# *Caveolin-1* mediates tumor cell migration and invasion and its regulation by *miR-133a* in head and neck squamous cell carcinoma

NIJIRO NOHATA<sup>1,2</sup>, TOYOYUKI HANAZAWA<sup>2</sup>, NAOKO KIKKAWA<sup>1,2</sup>, MURADIL MUTALLIP<sup>1,2</sup>, LISA FUJIMURA<sup>3</sup>, HIROFUMI YOSHINO<sup>4</sup>, KAZUMORI KAWAKAMI<sup>4</sup>, TAKESHI CHIYOMARU<sup>4</sup>, HIDEKI ENOKIDA<sup>4</sup>, MASAYUKI NAKAGAWA<sup>4</sup>, YOSHITAKA OKAMOTO<sup>2</sup> and NAOHIKO SEKI<sup>1</sup>

Departments of <sup>1</sup>Functional Genomics, <sup>2</sup>Otorhinolaryngology/Head and Neck Surgery,

Graduate School of Medicine, <sup>3</sup>Biomedical Research Center, Chiba University, Chiba; <sup>4</sup>Department of Urology,

Graduate School of Medical and Dental Sciences, Kagoshima University, Kagoshima, Japan

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Abstract. MicroRNAs (miRNAs) are small non-coding RNAs of approximately 22 nucleotides that can function as oncogenes or tumor suppressors in human cancer. Downregulation of the miRNA *miR-133a* in many type of cancers, and a reduction of cell proliferation, migration, and invasion upon over-expression, suggests that miR-133a is a tumor suppressor. In this study, genome-wide gene expression analysis of HNSCC cells that over-express miR-133a showed that caveolin-1 (CAV1), a multifunctional scaffolding protein, is down-regulated, a result that was confirmed by real-time PCR and Western blot analysis. A luciferase reporter assay revealed that miR-133a is directly bound to CAV1 mRNA. Cancer cell migration and invasion were significantly inhibited in HNSCC cells transfected with si-CAV1. Therefore, CAV1 functions as an oncogene in HNSCC. The identification of tumor suppressive miRNAs and their target genes could provide new insights into potential mechanism of HNSCC carcinogenesis.

# Introduction

MicroRNAs (miRNAs) are small non-coding RNAs with a length of approximately 22 nucleotides. They regulate gene expression by mRNA cleavage and at the post-transcriptional level by translational suppression (1). They play important roles in various biological and metabolic processes, including development, differentiation, signal transduction, cell maintenance, and cancer (1,2). Bioinformatic predictions indicate

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that miRNAs regulate more than 30% of the protein coding genes (3). It is estimated that approximately 1,000 miRNAs exist in the vertebrate genome. So far, 1048 human miRNAs are registered at miRBase release 16.0 (http://microrna.sanger. ac.uk/).

An important role for miRNAs in the development of cancer has emerged in recent years (4). They are aberrantly expressed in many human cancers, and may function as oncogenes and tumor suppressors. A growing body of evidence indicates that unique miRNA expression profiles associated with particular cancers could serve as useful biomarkers for disease diagnosis and prognosis (5-7). Studies have been carried out for the purpose of identifying specific miRNA alterations in various cancers, including head and neck squamous cell carcinoma (HNSCC) (8-10).

Down-regulated miRNAs, including *miR-133a*, have been identified in bladder cancer and esophageal squamous cell carcinoma (ESCC) (11,12). Down-regulation of *miR-133a* has also been reported in HNSCC, and oral and colorectal cancers (7,13,14). The finding that over-expression of *miR-133a* reduces cell proliferation, migration and invasion suggested that *miR-133a* is a tumor suppressor (12,15). In this study, a screen for *miR-133a* target genes was performed using genome-wide expression analysis on HNSCC cell lines (HSC3 and SAS) transfected with *miR-133a*. Among the down-regulated genes, *caveolin-1 (CAV1)* contained predicted binding sites for *miR-133a*.

Caveolins are a class of oligomeric structural proteins that are both necessary and sufficient for caveolae formation. Caveolae are 50- to 100-nm  $\omega$ -shaped invaginations of the plasma membrane that function as regulators of signal transduction. CAV1 regulates multiple cancer-associated processes including cellular transformation, tumor growth, cell migration and metastasis, cell death and survival, multidrug resistance and angiogenesis (16,17).

A luciferase reporter assay was used to determine if *CAV1* actually has *miR-133a* target sites. The functional significance of CAV1 in HNSCC was also investigated using a loss-of-function assay. This is the first report that oncogenic CAV1 is

*Correspondence to*: Dr Naohiko Seki, Department of Functional Genomics, Chiba University Graduate School of Medicine, 1-8-1 Inohana Chuo-ku, Chiba 260-8670, Japan E-mail: naoseki@faculty.chiba-u.jp

directly controlled by tumor suppressive microRNA, *miR-133a*, in HNSCC.

# Materials and methods

Clinical HNSCC specimens and HNSCC cell culture. Twentyfive pairs of primary HNSCC (oral cavity 11, larynx 3, oropharynx 5, and hypopharynx 6) and corresponding normal epithelial samples were obtained from patients in Chiba University Hospital (Chiba, Japan) from 2007 to 2009. All tissue specimens were obtained from patients undergoing surgical treatment. Normal tissues were obtained far from the center of the cancer in surgical specimens. No cancer cells were detected in neighboring formalin-fixed paraffin-embedded tissues. Written consent of tissue donation for research purposes was obtained from each patient before tissue collection. The protocol was approved by the Institutional Review Board of Chiba University. The specimens were immersed in RNAlater (Qiagen, Valencia, CA, USA) and stored at -20°C until RNA was extracted. Human HNSCC cell lines (HSC3; derived from lymph node metastasis of tongue squamous cell carcinoma and SAS; derived from primary lesion of tongue squamous cell carcinoma) were provided by the American Type Culture Collection (ATCC, Manassas, VA, USA). Both cell lines were grown in Dulbecco's modified Eagle's medium/ nutrient mixture F-12 Ham (DMEM/F-12) supplemented with 10% fetal bovine serum in a humidified atmosphere containing 5% CO<sub>2</sub> at 37°C.

*RNA isolation*. Total RNA was isolated using TRIzol reagent (Invitrogen, Carlsbad, CA, USA) according to the manufacturer's protocol. RNA concentrations were determined spectrophotometrically, and molecule integrity was checked by gel electrophoresis. RNA quality was confirmed using an Agilent 2100 Bioanalyzer (Agilent Technologies, Santa Clara, CA, USA).

Mature miRNA transfection and small interfering RNA treatment. Mature miRNA molecules, Pre-miR<sup>TM</sup> miRNA precursors (hsa-miR-133a; P/N: AM17100 and negative control miRNA; P/N: AM17111), small interfering RNA, Silencer<sup>®</sup> Select siRNA; si-CAV1 (P/N: s2446 and s2448) (Applied Biosystems, Foster City, CA, USA) and negative control siRNA (D-001810-10; Thermo Fisher Scientific, Waltham, MA, USA) were incubated with Opti-MEM (Invitrogen) and Lipofectamine<sup>TM</sup> RNAiMax reagent (Invitrogen) as described previously (11). Transfection efficiency of Pre-miR in cell lines was confirmed based on down-regulation of *PTK9* mRNA following transfection with *miR-1* (as recommended by the manufacturer).

*Cell proliferation, migration and invasion assays.* Cells were transfected with 10 nM siRNA by reverse transfection and plated into 96-well plates at  $3x10^3$  cells per well. After 72 h, cell proliferation was determined by the XTT assay, using the Cell Proliferation Kit II (Roche Molecular Biochemicals, Mannheim, Germany) (12,18). Triplicate wells were measured for cell viability in each treatment group.

Cell migration activity was evaluated using a woundhealing assay. Cells were plated in 6-well dishes, and the cell monolayer was scraped using a micropipette tip. The initial gap length (0 h) and the residual gap length, 24 h after wounding were calculated from photomicrographs (15).

A cell invasion assay was carried out using modified Boyden Chambers containing transwell-precoated matrigel membrane filter inserts with 8- $\mu$ m pores in 24-well tissue culture plates (BD Biosciences, Bedford, MA, USA) (15). All experiments were performed in triplicate.

*Target gene search for miR-133a*. A genome-wide screen using *miR-133a* transfectants was performed to identify target genes of *miR-133a* in two HNSCC cell lines, HSC3 and SAS. Oligomicroarray Human 44K (Agilent Technologies) was used for expression profiling in the transfectants in comparison with a miRNA-negative-control transfectant (12,15,18). Hybridization and wash steps were performed as previously described (19). The arrays were scanned using a Packard GSI Lumonics ScanArray 4000 (Perkin-Elmer, Boston, MA, USA). The data were analyzed by means of DNASIS array software (Hitachi Software Engineering, Tokyo, Japan), which converted the signal intensity for each spot into text format. The Log 2 ratios of the median subtracted background intensity were analyzed. Data from each microarray study were normalized by a global normalization method (19).

Predicted target genes and their target miRNA binding site seed regions were investigated using TargetScan (release 5.1, http://www.targetscan.org/). The sequences of the predicted mature miRNAs were confirmed using miRBase (release 16.0, http://microrna.sanger.ac.uk/).

Real-time quantitative RT-PCR. First-strand cDNA was synthesized from 1 µg total RNA using High Capacity cDNA Reverse Transcription Kit (Applied Biosystems). Gene-specific PCR products were assayed continuously using a 7900-HT Real-Time PCR System according to the manufacturer's protocol. The initial PCR step consisted of a 10-min hold at 95°C, followed by 40 cycles of a 15-sec denaturation at 95°C and a 1-min annealing/extension at 63°C. TaqMan<sup>®</sup> probes and primers for CAV1 (P/N: Hs00971716\_m1) and the GAPDH (A/N: NM\_002046) internal control were obtained from Applied Biosystems (Assay-On-Demand Gene Expression Products). The expression levels of miR-133a (P/N: 002246) were analyzed by TaqMan quantitative real-time PCR (TaqMan MicroRNA Assay; Applied Biosystems) and normalized to RNU48 (A/N: X96648). All reactions were performed in triplicate, and included negative control reactions that lacked cDNA.

Immunoblotting. Cells were harvested 72 h after transfection and lystaes were prepared. Protein lysate (50  $\mu$ g) was separated by NuPAGE on a 4-12% bis-tris gel (Invitrogen) and transferred to PVDF membranes. Immunoblotting was performed with diluted (1:100) monoclonal CAV1 antibody (ab17052, Abcam, Cambridge, UK), with β-actin antibody (sc-1615; Santa Cruz Biotechnology, Santa Cruz, CA, USA) used as an internal control. The membrane was washed and incubated with goat anti-mouse IgG (H+L)-HRP conjugate (Bio-Rad, Hercules, CA, USA). Specific complexes were visualized by echochemiluminescence (GE Healthcare Bio-Sciences, Princeton, NJ, USA).

	Entrez gene ID	Symbol					
No.			Gene name	SAS	HSC3	Average	- Target sites
1	63827	BCAN	Brevican	-3.80	-3.96	-3.88	_
2	10186	LHFP	Lipoma HMGIC fusion partner	-2.97	-4.53	-3.75	+
3	857	CAV1	Caveolin 1, caveolae protein, 22 kDa	-2.59	-4.85	-3.72	+
4	7431	VIM	Vimentin	-3.58	-3.47	-3.53	-
5	10970	CKAP4	Cytoskeleton-associated protein 4	-2.49	-4.46	-3.48	+
6	1070	CETN3	Centrin, EF-hand protein, 3 (CDC31 homolog, yeast)	-2.48	-4.33	-3.41	+
7	1295	COL8A1	Collagen, type VIII, α1	-3.40	3.38	-3.39	-
8	359821	MRPL42P5	Mitochondrial ribosomal protein L42 pseudogene 5	-3.40	-3.29	-3.35	-
9	55425	KIAA1704	KIAA1704	-2.54	-4.09	-3.31	-
10	121457	IKIP	IKBKB interacting protein	-3.05	-3.56	-3.30	-
11	7168	TPM1	Tropomyosin 1 (α)	-3.28	-3.28	-3.28	-
12	23621	BACE1	β-site APP-cleaving enzyme 1	-3.35	-2.88	-3.11	+
13	5923	RASGRF1	Ras protein-specific guanine nucleotide-releasing factor 1	-2.49	-3.67	-3.08	-
14	79026	AHNAK	AHNAK nucleoprotein	-3.33	-2.83	-3.08	-
15	8835	SOCS2	Suppressor of cytokine signaling 2	-1.95	-4.18	-3.07	-
16	255758	MGC33212	Tctex1 domain containing 2	-3.00	-3.10	-3.05	-
17	63920	LOC63920	Chromosome 5 open reading frame 54	-1.92	-4.07	-3.00	-
18	7424	VEGFC	Vascular endothelial growth factor C	-1.84	-4.11	-2.97	+
19	83879	CDCA7	Cell division cycle associated 7	-1.42	-4.51	-2.96	-
20	667	DST	Dystonin	-1.91	-4.01	-2.96	+
21	200894	ARL13B	ADP-ribosylation factor-like 13B	-1.85	-4.05	-2.95	+
22	1063	CENPF	Centromere protein F, 350/400 ka (mitosin)	-1.49	-4.37	-2.93	-
23	79827	ASAM	Adipocyte-specific adhesion molecule	-2.83	-2.99	-2.91	-
24	29843	SENP1	SUMO1/sentrin specific peptidase 1	-2.24	-3.55	-2.89	+
25	2760	GM2A	GM2 ganglioside activator	-3.00	-2.78	-2.89	-

Table I. Top 25 genes down-regulated less than -2.00-fold in *miR-133a* transfectants.

Plasmid construction and dual-luciferase assay. miR-133a target sequences were inserted between the *Xho*I and *Pme*I restriction sites in the 3'UTR of the hRluc gene in the psiCHECK-2 vector (Promega, Madison, WI, USA). The amplified fragment was cloned into the psiCHECK-2 vector and confirmed by sequencing using the following primers: 5'-ACCGAGTTCGTGAAGGTGAA-3' and 5'-CAAACCCT AACCACCGCTTA-3'. SAS cells were then transfected with 5 ng vector, 10 nM mature miRNA molecules, Pre-miRNA<sup>TM</sup> miR-133a (Applied Biosystems), and 1  $\mu$ l Lipofectamine 2000 (Invitrogen) in 100  $\mu$ l Opti-MEM<sup>TM</sup>. Firefly and Renilla luciferase activities in cell lysates were determined using a dual-luciferase assay system (Promega). Normalized data were calculated as the quotient of Renilla/firefly luciferase activities.

*Statistical analysis*. The relationships between two groups and the numerical values obtained by real-time RT-PCR were analyzed using the non-parametric Mann-Whitney's U test or the paired t-test. The relationship among three variables and numerical values was analyzed using the Bonferroni-adjusted Mann-Whitney's U test; a non-adjusted statistical level of significance of P<0.05 corresponded to a Bonferroni-adjusted level of P<0.0167. All analyses were performed using Expert StatView (version 4, SAS Institute Inc., Cary, NC, USA).

#### Results

Identification of miR-133a target genes by genome-wide expression analysis. To investigate the molecular basis of candidate targets of miR-133a in HNSCC cells, we examined the effect of miR-133a on protein-coding genes. Mature miR-133a was transiently transfected into HSC3 and SAS cells, with negative-miRNA transfection used as a control. Comprehensive gene expression analysis (see Materials and methods) clearly showed changes in gene expression patterns between miR-133a and negative-control transfectants. To identify candidate miR-133a target genes, a cut-off of values less than -2.00-fold was applied to the array data. This filtering resulted in the identification of 164 genes that were significantly down-regulated upon miR-133a transfection both HSC3 and SAS cells (top 25 genes were shown; Table I). Entries from the microarray data were approved by the Gene Expression

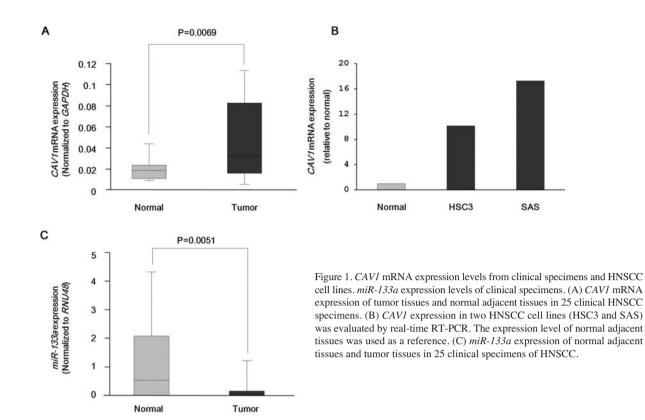


Table II.	Clinical	features	of	<b>HNSCC</b>	patients.
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No.	Gender	Age	Location	Differentiation	Т	Ν	М	Stage
1	Male	60	Oral	Well	2	0	0	II
2	Male	60	Oral	Moderate	4a	2c	0	IV A
3	Male	66	Oral	Moderate	2	0	0	II
4	Female	73	Oral	Well	1	0	0	Ι
5	Male	64	Oral	Well	1	0	0	Ι
6	Male	66	Oral	Well	3	0	0	III
7	Male	58	Oral	Moderate	1	0	0	Ι
8	Male	65	Oral	Moderate	4a	1	0	IV A
9	Male	73	Oral	Poor	3	1	0	III
10	Female	38	Oral	Well	2	2b	0	IV A
11	Male	67	Oral	Moderate	4a	2c	0	IV A
12	Male	66	Larynx	Well	4a	0	0	IV
13	Male	69	Larynx	Well	3	0	0	III
14	Male	57	Larynx	Moderate	4a	0	0	III
15	Male	64	Oropharynx	Poor	3	2c	0	IV A
16	Male	77	Oropharynx	Moderate	4b	2b	0	IV B
17	Male	76	Oropharynx	Poor	2	0	0	II
18	Male	52	Oropharynx	Moderate	3	2a	0	IV A
19	Female	65	Oropharynx	Well	4a	2b	0	IV A
20	Female	65	Hypopharynx	Well	4a	0	0	IV A
21	Male	68	Hypopharynx	Poor	4a	1	0	IV A
22	Male	68	Hypopharynx	Moderate	4a	1	0	IV A
23	Male	61	Hypopharynx	Moderate	4a	2c	0	IV A
24	Male	66	Hypopharynx	Moderate	2	2c	0	IV A
25	Female	74	Hypopharynx	Well	4a	0	0	IV A

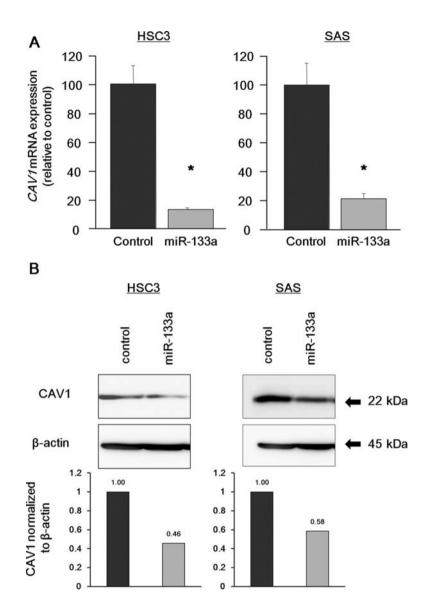


Figure 2. Regulation of CAV1 expression in *miR-133a* transfectants. (A) CAV1 mRNA expression was repressed in *miR-133a* transfectants. \*P<0.05. (B) The protein expression level of CAV1 was also repressed in *miR-133a* transfectants.

Omnibus (GEO), and were assigned the GEO accession number GSE20028.

The 3'UTR regions of these down-regulated genes were examined for *miR-133a* target sites using the TargetScan database. Of the top 25 putative gene targets, 9 genes contained *miR-133a* target sites.

*CAV1 mRNA and miR-133a expression in HNSCC clinical specimens*. The expression level of *CAV1* mRNA was upregulated in clinical HNSCC specimen compared with adjacent normal tissues (Fig. 1A). *CAV1* mRNA expressions in HNSCC cell lines were also over-expressed relative to normal epithelia (Fig. 1B). Conversely, *miR-133a* was down-regulated in tumor tissues (Fig. 1C). Characteristic data of 25 HNSCC patients are described in Table II.

CAV1 as a target of post-transcriptional repression by miR-133a. CAV1 was the third-ranked candidate gene in the genome-wide gene expression analysis. We focused on CAV1, not first- or second-ranked genes, because the latter were not reported in association with HNSCC.

The expression level of *CAV1* mRNA was significantly decreased in the two HNSCC cell lines (HSC3 and SAS) transfected with *miR-133a* (Fig. 2A). The protein expression level was also markedly reduced in *miR-133a* transfectants (Fig. 2B). SAS cells were used to determine the effect of *miR-133a* suppression on *CAV1* expression. The TargetScan database identified two putative target sites in the 3'UTR of *CAV1* (Fig. 3, upper). A luciferase reporter assay confirmed the 3'UTR of *CAV1* as the actual target of *miR-133a*. Luciferase activity was significantly decreased in two *miR-133a* target sites (position 735-741 and 888-894 in 3'UTR of *CAV1*) (Fig. 3, lower).

*Effect of CAV1 silencing on cell proliferation, migration and invasion activity in HNSCC cell lines.* A loss-of-function assay using siRNA analysis was performed to examine the oncogenic function of CAV1 in the presence of *miR-133a*. We determined

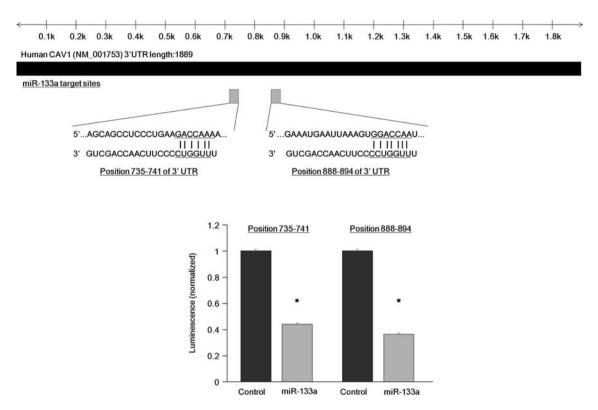


Figure 3. Putative target sites in the *CAV1* 3'UTR were identified with the TargetScan database: two target sites for *miR-133a*. The Renilla luciferase values were normalized against firefly luciferase values. \*P<0.05.

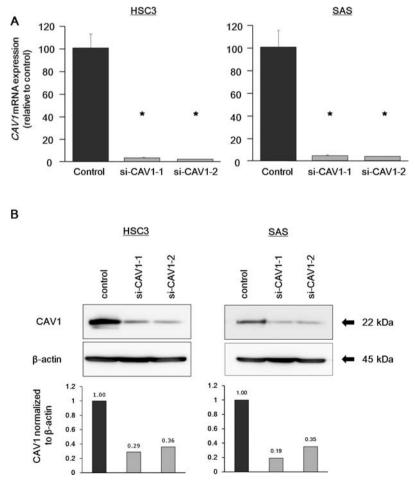


Figure 4. Effect of CAV1 knockdown on HNSCC cells. (A) RT-PCR revealed that CAV1 mRNA was markedly repressed in si-CAV1 transfectants compared with the controls. \*P<0.0001. (B) Western blotting revealed that CAV1 protein was also decreased in si-CAV1 transfectants compared with the controls.

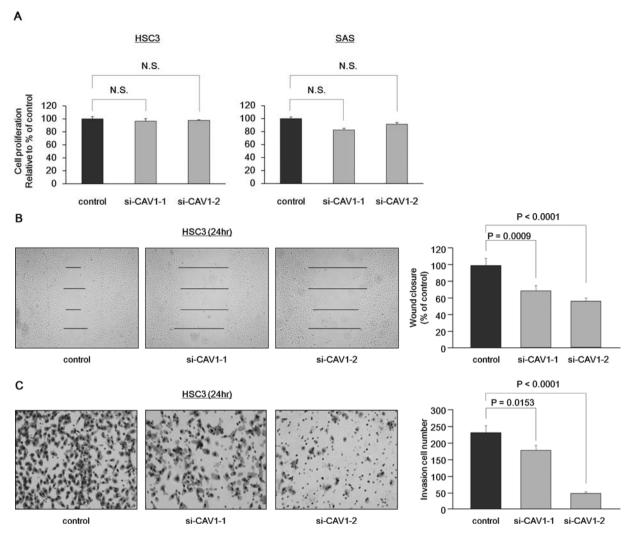


Figure 5. Loss-of-function studies using si-CAV1 transfected HNSCC cell lines. (A) Cell growth as revealed by the XTT assay; (B) Cell migration activity (wound healing assay); (C) Cell invasion activity (matrigel invasion assay) in HSC-3 transfected with si-CAV1.

whether si-CAV1 reduced both mRNA and protein expression levels of si-CAV1 transfectants in HNSCC cell lines, HSC3 and SAS. CAV1 mRNA and protein were reduced following a 72-h transfection with si-CAV1 (Fig. 4). The XTT assay revealed no significant cell growth inhibition in the si-CAV1 transfectants compared with siRNA control transfectants (Fig. 5A). The wound healing assay demonstrated that cell migration was inhibited by si-CAV1 (% of wound closure; control: 100.0±8.1, si-CAV1-1: 68.4±4.1, si-CAV1-2: 59.7±5.9, Fig. 5B), and the matrigel invasion assay showed that the number of invading cells was significantly decreased in si-CAV1 transfectants (invading cell numbers; control: 230.3±20.7, si-CAV1-1: 176.2±15.4, si-CAV1-2: 47.1±4.9, Fig. 5C).

#### Discussion

Aberrant expression of miRNAs has been associated with the development of various cancers. To date, studies have been carried out for the purpose of identifying specific miRNA alterations in various cancers, including HNSCC (8-10). Recently, we reported several down-regulated miRNAs have

been found in the expression signatures of bladder cancer and ESCC (11,12). Expression studies revealed a reduction in *miR-133a* levels in many types of cancers (7,11-14,20-22). Consistent with these earlier studies, we found significant inhibition of cell growth, migration and invasion in ESCC and bladder cancer cell lines transfected with *miR-133a* (12,15). These results strongly suggest that *miR-133a* functions as a tumor suppressor. Consequently, we focused on *miR-133a* and continued the analysis of the molecular mechanism of *miR-133a* and HNSCC oncogenesis.

A new class of regulatory molecules known as miRNAs is redefining our understanding of the molecular pathways associated with carcinogenesis. Bioinformatic predictions indicate that miRNAs regulate more than 30% of the protein coding genes (3). We adopted a method of genome-wide gene expression analysis using specific miRNA transfectants and searched for targets of *miR-133a* in HNSCC cell lines. Using this strategy in several cancer cell lines led to the identification of tumor suppressive miRNA targets (12,15,23). *CAV1* was significantly down-regulated by *miR-133a* over-expression in HNSCC cell lines. The luciferase reporter assay revealed that *CAV1* contains sites targeted by *miR-133a*. This is the first

report that tumor suppressive *miR-133a* directly regulates *CAV1* in cancer cells. Published articles of *miR-133a* targets include *miR-133a* inhibited proliferation and induced apoptosis in SCC of the tongue, and directly controlled pyruvate kinase type M2 expression (20). A search for tumor suppressive miRNA targets is important for elucidation of the molecular network of HNSCC progression, to which our expression signature of *miR-133a* target analysis will contribute.

Caveolins are a basic constituent of  $\omega$ -shaped cell membrane microdomains called caveolae. Several heterogeneous functions are attributed to caveolae and their proteins, including vesicular transport and cholesterol homeostasis (17,24). A scaffolding amino acid sequence identified in CAV1 allows this protein to interact with signaling molecules such as epidermal growth factor receptor, G-proteins, c-Src-like kinases, HA-Ras, protein kinase C, endothelial nitric-oxide synthase, and integrin (16,25-27). In fact, in several types of cancer cells, CAV1 has been associated and/or co-localized with epidermal growth factor receptor (EGFR) and modulate EGFR signaling (28,29). In A549 lung cancer cells, EGFR and CAV1 co-localize in the cell membrane, where CAV1 may have a role in carcinogenesis via EGFR activation, transport to its perinuclear location, and activation maintenance (30,31).

Over-expression of CAV1 has been reported in nasopharyngeal cancer, ESCC, urological cancer, prostate cancer and gliomas. Up-regulation of CAV1 is associated with more aggressive behavior, increased recurrence rate and poorer prognosis (32-36). Increased CAV1 expression has recently been reported in multidrug-resistant neoplastic cells, suggesting its specific role in the acquisition and maintenance of this phenotype (37,38). In this study, a loss-of-function study using si-CAV1 in HNSCC cell lines revealed that CAV1 mediates cell migration and invasion. In contrast, CAV1 appears to be a suppressor of metastatic breast cancer when expression is inhibited (39,40). In HNSCC cell lines, over-expression of CAV1 may play an inhibitory role in carcinogenesis and lung metastasis through regulation of integrin B1- and Src-mediated cell-cell and cell-matrix interactions (41). CAV1 expression has been studied in several cancers, in which expression patterns were either reduced, up-regulated or unchanged; therefore, its role in cancer is still debated and highly controversial (17,24,42). Further analysis of the downstream signaling pathways of CAV1 in different cancer cell types is needed to solve these contradictions.

Epithelial to mesenchymal transitions (EMT) are critical for the invasion, progression, and metastasis of epithelial carcinogenesis. Cancer cells that undergo EMT, acquire various types of malignant ability, such as invasion and metastasis to lymph node or distant organ (43,44). However, the role of EMT in HNSCC remains unexplored. Overexpression of CAV1 during EMT showed tight regulation by focal adhesion kinase (FAK). It also demonstrated that FAKmediated CAV1 up-regulation was involved in cell adhesion and motility (44).

How CAV1 expression changes in many types of cancers is still unclear, but one possible mechanism is through regulation by miRNAs. Loss of *miR-133a*, an endogenous *CAV1* inhibitor, may promote aberrant expression of CAV1 in cancer cells, including HNSCC, contributing to pathogenesis and cancer progression. Down-regulation of *miR-133a* and over-expression.

sion *CAV1* were identified in HNSCC, which suggests that this tumor suppressive miRNA and oncogenic mRNA pathway may be an important therapeutic target for human cancers.

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