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Version Post-print/Accepted Manuscript

Citation Wang, Y., Wang, Z., Dinh, C. et al. Catalyst synthesis under CO2 (published version) electroreduction favours faceting and promotes renewable fuels

electrosynthesis. Nat Catal (2019). https://doi.org/10.1038/s41929-

019-0397-1

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## Catalyst synthesis under CO<sub>2</sub> electroreduction favours faceting

# and promotes renewable fuels electrosynthesis

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#### Abstract

The electrosynthesis of C<sub>2+</sub> hydrocarbons from carbon dioxide has attracted recent attention in light of the relatively high market price per unit energy input. Today's low selectivities and stabilities towards C<sub>2+</sub> products at high current densities curtail system energy efficiency, which limits their prospects for economic competitiveness. Here we present a materials processing strategy based on the *in-situ* electrodeposition of copper under CO<sub>2</sub> reduction conditions that preferentially exposes and maintains Cu(100) facets which favour the formation of C<sub>2+</sub> products. We observe capping of facets during catalyst synthesis and achieve control over faceting, obtaining a 70% increase in the ratio of Cu(100) facets to total facet area. We report a 90% Faradaic efficiency for C<sub>2+</sub> products at a partial current density of 520 mA cm<sup>-2</sup> and a full-cell C<sub>2+</sub> power conversion efficiency of 37%. We achieve nearly constant C<sub>2</sub>H<sub>4</sub> selectivity over 65-hour operation at 350 mA cm<sup>-2</sup> in a membrane-electrode-assembly electrolyser.

#### Introduction

The utilization of CO <sub>2</sub> contributes to closing the anthropogenic carbon cycle.
Electrochemical reduction is a promising strategy to fulfill this goal by converting CO <sub>2</sub> to fuels
and value-added feedstocks using renewable electricity $^{l}$ . Among products, $C_{2^{+}}$ hydrocarbons and
oxygenates – such as ethylene (C <sub>2</sub> H <sub>4</sub> ), ethanol (EtOH) and <i>n</i> -propanol ( <i>n</i> -PrOH) – are attractive in
view of their major roles in the chemical industry <sup>2</sup> . However, it remains challenging to catalyse,
with high selectivity, the formation of these multi-carbon compounds via the CO2 reduction
reaction (CO <sub>2</sub> RR). The multistep reaction, and multiple competing pathways, make the design of
catalysts for desired C <sub>2</sub> products a challenging problem <sup>3-5</sup> .

To date, Cu-based materials have been the most efficient in electrocatalysing the conversion of CO<sub>2</sub> to C<sub>2+</sub> hydrocarbons and oxygenates<sup>6-9</sup>. Tailoring the Cu surface using materials chemistry with the goal of directing the intermediate binding in each reaction step offers routes to improve selectivity further towards desired multi-carbon products<sup>9, 10</sup>.

Electrochemical reduction of high-oxidation-state copper species offers one avenue to realise selective and active  $C_{2+}$  product formation<sup>7, 11-14</sup>. However, the Faradaic efficiency (FE) for  $C_{2+}$  products has, until now, remained near or below  $80\%^{7,\,8,\,10}$ . We sought further means to tune the exposed active sites in a polycrystalline Cu catalyst to enhance the selectivity towards  $C_{2+}$  products.

Cu(100) and step facets, such as Cu(211), are active for CO dimerisation, a key elementary step in producing  $C_{2+}$  products  $^{15-18}$ . Colloidally-synthesised Cu nanocubes, rich in Cu(100) facets, have been shown to achieve a ~76% FE toward  $C_{2+}$  products (Supplementary Figure 1).

In prior approaches to Cu(100)-rich catalyst syntheses, capping agents are used that adsorb

on Cu(100) facets, lowering their surface energy<sup>19, 20</sup>. We reasoned an approach to Cu(100)-rich Cu synthesis – one in which CO<sub>2</sub>RR intermediates would have strong interactions with Cu(100) facets – could increase the exposure of surface Cu(100). We pursued the *in-situ* favouring of Cu facets during Cu catalyst synthesis by ensuring the presence of CO<sub>2</sub>RR intermediates during the electrodeposition of Cu, and developed an approach that we find herein significantly improves selectivity at high current densities. We increased the proportion of Cu(100) facets by 70%. We achieved consequently a 90% Faradaic efficiency for C<sub>2+</sub> products at a partial current density of 520 mA cm<sup>-2</sup>, a full-cell C<sub>2+</sub> power conversion efficiency of 37%, and nearly constant C<sub>2</sub>H<sub>4</sub> selectivity for over 65 hours of operation.

#### **Results**

#### **Density functional theory calculations**

We first investigated the energetics of Cu facets having low Miller indices by calculating the surface energies using the density functional theory (DFT). On Cu(100), the activation energy and enthalpy change of CO dimerisation are 0.66 eV and 0.30 eV, respectively, which are lower than in the case of either Cu(111) (0.72 and 0.65 eV) or Cu(211) (0.87 and 0.39 eV) (Figure 1a, Supplementary Figure 2-4, and Table 1). Similar trends are seen across relevant applied potentials (Supplementary Table 2). The most stable facet in polycrytalline Cu is Cu(111) according to the calculated surface energies: 1.25 J cm<sup>-2</sup> for Cu(111), 1.43 J cm<sup>-2</sup> for Cu(100), and 1.55 J cm<sup>-2</sup> for Cu(211) (Figure 1b, Supplementary Figure 5 and 6, Table 3-5). Stabilising the less-favoured Cu(100) during the formation of polycrytalline Cu catalysts thus requires a strategy to modulate the relative energies of different facets during materials synthesis.

We hypothesised that, under CO<sub>2</sub>RR, the intermediates along reductive pathways can shape

the formation of different facets, where the adsorption strength of the intermediates plays a role analogous to that of capping agents, traditonally employed to stabilise specific facets<sup>19</sup> in the growth of Cu single crystals.

We calculated the adsorption strength of CO<sub>2</sub>\*, COOH\*, CO\*, and H\* (Supplementary Table 3) and found that the CO<sub>2</sub>RR intermediates favour Cu(100) in their adsorption energy, while the adsorption of H\* – the intermediate related with hydrogen evolution – is the strongest on Cu(211) (Figure 1c).

We then modelled the equilibrium shapes of a Cu crystal using the Wulff construction<sup>21</sup>. With the adsorption of these four intermediates, Cu crystals exhibit an increase of the Cu(100) proportion relative to Cu without intermediates (Figure 1e, f and Supplementary Figure 7 and 8), while no clear changes of Cu(100) exposure are found when HER intermediates are used (Figure 1g, h and Supplementary Figure 6 and 7). The trend was retained even when we varied the intermediate ratio (Supplementary Figure 9). These findings motivated us to explore synthesising catalysts in the presence of CO<sub>2</sub>RR intermediates.

#### Intermediate adsorption engineers the Cu facets

Experimentally, we electrodeposited catalyst on gas diffusion layers (GDLs) in a CO<sub>2</sub>-flow electrolyser (Supplementary Figure 10). Tartrate anions were added as complexing agents to stabilise the catalyst precursor, Cu<sup>2+</sup>, in alkaline conditions. As seen in Figure 2a, when we applied a cathodic current (400 mA cm<sup>-2</sup>), the Cu(II) ditartrate ions were reduced to Cu metal on the GDL, accompanied by CO<sub>2</sub> electroreduction on the Cu surface.

To gain insight into the growth of Cu catalysts during the electrodeposition, we investigated the time-dependent structural evolution of the Cu over the course of catalyst formation

(Figure 2b). The starting evaporated Cu seed layer exhibited a nanoparticle morphology with a size of ~10 nm (the left scanning electron microscopic image). After 10 seconds of electrodeposition under CO<sub>2</sub> gas flow, Cu with a particle size of ~20 nm formed (labelled Cu-CO<sub>2</sub> in Figure 2b). Cross-sectional secondary electron and backscattered electron (BSE) images confirmed a ~200 nm thickness of this Cu layer (Supplementary Figure 11a). Extending the deposition time to 60 seconds increased the size to ~50 nm, with dendritic structures forming simultaneously. The thickness of the 60-second Cu catalyst layer (labelled Cu-CO<sub>2</sub>-60) is ~600 nm (Supplementary Figure 11b).

As a control experiment to check whether  $CO_2$  played a role during catalyst synthesis, we grew catalysts whose synthesis was accompanied by  $H_2$  evolution only (labelled Cu-HER) by replacing  $CO_2$  with  $N_2$  gas at the same flow rate. The Cu catalyst layer formed in 10 seconds under  $N_2$  gas exhibited a grain size of ~20 nm with a ~300 nm thickness (Supplementary Figure 12a). After 20 seconds, we observed an aggregate size of ~200 nm, with larger dendrites formed (Supplementary Figure 8a), and the catalyst layer exhibited a thickness of ~500 nm, similar to the thickness of Cu-CO<sub>2</sub> formed in 60 seconds (Supplementary Figure 13b). After 60 seconds, the Cu-HER crystals were predominantly in the form of dendritic structures (Fig. 2b) with a length ranging from 0.5 to 2  $\mu$ m (Supplementary Figure 12b).

Cu(111) and Cu(100) co-exist on both Cu-CO<sub>2</sub> and Cu-HER catalysts, as evidenced in high-resolution transmission electron microscopy (Supplementary Figure 14-16) and grazing-incidence wide-angle X-ray scattering (GIWAXS, Figure 2 c, and Supplementary Figure 17 and 18). However, the Cu-CO<sub>2</sub> catalysts exhibited a lower XRD peak intensity than did the Cu-HER counterparts made using the same deposition time (Supplementary Figure 18). The results indicate qualitatively that less Cu was deposited in the presence of CO<sub>2</sub> gas. We further checked the crystal

structure of the catalysts using dark-field HRTEM (Supplementary Figure 14-16). The results show that the Cu-CO<sub>2</sub>-60 catalysts exhibit less Cu(111) facet exposure compared to Cu-HER-20.

We then sought to quantify the difference in the catalyst formation and facet exposure. For real-time monitoring of catalyst formation, we performed a series of *operando* studies. We observed, by *operando* Raman spectroscopy, chemisorbed intermediates when CO<sub>2</sub> was present (Supplementary Figure 19). We used *operando* hard X-ray absorption spectroscopy (hXAS) to track the electrochemical formation of Cu as a function of time (Figure 3a and b). We analysed the X-ray absorption near-edge structure (XANES) of the Cu crystals by linear combination fitting (Supplementary Figure 20, 21 and Table 6 and 7). The starting spectrum exhibits a Cu<sup>2+</sup> complex feature ascribed to the Cu(II) ditartrate ions in the electrolyte. The ratio of metallic Cu to Cu(II) ditartrate for Cu-CO<sub>2</sub> reaches roughly 50:50 after 60 seconds (Figure 3c, upper panel). However, for Cu-HER, a similar ratio of ~53:47 is obtained at 27 seconds, and it further increases to ~88:12 following 60 seconds (Figure 3c, lower panel).

Since different Cu facets feature distinctive (and previously-documented<sup>22</sup>) OHelectrochemical adsorption behaviour, we sought to quantify Cu(100) exposure using the OHelectroadsorption technique (Supplementary Figure 22)<sup>23</sup>. Linear sweep voltammetry profiles
reveal electrochemical OH- adsorption peaks (the oxidation of surface Cu) on Cu(100), (110) and
(111) at potentials ~0.37, 0.43, 0.48 V vs. RHE, respectively<sup>23</sup>. Using these peaks, we calculated
the surface area of each facet (see Methods) for Cu catalysts deposited for different time (Figure
3e, f). The growth of Cu(111) is significantly suppressed in the Cu-CO<sub>2</sub>-60 sample, with a (111)
surface area of less than 0.9 cm<sup>2</sup> per 1 geometric cm<sup>2</sup> electrode (Figure 3e). The Cu(100)-toCu(111) surface area ratio of Cu-CO<sub>2</sub> is >1.7 times that of Cu-HER (Figure 3f).

We also used Pb underpotential deposition (UPD), another surface-sensitive

electrochemical technique, and witnessed similar Cu(100):Cu(111) ratios for Cu- $CO_2$  and Cu-HER catalysts (Supplementary Figure 23). We extended the deposition time to 90 seconds for Cu- $CO_2$  catalysts, and continued to observe a high Cu(100):Cu(111) ratio of  $\sim 0.9 \pm 0.2$  (Supplementary Figure 24). From reaction-diffusion modelling, we estimate that the local pH for Cu- $CO_2$  and Cu-HER are  $\sim 14.9$  and 14.7 (Figure 3g), respectively, which argues against a significant differential impact of local  $OH^-$  on the catalyst surface structure.

We conclude that synthesis under CO<sub>2</sub>RR reduced the amount of Cu deposited and changed the surface structure. We propose a role for facet-selective capping by CO<sub>2</sub>RR intermediates: the adsorption of CO<sub>2</sub>RR intermediates lowers the surface energy of high-energy Cu facets, *e.g.* Cu(100) and Cu(211). This capping effect is similar to that in colloidal crystal synthesis<sup>24,25</sup>, which modulates Cu growth and increases the fraction of Cu(100) facets.

#### CO<sub>2</sub> electroreduction performance

We evaluated the catalytic performance of Cu-CO<sub>2</sub> catalysts in 7 M KOH electrolyte (Figure 4), a catholyte in which the energy barrier for CO–CO dimerisation is significantly reduced<sup>26</sup>. Using samples having similar mass loadings, particle sizes, electrochemically active surface areas (ECSA) (Supplementary Figure 25-27 and Table 8) and Brunauer–Emmett–Teller (BET) surface areas (Supplementary Table 9), we tested Cu-CO<sub>2</sub>-60 and Cu-HER-20 catalysts in a 1 cm<sup>2</sup> active area CO<sub>2</sub>RR flow cell.

To characterise the intrinsic activity of the catalysts, we normalised current densities using their ECSA (Supplementary Figure 27 and Table 6). The ECSA-normalised C<sub>2</sub>H<sub>4</sub> and C<sub>2+</sub> partial current densities of Cu-CO<sub>2</sub>-60 are 1.3 times higher than those of Cu-HER-20 (Figure 4b).

On Cu-CO<sub>2</sub>-60, the FE for C<sub>2+</sub> products reaches its peak value of  $90 \pm 1\%$  (Figure 4c) at -

0.67 V vs. RHE (a full-cell voltage of 2.85 V without iR correction, Supplementary Figure 28), with a  $C_{2+}$  partial current density of ~280 mA cm<sup>-2</sup>. The  $C_{2+}$  liquid products include EtOH, PrOH and acetate (AcO<sup>-</sup>, Supplementary Figure 29). This result translates to a ~37%  $C_{2+}$  full-cell power conversion efficiency (PCE) (Figure 4d and Supplementary Table 10). At -0.71 V, Cu-CO<sub>2</sub>-60 exhibits a partial current density of ~520 mA cm<sup>-2</sup> with a similarly high FE and a 29% PCE for  $C_{2+}$  products (Figure 4c).

In contrast, on the Cu-HER-20 catalyst, the highest  $C_{2+}$  FE and partial current density are  $77 \pm 2\%$  and 337 mA cm<sup>-2</sup> (Figure 4c and Supplementary Figure 30). The corresponding  $C_{2+}$  full-cell PCE is 31% (Figure 4c).

Considering the similarity of  $CO_2$  and CO reduction reaction, we also electrodeposited Cu under CO gas, and obtained  $68 \pm 4\%$  and  $83 \pm 2\%$  FE for  $C_2H_4$  and  $C_{2+}$  products (Supplementary Figure 31a and b). The  $CO_2RR$  performance on the 50 nm Cu seeds were also investigated (Supplementary Figure 32). Detailed  $CO_2RR$  performance for each catalyst is shown in Supplementary Table 13-17.

Since the chemisorbed CO is further converted to  $C_{2+}$  products<sup>11, 27</sup>, we plotted the potential-dependent CO and  $C_{2+}$  selectivity trend (Figure 4e). Compared to Cu-HER-20, Cu-CO<sub>2</sub>-60 exhibits a higher CO selectivity, peaking at 35 ± 1% at lower overpotentials, which is ~1.7 times as high as that on Cu-HER-20 (Supplementary Table 11 and 14). The higher CO production on the Cu-CO<sub>2</sub>-60 catalyst at lower overpotentials agrees with a picture of higher CO\* intermediate availability when we move to higher overpotentials. Since the Cu-CO<sub>2</sub>-60 catalysts differs from the Cu-HER-20 principally in terms of the surface exposure of Cu(100) and Cu(111) facets, this selectivity difference at lower potentials agrees with the view that the higher performance on Cu-CO<sub>2</sub> arises from optimised facet exposure.

Alkaline conditions provide high selectivity for  $C_{2+}$  products (Supplementary Figure 33) and lowered overpotentials for  $CO_2RR$  and oxygen evolution at the anode, which lowers the full-cell voltage (Supplementary Figure 28). However,  $CO_2$  reacts with KOH and forms carbonates under alkaline conditions, and this requires additional energy costs for its regeneration (Supplementary Figure 34). Neutral  $CO_2RR$  reduces the carbonate formation problem. We developed  $Cu-CO_2$ -60 catalysts having a 5 cm<sup>2</sup> active area integrated with a membrane electrode assembly (MEA) and used these in an electrolyser with 0.15 M KHCO<sub>3</sub> anolyte. We achieved 65 hours of stable operation with constant  $C_2H_4$  FE of  $60 \pm 2\%$  at an applied full-cell voltage of -3.7 V and current density of 350-300 mA cm<sup>-2</sup> (Figure 5f and Supplementary Figure 35). This translates to a 25-fold increase in stability at current densities higher than 300 mA cm<sup>-2</sup> compared to the best prior reports of high-current-density  $CO_2$ -to- $C_2$ + product electroreduction<sup>6, 7, 9, 10, 12</sup> (Supplementary Table 10).

We also found that, following 1000-second CO<sub>2</sub>RR operation, the Cu(100):Cu(111) ratio was well retained (Supplementary Figure 36), while destructive morphological changes to the Cu nanocubes were seen after CO<sub>2</sub>RR (Supplementary Figure 37). Higher overpotentials and current densities cause more frequent electron exchanges between the catalyst and intermediates, different surface energies for the different facets, and increased diffusion of Cu atoms and surface bubble formation. Cu nanocubes could also be susceptible to morphological reconstruction as high alkaline conditions may culminate in regions that are less accessible to CO<sub>2</sub> at the catalytic interface<sup>26</sup>.

When a catalyst is operated under  $CO_2RR$ , the intermediate coverages on Cu(111), Cu(100) and Cu(211) facets are 0.01, 0.33, and 0.29 ML (Supplementary Figure 6 and 9a) – these coverages are based on a previous study of Nørskov and co-workers<sup>28</sup>. With a 0.01 ML  $CO_2RR$  intermediate

coverage, the Cu(111) facet has a surface energy of 1.25 J cm<sup>-2</sup>, and is 0.16 J cm<sup>-2</sup> more stable than Cu(100) with the same coverage (Figure 1a and Supplementary Figure 6). The direct conversion of Cu(111) to Cu(100) is therefore not favoured under  $CO_2RR$  conditions.

In contrast, when we electrodeposit under CO<sub>2</sub>-rich conditions, we are no longer required to convert non-(100) facets to Cu(100); instead, we redirect Cu<sup>2+</sup>-to-Cu electroreduction toward Cu(100). The surface energy associated with Cu(100) decreases to 1.0 J cm<sup>-2</sup>, which is 0.26 J cm<sup>-2</sup> more stable than that of Cu(111), due to a 0.33 ML CO<sub>2</sub>RR intermediate coverage (Supplementary Figure 9a).

The Wulff constructions present the thermodynamic equilibrium shapes of Cu crystals with different intermediate coverages (Figure 1d-h, and Supplementary Figure 7-9). The experiments agree with this picture, with a Cu(100):Cu(111) ratio up to 1.4:1 in the Cu-CO<sub>2</sub> catalyst (Figure 3f).

Over the course of CO<sub>2</sub> electroreduction, the Cu<sub>2</sub>O feature resulting from post-oxidation (Supplementary Figure 38) diminishes quickly once the potential is applied (Supplementary Figure 39-42). This, when combined with the *operando* Raman results (Supplementary Figure 19), indicates that the CO<sub>2</sub>RR activity on both catalysts originates from metallic Cu. As a similar coordination number (CN) was observed for the two catalysts (Supplementary Table 18 and 19), neither the size effect<sup>29</sup> nor the subsurface oxygen of Cu appears to determine the differences in selectivity and activity<sup>7</sup>. Catalysts with different deposition times under the same gas also exhibit similar FE for C<sub>2</sub>H<sub>4</sub> product (Supplementary Figure 31b, c and 43). This result further argues against a major effect of size on the CO<sub>2</sub>RR selectivity reported herein.

To explore the wider application of this CO<sub>2</sub>RR-tailored catalyst synthesis strategy, we

further prepared oxide-derived Ag under CO<sub>2</sub>RR conditions and HER conditions (Ag-CO<sub>2</sub> and Ag-HER, Supplementary Figure 44). We observed a 1.5x increase in the area of Ag(110) facet (~3 cm<sup>2</sup>) – the most active facet for CO<sub>2</sub>-to-CO on Ag<sup>ref. 5</sup> – on Ag-CO<sub>2</sub> (Supplementary Figure 44g, h). The maximum FE for CO was ~92% on Ag-CO<sub>2</sub>, 1.4 time higher than on Ag-HER (~66%) (Supplementary Figure 44i).

#### Conclusion

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This work presents a catalyst materials synthesis strategy that seeks to expose and maintain C<sub>2+</sub>-selective Cu(100) facets preferentially, and selectively produce thereby C<sub>2+</sub> products at high current via CO<sub>2</sub>RR. We capitalised on CO<sub>2</sub>RR intermediate adsorption to tune which Cu facets are exposed. We demonstrate that CO<sub>2</sub>RR intermediates (e.g. CO) can be used to regulate the crystallisation of materials beyond noble metals<sup>30-32</sup>. We offer a physical picture in which the intermediates function in analogy with capping agents, regulating the growth of catalysts to produce a highly active catalyst with a high proportion of Cu(100). Only as a result do we achieve high-selectivity-at-high-current-density CO<sub>2</sub> electroreduction to C<sub>2+</sub> products and C<sub>2</sub>H<sub>4</sub> on Cu catalysts. Specifically, we obtain a FE for total C<sub>2+</sub> products of ~90% at current densities exceeding 580 mA cm<sup>-2</sup> and full-cell PCE for  $C_{2+}$  products of ~37%. We achieved a constant  $C_2H_4$  FE for 65 hours of operation. We demonstrated the wider applicability of this CO<sub>2</sub>RR-processed catalyst faceting strategy, increasing (110) facet exposure on Ag catalysts and achieving as a result 92% CO FE. *In-situ* materials processing provides an avenue to expose preferentially and maintain the active sites needed in reactions, contributing additional principles for designing selective and active catalysts. The topic warrants further study to deepen and extend physical models of capping using intermediates during catalyst synthesis vs. catalyst operation, including through the use of advanced operando methods that simultaneously monitor both the presence of intermediates, and

of catalyst facets and shape, *in situ*.

#### Methods

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work.

**Density functional theory calculations.** In this work, all the DFT calculations were carried out with a periodic slab model using the Vienna ab initio simulation program (VASP)<sup>33-36</sup>. The generalised gradient approximation (GGA) was used with the Perdew-Burke-Ernzerhof (PBE) exchange-correlation functional<sup>37</sup>. The projector-augmented wave (PAW) method<sup>38, 39</sup> was used to describe the electron-ion interactions, and the cut-off energy for the plane-wave basis set was 450 eV. In order to illustrate the long-range dispersion interactions between the adsorbates and catalysts, we employed the D3 correction method by Grimme et al<sup>40</sup> with Becke-Jonson damping<sup>41</sup>. Brillouin zone integration was accomplished using a 3×3×1 Monkhorst-Pack k-point mesh. Adsorption geometries were optimised using a force-based conjugate gradient algorithm, while transition states (TSs) were located with a constrained minimisation technique<sup>42-44</sup>. For the modelling of copper, the crystal structure was optimised and the equilibrium lattice constants were found to be  $a_{Cu} = 3.631$  Å. Three low Miller index planes were cleaved, including Cu(100), Cu(111), and Cu(211). For Cu(100), a periodic six-layer model with the 3 lower layers fixed and 3 upper layers relaxed was used, and a p(3×3) super cell was chosen. For Cu(111), we used a 4layer model with p(3×3) super cell with the 2 upper layers relaxed and 2 lower layers fixed. The convergence test of different layers is detailed in Supplementary Table 5. Cu(211) was modelled with a periodic 12-layer p(1×3) model with the 6 lower layers fixed and 6 upper layers relaxed. At all intermediate and transition states, one charged layer of water molecules was added to the surface to take the combined field and solvation effects into account<sup>45</sup>. The charged layer of water molecules was optimised at all intermediate and transition states. In the CO dimerisation, there is no proton or electron transfer, thus the computational hydrogen electrode was not used in this

For the full solvent calculations, the total number of water considered is 25 water for Cu(100), 21 water for Cu(111), and 21 water for Cu(211), as seen in Supplementary Figure 4. We used the reactive force field to run a 1 ns molecular dynamic on all facets. The force field developed by van Duin and co-workers<sup>46</sup> was chosen as this force filed was used by Goddard and co-workers to describe water-surface interface conditions<sup>47</sup>.

To describe the effect of applied potentials on adsorption and reaction energies, the charge-asymmetric nonlocally determined local-electric (CANDLE) solvation model<sup>48</sup>. We used the same settings as the CO<sub>2</sub>RR mechanism study work by Goddard and co-workers<sup>49</sup>. For the effect of potential, we used the grand canonical electronic DFT<sup>50</sup> implemented in JDFTX<sup>51</sup> to evaluate the adsorption energies at different applied potentials.

To evaluate the stability of one surface, the surface energy was used as defined below:

$$E_{surface} = \frac{E_{total} - nE_{ref} - E_{ads}}{2A} \tag{1}$$

where  $E_{total}$  is the total energy of this surface from DFT calculations, and  $E_{ref}$  is the reference energy of unit composition from bulk calculation.  $E_{ads}$  is the sum of the adsorption energies of the intermediates at given coverages. A and n are the surface area and the number of unit composition in this surface, respectively. Given this definition, the more positive the surface energy is for a surface, the less stable this surface is.

Wulff constructions were performed using the Python Materials Genomics (pymatgen) materials analysis library<sup>52</sup>. In this work, CO<sub>2</sub>RR intermediates refer to CO<sub>2</sub>\*, CO\*, COOH\*, and H\*, while HER intermediates are H\*. Surface energies with adsorption of four intermediates states were

calculated by assuming the coverages of all the four intermediates are the same. For example, the coverage of all the intermediates were assumed to be 0.05 ML for all the four intermediates at 0.2 ML total coverage. The total coverage value of CO<sub>2</sub>RR intermediates, 0.2 ML, is chosen because it is the total coverage of each intermediate adsorbing on one side of a 3×3 surfaces. 211 surface is assumed to be have 9 sites to keep consistent 111 and 100. In realistic system, the coverage of the species should be larger, and the values for different intermediates should be diverse. Nørskov and co-workers reported the coverage of CO is ~0.3 ML on Cu surfaces based on a micro-kinetic modelling<sup>28</sup>. The value of 0.2 ML is considered only to show the trend that Cu(100) concentration increases even at low coverage of intermediates. The surface energies with intermediates are calculated in respect of Cu(111).

Catalyst preparation. Cu-CO<sub>2</sub> catalysts were prepared through an electrodeposition approach under CO<sub>2</sub> gas flow (50 standard cubic centimetres per minute, s.c.c.m.). Catalysts were electrodeposited at a constant current of -0.4 A cm<sup>-2</sup> for 60 s on a gas diffusion layer (Freudenberg H14C9, for characterisations) or a polytetrafluoroethylene (PTFE) membrane (pore size 450 nm) with 50 nm sputtered Cu seeds (for electrochemical tests). The solution consisted of 0.1 M copper bromide (98%, Sigma-Aldrich), 0.2 M sodium tartrate dibasic dihydrate (purum p.a.,  $\geq$ 98.0% NT, Sigma-Aldrich) and 1 M KOH. For Cu-CO and Cu-HER, the catalyst was synthesised under identical conditions as Cu-CO<sub>2</sub>, but with CO and N<sub>2</sub> or Ar at the same flow rate instead of CO<sub>2</sub>. For Cu nanocubes, 46 mg hexadecyltrimethylammonium chloride (CTAC,  $\geq$ 98.0% NT, Sigma-Aldrich) was dissolved in 9.8 mL deionised water. 50  $\mu$ L 0.1 M copper acetate (97%, Sigma-Aldrich) and 0.2 mL 0.5 M (+)-sodium L-ascorbate ( $\geq$ 98.0% NT, Sigma-Aldrich) aqueous solution were then added. The solution was transferred into a gas-light vial and kept in a 100 °C oven for 1

vacuum. 6 mg Cu nanocubes were obtained in 200 mL precursor solution. The Cu nanocubes were 325 then mixed with 10 uL Nafion resin solution in 1 mL methanol, sonicated for 30 min, and then 326 sprayed onto a 5×5 cm<sup>2</sup> PTFE membrane with the 50 nm sputtered Cu layer. 327 For Ag catalysts, the precursor Ag<sub>2</sub>O was prepared by mixing 25 mL 0.05 M AgNO<sub>3</sub> (98%, Sigma-328 Aldrich) with 1.4 g KOH. Then, the as-made Ag<sub>2</sub>O particles were spray-coated on 1 cm<sup>2</sup> GDL 329 with a mass loading of 0.3 mg cm<sup>-2</sup>. Ag-CO<sub>2</sub> and Ag-HER catalysts were prepared by 330 electroreducing Ag<sub>2</sub>O nanoparticle at the constant current of -0.2 A cm<sup>-2</sup> for 30 s under CO<sub>2</sub> and 331  $N_2$ , respectively. 332 333 Materials characterisation. Scanning electron microscopy (Hitachi S-5200) and transmission 334 electron microscopy (Hitachi HF3300) were employed to observe the morphology of the samples. X-ray photoelectron spectroscopy (XPS) measurements were carried out on a K-Alpha XPS 335 spectrometer (PHI 5700 ESCA System), using Al Ka X-ray radiation (1486.6 eV) for excitation. 336 337 Operando hard X-ray absorption measurements were performed at the 9BM beamline, and Grazing Incidence Wide-Angle X-ray Scattering (GIWAXS) measurements were conducted at the 338 12D-D beamline of the Advanced Photon Source (APS) located in the Argonne National 339 Laboratory (Lemont, IL). Raman measurements were conducted using a Renishaw inVia Raman 340 Microscope and a water immersion objective (63x) with a 785 nm laser. 341 Electrochemical OH- adsorption, Pb UPD and ECSA evaluation. Electrochemical OH-342 343 adsorption was performed in N<sub>2</sub>-saturated 1 M KOH electrolyte by a linear sweep voltammetry

h. The reddish Cu nanocubes were then centrifugated, washed using deionised water, and dried in

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method at a sweep rate of 100 mV s<sup>-1</sup> for Cu and 20 mV s<sup>-1</sup> for Ag catalysts. The potential ranged

from -0.2 to 0.6 V vs. RHE for Cu. All Cu catalysts were reduced at -0.6 V vs. RHE for 2 min

before performing the OH adsorption measurement. Single-crystal Cu(100) and Cu(111) substrates were measured using the same method. The facet area was calculated by normalising the OH<sup>-</sup> adsorption charge of each facet on Cu catalysts to that on single crystals. For Ag, catalysts were first reduced at -0.6 V vs. RHE for 30 s, and the potential range was 0.83 to 0.93 V vs. RHE.

N<sub>2</sub> was kept being purged during the measurement.

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For Pb UPD, a N<sub>2</sub>-saturated 0.1 M HClO<sub>4</sub> agueous solution with 10 mM Pb(ClO<sub>4</sub>)<sub>2</sub> was used as the electrolyte. The potential was first set at -0.15 V vs. RHE for 150 seconds. Linear sweep voltammetry with a sweep rate of 10 mV s<sup>-1</sup> was used for measurements. The potential ranged from 0.17 to 0.2 V vs. RHE. N<sub>2</sub> was purged during these measurements. The facet area was calculated by normalising the Pb UPD charge of each facet on Cu catalysts to that on Cu single crystals.

For ECSA, the electrochemical double layer capacitance method was employed. All catalysts were reduced at -0.6 V vs. RHE for 2 min, and scanned in the potential range of -0.07 to 0.13 V and 0.83 to 0.93 V vs. RHE for Cu and Ag catalysts in N2-saturated 1 M KOH for 10 cycles at the sweep rate of 20, 40, 60, 80, and 100 mV s<sup>-1</sup>. N<sub>2</sub> was purged during the measurement. The anodic and cathodic current densities at 0.03 V vs. RHE for Cu and 0.88 V vs. RHE for Ag in the last scan cycle were recorded. The differences in these two current densities  $(\Delta i)$  at different sweep rates were then calculated and plotted against the sweep rates for each catalyst. By linear fitting, we calculated the slopes of the  $\Delta i$  vs. sweep rate curves, which are the double layer capacitances for different catalysts. The double layer capacitance of electropolished Cu foil was obtained from previous reports<sup>53</sup>.

Local pH simulation. The local pH was simulated based on previous reports<sup>54</sup> and accounted for

CO<sub>2aq</sub>, CO<sub>3</sub><sup>2-</sup>, HCO<sub>3</sub><sup>-</sup>, and OH<sup>-</sup> evolution as CO<sub>2aq</sub> is reduced via electrocatalysis in 1 M KOH. We modelled the four ionic species using the Transport of Dilute Species physics in a two-dimensional rectangular domain. A time-dependent study was performed to simulate species evolution away from the interface. At the left boundary, the gas-catalyst-electrolyte interface, the CO<sub>2aq</sub> concentration was specified according to Henry's Law and the Sechenov effect<sup>55-57</sup>, with zero flux imposed for CO<sub>3</sub><sup>2-</sup>, HCO<sub>3</sub><sup>-</sup>, and OH<sup>-</sup>. The top and right boundaries allow for species outflow, and the bottom boundary concentrations are those of the bulk solution.

To model CO<sub>2</sub>RR, a thin vertical catalyst layer was imposed over which the CO<sub>2aq</sub> is reduced and OH<sup>-</sup> is produced according to the reactions:

$$R_{CO_2} = \frac{[CO_2]_{aq}}{[CO_2]_{aq,0}} \frac{j}{F} \frac{\epsilon}{L_{cat}} \frac{1 CO_2}{2e^-}$$
 (2)

$$R_{OH} = \frac{j}{F} \frac{\epsilon}{L_{cat}} \frac{2OH^{-}}{2e^{-}} \tag{3}$$

where  $[CO_2]_{aq}$  is the equilibrium  $CO_{2aq}$  concentration in the bulk electrolyte, j is the current density applied, F is Faraday constant,  $\epsilon$  is the catalyst porosity (0.6), and  $L_{cat}$  is the size of the catalyst layer (varied from 10 nm to 300 nm). The left  $CO_{2aq}$  boundary condition in conjunction with OH-production in the catalyst layer supports carbonate formation, producing a diffusion layer that grows away from the left boundary. We assume a  $2e^-$  process for the reduction of  $CO_2$  (Eq. 2) to CO with 100% Faradaic efficiency. The production of  $CO_2$  (Eq. 3) depends only on the current density since both  $CO_2RR$  and HER produce  $CO_2$  of  $CO_2$  were found in previous literature.

**XAS fitting.** An IFEFFIT package was used to analyse the hXAS spectra<sup>59, 60</sup>. Standard data-processing including energy calibration and spectral normalisation of the raw spectra was performed using Athena software. To track the Cu valence distribution, a linear combination fitting analysis, included in Athena, was carried out using the hXAS spectra of various Cu-based standards. To extract the Cu bonding information, a Fourier transform was applied to convert the hXAS spectra from an energy space to a radial distance space. Then, a standard fitting analysis of the first shell between 1.6 and 3.0 Å was carried out using Artemis software. The phase and amplitude functions of Cu-Cu was calculated with FEFF,  $S_0/\sigma^2$  values of 0.89/0.00825 for Cu was determined from Cu foil, which then was applied to the Cu hXAS fitting.

GIWAXS measurements. Grazing incidence wide-angle X-ray scattering (GIWAXS) measurements were performed at beamline 12-ID-D of the Advanced Photon Source (APS) at the Argonne National Laboratory. The catalyst samples were mounted on a Huber six-circle diffractometer that can precisely control the X-ray angle of incidence to achieve the grazing incidence condition for GIWAXS. The scattering patterns were obtained at an incident angle of  $0.3^{\circ}$ . The XRD measurements were conducted at the X-ray energy of 20 keV with the beam profile of 500  $\mu$ m (horizontal)  $\times$  70  $\mu$ m (vertical). The sample stage was rotated by 180° along the out-of-plane axis during the measurement and scattering patterned were recorded at every 2°. 90 patterns were acquired and integrated to obtain a single scattering pattern for each sample.

Electrocatalytic measurement of CO<sub>2</sub> reduction. The electrocatalytic measurements were carried out in a 1 cm<sup>2</sup> electrochemical flow cell using a three-electrode configuration with 90% *iR* correction. The flow cell was connected to an electrochemical workstation (Autolab PGSTAT204) equipped with 10 A current booster. The flow cell consisted of three compartments: gas chamber, catholyte chamber, and anolyte chamber. The gas and cathodic compartments were separated by

the Cu (or Ag) electrode. Catholyte and anolyte chambers were separated by an anion-exchange membrane (Fumapem FAA-3-PK-130). The CO<sub>2</sub>RR catalyst, Ag/AgCl electrode (3.5 M KCl used as the filling solution) and NiFe hydroxides on Ni mesh were employed as working, reference, and counter electrodes, respectively. The full-cell voltages were tested in a two-electrode configuration. The thickness of the catholyte chamber was ~5 mm. Carbon/Nafion and graphite/Nafion mixtures were sequentially sprayed on the catalyst layer on PTFE support. The applied potentials were converted to the reversible hydrogen electrode (RHE) scale through the following equation:

$$E_{RHE} = E_{Ag/AgCl (3.5 \text{ M KCl})} + 0.059 \times pH + 0.205$$
 (4)

Aqueous KOH (7 M or 1 M), K<sub>2</sub>CO<sub>3</sub> (0.5 M) or KHCO<sub>3</sub> (1 M) electrolyte was used as the both catholyte and anolyte. The flow rate of the CO<sub>2</sub> gas was fixed at 50 s.c.c.m. The gaseous products were separated by gas chromatography (PerkinElmer Clarus 600), and detected by a thermal conductivity detector (TCD) and a flame ionisation detector (FID). High-purity Argon (99.99%) was used as the carrier. Liquid products were quantified by H-nuclear magnetic resonance (H-NMR) technic (Agilent DD2 600) using Dimethyl sulfoxide (DMSO) as the internal standard. Faradaic efficiency of gas product was calculated based on the following equation:

Faradaic efficiency = 
$$\frac{i_x}{i_{tot}} = \frac{n_x v_{gas} c_x F}{i_{tot} V_m}$$
 (5)

where  $i_x$  is the partial current of product x;  $i_{tot}$  is the total current;  $n_x$  represents the number of electron transfer toward the formation of 1 mol of product x;  $v_{gas}$  is the CO<sub>2</sub> flow rate (s.c.c.m);  $c_x$ 

represents the concentration of product x detected by the gas chromatography (p.p.m); F is the Faraday constant (96,485 C·mol<sup>-1</sup>);  $V_m$  is the unit molar volume, which is 24.5 L·mol<sup>-1</sup> at room temperature (298.15 K).

The power conversion efficiency (PCE) was defined as the ratio of fuel energy to applied electrical power, which was calculated with the following equation:

where P<sub>chem</sub> stands for the power used for CO<sub>2</sub>RR; P<sub>applied</sub> stands for the input electrical energy;

$$PCE_x = \frac{P_{chem}}{P_{applied}} = \frac{(1.23 - E_x^0)FE_x}{Applied \text{ voltage}}$$
 (6)

E<sup>0</sup><sub>x</sub> represents the equilibrium potential of CO<sub>2</sub> electroreduction to each C<sub>2+</sub> product, which is 0.08 V for ethylene, 0.09 V for ethanol, and -0.26 V for acetate. FE<sub>x</sub> is the Faradaic efficiency for each C<sub>2+</sub> product.

For tests in MEA electrolysers, the experimental setup used was a commercial MEA electrolyzer (Dioxide Materials<sup>®</sup>, 5 cm<sup>2</sup> active area). The MEA consisted of a cathode electrode, anion exchange membrane (AEM, Sustainion<sup>®</sup> X37-50 grade 60), and anode electrode (IrO<sub>2</sub>-Ti mesh). The anode electrode was prepared by following a methodology similar to that described in the previous report<sup>61</sup>. The resulting cathode and anode electrodes were then mounted on their respective flow fields, separated from each other via the AEM, and assembled in the MEA electrolyzer. CO<sub>2</sub> was then supplied with a flow rate of 80 s.c.c.m. through the flow channels in the cathode flow field, while the 0.15 M KHCO<sub>3</sub> was fed into the anode flow channels with a flow rate of 20 ml min<sup>-1</sup>. The applied potential was increased gradually from -3.0 V to -4.2 V, with constant negative potential increments of -0.1 V. Stability test were performed at a constant full

cell potential of -3.7 V.

# Data availability

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- The datasets generated and/or analysed during the current study are available from the
- 450 corresponding author on reasonable request.

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## Acknowledgements

This work was supported financially by TOTAL American Services, the Connaught Fund, the Ontario Research Fund: Research Excellence Program, the Natural Sciences and Engineering Research Council (NSERC) of Canada, and the CIFAR Bio-Inspired Solar Energy program. This research used synchrotron resources of the Advanced Photon Source (APS), an Office of Science User Facility operated for the U.S. Department of Energy (DOE) Office of Science by Argonne National Laboratory, and was supported by the U.S. DOE under Contract No. DE-AC02-06CH11357, and the Canadian Light Source and its funding partners. All DFT computations were performed on the IBM BlueGene/Q supercomputer with support from the Southern Ontario Smart Computing Innovation Platform (SOSCIP) and Niagara supercomputer at the SciNet HPC Consortium. SOSCIP is funded by the Federal Economic Development Agency of Southern Ontario, the Province of Ontario, IBM Canada Ltd., Ontario Centres of Excellence, Mitacs and 15 Ontario academic member institutions. SciNet is funded by: the Canada Foundation for Innovation; the Government of Ontario; Ontario Research Fund - Research Excellence; and the University of

Toronto. We acknowledge the Toronto Nanofabrication Centre (TNFC) and the Ontario Centre for the Characterization of Advanced Materials (OCCAM) for sample preparation and characterization facilities. The authors thank Dr. T. P. Wu, Z. Finfrock, and L. Ma for technical support at 9BM beamline of APS. The authors also thank Dr. D. Jiang, N. Chen, C. Kim, and W. Chen for their assistance at the HXMA beamline at CLS. D.S. acknowledges the NSERC E.W.R Steacie Memorial Fellowship. A.S. acknowledges Fonds de Recherche du Quebec-Nature et Technologies (FRQNT) for the postdoctoral fellowship award. J.L. and M.G.K. acknowledges the Banting postdoctoral fellowship from Govt. of Canada. C.M.G. acknowledges NSERC for funding in the form of a postdoctoral fellowship. We acknowledge Mr. L. Huang and Prof. G. Zheng for the help in BET measurements and data analysis. We acknowledge Mr. D. Kopilovic for designing flow electrolysers. We thank Dr. M. Chekini and Prof. E. Kumachev for the help in DLS measurements.

#### **Author contributions**

E.H.S supervised the project. Y.W., and C.-T.D. designed the experiments. Y.W. carried out the catalyst synthesis, electrochemical tests, electrocatalysis tests, and SEM measurements. Z.W. performed DFT calculations. J.L. performed all the XAS measurements and analysed the results. A.O. performed the tests in MEA electrolysers. M.G.K. prepared evaporated Cu seeds. Y.L. and F.L. prepared sputtered Cu seeds. C.-S.T. performed TEM measurements and data analysis. A.S. and C.M.G carried out the *operando* Raman measurements. M.C.L synthesised Cu nanocubes. C.M. performed the local pH simulations. Y.W, H.Z, M.X.L., A.P. and A.J. performed GIWAXS measurements and data analysis. A.P., and P.T. carried out the XPS measurements. All authors discussed, commented on and revised the manuscript.

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641	Competing interests: The authors declare no competing interests.
642	
643	Figures legends
644	Figure 1. Density functional theory calculations. a Energy profiles of CO dimerisation on
645	Cu(111), Cu(100), and Cu(111). <b>b</b> Surface energy changes with the surface coverage of CO <sub>2</sub> RR
646	(assuming same coverages for all the 4 intermediates) and HER intermediates. c Adsorption
647	energies of four intermediates on three facets of Cu. Wulff construction clusters of Cu d without
648	adsorption and with adsorption of e-f CO <sub>2</sub> RR and g-h HER intermediates.
C40	Figure 2 Intermediate adaptation influences Cu clustering a Schematic illustration of
649	Figure 2. Intermediate adsorption influences Cu clustering. a Schematic illustration of
650	intermediate adsorption controlling which Cu facets are exposed. The chemisorbed intermediates
651	(e.g. CO*) act as a capping agent, leading to a high portion of Cu(100) in the forming catalyst. <b>b</b>
652	The time-dependent morphological change of Cu-CO <sub>2</sub> (upper arrows) and Cu-HER (lower arrows)
653	during electrodepositions at 400 mA cm $^{-2}$ in 1 M KOH containing the Cu precursor. The scale bars
654	are 100 nm for the evaporated Cu seeds and 10 sec samples, 200 nm for the 60 sec Cu samples. ${f c}$
655	2D GIWAXS patterns of the Cu-CO <sub>2</sub> catalysts with respect to deposition time.
656	Figure 3. Analysis of the catalyst formation and the surface structures. a, b Fourier
657	transformed <i>operando</i> hXAS spectra of the formation of intermediate Cu-CO <sub>2</sub> and Cu-HER with

respect to time at 400 mA cm<sup>-2</sup> in 1 M KOH containing the Cu precursor. **c** The ratio of metallic Cu to Cu precursor over the course of catalyst formation. **d** Charge distribution during the electrochemical catalyst synthesis. **e**, **f** The surface area and ratio of Cu(100) and Cu(111) facets quantified by OH<sup>-</sup> electroadsorption. **g** Local pH modelling during catalyst growth. Error bars in **e** and **f** correspond to the standard deviation of 3 independent measurements.

**Figure 4. CO<sub>2</sub> electroreduction performance.** a *j-V* plots of C<sub>2</sub>H<sub>4</sub> and C<sub>2+</sub> product partial current density vs. potential (with 90% *iR* correction) on Cu-CO<sub>2</sub>-60 and Cu-HER-20 in 7 M KOH. **b** Electrochemical active surface area (ECSA) normalised C<sub>2</sub>H<sub>4</sub> and C<sub>2+</sub> product partial current density. **c** Faradaic efficiency for each CO<sub>2</sub>RR product and H<sub>2</sub> on Cu-CO<sub>2</sub>-60 at various potential ranging from -0.38 to -0.74 V vs. RHE in 7 M KOH. **d** Comparison of C<sub>2</sub>H<sub>4</sub> and C<sub>2+</sub> full-cell power conversion efficiency (PCE) on Cu-CO<sub>2</sub>-60 and Cu-HER-20 in the current density range of 130 to 780 mA cm<sup>-2</sup> without *iR* correction in 7 M KOH. **e** Comparison of C<sub>2</sub>H<sub>4</sub>, C<sub>2+</sub> and CO Faradaic efficiency on Cu-CO<sub>2</sub>-60 and Cu-HER-20 catalysts in 7 M KOH. **f** Stability obtained at -3.7 V (without *iR* correction) in a 5 cm<sup>2</sup> MEA electrolyser. The anolyte was 0.15 M KHCO<sub>3</sub>. Error bars in **c-e** correspond to the standard deviation of 3 independent measurements.