic epitope for immunologic response^[90]. Current approach in neoantigen treatment includes vaccine design which requires cloning and expression of the neoantigens which are induced to be immunogenic and later on triggers cancer killing^[105].

Neoantigens expressed on the surface of cancer cells could be potential targets and because they are only present in cancer cells, they offer a safer and more spe-

cific tumor-associated targeting for CAR-T therapy. Roughly around 28 neoantigen clinical trials are being evaluated (based on Clinicaltrials.gov database search query) and one of them includes redirecting CAR-T cells to target neoantigens in solid tumors such as metastatic glioblastoma, lung cancer, ovarian, breast and gastrointestinal tumors^[106]. However, the clinical efficacy and safety of this CAR-T platform is yet to be evaluated.

C. Bypassing immune checkpoint inhibition. As quality check process, T cells are regulated in two stages, central and peripheral tolerance. These T cell regulations are important to prevent auto-reactive T cells from attacking the normal tissues. Unlike developing T cells, CAR-T cells and their unmodified counterparts, are controlled at the periphery (peripheral tolerance) which is orchestrated by different immune checkpoint proteins. The PD1/PDL-1 axis is one of the very well-known immune checkpoint proteins associated with T cell suppression^[107]. The interaction of PD-1 (programmed cell death 1-receptor) on T cells to the ligand (PDL-1 or PDL-2) on normal tissues prevents autoimmunity^[108]. However, this T cell suppression mechanism is also used by cancer where expression of these ligands (PDL1 and PDL2) are very high, correlating to poor prognosis in some cancer types^[109,110]. Clinical results on immune-checkpoint blockade using antibodies produced encouraging remission outcomes and increased patient survival in many types of solid cancers^[111]. Some immune checkpoint blockade targets include PD-1, PDL-1, CTLA-4, TIM-3, LAG-3, and A2AR^[112,108,113], all are clinically evaluated for efficiency in eradicating cancer cells by antibody-mediated cancer killing.

CAR-T cells are not exempted from this immune checkpoint suppression as they also express these inhibitory molecules owing to their innate T cell nature. So far, we discussed the importance of overcoming the presence of immune suppressing cytokines and other soluble factors that saturate the tumor stroma. In order to bypass this suppressing environment, additional inducible cytokine has to be equipped with CAR-T to potentiate immune attack. However, the presence of immune checkpoint proteins on cancer cells present another danger that might render CAR-T cells inefficient even equipped with various inflammatory cytokines.

In various CAR-T clinical trials, some patients were

found to have increased PD-1 expression after few weeks of infusion^[114]. In fact, some participant showed higher PD-1 expression in CAR-T cells compared to endogenous T cells^[115]. These problems have led to combinatorial treatment of anti-PD1 or anti-PDL1 antibody following CAR-T treatment. Preclinical research and experimental animal models showed better tumor killing and enhanced CAR-T cell survival in the presence of PD-1 pathway blockade. Pioneering study by group of John *et al.*^[116] confirmed that anti-Her2 CAR-T cells can undergo T cell exhaustion after continuous stimulation with PDL-1+ Her-2⁺ tumor cells. In an in vitro set-up, they showed that treatment of anti-PD1 antibody in combination CAR-T cells enhanced T cell activation and proliferation. In their transgenic model, their anti-Her2 CAR-T cells strikingly produced better anti-tumor effect in the presence of anti-PD1 antibody.

Despite positive results of this combinatorial dosing, the separate cost and the independent side effects of each therapy could hinder access to this treatment. New platforms of CAR-T cells are now developed and currently under clinical trials to evaluate efficiency and safety of co-engineering CAR-T cells with antibody genes targeting these inhibitory molecules such as PD-1, CTLA-4 and PDL-1. These antibody-expressing CAR-T cells provide a “built-in” therapy that will no longer require co-administration of antibodies. Targets in solid tumors include MUC1^[117], EGFR families^[118] and mesothelin^[119], however, their clinical efficiency are still being evaluated in clinical trials.

Other modes of engineering T cell in bypassing PD-1-mediated T cell suppression was demonstrated by chimerizing the PD-1 intracellular domain with the CD28 signaling region^[120]. In this report, the scientists demonstrated increased T cell activation and proliferation accompanied with increased cytokine secretion and granzyme B release in their experimental model. This promising approach to turning inhibitory molecules to activate T cells by engineering the intracellular domain is a promising approach.

In some other reports, CAR-T cells can be co-engineered using CRISPR (clustered regularly interspaced short palindromic repeats)-Cas9^[121] or RNAi (RNA interference) technology^[122] to harbor dominant-negative inhibitory receptor or could be knocked

out, knocked down or removed from the loci to render the PD-1/PDL-1 axis inefficient to suppress T cell activity.

D. Circumventing down-regulation of antigen targets. As a well-established fundamental physiology by which cancer escape cell death or evade immune destruction is through downregulating tumor-associated antigens (TAAs) among all other things. Factors leading to reduced or loss of expression of these TAAs may attribute to genetic malfunctions already discussed above or may have been a physiological feedback or sporadic response which reduce the efficiency of any cancer therapy^[123]. As exemplified by some clinical reports on breast cancer, endocrine therapy using tamoxifen was shown to induce loss of expression of target receptors such as ER (estrogen receptor) and progesterone receptor (PR) with clinical significance of resistance reaching to almost 20%^[124]. It is now being recognized that the loss of presentation of internal TAAs or even neoantigens by MHC (major histocompatibility complex) class I hide cancer cells from being detected by immune cells, be it endogenous or the engineered counterpart^[125-127]. Down-regulation of latent membrane protein (LMP) 2, LMP7^[128,129], transporter associated with antigen processing (TAP) 1 and TAP2^[130-132] are some of the genes scientists are now looking in response to finding key features of developing immunotherapy-resistant cancer cells.

Similarly, in case of anti-CD19 CAR-T therapy targeting melanoma, scientists found that an isoform of CD19 with skipped exon 2 (CD19-e2) was upregulated leading to downregulation of the full-length CD19 target^[133]. This downregulation of the whole CD19 protein led to loss of cognate epitope necessary for CAR-T recognition. It is now being recognized that loss of antigen expression on tumor cells presents a very dramatic problem in CAR-T therapy and immunotherapy in general.

Current approach in CAR-T therapy using dual targeting CAR-T cells for two different TAAs might overcome this antigen downregulation by cancer cells. Pre-clinical and clinical studies using this approach produced a very promising result. Hedge *et al.* demonstrated that the combinatorial targeting of HER2 and IL-13R α 2 by CAR-T offset antigen escape and enhanced anti-tumor

activity in vitro and in xenogeneic mouse model^[134].

3. Molecular profiling of solid tumors

Molecular changes that underlie tumorigenesis have been widely elucidated. In fact, a cancer cell from one patient or in a certain cancer group differs in molecular background^[135-137]. This heterogeneity of cancer pathophysiology may provide answers why only a portion of the treated population respond to immunotherapy and why some tumors develop resistance to the treatment^[138,139].

Molecular profiling has proven to be effective in providing adequate information to conclude prognosis and diagnosis of some diseases including providing clinical decisions for treatment and disease management^[140,141]. Tumor profiling provides information on the molecular characteristics of cancer cells^[142]. The elucidation of these 'characteristics' provides better understanding about the cancer cells and in translation may give clue to identifying appropriate therapy for patients. For example, 4th generation caspase-9-inducible anti-CD19 CAR-T was found to rescue patient with chemo-refractory acute lymphoblastic leukemia carrying Bcr-Abl cytogenetic fusion and C275Y TP53 mutation^[143] while some leukemia with different profiles might be refractory to the therapy. This finding correlates the importance of identifying biomarkers that might involve in sensitizing cancer cells for CAR-T therapy. Thus, tumor profiling allows tailor-fitting the specific CAR-T platforms needed by certain stratified tumor profile.

4. Conclusion

In this review, we outlined the major challenges of CAR-T therapy in solid tumors. The success of CAR-T therapy is affected by two factors: 1) the strategic efficiency of CAR-T cells; 2) and the susceptibility of cancer cells to immunotherapy. While it is important to note factors affecting CAR-T delivery and toxicity it is also very important to identify the extent of cancer heterogeneity and treatment sensitivity.

Recently, tumor profiling test has been used by US FDA (Food and Drug Administration) for cancer diagnosis and prognosis^[144,145]. This molecular profiling of tumors allows analyzing multiple genes that are associated with tumorigenesis that might aid in screening

novel biomarkers for use in CAR-T therapy. The success of CAR-T treatment will be greatly influenced by the identification of these target antigens that are unique for each patient's solid tumor. This strategy will not be amenable to a mass-produced general CAR-T construct that can be recommended, for example, for all patients with lung cancer, breast cancer or pancreatic cancer. Considering the heterogeneity and variability of antigen expression of each patient's cancer, a personalized molecular-genetic approach will be needed for effective targeting of each patient's cancer, beyond the general organ-related categories in use currently.

Conflict of Interest Statement

The authors do not identify conflicts of interest in writing this review.

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